# Linking the Gut Microbiome to Neurocognitive Development in Bangladesh Malnourished Infants

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# $\mathbf{Glossary}$

- <sup>22</sup> **FDR** False Discovery Rate.
- <sup>23</sup> **HC** Head Circumference.
- LMIC low- and middle- income countries.
- 25 MAM Moderate Acute Malnutrition.
- <sup>26</sup> MUAC Mid-upper arm circumference.
- 27 MWU Mann-Whitney U test.
- P/B Prevotella-to-Bacteroides.
- <sup>29</sup> **PCoA** Principal Coordinates Analysis.
- 30 PERMANOVA permutational multivariate analysis of variance.
- 31 SCFA Short Chain Fatty Acid.
- 32 SHAP SHapley Additive exPlanations.
- 33 WLZ/WHZ weight-for-length/height.

## <sup>34</sup> Key points:

- The gut microbiome of malnourished infants is compositionally distinct from well-nourished infants, characterised by a lower shannon diversity, higher *Prevotella*-to-*Bacteroides* ratio, and lower potential anaerobic pathways involved in the fermentation of pyruvate.
- Depletion of plasma lipids critical for brain development were negatively correlated with gut

  microbiome pathways, EEG power spectral density, and cognitive outcomes.
- There was a high level of commonality in the shared features between malnutrition and low expressive communication.

#### $_{\scriptscriptstyle{42}}$ Abstract

Malnutrition, affecting approximately 30 million infants annually, has profound immediate and enduring repercussions, with nearly half of child deaths under 5 linked to malnutrition. Survivors face lasting consequences, including impaired neurocognitive development, leading to cognitive and behavioural deficits, impacting academic performance and socioeconomic outcomes. Despite extensive literature on malnutrition's mechanisms spanning nutrition, infection, metabolism, microbiome, and genomics, knowledge gaps persist. This study employs AI random forest models to identify non-overlapping connections between the gut microbiome, plasma lipids, and EEG data, from infants with Moderate Acute Malnutrition (MAM) and well-nourished controls. Plasma lipids are significant contributors to the prediction of the MAMs condition. Bacteroides fragilis abundance, linked to fermentation pathways, emerges as a predictive factor for well-nourished infants. In conclusion, network analysis highlights the potential significance of targeted interventions in addressing both the short and long-term impacts of malnutrition.

# 55 Key words

Malnutrition, Gut microbiome, Neurocognitive development, Plasma lipidome, Random Forest classification models

# 58 Background

Malnutrition is a significant global health issue responsible for an estimated 45% of all child deaths worldwide, making it the leading cause of mortality among children under the age of five <sup>1</sup>. Malnutrition is characterised by delayed growth, proportionate reductions in mass of most organs and tissues, and alterations in tissue architecture <sup>2</sup>. Children who survive malnutrition are likely to suffer long-term consequences including impaired neurocognitive development, leading to long-term deficits in cognition and behaviour <sup>3</sup>. This consequently leads to poor school performance and economic prospects as an adult <sup>4</sup>. While much is known about the health, social, and economic ramifications of malnutrition, significant gaps in our knowledge remain. One crucial gap is the

contribution of the gut microbiome to the pathology of malnutrition in addition to its impact on brain and cognitive development.

The human gut microbiome is a complex ecosystem comprised of the microorganisms lining the intestinal tract, including bacteria, viruses, fungi, and archaea. Infancy represents a sensitive period in gut microbiome formation as the gut microbiome changes drastically over this time 5. Importantly, many aspects of malnutrition including host nutritional status, dietary intake, antibiotic administration, and infections impact the diversity, composition, and functionality of the microbiome <sup>6;7</sup>. To this end, several studies in low- and middle- income countries low- and middle- income countries (LMIC) have shown differences in gut microbiome profiles between malnourished infants, compared to well-nourished infants, had higher abundances of Bifidobacterium and Escherichia species <sup>10</sup>. Beyond the correlational and descriptive evidence presented, work using mouse models point to a possible causal role of the gut microbiome in growth and weight gain, as mice colonized using fecal microbial transplantation with samples from malnourished children, but not well-nourished controls, showed impairments in weight gain and growth <sup>11</sup>. Critically, perturbations of the gut microbiome associated with malnourishment may have downstream consequences for brain and cognitive development <sup>12;13</sup>.

Malnutrition, like the gut microbiome, is associated with neurocognitive impairments thought to result from structural and functional changes to the brain <sup>14</sup>;15;16;17;18;19. More specifically, several studies conducted in healthy infants living in upper-middle-income countries have shown that the gut microbiome is associated with cognitive and brain development; although the directionality remains unclear with both increased and decreased gut microbiota alpha diversity being linked to positive cognitive outcomes and neural development <sup>13</sup>;15;20;21. Previous research has suggested that malnutrition may be associated with alterations in the gut microbiome, including changes in the composition and diversity of the microbial community <sup>22</sup>. Moreover, alterations in the gut microbiome may contribute to negative neurological outcomes observed in malnourished infants, potentially through the disruption of nutrient absorption or the generation of toxic metabolites <sup>23</sup>. Very few studies have examined the link between the gut microbiome and cognition in malnourished children. One notable exception is a randomized control trial of nutrition, stimulation, and hygiene education in a group of rural Ugandan mothers and their infants who were moderately stunted (HAZ scores between -2 and -3 SD). Across a series of studies conducted from 2 years to

<sup>98</sup> 3 years of age there were mixed findings with some species such as Bifidobacterium longum found <sup>99</sup> to associate with language impairment assessed using the Bayley Scales of Infant and Toddler <sup>100</sup> Development and other developmental assessments but at other time points no associations were <sup>101</sup> found <sup>17;24;25</sup>. Therefore, more work is needed to understand how the gut microbiome mediates <sup>102</sup> that association between malnourishment and cognitive development.

Another mechanism by which brain and behavioural development may be impacted by malnutri-103 tion is through the circulating plasma lipidome <sup>26</sup>;27. Several circulating plasma lipids including 104 cholesterol, phosphatidylcholines, phosphatidylethanolamine, and sphingolipids compromise 50% 105 of the dry weight of the brain and have unique roles in neurological structure and function <sup>28</sup>. 106 The brain relies upon nutrients circulating in the blood for its supply of resources. Moreover, 107 the blood brain barrier which plays a crucial role in regulating which circulating lipids enter and 108 exit the brain area is impaired by malnutrition <sup>29</sup>. Circulating plasma lipids represent a means of communication between the gut microbiome and the brain 30 and therefore represent a potential 110 mechanism of influence.

Given the importance of the composition and functions of the gut microbiome in maintaining 112 overall health, there has been increasing interest in understanding how its alterations may con-113 tribute to malnutrition and its associated impacts on infant neurocognitive development. The 114 present study examines the impact of malnutrition on the composition of the infant gut micro-115 biome, plasma lipidome, neural activity, and cognitive outcomes in a cross-sectional cohort of 116 well-nourished and malnourished 12-month-old Bangladeshi infants. Random forest models were 117 used to integrate deeply phenotyped multi-modal data and identify correlations that provide pu-118 tative mechanistic insights into developmental delays the result from malnutrition. Overall, this study provides important information about gut-blood-brain-behaviour links in infants impacted by malnutrition.

#### 2 Results

## 123 Study population characteristics

As a city with the second highest density of population and in a country with childhood malnutrition rate is one of the highest globally, the Mirpur region in Dhaka, Bangladesh was chosen 125 to assess the impact of early-life malnutrition <sup>31</sup>. 156 infants with Moderate Acute Malnutrition (MAM) and 74 well-nourished controls at 12 months of age were recruited from this region (Fig-127 ure 1a). MAM was defined according to WHO guidelines, using a threshold between two and three 128 standard deviations below the mean z-score for weight-for-length/height (WLZ/WHZ)<sup>32</sup>. Confounding variables to measures of MAM (WLZ/WHZ, Mid-upper arm circumference (MUAC), Weight, and Head Circumference (HC)) were measured using Fisher's exact test for categorical 131 variables and Mann-Whitney U test (MWU) for continuous variables. Significant confounding 132 variables were the principal toilet used (Septic-tank/toilet), water treatment method (Boil), toilet facility (shared with other households), how long they lived in current household, mother's in-134 come, years of father education, father's education level, monthly total expenditure, and mother's occupation (housewife).

Malnutrition is associated with a higher Prevotella-to-Bacteroides (P/B)
ratio and lower pyruvate fermentation potential in the gut of Bangladeshi
infants

- It was hypothesised that malnutrition impacts the diversity and composition of the infant gut microbiome in this cohort. Stool metagenomes were extracted, analysed (shotgun metagenomic sequencing,  $40.53 \pm 8.5$  million reads with no significant difference between MAM and well-nourished (MWU p=0.71)) and profiled according to their species and functional compositions. Across all samples, we detected 3 kingdom, 17 phylum, 31 class, 51 order, 100 family, 226 genus, 749 species, 611 Functional Pathways, and 2,828,874 Gene Families.
- There was a mean species richness of  $50.3 \pm 16.4$  per sample, commensurate with other infants at that age group. Malnutrition was associated with a lower Shannon diversity (MWU p=0.025) and Pielou's evenness (MWU p = 0.009) than their well-nourished counterparts (Fig-

Table 1: Baseline infant characteristics. Plus minus values are means +- SD and their pvalues are calculated using MWU. All other values are categorical and their pvalues are calculated using Fishers Exact test.

	Malnourished (n=156)	Well-nourished (n=73)	pval
Principal toilet used: Septic-tank/toilet	103 (66.0%)	66 (90.4%)	0.000
Water treatment method: Boil	70 (44.9%)	50 (68.5%)	0.000
WLZ_WHZ (z-score)	$-2.24 \pm 0.26$	$-0.22 \pm 0.48$	0.000
MUAC (cm)	$12.4 \pm 0.49$	$14.27 \pm 0.6$	0.000
Weight (kg)	$6.81 \pm 0.53$	$8.59 \pm 0.69$	0.000
HC (cm)	$43.01 \pm 1.32$	$43.93 \pm 1.35$	0.000
Other expenses	$1743.59\pm2154.34$	$2928.77\pm3554.01$	0.001
Toilet facility shared with other households	128 (82.1%)	48 (65.8%)	0.010
How long lived in current household (years)	$5.44 \pm 6.33$	$3.86 \pm 5.28$	0.012
Mothers income	$1395.51 \pm 3073.98$	$616.44 \pm 2072.51$	0.022
Years of Father Education	$5.01 \pm 3.67$	$6.41 \pm 4.29$	0.031
Fathers education level	$4.93 \pm 3.5$	$6.19 \pm 3.83$	0.031
Monthly Total expenditure (taka)	$14820.83\pm6409.76$	$18495.89\pm10919.18$	0.037
Mothers occupation: Housewife	115 (73.7%)	63 (86.3%)	0.040
Household head's income	$14378.21\pm6418.82$	$18958.9 \pm 18216.63$	0.064
Place of Cooking: Outdoors	99 (63.5%)	37 (50.7%)	0.080
Days after birth	$355.6 \pm 17.33$	$359.55 \pm 15.96$	0.095
Family expenditure	$10060.9\pm4790.9$	$12164.38 \pm 7675.29$	0.115
Birth order of enrolled child among live births	$1.78 \pm 0.99$	$1.58 \pm 0.82$	0.150
Female	74 (47.4%)	28 (38.4%)	0.200
Household members using mobile phone	$2.06 \pm 0.98$	$2.3 \pm 1.19$	0.254
Drain	77 (49.4%)	42 (57.5%)	0.260
Household: Own house	46 (29.5%)	27 (37.0%)	0.290
Before eating: Soap	95 (60.9%)	50 (68.5%)	0.300
Nuclear family	90 (57.7%)	48 (65.8%)	0.310
Total monthly Income (taka)	$19537.18\pm8697.86$	$25253.42\pm21158.65$	0.338
Number of siblings under 5 years	$0.56 \pm 0.84$	$0.56 \pm 0.62$	0.358
Mother education level	$5.53 \pm 3.26$	$6.18 \pm 3.68$	0.359
Years of Mother Education	$5.58 \pm 3.38$	$6.42 \pm 4.19$	0.359
Before feeding child: Soap	75 (48.1%)	40 (54.8%)	0.400
Number of people sleeping in household	$4.9 \pm 1.71$	$4.78 \pm 1.67$	0.436
Number of members in your household	$4.9 \pm 1.71$	$4.78 \pm 1.67$	0.436
Number of living children	$1.86 \pm 1.01$	$1.88 \pm 0.87$	0.553
Number of rooms in current household	$1.53 \pm 0.81$	$1.59 \pm 0.85$	0.566
Other member's income	$3011.54 \pm 5693.41$	$4945.21\pm9937.15$	0.874
Other sources income	$751.92\pm3206.54$	$732.88 \pm 2645.86$	0.879

ure 1, ???). These differences in diveristy were underscored by a significant difference in the BrayCurtis dissimilarity (permutational multivariate analysis of variance (PERMANOVA), R<sup>2</sup>=2.22,
p=0.008), as a consequence of the differential abundance of 6/350 species (1.7%) (Figure 1b,
???). There was a greater prevalence and abundance of 5 species including *Prevotella copri*(Log<sub>2</sub>(MAM/well-nourished) = 0.64, MWU. p=0.020, MWU. p=0.004) and *Streptococcus sali-*

varius (Log2(MAM/Well-nourished) = 2.39, MWU p=0.0005, q=0.032)) in microbiomes from 154 MAM infants, compared to well-nourished controls (Figure 1c). The increases in P. copri and S. Salivarius, were reciprocally associated with the depletion and reduction in the prevalence of the sphingolipid-producing species Bacteroides fragilis within the MAM microbiome (Log2(MAM/Wellnourished=1.20, MWU.p=0.021, q=0.49). This reciprocal relationship was observed as a trend in 158 increase to the P/B ratio of the MAM infants (Log2(MAM/Well-nourished)=2.81, MWU=0.064) 159 (Figure 1d). Functional pathway analyses revealed no significant differences in the composition 160 of the overall functionome between MAM and well-nourished controls (PERMANOVA, R <sup>2</sup>=8.76, 161 p=0.365). After false discovery rate adjustment there were no significant differences in the pathway relative abundances (supp table). However, 19/525 pathways were differentially abundant 163 using MWU without FDR adjustment between the conditions (Figure 1e, Supplementary Table X). Specifically, MAM gut microbiomes had an over-representation of pathways involved in branch 165 chain amino acid biosynthesis (Log2(well-nourished/MAM)=-0.13, MWU. p=0.004) including Lvaline and L-isoleucine (I, III)), fatty acid synthesis (PWY-7117 (Log2(well-nourished/MAM)=-167 0.13, MWU p=0.004) including, PWY0-862, PWY-7664, and PWY-6282), and sucrose/glucose 168 degradation (PWY-5384 (Log2(well-nourished/MAM)=-0.19, MWU p=0.009)). Conversely, there 169 was a decrease in relative abundance anaerobic pathways including <mark>isopropanol biosynthesis</mark> (Log2(well-170 nourished/MAM)=1.03, MWU p=0.020) and pyruvate fermentation pathways to the Short Chain 171 Fatty Acid (SCFA) propionate ( $\frac{\text{Log2(well-nourished/MAM)}}{\text{Log2(well-nourished/MAM)}} = 0.37$ , MWU p=0.017) within the 172 MAM infant's gut.

#### 174 Malnutrition impacts brain activity and expressive communication

Malnourished children often present with long-term impairments in neural and cognitive development. Resting state electroencephalography (EEG) assessments of participants were performed
to enable investigation of the impacts of malnutrition on brain activity (Supp Table X,Y). After
exploratory comparisons between EEG PSD between infants with MAM and our well-nourished
controls, we will subsequently focus on the high-alpha (9-12 Hz), beta (12-30 Hz) and gamma
(30-45 Hz) frequency bands distributed across occipital, temporal and frontal regions of interest.
These bands are generally associated with concentration, alertness, and higher mental activity and
were observed to have higher amplitudes in the well-nourished infants compared to infants with
MAM (supp table).

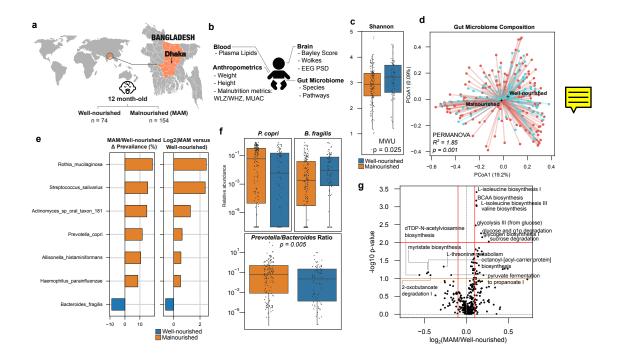


Figure 1: Malnutrition impacts the 12-month-old infant gut microbiome. a) Schematic of study design. b) Summary of data collected. c) Change in diversity of the gut microbiome associated with malnutrition. d) PCoA Scatterplot of Bray-Curtis beta diversities of samples (each marker is a single sample). e) Barplot of significant taxonomic differences in relative abundance and prevailance between 12-month-old well-nourished and MAM samples (MWU p < 0.05). f) Boxplot of P/B ratio change between study conditions. g) Volcano plot of pathways affected by malnutrition (upper left and upper right quadrants signify significant changes where the red horizontal line signifies MWU q < 0.05 and vertical lines represent log2 fold change of -0.1 and 0.1 respectively).

We used the Bayley Scales of Infant and Toddler Development Fourth Edition (BSID-IV; Bayley & Aylward, 2019) to assess development in our cohorts. When compared to well-nourished infants, there was a significant reduction in the Expressive Communication score in the MAM infants (Log2(well-nourished/MAM)=0.10, MWU. p=0.02). Expressive communication is a measure of how well a child communicates with others (Figure 2). However, there were no significant differences in receptive language, cognitive, or motor abilities.

# Malnutrition is associated with a reduction in circulating lysolipids and ceramides

Adequate nutrition in infants is characterised by healthy circulating concentrations of metabolites, including lipids, involved in growth and development <sup>33</sup>. Therefore, we used discovery LC-MS/MS

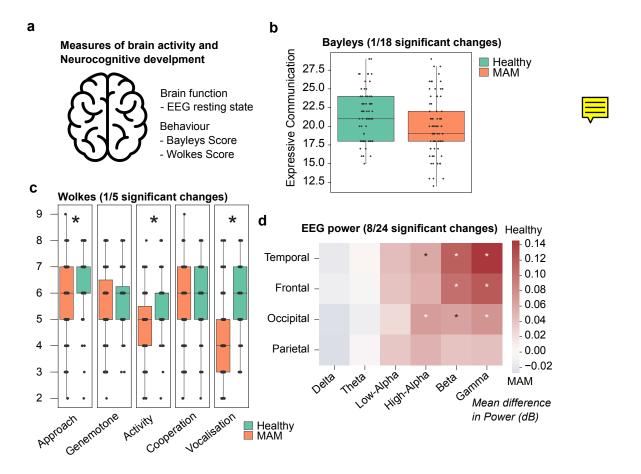


Figure 2: Differences in cognitive development of 12-month-old infants associate with malnutrition. a) Schematic of approach to study neurocognitive function. b) Boxplot of significant difference in Expressive Communication Score of the children with malnutrition compared to well-nourished controls. c) Heatmap of lobe and frequency specific changes in EEG resting state power spectral density (PSD) in MAM versus well-nourished infants. \* = MWU q < 0.05.

to characterise and quantify the levels of 1041 lipids in plasma samples in our cohort of MAM and

### well-nourished infants (Figure 3).

Malnutrition was associated with significant changes (309/1041 - 30%) to the plasma lipidome. Of
these changes, 140 (13%) plasma lipidome compounds increased and 169 (16%) decreased in concentration (Figure 3, supp table). We identified a reduction in the abundance of three lipid classes
with diverse functions, including two that are known to be specific to neurological development
and function (i.e. the long chain ceramide Cer 31:5;O2 (Log2(well-nourished/MAM)=2.44, MWU
q=2.51e-8) and the lactosylceramide hex2cer 34:1 (Log2(well-nourished/MAM)=1.50, MWU q=0.004)).
By contrast, long chain sphingomyelins (SM 44:3;O2, Log2(well-nourished/MAM)=-1.47, MWU

- q=5.81e-5) and others were observed to increase in relative concentration in malnourished infants.
- <sup>204</sup> Several lysophospholipids from the Lysophosphatidylcholine (LPC), and Lysophosphatidylethanolamine
- <sup>205</sup> (LPE) classes were enriched in well-nourished infant plasma.

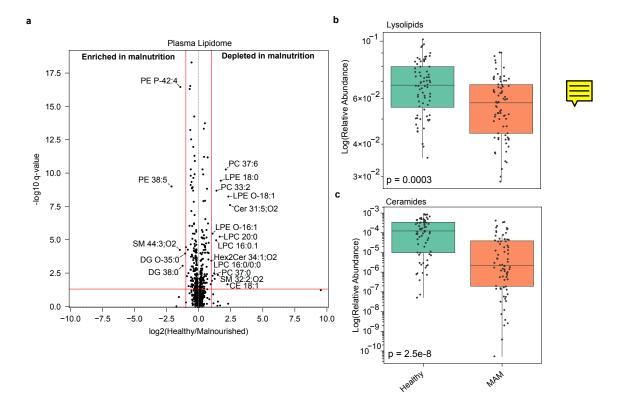


Figure 3: Malnutrition results in major, compositional differences in plasma lipids in 12-montholds. Volcano plot changes to plasma lipids between well-nourished and MAM 1-year-olds. (Upper left and upper right quadrants signify significant changes where the red horizontal line signifies MWU q < 0.05 and vertical lines represent log2 fold change of -0.1 and 0.1 respectively). b) Lipidome class analysis. c) Ceramide abundance differences.

# Multimodal Random Forest classifiers for malnutrition reveal cross mode influences

- Having established the existence of changes associated with malnutrition across the gut micro-
- biome, brain, and plasma lipids, the relative importance of changes in each of these domains for

the prediction of malnutrition was measured. Individual and multimodal Random Forest classifiers were trained, using gut microbiome taxonomic and functional neuroimaging (EEG), lipidome and behavioural data (Bayley scale scores), to predict malnutrition in 12-month-old infants.

Within the predictors trained on individual feature sets, plasma lipids (AUCROC=1.00, oob=1.00)
were the best predictor of malnutrition in 1-year-old infants, followed by brain/behavioural metrics (i.e., EEG, and Bayley AUCROC=0.83, oob=0.64), and the gut microbiome taxonomic and functional profiles (AUCROC=0.59, oob=0.59).

Ensemble models were trained on the combined dataset (i.e. gut microbiome taxonomic, gut mi-217 crobiome functional, neuroimaging (EEG), lipidome and Bayley scale scores; METHODS) using 218 10-fold cross validation. SHAP scoring interpretation was performed to understand the workings 219 of these models and importance of the features without the assumption of linearity of relationship between features (Figure 4). Those features that changed significantly were more likely 221 to have high importance for the model prediction, (supp table). Comparison with the individual models indicated that inclusion of the other datasets into the ensemble models lead to 223 the identification of non-linear features that contributed to the predictive power of the micro-224 bial species within the classification model. For example, these included MAM depleted Fae-225 calibacerium prausnitzii (SHAP(well-nourished/MAM)=-0.0076), and Odoribacter splanchnicus 226 (SHAP(well-nourished/MAM)=-0.0063) or MAM enriched Bifidobacterium breve (SHAP(well-227 nourished/MAM)=0.0074), and Haemophilus parainfluenzae (SHAP(well-nourished/MAM)=0.0065).

# Network Analysis reveals the importance of *Bacteroides fragilis* in infant neurocognitive development

Network analysis is a useful tool to understand complex systems that emerge from interactions between multiple components. To better understand the complexities of feature changes and correlations between the EEG, behavior, microbial species and functions, and plasma metabolites, we mapped out their architecture using co-abundant network analysis. Spearman correlation of the features that were altered by malnutrition was calculated, filtered by significance (q < 0.05) (1052/3906 correlations, supp table). Finally, only edges with a spearman rho cut-off of > 0.2 were used to construct the network.

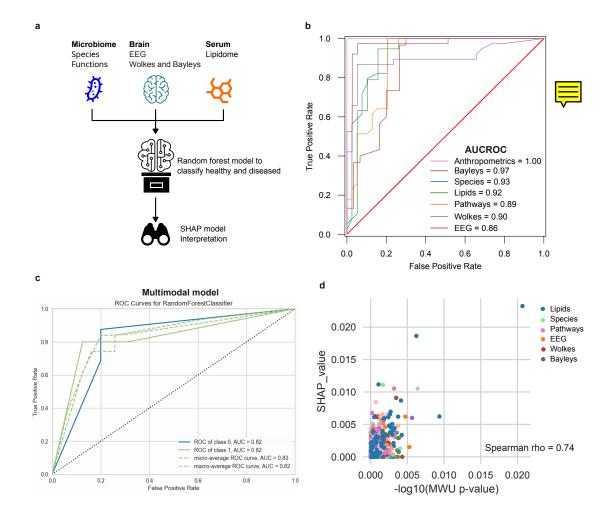


Figure 4: Integration of multimodal datasets boosts the predictive power and affects the relative feature importance of random forest models predicting nutritional status. a) Schematic describing interpreted multimodal approach to predict malnutrition. b) AUCROC curves showing relative predictive power of each modal dataset on predicting nutritional status. c) Multimodal model predicts malnutrition accurately. d) The multimodal model captures non-linear interactions between the features as demonstrated by the SHAP score distribution.

Important features (ie. mean absolute SHAP score > 0.002) were more likely to be significantly correlated (q < 0.05) with one another and had greater measures of Betweenness Centrality (Figure 5, Supplementary Table X) than unimportant features (mean absolute SHapley Additive exPlanations (SHAP) < 0.002). Plasma lipids that were enriched/depleted in the MAM condition (Supplementary Table X) were positively correlated with the anthropometric measures WLZ/WHZ, MUAC, and weight. Unsurprisingly, cluster analyses revealed that those features which were different between MAM and well-nourished were positively correlated with each other (i.e., change in the same direction, supp table). We identified a subcluster of Bacteroides fragilis,

pyruvate fermentation pathways, plasma ceramides, EEG PSD and Expressive Communication that was highly correlated with the well-nourished state (Figure 5, supp table). Those plasma lipids that were depleted (MWU. qi0.05, Log2(MAM/well-nourished)i0) from the MAM infant samples were also positively correlated with EEG PSD amplitudes. Notably, EEG metrics were also correlated with bacterial pyruvate fermentation pathways that correlated with B. fragilis relative abundance. Conversely, we identified a highly correlated subcluster of P. copri, glycolysis, peptidoglycan biosynthesis, and BCAA pathways, and plasma sphingomyelins that are associated with the MAM condition.

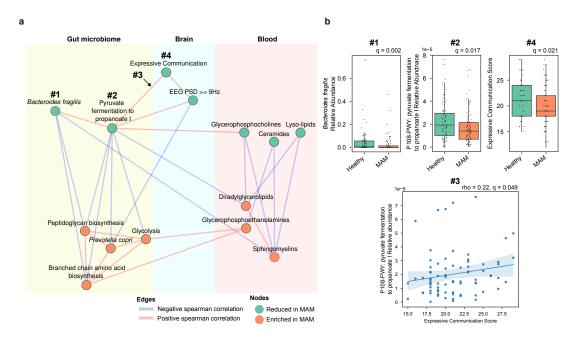


Figure 5: Bacteroides fragilis forms a network with propanoate synthesis, EEG and expressive communication that is anti-correlated with a Prevotela copri focused cluster of features in healthy and malnourished individuals. a) Network illustrating inter-relationships of feature associations that predict malnutrition. Inclusion in the network requires both a SHAP score for the node (> 0.6) and a significant Spearman rho score for the correlation of q < 0.05. Nodes are features coloured by their enrichment in MAM (orange and green are enriched and depleted in MAM respectively). Edges are spearman correlations coloured red and blue being positively and negatively correlated respectively. b) Evidence for relative abundance of B. fragilis (#1), pyruvate fermentation to propanoate I pathway relative abundance (#2), correlation between pyruvate fermentation to propanoate I pathway and Expressive communication (#3), Expressive communication score distributions (#4).

#### Discussion

A central goal of this study was to obtain a better understanding of how disturbances in hostmicrobiome interactions impact neurocognitive development in malnutrition. We observed that 256 at the time of the malnutrition diagnosis and before administration of therapeutic feeds, malnu-257 trition was characterised by a higher P/B ratio and lower anaerobic pathways such as pyruvate 258 fermentation potential in the gut. Prevotella rich microbiomes have been typically understudied 259 due to their underrepresentation in non LMIC  $^{34}$ . This ratio has previously been implicated in diet and lifestyle in adults<sup>35</sup> and Bacteroides have been observed previously to be depleted in 261 Bangladesh infants 18. Other studies of malnutrition have shown a decrease in alpha diversity which was unobserved in our population <sup>18</sup>. Accelerated ageing of the gut microbiome, as indicated by the presence of specific markers such as P. copri and Bifidobacterium adolescentis, is one 264 possible hypothesis for the differential *Prevotella* abundance. Alternatively, selective microbiome community driven interactions might explain the inverse correlations that were observed between 266 P. copri and Bifidobacterium longum and B. breve. B. longum and other anaerobic species have 267 been previously linked to moderate and severe acute malnutrition in Bangladesh 36;37. 268

Comparisons of the MAM and control infants identified deficits both in neural activity and expressive communication that were associated with the malnourished condition. When investigating
differences in neural activity, disruptions were evident for higher frequency power bands (alpha,
beta, and gamma) but not lower frequency bands (delta and theta) in frontal, temporal and occipital areas. Therefore, we conclude that changes in expressive language are early and readily
assessed indicators of long-term developmental consequences associated with MAM.

The plasma lipidomes of malnourished children were substantially different from those of controls, with significant differences in the levels of ceramides and lysolipids (i.e. lipid derivatives in which one or both acyl derivatives have been removed by hydrolysis). Numerous specific changes stand out as being potentially important for neural development. Firstly, lactosylceramide (hex2cer 34:1) is an essential precursor for synthesis of all complex glycosphingolipids 38 that was depleted by 50% in malnourished infants. Secondly, lysophosphatidylcholine (LPC) and lysophosphatid-lylethanolaine (LPE) are essential for brain development and growth as they carry fatty acid across the blood-brain barrier, via the major facilitator superfamily domain-containing protein 2A (Mfsd2a) 39.

Phosphatidylcholine (PC) is a precursor to acetylcholine, an essential neurotransmitter for memory and cognitive function. Preliminary research suggests that higher levels of plasma PC35:6 may be associated with better cognitive function in older adults and individuals with Alzheimer's disease <sup>40</sup>. Supplementing neuron differentiation medium with phosphatidylcholine reduces the impact of inflammatory stress and neuronal damage, increasing the numbers of healthy neurons and modulating neuronal plasticity <sup>41</sup>.

Propranoate has been demonstrated to be neuroprotective and induce neuroregeneration in the
peripheral nervous system during inflammation induced neuropathy. Conversely, higher serum
levels of propionic acid have been associated with increased odds of cognitive decline in a cohort
of > 65 year French individuals <sup>42;43</sup>. Propanoate is a key precursor in lipid biosynthesis and
can be metabolised to propionyl-CoA, which can subsequently be incorporated into sphingolipid
biosynthesis pathways <sup>44</sup>. It remains possible that this is due to extensive metabolic and microbial
programming during this period <sup>45</sup>.

Random Forest classification models trained on the gut microbiome, neuroimaging data, and the
plasma lipidome accurately predicted the malnutrition condition. Combining SHAP values with
feature co-occurrence analysis revealed the importance of *Bacteroides fragilis* as a keystone species
for infant neurocognitive development. As there are less SMS, more of the ceramides are converted
to hexaceramides. Sphingomyelinases (SMases) hydrolyse sphingomyelin, releasing ceramide and
creating a cascade of bioactive lipids. These ceramides have been shown previously to be important
for myelin sheath development and so a depletion in this area may impact brain maturation.

Recent studies have emphasised the significant role of the gut microbiome in mediating dietary
effects on host physiology, in addition to its influence on the development and function of the
nervous system 46;47;48;49. Our cross-cohort analysis examined associations between infant malnutrition, altered brain function, and the infant microbiome. However, in the absence of causal
animal studies, it remains unclear if the gut microbiome changes are a result of, or contribute
causally to the wider malnutrition phenotype.

## 310 Conclusion

Collectively, integrative multi-omic study highlights associations between the gut microbiome, plasma lipids, brain connectivity, and cognitive function. The evidence we provide, may inform the development of meaningful, targeted and effective interventions for infants experiencing malnutrition.

#### 315 Methods

#### 316 Ethics

The M4EFaD intervention was registered NCT05629624 on clinicaltrials.gov. The study was approved by icddr,b Ethical Review Committee PR-21084 and the Bangladesh Directorate General of Drug Administration. Ethical review for the analytical component was obtained from Auckland Health Research Ethics Committee approval AH23922 (metabolomics, metagenomics, machine learning).

#### 322 Study Design and Participants

The study was performed on the baseline data from three cohorts of infants who were enrolled (between Jan – December 2022) as part of the M4EFaD intervention within the Mirpur slum, Dhaka, Bangladesh. The cohort consisted of: a control group of 73 well-nourished children at 12  $\pm$  1 months (WLZ z-score > -1 SD); an intervention group of 156 children with WLZ < -2 and > -3 z-score, and/or MUAC < 12.5 and > 11.5 cm having MAM at 12  $\pm$  1 months; and an outcome reference group of 73 children with WHZ < -2 and > -3 z-score, and/or MUAC < 12.5 and > 11.5 cm having stable MAM at 3 years  $\pm$  2m. Inclusion criteria included a diagnosis of malnutrition, no history of chronic medical conditions, and no antibiotic use within the past month. The study protocol has been submitted for publication and is available on MedRxiv.

#### Recruitment and anthropometric data collection

Enrolment was initiated on February 7, 2022, and will continue until February 2024. Study surveillance workers (SWs) conducted a door-to-door census (approximately 100,000 households) in Mirpur DNCC wards ward 2, 3 and 5 between January and December 2022. Verbal consent was obtained to participate in the census. The census identified 5736 children aged between 11 to 13 months and 2,314 children aged between 34 to 38 months. During the census, if the guardian verbally consented to the study procedure, and the babies met the inclusion and exclusion criteria of the study (Table 1), the SWs proceeded to measure the MUAC of the child. Mothers of babies who were within the MUAC range were invited to visit the icddr,b study clinic for further assessment and enrolment.

Final screening for eligibility and study consent occurred at the icddr,b Mirpur study clinic. The
consenting process was tailored to each mother's literacy level and involved reviewing the inclusion
and exclusion criteria. Comprehension of the study was assessed using scripted points and openended questions.

Following consent, the clinical screening team completed a screening form, capturing the date of
enrolment, sex, date of birth (DOB), weight (in kg), length/ height (in cm), head circumference
(in cm), and Mid-Upper Arm Circumference MUAC measurements of the child. The WLZ/WHZ
Z-score for each child was calculated using the WHO anthropometric calculator. The child's age
was validated using the EPI vaccination card. Neurological measures, Bailey scores, EEG data
were collected upon enrolment to evaluate neurological development.

#### 52 EEG data collection and analysis

Continuous scalp EEG was recorded using NetStation 4.5.4. and 128-channel Hydrocel Geodesic Sensor Nets modified to remove eye electrodes (Electrical Geodesics, Inc. (EGI), Eugene, OR, USA). Data was sampled at 500 Hz. Impedances were kept under 100 k $\Omega$  when possible and measured once at the beginning of the session, and again halfway through. Sessions were conducted in a dimly lit room with the participants sitting on the parent's lap. The participants were separated from the research staff conducting the session by a curtain, but the testing area was not acoustically or electrically shielded. A second research staff member was present in the testing area

to help keep the participant engaged. EEG sessions consisted of 6 paradigms, i.e., resting state, visual working memory, flanker, disengagement, visual evoked potential, and auditory stimuli.
The subsequent (pre-)processing steps were applied to the resting state data where participants watched a 3-minute video that featured toys.

EEG data were preprocessed offline with MatLab (R2021B) using the Harvard Automated Pro-

cessing Pipeline for Electroencephalography (HAPPE) Version 3 (Gabard-Durnam et al., 2018). 365 A specified subset of 30 channels was excluded ('E1', 'E8', 'E14', 'E17', 'E21', 'E25', 'E32', 'E38', 366 'E43', 'E44',' E48', 'E49', 'E56', 'E63', 'E68', 'E73', 'E81', 'E88', 'E94', 'E99', 'E107', 'E113', 367 'E114', 'E119', 'E120', 'E121', 'E125', 'E126', 'E127', 'E128'). Data were downsampled to 250Hz, 368 bandpass filtered (1-100Hz), and filtered using a 50Hz cleanline filter for line noise removal. Bad channels were then automatically identified and rejected, and wavelet-enhanced Independent Com-370 ponent Analysis (ICA) and the Multiple Artifact Rejection Algorithm (MARA) were performed to detect and impute artifacts. Resting state data were segmented into 2s epochs; epochs with an 372 amplitude ¿±150mV were rejected. Segments were also rejected using segment similarity criteria. Data were then re-referenced to the average of all channels.

EEG outputs from HAPPE were then reformatted and processed using the Batch Electroencephalography Automated Processing Platform (BEAPP) (Levin et al., 2018) to extract power
spectra for each participant across the following frequency bands: delta (2-4Hz), theta (4-6Hz),
low alpha (6-9Hz), high alpha (9-12Hz), beta (12-30Hz), and gamma (30-45Hz) and the following regions of interest (see Supp Figure 2): occipital ('E70', 'E71', 'E75', 'E76', 'E83'), temporal
('E36', 'E40', 'E41', 'E45', 'E46', 'E102', 'E103', 'E104', 'E108', 'E109'), parietal ('E52', 'E53',
'E59', 'E60', 'E85', 'E86', 'E91', 'E92'), and frontal ('E5', 'E6', 'E12', 'E13', 'E24', 'E27', 'E28',
'E33', 'E34', 'E112', 'E116', 'E117', 'E122', 'E123', 'E124'). Further, PSD values were normalized
by a log10 transform.

#### Developmental Outcomes (Bayley)

The Bayley Scales of Infant and Toddler Development, Fourth Edition (BSID-IV) cognitive, language, and motor subscales were administered to all participants. Research assistants were trained to research reliability in the administration and scoring of the Bayley-4. Due to cultural differences between the Bangladesh and the United States where the assessment was developed, Bangladeshi

researchers modified some assessment stimuli to improve cultural responsiveness and relevancy.
For example, pictures for the item naming series and action naming series of the expressive language and receptive language subscales were adapted to include items that Bangladeshi children are more likely to be familiar with and bedtime clothing that would signify the child in the picture was going to sleep instead of the one-piece pajamas worn in the original picture, which the Bangladeshi children would not be familiar with.

#### 395 Biological sample collection

Stool samples were collected from each infant at their home at the baseline visit. Samples were collected in DNA/RNA Shield Fecal Collection Tubes (Zymo Research, #R1101) and stored at (RT? -20? -80C?). Peripheral venous blood samples were collected in EDTA Vacutainers, separated into plasma and RBCs and immediately frozen at -80 C. Batches of blood and stool samples were air-freighted on dry ice from Bangladesh to the Liggins Institute, New Zealand for processing and analysis.

#### 402 Microbiome DNA extraction and sequencing

DNA was extracted from stool samples using the ZymoBIOMICS MagBead DNA/RNA extraction kit (Zymo Research, #R2136) following the standard protocol. Samples (1mL) were mechanically 404 lysed in bead bashing tubes using the MiniG tissue homogenizer prior to extraction of DNA. 200 μL of the sample was used post-bead bashing for extraction of DNA following the protocol. A volume of 50 µL of elute was collected in DNAse/RNAse Free Water. Samples with a DNA con-407 centration < 14.5ng/μL were re-extracted following the ZymoBIOMICS DNA extraction protocol. Samples were sequenced (Illumina NovaSeq 150PE reads) to an average sequencing depth of 20M read-pairs/sample. Raw sequences were processed using BioBakery3 tools <sup>50</sup>, specifically read quality filtering and human decontamination with KneadData (Version 1), taxonomic profiling with 411 MetaPhlAn3 (Version 3.1, using the mpa\_v31\_CHOCOPhlAn\_201901 database) and functional profiling using presence/absence and abundance of microbial pathways with HUMAnN3 (Version 413 3.6). A minimum threshold of > 0.1% relative abundance and > 5% prevalence for all detected species was applied.

#### Plasma lipidomics

Plasma samples for lipidomics were thawed on ice and extracted according to a method modified from Liu et al. (2016) Liu et al. <sup>51</sup>. Briefly, 10 µL volume was placed in an amber glass autosampler 418 vial and 300 μL of a mixture of Type 1 water, butanol, methanol, chloroform and SPLASH Lipidomix in a ratio of 4:15:15:20:1 was added. The mixture was vortexed and sonicated at room temperature before the protein precipitate was removed by centrifugation and an aliquot of supernatant transferred to an amber glass autosampler for negative ionisation LC-MS/MS. A second aliquot of supernatant was diluted 5 times with 75% IPA for positive ionisation LC-423 MS/MS. A 5 uL volume of each sample was injected onto a Phenomenex Kinetex F5 column (100  $\text{mm} \times 2.1 \text{ mm} \times 2.6 \text{ }\mu\text{m}$ ) and lipids were separated using a ternary gradient of Type 1 water, 425 methanol and isopropanol containing ammonium acetate. Lipids were quantified and identified with a Q-Exactive mass spectrometer (Thermo Fisher Scientific, Germany) equipped with a heated 427 electrospray ionisation [HESI] source. Data was processed using MS-DIAL v4.92 92<sup>52</sup>. For full methodological details see the supplementary information.

#### 430 Statistical Analyses

Python version 3.9.2 was used to perform all analysis 53. Due to the unequal sample sizes and non-normally distributed data; non-parametric statistical approaches were used for differential 432 abundance analysis. Relative abundances were adjusted by Centred Log Ratio to account for 433 the compositional nature of the dataset <sup>54</sup>. Log adjusted fold change significance was measured 434 using (MWU) test using the 'mannwhitneyu' function from 'scipy.stats' and adjusted for multiple testing using the 'fdrcorrection' function from statsmodels.stats.multitest. Principal Coordinates 436 Analysis (PCoA) ordinations (plotted using 'skbio.stats.ordination.pcoa' module) were used to visualise the clustering of the Bray-Curtis dissimilarities (calculated using skbio.distance.pdist) 438 between samples from their species and functional composition. To quantify the variance of the gut microbiome explained covariates, PERMANOVA p-values were calculated from those Bray-Curtis 440 Dissimilarities using the 'permanova' function from the 'skbio.stats.distance' module. Bray-Curtis were also used to capture the temporal dynamics of the microbiome from baseline. Numerical Associations between species and metadata were measured with Spearman correlation (calculated using 'spearmanr' function from 'scipy.stats' module), where significance was defined as False Discovery Rate (FDR) adjusted p-values of  $< 0.05^{55}$ . Associations between categorical data were measured with Fisher's Exact test (calculated using 'fisher\_exact' from 'scipy.stats' module), where significance was defined as p-values of < 0.05.

#### 48 Machine learning

Machine learning models were used to classify malnourished from well-nourished infants. Extratrees Random Forest models were trained on functional and microbial taxa relative abundances.
Model hyperparameters including the number of trees in the forest, maximum tree depth, and
minimum sample numbers needed to split internal nodes were tuned using grid searching. A 5fold cross-validation was used to measure the performance of each hyperparameter combination
and to identify overfitting. Model performance was measured with AUCROC and out-of-bag error
analysis (oob). SHAP Value (SHapley Additive exPlanations) interpretation was used to interpret
the contributions each feature had on the model's performance using the 'shap' python package
lundberg2017unified.

#### Network analysis

Absolute spearman rho of above 0.3 were used as edges and gut bacterial species and functional profiles, EEG, and plasma lipids were used as nodes coloured by their mean directional SHAP scores for classifier models that distinguish MAM from well-nourished conditions. Centrality and edge-betweenness were calculated with the 'networkx' VER python package REF.

# 463 Code availability

All analysis code is available on the GitHub repository. The codebase is organised into scripts, providing a comprehensive framework for replicating the experiments. Detailed documentation and instructions on how to use the code are provided in the repository's README file.

# Declarations

# Ethics approval and consent to participate

- Ethical approvals were obtained from the Research Review Committee (RRC; August 21, 2021)
- and Ethical Review Committee (ERC) of icddr,b (protocol no: PR-21084; September 21, 2021),
- Institutional Review Board of Boston Children's Hospital, USA (for analyses of neuropsychological
- assessments), University of Auckland, New Zealand (approval AH23922; for analyses of collected
- biological samples) and University of West Indies (CREC-MN.51, 21/22).

# 474 Data availability

- Metagenome data is available at PRJNAXXX on the SRA. EEG and metadata are available from
- the authors, upon reasonable request that meets the ethics of the study.

# 477 Competing interests

The authors declare that they have no competing interests.

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#### 484 Author Contributions

- 485 TP, KG and JOS drafted and co-wrote the manuscript. TS, SHK, BCW, BH, CP, AB, DH, IS,
- 486 AME, RD, GG, CK, PDG, RH, TF, CAN commented on the manuscript. JMO, RH, TF, PDG,
- 487 CAN designed the study and analyses. TS, SHK performed assessments and obtained samples in
- 488 Dhaka. RH oversaw the Dhaka group. TP performed multiomic analyses, BCW and IS performed
- 489 metagenomics, CP performed metabolomics, JOS oversaw the Auckland group. BH performed
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Supplementary material