

# Linking the Gut Microbiome to Neurocognitive Development in Bangladesh Malnourished Infants

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## 21 Glossary

22 **FDR** False Discovery Rate.

23 **HC** Head Circumference.

24 **LMIC** low- and middle- income countries.

25 **MAM** Moderate Acute Malnutrition.

26 **MUAC** Mid-upper arm circumference.

27 **MWU** Mann-Whitney U test.

28 **P/B** *Prevotella*-to-*Bacteroides*.

29 **PCoA** Principal Coordinates Analysis.

30 **PERMANOVA** permutational multivariate analysis of variance.

31 **SCFA** Short Chain Fatty Acid.

32 **SHAP** SHapley Additive exPlanations.

33 **WLZ/WHZ** weight-for-length/height.

## 34 Key points:

- 35 • The gut microbiome of malnourished infants is compositionally distinct from well-nourished  
36 infants, characterised by a lower shannon diversity, higher *Prevotella*-to-*Bacteroides* ratio,  
37 and lower potential anaerobic pathways involved in the fermentation of pyruvate.
- 38 • Depletion of plasma lipids critical for brain development were negatively correlated with gut  
39 microbiome pathways, EEG power spectral density, and cognitive outcomes.
- 40 • There was a high level of commonality in the shared features between malnutrition and low  
41 expressive communication.

## 42 Abstract

43 Malnutrition, affecting approximately 30 million infants annually, has profound immediate and  
44 enduring repercussions, with nearly half of child deaths under 5 linked to malnutrition. Survivors  
45 face lasting consequences, including impaired neurocognitive development, leading to cognitive  
46 and behavioural deficits, impacting academic performance and socioeconomic outcomes. Despite  
47 extensive literature on malnutrition’s mechanisms spanning nutrition, infection, metabolism, mi-  
48 crobiome, and genomics, knowledge gaps persist. This study employs AI random forest models to  
49 identify non-overlapping connections between the gut microbiome, plasma lipids, and EEG data,  
50 from infants with Moderate Acute Malnutrition (MAM) and well-nourished controls. Plasma lipids  
51 are significant contributors to the prediction of the MAMs condition. *Bacteroides fragilis* abun-  
52 dance, linked to fermentation pathways, emerges as a predictive factor for well-nourished infants.  
53 In conclusion, network analysis highlights the potential significance of targeted interventions in  
54 addressing both the short and long-term impacts of malnutrition.

## 55 Key words

56 Malnutrition, Gut microbiome, Neurocognitive development, Plasma lipidome, Random Forest  
57 classification models

## 58 Background

59 Malnutrition is a significant global health issue responsible for an estimated 45% of all child  
60 deaths worldwide, making it the leading cause of mortality among children under the age of five<sup>1</sup>.  
61 Malnutrition is characterised by delayed growth, proportionate reductions in mass of most organs  
62 and tissues, and alterations in tissue architecture<sup>2</sup>. Children who survive malnutrition are likely  
63 to suffer long-term consequences including impaired neurocognitive development, leading to long-  
64 term deficits in cognition and behaviour<sup>3</sup>. This consequently leads to poor school performance  
65 and economic prospects as an adult<sup>4</sup>. While much is known about the health, social, and economic  
66 ramifications of malnutrition, significant gaps in our knowledge remain. One crucial gap is the

67 contribution of the gut microbiome to the pathology of malnutrition in addition to its impact on  
68 brain and cognitive development.

69 The human gut microbiome is a complex ecosystem comprised of the microorganisms lining the  
70 intestinal tract, including bacteria, viruses, fungi, and archaea. Infancy represents a sensitive  
71 period in gut microbiome formation as the gut microbiome changes drastically over this time<sup>5</sup>.  
72 Importantly, many aspects of malnutrition including host nutritional status, dietary intake, an-  
73 tibiotic administration, and infections impact the diversity, composition, and functionality of the  
74 microbiome<sup>6;7</sup>. To this end, several studies in low- and middle- income countries low- and middle-  
75 income countries (LMIC) have shown differences in gut microbiome profiles between malnour-  
76 ished and well-nourished infants<sup>8;9</sup>. For example, a study in Bangladesh found that malnourished  
77 infants, compared to well-nourished infants, had higher abundances of Bifidobacterium and Es-  
78 cherichia species<sup>10</sup>. Beyond the correlational and descriptive evidence presented, work using mouse  
79 models point to a possible causal role of the gut microbiome in growth and weight gain, as mice  
80 colonized using fecal microbial transplantation with samples from malnourished children, but not  
81 well-nourished controls, showed impairments in weight gain and growth<sup>11</sup>. Critically, perturba-  
82 tions of the gut microbiome associated with malnourishment may have downstream consequences  
83 for brain and cognitive development<sup>12;13</sup>.

84 Malnutrition, like the gut microbiome, is associated with neurocognitive impairments thought to  
85 result from structural and functional changes to the brain<sup>14;15;16;17;18;19</sup>. More specifically, several  
86 studies conducted in healthy infants living in upper-middle-income countries have shown that the  
87 gut microbiome is associated with cognitive and brain development; although the directionality  
88 remains unclear with both increased and decreased gut microbiota alpha diversity being linked  
89 to positive cognitive outcomes and neural development<sup>13;15;20;21</sup>. Previous research has suggested  
90 that malnutrition may be associated with alterations in the gut microbiome, including changes  
91 in the composition and diversity of the microbial community<sup>22</sup>. Moreover, alterations in the gut  
92 microbiome may contribute to negative neurological outcomes observed in malnourished infants,  
93 potentially through the disruption of nutrient absorption or the generation of toxic metabolites<sup>23</sup>.  
94 Very few studies have examined the link between the gut microbiome and cognition in malnour-  
95 ished children. One notable exception is a randomized control trial of nutrition, stimulation, and  
96 hygiene education in a group of rural Ugandan mothers and their infants who were moderately  
97 stunted (HAZ scores between -2 and -3 SD). Across a series of studies conducted from 2 years to

98 3 years of age there were mixed findings with some species such as *Bifidobacterium longum* found  
99 to associate with language impairment assessed using the Bayley Scales of Infant and Toddler  
100 Development and other developmental assessments but at other time points no associations were  
101 found<sup>17;24;25</sup>. Therefore, more work is needed to understand how the gut microbiome mediates  
102 that association between malnourishment and cognitive development.

103 Another mechanism by which brain and behavioural development may be impacted by malnutri-  
104 tion is through the circulating plasma lipidome<sup>26;27</sup>. Several circulating plasma lipids including  
105 cholesterol, phosphatidylcholines, phosphatidylethanolamine, and sphingolipids compromise 50%  
106 of the dry weight of the brain and have unique roles in neurological structure and function<sup>28</sup>.  
107 The brain relies upon nutrients circulating in the blood for its supply of resources. Moreover,  
108 the blood brain barrier which plays a crucial role in regulating which circulating lipids enter and  
109 exit the brain area is impaired by malnutrition<sup>29</sup>. Circulating plasma lipids represent a means of  
110 communication between the gut microbiome and the brain<sup>30</sup> and therefore represent a potential  
111 mechanism of influence.

112 Given the importance of the composition and functions of the gut microbiome in maintaining  
113 overall health, there has been increasing interest in understanding how its alterations may con-  
114 tribute to malnutrition and its associated impacts on infant neurocognitive development. The  
115 present study examines the impact of malnutrition on the composition of the infant gut micro-  
116 biome, plasma lipidome, neural activity, and cognitive outcomes in a cross-sectional cohort of  
117 well-nourished and malnourished 12-month-old Bangladeshi infants. Random forest models were  
118 used to integrate deeply phenotyped multi-modal data and identify correlations that provide pu-  
119 tative mechanistic insights into developmental delays the result from malnutrition. Overall, this  
120 study provides important information about gut-blood-brain-behaviour links in infants impacted  
121 by malnutrition.

## Results

### Study population characteristics

As a city with the second highest density of population and in a country with childhood malnutrition rate is one of the highest globally, the Mirpur region in Dhaka, Bangladesh was chosen to assess the impact of early-life malnutrition<sup>31</sup>. 156 infants with Moderate Acute Malnutrition (MAM) and 74 well-nourished controls at 12 months of age were recruited from this region (Figure 1a). MAM was defined according to WHO guidelines, using a threshold between two and three standard deviations below the mean z-score for weight-for-length/height (WLZ/WHZ)<sup>32</sup>. Confounding variables to measures of MAM (WLZ/WHZ, Mid-upper arm circumference (MUAC), Weight, and Head Circumference (HC)) were measured using Fisher’s exact test for categorical variables and Mann-Whitney U test (MWU) for continuous variables. Significant confounding variables were the principal toilet used (Septic-tank/toilet), water treatment method (Boil), toilet facility (shared with other households), how long they lived in current household, mother’s income, years of father education, father’s education level, monthly total expenditure, and mother’s occupation (housewife).

### Malnutrition is associated with a higher *Prevotella*-to-*Bacteroides* (P/B) ratio and lower pyruvate fermentation potential in the gut of Bangladeshi infants

It was hypothesised that malnutrition impacts the diversity and composition of the infant gut microbiome in this cohort. Stool metagenomes were extracted, analysed (shotgun metagenomic sequencing,  $40.53 \pm 8.5$  million reads with no significant difference between MAM and well-nourished (MWU  $p=0.71$ )) and profiled according to their species and functional compositions. Across all samples, we detected 3 kingdom, 17 phylum, 31 class, 51 order, 100 family, 226 genus, 749 species, 611 Functional Pathways, and 2,828,874 Gene Families.

There was a mean species richness of  $50.3 \pm 16.4$  per sample, commensurate with other infants at that age group. Malnutrition was associated with a lower Shannon diversity (MWU  $p=0.025$ ) and Pielou’s evenness (MWU  $p = 0.009$ ) than their well-nourished counterparts (Fig-

Table 1: Baseline infant characteristics. Plus minus values are means  $\pm$  SD and their pvalues are calculated using MWU. All other values are categorical and their pvalues are calculated using Fishers Exact test.

	Malnourished (n=156)	Well-nourished (n=73)	pval
Principal toilet used: Septic-tank/toilet	103 (66.0%)	66 (90.4%)	0.000
Water treatment method: Boil	70 (44.9%)	50 (68.5%)	0.000
WLZ.WHZ (z-score)	-2.24 $\pm$ 0.26	-0.22 $\pm$ 0.48	0.000
MUAC (cm)	12.4 $\pm$ 0.49	14.27 $\pm$ 0.6	0.000
Weight (kg)	6.81 $\pm$ 0.53	8.59 $\pm$ 0.69	0.000
HC (cm)	43.01 $\pm$ 1.32	43.93 $\pm$ 1.35	0.000
Other expenses	1743.59 $\pm$ 2154.34	2928.77 $\pm$ 3554.01	0.001
Toilet facility shared with other households	128 (82.1%)	48 (65.8%)	0.010
How long lived in current household (years)	5.44 $\pm$ 6.33	3.86 $\pm$ 5.28	0.012
Mothers income	1395.51 $\pm$ 3073.98	616.44 $\pm$ 2072.51	0.022
Years of Father Education	5.01 $\pm$ 3.67	6.41 $\pm$ 4.29	0.031
Fathers education level	4.93 $\pm$ 3.5	6.19 $\pm$ 3.83	0.031
Monthly Total expenditure (taka)	14820.83 $\pm$ 6409.76	18495.89 $\pm$ 10919.18	0.037
Mothers occupation: Housewife	115 (73.7%)	63 (86.3%)	0.040
Household head's income	14378.21 $\pm$ 6418.82	18958.9 $\pm$ 18216.63	0.064
Place of Cooking: Outdoors	99 (63.5%)	37 (50.7%)	0.080
Days after birth	355.6 $\pm$ 17.33	359.55 $\pm$ 15.96	0.095
Family expenditure	10060.9 $\pm$ 4790.9	12164.38 $\pm$ 7675.29	0.115
Birth order of enrolled child among live births	1.78 $\pm$ 0.99	1.58 $\pm$ 0.82	0.150
Female	74 (47.4%)	28 (38.4%)	0.200
Household members using mobile phone	2.06 $\pm$ 0.98	2.3 $\pm$ 1.19	0.254
Drain	77 (49.4%)	42 (57.5%)	0.260
Household: Own house	46 (29.5%)	27 (37.0%)	0.290
Before eating: Soap	95 (60.9%)	50 (68.5%)	0.300
Nuclear family	90 (57.7%)	48 (65.8%)	0.310
Total monthly Income (taka)	19537.18 $\pm$ 8697.86	25253.42 $\pm$ 21158.65	0.338
Number of siblings under 5 years	0.56 $\pm$ 0.84	0.56 $\pm$ 0.62	0.358
Mother education level	5.53 $\pm$ 3.26	6.18 $\pm$ 3.68	0.359
Years of Mother Education	5.58 $\pm$ 3.38	6.42 $\pm$ 4.19	0.359
Before feeding child: Soap	75 (48.1%)	40 (54.8%)	0.400
Number of people sleeping in household	4.9 $\pm$ 1.71	4.78 $\pm$ 1.67	0.436
Number of members in your household	4.9 $\pm$ 1.71	4.78 $\pm$ 1.67	0.436
Number of living children	1.86 $\pm$ 1.01	1.88 $\pm$ 0.87	0.553
Number of rooms in current household	1.53 $\pm$ 0.81	1.59 $\pm$ 0.85	0.566
Other member's income	3011.54 $\pm$ 5693.41	4945.21 $\pm$ 9937.15	0.874
Other sources income	751.92 $\pm$ 3206.54	732.88 $\pm$ 2645.86	0.879

ure 1, ??). These differences in diveristy were underscored by a significant difference in the Bray-Curtis dissimilarity (permutational multivariate analysis of variance (PERMANOVA),  $R^2=2.22$ ,  $p=0.008$ ), as a consequence of the differential abundance of 6/350 species (1.7%) (Figure 1b, ??). There was a greater prevalence and abundance of 5 species including *Prevotella copri* ( $\text{Log}_2(\text{MAM}/\text{well-nourished}) = 0.64$ , MWU.  $p=0.020$ , MWU.  $p=0.004$ ) and *Streptococcus sali-*

154 *varius* ( $\text{Log2}(\text{MAM}/\text{Well-nourished}) = 2.39$ , MWU  $p=0.0005$ ,  $q=0.032$ )) in microbiomes from  
 155 MAM infants, compared to well-nourished controls (Figure 1c). The increases in *P. copri* and *S.*  
 156 *Salivarius*, were reciprocally associated with the depletion and reduction in the prevalence of the  
 157 sphingolipid-producing species *Bacteroides fragilis* within the MAM microbiome ( $\text{Log2}(\text{MAM}/\text{Well-}$   
 158  $\text{nourished})=1.20$ , MWU. $p=0.021$ ,  $q=0.49$ ). This reciprocal relationship was observed as a trend in  
 159 increase to the P/B ratio of the MAM infants ( $\text{Log2}(\text{MAM}/\text{Well-nourished})=2.81$ , MWU=0.064)  
 160 (Figure 1d). Functional pathway analyses revealed no significant differences in the composition  
 161 of the overall functionome between MAM and well-nourished controls (PERMANOVA,  $R^2=8.76$ ,  
 162  $p=0.365$ ). After false discovery rate adjustment there were no significant differences in the path-  
 163 way relative abundances (supp table). However, 19/525 pathways were differentially abundant  
 164 using MWU without FDR adjustment between the conditions (Figure 1e, Supplementary Table  
 165 X). Specifically, MAM gut microbiomes had an over-representation of pathways involved in branch  
 166 chain amino acid biosynthesis ( $\text{Log2}(\text{well-nourished}/\text{MAM})=-0.13$ , MWU.  $p=0.004$ ) including L-  
 167 valine and L-isoleucine (I, III)), fatty acid synthesis (PWY-7117 ( $\text{Log2}(\text{well-nourished}/\text{MAM})=-$   
 168  $0.13$ , MWU  $p=0.004$ ) including, PWY0-862, PWY-7664, and PWY-6282), and sucrose/glucose  
 169 degradation (PWY-5384 ( $\text{Log2}(\text{well-nourished}/\text{MAM})=-0.19$ , MWU  $p=0.009$ )). Conversely, there  
 170 was a decrease in relative abundance anaerobic pathways including isopropanol biosynthesis ( $\text{Log2}(\text{well-}$   
 171  $\text{nourished}/\text{MAM})=1.03$ , MWU  $p=0.020$ ) and pyruvate fermentation pathways to the Short Chain  
 172 Fatty Acid (SCFA) propionate ( $\text{Log2}(\text{well-nourished}/\text{MAM}) = 0.37$ , MWU  $p=0.017$ ) within the  
 173 MAM infant's gut.

## 174 **Malnutrition impacts brain activity and expressive communication**

175 Malnourished children often present with long-term impairments in neural and cognitive develop-  
 176 ment. Resting state electroencephalography (EEG) assessments of participants were performed  
 177 to enable investigation of the impacts of malnutrition on brain activity (Supp Table X,Y). After  
 178 exploratory comparisons between EEG PSD between infants with MAM and our well-nourished  
 179 controls, we will subsequently focus on the high-alpha (9-12 Hz), beta (12-30 Hz) and gamma  
 180 (30-45 Hz) frequency bands distributed across occipital, temporal and frontal regions of interest.  
 181 These bands are generally associated with concentration, alertness, and higher mental activity and  
 182 were observed to have higher amplitudes in the well-nourished infants compared to infants with  
 183 MAM (supp table).



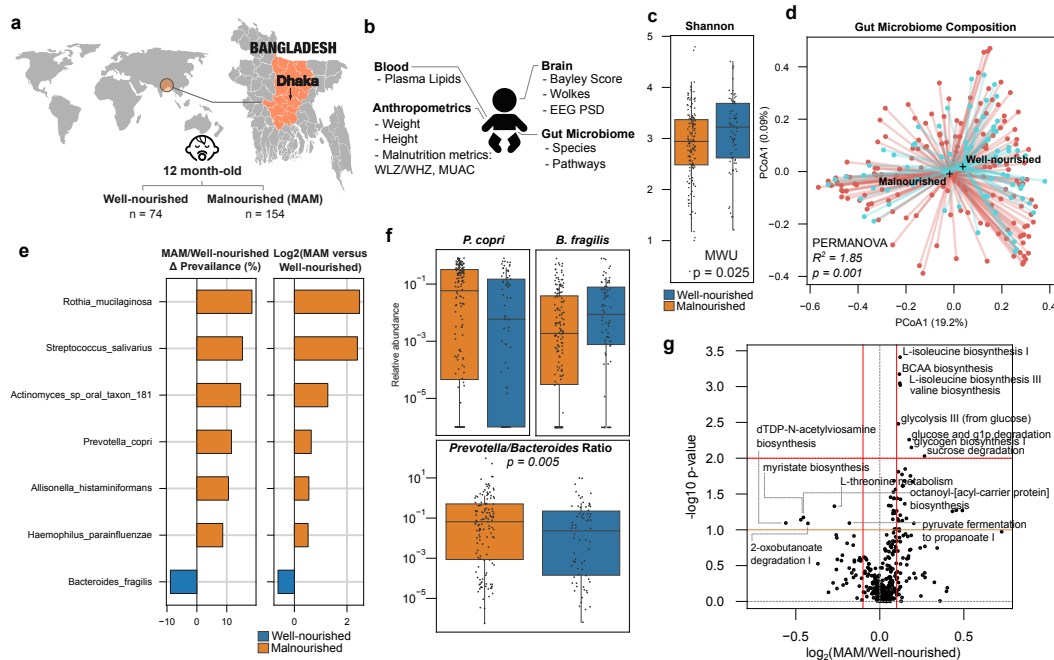


Figure 1: Malnutrition impacts the 12-month-old infant gut microbiome. a) Schematic of study design. b) Summary of data collected. c) Change in diversity of the gut microbiome associated with malnutrition. d) PCoA Scatterplot of Bray-Curtis beta diversities of samples (each marker is a single sample). e) Barplot of significant taxonomic differences in relative abundance and prevalence between 12-month-old well-nourished and MAM samples (MWU  $p < 0.05$ ). f) Boxplot of P/B ratio change between study conditions. g) Volcano plot of pathways affected by malnutrition (upper left and upper right quadrants signify significant changes where the red horizontal line signifies MWU  $q < 0.05$  and vertical lines represent  $\log_2$  fold change of -0.1 and 0.1 respectively).

We used the Bayley Scales of Infant and Toddler Development Fourth Edition (BSID-IV; Bayley & Aylward, 2019) to assess development in our cohorts. When compared to well-nourished infants, there was a significant reduction in the Expressive Communication score in the MAM infants ( $\log_2(\text{well-nourished}/\text{MAM})=0.10$ , MWU.  $p=0.02$ ). Expressive communication is a measure of how well a child communicates with others (Figure 2). However, there were no significant differences in receptive language, cognitive, or motor abilities.

## Malnutrition is associated with a reduction in circulating lysolipids and ceramides

Adequate nutrition in infants is characterised by healthy circulating concentrations of metabolites, including lipids, involved in growth and development<sup>33</sup>. Therefore, we used discovery LC-MS/MS

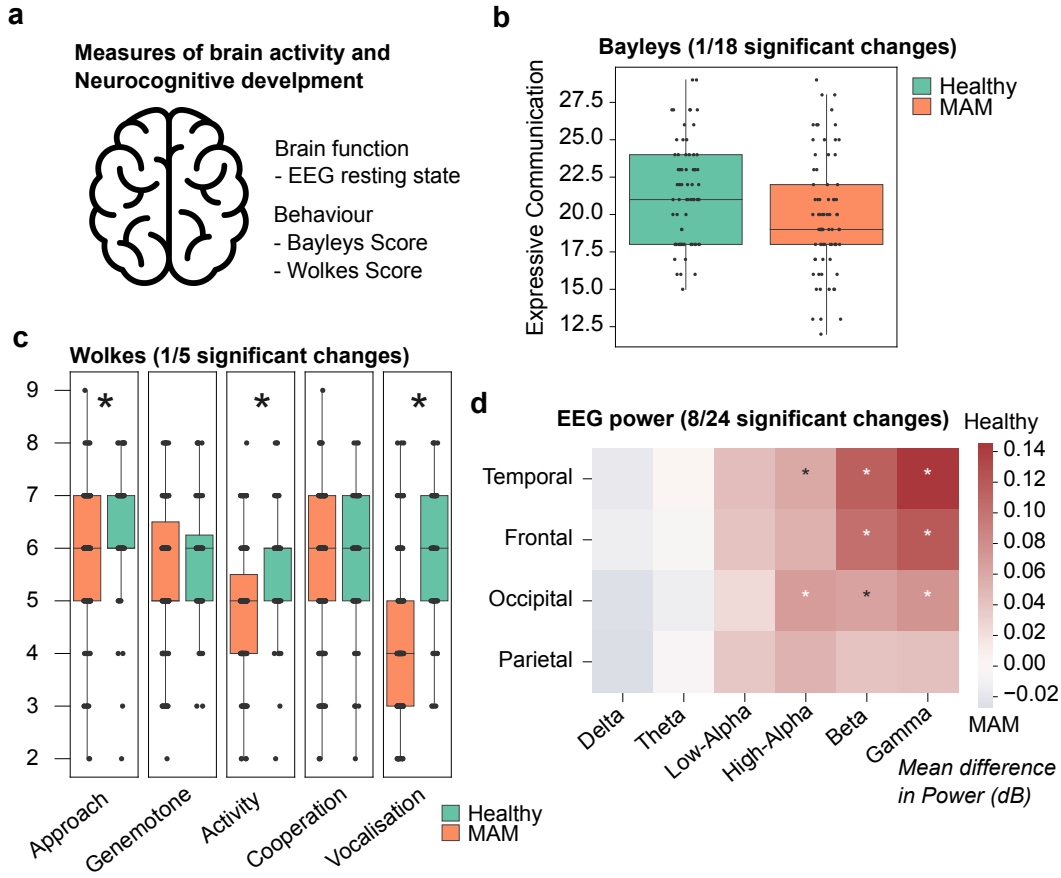


Figure 2: Differences in cognitive development of 12-month-old infants associate with malnutrition. a) Schematic of approach to study neurocognitive function. b) Boxplot of significant difference in Expressive Communication Score of the children with malnutrition compared to well-nourished controls. c) Heatmap of lobe and frequency specific changes in EEG resting state power spectral density (PSD) in MAM versus well-nourished infants. \* = MWU  $q < 0.05$ .

to characterise and quantify the levels of 1041 lipids in plasma samples in our cohort of MAM and well-nourished infants (Figure 3).

Malnutrition was associated with significant changes (309/1041 - 30%) to the plasma lipidome. Of these changes, 140 (13%) plasma lipidome compounds increased and 169 (16%) decreased in concentration (Figure 3, supp table). We identified a reduction in the abundance of three lipid classes with diverse functions, including two that are known to be specific to neurological development and function (i.e. the long chain ceramide Cer 31:5;O2 ( $\text{Log2}(\text{well-nourished}/\text{MAM})=2.44$ , MWU  $q=2.51\text{e-}8$ ) and the lactosylceramide hex2cer 34:1 ( $\text{Log2}(\text{well-nourished}/\text{MAM})=1.50$ , MWU  $q=0.004$ )). By contrast, long chain sphingomyelins (SM 44:3;O2,  $\text{Log2}(\text{well-nourished}/\text{MAM})=-1.47$ , MWU

203  $q=5.81e-5$ )) and others were observed to increase in relative concentration in malnourished infants.  
 204 Several lysophospholipids from the Lysophosphatidylcholine (LPC), and Lysophosphatidylethanolamine  
 205 (LPE) classes were enriched in well-nourished infant plasma.

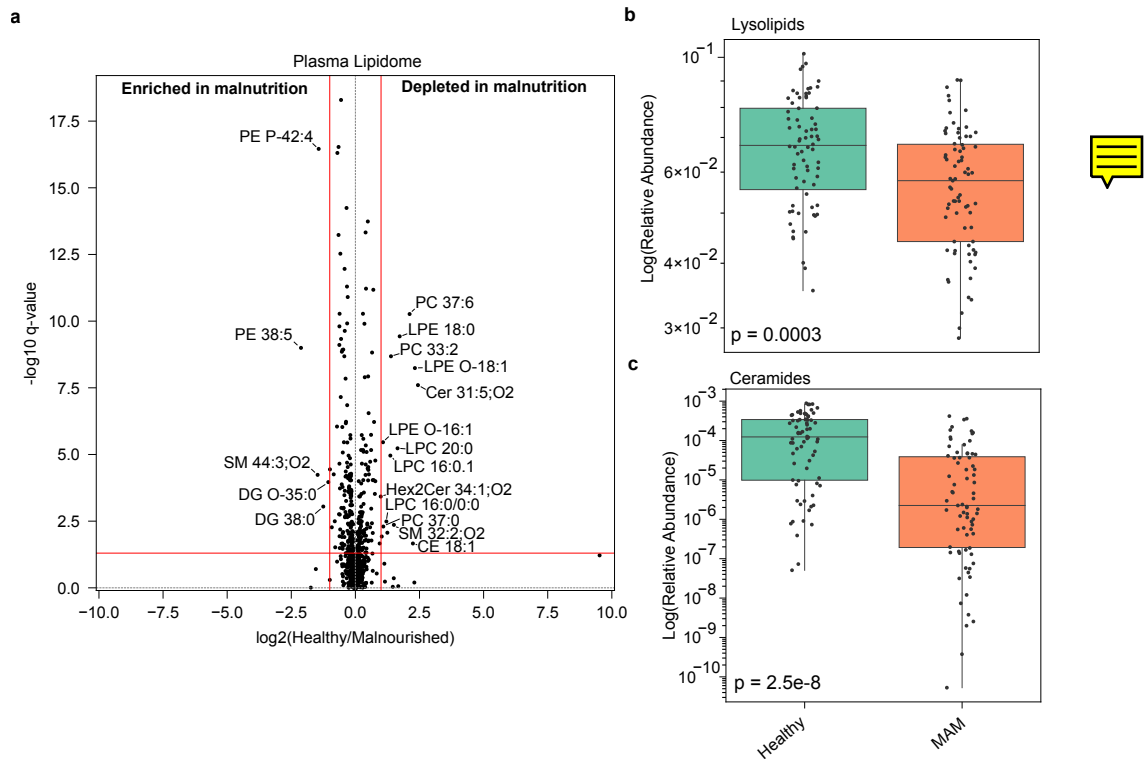


Figure 3: Malnutrition results in major, compositional differences in plasma lipids in 12-month-olds. Volcano plot changes to plasma lipids between well-nourished and MAM 1-year-olds. (Upper left and upper right quadrants signify significant changes where the red horizontal line signifies MWU  $q < 0.05$  and vertical lines represent  $\log_2$  fold change of -0.1 and 0.1 respectively). b) Lipidome class analysis. c) Ceramide abundance differences.

## 206 Multimodal Random Forest classifiers for malnutrition reveal cross mode 207 influences

208 Having established the existence of changes associated with malnutrition across the gut micro-  
 209 biome, brain, and plasma lipids, the relative importance of changes in each of these domains for

the prediction of malnutrition was measured. Individual and multimodal Random Forest classifiers were trained, using gut microbiome taxonomic and functional neuroimaging (EEG), lipidome and behavioural data (Bayley scale scores), to predict malnutrition in 12-month-old infants.

Within the predictors trained on individual feature sets, plasma lipids (AUCROC=1.00, oob=1.00) were the best predictor of malnutrition in 1-year-old infants, followed by brain/behavioural metrics (i.e., EEG, and Bayley AUCROC=0.83, oob=0.64), and the gut microbiome taxonomic and functional profiles (AUCROC=0.59, oob=0.59).

Ensemble models were trained on the combined dataset (i.e. gut microbiome taxonomic, gut microbiome functional, neuroimaging (EEG), lipidome and Bayley scale scores; METHODS) using 10-fold cross validation. SHAP scoring interpretation was performed to understand the workings of these models and importance of the features without the assumption of linearity of relationship between features (Figure 4). Those features that changed significantly were more likely to have high importance for the model prediction, (supp table). Comparison with the individual models indicated that inclusion of the other datasets into the ensemble models lead to the identification of non-linear features that contributed to the predictive power of the microbial species within the classification model. For example, these included MAM depleted *Faecalibacterium prausnitzii* (SHAP(well-nourished/MAM)=-0.0076), and *Odoribacter splanchnicus* (SHAP(well-nourished/MAM)=-0.0063) or MAM enriched *Bifidobacterium breve* (SHAP(well-nourished/MAM)=0.0074), and *Haemophilus parainfluenzae* (SHAP(well-nourished/MAM)=0.0065).

## Network Analysis reveals the importance of *Bacteroides fragilis* in infant neurocognitive development

Network analysis is a useful tool to understand complex systems that emerge from interactions between multiple components. To better understand the complexities of feature changes and correlations between the EEG, behavior, microbial species and functions, and plasma metabolites, we mapped out their architecture using co-abundant network analysis. Spearman correlation of the features that were altered by malnutrition was calculated, filtered by significance ( $q < 0.05$ ) (1052/3906 correlations, supp table). Finally, only edges with a spearman rho cut-off of  $> 0.2$  were used to construct the network.

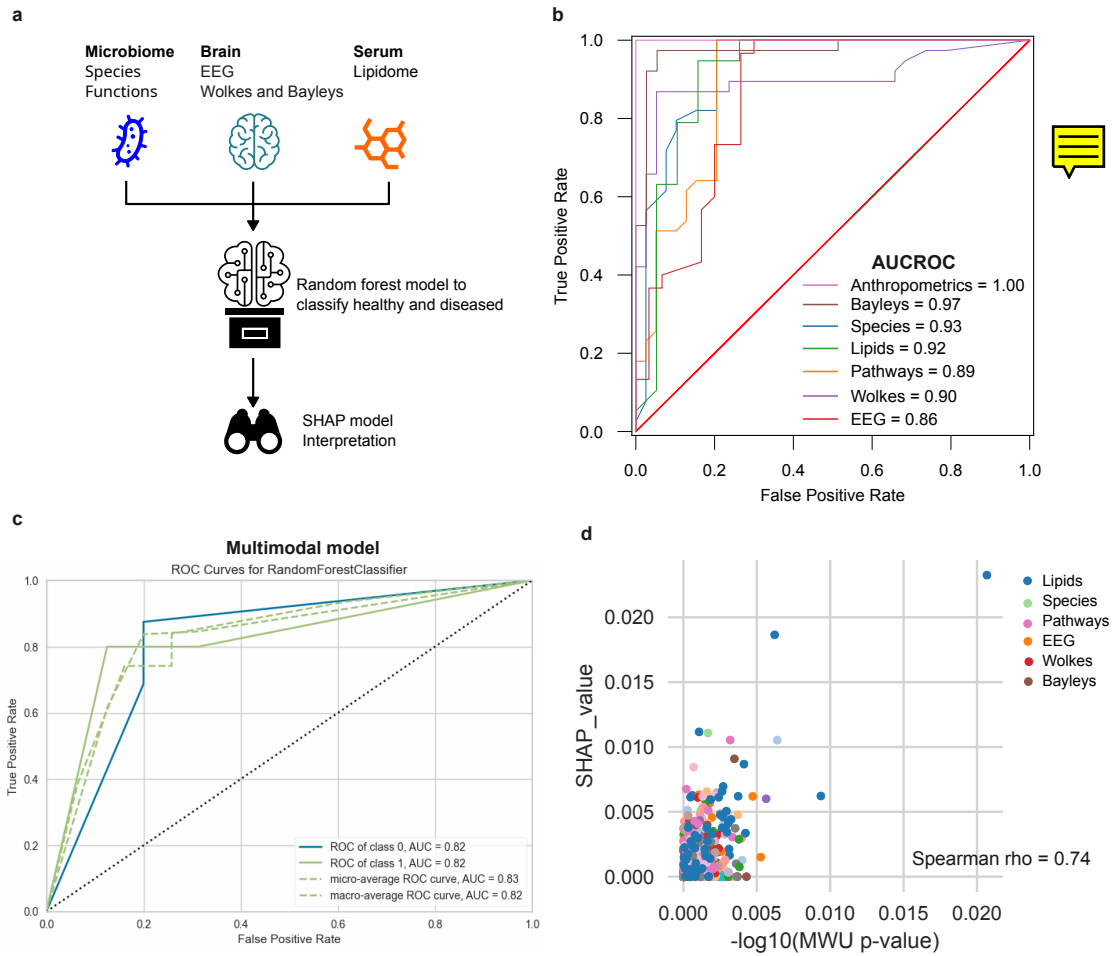


Figure 4: Integration of multimodal datasets boosts the predictive power and affects the relative feature importance of random forest models predicting nutritional status. a) Schematic describing interpreted multimodal approach to predict malnutrition. b) AUCROC curves showing relative predictive power of each modal dataset on predicting nutritional status. c) Multimodal model predicts malnutrition accurately. d) The multimodal model captures non-linear interactions between the features as demonstrated by the SHAP score distribution.

Important features (ie. mean absolute SHAP score  $> 0.002$ ) were more likely to be significantly correlated ( $q < 0.05$ ) with one another and had greater measures of Betweenness Centrality (Figure 5, Supplementary Table X) than unimportant features (mean absolute SHapley Additive exPlanations (SHAP)  $< 0.002$ ). Plasma lipids that were enriched/depleted in the MAM condition (Supplementary Table X) were positively correlated with the anthropometric measures WLZ/WHZ, MUAC, and weight. Unsurprisingly, cluster analyses revealed that those features which were different between MAM and well-nourished were positively correlated with each other (i.e., change in the same direction, supp table). We identified a subcluster of *Bacteroides fragilis*,

pyruvate fermentation pathways, plasma ceramides, EEG PSD and Expressive Communication that was highly correlated with the well-nourished state (Figure 5, supp table). Those plasma lipids that were depleted (MWU.  $q \leq 0.05$ ,  $\text{Log}_2(\text{MAM}/\text{well-nourished}) \geq 0$ ) from the MAM infant samples were also positively correlated with EEG PSD amplitudes. Notably, EEG metrics were also correlated with bacterial pyruvate fermentation pathways that correlated with *B. fragilis* relative abundance. Conversely, we identified a highly correlated subcluster of *P. copri*, glycolysis, peptidoglycan biosynthesis, and BCAA pathways, and plasma sphingomyelins that are associated with the MAM condition.

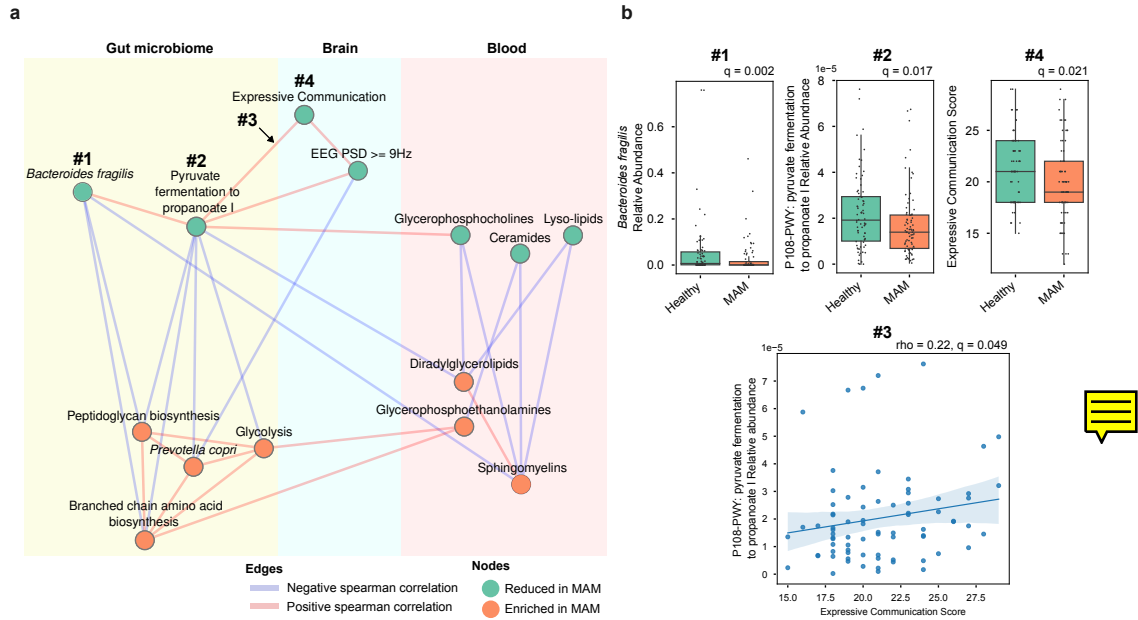


Figure 5: *Bacteroides fragilis* forms a network with propanoate synthesis, EEG and expressive communication that is anti-correlated with a *Prevotella copri* focused cluster of features in healthy and malnourished individuals. a) Network illustrating inter-relationships of feature associations that predict malnutrition. Inclusion in the network requires both a SHAP score for the node ( $> 0.6$ ) and a significant Spearman rho score for the correlation of  $q < 0.05$ . Nodes are features coloured by their enrichment in MAM (orange and green are enriched and depleted in MAM respectively). Edges are spearman correlations coloured red and blue being positively and negatively correlated respectively. b) Evidence for relative abundance of *B. fragilis* (#1), pyruvate fermentation to propanoate I pathway relative abundance (#2), correlation between pyruvate fermentation to propanoate I pathway and Expressive communication (#3), Expressive communication score distributions (#4).

## Discussion

A central goal of this study was to obtain a better understanding of how disturbances in host-microbiome interactions impact neurocognitive development in malnutrition. We observed that at the time of the malnutrition diagnosis and before administration of therapeutic feeds, malnutrition was characterised by a higher P/B ratio and lower anaerobic pathways such as pyruvate fermentation potential in the gut. *Prevotella* rich microbiomes have been typically understudied due to their underrepresentation in non LMIC<sup>34</sup>. This ratio has previously been implicated in diet and lifestyle in adults<sup>35</sup> and *Bacteroides* have been observed previously to be depleted in Bangladesh infants<sup>18</sup>. Other studies of malnutrition have shown a decrease in alpha diversity which was unobserved in our population<sup>18</sup>. Accelerated ageing of the gut microbiome, as indicated by the presence of specific markers such as *P. copri* and *Bifidobacterium adolescentis*, is one possible hypothesis for the differential *Prevotella* abundance. Alternatively, selective microbiome community driven interactions might explain the inverse correlations that were observed between *P. copri* and *Bifidobacterium longum* and *B. breve*. *B. longum* and other anaerobic species have been previously linked to moderate and severe acute malnutrition in Bangladesh<sup>36;37</sup>.

Comparisons of the MAM and control infants identified deficits both in neural activity and expressive communication that were associated with the malnourished condition. When investigating differences in neural activity, disruptions were evident for higher frequency power bands (alpha, beta, and gamma) but not lower frequency bands (delta and theta) in frontal, temporal and occipital areas. Therefore, we conclude that changes in expressive language are early and readily assessed indicators of long-term developmental consequences associated with MAM.

The plasma lipidomes of malnourished children were substantially different from those of controls, with significant differences in the levels of ceramides and lysolipids (i.e. lipid derivatives in which one or both acyl derivatives have been removed by hydrolysis). Numerous specific changes stand out as being potentially important for neural development. Firstly, lactosylceramide (hex2cer 34:1) is an essential precursor for synthesis of all complex glycosphingolipids<sup>38</sup> that was depleted by 50% in malnourished infants. Secondly, lysophosphatidylcholine (LPC) and lysophosphatidylethanolamine (LPE) are essential for brain development and growth as they carry fatty acid across the blood-brain barrier, via the major facilitator superfamily domain-containing protein 2A (Mfsd2a)<sup>39</sup>.

284 Phosphatidylcholine (PC) is a precursor to acetylcholine, an essential neurotransmitter for memory  
285 and cognitive function. Preliminary research suggests that higher levels of plasma PC35:6 may  
286 be associated with better cognitive function in older adults and individuals with Alzheimer’s  
287 disease<sup>40</sup>. Supplementing neuron differentiation medium with phosphatidylcholine reduces the  
288 impact of inflammatory stress and neuronal damage, increasing the numbers of healthy neurons  
289 and modulating neuronal plasticity<sup>41</sup>.

290 Propanoate has been demonstrated to be neuroprotective and induce neuroregeneration in the  
291 peripheral nervous system during inflammation induced neuropathy. Conversely, higher serum  
292 levels of propionic acid have been associated with increased odds of cognitive decline in a cohort  
293 of > 65 year French individuals<sup>42;43</sup>. Propanoate is a key precursor in lipid biosynthesis and  
294 can be metabolised to propionyl-CoA, which can subsequently be incorporated into sphingolipid  
295 biosynthesis pathways<sup>44</sup>. It remains possible that this is due to extensive metabolic and microbial  
296 programming during this period<sup>45</sup>.

297 Random Forest classification models trained on the gut microbiome, neuroimaging data, and the  
298 plasma lipidome accurately predicted the malnutrition condition. Combining SHAP values with  
299 feature co-occurrence analysis revealed the importance of *Bacteroides fragilis* as a keystone species  
300 for infant neurocognitive development. As there are less SMS, more of the ceramides are converted  
301 to hexaceramides. Sphingomyelinases (SMases) hydrolyse sphingomyelin, releasing ceramide and  
302 creating a cascade of bioactive lipids. These ceramides have been shown previously to be important  
303 for myelin sheath development and so a depletion in this area may impact brain maturation.

304 Recent studies have emphasised the significant role of the gut microbiome in mediating dietary  
305 effects on host physiology, in addition to its influence on the development and function of the  
306 nervous system<sup>46;47;48;49</sup>. Our cross-cohort analysis examined associations between infant mal-  
307 nutrition, altered brain function, and the infant microbiome. However, in the absence of causal  
308 animal studies, it remains unclear if the gut microbiome changes are a result of, or contribute  
309 causally to the wider malnutrition phenotype.



## 310 Conclusion

311 Collectively, integrative multi-omic study highlights associations between the gut microbiome,  
312 plasma lipids, brain connectivity, and cognitive function. The evidence we provide, may inform  
313 the development of meaningful, targeted and effective interventions for infants experiencing mal-  
314 nutrition.

## 315 Methods

### 316 Ethics

317 The M4EFaD intervention was registered NCT05629624 on clinicaltrials.gov. The study was  
318 approved by icddr,b Ethical Review Committee PR-21084 and the Bangladesh Directorate General  
319 of Drug Administration. Ethical review for the analytical component was obtained from Auckland  
320 Health Research Ethics Committee approval AH23922 (metabolomics, metagenomics, machine  
321 learning).

### 322 Study Design and Participants

323 The study was performed on the baseline data from three cohorts of infants who were enrolled  
324 (between Jan – December 2022) as part of the M4EFaD intervention within the Mirpur slum,  
325 Dhaka, Bangladesh. The cohort consisted of: a control group of 73 well-nourished children at 12  
326  $\pm 1$  months (WLZ z-score  $> -1$  SD); an intervention group of 156 children with WLZ  $< -2$  and  $>$   
327  $-3$  z-score, and/or MUAC  $< 12.5$  and  $> 11.5$  cm having MAM at 12  $\pm 1$  months; and an outcome  
328 reference group of 73 children with WHZ  $< -2$  and  $> -3$  z-score, and/or MUAC  $< 12.5$  and  $> 11.5$   
329 cm having stable MAM at 3 years  $\pm 2$ m. Inclusion criteria included a diagnosis of malnutrition,  
330 no history of chronic medical conditions, and no antibiotic use within the past month. The study  
331 protocol has been submitted for publication and is available on MedRxiv.

## 332 **Recruitment and anthropometric data collection**

333 Enrolment was initiated on February 7, 2022, and will continue until February 2024. Study  
334 surveillance workers (SWs) conducted a door-to-door census (approximately 100,000 households)  
335 in Mirpur DNCC wards ward 2, 3 and 5 between January and December 2022. Verbal consent  
336 was obtained to participate in the census. The census identified 5736 children aged between 11 to  
337 13 months and 2,314 children aged between 34 to 38 months. During the census, if the guardian  
338 verbally consented to the study procedure, and the babies met the inclusion and exclusion criteria  
339 of the study (Table 1), the SWs proceeded to measure the MUAC of the child. Mothers of  
340 babies who were within the MUAC range were invited to visit the icddr,b study clinic for further  
341 assessment and enrolment.

342 Final screening for eligibility and study consent occurred at the icddr,b Mirpur study clinic. The  
343 consenting process was tailored to each mother’s literacy level and involved reviewing the inclusion  
344 and exclusion criteria. Comprehension of the study was assessed using scripted points and open-  
345 ended questions.

346 Following consent, the clinical screening team completed a screening form, capturing the date of  
347 enrolment, sex, date of birth (DOB), weight (in kg), length/ height (in cm), head circumference  
348 (in cm), and Mid-Upper Arm Circumference MUAC measurements of the child. The WLZ/WHZ  
349 Z-score for each child was calculated using the WHO anthropometric calculator. The child’s age  
350 was validated using the EPI vaccination card. Neurological measures, Bailey scores, EEG data  
351 were collected upon enrolment to evaluate neurological development.

## 352 **EEG data collection and analysis**

353 Continuous scalp EEG was recorded using NetStation 4.5.4. and 128-channel Hydrocel Geodesic  
354 Sensor Nets modified to remove eye electrodes (Electrical Geodesics, Inc. (EGI), Eugene, OR,  
355 USA). Data was sampled at 500 Hz. Impedances were kept under 100 k $\Omega$  when possible and  
356 measured once at the beginning of the session, and again halfway through. Sessions were conducted  
357 in a dimly lit room with the participants sitting on the parent’s lap. The participants were  
358 separated from the research staff conducting the session by a curtain, but the testing area was not  
359 acoustically or electrically shielded. A second research staff member was present in the testing area

360 to help keep the participant engaged. EEG sessions consisted of 6 paradigms, i.e., resting state,  
361 visual working memory, flanker, disengagement, visual evoked potential, and auditory stimuli.  
362 The subsequent (pre-)processing steps were applied to the resting state data where participants  
363 watched a 3-minute video that featured toys.

364 EEG data were preprocessed offline with MatLab (R2021B) using the Harvard Automated Pro-  
365 cessing Pipeline for Electroencephalography (HAPPE) Version 3 (Gabard-Durnam et al., 2018).  
366 A specified subset of 30 channels was excluded ('E1', 'E8', 'E14', 'E17', 'E21', 'E25', 'E32', 'E38',  
367 'E43', 'E44', 'E48', 'E49', 'E56', 'E63', 'E68', 'E73', 'E81', 'E88', 'E94', 'E99', 'E107', 'E113',  
368 'E114', 'E119', 'E120', 'E121', 'E125', 'E126', 'E127', 'E128'). Data were downsampled to 250Hz,  
369 bandpass filtered (1-100Hz), and filtered using a 50Hz cleanline filter for line noise removal. Bad  
370 channels were then automatically identified and rejected, and wavelet-enhanced Independent Com-  
371 ponent Analysis (ICA) and the Multiple Artifact Rejection Algorithm (MARA) were performed  
372 to detect and impute artifacts. Resting state data were segmented into 2s epochs; epochs with an  
373 amplitude  $\geq \pm 150\text{mV}$  were rejected. Segments were also rejected using segment similarity criteria.  
374 Data were then re-referenced to the average of all channels.

375 EEG outputs from HAPPE were then reformatted and processed using the Batch Electroen-  
376 cephalography Automated Processing Platform (BEAPP) (Levin et al., 2018) to extract power  
377 spectra for each participant across the following frequency bands: delta (2-4Hz), theta (4-6Hz),  
378 low alpha (6-9Hz), high alpha (9-12Hz), beta (12-30Hz), and gamma (30-45Hz) and the follow-  
379 ing regions of interest (see Supp Figure 2): occipital ('E70', 'E71', 'E75', 'E76', 'E83'), temporal  
380 ('E36', 'E40', 'E41', 'E45', 'E46', 'E102', 'E103', 'E104', 'E108', 'E109'), parietal ('E52', 'E53',  
381 'E59', 'E60', 'E85', 'E86', 'E91', 'E92'), and frontal ('E5', 'E6', 'E12', 'E13', 'E24', 'E27', 'E28',  
382 'E33', 'E34', 'E112', 'E116', 'E117', 'E122', 'E123', 'E124'). Further, PSD values were normalized  
383 by a  $\log_{10}$  transform.

## 384 **Developmental Outcomes (Bayley)**

385 The Bayley Scales of Infant and Toddler Development, Fourth Edition (BSID-IV) cognitive, lan-  
386 guage, and motor subscales were administered to all participants. Research assistants were trained  
387 to research reliability in the administration and scoring of the Bayley-4. Due to cultural differences  
388 between the Bangladesh and the United States where the assessment was developed, Bangladeshi

researchers modified some assessment stimuli to improve cultural responsiveness and relevancy. For example, pictures for the item naming series and action naming series of the expressive language and receptive language subscales were adapted to include items that Bangladeshi children are more likely to be familiar with and bedtime clothing that would signify the child in the picture was going to sleep instead of the one-piece pajamas worn in the original picture, which the Bangladeshi children would not be familiar with.

## Biological sample collection

Stool samples were collected from each infant at their home at the baseline visit. Samples were collected in DNA/RNA Shield Fecal Collection Tubes (Zymo Research, #R1101) and stored at (RT? -20? -80C?). Peripheral venous blood samples were collected in EDTA Vacutainers, separated into plasma and RBCs and immediately frozen at -80 C. Batches of blood and stool samples were air-freighted on dry ice from Bangladesh to the Liggins Institute, New Zealand for processing and analysis.

## Microbiome DNA extraction and sequencing

DNA was extracted from stool samples using the ZymoBIOMICS MagBead DNA/RNA extraction kit (Zymo Research, #R2136) following the standard protocol. Samples (1mL) were mechanically lysed in bead bashing tubes using the MiniG tissue homogenizer prior to extraction of DNA. 200  $\mu$ L of the sample was used post-bead bashing for extraction of DNA following the protocol. A volume of 50  $\mu$ L of elute was collected in DNase/RNase Free Water. Samples with a DNA concentration  $< 14.5\text{ng}/\mu\text{L}$  were re-extracted following the ZymoBIOMICS DNA extraction protocol. Samples were sequenced (Illumina NovaSeq 150PE reads) to an average sequencing depth of 20M read-pairs/sample. Raw sequences were processed using BioBakery3 tools<sup>50</sup>, specifically read quality filtering and human decontamination with KneadData (Version 1), taxonomic profiling with MetaPhlAn3 (Version 3.1, using the mpa.v31.CHOCOPhAn\_201901 database) and functional profiling using presence/absence and abundance of microbial pathways with HUMAnN3 (Version 3.6). A minimum threshold of  $> 0.1\%$  relative abundance and  $> 5\%$  prevalence for all detected species was applied.

## 416 Plasma lipidomics

417 Plasma samples for lipidomics were thawed on ice and extracted according to a method modified  
418 from Liu et al. (2016) Liu et al.<sup>51</sup>. Briefly, 10  $\mu$ L volume was placed in an amber glass autosampler  
419 vial and 300  $\mu$ L of a mixture of Type 1 water, butanol, methanol, chloroform and SPLASH  
420 Lipidomix in a ratio of 4:15:15:20:1 was added. The mixture was vortexed and sonicated at  
421 room temperature before the protein precipitate was removed by centrifugation and an aliquot  
422 of supernatant transferred to an amber glass autosampler for negative ionisation LC-MS/MS.  
423 A second aliquot of supernatant was diluted 5 times with 75% IPA for positive ionisation LC-  
424 MS/MS. A 5  $\mu$ L volume of each sample was injected onto a Phenomenex Kinetex F5 column (100  
425 mm  $\times$  2.1 mm  $\times$  2.6  $\mu$ m) and lipids were separated using a ternary gradient of Type 1 water,  
426 methanol and isopropanol containing ammonium acetate. Lipids were quantified and identified  
427 with a Q-Exactive mass spectrometer (Thermo Fisher Scientific, Germany) equipped with a heated  
428 electrospray ionisation [HESI] source. Data was processed using MS-DIAL v4.92 92<sup>52</sup>. For full  
429 methodological details see the supplementary information.

## 430 Statistical Analyses

431 Python version 3.9.2 was used to perform all analysis<sup>53</sup>. Due to the unequal sample sizes and  
432 non-normally distributed data; non-parametric statistical approaches were used for differential  
433 abundance analysis. Relative abundances were adjusted by Centred Log Ratio to account for  
434 the compositional nature of the dataset<sup>54</sup>. Log adjusted fold change significance was measured  
435 using (MWU) test using the ‘mannwhitneyu’ function from ‘scipy.stats’ and adjusted for multiple  
436 testing using the ‘fdr correction’ function from statsmodels.stats.multitest. Principal Coordinates  
437 Analysis (PCoA) ordinations (plotted using ‘skbio.stats.ordination.pcoa’ module) were used to  
438 visualise the clustering of the Bray-Curtis dissimilarities (calculated using skbio.distance.pdist)  
439 between samples from their species and functional composition. To quantify the variance of the gut  
440 microbiome explained covariates, PERMANOVA p-values were calculated from those Bray-Curtis  
441 Dissimilarities using the ‘permanova’ function from the ‘skbio.stats.distance’ module. Bray-Curtis  
442 were also used to capture the temporal dynamics of the microbiome from baseline. Numerical  
443 Associations between species and metadata were measured with Spearman correlation (calculated  
444 using ‘spearmanr’ function from ‘scipy.stats’ module), where significance was defined as False

445 Discovery Rate (FDR) adjusted p-values of  $< 0.05$ <sup>55</sup>. Associations between categorical data were  
446 measured with Fisher’s Exact test (calculated using ‘fisher\_exact’ from ‘scipy.stats’ module), where  
447 significance was defined as p-values of  $< 0.05$ .

## 448 Machine learning

449 Machine learning models were used to classify malnourished from well-nourished infants. Extra-  
450 trees Random Forest models were trained on functional and microbial taxa relative abundances.  
451 Model hyperparameters including the number of trees in the forest, maximum tree depth, and  
452 minimum sample numbers needed to split internal nodes were tuned using grid searching. A 5-  
453 fold cross-validation was used to measure the performance of each hyperparameter combination  
454 and to identify overfitting. Model performance was measured with AUCROC and out-of-bag error  
455 analysis (oob). SHAP Value (SHapley Additive exPlanations) interpretation was used to interpret  
456 the contributions each feature had on the model’s performance using the ‘shap’ python package  
457 lundberg2017unified.

## 458 Network analysis

459 Absolute spearman rho of above 0.3 were used as edges and gut bacterial species and functional  
460 profiles, EEG, and plasma lipids were used as nodes coloured by their mean directional SHAP  
461 scores for classifier models that distinguish MAM from well-nourished conditions. Centrality and  
462 edge-betweenness were calculated with the ‘networkx’ python package REF.

## 463 Code availability

464 All analysis code is available on the GitHub repository. The codebase is organised into scripts,  
465 providing a comprehensive framework for replicating the experiments. Detailed documentation  
466 and instructions on how to use the code are provided in the repository’s README file.

## **467 Declarations**

## **468 Ethics approval and consent to participate**

469 Ethical approvals were obtained from the Research Review Committee (RRC; August 21, 2021)  
470 and Ethical Review Committee (ERC) of icddr,b (protocol no: PR-21084; September 21, 2021),  
471 Institutional Review Board of Boston Children’s Hospital, USA (for analyses of neuropsychological  
472 assessments), University of Auckland, New Zealand (approval AH23922; for analyses of collected  
473 biological samples) and University of West Indies (CREC-MN.51, 21/22).

## **474 Data availability**

475 Metagenome data is available at PRJNAXXX on the SRA. EEG and metadata are available from  
476 the authors, upon reasonable request that meets the ethics of the study.

## **477 Competing interests**

478 The authors declare that they have no competing interests.

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## Author Contributions

TP, KG and JOS drafted and co-wrote the manuscript. TS, SHK, BCW, BH, CP, AB, DH, IS, AME, RD, GG, CK, PDG, RH, TF, CAN commented on the manuscript. JMO, RH, TF, PDG, CAN designed the study and analyses. TS, SHK performed assessments and obtained samples in Dhaka. RH oversaw the Dhaka group. TP performed multiomic analyses, BCW and IS performed metagenomics, CP performed metabolomics, JOS oversaw the Auckland group. BH performed EEG analyses, CAN oversaw the Boston group.

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684 **Supplementary material**