The Clam Proof-Planner

User Manual

and

Programmer Manual

Clam version 2.8.4, July 2006

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Abstract

This note describes the Clam proof-planning system. It is intended as a user manual for people who want to use Clam without knowing too much about the insides, and as a programmer manual for people who want to change and improve Clam. For quick reference, the note provides appendices which summarise the most frequently used predicates in Clam.

If you are only an interested reader and do not intend to actually use Clam on a machine, then you should read only sections 1 on page 1 (Introduction) and 2.1 on page 9 (The Methods). This will give you a general idea of the capabilities of Clam.

If you are a novice user and want to start playing straight away without ploughing through 50 pages of manual, read section1 on page 1 (the introduction), and section3.3 on page 92 on the library mechanism.

Acknowledgements. Clam is the result of a collaborative effort between a number of members of the DReaM group. The main ideas originated from Alan Bundy and were first implemented by Frank van Harmelen; Frank also wrote the first version of this manual. Alan Smaill contributed through many suggestions and discussions, and wrote some of the methods and tactics, Jane Hesketh wrote some of the early tactics, and Geraint Wiggins was adventurous enough to be one of the first users. Andrew Stevens, Andrew Ireland and Ian Green developed Clam over the years. Andrew and Ian made extensive contributions to this manual.

In addition to the above developers, the following people have made contributions to the current Clam release: David Basin, Richard Boulton, Jason Gallagher, Predrag Janičić, Helen Lowe, and Santiago Negrete. Other users and contributors include Francisco Cantú Ortiz, Ina Kraan, Raúl Monroy and Julian Richardson. This work is supported in part by EPSRC grants GR/H/23610, GR/J/80702 and GR/M/45030.

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Chapter 1

Introduction

1.1 The purpose of this document and how to read it

This document describes the Clam proof-planning system. It is intended as a user manual for people who want to use Clam without knowing too much about the insides, and as a programmer manual for people who want to change and improve Clam. These aims are of course sufficiently conflicting to warrant two separate documents, but the state of flux of Clam and related systems means it will be difficult enough to maintain one document, let alone two. In order to satisfy both goals in one document, this document is separated into two parts. Part I, which is a *User Manual*, contains the information that will be needed by new users who want an introduction to Clam and by people using the system without wanting to know too much of the inside. Part II, the *Programmer Manual*, contains more information about the insides of Clam and is useful for people who want to change and improve Clam.

For quick reference, appendices summarise the most frequently used predicates in Clam.

If you are only an interested reader and do not intend to actually use Clam on a machine, then you should read only chapter A and sections1 and2.1 on page 9. This will give you a general idea of the capabilities of Clam.

1.2 What is Clam?

Clam is an implementation of the notion of proof-plans. It is built on top of the Oyster proof development system. Oyster is an interactive environment for developing proofs in Martin-Löf's Intuitionistic Type Theory¹, and is a redevelopment of the Nuprl system that was implemented at Cornell University. Clam is an extension of Oyster to support the idea of proof-plans. It provides a representation mechanism for methods, and provides a language for formulating methods. It also provides a number of planners which allow the automatic construction of proof-plans out of combinations of methods. Corresponding to each of the methods Clam has a tactic which allows the execution of the method, and consequently the execution of a plan consisting of individual methods.

Clam's development started in September 1988, and the first version version of Clam was documented and available for general use in February 1989. As is to be expected, Clam is in a state of flux and will no doubt change frequently and

¹For literature references, see section 1.4 on the following page.

drastically in the near future. However, the current version to which this document applies (version 2.8.4) will remain available unchanged until a new version will be released, together with a new version of this document.

Since Clam is built on top of Oyster, the mode of interaction is the same as in Oyster: Users type commands to the Prolog interpreter, and some of these commands will be special Oyster or Clam commands. Everything that holds for Oyster will also hold for Clam.

This document expects both Clam and Oyster to be installed on your system as top-level commands, so that typing clam or oyster to your operating system will start up the corresponding system.

The current implementations of both Clam and Oyster run under

- Quintus Prolog (tested with versions 3.1, 3.2 and 3.3).
- SWI Prolog (version 2.7).
- SICStus Prolog, versions 2.1 and 3.

1.3 Required knowledge

This document is written under the assumption that readers will have a basic knowledge about certain topics. If you lack this knowledge, then section 1.4 will tell you where to go and look things up before you continue reading this document or using Clam. Both this note and the Clam program assume knowledge about the following topics:

- A familiarity with Prolog as a programming language.
- (To a certain extent) Martin-Löf type theory, and in particular the version of it used in the Cornell Nuprl system and its Edinburgh derivative Oyster.
- (To an even lesser extent) ability to use Oyster. In particular, I expect you to be familiar with the syntax used in the Oyster logic, with inference rules of the Oyster logic, and with the following predicates:

```
create-thm/2
                 load-thm/2
                                   save-thm/2
                 create-def/1
create-def/2
                                   add-def/1
save-def/2
                 select/[0;1]
                                   pos/[0;1]
top/0
                 up/0
                                   down/[0;1]
next/[0;1]
                 display/0
                                   snapshot/[0;1]
goal/[0;1]
                 hypothesis/1
                                   hyp-list/[0;1]
refinement/[0;1] status/[0;1]
                                   extract/[0;1]
eval/2
                 autotactic/[0;1] universe/[0;1]
                                   then/2
apply/1
                 repeat/1
try/1
                 complete/1.
                                   idtac/0
```

• The general notion of proof-plans, methods and tactics.

1.4 Related reading

Below are a number of references that will supply more information about the topics mentioned above:

• [12] for a basic introduction to Prolog.

- [29] for a more advanced book on Prolog.
- - [24, 11] for the reference manual of Quintus and SICStus Prolog..
- [20] about Intuitionistic Type Theory in general
- [13] about the version of this logic used in the Nuprl and Oyster systems.
- [4] for a general introduction to the notion of proof plans (Notice however that much of the technical details of that paper are now out of date, but the original ideas still stand firmly).
- [17] for a gentle introduction to Oyster.
- [18] for detailed information about Oyster.
- [5] for a short overview of the Oyster-Clam systems.
- [7] for early experiments using Clam to construct inductive proof-plans.
- [8] for a detailed analysis of one of the important methods in Clam.
- [10, 6] for a detailed description of the concept of wave-rules.
- [3] for early work in the field of automated inductive theorem proving.

1.5 Structure of this note

The rest of this document has the following structure. Part I is the *User Manual* and describes:

- The mechanism for representing methods and the language that can be used for formulating them, plus the methods that are currently implemented in Clam (section 2.1 on page 9).
- The mechanism for storing methods and submethods (section 4.6 on page 117).
- A number of planners that can be used to build proof-plans out of these methods (section 2.4 on page 71).
- The tactics that can be used to execute proof-plans (section 3.1 on page 87).
- A number of utilities that make daily life with Clam bearable, such as a pretty-printer, a tracer and a simple library mechanism (section 3.2 on page 88).

Part II is the *Programmer Manual*, and contains more technical information about the insides of Clam:

- The representation of induction schemes. In the Oyster logic it is necessary to justify induction schemes and this is done by proving a higher-order theorem of the appropriate form (section 4.1 on page 109).
- The mechanism for constructing iterative methods (section 4.2 on page 113).
- The mechanisms used for storing theorems, lemmas, definitions etc. (section 4.3 on page 114).
- A number of utilities that make life as a Clam programmer bearable (section on page 121).
- Appendix C.1 on page 143 describes the organisation of Clam's source code.
- Appendix C.2 on page 144 describes the changes that were made in each release of Clam.

1.6 Notation

I have tried as much as possible to be consistent in the use of different type-faces, etc. Normal text will be in normal Roman font, except where new terms get introduced, or where emphasis is needed, when I use *italic Roman font*. Whenever I refer to pieces of Prolog code or type theory (either whole predicates, or terms or variables), I use typewriter font.

However: Because underscores _ are a pain to print in LATEX, I have used hyphens – instead of underscores in many places. Underscores occur in the Prolog code inside functor-names and as anonymous variables. It should always be clear from the context when a – in the manual is actually a _ in the code.

Predicates are denoted by f/n, which stands for the Prolog predicate f of arity n. The notation f/[n;m] stands for the Prolog predicates f of arity n and m.

Predicate documentation is headed by the name of the predicate which is surrounded by horizontal lines. All references to predicates are included as entries in the predicate index on the last pages of this note. Many other key words are listed in the normal index printed on the pages just before the predicate index. The defining entry for a predicate is distinguished in the predicate index by an underlined page number.

Whenever a feature of Clam is discussed that is not to my liking, and which is a prime candidate for improvement, a frowny symbol will be printed in the margin, like here.

1.7 Version control

Clam is large programming project. There are many versions of Clam, some of which are fixed and some of which are evolving slowly, and some of which are highly experimental and liable to sudden change. In some cases, radically different concepts and implementation paradigms have to be integrated into a particular Clam version and these changes are typically done with some degree of overlap with older, perhaps buggy code.

In an effort to control the multiplicity of versions, the Mathematical Reasoning Group has instituted a version control system for Clam. This allows us to retrieve, compare and develop Clam versions. The version control system is called 'CVS'; some information on using CVS and Clam is given in Appendix C.2.1 on page 145.

It is useful to say a little about version numbering so that users have a picture about what is and what is not assigned a version number, and how that number can be used to describe a particular Clam system.

First, there is a top-level Clam 'version' number: it has the form R.I.P where R is a release number, I is an instance of that release and P is the patchlevel of that instance. When Clam starts, it prints the RIP number. This number uniquely identifies all parts of Clam: the predicate clam-version/1 may be used to show the RIP version.

Release numbers increase slowly over time and would correspond to significant alterations in Clam's architecture, operation, or user-interface, for example.

Instance numbers are increased for significant changes that are not large enough to warrant a new release: for example, a new collection of methods, or an essentially different implementation of some existing technique may well receive a new instance number.

Patchlevel increases are for all other changes: small extensions, bug-fixes, and so on.

In addition, the many files of which Clam is composed each has a version number, but it is important to note that this version number is not particular to any single

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RIP (e.g., two Clam's with different RIPs may share a file with the same version number) and furthermore, the version numbering of files is not of the form R.I.P. Please do not confuse the two. File versions may be retrieved using the predicate file-version/1 of all source files constituting Clam (at the moment this does not yet include methods and submethods).

1.7. VERSION CONTROL CHAPTER 1. INTRODUCTION

Part I User Manual

Chapter 2

Proof-planning and methods

2.1 Simple Methods

Methods are the basic stuff that make up proof-plans. They are specifications of tactics, which are procedures which execute a (large) number of proof steps as a single operation. As described in [4], a method is a structure with 6 "slots":

- 1. A name-slot giving the method its name, and specifying the arguments to the method.
- 2. An *input-slot*, specifying the object-level formula to which the method is applicable
- 3. A preconditions-slot, specifying conditions that must be true for the method to be applicable.
- 4. A *postconditions-slot*, specifying conditions that will be true after the method has applied successfully.
- 5. An *output-slot*, specifying the object-level formulae that will be produced as subgoals when the method has applied successfully.
- 6. A *tactic-slot*, giving the name of the tactic for which this method is a specification.

These structures are represented as a Prolog method/6 term. Each of the slots corresponds to an argument of the method/6 term, in the order listed above. The general form of a method/6 term is shown in figure 2.1.

1. The *name-slot* is a Prolog term of the form name(...args ...), corresponding to the name and the arguments of the method.

Figure 2.1: The general form of a method/6 term.

- 2. The input-slot is a Prolog term that should unify with the sequent to which the method applies. Sequents are represented as terms H==>G where H unifies with the hypothesis-list of the input sequent and G with the goal of the input sequent.
- 3. The preconditions-slot is a list of Prolog goals, each of which should succeed after the input-slot has been unified with the input sequent. A method is said to be *applicable* if the input-slot unifies with the input-sequent and all of the preconditions are true.
- 4. The postconditions-slot is a list of Prolog goals, specifying properties that will hold after the method has applied successfully. It is an error when the postconditions do not succeed if the method is applicable.
- 5. The output-slot is a list of sequents that are the subgoals which remain to be proved after the method has been applied to the input sequent. Again, each of these output sequents is represented as a term H==>G with H representing the hypothesis list and G representing the goal of the sequent.
- 6. The tactic-slot is a Prolog term of the form tactic(...args ...), corresponding to the name and the arguments of the method. Although this is not strictly necessary, at the moment the name of a method and the name of the corresponding tactic are identical. Thus, the name-slot and the tactic-slot will be the same Prolog term. This is not strictly necessary but makes execution of proof-plans easier, since we don't have to dereference the method-name to the tactic-name, because they are the same.

Thus, a method defines a mapping from an input sequent to a list of output sequents. Notice that this is different from the discussion in [4], where methods were described as mappings from formulae to formulae.

The applicability of a method is specified by the input- and preconditions-slots, and the results of a method are specified by the output- and postconditions-slots. The input- and output-slots are *schematic representations* of conditions on the input and output of a method, and the preconditions- and postconditions-slots are *linguistic representations* of conditions on the input and output of a method.

An example of a particular method (the eval-def/2 method, which will be further discussed in §2.3.1 on page 42) is shown in figure 2.2 on page 84.

All slots can share Prolog variables. In particular, variables which are bound while unifying the input-slot with the input sequent can be referred to in the pre- and postconditions-slots (and of course in the other slots if so desired). Similarly, variables which become bound during the execution of the pre- and postconditions can be referred to in the subsequent slots. In order to understand the binding rules for Prolog variables in the slots of a method/6 term it is important to understand how these slots are used when a planner tests for the applicability of a method:

- 1. First the input-slot is unified with the input sequent.
- $2. \ \,$ Then the preconditions-slot is evaluated.
- 3. Then the postconditions-slot is evaluated.
- 4. Then the output-slot is constructed using the variable bindings resulting from the preceding operations.

Thus, the preconditions-slot will never be evaluated without the input-slot having been unified with the input sequent. Similarly, the postconditions will never be evaluated without the preconditions having been evaluated.

An important distinction can be made between terminating and non-terminating methods. A method is said to be terminating if it does not produce any further subgoals (i.e., its output slot is the empty list). If a method produces further subgoals (i.e., its output slot is a non-empty list) it is said to be non-terminating. It is encouraged programming style to indicate as much as possible in the formulation of a method whether or not the method is terminating. Thus, if at all possible, output-slots should not consist of just a Prolog variable, which will be instantiated to a (possibly empty) list of sequents after evaluation of pre- and post-conditions. Instead, an output-slot should be a term which indicates whether the list of output sequents can possibly be empty or not. An example of this usage is shown in the eval-def/2 method of figure 2.2, where the output slot is indicated to be a non-empty list of sequents. This makes it much cheaper to recognise this method as a non-terminating one than when the output list could only be computed after evaluation of preconditions and postconditions.

2.2 The method language

Although it is possible to use arbitrary Prolog code in the formulation of the pre- and post-conditions slots, users should only use a designated language for this purpose. Part of the goal of the whole proof-plan enterprise is to try and formulate a good language for controlling proof search, and this language should exactly be the one used inside the method-slots. This *method language* consists of a set of predicates and a set of logical connectives. Below we first discuss the predicates of the method language, followed by a discussion of the logical connectives of the method-language. Note that the definitions below extend and override the earlier definitions from [4].

In the specification of a predicate, variables are annotated with mode annotations: +, - or ?. This notation is borrowed from the Quintus Prolog Reference Manual and the following is freely copied from [24]:

- + This argument is an input to the predicate. It must initially be instantiated or the predicate fails (or behaves unpredictably).
- This argument is an output. It is returned by the predicate. That is, the output value is unified with any value which was supplied for this argument. The predicate fails if this unification fails.
- ? This argument does not fall into either of the above categories. It may be either input or output, and may be instantiated or not, as required by its application.

2.2.1 The method language: predicates

The predicates are listed in alphabetical order.

active-inductive-hypothesis(V:H,Hs)

V:H is an *active* hypothesis appearing in hypothesis list Hs. An active hypothesis is one which is a viable inductive target: it has status raw or status notraw(_). (cf. induction-hypothesis/[3;5]). See §4.5 on page 116 for a more information on hypothesis status.

adjust-existential-vars(+EV,+Bs,-NewEV,-S)

EV is an association list with elements of the form Term-Var: Typ where Term denotes the instantiation for the existential variable Var of type Type. The instantiation may be partial so additional existential variables may be introduced. To prevent

the introduction of name clashes the list of current bindings, Bs, is required. NewEV and S denote the refined list of existential variables and the substitution list for the partially instantiated existential variables respectively.

annotations(?SinksSpec,?FrontsSpec,+T1, ?T2)

T1 and T2 are identical except that all meta-level annotations are absent from T2. Wave-fronts and holes of T1 are those indicated in FrontsSpec; sinks of T1 are indicated in Sinks (using the representation described in wave-fronts/3 and sinks/3) and in §4.4 on page 116.

```
ann-exp-at(+TopLevel, ?Flag, +Exp, ?Pos, ?SubExp)
```

Expression Exp contains SubExp at position Pos. TopLevel and Flag are either in_hole or in_front, indicating outermost annotations being exclusively wavefronts or exclusively wave-holes, respectively. TopLevel refers to the status of Exp; Flag refers to the status of SubExp.

```
?- ann-exp-at(in_hole,in_hole,''f(x,{y})'', [2], y)
succeeds, but
?- ann-exp-at(in_hole,in_front,''f(x,{y})'', _, y).
fails since y is not in a wave-front, it is in a wave-hole. Similarly,
?- ann-exp-at(in_front,in_front,f(x,{y}), [], f(x,{y})).
?- ann-exp-at(in_front,in_front,f(x,{y}), [1], x).
both succeed, but
?- ann-exp-at(in_front,in_front,f(x,{y}), _, y).
fails.
(See exp-at/3 for more details of positions etc.)
```

```
ann-exp-at(+Exp, ?Pos, ?SubExp)
```

Is a equivalent to ann-exp-at(in-hole,in-hole,Exp, Pos, SubExp).

```
cancel-rule(?Exp,?RuleName:?Rule)
```

RuleName is the name of an equation which allows us to replace Exp with some term that is an instance of one of its proper subterms. A cancellation rule corresponds to an instance of a substitution rule.

```
canonical-form(+Exp, +Rules, ?NewExp)
```

NewExp is the result of applying to Exp the rewrite rules from Rules as often as possible to as many subexpressions as possible. In mode (+,+,-) this generally only makes sense if Rules is terminating. The elements of the list Rules are supposed to be of the form RuleName: Rule, where each Rule is a universally quantified equality.

Example

plus(s(x),y)=s(plus(x,y)) in pnat],P).

P = s(y)

casesplit-suggestion(H,G,Scheme)

Scheme describes an casesplit scheme, that would, according to the heuristics (see below), be a good choice. This casesplit scheme is assumed to be valid—this must be checked separately.

Scheme is actually a description of a substitution of terms for universally quantified variables appearing in the sequent H==>G. Let G' be the necessarily different goal resulting from the application of the substitution Scheme to G. (In contrast to induction-suggestion/3, which is otherwise very similar to casesplit-suggestion/3, no wave-fronts are introduced by casesplit-suggestion/3.)

Each term introduced at each occurrence of each substituted variable is said either to be *unflawed* or *flawed*, according to whether or not a reduction-rule can be used to rewrite this term or one of its superterms. (Again, notice the difference between induction analysis and casesplit analysis: induction uses *wave-rules* whereas casesplit uses *reduction-rules*.)

casesplit-suggestion/3 builds a so-called unflawed/flawed table according to almost all the various combinations of substitutions and variables; substitutions are computed by narrowing with the reduction-rules in the database. Not all substitutions will make sense as casesplits—this must be checked separately (see scheme/3 for one way of doing this).

induction-suggestion/3 generates suggestions by considering all permutations of all universally quantified variables with all possible induction terms. Induction terms are generated lazily, subject to the criterion that they can be rippled by some wave-rule present in the database. Hence the term *ripple analysis*. In this way, each occurrence of all variables can be tagged with a collection of candidate inductions which describes:

- the terms to be substituted for the variables and the way in which these 'induction terms' are to be annotated: we call this an annotated substitution.
- any variables which must remain as sinks
- how well this annotated substitution fares. That is, the unflawed/flawed assignment for all variables in the domain of the substitution. An occurrence for which a wave-rule is applicable is unflawed; otherwise it is flawed.

All of this information is then processed by a heuristic which implements the following:

- prefer inductions on a variable which minimizes the number of flawed occurrences (in the case of unflawed-induction-suggestion/3 this number must be zero);
- 2. prefer inductions on a variable which *minimizes* the (sum of the) depth in the term tree of all flawed occurrences;
- 3. inductions on a variable which *minimizes* the number of unflawed occurrences;

These criteria are used (in lexicographic order) in order to rank various suggestions.

Example The conjecture for the associativity of multiplication

```
a:pnat=>
b:pnat=>
c:pnat=>
times(a,times(b,c)) = times(times(a,b),c) in pnat
```

together with the definitions of multiplication and addition, has the following unflawed/flawed table:

| Annotated | l substitution | Position | Status | Sinks |
|-----------|---------------------|-----------|----------|-------|
| Ind. var. | Ind. term | | | |
| a | $s(\underline{v0})$ | [1,1,2,1] | unflawed | {} |
| | | [1,1,1] | unflawed | {} |
| b | $s(\underline{v0})$ | [2,1,2,1] | flawed | |
| | | [1,2,1,1] | unflawed | {} |

The heuristic chooses induction on a since that minimizes the number of flawed occurrences. See also: unflawed-induction-suggestion/3, casesplit-suggestion/3 and unflawed-casesplit-suggestion/3.

complementary-sets(?CNames)

CNames is a list of the form

$$[C_1-R_1-Dir_1-Name_1,\ldots,C_n-R_n-Dir_n-Name_n]-LHS$$

such that $LHS \Rightarrow R_i$ is a rewrite with name $Name_i$ conditional upon C_i (a single condition, not a list of conditions), and direction Dir_i , where n > 1. Pictorially:

$$Name_1: C_1 \rightarrow LHS \Rightarrow R_1$$
 \vdots $Name_n: C_n \rightarrow LHS \Rightarrow R_n$

complementary-sets/1 draws upon rewrites present in the rewrite database when it is called. Notice that the *Name_i*'s need not belong to the same family of definitions (by family I mean e.g., member1, member2, member3).

For example, given usual definitions of member and insert we have:

```
:- complementary_sets(CNames).
CNames =
[[(A2<B2=>void)-B2::insert(A2,C2)-equ(left)-insert3,
   (A2<B2)-A2::B2::C2-equ(left)-insert2]-insert(A2,B2::C2),
[(A4=B4 in int=>void)-member(A4,C4)-equ(left)-member3,
   (A4=B4 in int)-{true}-equ(left)-member2]-member(A4,B4::C4)]
```

complementary-sets(+Names,?CNames)

As complementary-sets/1, but first parameter is a list of rewrite names to consider. For example, complementary-sets([member1, member2, member3], CNames) restricts attention to the family of theorems defining member.

See also print-complementary-sets/1.

complementary-sets(?CName)

As complementary-sets/1, but just return a single element, others on backtracking.

consistent-registry(+Tau,+Prec)

The registry described by Tau and Prec is consistent. (See §A.4.2.1 on page 135.)

contains-wave-fronts(+T)

Succeeds once only iff Term contains a wave-front.

cooper(+F,?V)

Runs Cooper's decision procedure for Presburger arithmetic (see §B.2 on page 141). If cooper/2 fails, F is not a sentence of Presburger arithmetic.

If cooper/2 succeeds, V indicates the validity of F. V == yes means F is valid, otherwise V == no and F is invalid.

Example The statement of the associativity of addition is Presburger and is valid:

copy(+Term, -NewTerm)

Term and NewTerm are identical except for the renaming of prolog variables.

```
equal-rule(?Exp,?RuleName:?Rule)
```

RuleName is the name of an equivalence which allows us to replace some sentence with an equality. Rule is the equivalence expressed as directional rewrite rule, with all universally quantified variables replaced by prolog variables.

ev(+Term,?Value)

Ground Term evaluates to value Value. More accurately, Value is the result of computing using the computation rules until no more computation is possible. 'Computation rules' are in fact those definitional equality and biimplication rules in the

rewrite database, used from left-to-right. Rules for propositional evaluation are hard-wired. Evaluation strategy is topmost-leftmost.

Normally, applications call ev/2 with Term being a quantifier-free variable-free term

```
exp-at(+Exp, ?Pos, ?SubExp)
```

Expression Exp contains SubExp at position Pos. Positions are lists of integers representing tree coordinates in the syntax-tree of the expression, but in reverse order. A coordinate 0 represents the function symbol of an expression, thus, for example:

```
:- \exp_{at}(f(g(2,x),3), [2,1], x).
:- \exp_{at}(f(g(2,x),3), [0,1], g).
```

Due to the generous mode, this predicate can be used to either find the SubExp at a given Pos, or to find the Pos of a given SubExp.

The definition from [4] is extended by defining [] as the position of Exp in Exp. Fails if Pos is an invalid position in Exp.

Furthermore, the position specifications (or tree coordinates, or path expressions) are transparent for any possible wave-front annotations in Exp. (see $\S A.3.1$ on page 131 for an explanation on wave-fronts). Thus the position of x in f(x) and in '' $f(\{x\})$ '' is [1] in both cases. wave-fronts (''...'') are regarded a part of the embedded expression, whereas wave variables ($\{...\}$) are not. Thus, all possible values Pos and Sub in exp-at($f1(\text{``}f2(\{x\})\text{''}),Pos,Sub)$ are:

```
Pos = [1]
Sub = ''f2({x})'';
Pos = [0]
Sub = f1;
Pos = [1,1]
Sub = x;
Pos = [0,1]
Sub = f2;
```

Notice that neither f2(x) nor $\{x\}$ are subexpressions, and that the position specifiers are as if the wave-front in $exp-at(f1(''f2(\{x\})''), Pos, Sub)$ had not been there.

See also ann-exp-at/3 and ann-exp-at/5.

exp-at(+Exp,?Pos,?SubExp,?SupExp)

This is as exp-at/3 (expression Exp contains SubExp at position Pos). The additional fourth argument SupExp is bound to the expression immediately surrounding SubExp. Thus, for example:

```
:- \exp_{at}(f(g(2,x),3), [2,1], x, g(2,x)).
:- \exp_{at}(f(g(2,x),3), [0,1], g, g(2,x)).
```

Notice that exp-at(_,[],_,_) will always fail (since what would the value of SupSubExp be?). Of course exp-at/4 can be trivially expressed in terms of exp-at/3 but the implementation of exp-at/4 is more efficient since it avoids descending down the same subterms twice in a row.

The same rules for wave-fronts hold as described above for exp-at/3. Pursuing the same example as above, we get as all possible values for Pos, Sub and Sup:

```
:- exp_at(f1(''f2({x})''),Pos,Sub,Sup).
Pos = [1]
Sup = f1(''f2({x})'')
Sub = ''f2({x})'';
Pos = [0] Sup = f1(''f2({x})'')
Sub = f1;
Pos = [1,1]
Sub = x
Sup = ''f2({x})'';
Pos = [0,1]
Sub = f2
Sup = ''f2({x})'';
no.
```

extending-registry

This is a flag which the user can use to control the reduction/2 method. If defined so as to succeed, reduction/2 will attempt to extend the registry during symbolic evaluation, otherwise this behaviour is prevented. See the description of the reduction/2 method for further detail.

The default is that extending-registry fails.

```
extend-registry-prove(+T-+P,?T'-?P',+Prob)
```

This predicate is a convenient interface to rpos-prove/5—it deals with lifting to variables, and ensures that all the function and constant symbols are mentioned in the resulting registry.

extend-registry-prove/4 succeeds iff Prob can be proved to hold in registry T'-P'. Prob is an ordering problem as described under rpos-prove/5. Registry T'-P' is a consistent extension of registry T-P.

T is a status function, and P is a quasi-precedence, as described under rpos-prove/5.

The registry defined by T and P is assumed to be consistent. (This is decided by consistent-registry/2.) S and T are possibly non-ground Prolog terms. All the function and constant symbols in S and T are present in T'-P'.

Example Here is a simple example which computes a registry suitable to show that the step case definition of plus is terminating when used from left to right:

In the example above the term []-([]-[]) is the empty registry. Notice that no commitment has been made to the status function, although entries been added for plus and s; the precedence commits to plus \succ s.

A more realistic illustration of the use of extend-registry-prove/4 can be found in the preconditions of the reduction/2 method.

groundp(+Term)

Succeeds iff Term is ground. Since Clam uses Prolog as the meta-language (whereas

Type Theory is the the object-language), Prolog variables play the role of meta-variables. Thus, groundp(Term) can be used to check if Term does or does not contain any meta-variables. Arguably, this predicate should have another name.

```
ground-sinks(+Instan, +Lhs, +Rhs, ?SubTerm)
```

Instan is a list of sink instantiations. For all members of Instan which are prolog variables an instantiation is calculated using Lhs and Rhs, the left and right hand sides of the current goal. SubTerm is a subexpression of Rhs in which uninstantiated sinks may occur.

hyp(?Hyp, ?HypList)

Hypothesis Hyp is among the annotated hypothesis list HypList. hyp/2 behaves much like list membership but it also recognises annotations and filters these.

Example

inductive-hypothesis(?S,?Hyp,+Hyps)

Hyps is a list of hypothesis containing an inductive hypothesis Hyp having status S. (cf. §4.5 on page 116 and inductive-hypothesis/5 and active-induction-hypothesis/2.)

```
inductive-hypothesis(S,V:H,H1,NS,H2)
```

V:H is an inductive hypothesis in both H1 and H2 (each a hypothesis list), with status S in H1 and status NS in H2. H1 and H2 agree on all other elements.

This predicate is useful when changing the status of some induction hypothesis: see weak-fertilization/4 for an example.

induction-suggestion(H,G,Scheme)

Scheme describes an induction scheme, that would, according to the heuristics (see below), be a good choice. This induction scheme is not known to be valid—this must be checked separately.

Scheme is actually a description of a substitution of terms for universally quantified variables appearing in the sequent H==>G. Let G' be the necessarily different goal resulting from the application of Scheme to G, and in which wave-fronts capture these differences. Thus the skeleton of G' is equal to G.

Each wave-front introduced at each occurrence of each substituted variable is said either to be *unflawed* or *flawed*, according to whether or not a wave-rule can be applied to that wave-front or one of its superterms. Since the ability to ripple a wave-front may depend on the presence of sinks, each unflawed/flawed assignment may demand that certain of the universal variables in G are not induced upon, so

that they may be used as sinks. Equally, certain ripples will require *other* variables to be induced upon, in the case of simultaneous induction.

induction-suggestion/3 builds a so-called unflawed/flawed table according to almost all the various combinations of substitutions and sink assignments; substitutions are computed by narrowing with the rewrite rules in the database. Not all substitutions will make sense as inductions—this must be checked separately (see scheme/3 for one way of doing this).

induction-suggestion/3 generates suggestions by considering all permutations of all universally quantified variables with all possible induction terms. Induction terms are generated lazily, subject to the criterion that they can be rippled by some wave-rule present in the database. Hence the term *ripple analysis*. In this way, each occurrence of all variables can be tagged with a collection of candidate inductions which describes:

- the terms to be substituted for the variables and the way in which these 'induction terms' are to be annotated: we call this an annotated substitution.
- any variables which must remain as sinks
- how well this annotated substitution fares. That is, the unflawed/flawed assignment for all variables in the domain of the substitution. An occurrence for which a wave-rule is applicable is unflawed; otherwise it is flawed.

All of this information is then processed by a heuristic which implements the following:

- prefer inductions on a variable which minimizes the number of flawed occurrences (in the case of unflawed-induction-suggestion/3 this number must be zero);
- 2. prefer inductions on a variable which *minimizes* the (sum of the) depth in the term tree of all flawed occurrences;
- 3. inductions on a variable which *minimizes* the number of unflawed occurrences;

These criteria are used (in lexicographic order) in order to rank various suggestions.

Example The conjecture for the associativity of multiplication

```
a:pnat=>
b:pnat=>
c:pnat=>
times(a,times(b,c)) = times(times(a,b),c) in pnat
```

together with the definitions of multiplication and addition, has the following unflawed/flawed table:

| Annotated | d substitution | Position | Status | Sinks |
|-----------|---------------------|-----------|----------|-------|
| Ind. var. | Ind. term | | | |
| a | $s(\underline{v0})$ | [1,1,2,1] | unflawed | {} |
| | | [1,1,1] | unflawed | {} |
| Ъ | $s(\underline{v0})$ | [2,1,2,1] | flawed | |
| | | [1,2,1,1] | unflawed | {} |

The heuristic chooses induction on a since that minimizes the number of flawed occurrences. See also: unflawed-induction-suggestion/3, casesplit-suggestion/3 and unflawed-casesplit-suggestion/3.

instantiate(+G1, ?G2, ?G2Vals)

G2 is the result of instantiating all the universally quantified variables in G1 with the values in G2Vals. We require that *all* variables quantified in G1 are instantiated in G2, thus:

```
:- instantiate(x:pnat=>y:pnat=>f(x,y), y:pnat=>f(1,y), [1])
will not succeed because of y, whereas
:- instantiate(x:pnat=>y:pnat=>f(x,y), f(1,2), [1,2])
will.
```

instantiate(?Frees,+G1, ?G2, ?G2Vals)

Similar to instantiate/3. (Exactly the same if Frees is a variable.) This predicate is more generous in that it allows universal variables of G1 that do not take part in the instantiation to be supplied with instantiating terms.

For example, the following call to instantiate/3 fails to give a ground term for A:

```
:- instantiate(x:pnat=>p(x)=0 in pnat, A = 0 in pnat,P).
A = p(X),
P = [X]
```

since any instantiation of x will do. (Notice that instantiate/3 does not fail in this case, only when instantiation to a bound variable is required.)

There are cases where it is necessary to provide a binding for x, e.g., using a rewrite rule left-to-right when the set of variables on the left is a proper subset of those on the right. The rewrite is legitimate providing a term can be supplied of the correct type. For example, using

```
z:pnat, x:pnat=>f(x)=s(0) in pnat ==>x:pnat=>f'(x)=s(0) in pnat
```

during weak-fertilization from right-to-left (x is unbound).

At the meta-level, Clam ignores this problem and simply uses x as the resulting instance of x. At the object level the tactic must work to mimic this and ensure that the variable naming remains aligned.

instantiate/4 allows these eigenvariables from the context to be supplied and used to instantiate what would otherwise be unbound variables: Frees is a list of terms which are to be used to supply the instantiation of otherwise 'floating' variables.

```
:- instantiate([y],x:pnat=>p(x)=0 in pnat, A = 0 in pnat,P).

A = p(y),

P = [y]
```

Typically, the Frees will correspond to the matrix of the goal being weak-fertilized, since that is the instantiation which preserves the structure of the hypothesis (see the implementation of the weak-fertilization tactic for further programmer-level information).

issink(?T,?S)

T is a sink whose contents is the term S. Informally, we might write T = |S|.

iswh(?T,?S)

T is a wave-hole whose contents is the term S. Informally, we might write T = S.

iswf(?T,?Type,?Dir,?S)

T is a wave-front around the term S. Notice that T is not necessarily a well-annotated term: iswf does not enforce any restrictions on the term S.

Dir indicates the direction of the wave-front: it is either in or out; the flag Type is reserved for future expansion and must always be set to the atom hard.

join-wave-fronts(+Term,?PosL,?JTerm)

Recall that Clam always manipulates well-annotated terms, which are, by definition (see §4.4.1 on page 116) in a maximally-split normal form. This predicate can be use to transform annotated terms into a form in which wave-fronts are not in this normal form: some wave-fronts are joined together. Note that this form is not well-annotated!.

The maximally joined form (see maximally-joined/2) is typically used to present annotations through some user-interface: it is easier to read than the well-annotated form.

JTerm will be as Term, but with a number of small wave fronts joined into larger ones. PosL will contain the positions of the wave-fronts in Term which were joined. This predicate generates on backtracking all possible joins of wave-fronts.

mark-potential-waves(+Goal, -NewGoal)

Goal and NewGoal are identical except that all top-level existential variables in NewGoal are annotated as potential wave-fronts. For example:

```
mark_potential_waves(x:pnat=>y:pnat#p(g:pnat#h(g),p(y,g)),
x:pnat=>"y":pnat#p(g:pnat#h(g),p("y",g))).
```

Notice that the deepest existential (g) is not annotated.

mark-sinks(+Bindings, +Term, -NewTerm)

Bindings is a list of bindings. The Term and NewTerm are identical except that all variables in Bindings which occur in Term are annotated as sinks in NewTerm.

matrix(?VarList,?Matrix,?Formula)

Matrix is the matrix of Formula, that is: Matrix is as Formula, except all prefixing quantifiers. VarList is the list of variables involved in these quantifiers (in the same order as the quantifiers occurred in Formula).

matrix(?VarList,?EVarList,?Matrix,?Formula)

matrix/4 is as matrix/3 except it is extended to deal with existential quantification. EVarList is a list with elements of the form MetaVar-ObjVar:Typ where MetaVar is the prolog variable which replaces the object-level variable ObjVar in Formula to give Matrix. Possible modes are matrix(+, +, +, -) and matrix(-, -, -, +).

maximally-joined(+S,?T)

T is the maximally-joined form of S. Note that (excepting the trivial case when S is

identical to T), the term T will not be well-annotated.

Terms depicted in maximally-joined form are usually easier to read. For example, the following two terms are the 'same', but the second one is depicted in the maximally-joined form.

```
''s({''s({f(g(''s({f(g(x))})''<out>))}''<out>))''<out>))''<out>)
and
''s(s({f(g(''s(s({f(g(x))}))''<out>))})''<out>
```

metavar(?Var)

Succeeds if Var is a meta-variable.

matches(?S,?T)

T matches S. That is, T can be instantiated to S without substituting for variables in S. No instantiation is carried out. See also unify/2 and unifiable/2.

```
nr-of-occ(?SubExp, +SupExp, ?N)
```

SubExp occurs exactly N times in SupExp. Failure indicates that SupExp does not occur in SupExp, thus: N is never bound to 0. Generous mode allows use to find the number of occurrences N of a given SubExp, or to find all SubExps that occur N times

notraw-to-used(H1,H2)

H1 and H2 are identical hypothesis lists but for the fact that each hypothesis in H1 having status notraw(Ds) has status used(Ds) in H2. (cf. raw-to-used/2.)

See pwf-then-fertilize/2 for an example.

object-level-term(+T)

Succeeds iff T does not contain any meta-variables (i.e., is ground), and does not contain any wave-fronts (or parts thereof).

```
occ(+Term, ?SubTerm, ?Pos, ?F)
```

SubTerm occurs in Term at Pos, immediately surrounded by term F. This is equivalent to (but more readable and faster) than:

```
occ(Term, SubTerm, [N|P], SupTerm) :-
    exp-at (Term, [N|P], SubTerm),
    exp-at(Term, P, F).
```

polarity(?01,?02,?F,?N,?P)

Function F has polarity P in argument number N under orderings 01 and 02. P is one of +, - or 0. F is positive in argument number N under 01 and 02 if $01(X1,X2) \rightarrow 02(F(X1),F(X2))$ where: X1 and X2 obey: exp-at(F(Xi),N,Xi), and 01 is a partial ordering on the domain of F (in its Nth argument), and 02 is a partial ordering on the codomain of F. A positive polarity means that F is monotonic in its Nth argument, a negative polarity means that F is anti-monotonic in its Nth

argument. A zero polarity means that F is neither monotonic nor anti-monotonic in its Nth argument.

Polarity of nested functions is calculated according to the obvious transitivity rules: + = +(+) or + = -(-), and - = -(+) or - = +(-).

This predicate is implemented via a lookup table, thereby making Clam not theory free (see §6.4 on page 127), and should eventually be implemented in a proper, theory free, way, as suggested there.

polarity-compatible(+G, +P, ?Dir)

This predicate holds if the subterm at position P within the goal G is compatible with respect to the rewrite orientation Dir.

See rewrite-rule/6 for a description of the Dir parameter.

precon-matrix(?TypedVarList,?PreCond=>?T1,?T2)

Let a prefix R be either v:t or p; then T_2 is of the form $R \to \cdots \to R \to T_1$, where TypedVarList is the collection of v:t's for all R of the first form, and PreConds are the p's for all R of the second form. Note that there is much backtracking here, since T_2 may be of the form $R \to T'_2$.

Useful information: This predicate is used heavily by the rewrite database mechanism (see §4.3.3 on page 115). All theorems having a T1 which is an equality or an implication are considered as possible rewrite rules (i.e., dynamic wave-rules) by ripple/6, being conditional upon the appropriate PreCond list.

raw-to-used(Hs, Hyps, NHs)

NHs is as Hs but all raw hypotheses in Hyps appearing in NHs are marked as used([strong]) in NHs. (cf. notraw-to-used/2.)

See $\S4.5$ on page 116 for a more information on hypothesis status.

reduction-rtc(+S,?T)

Roughly, this is the reflexive transitive closure of the reduction relation described by the current TRS.

More precisely: Let S rewrite under REDUCTION to some term U in a finite number k of steps. reduction-rtc/2 succeeds iff T matches U and there is no k' > 0 such that U rewrites to U' different from U in k' steps and T matches U'.

Example Assuming that plus is in the reduction rules we have that:

```
| ?- reduction-rtc(plus(s(s(x)),y),T).
T = s(s(plus(x,y)))
which is the only solution. However, note that
| ?- reduction-rtc(plus(s(s(x)),y),s(plus(s(X),Y))).
X = x,
Y = y
```

succeeds only once since there is no normal form of s(plus(s(x),y)) which is an instance of s(plus(s(X),Y)).

```
| ?- reduction-rtc(plus(x,y),T).
T = plus(x,y)
```

shows that reduction-rtc/2 includes the reflexive case.

For the non-reflexive case, use reduction-tc/4.

See reduction-rule/6, registry/4, canonical-form/3 and $\S 3.3.1$ on page 92 for related information.

Use reduction-rtc/4 to introduce hypotheses etc, as may be required for conditional rules.

reduction-rtc(+S,?T,?Tactic,+Hyps)

As reduction-rtc/2, but Tactic and hypothesis (for conditional rewriting) are made explicit. reduction-rtc(S,T) is the same as reduction-rtc(S,T,_,[]).

reduction-tc(+S,?T,?Tactic,+Hyps)

T is the normal form of S, with respect to the transitive closure of the rewrite relation defined by the current TRS. polarity-compatible/3 is used to determine which of the two registries, positive or negative, should be used to ensure terminating rewriting.

Hyps is a hypothesis list—this may be used to establish conditions of conditional rules. Tactic is a tactic which justifies the normalization.

See reduction-rule/6, registry/4 and §3.3.1 on page 92, and normalize-term/1 for an example.

rpos-prove(+Problem,+TP,?NTP,+Vars,?Proof

Proof is a proof of Problem under the registry described by NTP; furthermore, NTP is a consistent extension of TP. (TP is assumed to be consistent, as described by consistent-registry/2.)

TP and NTP are of the form Tau-Prec; Tau is a status function, represented by a list having elements of the form F/Fs, assigning status Fs to function symbol F. See §5.3.2.2 on page 120 for more information on the status function. Prec is the representation of a quasi-precedence, as described in §5.3.2.1 on page 119.

The status function of TP must mention all the function symbols and constants appearing in Problem.

Proof is simply for information—it has nothing to do with proof-planning or tactics!

Problem is an ordering problem, having one of the following forms (cf. $\S5.3.4$ on page 120 and $\SA.4.2.1$ on page 135):

```
S >= T Iff S = T or S > T, that is, S \ge_{\rho} T.
```

S = T Iff S and T are equivalent under RPOS; that is, S \sim_{ρ} T.

S > T Iff S is greater than T under RPOS; that is, $S >_{\rho} T$.

S < T Iff T > S.

S = < T Iff T >= S.

In these ordering problems S and T must be ground Prolog terms. Atoms appearing in Vars indicate which of the atoms in S and T are to be considered variables by RPOS.

Example.

Compare this with the example given under extend-registry-prove/4.

reduction-rule(?LHS,?RHS,?Cond,?Dir,?RuleName,?Ref)

RuleName is the name of a rewrite Cond=>LHS:=>RHS that has been proven to be measure decreasing under some well-founded termination order. Dir describes the polarity restrictions in using the rule. The rule may be based on implication, equality or equivalence. See §A on page 129 for background information and rewrite-rule/6 for documentation on Dir.

Clam supports two different reduction rule sets in order to permit implicative rewrites to be used in both directions. This is terminating because the polarity restriction on the use of the rules (which must be maintained by the caller) ensures that cycles are prevented. Equality rules must be oriented either left-to-right or right-to-left since they may be applied in positions of either polarity.

Thus, there are two registries, labelled "positive" and "negative", establishing termination of all reduction rules whose Dir is imp(left) and imp(right), respectively. (Equality/equivalence rules are accounted for in both registries.)

Ref is the recorded database reference.

registry(+TRS,?Tau,?Prec,?Ref)

The registries under which reduction rules are shown to be terminating are stored in the Prolog database, and accessed via registry/4. The registry evolves over time as it is extended (for an illustration of how to extend the registry and add it to the database, see reduction/2).

TRS is the name of the registry—currently, Clam supports only two registries, positive and negative, for reduction rules at different polarities. Equivalence rules are equality rules are present in both. Together, these two registries define Clam's terminating rewrite system, trs(default). (See lib-load/[1;2] and §3.3.1 on page 92.)

replace(+Pos, ?NewSub, +OldExp, ?NewExp)

NewExp is the result of replacing the subexpression in OldExp at position Pos with NewSub. Either NewSub or NewExp must be instantiated.

Similar rules for dealing with wave-front hold as for exp-at/3: position specifiers are transparent to wave-fronts, and wave-fronts (''...') are deemed part of the embedding expression, while wave variables are part of the surrounding expression. For example:

```
:- replace([1],new,f1(''f2({x})''),T).
T = f1(new)
:- replace([1,1],new,f1(''f2({x})''),T).
T = f1(''f2({new})'')
```

```
replace-all(+OldSub, +NewSub, +Exp, ?NewExp)
```

NewExp is the result of replacing all occurrences of OldSub with NewSub in Exp.

```
rewrite(?Pos, +Rule, ?Exp, ?NewExp)
```

NewExp is the result of rewriting the subexpression in Exp at position Pos using equation Rule. Only one of Pos, Exp and NewExp has to be instantiated, so this can also be used to detect if and where a rewrite rule has been applied (but not to generate all possible applications of a rewrite rule).

```
rewrite-rule(?LHS,?RHS,?Cond,?Dir,?Rn,?Ref)
```

Accesses the currently available rewrite rules.

```
\mathtt{Cond} \Rightarrow \mathtt{LHS} \to \mathtt{RHS}
```

is a sound rewrite rule at polarity described by Dir. Dir is one of

equ(Type,Orient) Orient is either left or right; Type is an object-level equality. The rule is based upon a typed equality, used from left-to-right
 (Orient==left) or from right-to-left (Orient==right). It can be used at
 positions of any polarity. Notice that Clam stores commuted variants of rules
 based on equality and equivalence.

equiv(Orient) Orient is as above. The rule is based on a logical equivalence (and so can be used at positions of any polarity).

imp(Orient) Orient is as above. The rule is based on an object-level implication,
 used from left-to-right or from right-to-left (as identified by Orient).

Soundness requires that:

imp(left) These rules may only be used at positions of positive polarity.

imp(right) These rules may only be used at positions of negative polarity.

SeeA.2 on page 129 for further details.

oading the definition of list membership with tracing set to level 40 (via trace-plan/3) shows how each of the formulae are processed in to rewrite rules. (Additional information, also shown at this tracing level, is elided below.)

```
| ?- lib_load(def(member)).
...

member1/equ(u(1),left): [] => member(B,nil) :=> void

member2/equ(u(1),left): B=C in int => member(B,C::D) :=> {true}

member2/imp(right):
        [] => member(B,C::D)={true}in u(1) :=> B=C in int

member3/equ(u(1),left):
        B=C in int=>void => member(B,C::D) :=> member(B,D)

member3/imp(right):
        [] => member(B,C::D)=member(B,D)in u(1) :=> B=C in int=>void
...

Loaded def(member)
```

Each of the three equations making up member are processed in turn and the rewrite rules extracted, in accordance with §A.2 on page 129. Here, member3 is the object-level formula

```
\forall x. \forall h. \forall t. x \neq h \supset \mathtt{member}(x, h :: l) \equiv \mathtt{member}(el, l)
```

which yields two rewrite rules:

```
member3/equiv(left):
    B=C in int=>void => member(B,C::D) :=> member(B,D)
member3/imp(right):
    [] => member(B,C::D)=member(B,D)in u(1) :=> B=C in int=>void
```

the first of which is based on an equivalence 'equiv(left)' and so can be used at either positive or negative polarity (notice that this rewrite is based on a left-to-right reading. The right-to-left direction is not a legal rewrite rule because of the variable condition on rules). This rule is conditional: the condition is B=C in int=>void.

The second rewrite rule is unconditional (depicted by '[]' to the left of the meta-level implication '=>'), and is based on the implication also present in the formula. The resulting rewrite can only be used at positions of negative 'imp(right)' polarity. Again, there is no imp(left) rule because of variable restrictions.

ripple(?Kind,+WTT,?NWTT,?Cond,?Rn,?Dir)

NWTT is the result of applying rewrite-rule Rn as a wave-rule to the well-annotated term WTT, subject to condition Cond. WTT and NWTT are in normal form—errors may result if WTT is not in normal form (see join-wave-fronts/3 for description of normal form).

Dir indicates the orientation of the lemma Rn on which the rewrite is based: Dir is either equ(right) (for right-to-left based upon an equality), equ(left) (for left-to-right based upon an equality), imp(right) (for right-to-left based upon an implication), or imp(left) (for left-to-right based upon an implication).

Kind is one of direction_in (only rippling-in is allowed) direction_out (only rippling-out) direction_in_or_out (either), depending upon the type of rippling permitted. (If Kind is uninstantiated the default is direction_in_or_out.)

Useful information: ripple/6 is the core of the static wave-rule parsing mechanism. Both eager and lazy are implemented. When a term is to be rippled, ripple/6 first examines a cache of previously parsed wave-rules and uses those if they are applicable. This corresponds to eager parsing, since those cached rules are already available. If none of the cached wave-rules are applicable (or others are required on backtracking), lazy parsing is used.¹

The skeleton preservation is modulo sinks. Weakening is not carried out by ripple/6: weakening must be done explicitly (see wave/4 for how that can be done). ripple/6 does not carry out meta-rippling (see unblock/3 for a description of that).

See wave/4 for an illustration of how ripple/6 is used to do term rewriting.

scheme(?Thm,?Term,?Scheme)

scheme/3 is a schematic database of all of the inductions loaded into the environment. At present, scheme/3 is only suited to the representation of structural inductions which has single step cases.

¹Strictly speaking, this caching of wave-rules is not eager static parsing, since not all possible parses of the rewrite rules are necessarily computed.

There are two different representations of induction schemes, an object-level one and a meta-level one. Thm is the name of the object-level theorem which is a statement of the validity of the induction. Scheme is the meta-level version of this induction scheme. The translation is done automatically by the library mechanism when the object-level scheme is loaded with lib-load(scheme(Thm)). In fact for some schemes, Clam is either unable to do this translation automatically or no such translation exists. (See the relevant section on lib-load for more details.)

Induction schemes are indexed via a substitution of terms for induction variables: since the meta-level scheme makes these variables explicit, it is only necessary to index on the terms. Term is a list of unannotated terms in implicit correspondence with each of the variables to be induced upon.

Scheme is a Prolog term, a list of the elements. Each element has the form Sequents ==> Conclusion, where Conclusion is a schematic term of the form phi(...) where ... is a list of arguments. Each argument has the form V:T, where V is a Prolog variable and T is a possibly non-ground Prolog term. The set of variables in the Conclusion is called V, the set of free variables in the T are called T.

As indicated above, the third argument of scheme/3 reads as an induction scheme:

$$\frac{\text{Hyps1==>Goal1 HypsN ==> GoalN}}{\text{==> Goal}} \text{ induction}$$

Sequents is a list of sequents of the form $\tt Hyps ==>Goal.$ When $\tt Hyps$ is empty, the sequent may be written $\tt ==>Goal.$ Goal is again a term of the form $\tt phi(...)$ (of the same arity as appeared in $\tt Conclusion$), where each argument is an object-logic term, possibly containing Prolog variables. Let the set of Prolog variables be called G.

Hyps is a list of the (binding) form B:BT or the (induction hypothesis, or indhyp) form $\mathtt{phi}(\ldots)$. (Again \mathtt{phi} must have the same arity as in Conclusion.) Let the set of binding variables be B, and the set of variables in the indhyp form be IH. We insist that $B = IH \cup G$, so that all variables in a sequent (both goal and hypotheses) are mentioned in the bindings.

The terms BT may mention Prolog variables in T (scheme/5 allows these variables to be instantiated when a scheme is applied to a goal. This freedom for type variables is useful: see the example below.

Internally, B, (and hence IH and G) are kept in a different name space from V (appearing in Conclusion), but it might be confusing (on a casual perusal of the scheme/3 database) to rely on this, and so the user should discipline itself to keeping these sets disjoint, $B \cap V = \emptyset$.

Example Here are two example induction schemes both of which are present in the standard Clam library.

The library mechanism loads the object-level theorem and then translates it into a meta-level scheme.

Notice that there are two induction hypothesis in the second of the two subgoals: phi(E) and phi(F). Induction using this rule will normally try to exploit both of these hypothesis and so the constructor node(.,.) in the goal will be a multi-hole wave-front.

scheme/5 will create the appropriate annotations automatically.

scheme(?SchemeSource,?Sch,+H==>+AnnGoal,?BaseSequents,?StepSequents)

Induction scheme Sch is applicable to the sequent Sequent giving a list of BaseSequents and StepSequents. SchemeSource is the source of the induction scheme, e.g., lemma(Thm) where Thm is the name of the object-level induction theorem. scheme/5 is the meta-level partner to the corresponding induction inference rule since it also adds necessary meta-level annotation. For some logics (e.g., Oyster) it is possible to justify the induction rule by proving some (typically) higher-order scheme lemma. These scheme lemmas are used by the induction tactic. (See §4.1 on page 109 for information on this topic.)

H and AnnGoal may be annotated, although any inductive conclusions in StepSequents will not have sinks preserved from AnnGoal: they are removed first.

The Sch term identifies the induction scheme albeit in a crude and non-unique way (see also scheme/3. It is a list of the form (V:T)-IT where V:T is an essentially universal variable from H==>AnnGoal (i.e., either a universally quantified variable in AnnGoal or a parameter occurring in H). IT is the term which will be substituted for all occurrences of that V in AnnGoal when the induction is performed.

It is a list of the induction terms used in the induction. The order of these terms is that which appears in the scheme/3 database, considering a left-to-right traversal of the list of sequents. For example, for the nat-list-pair scheme:

Sch would be (assuming induction on x and y) [(x:pnat)-s(X), (y:pnat list)-h::t]. In this induction, scheme/5 would insist upon induction parameters h and t for the list part of the induction, whilst for the pnat part the induction parameter is left unbound and will be automatically chosen.

scheme/5 is a uniform way of exploiting the scheme/3 database, which is the raw form in which induction schemes are stored in Clam.

Although recursion schemes can have any number of step-cases, the schemes are still characterised (indexed) by a single term (the IT argument). This is obviously not good enough. Furthermore, even for induction schemes with only one step-case, simple classification (or characterisation or indexing) of induction schemes by a single induction term is not rich enough: different induction schemes can have the same induction scheme, but still be very different.

Example scheme/5 has a generous mode so that it can be used to test for and to generate applicable induction schemes.

```
| ?- scheme(\_,Scheme,[]==>x:pnat=>y:int list=>p(x,y),B,S).
Scheme = [(y:int list)-v0::v1],
B = [[y:int list] == > x:pnat => p(x,nil)],
S = [[v0:int,v1:int list,ih:[RAW,v2:x:pnat=>p(x,v1)],y:int list]
       ==>x:pnat=>p(\x/,''v0::{v1}''<out>)];
Scheme = [(x:pnat)-s(v0)],
B = [[x:pnat] ==>y:int list =>p(0,y)],
S = [[v0:pnat,ih:[RAW,v1:y:int list=>p(v0,y)],x:pnat]
       ==>y:int list=>p(''s({v0})','<out>,\y/)];
Scheme = [(x:pnat)-s(v0),(y:int list)-v1::v2],
B = [[v0:pnat,x:pnat,y:int list]==>p(v0,nil),
     [v0:int list,x:pnat,y:int list] == >p(0,v0)],
S = [[v0:pnat,v1:int,v2:int list,
      ih:[RAW,v3:p(v0,v2)],x:pnat,y:int list]
       ==>p(''s({v0})''<out>,''v1::{v2}''<out>)];
no
| ?-
```

(The last solution here is produced only when scheme(nat-list-pair) has been loaded.)

Example Induction on a parameter

Example Similar to the example above but in which the scheme prescribed is inapplicable due to variable conflicts

```
| ?- scheme(_,[VT-s(s(v0))],[v0:pnat]==>x:pnat=>p(x,v0),B,S).
no.
```

Example The induction rule (schematic in ϕ)

$$\frac{\phi(0) \quad \phi(x) \rightarrow \phi(s(x))}{\forall x : pnat. \phi(x)}$$

Could be used in a proof of associativity of plus via

sinks(?T1, ?SinksSpec, ?T2)

T2 is as T1, except that T2 has sinks in the positions specified by, SinksSpec, a list of term positions. Due to the generous mode of this predicate, sinks/3, can be used to insert (mode sinks(+,+,-)) or to delete sinks (mode sinks(-,+,+)), or locate sinks (mode sinks(-,-,+)). At least one of T1 of T2 must be instantiated.

sink-proper(?T1, ?T2)

T1 is identical to T2 except that T1 is enclosed in a sink. sink-proper/2 can be used to retrieve the contents of a sink (mode sink-proper(+,-)) or package up a term in a sink (mode sink-proper(-,+)). Either T1 or T2 must be instantiated.

```
skeleton-position(+GPos, +G, -HPos )
```

 \mathtt{GPos} is a position inside the annotated term \mathtt{G} , and \mathtt{HPos} is the same position with respect to the skeleton of \mathtt{G} .

For example, in an inductive proof, one can use this predicate to compute positions in the hypothesis which correspond to position in the goal, providing those positions are in the skeleton.

split-wave-fronts(+Term,?PosL,?STerm)

STerm will be as Term, but with a number of complex wave-fronts split into smaller ones. PosL will contain the positions of the wave-fronts in Term which were split. It generates on backtracking all possible splits of all wave-fronts; it returns the possible splits in a sensible order: it returns the splits in bigger chunks (i.e., few splits) before splits in smaller chunks.

See the description of join-wave-fronts/3 for further details.

```
strip-meta-annotations(+T1, -T2)
```

Identical to unannotated (T1, T2). See unannotated/2.

```
strip-redundant-sinks(+T1, -T2)
```

T1 and T2 are lists of goal sequents. The corresponding goal sequents from each list are identical except that for each goal in T1 which contains sinks but no wave-fronts the associated goal in T2 contains no sinks.

```
strip-redundant-waves(+T1, -T2)
```

T1 and T2 are lists of goal sequents. The corresponding goal sequents from each list are identical except that for each goal in T1 for which a nested induction would not be profitable then the wave-fronts in the associated goal in T2 are not present.

term-instance(+Context,T,S)

T is a quantifier-free formula (term) in some context Context and S is the result of instantiating variables appearing in T with values of the appropriate type. Values are ground constructor terms. The choice of these values is unspecified: one can think of them as being selected from a known, finite set randomly (cf. random-term-instance/3). On backtracking, generates other term instances, no two of which are identical.

```
?- term_instance([x:pnat,y:int list],f(x,y),P)
P = f(0,0::nil);
P = f(0,-3::nil);
P = f(0,0::0::0::nil);
P = f(0,0::0::-3::nil);
P = f(0,0::0::3::nil);
```

This predicate is used by trivially-falsifiable/2.

```
theorem(?Theorem, ?Goal)
```

Theorem is an Oyster theorem or lemma with top level goal G. See §3.3 on page 92 for more information on the nature of theorems and lemmas.

```
trivially-falsifiable(+C,+F)
```

F is a formula in context C which can readily be shown to be false. 'Readily shown to be false' means that one of (up to) five randomly chosen ground instances of F evaluate to 'false'. (Of course, 'five' is a special number: no fewer, no more.)

Evaluation is performed using ev/2 and the defining equations present in the current environment.

Example applications of this predicate can be found in weak-fertilize/4 and generalise/2.

unannotated/1(+T1)

T1 contains no meta-level annotations.

unannotated/2(+T1, ?T2)

T1 and T2 are identical except that all meta-level annotations (wave-fronts, holes, and sinks) which appear in T1 are absent from T2.

unannotated-hyps(+T1, -T2)

T1 and T2 are identical hypothesis lists except that T2 does not contain any annotation.

unifiable(?S,?T)

S and T are could be unified with each other. No variable instantiation takes place. See also unify/2 and matches/2.

unify(?S,?T)

unify/2 computes the most general unifier of terms S and T and instantiates them with that unifier. See also unifiable/2 and matches/2.

universal-var(+Seq,?Var)

Var is a variable occurring universally in the sequent Seq. This can be because Var occurs among the hypotheses in Seq, or because Var appears as an explicitly universally quantified variable in the goal of Seq.

unflawed-casesplit-suggestion(+H,+G,?Scheme)

Scheme is an unflawed casesplit for goal G in context H. See casesplit-suggestion/3 for more information.

unflawed-induction-suggestion(+H,+G,?Scheme)

Scheme is an unflawed induction scheme for goal G in context H.

This predicate carries out the same analysis as induction-suggestion/3 but it further restricts Scheme to be such that there are no flawed variable occurrences. See induction-suggestion/3 for more information.

wave-fronts(?T1,?FrontsSpec,?T2)

T2 is as T1, except that T2 has wave-fronts in the positions specified by FrontsSpec. See [9] for a precise description of wave-fronts; see split-wave-fronts/3 for a discussion of this normal form.

To make this document self-contained, $\S A.3.1$ on page 131 gives a brief description of wave-fronts and their representation. FrontsSpec is a list of terms, each specifying the position of a wave-front as described in $\S A.3.1$ on page 131.

Due to the generous mode of this predicate, wave-fronts/3 can be used to insert wave-fronts (mode wave-fronts(+,+,-)), or to delete wave-fronts (mode wave-fronts(-,+,+)), or to find wave fronts (mode wave-fronts(-,-,+)). At least one of T1 and T2 must be instantiated.

wave-fronts are pretty printed by Clam as follows: the wave-front B_i is surround by double quotes (''...'), and all wave hole in B are surrounded by braces ({...}). Thus, a formula like f(g(x),y), with wave-fronts specified by [[1]-[[1]]] would be printed like f('g(x))',y.

(But see also idplanTeX[0;1] and dplanTeX[0;1] to get LaTeX=LATeX source files of proof-plans.)

wave-terms-at(+Term, ?Pos, ?SubTerm)

SubTerm is a subterm of Term at position Pos such that SubTerm is a wave-term or contains wave-term(s).

well-annotated(+Term)

Term is is a well-annotated term. Clam will only manipulate well-annotated terms (as defined in §4.4.1 on page 116) (with the exception of join-wave-fronts/3 and maximally-joined/2.

2.2.2 Oyster specific predicates

There are a great many ways in which Clam implicitly assumes that its object-logic is type theory, Oyster in particular. This section documents some predicates which are very specific to Oyster.

canonical(?Term,?Type)

Term is a canonical member of Type (see readings on Type Theory (e.g. [13]) for a precise definition of canonical). Although it is possible to call this predicate in mode canonical(-,+), to generate all canonical members of a given type, this is in general not very useful (typically, types have an infinite number of canonical members), and the only useful mode is of course canonical(+,?), to check whether a given element is canonical in a type.

Currently, this predicate does not contain a full definition canonical members of all types in Oyster, and should be extended when and if needed.

constant(?C,?T)

C is a constant of type T. The only useful mode is of course constant(+,-), since mode constant(-,?) would just generate an infinite number of constants of type Txxxxs.

elementary(+S,?T)

T is an Oyster tactic which proves sequent S. All annotations appearing in S are ignored (removed). The proof is restricted to a limited amount propositional reasoning and a limited amount of non-propositional reasoning.

It is hard to characterise the class of sequents provable by elementary/2:

- It is almost a decision procedure for intuitionistic propositional sequents.
- It spots identities (X=X in T).
- It removes universally quantified variables from the goal and sees if the remainder is a tautology (x:pnat=>f(x)=>f(x)).
- It knows a little bit about the structure of types such as uniqueness properties, e.g., that no number is equal to its own successor and that no number's successor is equal to zero.

If S is a list of sequents then T is the corresponding list of tactics.

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elementary/2 requires that certain lemmas are loaded from the library: this dependency is reflected in the needs file.

propositional(+S,?T)

S is a derivable sequent of intuitionistic propositional logic, and T is an Oyster tactic to prove this to be the case.

type-of(+H,+Exp, ?Type)

Guesses (or checks) the Type of Exp, presumed to be well-typed in context H. This is of course in general undecidable in Martin Löf's Type Theory, which is why I used the word guess. On backtracking it enumerates all educated guesses (see guess-type/3).

```
| ?- type_of([],lambda(u,lambda(v,member(u,v))),T).
T = int=>int list=>u(1)

| ?- type_of([],lambda(u,app(u,u)::nil),T).
T = _7317 list=> (_7317 list)list
```

2.2.3 The method language: connectives

This section discusses the connectives that can be used in the method-language. Obviously, we can use any Prolog connective (such as ;, \+ etc) but we rather develop our own principled (?) set of connectives (as with the predicates discussed above). The only "native" Prolog connective that we recommend is conjunction: ",". Again, the connectives are discussed in alphabetical order.

thereis {?Var\+List}:+Pred

Extensional bounded existential quantification: succeeds if Pred succeeds for some element of List. Var will become bound the first such element. All other variables mentioned in Pred will remain unbound. Var can occur in Pred. Var can also be a general term containing variables. This term should then be the element of List for which Pred succeeds, and all variables occurring in this term will be bound. The thereis/1 predicate produces only the first element in List for which Pred succeeds, and fails on backtracking.

thereis {?Var\+Pred1}:+Pred2

Intensional bounded existential quantification: succeeds if Pred2 succeeds for some element in the set of values for Var specified by the predicate Pred1. Var becomes bound to the first such element. All other variables in Pred1 and Pred2 will remain unbound. Var can occur in both Pred2 in Pred1. Var can also be a general term containing variables. Pred1 must then specify values for each of these variables such that Pred2 holds. Only variables occurring in Var can be referred to in Pred2. The thereis/1 predicate does not backtrack to find further elements in Pred1 for which Pred2 succeeds. This is a bounded quantifying construct: the set of values for Var specified by Pred1 must be finite (this is needed to ensure finite failure of this predicate). The intensional bounded existential quantification can be expressed in terms of the extensional bounded quantification as follows:

```
thereis {Var\Pred1}:Pred2 :-
    findall(Var, Pred1, List), thereis {Var\List}:Pred2.
```

thereis {?Var}:+Pred

Minor variation on the existential quantification constructs. Succeeds if there is a value of Var for which Pred succeeds. Var becomes bound to the first such value. Var can occur in Pred. All other variables in Pred remain unbound. Var can also be a general term containing variables. Does not backtrack over alternative values of Var for which Pred holds.

forall {?Var\+List}:+Pred

Extensional bounded universal quantification: forall {Var\List}:Pred succeeds if Pred succeeds for each element of List. Var can occur in Pred. This succeeds for any Pred if List is empty. All variables mentioned in Pred (including Var) will remain unbound. Var does not have to be a uninstantiated variable, but can be an arbitrary term containing variables, each of which can then be used in Pred. The following examples all succeed without any variables getting bound:

```
:- forall \{X\setminus[1,2,3]\}: number(X). :- forall \{f(X,Y)\setminus[f(1,a),f(2,b)]\}: (number(X), atomic(Y)).
```

forall {?Var\+Pred1}:+Pred2

Intensional bounded universal quantification: succeeds if Pred2 succeeds for each element in the set of values for Var specified by Pred1. Var can occur in Pred2 and Pred1. This succeeds if Pred1 specifies the empty set (i.e., if Pred1 fails for any value of Var). All variables occurring in Pred1 and Pred2 (including Var) remain unbound. Again, Var can also be an arbitrary term containing variables. Only the variables occurring in Var can be referred to in Pred2. This is a bounded quantifying construct: the set of values for Var specified by Pred1 must be finite. The intensional bounded universal quantification can be expressed in terms of the extensional bounded quantification as follows:

```
forall {Var\Pred1}:Pred2 :- findall(Var, Pred1, List), forall
{Var\List}:Pred2.
```

listof(?Term, +Pred, ?TermSet)

This predicate is as the Prolog built-in setof/3, except that this predicate does not fail. If Pred never succeeds, TermSet will be the empty list [], instead of the predicate failing (as setof/3 does). In this respect, listof/3 is like the Quintus library predicate findall/3, except that TermSet is indeed a set, and not a list. Thus, listof/3 can be thought of as:

```
listof(Term,Pred,TermSet) :- setof(Term,Pred,TermSet),!.
listof(_,_,[]).
```

```
map-list(?OL, +OE:=>NE, +Pred, ?NL)
```

The predicate maps OL into NL by applying Pred to each element. OE and NE must occur in Pred, and are regarded as input- and output-argument respectively. Values for OE are taken from OL and values for NE are used to for NL. If Pred is bidirectional, then map-list works bidirectionally as well. (N.B.Variables in Pred which do not appear in OE or NE get uniformly renamed.)

Essentially this predicate produces the extension of a function (Pred) to a set (OL). Example:

```
:- map_list([1,2,3], I:=>0, 0 is I+10, L).
L = [11,12,13].
:- map_list([a+1,b+2,c+3], C+N:=>C+NN, NN is N+10, L).
L = [a+11,b+12,c+13]
```

```
map-list-filter(?OL, ?OE:=>?NE, +Pred, ?NL)
```

This is the same as map-list/4 but elements for which Pred fails are dropped from NL.

```
map-list-history(?OL,?X-?OE:=>?NE,+Pred,?NL,?Hist)
```

This is the same as map-list/4 with the exception that the list of so-far-computed NL is unified with X before Pred is called. Hist is the 'initial' value of this so-far-computed list—normally the empty list. This means that the mapping can be dependent upon the mapping of previous elements.

Here is an example which enumerates the elements of a list:

```
?- map_list_history([a,b,c,d], (X-N):=>L-N, length(X,L), New, [] ). New = [0-a,1-b,2-c,3-d]
```

```
map-list-history-filter(?OL,?X-?OE:=>?NE,+Pred,?NL,?Hist)
```

This is the merge of map-list-filter/4 and map-list-history/5. It filters and gives access to the history too.

Here is an example which removes duplicates from a list:

not +Goal

Meta-linguistic negation by failure. Exactly as Prolog's \+. Variables in Goal will not be bound.

+G1 orelse +G2

Committed disjunction. G1 orelse G2 will execute G1 but if this fails will execute G2. The only difference between G1 orelse G2 and G1 or G2 is that the orelse construct does not allow backtracking over G1. For Prolog hackers: G1 orelse G2 is shorthand for (G1,!); G2 or equivalently G1->true; G2.

2.2.4 Compound methods

A distinction can be made between *simple methods* and *compound methods*. Simple methods use only the predicates and connectives described in §2.2 to formulate their preconditions and postconditions. A method is called compound when it calls other methods from its pre- or postconditions. Thus, compound methods can be seen as built out of other methods (together with the predicates and connectives from the method language). The ind-strat method (as described in [4]) is an example of such a compound method. The method-language from §2.2 on page 11 offered no

constructs for calling other methods from a method's pre- or postconditions, and the first subsection below describes how this can be done.

Calling other methods from a method's pre- or postconditions can also be done in a fail-safe way if that is required, using the try/1 connective. Methods can also be combined in a sequential way using the then/2 connective or disjunctively using the or/2 connective. All these connectives are described below. (All these connectives are very close to the Oyster tacticals of the same names).

A final way of constructing compound method are the *iterating methods*. Such an iterating method (also called an *iterator*) is build by exhaustively iterating another method (or set of methods). The final subsection below describes how to build iterating methods.

2.2.4.1 Calling other (sub)methods

The main predicates for calling a (sub)method from the pre- or post-conditions from another method are applicable/[2;4] and applicable-submethod/[2;4], described in §2.4.1 on page 71. Figure 2.3 shows part of the normal/1 submethod which checks as part of the preconditions whether there is a lemma that can be used to prove the antecedent of an implication occurring in the hypothesis-list. An alternative (and equivalent) way of formulating this method would of course have been to include the code for the apply-lemma/1 and backchain-lemma/1 methods in the preconditions of the normal/1 submethod, but the formulation of figure 2.3 has all the obvious advantages of modularity, readability etc. In the preconditions of the normal/1 submethod it is only necessary to know if the apply-lemma/1 or backchain-lemma/1 methods apply, but it is not necessary to know what the output sequent and postconditions of these methods are if they apply. Therefore, the predicate applicable/2 is used. Had it been necessary to know the output sequent and/or the postconditions of the apply-lemma/1 or backchain-lemma/1 methods, the predicate applicable/4 should have been used instead.

As shown in figure 2.3, methods can also be represented using a submethod/6 term. This submethod/6 representation should be used if the method is not to be used in its own right during the plan formation, but only as a submethod to be called from other methods (which should then use the applicable-submethod/[2;4] predicate for this purpose). Thus, both methods represented by method/6 and as submethod/6 can be called from the pre- and postconditions of other methods, but only methods represented by method/6 will be used as building blocks by the plan-formation programs.

2.2.4.2 Iterating (sub)methods

It is possible to create a new method out of a given set of (sub)methods by constructing an *iterator*. This iterator will exhaustively apply the elements of the given set until none of them apply any longer. Thus, the application of an iterator is equivalent to a maximally long chain of applications of the given (sub)methods. The preconditions of the newly constructed iterator will state that at least one of the methods in the given set is applicable, and the postconditions will state that none of the methods in the given set is applicable.

The representation of an iterating method is as an iterator/4 term:

iterator(+IteratorType,+Name,+IteratedType,+MethodList)

When this term is read when loading methods, a new (sub)method Name will be constructed which iterates the (sub)methods specified in MethodList. The tag IteratorType must be one of the atoms method or submethod, and speci-

fies whether the resulting iterator Name is a method or a submethod. Name must be an atom, and the elements of MethodList must be specified as skeletal functors². IteratedType must be one of the atoms methods or submethods, and specifies whether the iteration is over methods or over submethods. The resulting method will be Name of arity 1 (Name/1), and will exhaustively apply the elements of MethodList until none of them apply any longer. Thus, the application of a Name/1 is equivalent to a maximally long chain of applications of the elements of MethodList. The preconditions of the newly constructed iterator method Name/1 will state that at least one element of MethodList is applicable, and the postconditions will state that none of the elements of MethodList is applicable.

Using various combinations of the values for IteratorType and IteratedType it is possible to construct a method that iterates submethods, a submethod that iterates methods, etc.

For example, the following iterator/4 term constructs an iterating method sym-eval/1 which iterates 3 submethods:

An example application of the newly constructed sym-eval/1 method would be:

Thus, the single argument of the newly constructed iterator (sub)method will become bound to the list of applications of the iterated (sub)methods. Clam's pretty-printer (described in §3.2.1 on page 88) treats iterator (sub)methods specially. It suppresses the single argument of Name/1, since this argument gets very long and complicated in general and does not carry much information that a user would want to see. It prints this list as [...], where the number of .s represents the number of iterations applied.

The construction of an iterating method using the iterator/4 predicate will also result in the construction of a tactic of the same name as the iterating method for execution purposes. Notice that this automatic construction of a tactic (i.e., a Prolog predicate) might result in overwriting an existing Prolog predicate that accidentally has the same name.

The method constructed with iterator/4 iterates the given set of methods exhaustively. Thus, only the longest possible chain of consecutive applications of methods in the given set will be generated by the iterator. No subsequences of this chain of applications will be generated. Also, no permutations of this chain will be generated (the elements in the given set are tried in the order in which the set was specified). Small modifications in the code of iterate-methods/4 would make it possible to generate subsequences or permutations or both. See §4.2 on page 113 in the *Programmer Manual* for details.

```
repeat(+IGs,+CG:=>SGs,+PS,+FS,+SPs,+OGs)
```

repeat/6 can be used to construct sub-planners, that is methods which construct a possibly branching sub-plan depth first. For each sub-goal in IGs repeat unifies it with CG and invokes FS. This, if it succeeds binds the plan step to be recorded to PS

<u></u>

²A skeletal functor is a functor with all arguments uninstantiated. For instance $f(_,_)$ is the skeletal functor corresponding to f/2.

and the subgoals (if any) to SGs. repeat/6 then recursively invokes itself (depth-first) on the sub-goals introduced until there are no further subgoals to which FS can be successfully applied. When it completes the plans found for each goal in IGs they appear in the corresponding position in SPs. An empty plan is denoted by the token idtac. Any open sub-goals left over are unified with OGs.

iterate(+IArg,+CArg :=> NArg,+Proc,+CutCond,-OArg)

iterate/5 is used to iterate a given procedure, Proc, over some argument, IArg, with control over backtracking. When invoked iterate unifies IArg with CArg and then invokes Proc. If either this unification or the procedure invocation fails it finishes and unifies IArg with OArg. If Proc succeeds iterate then invokes CutCond. If this fails NArg is bound to OArg and iterate succeeds and Proc may resatisfy on backtracking. If CutCond also succeeds iterate recursively invokes itself with IArg replace with NArg, but any resatisfaction of Proc is cut. This rather specialised behaviour turns out to be just what you need for rewriting methods that should apply some submethods exhaustively except at points where looping might be introduced.

iterate-lazy(+IArg,+CArg :=> NArg,+Proc,+CutCond,-OArg)

iterate-lazy/5 is as iterate/5 but it prefers shorter rather than longer iterations. It tries sequences of length 0, then 1 on backtracking, then 2 and so on.

This form of iteration is useful for controlling unblocking in the inductive proof plan: we only want a minimal amount of unblocking rather than a maximal amount. See unblock-lazy/1 for an example.

2.2.4.3 Calling fail-safe (sub)methods

Sometimes it is desirable to apply a method if applicable, but to not fail if the method is not applicable. An example of the need for such a fail-safe mechanism of applying (sub)methods is the application of the eval-def/2 method in the basecase of an induction. If the eval-def/2 is applicable we want to apply it, otherwise we just want to leave the base-case sequent unchanged. The try/1 connective implements this mechanism:

try +Method

The construct try Method is always applicable, whether Method is applicable or not. If Method is applicable, the postconditions and output-sequent of try Method are as the postconditions and output-sequent of Method. If Method is not applicable, the postconditions of try Method are empty and the output-sequent is a singleton list consisting of the input-sequent. Thus: if Method is applicable, then try Method is like Method, if Method is not applicable, then try Method is the identity operation.

An example of the use of try/1 is in combination with an iterator. As explained in the previous section, an iterator succeeds only if at least one of the iterated methods can be applied. In combination with the try/1 connective, an iterator can be seen as a chain of 0 or more applications, instead of a chain of 1 or more applications.

2.2.4.4 Disjunctively combining (sub)methods

Methods and submethods can be combined disjunctively (that is: either one (sub) methods is applied or the other), using the or/2 connective:

M1 or M2

The construct M1 or M2 is applicable whenever M1 is applicable to the given input sequent or M2 is applicable to the given input sequent. The system will first try the applicability of M1, and, on failure or backtracking, try the applicability of M2. The output sequents of the or/2 construct are the output sequents of the chosen applicable method.

2.2.4.5 Sequentially combining (sub)methods

Methods and submethods can be combined sequentially (that is: one (sub)method is applied to the output sequent of another (sub)method) using the then/2 connective:

M1 then M2 M1 then $[M2_1, \ldots, M2_n]$

The construct M1 then M2 is applicable whenever M1 is applicable to the given input sequent and M2 is applicable to each output sequent of M1.³ The construct M1 then $[M2_1, \ldots, M2_n]$ is applicable whenever M1 is applicable to the given input sequent and each element of $[M2_1, \ldots, M2_n]$ is applicable to the corresponding output sequent of M1 (thus, M1 is required to have n output sequents). The output sequents of the then/2 construct are the output sequents of the applications of M2 or $[M2_1, \ldots, M2_n]$.

2.3 The method database

Clam provides a database for storing methods and submethods. Methods and submethods can be individually loaded and stored in the database. The distinction between methods and submethods only arises when they are loaded into the database. As stored in the library, and as described in this manual, there are no submethods. However, a method may be loaded in such a way that it enters the database as a submethod.

The order of the database can be changed by the user, and the contents of the database can be inspected. It is also possible to remove methods from the database. The manipulation of the (sub)methods databases (adding and deleting methods) is integrated with Clam's general library mechanism, and is described in more detail in §3.3 on page 92.

The order in which the methods occur in the database is significant. A number of planners (for instance the depth-first planner, see §2.4.2 on page 74) try to apply methods in the order in which they appear in the database. As a result, methods which are cheap should occur at the top of the database. Cheap can mean a number of things, for instance that the preconditions are easily tested, or that the preconditions lead to failure quickly if the method is not applicable. Another reason for putting methods early in the database used to be when they lead to termination of plans. Such methods are in general a good thing to choose if they are applicable, and thus should be tried early on in the search for applicable methods. However, most planners described in §2.4 are smart enough to first look for terminating methods themselves before looking for other methods, so that the place of terminating methods in the database no longer matters.

Some methods assume the presence of other methods, and not all combinations of methods are meaningful or effective. The dependencies between methods can

³Note that this is applicable with the *same* instantiation: i.e., M2 is instantiated.

be expressed using the needs/2 predicate that is provided by the Clam's library mechanism (see §3.3).

Apart from the general library predicates, the following predicates are available for inspecting the current databases for methods and submethods:

method(?M,?I,?Pre,?Post,?O,?T)

This is the main predicate for accessing the elements in the database of methods: M is a method with input sequent I, preconditions Pre, postconditions Post and output sequent list O. T is the tactic associated with M. (Current convention is that M==T).

submethod(?M,?I,?Pre,?Post,?0,?T)

As the method/6 predicate, but for the database of submethods.

list-methods(?L)

Unifies L with a list representing the current order of methods in the database. Each element of L is a method specification of the form Functor/Arity.

list-methods

As list-methods/1, but instead prints the result on the current output stream.

list-submethods(?L)

As list-methods/[0;1], but for the database of submethods.

2.3.1 Current repertoire of (sub)methods

This section will briefly described the current set of methods in Clam. The purpose of describing the current set of methods is instead to give a brief introduction-by-example into the art of method-writing.

elementary(I)

/* ELEMENTARY METHOD: applies if current method is a trivial instance

This terminating method deals with simple goals via (elementary/1), and terminates the plan iff this succeeds; I will become bound to the sequence of Oyster inference rules which are needed to prove the input sequent. Because this sequence becomes very long and boring very quickly, Clam's pretty-printer (see §3.2.1 on page 88) treats the term elementary(I) specially, and prints the I as See elementary/2 for more details.

propositional(I)

This terminating method calls a decision procedure for intuitionistic propositional logic. (Quantification over propositions is removed if present.) The predicate that does all the work is propositional/2, which is an implementation of Dyckhoff's algorithm.

If the method is applicable, I will become bound to the sequence of Oyster inference rules which are needed to prove the input sequent. Because this sequence becomes very long and boring very quickly, Clam's pretty-printer (see §3.2.1 on page 88) treats the term propositional(I) specially, and prints the I as

Notice that we need to remove meta-level annotations from the formula before running the decision procedure.

equal(HName,Dir)

```
/* -*- Mode: Prolog -*-
    *@@(#)$Id: equal,v 1.5 1998/11/10 16:08:49 img Exp $
    * Stog: equal,v $
    * Revision 1.5 1998/11/10 16:08:49 img
    * Use inductive_hypothesis/3
    * Revision 1.4 1998/07/30 16:07:57 img
    * drop junk
    * Revision 1.3 1998/07/27 12:51:24 img
```

```
* Only use hyps in non-inductive branches otherwise may spoil fertilization

* Revision 1.2 1997/04/07 11:02:38 img

* Document conditions on annotation

* Revision 1.1 1996/12/11 15:09:18 img

* Merge of mthd and smthd libraries.

* Revision 1.1 1994/09/16 09:34:27 dream

* Initial revision

*/

* Use equalities of the form v1=v2 or v1=t where v1 and v2 are

* vars and t is a constant term.

* We always substitute vars by constant terms, and we rewrite

* var-equalities depending on alphabetic order. (Boyer and More

* use the same hack).

* After having done the substitution, it's not clear if it's

* safe to throw away the equal from among the hypotheses

* (this is what B&M do).

* The goal is assumed to be unannotated

method(equal(HMame,Dir),

H=>3C,

[((hyp(HName:Term=Var in T,H), Dir=right)),

* (hyp(HName:Var=Term in T,H), Dir=right)),

* inductive_hypothesis(_,HName:_,H),

not revex-interm(Cvar), not atomic(Term),

not exp_at(Term,_,Var))

orelse

(atomic(Var), atomic(Term), Term @< Var)),

freelence_all(Var,Term,G,GG),

del_hyp(HName:_H,HThin)],

[HThin=>>GG],

equal(HMame,Dir)).
```

This method checks if there is any equality among the hypotheses. If so, we use the equality to rewrite all hypotheses and the goal. To give the equality a unique direction as a rewrite rule, we always rewrite towards the alphabetically lowest term (using the Prolog term-comparison predicate @<). After this rewriting is done, we can throw away the equality. This is essentially the same approach to equalities as taken in [3].

This method is normally applied as part of the sym-eval/1 iterator.

reduction(Pos,[Thm,Dir])

This method attempts to apply reduction rules. If an applicable reduction rule cannot be found in the current environment, an attempt is made, providing extending-registry/0 succeeds, to extend the set of reduction rules. This is done by proving that a rewrite rule is measure decreasing under RPOS—if this is successful, the new rule is added to the reduction rule database.

See extend-registry-prove/4 for more details on extending the reduction rule database. See $\S 4.3.2$ and $\S A.4$ for more information.

eval-def(Pos, Rule)

```
[% Once have applied a base-case ignore wave-fronts replace(Pos,NewExp,Matrix,NewMatrix), matrix(Vars,NewMatrix,NewG)], [H==>NewG], eval_def(Pos,[Rule,Dir])).
```

This method looks for applicable base and step-equations instead of wave-rules. Furthermore, we require that the expression to be rewritten does not contain any wave-fronts, and does not consist solely of a meta-variable. This is not strictly logically needed (applying a base or step rule to a meta-variable can produce a legal proof step), but is introduced to restrict the applicability of this method. Without this restriction, every base and step rule will always apply to every occurrence of every meta-variable in G, thus exploding the number of possible applications of this method.

existential(Var:Type, Value)

The existential method is designed to deal with existentially quantified base case proof obligations and form part of the sym-eval/1 iterator. As they stand this submethods is not very general. Ideally the submethods equal/2, reduction/2 and eval-def/2 should be modified to deal with rewriting within existential quantification in the same way rippling has been extended.

existential/2 deals with existentially quantified equalities where the existential variable occurs isolated on one side of the equality:

```
/* -*- Prolog -*-
* @(#)$Id: existential,v 1.4 1998/09/15 16:00:34 img Exp $
  * $Log: existential,v $
    Revision 1.4 1998/09/15 16:00:34 img use immediate/[1,2]; indentation changes
     Revision 1.3 1997/04/07 11:38:32 img
    Document assumption about goal annotation
    Revision 1.2 1996/12/11 14:07:12 img
Merge mthd and smthd libraries.
  * Revision 1.4 1996/05/23 11:20:38 img
* incorrect argument order in reduction_rule/6
     Revision 1.3 1996/05/14 16:01:53 img
  * Revision 1.2 1995/06/06 14:34:27 img

* * Corrected bug in which the method succeeded but with a

* faulty result when the variable substition is on R in L = R

* (debugged by Julian Richardson).
  * Revision 1.1 1994/09/16 09:34:27 dream
  * Initial revision
/* The goal is assumed to be unannotated */
method(existential(Var:Typ1,Value),
     H=>G,
[matrix(Vars,Var:Typ1#(LG = RG in Typ2),G),
((LG = Exp1,RG = Exp2,NewMat = (NewExp = Exp2 in Typ2)) v
(RG = Exp1,LG = Exp2,NewMat = (Exp2 = NewExp in Typ2))),
not wave_fronts(_,[_1],Exp1),
not atomic(Exp1),
replace_all(Var,Value,Exp1,NewExp),
reduction_rule(NewExp,Exp2,C,_,Rule__)],
[matrix(Vars,NewMat.NewG1)]
                   [matrix(Vars,NewMat,NewG)],
                   [H==>NewG],
                   existential(Var:Typ1,Value)).
```

normalize-term(Tac)

Normalize the goal by exhaustive application of rewrite rules from a terminating rewrite system (as described by the reduction rule database). Uses labelled rewriting for speed; flattens rule application into a single tactic invocation. Conditions are decided inside reduction-tc/4.

This method will fail if the goal is already in normal form.

```
/* -*- Prolog -*-

* @(#)$Id: normalize_term,v 1.6 1998/09/15 16:01:09 img Exp $
```

00

```
* $Log: normalize_term,v $
* Revision 1.6 1998/09/15 16:01:09 img
   * *** empty log message ***
  * Revision 1.5 1998/09/15 16:00:40 img
* use immediate/[1,2]; indentation changes
   *
* Revision 1.4 1998/06/10 09:31:00 img
* clause for rewriting with definitional eqns
  * Revision 1.3 1997/10/09 17:18:10 img
* new clause for cancellation (disabled)
    * Document condition on annotation
   * Revision 1.1 1996/12/11 14:08:46 img
  * Merge mthd and smth libraries.
  - Revision 1.1 1996/06/12 10:48:43 img
* Normalize the goal using nf_plus/4 (which used labelled term
* rewriting).
/* Normalize the goal by exhaustive application of rewrite rules from
a terminating rewrite system (as described by the reduction rule
database). Uses labelled rewriting for speed; flattens rule
application into a single tactic invocation. Conditions are
decided inside reduction_tc/4.
      This method will fail if the goal is already in normal form.
The goal is assumed to be unannotated. */
method(normalize_term(Tactic),
H=>G,
[matrix(Vars,Matrix,G), append(H,Vars,Context),
reduction_tc(Matrix,MatrixNF,Tactic,Context)],
[matrix(Vars,MatrixNF,NewG)],
[H=>NewG],
normalize_term(Tactic)).
/* This clause deals with terms of the form f(X) = f(Y) --> X = Y.
Note that this rule is terminating under any simplification
ordering: it cannot be oriented from right-to-left under any
registry, and it can be oriented from left-to-right under the empty
registry. Deals only with unary f. */
 method(normalize_term(Tac),
               H==>Goal,
[matrix(GVs,G,Goal),
normalize_term(Tac) )
matrix(vars,Matrix,G), append(H,Vars,Context),
exp_at(Matrix,Pos,Exp),
\+ Pos = [0]_],%don't eval functors
not metavar(Exp),%or meta-variables
rewrite_rule(Exp,NewExp,C,equ(_,left),Origin,Rule,_),
\+ Origin == Rule,% force use of defs from left-right
polarity_compatible(Matrix, Pos, Dir),
immediate(Context==>C)
],
               ],
[% Once have applied a base-case ignore wave-fronts
                replace(Pos,NewExp,Matrix,NewMatrix),
matrix(Vars,NewMatrix,NewG)],
               [H==>NewG],
normalize_term(blank)
```

The second clause is a generalized cancellation method. Goals of the form f(x) = f(y) are reduced to x = y. This is disabled by default.

sym-eval(SymEvals)

This method is an iterator over submethods that effects symbolic evaluation.

```
/*
    * @(#)$Id: sym_eval,v 1.6 1997/10/09 17:20:35 img Exp $
    * $Log: sym_eval,v $
    * Revision 1.6 1997/10/09 17:20:35 img
    * specify type of casesplit
    *
    Revision 1.5 1997/04/07 11:39:17 img
    * Only applicable when goal is unamnotated (to prevent rewriting to
```

base-case(Plan)

The base-case/1 method constructs a plan for base case proof obligations using the submethods elementary/1 and sym-eval/1.

```
/*
    * @(#)$Id: base_case,v 1.3 1998/09/15 15:30:02 img Exp $
 * $Log: base_case,v $
*$Log: base_case,v $
Revision 1.3 1988/09/15 15:30:02 img
unfold sym_eval into base cases in order to avoid reappling equal,
normalize_terms (etc) when elementary is applicable. Consider
base-case of assp: normalize_term is applied, then all others in
sym_eval fail (including testing normalize_term again), before
dropping back to elementary.
 * Revision 1.2 1997/04/07 10:34:48 img
* Allow branching proofs via repeat methodical
 * Revision 1.1 1994/09/16 09:33:29 dream
 * Initial revision
method(base_case(SubPlan),
           equal(_,_),
                                                    normalize_term(_),
casesplit(disjunction(_)),
                                                     existential(_,_)]),
                          applicable_submethod(Goal, Method, _, SubGoals)),
             [SubPlan],
           SubGoals
),!,
SubPlan \= idtac ],
      [],
SubGoals,
      SubPlan).
```

wave(Pos, Rule, Subst)

Rippling. The first clause of the wave method deals with rippling. Type restricts the rippling to outwards wave-fronts (direction_out), inwards (direction_in) or either of these (direction_in_or_out). This control may be useful when writing plans. Note that ripple/6 carries out a check on the sink-ability of any inward fronts in NewWaveTerm and so this does not need to be checked here.

fronts in NewWaveTerm and so this does not need to be checked here.

We may only rewrite annotated terms: skeleton preserving steps are *not* sufficient since they do not guarantee termination. One example of this would be

rewriting beneath a sink, inside a wave-front etc. These are all 'unblocking' operations (cf. unblock/3) since they require a termination justification from something other than the wave-rule measure.

```
/* -*- Prolog -*-
    *@(#)$Id: wave,v 1.4 1999/01/07 16:30:25 img Exp $
  * $Log: wave,v $

* Revision 1.4 1999/01/07 16:30:25 img

* Support for ripple-and-cancel reintroduced (currently disabled,

* however). weak_fertilize uses larger_size/2 to try to replace larger

* with smaller terms during weak fertilization
    * Revision 1.3 1998/09/15 16:00:43 img
* use immediate/[1,2]; indentation changes
   *
* Revision 1.2 1998/06/10 08:32:01 img
   * extend context & type instantiation
   * Revision 1.1 1996/12/11 14:08:52 img
* Merge mthd and smth libraries.
    * Revision 1.11 1996/07/10 09:06:30 img
* Cosmetic changes
   * Revision 1.10 1995/10/03 13:09:13 img
* remove annotations in non-recursive case of complementary wave; use a * different rule in the non-recursive case than that used in the
     recursive case.
    * Revision 1.9 1995/05/10 18:21:08 img
* * cc -> complementary_sets; tidying u
  * Revision 1.8 1995/05/10 03:33:34 img

* * Complementary wave. Checks that a ripple is possible via a conditional rewrite, and that there are complementary revrites. Only the complementary revrites are performed:

* wave-rewrites are left to the other clause. The mechanism by which complementary rules are applied is unsatisfactory since they are dealt with singly rather than n at a time
  * Revision 1.7 1995/04/26 09:20:46 img

* * Weakening moved from wave into unblock
    * Revision 1.6 1995/03/01 03:23:33 img
        * Dynamic rippling method
   * Revision 1.5 1995/02/09 23:47:47 img

* * Simple wave smthd. Only ripples out!
   * Revision 1.4 1995/01/30 09:14:55 dream 
* meta-rippling is disabled. this must be dealt with in a secure manner
   * Revision 1.3 1994/09/22 12:03:01 dream

* *change regular wave to perform rippling dynamically

* * removed joining and splitting since dynamic rippling uses normal

* form only
  * Revision 1.2 1994/09/20 15:03:28 dream

* * use mark_potential_waves/2 instead of potential_waves/2
    * Revision 1.1 1994/09/16 09:34:27 dream
  * Initial revision
/* Dynamic rippling method. "Type" restricts the rippling to outwards
wave-fronts ("direction_out"), inwards ("direction_in") or either
of these ("direction_in_or_out"). This control may be useful when
writing plans. Note that in these cases ripple/6 carries out a
check on the sinkability of any inward fronts in NewWaveTerm.
       Type == ripple_and_cancel is similar to "direction_in" but the check on the sinkability of wave-fronts is not carried out.
        We may only rewrite annotated terms: skeleton preserving steps are
      we may only rewrite annotated terms: skeleton preserving steps are
NOT sufficient since they do not guarantee termination. One
example of this would be rewriting beneath a sink, in a wave-front
etc. These are all "unblocking" operations since they require a
termination justification from something other than the wave-rule
measure. */
method(wave(Type,Pos,[Rule,Dir],[]),
                        [matrix(Vars, Matrix, Conc),append(Hyps,Vars,Context),
     [matrix(Vars, Matrix, Conc), append(Hyps, Vars, Context),
wave_terms_at(Matrix, Pos, Waveferm),
ripple(Type, Waveferm, NewWaveferm, Cond, Rule, Dir, TypeInfo),
polarity_compatible(Matrix, Pos, Dir),
instantiate_type_variables(TypeInfo,Context),
immediate(Context=>Cond)],
[replace(Pos, NewWaveferm, Matrix, NewMatrix),
matrix(Vars, NewMatrix, NewConc)],
[Hyme==NueConc]
     [Hyps==>NewConc],
wave(Type,Pos,[Rule,Dir],[])).
/st Proof plan should be organised to do all n cases in one go, not
      A complementaty wave-rule is not skeleton preserving: the postconditions remove all annotation from the subgoals. Really, all this method has to do is identify sequents which are in the non-recursive branches of rippling proofs. It would be sufficient to leave the sequent untouched, but for removing annotation; however, since it is cheap to apply a rewrite, we do that here as well. */
```

```
method(wave(Type,Pos,[Rule,complementary,Dir],[]),
Hyps=>Conc,
[matrix(Vars, Matrix, Conc), append(Hyps,Vars,Context),
wave_terms_at(Matrix,Pos,M),
%% one of them is a wave-rule, ...
ripple(Type, M, _, WaveCond, _, _,_),% recursive case
unannotated(M,Term),
complementary_set(Cases-Term),
member(WaveCond,-Dir-_RecRule,Cases),% recursive case
%% ... which as already be dealt with in another branch.
%% Next clause ensures we are being used as a complementary wave-rule
member(Cond-RHS-Dir-TI-Rule,Cases),% non-recursive case
\hammatrix(Pars, Mariable(M, Pos, Dir),% check polarity ok
instantiate_type_variables(Ti,Context),
immediate(Context=>Cond)],
[replace(Pos, RHS, Matrix, NewConchm),
unannotated(NewConcAnn,NewConc) % remove annotation since this
% is a non-ripple case
],
[Hyps=>NewConc],
wave(Type,Pos,[Rule,Dir],[])).
```

The third argument of wave/4 is used to record the incremental instantiation of existential variables during the application of existential wave-rules—here it is unused.

wave(Pos, Rule, Subst)

Complementary rewriting. The second clause of the wave method (see wave/4 above) deals with the initial rewriting of non-inductive branches of a casesplit using complementary rewrite rules.

Complementary rewrite rules are not skeleton preserving and so the postconditions remove all annotation from the each subgoal. Really, all this method has to do is identify sequents which are in the non-recursive branches of rippling proofs, and it does this via complementary-set/1. It would be sufficient to leave the sequent untouched, but for removing annotation; however, since it is cheap to apply a rewrite (the right-hand-sides are in Cases, we do that here as well.

casesplit(Conds)

```
/* -*- Mode: Prolog -*-
  * @(#)$Id: casesplit,v 1.10 1998/09/15 16:00:32 img Exp $
  * $Log: casesplit,v $
* Revision 1.10 1998/09/15 16:00:32 img
* use immediate/[1,2]; indentation changes
  * Revision 1.9 1998/08/26 12:54:21 img
     update prefix; duplicate clause removed
    Revision 1.8 1998/07/30 15:59:00 img
Not necessary to adjust sink annotation
    Revision 1.7 1998/07/27 12:57:13 img
Do not touch sink markers
  *
Revision 1.6 1998/06/10 08:30:37 img
* remove sink marking when universal variable is involved in split
    Revision 1.5 1997/10/10 09:17:26 img
                ment datatype split
   * Revision 1.4 1997/10/09 17:16:44 img
    New clause added for splits on datatypes
    Revision 1.3 1996/12/11 14:07:11 img Merge mthd and smthd libraries.
     Revision 1.2 1996/07/10 09:01:35 img
  * from submethod
  * Revision 1.1 1995/05/11 16:21:25 img
  /* We introduce a case-split in the proof when there are "applicable"
* conditional rules (either reduction or wave), none of whose
* conditions are known as true among the hypotheses. This will then
   committons are known as true among the hypotheses. This will then senable the application of the conditional rules in the next step of the proof. We need to check that the free variables in each of the Cases are in the context. If they are not, we have to introduce the corresponding quantifiers. It may not be possible to do this because (i) the quantification may not be universal, and/or (ii) the quantifer may not be in the prefix. To add to the confusion,
```

```
we need to check to see which binding operator is indeed binding
    * we need to check to see which binding operator is indeed binding that occurrence of the variable in the Case(s). I have code * written for this already (in tactics.pl, for rewriting beneath * binding operators) but I haven't used it here yet. In the * meantime, the following approximation (in the post-conditions) will * suffice. */
  method(casesplit(disjunction(Cs)),
 H=>C,

[matrix(Vars, Matrix, G), append(H, Vars, Context),

%% Removing annotations allows this method to be used in

%% base-case as well as step-case situations.

strip_meta_annotations(Matrix, Matrixstripped),

complementary_set(Cases-LHS),

exp_at(Matrixstripped, Pos, LHS),

man list(Cases, Cond-RHS-Dir-TI-Name :=> Cond,
 map_list(Cases, Cond-RHS-Dir-TI-Mame :=> Cond,

+ immediate(H==>Cond), Cs]),

[%% Build the new goals according to each Case: i.e., stick

%% the case in the hypothesis. V are the inhabitants: we

%% instantiate them at the end when we know how many there
%% instantiate them at the end when we know how many there
%% are
...
%Find maximal Vrest
freevarsinterm(Cs, CsFVs), append(Vpre, Vrest, Vars),
\(\text{\text{(member(A, CsFVs), member(A:_,Vrest))},
\(\text{delete, all(Vrest, Vars, Vlost),}
\)
append(Vpre, H, NewH), hfree(V[),NewH),
\(\text{\text{\text{\text{\text{(Nest)}}}}, when the corresponding elements of the prefix of
\(\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{
   map_list(Cases,
  Cond-RHS-Dir-TI-Name :=> (FinalHV==>NewG),
      (instantiate_type_variables(TI,Context),
  append(NewH,[V:Cond],FinalHV)), NewGoals)],
                                NewGoals
                                 casesplit(disjunction(Cs))).
  method(casesplit(datatype(Kind,[V:Type,CSD])),
 H=NoC some analysis to suggest case splits. */

/* Do some analysis to suggest case splits. */

/* Only works on unannotated goals in non-inductive branches */

/* Currently fixed for lists and pnat */
unannotated(G),

+/ inductive_hypothesis(raw,_,H),

+/ inductive_hypothesis(notraw(_),_,H),
  (Kind == unflawed -> unflawed_casesplit_suggestion(H,G,S);
 ((CSD = s(VV).atomic(VV)) ->
       replace_all(V,nil,Matrix,NM1),matrix(Vs,NM1,NG1),
replace_all(V,Hd::Tl,Matrix,NM2), matrix(Vs,NM2,N
                                                                                                                                                                 matrix(Vs,NM2,NG2)))),
   append(H, [nosplit(V), nosrlit(x,nnz), matrix(vs,nn)
append(H, [nosplit(V), nosplit(V)) [VVdec], VVdecH)],
[ H=> NGI,
    VVdecH=>NG2],
    casesplit(datatype(Kind, [V:Type, CSD]))).
```

This method introduces a casesplit in a proof, based on the notion of complementary sets (cf. complementary-set/1). In the preconditions, we test if there is a conditional wave-rule that could be applicable, except that it comes from a complementary set of conditional rules, none of whose preconditions holds. This is then sign to introduce a casesplit in the proof, based on the preconditions from the complementary set. We have to take care to remove any universally quantified variables from the goal which are involved in the conditions of the casesplit.

Repeated application of casesplit is prevented by the test in the preconditions that none of the cases are already provable.

In order to justify a case split we need a *complementary set* of wave-rules, that is: a set of wave-rules whose conditions disjunctively amount to 'true'. (However, this condition is not checked at the proof-planning level.)

unblock(Typ,Loc,Rewrite)

The unblock/2 method provides a conservative set of rewrites for unblocking rippling. There are a number of variants, all embodied in the following method:

```
/* -*- Prolog -*-

* @(#)$Id: unblock,v 1.8 1998/09/15 16:00:42 img Exp $
```

```
* $Log: unblock,v $
* Revision 1.8 1998/09/15 16:00:42 img
         use immediate/[1,2]; indentation changes
    * Revision 1.7 1998/06/10 09:32:27 img
* extra context for type instantiation; new clause for conditional weak fert
      * Revision 1.6 1996/12/11 14:07:17 img
      * Merge mthd and smthd libraries
    * Revision 1.9 1996/12/04 13:20:57 img

* Deleted (highly) redundant calls to wave_terms_at/3: this unnecessary

* since meta_ripple/3 and weaker/2 both account for subterm relation.
    * Revision 1.8 1996/07/10 09:04:27 img

* Need to ensure that unblocking in a wave-front is free of all

* annotation, otherwise ill-formed terms may result.
    * Revision 1.7 1996/06/19 08:32:44 img
* Explicit unused flag (simplifies comparison of proof plans).
       Revision 1.6 1996/05/23 11:20:41 img incorrect argument order in reduction_rule/6
     * Revision 1.5 1996/05/14 15:59:35 img
        Cleaner version, using reduction rules (no labelled rewriting).
       Revision 1.4 1995/10/03 10:30:49 img position of subterm was incorrect
     * Revision 1.3 1995/04/26 09:20:48 img
                 Weakening moved from wave into unblock
       Revision 1.2 1995/03/01 02:55:36 img

* Unblocking for in dynamic rippling: meta-rippling is
generalized to arbitrary measure-decreasing manipulations
excepting those of the object-logic; skeleton-invariant
rewrites inside sinks are legitimate under the new notion of
                 skeleton.
    * Revision 1.1 1994/09/16 09:34:27 dream
    * Initial revision
/* Meta-rippling refers to any measure-decreasing manipulation of the * goal which does not involve manipulation of the object-logic. This * includes weakening and inverting outward wave-fronts to inwards
         wave-fronts. */
 \tt method(unblock(meta\_ripple,unused,unused),\\
        idtac ).
/* Weakening is not performed by ripple/6 so we do it here explicitly rather than in the wave method (see wave_rules.pl). This is arguable; I chose to do it this way because I felt that dropping a skeleton was something we ought not to take lightly. THIS IS DISABLED AT THE MOMENT BECAUSE unblock(meta_ripple) SUBSUMES IT. However, I expect that meta_ripple/3 will be replaced by fast_meta_ripple/9 which is much faster, but does not weaken. In this eventuality, unblock(weaken) may be needed. */

/* method(unblock(weaken, unused, unused),
Hyps=>Conc,

[matrix(Vars, Matrix, Conc),
weaker(Matrix, NewMatrix)],
[matrix(Vars, NewMatrix, NewConc)],
[Hyps==>NewConc],
idtac). */
             idtac). */
/* Apply a measure-reducing rule to a part of the wave-front.
Rewriting in the wave-front ensures that the skeleton is not upset, and, thanks to the measure being insensitive to the terms contained in a wave-front, does not upset the rippling termination measure. Notices that _certain_ ripple measures are not invariant under such wave-front manipulation!
       Rewriting in the skeleton (i.e., altering the skeleton) is possible if (i) the hypotheses are changed to reflect that, or (ii) the skeleton is in a sink position. In case (i), there is some question as to whether this is a good idea: certainly in some proofs it is. Note that there are two cases: (a) rewriting a swhich is wholly contained in the skeleton (this is readily implemented), and (b), the subterm is in both the wave-front and the skeleton. It is this second case that might not permit a corresponding rewrite in the hypotheses, and so it is not always possible to maintain skeleton preservation.
          unblock(sink,...) rewrites inside a sink;
         umblock(wave-front...) rewrites inside a wave-front.
umblock(skeleton...) rewrites a term wholly contained within the
skeleton: this is currently unimplemented.
All do so using reduction-rules, which are terminating.
         Note that a further complication concerning rewriting in the hypotheses arises with the use of rewrites bases on implications where polarity restrictions prevent the rule being applied. */
/* Unblock in a sink. This is quite simply a rewrite beneath a sink.
Since the skeleton of a sink is arbitrary, there is no need to
preserve skeleton in such a position. */
method(unblock(sink,AbsSinkPos,[Rule,Dir]),
    H=>G,
    [matrix(Vars,Matrix,G),append(H,Vars,Context),
```

```
sinks(_,Sinks,Matrix),% fetch a sink
 member(SinkPos,Sinks),
exp_at(Matrix,SinkPos,Sink),
issink(Sink,Contents),
 issink(Sink,Contents),
    exp_at(Contents, ExpPos, Exp ),
\+ExpPos = [0|_],
    \+ var(Exp), % not in MOR
reduction_rule(Exp,NewExp,C,Dir,TI,Rule,_),
append(ExpPos,SinkPos,AbsExpPos),
 append(ExpPos,SinkPos,AbsExpPos),
   polarity.compatible(Matrix, AbsSinkPos, Dir),
instantiate_type_variables(TI,Context),
   immediate(Context=>C) ],
   [replace(ExpPos, NewExp, Contents, NewContents),
   issink(NewSink,NewContents),
   replace(SinkPos,NewSink,Matrix,NewMatrix),
   matrix(Vars,NewMatrix,NewG) ],
   [Hes=NberG]
                         [H==>NewG].
                         reduction(AbsSinkPos.[Rule.Dir])).
 /* Unblock in a wave-front. */
method(unblock(wave_front,ExpPos,[Rule,Dir]),
    H=>G,
    [matrix(Vars,Matrix,G),append(H,Vars,Context),
    ann_exp_at(in_hole,in_front,Matrix,ExpPos,Exp),
[matrix(Vars, Matrix, G), append(H, Wars, Context),
ann_exp_at(in, hole, in, front, Matrix, ExpPos, Exp),
%% and there is no skeleton inside here
\times ExpPos = [Ol_1],
\times var(Exp), % not in MOR
%% Use of well_annotated/1 is tricky here: assuming that
%% Matrix is well-annotated, and Exp is inside a wave-front;
%% if Exp contains annotation, it must have a hole as the
%% uppermost annotation, in which case it is ill-annotated.
%% if it has a wave-front uppermost, Matrix would be
%% ill-annotated (contra the assumption). So we see that if
%% Exp is well-annotated, it in fact does not contain any
%% annotations. This is precisely what we want to ensure.
%% well-annotated(Exp),
well_annotated(Exp),
reduction_rule(Exp, NewExp,C,Dir,TI,Rule,_),
polarity_compatible(Matrix, ExpPos, Dir),
instantiate_type_variables(TI,Context),
immediate(Context=>C) ],
[replace(ExpPos,NewExp,Matrix,NewMatrix),
matrix(Vars,NewExp,Matrix,NewMatrix),
matrix(Vars,NewExp,Matrix,NewMatrix),
reduction(ExpPos, [Rule,Dir]) ).

/* very simple umblockling to simulate conditional weak ferti
 /\ast very simple unblockling to simulate conditional weak fertilization. This method is applicable to sequents of the form:
            v: x:t=>y:u=>p(x)=>q(x,y)
                                                                                                            where q' is q with some annotation p' is an instance of p with some sink annotation
            x:t=>y:u=>p'(x)=>q'(x,y)
           the effects of the method are to strip away the quantifier prefix x and the antecedent p^{\prime} so that weak fertilization may apply inside q^{\prime}. Since p^{\prime} may contain sinks we need to take the appropriate instance of p (there are no other annotations in p^{\prime}).
            v: y:u=>q*(x,y)
          v. j.u.a...
x: t
w: p''(x) We might want to drop this?
y:u=>q'*(x,y) where p' is the erasure of p'
and * denotes the instantiating subst
          Note that the instance q\ast in the new hypothesis may not be suitable for fertilization with the skeleton of q^{\ast}\ast in the goal.
  % method(unblock(cwf,unused,unused),
          matrix(Vars,Pp=>Qp,G),append(H,Vars,Context),
annotations(_,[],PpErasure,Pp),
inductive_hypothesis(Status,Hyp:IndHyp,H),
(Status = raw; Status = notused(_)),
                                 s = raw; Status = notused(_)),
matrix(IndHypVars,P=>Q,IndHyp),% find hyp to use for fertilization
         if(\+IndHypVars==Vars,
   clam_warning('strange.... skel vars are different.')),
            freevarsinterm(P,PFV),% fetch x
           untype(PFVVT,PFV,PFVT),
subset(PFVVT,Context),% find types
         subset(PFVIT,Context),% find types
delete_all(PFVIT,Vars,NevVars),
delete_all(NevVars,Vars,Decls),
matrix(PFV,P,Pf),
instantiate(H,PFV,Pf,PpErasure,Pvals),
/* Qp may contain sinks due to variables in Decls which are no
longer valid. These need to be removed. */
updated_sinks(Decls,Qp,Q,QpQp),
s(Q,Pvals,PFV,NevQ).
            s(Q.Pvals.PFV.NewQ).
          s(QpQp,Pvals,PFV,NewQp),
matrix(NewVars,NewQp,NewG),
matrix(NewVars,NewQ,NewHyp),
           inductive_hypothesis([Hyp:IndHyp],[Hyp:NewHyp],H,RevisedH),
hfree(PV,Context),%slight overkill here
append(Decls,[PV:P],ExtraH),
            append(RevisedH,ExtraH,NewH)],
                               [],
[NewH==>NewG],
                               unblock(cwf,unused,unused) ).
```

% % % % %

unblock(weaken, Pos, []) Weakens some wave-front in the annotated term at position Pos. Weakening is the removal of one (or more) wave-holes from a wave-front. This is subject to the condition that at least one wave-hole remains in the weakened term. This method is not active by default because weakening is covered by unblock(meta-ripple,_,_).

unblock(meta-ripple, Pos, []) Meta-rippling is the rewriting of an annotated term t with the (object-level) rewrite rule $t \Rightarrow t'$, such that (i) t and t' differ only in their annotation (i.e., they are the same when annotations are erased), and, (ii) they have the same skeleton, and finally, (iii) t' is smaller in the measure than t.

When meta-rippling is needed. In a proof that $half(x) \le x$, s(s(x'))/x induction gives a step-case residue of $x' \le s(x')$. s(x'') induction on x' is required but rippling analysis finds that both occurrences of s(x'') in

$$\boxed{s(\underline{x''})} \ \leq s(\boxed{s(\underline{x''})} \)$$

are flawed.⁴ The reason is that the wave-rule for \leq :

$$s(\underline{X}) \le s(\underline{X}) \Rightarrow X \le s(\underline{X})$$

doesn't match. It is necessary to meta-ripple the above term so that the wave-front of the double successor is moved up the term. Then the \leq wave-rule matches.

The meta-rippling rule is created dynamically as needed. For the standard wave-rule measure, t' can be 'smaller' than t if at least one of the following hold:

- 1. t' is weakening of t. Weakening is very expensive when there are multiple numbers of multi-hole wave-fronts because there is a combinatorial problem. Coloured rippling flattens the combinatorial problem since then all terms are effectively single-holed (see [33] for more on coloured rippling).
- 2. Wave-fronts in t' are moved up the term tree (or down for inward fronts) in comparison with the corresponding fronts in t. This is less expensive to carry out but we have to ensure skeleton preservation. This can be expensive unless some partial evaluation goes on. (For example, some of the skeleton remains unchanged.) Often, skeleton preservation is trivial since the wave-fronts are identical, e.g., $s(s(\underline{x})) \Rightarrow s(s(\underline{x}))$.
- 3. Outward wave-fronts become inward wave-fronts. (For the purposes of this version of Clam this type of meta-rippling is not needed since it is part of rippling.)

⁴I think there is little sense here in saying that the first occurrence is unflawed, although one could imagine pursuing that distinction.

See also unblock-lazy/1.

unblock-lazy(Plan)

This iterating method applies the unblock/3 method lazily.

```
/* -*- Prolog -*-
    * @(#)$Id: unblock_lazy,v 1.2 1998/06/10 09:33:44 img Exp $
    * $Log: unblock_lazy,v $
    * Revision 1.2 1998/06/10 09:33:44 img
    * specifiy types of unblocking
    * Revision 1.1 1996/12/11 15:09:30 img
    * Merge of mthd and smthd libraries.
    */

iterator_lazy(method,unblock_lazy,submethods,[unblock(meta_ripple,___), unblock(waken,___), unblock(waken,___), unblock(sink,___), unblock(went_rinc,__)]).
```

It succeeds first with zero applications of unblock/3, then with 1 application on backtracking, then 2 and so on.

ripple(Dir,SubPlan)

The ripple/2 builds possibly branching proofs using wave/4, casesplit/1 and unblock-then-wave/2. The Dir parameter is passed to wave/4 to control the type of rippling.

```
/* -*- Prolog -*-

* @(#)$Id: ripple,v 1.8 1997/10/16 10:32:53 img Exp $
    * $Log: ripple,v $
* Revision 1.8 1997/10/16 10:32:53 img
* restrict casesplitting
        * Revision 1.7 1997/10/09 17:19:56 img
        * removed duplicated header
    * Revision 1.6 1996/12/11 14:07:13 img
* Merge mthd and smthd libraries.
       * Revision 1.5 1996/11/02 14:00:41
       * Drop redundant argument in ripple/3.
    * Revision 1.4 1996/07/10 08:53:15 img
* Slight error in the implemementation of fertilization: it is
* conditional on rippling-in, not on the type of fertilization expected.
    * Revision 1.3 1995/05/11 16:19:30 img
* * upgraded from submethod
       * Revision 1.2 1995/03/01 02:45:50 img
      * * updates to cope with dynamic rippling
    * Revision 1.1 1994/09/16 09:33:29 dream 
* Initial revision
*/
method(ripple(Dir, SubPlan),
NG.

[repeat([HG],
Goal :=> SubGoals,
Method,
(some instance in the instance i
                                [SubPlan].
                               SubGoals),!,
Subblan = idtac],
SubPlan = idtac],
[strip_redundant_sinks(SubGoals,SubGoalsRS)],
SubGoalsRS,
SubPlan).
```

cancellation([],Rule)

```
/*
    * @(#)$Id: cancellation,v 1.2 1998/09/15 16:00:31 img Exp $
    * $Log: cancellation,v $
    * Revision 1.2 1998/09/15 16:00:31 img
    * use immediate/[1,2]; indentation changes
```

This method controls the cancellation of outer term structure during post-fertilization rippling.

fertilize(Type,Ms)

This method controls the use of induction hypotheses once rippling has terminated.

fertilization-strong(Hyp)

```
* Revision 1.3 1998/09/15 16:00:34 img

* use immediate/[1,2]; indentation changes

* Revision 1.2 1998/06/10 08:37:21 img

* Multiple s.f.'s may be possible, so do them all. This means that

* s.f. is no longer closing (see step_case which must now account for

* this possibility).

* Revision 1.1 1996/12/11 15:09:21 img

* Merge of mthd and smthd libraries.

* Revision 1.2 1996/12/04 13:14:51 img

* Use inductive_hypothesis.

* Revision 1.1 1994/09/16 09:34:27 dream

* Initial revision

*/

/* Look for an instance of an inductive hypothesis in the goal. For such a term to exist it must either be the case that the goal does not contain wave-fronts, or, the top of the the matrix is a wave-front and this front has one or more holes, each of which is an instance of some hypothesis. */

method(fertilization_strong(Hyp),

H=>C,

[matrix(_,Matrix,G),

/* simple instance */
   inductive_hypothesis(Status,Hyp:Hypothesis,H),
   instantiate(H,[],Hypothesis,Matrix,_) ],

[],

fertilization_strong(HypsNs),

H=>C,

[matrix(vs,Matrix,G),

findall(AHpp-EN],

(am.exp.at(Matrix,DN],Hole),
   inductive_hypothesis(Status,AHpp:Hypothesis,H),
   instantiate(H,[],Hypothesis,Hole,_)),HypsNs),

   \tau HypsNs = []],

   [zip(HypsNs,Hyps,Ns),
   rav_to_used(H,Hyps,NswH),
   rase(Matrix,UMatrix),
   /* each of the positions N is S.F. */
   replace_fold(Ms,ftrue,UMatrix,NewMatrix),
   matrix(Vs,NewMatrix,NewG)],
   [NewH=>NewG],%step_case will remove ann. from H
   fertilization_strong(HypNsN)).
```

This terminating method will trigger when the current goal has been rewritten to match with one of the hypotheses (typically an induction hypothesis), possibly after instantiating some universally quantified variables.

fertilization-weak(Plan)

When strong fertilization does not apply, we attempt weak fertilization. This consists of using the induction hypothesis to rewrite all the wave terms inside a wavefront when that wave-front is the only one present, and it has bubbled all the way up to the top of one side of the formula:

fertilization_weak(Type,Ms)).

fertilize-then-ripple(Plan)

Once the fertilization process is complete post-fertilization rippling is attempted. Post-fertilization rippling has been shown to be a useful lemma conjecturing mechanism. (For further details refer to [6].)

This is not yet fully implemented in this version of Clam.

ripple-and-cancel(Plan)

```
/* -*- Mode: Prolog -*-
    * (#)$Id: ripple_and_cancel,v 1.3 1999/01/07 16:30:24 img Exp $
    * Slog: ripple_and_cancel,v $
    * Revision 1.3 1999/01/07 16:30:24 img
    * Support for ripple-and-cancel reintroduced (currently disabled,
    * however). weak_fertilize uses larger_size/2 to try to replace larger
    * with smaller terms during weak fertilization
    * Revision 1.2 1998/11/10 16:10:05 img
    * Reorganised methods and integrated piecewise fertilization.
    **
    * Revision 1.1 1996/12/11 15:09:29 img
    * Herge of mthd and smthd libraries.
    * Revision 1.1 1994/09/16 09:34:27 dream
    * Initial revision
    */
iterator(method,ripple_and_cancel,submethods,
[cancellation(_,_),
    * wave(ripple_and_cancel,_,_,_)]).
```

fertilize-left-or-right(Dir,Ms)

Since there can be more than one wave-term (or: wave-hole) in the top wave-front, we construct a method that rewrites just one of the wave terms, and a method that iterates this method in order to rewrite all of the wave terms. Since the rewriting can be done either left to right or right to left, the iterating method distinguishes these two cases in a disjunction, and looks as follows:

```
/* -* - Prolog -*-
     * 0(#)%Id: fertilize_left_or_right,v 1.3 1998/11/10 16:10:03 img Exp $
     * $Log: fertilize_left_or_right,v $
     * Revision 1.3 1998/11/10 16:10:03 img
     * Reorganised methods and integrated piecewise fertilization.
     * Revision 1.2 1998/09/15 16:00:36 img
     * use immediate/[1,2]; indentation changes
     * Revision 1.1 1996/12/11 15:09:25 img
     * Merge of mthd and smthd libraries.
     * Revision 1.2 1996/12/04 13:16:15 img
     * Use notraw_to_used to tidy up after fertilization.
     * Revision 1.1 1994/09/16 09:34:27 dream
     * Initial revision
     */
     * Iterator(method, fertilize_left_or_right, submethods, [weak_fertilize(_,_,_,_)]).
```

The methods weak-fertilize-right/1 and weak-fertilize-left/1 iterate the submethod that does the actual rewriting on single wave fronts.

weak-fertilize(Dir,Conn,Pos,Hyp)

This method. called iteratively fromweak-fertilize/2 weak-fertilize-left/1 or weak-fertilize-right/1,5 performs the actual rewrite on one of the wave terms (wave variables) inside a wave-front that has bubbled all the way up to the top of one side of the formula. It gets called iteratively to do the operation on all wave terms in the wave-front. A special case is when the wave-front hasn't been rippled to the top of one side of the formula, but has been rippled right out of one side. In that case we can do fertilization on the whole side of that formula (the case where S below is empty). Although fertilization was originally invented only for equalities, and later patched for implications, [32] generalised the operation to arbitrary transitive functions: fertilization performs the following transformations on sequents, where \sim is a transitive predicate:

```
L \sim R \vdash X \sim S(R) into L \sim R \vdash X \sim S(L) if R occurs positive in S, or L \sim R \vdash S(L) \sim X into L \sim R \vdash S(R) \sim X if L occurs positive in S, or L \sim R \vdash X \sim S(L) into L \sim R \vdash X \sim S(R) if L occurs negative in S, or L \sim R \vdash S(R) \sim X into L \sim R \vdash S(L) \sim X if R occurs negative in S.
```

where the wave-fronts must be $S(\underline{R})$ or $S(\underline{L})$ where appropriate.

A term "occurs positively" in a function if that function is monotonic in that argument. A function f is monotonic monotonic in argument x if:

$$x_1 \preceq_D x_2 \to f(x_1) \preceq_{CD} f(x_2)$$

where \leq_D and \leq_{CD} are partial orderings on the domain and codomain of f respectively. A term occurs x positively in a set of nested functions $f_1(\ldots(f_n(x))\ldots)$ if either x occurs positively in f_n and or x occurs negatively in f_n and $f_n(x)$ occurs negatively in $f_1(\ldots(f_{n-1}(\cdot))\ldots)$. If \sim is also symmetrical, we can drop the requirements on polarity (as is the case with equalities, for instance).

After all this, the code for the weak-fertilize/4 method that does these operations is as follows:

 $^{^5\}mathrm{To}$ enforce a uniform direction.

```
% front. It gets called iteratively to do the operation on all
                       % front. It gets called iteratively to do the operation on all % wave terms in the wave front. A special case is when the wave % front hasnt been rippled to the top of one side of the % formula, but has been rippled right out of one side. In that % case we can do fertilization on the whole side of that formula % (the case where S below is empty).
                        % For a function symbol ~ for which transitivity holds, we can
                        % perform the following transformations on sequents:
                       \% % 1. LTR |- X^S(R) into LTR |- X^S(L) if R occurs positive in S, or % 2. LTR |- S(L)^X into LTR |- S(R)^X if L occurs positive in S, or % 3. LTR |- X^S(L) into LTR |- X^S(R) if L occurs negative in S, or % 4. LTR |- S(R)^X into LTR |- S(L)^X if R occurs negative in S.
                        % where the wave fronts must be: "S({R})" etc.
                        % These can be reprased as:
                       % 1. substitute R by L in rhs when R occurs positive, or % 2. substitute L by R in lhs when L occurs positive, or % 3. substitute L by R in rhs when L occurs negative, or % 4. substitute R by L in lhs when R occurs negative
                        \% If \tilde{\ } is also symmetrical, we can drop the requirements on polarity.
                       % The method below implements 1-2 in one method. It knows about % a set of function symbols which are transitive. It then always % does a fertilization replacing R by L, but it can assign L and % R to either lhs and rhs or vice versa, so we get the symmetry % we want.
                        % 1. The set of transitive function symbols this method knows
                       % about might of course have to be extended in the future % (similary, it knows about one symmetrical function symbol % (equality)).
                       % 2. The case where S below is empty can possibly loop (for instance y:t=>f(g(y))=>f(y) |-z:t=>f('g({2}}'))=>f(z) % gives y:t=>f(g(y))=>f(y) |-z:t=>f('g({2}}'))=>f(g(z)) % (according to 1. above), which in turn gives: y:t=>f(g(y))=>f(y) |-z:t=>f('g({2}}'))=>f(g(z)) % (again according to 1.) above, etc. The problem with this is of course that there is no "reducing measure" to stop the iteration of fertilization (as there is in the wave front case, namely the reducing number of wave fronts). When there is no wave front (S empty), then we want to do
                                   number of wave fronts). When there is no wave front (S empty), then we want to do only one fertilization step, and not iterate. This is achieved by always deleting the wave fronts in L after one fertilization. This will then stop the next iteration (since L having wave fronts is a condition for fertilization when R has none). The immediate deletion of wave front from L will not affect the iteration when R has wave fronts, since the presence of wave fronts in L is then immeterial.
                                      immaterial.
                       % 3. The below doesn't implement cases 3.-4. above (negative fertilization), but it can't be very difficult. It would look very much like the below. I wonder if it can reasonably be done with one piece of code (once method).
                       \% 4. Notice that "in" is used instead of "=" to represent (_=_ in _),
                                   since it makes the code below more uniform (in particular, it allows the exp_at(_,[0],_) expression).
                       % 5. See the file schemes.pl and Blue Book note 539 for a sermon % about "theory free" theorem provers, and how the weak fertilization method is one of the few places where CLAM % violates this requirement.
                       % 6. The main idea of and motivation for weak fertilization is
% also described in Blue Book note 538.
 [matrix(Vars,InitM,G),
    maximally_joined(InitM,M),
transitive_pred( M, [LR,RL], [LRN,RLN], NewG_M ),
    exp_at(M,[O],Connective),

/* Do fertilization right-to-left or left-to-right; in some
    situations w.f. can be in either direction for a given
    hypothsis, and in this situation we prefer the direction
    which removes worse terms in favour of better ones. Here,
    larger is worse and smaller is better. There will be
    better metrics. */
    ((Dir=right,GL=LR,GR=RL, GLNew=LRN, GRNew = RLN) v
(Dir=left,GL=RL,GR=LR, GLNew=RLN, GRNew = LRN )),
    (
                             (wave_fronts(GR1,[[]-PosL/[Typ,out]],GR), % We must have 1 wave-front in GR,
select(Pos,PosL,OtherPosL), % which is on the top ([]-...) and
NewWFspec = [[]-PosL/[Typ,in]] % out-bound. Note change in wave
) % direction.
         v (wave_fronts(GR1,[],GR), % or we have no wave front in GR, wave_fronts(_,[_-_/[_,out]|_],GL), % but we require out-bound PosL=[],Pos=[],OtherPosL=[]], % wave-fronts in GL,
                                NewWFspec = [Pos-OtherPosL/_])
         v
(wave_fronts(GRitmp,[WFPos-[WHPos]/[Typ,_]],GR), % or all wave fronts
sinks(GRI,[WFPos],GRitmp), % are sunk in GR.
sinks(GLI,_GL),
append(WHPos,WFPos,RSinkPos),
```

```
exp_at(GR1,RSinkPos,Sink),
             exp_at(GL1,LSinkPos,Sink),
NewWFspec = [LSinkPos-[WHPos]/[Typ,out]],
             Pos=[])
v

\[
\text{\fill}\] \text{This does not correctly place ingoing}

\[
\text{\fill}\] \text{Wave front on output}

\[
\text{\fill}\] \text{\fill}\] \text{wave front on output}

\[
\text{\fill}\] \text{\fill}\] \text{\fill}\] \text{when there are 2 fertilisation rewrites to do, anyway).}

\[
\text{\fill}\] 
 exp_at(GR1,Pos,GRISub),

% check for positive occurrence
% or symmetrical function symbol:
(Connective = (in) orelse polarity(_,_,GR1,Pos,+)),
inductive_hypothesis(Status,Hyp:IndHyp,H),
((Status = raw,EarlierFs=[]);
Status = notraw(EarlierFs)),% don't allow 'used'
matrix(IndHypVars,IndHyp,M,IndHyp),% find hyp to use for fertilization
replace_all(GR,GRNesUb,M,GSubl),
very_loc_all(BR,GRNesUb,M,GSubl),

where (IndHydVars_RefureNars,Mount)
 untype(IndHypVars,IndHypVarsNoTypes),
instantiate(H,IndHypVarsNoTypes,IndHyp,GSub,Instan),
% nl, write(GRISub:=> GRNewSub),nl,
larger_size(GRISub,GRNewSub),
  !, /st Rule is ground now, so we can check that it is being used appropriately st/
 wave_fronts(GRNewSub,_,GRNewSub), % and check it doesnt introduce % more wave-fronts.

/* following replaces GRi_Pos with GRNewSub. (that is, the ground rule GRISub: => GRNewSub at position Pos */
replace(Pos,GRNewSub,GRI,GRNew1),% apply the fertilization
wave_fronts(GRNew1,NewNFspec,GRNew),
wave_fronts(GLNew1,NewNFspec,GRNew),
wave_fronts(GLNew1,GL), % squash the wave-fronts in L
% (see remark 2, above)
  % (see remark 2. above).
                            sinks(NNewG_M,_,NewG_M),
                                                                                                                                   % squash all sinks
                             matrix(Vars.NNewG M.NewG).
 inductive_hypothesis(Status, Hyp:IndHyp,H,notraw([Dir|EarlierFs]),NewH),
ann_normal_form(NewG,NewGNF),
unannotated(NNewG,M,Testee),
append(NewH,Vars,Context),
  if(\+ member(Connective,[in,<=>]),
   \+ trivially_falsifiable(Context,Testee))],
                           [NewH==>NewGNF]
                            weak_fertilize(Dir,Connective,Pos,Hyp)).
  method(weak_fertilize(Dir,Connective,Pos,Hyp),
                           fmatrix(Vars.InitM.G).
[matrix(Vars,InitM.G),
    maximally_joined(InitM,M),
transitive_pred( M, [LR,RL], [LRN,RLN], NewG_M ),
    exp_at(M, [G],Connective),

/* Do fertilization right+to-left or left-to-right; in some
    situations w.f. can be in either direction for a given
    hypothsis, and in this situation we prefer the direction
    which removes worse terms in favour of better ones. Here
    larger is worse and smaller is better. There will be
    better metrics. */
    ((Dir=right,GL=LR,GR=RL, GLNew=RLN, GRNew = RLN) v

(Dir=left,GL=RL,GR=LR, GLNew=RLN, GRNew = LRN )),
    ()
                             (wave_fronts(GR1,[[]-PosL/[Typ,out]],GR), % We must have 1 wave-front in GR,

7 which is on the top ([]-...) and
                                       select(Pos,PosL,OtherPosL), % which is on the top ([]-...) and NewWFspec = [[]-PosL/[Typ,in]] % out-bound. Note change in wave ) % direction.
            onus(UNI,[],GR), % or we have no wave front in GR, wave_fronts(_,[_-/[_,out]|_],GL), % but we require out-bound PosL=[],Pos=[],OtherPosL-[]], % wave-fronts in GL, NewWFspec = [Pos-OtherPosL/_])
          (wave_fronts(GR1,[],GR),
                                   v
(wave_fronts(GRitmp,[WFPos=[WHPos]/[Typ,_]],GR), % or all wave fronts
sinks(GR1,[WFPos],GRitmp), % are sunk in GR.
          sinks(GR1,[WFPos],GRitmp),
sinks(GL1,_GL),
append(WHPos,WFPos,RSinkPos),
exp_at(GR1,RSinkPos,Sink),
exp_at(GL1,LSinkPos,Sink),
exp_at(GL1,LSinkPos,Sink),
pos=[LSinkPos-[WHPos]/[Typ,out]],
Pos=[)
v
```

This method only implements positive weak fertilization (when L occurs positive in S). The negative weak fertilization is not implemented, but cannot be very difficult to do, either with an additional clause for this method, or by extending the current method.

Notice that in is used instead of = to represent _=_ in _, since it makes the code below more uniform (in particular, it allows the exp-at(_,[0],_) expression).

For this method to work, the system of course needs to know which functions are transitive, and what the monotonicity properties of functions are. Currently, these properties are hardwired into Clam for certain function symbols: the transitive functions are explicitly mentioned in the method (second predicate in the preconditions) and the polarity is explicitly encoded in the polarity/5 predicate. Both of these mechanisms violate the theory free requirement formulated in §6.4 on page 127. See that section for a sermon on this topic, and also for suggestions on how to remove these violations of the theory free requirement from Clam.

step-case(Plan)

The step-case/1 method constructs a plan for step case proof obligations. Here is an outline of that plan:

- (1) Ripple out as much as possible, giving subgoals S_1, \ldots, S_N , and plans P_1, \ldots, P_N .
- (2) Try fertilize and base-case on S_1, \ldots, S_N . If this is not possible stop.
- (3) For each S_i for which fertilization and base-case are inapplicable:
- (3.1) Try to ripple the S_i using rippling in, taking care not to ripple beyond the point of a fertilization, giving $S_{i_1}, \ldots, S_{i_{M_i}}$ and $P_{i_1}, \ldots, P_{i_{M_i}}$.
- (3.2) Try fertilize/base-case on each of the S_{i_M} .

The subgoals of this plan are the subgoals remaining from each phase. Plan is the composition of all the plans for each of these subgoals.

```
map_list(RippleOutSeqsPrePWF, PrePWF :=> PostPWF-PostPWFPlan,
    applicable_submethod(RippleOutInSeq,
PostRippleOutInPlan,,
PostRippleOutInSeqs)),
(/* IDTAC (no fertilization possible) */
PostRippleOutInPlan=idtac,
PostRippleOutInSeqs=[RippleOutInSeq])),
PostRippleOutInSeqs=[RippleOutInSeq])),
PostRippleOutInSeqs=[RippleOutInSeq])),
/* If early W.F. were possible, only allow */
/* rippling-in if it enabled some S.F */
if(EarlyWFPossible-yes,
member(_-unblock_then_fertilize(strong,_),
PostRippleOutInSeqsPlan)),
zip(PostRippleOutInSeqsPlan,PostRippleOutInSeqs,
PostRippleOutInPlans),
flatten(PostRippleOutInSeqs,PostRippleOutSeqs),
PostRippleOutPlan=
(RippleInPlan then PostRippleOutInPlans)),
(/* (MO RIPPING-IN POSSIBLE, TRY WEAK FERTILIZATIO
         applicable_submethod(RippleOutInSeq,
 (RippleInPlan then PostRippleOutInPlans)),

(/* (NO RIPPINO-IN POSSIBLE, TRY WEAK FERTILIZATION)

If early W.F. were possible, try that, but allow idtac too

(i.e., this W.F. may be undone). */

((EarlyWFPOssible)evyes,

PostRippleOutPlan=EarlyWFPlan,

PostRippleOutPlan=EarlyWFPlan,

(PostRippleOutSeqs=EarlyWFPeags);

(PostRippleOutSeqs=[RippleOutSeq],

PostRippleOutPlan=idtac))))),

PostRippleOutPlan=idtac))))),

PostRippleOutPlan=idtac)
  PostkippleGutSeqsPlans),
postkippleGutSeqsPlans),
zip(PostRippleGutSeqsPlans, PostRippleGutSeqs, PostRippleGutPlan),
write('PostRippleGutPlan is '), write(PostRippleGutPlan),
flatten(PostRippleGutPlan is '), write(PostRippleGutPlan),nl,
flatten(PostRippleGutPlan is '), write(PostRippleGutPlan),nl,
flatten(PostRippleGutPlan is '), write(PostRippleGutPlan)),
lerase_sequents(OutputSequents,OutputSequentsErased)],
OutputSequentsErased,
sten_case(Plan),
                                      step_case(Plan)).
  /* The step-case method attempts to apply rippling techniques to the goal in order to acheive fertilization. Two types of fertilization are possible in general, strong and weak (S.F. and W.F.).

S.F. closes a proof branch, so is much preferred over W.F. W.F. is not equivalence preserving, which suggests that backtracking over W.F. may be needed. Two types of rippling are available, "in" and "out". The ripple method is parametrized in order to determine
                   this type.
                The method is as follows. Try all possible ripplings (i.e., in_or_out) in an effort to acheive S.F. Being able to S.F. means that there are no wave-fronts left in the term. Thus, one can see that rippling cannot ripple beyond the point of a S.F., since rippling requires the movement of wave fronts; if there are none, as is the case when S.F. is applicable, rippling is inapplicable.
                Hence S.F. is achieved by attempting: put the goal into normal form (N.F.) wrt rippling-out then try S.F. (Note: the N.F. is not unique.) If that SF fails, continue ripping, with rippling-in, then try S.F. By the above argument, there is no need for the ripple method to "lazily" determine the applicability of S.F. after each wave rule application. (Actually, this is not true if the annotation is left in place beneath sinks--however, this rippling beneath sinks cannot affect fertlilization except in bizzare circumstances. Clam removes annotation from sinks.)
                In cases where S.F. cannot be applied, it may be possible to W.F. There is some choice here as to when to W.F. Typically, W.F. will be applicable at multiple points in the course of rippling, since one side of the goal will be "weak-fertilizable" whilst the other will contain a ripple-redex. The choice is whether to W.F. or to reduce the redex. In particular, notice that additional rippling in may not be required to enable W.F.: here this is referred to as "early W.F.". When early W.F. is possible, tentative rippling-in (computed in the course of finding S.F.) is discarded iff S.F. was
```

```
not successful.

From the point of view of implementation, early W.F. is easier, since we W.F. as soon as we can. However, this might not be sensible, since it may be possible to fully ripple out the other side of the goal. Furthermore, there is an efficiency consideration. Recall that we have established (by computing N.F. wrt rippling-out and rippling-in) that S.F. is inapplicable——it is quite wasteful to recompute (segments of) these two reduction sequences.

Concering backtracking over W.F. W.F. on the fully rippled goal (wrt in and out rippling) cannot be undone; this is a bug really. W.F. on the fully rippled-out goal can be undone. */

%%% Local Variables:

%%% mode: prolog

%%% End:
```

generalise(Exp, Var: Type)

```
/* -*- Mode: Prolog -*-

* @(#)$Id: generalise,v 1.8 1998/09/15 16:00:38 img Exp $
     $Log: generalise,v $
     Revision 1.8 1998/09/15 16:00:38 img
     use immediate/[1,2]; indentation changes
   * Revision 1.7 1998/08/26 12:56:45 img
* check FV condition
   * Revision 1.6 1998/07/30 16:07:05 img
   * Dont drop unnecessary quantifiers
  *
* Revision 1.5 1998/06/10 09:26:38 img
* flag failure to type-guess
   * Revision 1.4 1996/11/02 13:56:25 img
* Don't generalize propositions/atomic definitions.
   * Revision 1.3 1996/07/10 09:02:44 img
   * use type_of/3.
   * Revision 1.2 1995/10/03 12:52:40 img
* remove annotaion from goal in preconditions rather than postconditions
  * Revision 1.1 1994/09/16 09:33:29 dream
* Initial revision
% GENERALISE METHOD:
% Replace a common subterm in both halves of an
% - equality, or
% - implication, or
% - inequality
 % by a new variable
% by a new variable.

% Disallow generalising over object-level variables, and over

% terms containing meta-level variables (too dangerous), and

% over constant object-level terms, and over terms containing

% wave-fronts. Remove annotations since we are giving up on

% the present induction (if any).

/* original
generalized to uniform treatment of binary predicates */
 method(generalise(Exp,Var:Type),
H==>GG.
```

Replace a common subterm Exp in both halves of an equality or implication or inequality by a new universal variable Var of Type. Disallow generalising over object-level variables (not very useful), over constants (not very useful), over terms containing meta-level variables (too dangerous), and over terms containing wavefronts (messes up the rippling process).

The last 3 conjuncts of the preconditions will always succeed, and are not really needed for applicability test, so they could go in the postconditions, but we have them here to get the second arg of the method instantiated even without running the postconditions...

induction(Lemma-Scheme)

```
/* -*- Mode: Prolog -*-

* @(#)$Id: induction,v 1.8 1998/09/15 16:00:39 img Exp $
 * $Log: induction.v $
 * Revision 1.8 1998/09/15 16:00:39 img

* use immediate/[1,2]; indentation changes
 * Revision 1.7 1997/11/08 12:18:06 img
 * typo fix
 * Revision 1.6 1997/10/17 14:25:58 rjb
* Added source of induction lemma to method arguments.
 *
* Revision 1.5 1996/12/11 14:06:16 img
 * Merge mthd and smthd libraries.
 * Revision 1.4 1995/10/03 12:55:38 img
 * arity changed to induction/1; new scheme mechanism
 * Revision 1.3 1995/03/01 02:37:25 img

* * Checking induction scheme is a precondition
 * Revision 1.2 1994/12/07 18:45:46 dream
    * dynamic version --- uses induction_pre
 * Revision 1.1 1994/09/16 09:33:29 dream
 * Initial revision
method(induction(Lemma-Scheme),
```

<u>o</u>

```
H=>G,
[induction_suggestion(H,G,Scheme),
scheme(Lemma,Scheme,H=>>G,BaseSeqs,StepSeqs)],
[append(BaseSeqs,StepSeqs,Seqs)],
Seqs,
induction(Lemma-Scheme)).
```

Do an induction defined by Scheme (see schemes/5 for a description of a scheme). For example, induction(lemma(plusind)-[(x:pnat)-plus(v0,v1)]) is plus induction on x.

ind-strat(Submethods)

```
/* -*- Mode: Prolog -*-
   * @(#)$Id: ind_strat,v 1.8 1998/09/15 15:16:19 img Exp $
    * $Log: ind_strat,v $
        Revision 1.8 1998/09/15 15:16:19 img
prefer casesplits to wholly flawed inductions
        Revision 1.7 1998/08/26 12:55:52 img
Single call to scheme_suggestion is used to decide between flawed and
unflawed induction. casesplit is tried if it is unflawed and there
         are no unflawed inductions
       Revision 1.6 1998/07/30 16:03:21 img
Allow base- and step-case methods to fail on any of the subgoals
resulting from induction (this patch just brings the step-case into
line with the base case). This patch is a reponse to the situation in
which some progress is made on some base-cases, and yet one of the
step-cases fails. There is an argument that if this situation does
arise then the correct thing to do is fail anyway.
        Revision 1.5 \, 1998/06/10 08:34:06 \, img allow possibility that mthd(base_case) may not be applicable to base-case
        Revision 1.4 1997/10/17 17:18:42 rjb Fixed comment.
        Revision 1.3 1997/10/17 14:25:58 rjb Added source of induction lemma to method arguments.
         Revision 1.2 1995/10/03 12:54:10 img
Induciton preconditions present verbatim to avoid duplication of call
to scheme. Use induction/1 rather than induction/2.
        Revision 1.1 1994/09/16 09:33:29 dream Initial revision
*/
method(ind_strat(Method),
    H==>G,
    [scheme_suggestion(H,G,induction,ripple,AllInductionInfo),
/* try an unflawed induction */
((selection_heuristic(AllInductionInfo, Scheme,O-_-),
   ((selection_heuristic(AllInductionInfo, Scheme,0-_-),
scheme(Lemma,Scheme,H=>O,BSeqs,SSeqs));
applicable_submethod(H=>S, casesplit(datatype(unflawed,P)),Plan,AllSeqs);
(/* using same analysis, attempt flawed induction if there was no unflawed
\( '\) (selection_heuristic(AllInductionInfo, Scheme,0-_-),
scheme(Lemma,Scheme,H=>G,BSeqs,SSeqs)),
selection_heuristic(AllInductionInfo, Scheme,---M),
N > 0,Npointless to try wholly flawed induction (?)
scheme(Lemma,Scheme,H=>G,BSeqs,SSeqs));
applicable_submethod(H=>G, casesplit(datatype(_,P)),Plan,AllSeqs))],
            ((applicable_submethod (BSeq,base_c)
NBSeq=BSeq-base_case(Ms))
orelse NBSeq=BSeq-idtac),
BSeq1sBTs),
zip(BSeq1sBTs,BSeq1s,BaseTactics1),
flatten(Bsefactics1,Basefactics),
flatten(Bsefactics1,Basefactics),
man_list(Saser_Scores)NSsea
flatten(BSeq1s,FBSeq1s),
map_list(Sseq,Seq:>NSSeq,
((applicable_submethod(SSeq,step_case(Ms),_,SSeq1),
NSSeq=SSeq1-step_case(Ms))
orelse (erase_sequent(SSeq,SSeqErase),
NSSeq=SSeqErase-idtac)),
SSeq1sSeq5set,
/* ensure at least one stepcase has made progress */
               !,
member(_-step_case(_),SSeq1sSTs),
              membert_=step_case(_),Sseqiasis),
zip(SSeqiasFs,Sseqia,StepTactics),
flatten(SSeqis,FSSeqis),
append(BaseTactics, StepTactics, CasesTactics),
append(FSseqis,FSSeqis,AllSeqs)))],
AllSeqs,
ind_strat(Method)).
```

This method has played an important role in the development of the idea of proof-plans, since it was the first large scale method of any significance to be developed ([4]). Note that the preconditions are exactly those of the induction/1 method. The method constructs its postconditions by explicitly following the way the method is constructed out of smaller methods: After applying the scheme/5 predicate to determine the step- and base-cases after induction, we apply the base-case/1 method to the base-cases and we apply the step-case/1 method to the step-cases. The single argument of the ind-strat/1 method will be bound to the structure representing the chain of constituent methods built up during the application of the larger method. Since this structure is usually very long-winded, Clam's pretty-printer treats it specially, and suppresses it to indicate just the induction scheme and variable (making it look very much like the induction method).

normalize(Normalisation)

The normalize/1 method is a compound method, which is built as an iterator over a number of normal/1 methods. Rather than listing the rather straightforward code of all of these methods, we just summarise their actions:

normal(univ-intro) Removes a universal quantifier from the front of the current goal (corresponds to the Oyster intro-rule for the dependent function type).

normal(imply-intro) Removes an implication from the front of the current goal (corresponds to the Oyster intro-rule for the function type).

normal(conjunct-elim(HName, [New1,New2])) Replaces a conjunctive hypothesis by two new hypotheses for each of the separate conjuncts.

At various points we also experimented with other normalisation operations, which are at the moment not included in the code. These are:

normal(univ-imply-intro) This is as imply-intro, but works for universally quantified goals.

normal(exist-elim(H)) Removes an existentially quantified hypothesis H:x:T#P (and adds P[x0/x] to the hypothesis list) by picking a witness x0 (corresponds to the Oyster elim-rule for the dependent product type).

normal(imply-elim(H,Lemma)) Removes an implication H:A=>B from the hypothesis list (and adds B to the hypothesis list) if A is provable using only a lemma or is trivially true (corresponds to the Oyster elim-rule for the function type).

This set of normalisation operations is by no means exhaustive, and can (should) be extended in the future when the need arises.

identity

This terminating method simply checks if the goal is of the form X=X in Type, and terminates the plan. Almost no preconditions, no postconditions, no output-formula.

This method illustrates that some operations we would like to do via matching (such as ignoring the universal quantifiers) cannot in fact be done through matching, and must be explicitly encoded in the preconditions. It would maybe be nice if we had a more powerful pattern matching language which would allow us operations like this.

apply-lemma(Lemma)

This terminating method checks if there is a lemma which is a universally quantified version of the current goal (i.e., a lemma that can be instantiated to the current goal). Notice the particular hack to spot lemmas which happen to be of the right form, except that they have the right- and left-hand side of an equality swapped. Not very nice...

backchain-lemma(Lemma)

This terminating method looks for universally quantified lemmas that instantiate to Cond=>Matrix where Matrix is the matrix of the current goal G and Cond a

formula that is trivially true. Thus, this method applies one step of backward-chaining. Same hack with commutativity of = as with the apply-lemma/1 method above.

pwf-then-fertilize(Type,Plan)

This method implements piecewise-fertilization (see Blue Book note 1286 for a description of piecewise fertilization).

pwf(Rule)

00

```
pwf(i_fert(imp,VV,V,W))).
method(pwf(i_fert(Op, VV, V1, V2)), /* And/Or */
method(pwf(and_i_cfert),
Contains_wave_fronts(Bp),
inductive_hypothesis(raw,Vb:B,00H,used(pw),AH),
share_skeleton(Bp,B));
AH = 00H)],
[AH==>Ap, BH==>Bp],
pwf(and_i_cfert)).
 method(pwf(or_i_fert(Side)),
OH==>G,
[is_annotated(G,\,[S1:AAp,S2:BBp])
  (S1 = hole -> (Ap = AAp, Side = left);

(Ap = BBp, Side = right));

inductive_hypothesis(raw,Va:A,OH,used(pw),H),

share_skeleton(Ap,A)],
[],
[H==>Ap],
pwf(or_i_fert(Side))).
method(pwf(and_e_fert(Side,Va,V)),
[inductive_hypothesis(raw, Va: AB, OH, used(pw), H),
[Inductive_nypotnesss(raw, va: As, UH, used)
is_annotated(AB,#, (S:1AA, S2:BB))
(S1 = hole -> (A = AA, Side = left);
(A = BB, Side = right)),
share_skeleton(Ap,A)],
[hfree([V],H),
inductive_hypotheses(raw,[V:A],[IHa]),
append(H,[IHa],HI)],
[H1==>Ap],
pwf(and_e_fert(Side,Va,V))).
method(pwf(imp_ir_fert),
OH==>G,
[is_annotated(G,=>,[front:B,hole:Ap]),
  \verb|inductive_hypothesis(raw,V:A,OH,used(pw),H)|,
  share_skeleton(Ap,A)],
[],
[H==>Ap],
pwf(imp_ir_fert)).
 method(pwf(imp_e_fert(VV,V)),
OH==>Ap,
[inductive_hypothesis(raw,VV:AB,OH,used(pw),H),
  is_annotated(AB,=>,[front:B,hole:A]),
is_annotated(AB,=>,[front:B,hole:A]),
share_skeleton(Ap,A)],
[hfree([V],H),
inductive_hypotheses(raw,[V:A],[IHa]),
append(H,[IHa],H1)],
[H=>B,Hi=>Ap],
pwf(imp_e_fert(VV,V))).
```

This is part of piecewise-fertilization.

2.3.2 Default configuration of methods and submethods

Although methods can be loaded into and deleted from the system (see §3.3 on page 92), the system starts up with a default configuration of methods and submethods, as follows. Recall that only methods are stored in the library—submethods are simply methods that have been loaded as such. (See lib-load/1 for more information on load methods and submethods.)

The contents of the methods database can be found with the command list-methods/0; on normal Clam startup this is

base-case/1 generalise/2 This configuration is chosen in such a way that, when using the depth-first planner (see §2.4.2 on page 74), the systems com-

bines methods as prescribed in the induction strategy encoded in the ind-strat/1 method.

A number of methods are also loaded as submethods by default, since they are needed by the methods above. Since these submethods are not directly used by planners (but only called from within methods), the order of the submethods database is largely irrelevant. They can be found via list-submethods/0:

equal/2 normalize-term/1 casesplit/1 existential/2 sym-eval/1 apply-lemma/1 induction/1 backchain-lemma/1 normal/1 base-case/1 wave/4 unblock/3 unblock-lazy/1 unblock-then-wave/2 ripple/2 unblock-fertilize-lazy/1 fertilization-strong/1 weak-fertilize/4 weak-fertilize-left/1 weak-fertilize-right/1 fertilize-left-or-right/2 cancellation/2 ripple-and-cancel/1 fertilize-then-ripple/1 fertilization-weak/1 fertilize/2 unblock-then-fertilize/2 step-case/1 elementary/1

2.4 The basic planners

This section will discuss the planners that are part of Clam and which can be used to construct proof-plans for a given theorem, using the available methods. The first subsection will discuss the general mechanism of the planners, and the essential predicates which are common to all planners. The other subsections each discuss one type of planner in more detail. This is of course not meant to suggest that the current set of planners is in any way final or optimal. Together with the formulation of more and better methods, the formulation of more and better planners is a major research topic in Clam.

2.4.1 The basic planning mechanism

All planners currently employed in Clam are forward chaining planners: every planner starts by looking at the top sequent of the theorem to be proved, and then tries to find out which methods are applicable (i.e., which methods have a matching input-slot and a succeeding preconditions-slot). After picking one of these applicable methods the planner computes the output sequent by evaluating the postconditions-slot of the chosen method. This output sequent will then serve as the input sequent for the next recursive cycle of the planner, until a method has been found which terminates the plan (in other words: until a terminating method (a method with an empty output-slot) has been found).

In this description of the planning process, a number of choice points occur: often more than one method will be applicable to the input sequent, and one method may apply in more than one way (i.e., its preconditions may be satisfied in more than one way). The planners described below differ in the way they behave at these choice points (in other words: they differ in the way they traverse the search space generated by the applicability of the methods). This search space for the planners is also called the *planning space*. Some of them will make a rather uninformed but cheap choice, some will try to make a more informed choice; some of them will make sure that choice gets equal treatment, others will favour one choice over others, etc.

The plans that are produced by Clam's planners are not just sequences of methods. Remember that the output-slot of a method is a list of sequents. Thus, the application of one method can generate a number of output-sequents, and further methods will have to be applied to each of these sequents. These methods are chained together using Oyster's then/2 connective. An expression of the form

 M_1 then $[M_{1,1}
ldots M_{1,n}]$ denotes the application of method M_1 , followed by the application of methods $M_{1,1}
ldots M_{1,n}$ to the resulting n subgoals. As a result, a plan produced by Clam is a tree-structured object, with as the elements of the tree the methods that are applied as part of the plan. Figure 2.4 shows an example of a simple plan produced by Clam. A tree-structured plan is called a branching plan.

The Clam pretty-printer treats the then/2 connective specially: Since the expression M_1 then $[M_{1,1}]$ is equivalent to M_1 then $M_{1,1}$ if M_1 only produces one subgoal, the unbracketed version will be produced by the pretty-printer in that case. This explains why only the subgoals of the induction/1 method in figure 2.4 appear to be bracketed, since it is the only method in the plan shown there which produces more than one subgoal.

The set of planners described below is not in any way complete. Only planners with very simple search strategies have been built (depth-first, breadth-first, iterative deepening), and so far this has proved sufficient because the search space at the planning level has been fairly small. However, in the future it might be necessary to add more sophisticated planners. An obvious possibility is for instance a planner that has access to "the plan so far". Such a planner could choose steps on the basis of steps chosen earlier in the plan. This can for instance be used as an anti-looping device.

All of the planners described below conform to a common interface, and can all be called in a similar way. For planner called 'planner' there will be predicates planner/[0;1;2;3], as follows:

planner(+Sequent,?Plan,?Output)

Succeeds when Plan is a plan (a tree of methods) which, when applied to Sequent, will result in the output sequents Output. Although it is possible to use this predicate to check the correctness of a given plan (mode planner(+,+,+)), or to compute the output sequents of a given plan (mode planner(+,+,-)), it is most often used to generate a plan with desired output sequents for a given Sequent (mode planner(+,-,+)). More often than not, the desired out sequents will be the empty list (i.e., we will be interested in the generation of *complete plans*). This is why we have the predicate:

planner(?Plan,?Output)

This predicate is as planner/3, except that the input sequent to the planner is taken to be the current Oyster sequent. The predicate can be used to check the correctness/completeness of a given plan (mode planner(+,+)), or (more likely) to generate a plan with given outputs for the current sequent (mode planner(-,+)). Often, we will want to construct a complete plan for the current sequent, which is why we have the predicate:

planner(?Plan)

This predicate is as planner/2, except that it forces the output list to be the empty list. In other words, planner/1 only produces complete plans. Can be used to check correctness/completeness of plans, or to generate plans.

The final member of the family of predicates that exists for each planner is planner/0:

planner

This predicate is as planner/1, except that it pretty-prints the generated plan on

the output stream.

As explained above, a crucial step in the planning process is to find out which methods are to a given input sequent. For this purpose, all planners use the same predicate, namely the predicate applicable/[1;2;3;4]. The different versions of this predicate will be described below, before we continue with the description of each of the planners.

applicable (+Sequent, ?Method)

Succeeds if Method is applicable to Sequent. The Method's applicability is tested by matching its input-slot against the Sequent followed by evaluating its preconditions which must succeed. This predicate can be used either to test the applicability of a given Method, or to generate all applicable Methods.

A special case is when Method is of the form try M. In this case applicable/2 succeeds even when M is not applicable to Sequent.

applicable(?Method)

A version of applicable/2 with the first argument (the Sequent) defaulting to the current sequent. The current sequent is the sequent at the current position in an Oyster proof tree (as specified by the predicates select/[0;1], slct/[0;1] and pos/[0;1]).

applicable(+Sequent, ?Method, ?PostConds, ?Outputs)

This predicate succeeds if Method is applicable to Sequent with output-slot Outputs, while the postconditions-slot of Method evaluates to PostConds. Whereas applicable/[1;2] only evaluates a method's preconditions-slot and matches it against the input-slot, applicable/4 also evaluates the postconditions-slot, and matches it against the output-slot. Possible usage of this predicate includes mode applicable(+,-,-,-) to compute the postconditions and output-slot of a given method, applicable(+,-,-,-) to search for applicable methods, and applicable(+,-,-,+,-) to search for methods that will give certain desired postconditions or output-slot.

A special case is when Method is of the form try M. try M behaves exactly as M when M is applicable to Sequent. If M is not applicable to Sequent, then applicable/4 will still succeed with PostConds=[] Outputs=[Sequent].

The convention in that a the postconditions of methods are not allowed to fail if the input-slot matches and the preconditions succeed can be expressed by stating that applicable/[3;4] always succeed if applicable/[1;2] succeed. It is considered an error if in some situation applicable/[3;4] fail but applicable/[1;2] succeed. As a result, applicable/[3;4] subsume applicable/[1;2]. However, applicable/[1;2] avoids the computation of the Method's postconditions-slot, and is therefore much cheaper, so we keep both versions of the predicate around.

applicable(?Method, ?PostConds, ?Outputs)

This predicate is to applicable/4 what applicable/1 is to applicable/2: It is the same as applicable/4, but with the Sequent argument defaulting to the current sequent

applicable-submethod/[1;2;3;4]

All these predicates are exactly as their applicable/[1;2;3;4] counterparts,

except that they test for applicability of submethods, instead of methods.

applicable-anymethod[1;2;3;4]

The predicates applicable-anymethod/[1;2;3;4] is the disjunction of the predicates applicable/[1;2;3;4] and applicable-submethod/[1;2;3;4]

After all these general predicates, we will now turn to the discussion of each of the planners.

2.4.2 The depth-first planner

dplan/[0;1;2;3]

The depth-first planner is the simplest of Clam's family of planners. Whenever it comes to a choice point in the planning process, it just pursues all choices in a chronological order. Thus, methods are tried in the order in which they are generated by the applicable/[1;2;3;4] predicate, that is, the order in which they occur in the methods database, and choice points in the evaluation of pre- and postconditions-slots are determined by Prolog's search strategy.

As a result, this planner is the fastest of all in the sense that it does not spend much time considering what choice to make next. On the other hand, it is very prone to getting trapped into infinite branches in the planning space, or to making very uninformed and obviously wrong choices. The only control that the user has over the behaviour of the depth-first planner is by reordering the (sub)methods in the database, or by re-coding the pre- and postconditions of the methods. By carefully ordering the (sub)methods database, a large number of theorems can be proved even with a brain damaged planner such as the depth-first planner (using suitably chosen methods from §2.3.1 on page 42, all theorems mentioned in [7] can be proved using the depth-first planner).

A number of optimisations have been made in the code of the depth-first planner which make it slightly less brain damaged. Both of these optimisations are for the case when we are searching for a complete plan, that is: a plan with an empty list of output sequents. The first optimisation applies to all planners currently part of Clam, and I believe it should apply to all planners ever part of Clam. When looking for applicable methods, the planners first look for terminating applicable methods, that is: applicable methods whose output-slot is an empty list of sequents. If any such methods can be found, the chronologically first one of these is chosen, and the planner terminates.

The second optimisation is a more debatable one, and applies when the planner produces a branching plan. Due to the depth-first nature of the planner, it first tries to fully complete one branch of a plan before starting the construction of the next branch. The optimisation consists of freezing the computation for a branch once the planner has found a complete plan for a branch. This means that failure in the construction of the n-th branch of a plan will never lead to re-computation of any of the n-1st branches of the plan. This optimisation relies essentially on the linearity assumption for proof-plans, which says that subplans for conjunctive branches can always be combined without interference. This assumption justifies not re-doing any previously completed branches after failure in a later branch. It is not entirely clear whether this linearity assumption holds for proof-plans. It does not hold for plans in general (see numerous articles in the planning literature on this, or [30] for the case of proof-plans in particular).

plan(+Thm)

The plan/1 predicate composes the loading of the definitions relating to the conjecture Thm (see §3.3 on page 92) with the search for a depth-first plan.

dplanTeX/[0;1]

This behaves as dplan/[0;1], only the file clamtrace.tex is automatically created in the startup directory. This file is a complete source of the proof-plan attempt. Meta-level annotations are drawn in the 'box-and-underline' style; sinks and other annotations are also depicted. portray-level/3 affects the TeX output as it does in the non-TeX case. The style files require to run LaTeX on the file clamtrace.tex are supplied in the Clam distribution directory info-for-users.

These annotations are produced via a special collection of portray predicates, given in proof-planning/portrayTeX.pl.

NB. If a planning attempt is interrupted for some reason during dplanTeX, Clam may be left in a state in which it continues writing to the trace file. Terminate IATEX tracing by calling stopoutputTeX/0.

2.4.3 The breadth-first planner

bplan/[0;1;2;3]

A second planner which follows an uninformed search strategy is the breadth-first planner. It traverses the planning space in a breadth-first way, that is: it first tries to construct a plan of size n in all possible ways, before it goes on to investigate any plans of size n+1. The size of a plan is defined as the depth of a plan: it is the length of the longest branch in the plan, measured from the root-node. For example, the plan shown in figure 2.4 on page 85 has size 6. It would be possible (and useful and interesting) to develop breadth-first planners that would use different metrics for measuring the size of a plan. Another possible metric to investigate would be the weight of a plan, which is defined as the total number of nodes in a plan. Under this metric, the plan from figure 2.4 on page 85 would be size 8.

The major advantages of breadth-first planning are that firstly it will always find a plan if there is one, and secondly that it will always find the shortest possible plan. The combination of these properties is generally called *admissibility*

However, the breadth-first planner is very slow to generate plans for two reasons. The first reason is inherent to breadth-first planners in general: they exhaustively traverse the planning space (which typically grows exponentially at each deeper level), and consequently take ages to reach any significant depth. The second reason is more specific to Clam: Clam, and all its planners, are implemented in Prolog, which is naturally more suited for depth-first than breadth-first search strategies. Consequently, the second of the two optimisations that have been applied to the depth-first planner (see previous section), could not be applied to the breadth-first planner, which will therefore spend much more time backtracking through rather useless branches in the planning space. The result of this is that the breadth-first planner is too slow to generate any but the simplest plans. In fact, the only realistic plan ever generated by the breadth-first planner is the one shown in figure 2.4 on page 85.

2.4.4 The iterative-deepening planner

idplan/[0;1;2;3]

A good compromise between the efficiency of the depth-first planner and the exhaustive nature of the breadth-first planner is the last of Clam's uninformed planners, the iterative-deepening planner. This planner performs a depth-first search similar to the depth-first planner, but only searches through plans up to a maximum length n. If no plans can be found up to length n, the iterative-deepening planner increases the maximum length to n+1 and starts again. This strategy ensures that the iterative-deepening planner has the admissibility property of the breadth-first planner, but that it can be implemented as an efficient depth-first planner (enhanced with a cut-off depth).

It might look at first sight that the iterative-deepening planner must be really inefficient, since after increasing the cut-off depth from n to n+1, it re-does all the work up to level n in order to investigate the plans of level n+1. However, since the planning space grows exponentially with n, there are as many plans of length < n as there are of length n (namely $O(b^n)$ in both cases, where b is the branching factor of the planning space). In fact, it can be shown (e.g., see [19]) that among all uninformed search strategies which are admissible, iterative deepening has the lowest asymptotic complexity in both time $(O(b^n))$ and space (O(n)). Breadth-first search on the other hand is only asymptotically optimal in time and is really bad (exponential) in space. The actual complexity of breadth-first search is of course lower than that for iterative-deepening (namely by the small constant factor b/b-1), but this is easily off-set by the difference in space-complexity in favour of iterativedeepening. Thus, iterative-deepening is asymptotically optimal in both time and space, whereas breadth-first is asymptotically optimal only in time and really bad in space, and the actual complexities of iterative-deepening and breadth-first are very close.

idplanTeX/[0;1]

This is to idplan/[0;1] what dplanTeX/[0;1] is to dplan/[0;1].

Two generalisations of the iterative-deepening planner are possible. As with the breadth-first planner, it would be interesting to investigate the use of other metrics than depth to compute the size of a plan. Secondly, there is no reason why we should increase the cut-off depth by 1 every time. We can in general increase the cut-off depth from n to $n+\delta$, where δ can be any fixed number, or even a function of n. The behaviour of δ for the iterative-deepening planner is under control of the user via the predicate:

bound(-B)

On successive backtracking, B should be bound to increasing values to be used as the cut-off depth for the iterative-deepening planner. A possible (and the default) implementation for bound/1 is:

bound(B) :- genint(B).

which increases the cut-off depth by 1 each time. Alternatively, we could define:

bound(B) :- genint(B,n)

with ${\tt n}$ any positive integer. This would increase the cut-off depth by steps of n each time. An even more flexible definition of bound/1 would be:

```
bound(B) :- genint(N), bound(N,B).
bound(N,B) :- N>O, N1 is N-1, bound(N1,B1), delta(N,D), B is B1+D.
delta(1,8).
delta(2,4).
delta(3,2).
delta(N,D) :- N>3,D=1.
```

which increases the cut-off depth by a varying amount, computed by the predicate delta/1. In this example, the cut-off depth would go through the sequence $8, 12, 14, 15, 16, \ldots$

viplan/[0;1;2;3]

viplan/[0;1;2;3] is exactly as idplan/[0;1;2;3], but produces visually attractive output which enables the user to follow the planner's path through the search space of applicable methods. Works only on VT100 look-a-like terminals.

0 0

2.4.5 The best-first planner

gdplan/[0;1;2;3]

The only planner in Clam that employs a heuristic search strategy (that is: a search strategy that is informed by properties of the planning space) is the best-first planner. This planner is very similar to the depth-first planner, except that its behaviour on choice points can be programmed by the user, through the predicate select-method/3.

select-method(+Sequent,?Method,?Output)

This predicate takes a Sequent, and should return the Method that should be applied at this point in the planning process, and the Output sequents that this Method should produce. On backtracking, this predicate should produce further choices for the method to be applied to Sequent during the planning process. In general, this predicate will investigate which methods are applicable to the given Sequent, and then select one of these Method for application by the planner. At first sight it would not appear necessary to return the list of Output sequents (i.e., the instantiated output-slot) as well as the chosen method. However, a chosen method might be applicable in more than one way (through choice-points in the preconditions-slot). By specifying the Output slot, the user can control not only which Method will be applied, but also how it will be applied. When writing a particular version of the select-method/3 predicate, care should be taken to not just blindly generate first all applicable methods, and then perform some selection procedure. Firstly, generating all applicable methods can in general be very expensive, and most of these methods will then be ignored by the planning process anyway. Secondly, an infinite number of methods might be applicable (or: a method might be applicable in an infinite number of ways). This situation, corresponding to an infinite branching factor in the planning space, would lead to non-termination of the select-method/3 predicate, and therefore of the best-first planner. An example implementation of select-method/3 is the following trivial version which just mimics the chronological behaviour of the applicable/4 predicate, making the best-first planner behave as a depth-first planner:

```
select_method(Sequent, Method, Output) :-
    applicable(Sequent, Method, _, Output)
```

For the reasons discussed above, this implementation would be much better than the following, equivalent, code:

The search spaces encountered at the planning level have so far been so small that we have had no real need for the heuristic planner, and as a result, no coherent heuristic strategy is implemented at the moment.

2.5 The hint planners

The hint mechanism in Clam 2.8.4is unsupported.

This section describes Clam's Hint Mechanism (HM). This mechanism provides the user with a means of helping Clam build plans for proofs by giving it hints like those found in mathematical proofs.

Some proofs require the use of techniques for which we don't have the general knowledge required to write a method. Clam would be unable to find a proof-plan for a theorem whose proof requires the use of such techniques just like a student of mathematics would find hard to prove some theorems if he or she had not been given a hint to solve a particular hard step of the proof.

Ideally, Clam should only use constrained methods and a good heuristic function for the Best-first planner which, combined, would tell Clam the appropriate choices to make at each node of the search space. This way, search would be minimal and Clam would find a plan quickly for every provable sequent. Unfortunately we don't have yet a good uniform heuristic function and all the methods we require to prove all theorems and eliminate search.

What we often have though, is an insight, coming rather from experience than from some kind of theory, that tells us what proof techniques to follow at certain stages. The central idea behind the HM is that this insight can be formalised in a language and incorporated to Clam in the form of hints. This enables Clam to use the knowledge contained in the hints to prove harder theorems. By doing so, it also enables us to use a more versatile environment in which we might discover, by experimenting, the underlying theories to develop the methods and heuristics required to achieve a fully automatic theorem prover.

Giving hints to Clam then, consists of telling it what technique it should use to solve a particular sub-problem. The technique can be a regular method known to Clam or a special kind of method called *hint-method* predefined by the user. When giving regular methods, the user simply alters the order in which the methods are tried in the search, thus saving Clam some work. When giving hint-methods on the other hand, the user is introducing a special proof procedure that is only applicable to a reduced number of cases. These cases are specified outside the hint-methods in pieces of code called *hint-contexts*. Hint-methods can only be used via the hint mechanism.

The Hint Mechanism for Clam consists of the following parts:

- 1. A language to express hints.
- 2. An extension of the library mechanism to handle a database of hints in the same way it handles methods and submethods.
- 3. A set of planners very similar to the planners described earlier but with the facility of using a given set of hints to build the plan.

Using the hint mechanism we can give Clam hints in two ways: in *batch* mode or *interactive* mode. In the batch mode, the user provides the planner, from the start, with a list of all the hints he or she considers appropriate and then the planner carries out the standard planning process and tries to use the given hints to build the plan. In interactive mode, an interactive session with the planner enables the user to examine selected parts of the planning process and provide the relevant hints "on the spot".

The full description of the development of this mechanism can be found in [21].

2.5.1 The hint-methods and hint-contexts

Hint-methods are very similar to methods. They live in a separate data base but they are handled in a similar way (see §3.3 on page 92). The main difference is that they are parameterized by a predicate called hint-context⁶. This predicate appears as the first precondition of the hint-methods and has two uses. The first one is to define different cases (one in each clause) where the hint-method is applicable, that is, specific theorems or families of theorems. The second use, is to provide the hint-method the instantiation of variables required by the rest of the preconditions, postconditions and output. For instance, if the hint-method generalises subexpressions, the hint-context will indicate what theorems need a generalisation, and what the subexpressions to be generalised are in each case.

When the user wants to prove a theorem using a hint, he must first decide what hint will be needed and then design a hint-context clause for the theorem (family of theorems) before running the planner. hint-context clauses must be loaded just like regular Prolog code. When the planner is run, the hint-context clause must already be present in memory for the planner to use it. The hint-context clause is defined as follows:

Hint-method is the name of the hint-method to which the context is linked. Label is a constant to distinguish this context clause from the rest. Input is the input sequent and parameters is a list of parameters to be instantiated in the context. Body may be any Prolog code.

Hint-methods are defined in separate files in the "hint" directory of the library using the following pattern:

```
hint( name( label, ... ),
    input,
    [hint_context(name,label,input,[term1,,...,termn]),...],
    postconditions,
    output,
    tactic ).
```

Figure 2.5 shows an example of a hint-method and figure 2.6 shows some hint-contexts defined for it.

2.5.2 The hint planners

The hint mechanism currently has extensions of the Clam planners described above, to handle hints. The extensions are: dhtplan for dplan, idhtplan for idplan and

⁶This is a dynamic predicate, so it can be defined in various files and consulted when necessary. Currently, there is a file called hint_contexts in meta-level-support/ where all the hint-context clauses are defined.

gdhtplan for gdplan. They all work with the same arguments as their non-hint cousins except that the first argument is now an extra argument where a list of hints is to be passed.

dhtplan/[1;2;3;4]

This is the hint version of dplan/4. The first argument must be a list of hints and the rest of the arguments work exactly as in dplan/4. The planner will do a depth-first search to build a plan but, before selecting the next applicable method at each decision point, it will try to use any of the hints given in the first argument. If the list of hints is empty, dhtplan will perform exactly as dplan/4.

idhtplan/[1;2;3;4]

This is the hint version of itplan/4. The first argument must be a list of hints and the rest of the arguments work exactly as in itplan/4. The planner will do a iterative-deepening search to build a plan but, before selecting the next applicable method at each decision point, it will try to use any of the hints given in the first argument. If the list of hints is empty, dhtplan will perform exactly as itplan/4.

gdhtplan/[1;2;3;4]

This is the hint version of gdplan/4. The first argument must be a list of hints and the rest of the arguments work exactly as in gdplan/4. The planner will do a best-first search to build a plan but, before using the next method provided by the heuristic function at each decision point, it will try to use any of the hints given in the first argument. If the list of hints is empty, dhtplan will perform exactly as gdplan/4.

2.5.3 The definition of hints

A hint for Clam is a specification of a position in the plan tree and an action to perform at that point. There are *regular* hints and *always-hints*. Regular hints are used only once in a plan and, after they have been used, they are removed from the list of hints. The always-hints on the contrary, are used as many times as possible and remain in the list of hints. In all, there are four possible kinds of hint:

after(<position>, <action>)

This is a regular hint that specifies an *action* to be taken when the current node of the partial plan is a descendant of the *position* given in the first argument.

imm-after(<position>, <action>)

This is a regular hint that specifies an action to be taken when the current node of the partial plan is a daughter node of the position given in the first argument.

alw-after(<position>, <action>)

This hint is the "always" version of the *after* hint above. It has the same effect but it won't be removed from the hint list after it has been used.

alw-imm-after(<position>, <action>)

This hint is the "always" version of the imm-after hint above. It has the same effect

but it won't be removed from the hint list after it has been used.

A position in a partial plan is given by a *path section*. This is a sequent of methods with their arguments separated by "then". For example:

```
induction(_) then .... induction(_) then tautology(_)
```

Methods in a path section may also specify what branch to follow after its application (branch extension). For example:

```
after( casesplit(_)-2, <action> )
```

indicates that *action* should be performed on the second branch of induction (i.e., step case). We can even use an anonymous Prolog variable in place of any method where we do not care about what method is used. For example:

```
after(_, <action>)
```

indicates that the action is to be taken immediately.

This mechanism is in general enough to specify a position in the plan tree by giving a path section consisting of a single method (with possibly a branch extension), but the system allows the use of a more general path if it is needed.

Actions may be either a method, a hint-method, a term of the form:

```
no( <Method | Hint-method> )
```

or the constant *askme*. If the action proposed is a method or a hint-method, the hint suggests that the planner should try applying the action when the position in the plan tree has been reached. If the action specified is a *no*-term, the planner will avoid applying its argument when the position is reached. Finally, if the action is the constant "askme", the planner, once the position has been reached, will invoke the interactive hint mechanism (see below).

When using a hint involving "immediately after", if the position indicated is reached and the action is not applicable, the system will start the interactive mode. This will enable the user to check why the action could not be performed interactively. If the hint does not involve "immediately after" then the system will not stop when the action is not applicable. This is because the position where the planner is supposed to apply the action is more approximate and the system would have to stop in too many places before reaching the appropriate position.

2.5.4 The interactive session

When the interactive hint mechanism is triggered, a brief menu as a prompt is displayed as follows.

```
[ t, pro, seq, pla, c, a, e, sel, r, h ] <?>
```

The options of the menu are:

```
(t)est method/hint
(pro)log,
(seq)uent.
(pla)n.
(c)ontexts.
(a)pplicable methods,
(e)dit hint list.
```

(sel)ect method.
(r)esume
(h)elp.

The (t) option allows the user to test the applicability of a method or hint-method. It displays the last instantiation of all the succeeding preconditions. That is, the system will try to make all preconditions true and in this process it might backtrack finding different instantiations for the variables in each case. If it is the case that not all the preconditions are satisfied, then the system will display the last instantiation of the variables tried. If all preconditions succeeded then it displays all succeeding postconditions and the output.

This option is helpful for debugging purposes when the user thought a method (hint-method) was applicable at a certain stage, but it was not, and he would like to know what went wrong.

The (pro) option allows the user to send goals directly to Prolog. As it is implemented, the metainterpreter will show the instantiation of variables if the goal succeeded. All variables in the goal will be numbered by order of appearance and will be displayed with the corresponding instantiation. Type the goal "true." to return to the main menu.

The (seq) option displays the current sequent in the planning process.

The (pla) option displays the partial plan constructed so far by the planner and indicates with < current > the section of the plan currently being computed.

The (c) option displays all hint-context clauses currently in memory. The (a) option displays all applicable methods and hint-methods to the current sequent having the specified output.

The (e) option. When a *regular* hint in the list given to the planner is used, it is removed from the list so that it can only apply once. When using the interactive hint mechanism, the user may want to restore the hint into the list to try applying it again or may want to add or delete another hint. This can be done using (e) option. When called, this option shows the list of hints and another menu to edit it.

The (sel) option. The aim of the interactive hint mechanism and of hints in general is to help the planner in deciding what to do during difficult stages of the planning process. Once the user has examined the planning process with the other options of the menu, she may use (sel) option to tell the planner what method or hint-method it should apply.

The (r) option terminates interactive session, leaves the askme hint in the list, undoes all changes done in the session and continues with normal planning. This option is useful when the planner stopped in an undesired stage, or if the user just wants to trace what the planner is doing. In the latter case, a good hint to try would be:

```
after( _, askme )
```

(h) option displays a longer menu to remind the user what the options are.

Before the prompt, the program sometimes gives a notice saying what effects it is looking for. In these cases, the planner is searching for methods or hint-methods that yield this effects (normally []) in their output. When the prompt is not preceded by any notice, it means the system will display or apply any method or hint-method without restrictions on what the output should look like.

2.5.5 Meta-Hints

- It is a good idea to trace the planning process using alw-after(_,askme). It gives an idea of what the sequent looks like at certain stage, what the hypothesis are, etc. This helps designing the contexts for a more automatic proof.
- Remember that almost all output produced by the system is "portrayed". This means that what you see is normally nicer that the real representation. Copying literally or using the mouse will seldom work. It is therefore very important to bear in mind the arity of methods and what the arguments are when trying to make the system apply them.
- Remember that every time an *after* or *imm-after* hint is used, it will be removed from the list of hints (unless you use the resume option in interactive mode). You may use the (e) option to insert more hints, before letting the planner continue if you would like to reuse some hint.
- If you modify the hint list and afterwards you use the (r) (resume) option to continue planning, all changes done in the present interactive session will disappear so the list of hints will be just as it was before the session. If you've modified the hint list and you just decided you want to resume planning but you don't want to loose your changes, there is a trick you can use. Select (sel) option and give it an anonymous Prolog variable. This will keep your changes and will select the next applicable method.
- When using the interactive (askme) hint mechanism, it is worth paying attention to the effects the planner is looking for at each stage because all processes related to applicability of methods/hint-methods will be constrained by this parameter. So, when asking for all applicable methods (option (a)) the system will show all applicable methods to the current sequent with the required effects. If you give the system a hint ((sel) option) and it replies it is not applicable, check the required effects. If the system stops and tells you it is looking for some effects you wouldn't like to deal with, use (r) option, the system will immediately look for unrestricted methods.
- Some example theorems proved using hints can be found in [22].

```
/* -*- Mode: Prolog -*-
 * @(#)$Id: eval_def,v 1.2 1998/09/15 16:00:33 img Exp $
 * $Log: eval_def,v $
 * Revision 1.2 1998/09/15 16:00:33 img
 * use immediate/[1,2]; indentation changes
* Revision 1.1 1996/12/11 15:09:20 img
 * Merge of mthd and smthd libraries.
* Revision 1.4 1996/05/23 11:20:36 img
 * incorrect argument order in reduction_rule/6
 * Revision 1.3 1996/05/14 16:00:09 img
 * Use reduction rules
* Revision 1.2 1995/12/04 14:11:57 img
 * evaluation order documented; don't eval_def functor positions
 * Revision 1.1 1994/09/16 09:34:27 dream
 * Initial revision
 */
/* Symbolically evaluates a term in the goal by applying one of its
 * defining equations. In order to prevent interference with rippling
 * it will not apply when waves are present.
* Evaluation is in a outermost/rightmost reduction strategy. This
 * ordering is as result of exp-at/3 term traversal. */
method(eval_def( Pos, [Rule,Dir]),
      H==>G,
      [matrix(Vars,Matrix,G),
       wave_fronts(_, [], Matrix),
       exp_at(Matrix,Pos,Exp),
not metavar(Exp), %or meta-variables
reduction_rule(Exp,NewExp,C,Dir,Rule,_),
       polarity_compatible(Matrix, Pos, Dir),
immediate(H==>C)],
      [% Once have applied a base-case ignore wave-fronts
       replace(Pos,NewExp,Matrix,NewMatrix),
       matrix(Vars,NewMatrix,NewG)
      ],
      [H==>NewG],
      eval_def(Pos,[Rule,Dir])).
```

Figure 2.2: The eval-def/2 method.

```
method(normal(imply_elim(HName,Lemma)),
    H==>G,
    [hyp(HName:A=>B,H),
        (hyp(Lemma:A,H))
        v applicable(H==>A,apply_lemma(Lemma))
        v applicable(H==>A,backchain_lemma(Lemma)))],
    [hfree([NewH],H),
        del_element(HName:A=>B,H,HThin)],
    [[NewH:B|HThin]==>G],
    normal(imply_elim(Lemma))).
```

Figure 2.3: The normal/1 method.

```
induction(lemma(pnat_primitive)-[(x:pnat)-s(v0)]) then
[base_case([...]),
   step_case([...])
]
```

Figure 2.4: A simple Clam plan.

```
% GEN_HINT METHOD:
% Generalisation Hint Method.
\mbox{\ensuremath{\mbox{\%}}} Positions is a list of subexpression's positions to generalise.
% Var: Variable to be used.
% Hint_name: Name of context in which the method should be used.
hint(gen_hint(HintName, Positions, Var:pnat ),
       [hint_context( gen_hint, HintName, H==>G, [ Positions ] ),
        matrix(Vs,M,G),
        % the last 2 conjuncts will always succeed, and are not really
        \% needed for applicability test, so they could go in the
        % postconds, but we have them here to get the second arg of the
        % method instantiated even without running the postconds...
        append(Vs,H,VsH),
        free([Var], VsH)],
       [replace_list(Positions, Var, M, NewM),
        matrix(Vs,NewM,NewG)],
       [H==>Var:pnat=>NewG],
       gen_hint(Positions, Var:pnat,_)).
```

Figure 2.5: Hint method gen-hint. It is used to generalise variables apart

```
% This hint contexts is for the hint method gen_hint.
% This clause is for the theorem x+(x+x)=(x+x)+x in pnat.
\% The parameters are the positions of the variables to be generalised.
hint_context(gen_hint,
             plus_assoc,
             _==>G,
             [[1,1,1],
               [1,1,2,1]
            ):- matrix(\_,plus(X,plus(X,X))=plus(plus(X,X),X) in pnat,G).
% This clause is for the theorem halfpnat.
\% The parameters are the positions of the variables to be generalised.
hint_context(gen_hint,
             halfpnat,
             _==>plus(X,s(X))=S in pnat,
              [[1,1,1],
               [1,1,2,1]
             ]
             wave_fronts(s(plus(X,X)),_,S).
```

Figure 2.6: Hint contexts for hint methods gen-hint and gen-thm used for theorems plus-assoc, halfpnat and rot-length

Chapter 3

Tactics, utilities and libraries

3.1 The tactics

After a plan has been constructed by one of the planners, it can be executed to construct an actual Oyster proof. For this purpose, Clam provides a tactic corresponding to each of the methods, which, when executed, will perform the proof steps specified by the method. Plan execution is particularly simple when the names of methods and tactics are identified (as is the case in Clam). Plans can simply be executed by passing them to the Oyster predicate apply/1.

In order to minimise the dependency of Clam on different versions of Oyster, the tactics of Clam assume that Oyster's autotactic has been switched off (that is, the value of the autotactic should be idtac/0).

A quasi-autotactic is being used in many of Clam's tactics. This tactic, called wfftac/0, or its repeat-ed form wfftacs/0, is assumed to solve any goals of the form Expression in Type. The code of wfftac/0 is somewhat dependent on the type of theorem that is being proved. A mechanism has been implemented which automatically installs the version of wfftac/0 appropriate to the current theorem. In order to make this mechanism work, the user should always use the Clam predicate slct/[0;1] instead of the Oyster predicate select/[0;1].

wfftacs(+Flag)

The wfftacs/1 predicate enables the setting of wfftacs/0. wfftacs(on) enables wfftacs/0 and wfftacs(off) disables wfftacs/0. By default wfftacs/0 is enabled.

wfftacs-status(-Flag)

Flag is instantiated to the current status of wfftacs/0.

slct(Thm)

The predicates slct/[0;1] are identical to select/[0;1] in Oyster, except that they also manipulate the definition of wfftac/0 to be the right form for the selected theorem. Thus, in the context of Clam, slct/[0;1] should always be used instead of select/[0;1].

Two tactics are not properly implemented, and rely on the Oyster because/0 $\stackrel{\circ|\circ}{\sim}$ inference rule (proof by intimidation) for their execution. These tactics are

¹With the exception of the decision procedures for Presburger arithmetic.

- the clam-arith/0 tactic, called from within the tautology checker to compensate for Oyster's abysmal arithmetic, and
- one of the clauses of the rewrite-at-pos/3 tactic which performs rewrites. See comments there for an explanation.

These improperly implemented tactics print out a "proof by intimidation" warning when executed.

3.2 Utilities

This section describes some of the utilities which are not strictly needed for the functionality of Clam, but which are indispensable for making life with Clam bearable.

3.2.1 Pretty-printing

print-complementary-sets(+Cs)

This predicate prints complementary sets in a manner which makes them somewhat legible. Cs is a complementary set, as described under complementary-sets/[1;2].

Example Given the definition of membership we have:

Plans are constructed as Prolog terms (using the then/2 functor to combine methods into a tree structure). These terms become quickly unreadable, and for this purpose Clam provides a simple pretty-printer. This is controlled using the portray-type/1, portray-level/3 and the contents of the file portrayTeX.pl. See3.2.2 on the facing page.

print-plan(+Plan)

This predicate prints terms in the manner shown in figure 2.4 on page 85. The behaviour of this pretty-printer is fixed, and cannot be influenced by the user, except by the use of the portray machinery (see 3.2.2 on the facing page).

print-plan

This predicate prints the proof underneath the current node in the proof tree in the same manner as print-plan/1 prints plans. It can be seen as a variation on (abbreviation of) Oyster's display/0 predicate.

snap

This predicate is as Oyster's pretty print predicate snapshot/0, except that it provides shorter output by suppressing all the hypotheses, and only printing goals and inference rules.

snap(+File)

As snap/0, but with output redirected to File, rather than the current output stream.

3.2.2 Portrayal of terms

There is some degree of user control over the way in which Clam prints terms. It is possible to control the degree of detail shown when terms are printed on a term-by-term basis, as well the overall format of all prints. Currently, there are formats for plain ASCII, TEX, and Emacs.

A portray level governs the amount of information displayed by Clam. This is a natural number less than 100. The higher the portray level, the more detail, the lower the number, less detail.

Portray levels can be changed on a term-by-term basis, or for all terms. A portray level is read/written using portray-level/3.

When a term is printed, portray/2 describes the portrayal information for that term. The appropriate portrayal method is selected according to a specific portray level (if there is one), or according to the default (if there is not), and according to the current portray-type/1.

The portray type specifies one of plain ASCII, TeXor Emacs. Various default portrayals for each of these types are defined in portrayTeX.pl.

portray/2(+T,?Fmt)

Term T should be printed according to the format information Fmt. Fmt is a list of the form T: [L1-P1, L2-P2, ...]. T is one of the portray types; Li is a natural number less than 100; Pi is a list of Prolog terms.

Clam chooses the appropriate element of Fmt based on portray-type/1. Then, the portray level of the term to be printed is computed: the first Pi such that Li is greater than or equal to the portray level is obtained. Then each element of this Pi is printed in sequence.

For example, we have:

Which shows how wave-fronts are portrayed according to the portray type.

shows that terms of the form ripple(A,P) at portray levels less than 50 are printed.

```
portray-level(+T,?0,?N)
```

T is a template term; all terms that are printed which T matches are portrayed at level O. If N is non-ground, the portray level for those terms is changed from O to N.

In the special case of T == default, the default portray level is manipulated.

See also idplanTeX/[0;1].

portray-type(?T)

T is the current portray type. There is a stack of such types: the topmost type is said to be 'current'.

pop-portray-type

The current portray type is popped from the stack. The new top element on the stack is the current portray type.

If the stack only contains one element, that element cannot be popped and pop-portray-type/0 fails.

push-portray-type(+T)

T becomes the new current portray type. T must be one of the following supported types:

normal Normal ASCII output. This is the default.

tex The output is suitable for processing with TEX.

emacs The output is suitable for use with the Clam Emacs mode. This mode provides a limited form of colouring and font control to depict annotations etc.

3.2.3 Tracing planners

In addition to the hint mechanism described in §2.5 Clam provides a very simple tracing package that allows the user to monitor the activities of the planners during the planning process. The user can set a tracing level, using the predicate:

trace-plan(?Current,?New)

Current will be unified with the current tracing level. If New is bound to a non-negative integer, the tracing level will be set to New. If New is unbound, it will be unified with the current tracing level. Notice that this predicate can be used for multiple purposes. Mode trace-plan(-,-) can be used to inquire for the current tracing level, mode trace-plan(+,-) can be used to test the current tracing level, mode trace-plan(-,+) can be used to set the tracing level.

Currently implemented tracing levels are:

- **0** No tracing.
- 10 Prints when the iterative-deepening increases cut-off depth.
- 20 During plan construction, prints which (sub)methods have been selected. During plan execution via apply-plan/1 (see below), prints which method is being executed
- 22 Default.
- 23 During lib-load/[1;2;3] and lib-load-dep/3, show which definitions, equations, lemmas etc. are loaded.
- 30 Prints which (sub)methods are being tested for applicability.

40 Prints when preconditions and postconditions of (sub)methods succeed; lib-load/[1;2;3] and lib-load-dep/3 give verbose output of all rewrites and reduction rules added to the database.

Tracing levels are cumulative. If the current tracing level is set to n, then all tracing levels $k \leq n$ are active. The gaps between the tracing levels have been left to facilitate implementation of future levels.

By default, the tracing level is set to 20.

3.2.4 Applying plans & programs

The products of Clam's planners are only plans for proofs, they are not proofs themselves. In order to produce a proof, we have to apply a plan in the object-level logic (in our case Oyster). As described in §3.1 on page 87, plans can be executed simply by passing them as an argument to Oyster's apply/1 predicate, because tactics and methods have the same name by convention. This produces a single step proof, in which the only proof step is the application of the proof plan as a single refinement.

However, it is often much nicer to have a proof where each constituent method of a plan corresponds to a single proof step. This can be achieved by executing a plan using the predicate apply-plan/1:

apply-plan(+Plan)

This predicate applies Plan, and makes each method in Plan a single refinement step in the proof. Progress of the plan execution process can be monitored using the tracing package (tracing level 20). A minor variation is:

apply-plan-check(+Plan)

This predicate is apply-plan/1, except that this predicate also checks whether the application of each method produces the output sequents that are specified in the method's output-slot. If this check fails, apply-plan-check/1 gives an error message about the failing method and its position in the proof tree and fails.

prove(+Thm)

The prove/1 predicate composes the loading of the definitions relating to the conjecture Thm (see §3.3 on the following page) with the search and execution of a depth-first plan.

apply-ext(+ArgsList)

The predicate apply-ext/1 provides an interface for executing extract terms. An Oyster extract term encodes the computational content of an Oyster proof. ArgsList is the list of arguments required by the extracted function. For example, assuming that a synthesis proof for list concatenation has been constructed called append. Then using apply-ext/1 the concatenation of the lists [1,2,3] and [4,5,6] can be achieved as follows:

```
| ?- apply_ext([[1,2,3],[4,5,6]]).
(append [1,2,3] [4,5,6]) = [1,2,3,4,5,6]
```

3.3 The library mechanism

One of Oyster's notably lacking features is a decent library mechanism. When proving even moderately complex theorems, it becomes very painful to keep track of the dependencies between theorems, lemmas, definitions, etc. To make life with Clam a bit easier, Clam provides a simple library mechanism which is geared towards the needs of Clam. Clam distinguishes a number of *logical objects*, which play different roles in constructing proofs and proof plans.

3.3.1 Logical objects

Logical objects are divided into number of different *logical object types*. A logical object is always designated by a term T(N), where T is the type of the object and N the name of the object.

Certain behaviours are associated with the loading and saving of the various kinds of logical object: the possible types of logical objects and these behaviours are described below:

plan: A plan logical object denotes a proof-plan for some theorem. These logical objects are automatically added to the Prolog environment when a proof-plan for a theorem has been found—they cannot be created by a user or be loaded from a file (this may change in future versions of Clam).

For example, when a proof-plan for a theorem called 'assp' has been found, it can be saved with

lib_save(plan(assp)).

The purpose behind saving proof-plans is to better document and record Clam's performance for benchmarking and so on.

thm: The thm type consists of theorems, corresponding to Oyster conjectures. There is no distinction between a theorem and a conjecture as far as the library mechanism is concerned. Typically, a thm is loaded as a conjecture, some proof-planning or other theorem proving is carried out, the the resulting theorem is saved.

thm objects can be loaded and saved.

lemma: A lemma also corresponds to an Oyster theorem. However the idea of a lemma is that it is not a theorem which is interesting in its own right, but rather something which is only needed for technical reasons. An example of a lemma would be some boring arithmetic equality that Oyster is too brain damaged to deal with. Other theorems (of type thm) can also be used as lemmas by Clam, but lemmas should not be used for anything else, whereas thms are expected to be used for other purposes as well (e.g. as input for planning tasks). Clam is expected to be able to produce proof-plans for thms, whereas no such expectation exists for lemmas.

synth: A synth is an Oyster theorem which is only used to synthesize the definition of a particular function. In this sense, synths are close to defs.

synth objects are not normally loaded directly by the user: the are automatically loaded when a def object of the same name is loaded. When loading def(D) Clam checks for the presence of synth(D) in the current libraries. If such an object is found, it is loaded. Notice that this dependency between def and synth objects is not reflected in the needs.pl file.

scheme: A scheme is an Oyster theorem which proves the validity of a particular non-standard induction scheme. Thus, a scheme is typically a higher order theorem. A scheme is expected to be proved by hand; loading a scheme via lib-load(scheme(S)) loads S and attempts to translate it into the meta-level representation of induction schemes used by scheme/3 and scheme/5. For example, to justify plus induction the following theorem would be proved:

```
phi:(pnat=>u(2))=>
    phi of 0=>
    phi of s(0)=>
        (x:pnat=>y:pnat=>phi of x=>phi of y=>phi of plus(x,y))=>
        z:pnat=>phi of z
```

See scheme/3 and scheme/5 for additional information.

Schemes can be loaded and saved.

wave: A wave is an Oyster theorem like a thm (that is: Clam is expected to be able to construct a proof-plan for it²), but the fact that the theorem is marked as a wave indicates that it can be used as a wave-rule. Such wave-rules are stored as rewrite rules (see §4.3.3 and rewrite-rule/5). See [6, 1] for a description of wave-rules.

Since wave-rules derive from rewrite rules, there is no sense in which the library stores wave-rules, so the idea of loading and saving them is rather anomalous. Loading wave(W) object causes Clam to load thm(W) and then process that thm object into a rewrite rule. Notice then that loading a wave object introduces a thm object (of the same name) and a collection of rewrite rules from which wave-rules may be later extracted.

Saving wave(W) has the dual effect: the object thm(W) is saved into the library as a theorem.

In the special case that the library mechanism attempts to load a collection of wave objects, described via wave([W1,W2,...,Wn]), each of the individual objects wave(W1), through wave(Wn) is loaded. Clam then attempts some additional processing to extract complementary rewrite rules from the resulting set of rewrite rules. (See wave/4 for more information.)

def: A def corresponds to an Oyster definition, using Oyster's <==> operator. Clam and Oyster have slightly different ideas about what a definition is: Oyster thinks that definitions are constructed with <==>, whereas Clam thinks that definitions are constructed via recursion equations, which are themselves constructed as Oyster theorems of type eqn. Thus, for every definition of type def, there will be a number of corresponding recursion equations of type eqn.

The library mechanism knows of this dependency and so loading and saving def objects causes a corresponding loading and saving of the equations associated with that definition. On loading, Clam processes the rewrite rules resulting from the eqns in an attempt to extract complementary-rewrite-rules from them. See discussion above under the wave entry.

eqn: An eqn is an Oyster theorem which is to be interpreted as the recursion equation for a particular definition of type def having the same name. Equation objects are not normally loaded and saved directly: they are loaded/saved as a side-effect of loading/saving the corresponding definition.

²Though this is not a prerequisite of a wave object.

Saving an individual equation is possible. It is not possible to load an individual equation without referring to the name of the definition of which that equation is considered a definition. For example, lib-save(def(plus1)) saves the first numbered equation making up the definition of the symbol plus. lib-load(eqn(plus1)) will report an error. lib-load(eqn(plus,plus1)) will load the equation plus1 and associate it with the definition of plus.

Notice that it is a bad idea to load equations in this way since it by-passes Clam's processing for complementary sets, as shown in this example:

| ?- lib_load(eqn(plus,plus1)).

Loaded eqn(plus1)

Added (=) equ(pnat,left) rewrite-record for plus1

Added (=) equ(pnat,left) reduction-record for plus1

Clam WARNING: Loading a single equation will not update any complementary rewrite sets.

Clam WARNING: You must re-load the entire definition to build these.

eqns: This is not really a logical object but rather a notational convenience. Call it a pseudo-object. It refers to all the eqn logical objects collectively. That is, eqns(D) is much the same as eqn(D1), through eqn(Dn). The advantage of using eqns(D) is that complementary set processing is carried out on the equations.

defeqn: This is not really a logical object but rather a notational convenience. Call it a pseudo-object. It is only to be use in the context of a lib-save/2: Using lib-create/[1;2] it is possible to create def objects and their corresponding eqn objects and a synth object at the same time; defeqn conveniently refers to all of these as a single object for the purpose of saving them and immediately re-loading the definition (and so causing the definition, equations and synth to be processed).

defeqn objects cannot be loaded.

red: Refers to a thm object that has been processed into a reduction rule. Loading red(R) causes Clam to load thm(R) and then attempt to extract a reduction rule from that theorem. (In this respect is quite similar to the loading of a wave object.)

No warning or error is reported if Clam cannot extract a reduction rule—the thm object remains loaded. Saving a red simply saves the thm from which it it was extracted.

A reduction rule is a rule that has been shown to be measure decreasing according to the current registry. These rules are applied as part of the symbolic evaluation method (sym-eval/1) and unblocking (unblock/3). See §A.4 on page 135 for more information.

redwave: Used to refer to a reduction rule and a wave-rule simultaneously (again, a pseudo object). These can be loaded but not saved.

represented mthd: A mthd is Clam method. either a iterator/4 (or iterator-lazy/4) method/6 clause. or as an of form iterator(method,...,...) iterator-lazy(submethod,...,...)).

smthd: A smthd is a Clam method, represented either as a submethod/6 clause, or as an iterator/4 (or iterator-lazy/4)

| Object | Load? | Save? | Processing | Comment |
|---------|-------|-------|-----------------------|-------------------------------|
| plan | N | Y | None | Created by planner |
| thm | Y | Y | None | |
| lemma | Y | Y | None | Interesting only to tactics |
| synth | Y | Y | None | Loaded automatically with def |
| scheme | Y | Y | Induction rules | - |
| wave | Y | у | RR, CS | based on thm |
| eqn | N/R | Y | RR, RedR, CS | based on thm |
| eqns | Y | Y | RR, RedR, CS | |
| defeqn | N | Y | None | Use only after lib-create |
| red | Y | Y | RedR | based on thm |
| redwave | Y | N | RR, RedR | red and wave |
| mthd | Y | N | | |
| smthd | Y | N | | |
| hint | Y | N | | |
| trs | N | N | | |

Table 3.1: Summary of logical objects. Key: RR – rewrite-rule; RedR – reduction-rule; CS – complementary set

```
clause of the form iterator(submethod,...,...) (or iterator-lazy(submethod,...,...)).
```

hint: A hint is a Clam hint-method represented as a hint/6 clause.

trs: trs is the name of a terminating rewrite system. Such a logical object is defined by a collection of rules and a collection of registries. Clam currently only supports one trs, defined by the rules of reduction-rule/6 and the two registries positive and negative. (See registry/4.)

Table 3.3.1 shows a summary of the logical objects.

Example logical objects Below are some examples for each of the above types of logical objects.

```
thm: x:pnat=>y:pnat=>plus(x,y)=plus(y,x) in pnat
    is a theorem of type thm.

def: plus(x,y) <==> p_ind(x,y,[~,v,s(v)])
    is a definition of type def.

eqn: y:pnat=>plus(0,y)=y in pnat
    x:pnat=>y:pnat=>plus(s(x),y)=s(plus(x,y)) in pnat
    are both recursion equations of type eqn, corresponding to the definition of
    plus/2 above.
```

synth: x:pnat=>y:pnat=>pnat

together with the corresponding proof which synthesizes addition would be of type synth (defining plus). (Notice that such a synthetic definition would still need corresponding recursion equations to be of any use during proof-plan construction.)

```
lemma: n:pnat=>
     m:pnat=>
```

```
(times(n,m)=0 in pnat=>void)=>m=0 in pnat=>void
```

is a simple theorem about arithmetic that Oyster should know about (but doesn't). It is therefore best seen as of type lemma, although, when we decided to build proof-plans for this statement, it could be upgraded to type thm.

although a thm in its own right, could be declared as a wave rule as well.

red: A measure decreasing rewrite rule.

```
x:pnat=>y:pnat=>plus(x,s(y))=s(plus(x,y)) in pnat.
```

mthd: Any method/6 term described in §2.3.1 on page 42 is an example of a mthd. The other way of making methods is through an iterator/4 or iterator-lazy/4 clause:

```
iterator(method, normalize, submethods, [normal(_)]).
iterator-lazy(method, normalize, submethods, [normal(_)]).
```

smthd: Any submethod/6 described in §2.3.1 on page 42 is an example of a smthd. The other way of making submethods is through an iterator/4 or iterator-lazy/4 clause:

```
iterator(submethod,ripple_out,methods,[wave(_,_)]).
iterator-lazy(submethod,ripple_out,methods,[wave(_,_)]).
```

hint: Any hint/6 term described in §2.5.1 on page 79 is an example of a hint.

Associated with each logical object type is a functor which can be wrapped around the name of an object to indicate its type. Such expressions will be called *typed logical objects*. The name of a logical object is always an atom, except for methods, whose name is a functor specification of the form f/n. Thus, the expression def(plus) indicates that plus is a definition, and the expression mthd(base/2) indicates that base is a method of arity 2.

Dependencies between logical objects can be registered in Clam using the needs file which is always defined in the file needs. The predicate needs/2 keeps track of the various dependencies between logical objects.

needs(+Object,+Needed)

Object is a typed logical object, and Needed is a list of typed logical objects. This indicates that Object needs all the objects listed in Needed. The needs/2 clauses will be used by Clam's lib-load/2 predicate to determine which objects should be loaded in which order. Example:

```
needs(thm(comm), [def(times)]).
needs(def(times), [def(plus)]).
```

states that the theorem comm (commutativity of multiplication) needs the definition of times and that the definition of times needs the definition of plus. Clam provides a database of predefined needs/2 clauses, but this database can be altered by the user via assert/retract statements. Warning: loading a set of new needs/2

clauses from a file will result in the built-in database being overwritten, so explicit calls to assert/retract must be used. (Alternatively, users can take a copy of the built-in database from the file needs in Clam's source directory, and add their own needs/2 clauses). The database of needs/2 clauses is order independent.

A number of dependency rules are built into Clam, so that they do not have to be stated each time:

- needs(def(0), [eqn(0)]). Thus, whenever a definition is loaded, the corresponding recursion equations will also be loaded.
- needs(eqn(0), [wave(0),red(0)]). Thus, whenever a recursion equation is loaded, the system will try to regard it as both a wave-rule and as a reduction rule.

needed(?Needer,?Needed)

This predicate succeeds if Needed is a typed logical object that is needed (directly or indirectly) by the typed logical object Needer, according to the needs/2 database. This predicate can be used to interrogate the needs/2 database and effectively provides the transitive closure of the needs/2 predicate. It can be used both ways round, that is: to inquire which logical objects are needed by a given logical object (mode needed(+,-)), or to find all logical objects that need a given logical object (mode needed(-,+)).

Clam uses the needs/2 dependency database to automatically load all the required logical objects in the correct order. For this purpose, it makes certain assumption about the way logical objects are stored in files.

- Every logical object O of type T is stored in a file T/O. Where T denotes a subdirectory of the current library directory. For example, the definition of the function plus/2 (the typed logical object def(plus)) lives in the file def/plus. There are two exceptions to this rule:
 - 1. The simplest exception is the wave type. Objects of type wave do **not** live in a wave-directory. Instead they live in the thm-directory. (The only purpose of assigning a logical object the wave-type is to recognise it as a wave-rule.)
 - 2. The second exception applies to the eqn type. As explained above, for every object of type def (an Oyster definition), there will be a number of objects of type eqn (the corresponding recursion equations). Because there will in general be more than one recursion equation per definition, the equations for a def -object called 0 do not live in a file eqn/0, but instead may be found as a number of files in the eqn directory of the library.

Currently, there are two filenaming convensions to indicate the numbered equations which belong to some definition:

- files eqn/01, eqn/02, That is, numerals are concatenated to the right-hand of the name.
- files eqn/0.1, eqn/0.2, That is, numerals are concatenated to the right-hand of the name separated by a period. This second format is to be preferred over the first one.

In both cases, notice that the equations must be consecutively numbered.

Summarising, the file naming conventions of the library mechanism are:

- Possible types for logical objects are thm, lemma, synth, scheme, wave, def, eqn, mthd and smthd.
- Any object O of type T lives in a file T/O, except:
- An object O of type wave lives in a file thm/O, and
- An object O of type eqn lives in a file eqn/On, with $n = 0, \dots, 9$.

A number of predicates exists to manipulate these typed logical objects:

- lib-create/[1;2] allows the interactive user to create definitions and corresponding equations from the Clam command line. This is not a fully general mechanism in that there are some definitions and equations which cannot be created in this way. However, in most cases the user will be able to put it to good use.
- lib-load/[1;2;3] and lib-load-dep/3 are used to load objects from files into the current Prolog environment.
- lib-present/1 is used to interrogate the current Prolog environment about the presence of typed logical objects.
- lib-delete/1 is used to delete typed logical objects from the current Prolog environment.
- lib-save/[1;2] is used to save typed logical objects from the current Prolog environment to a file.
- lib-edit/[1;2] is used for editing library objects.
- lib-set/1 is used for setting some global parameters that affect the library mechanism.

These predicates will be discussed below:

lib-create(defeqn(+0),+Dir)

Create a defeqn pseudo-object (pseudo in that it is really a collection of a def and one or more eqns, and a synth).

lib-create allows the interactive user to create a def and then give one or more corresponding equations (eqns). The synth object is also created. The equations may be conditional. Once created, these definitions and equations must be saved (using lib-save(defeqn(0))) in order to process them ready to be used by Clam during proof-planning.

To create a definition, the user interactively provides a type for 0: it is assumed that types are in uncurried form: however, they are automatically converted into curried form internally.

Then the user enters a number of equations describing 0. The enumeration of the equations is terminated by the token 'eod.', meaning "end of definition". All entry is terminated by a period '.'.

Equations have the following general form (note the period):

```
LHS = RHS.
```

or, if they are conditional equations,

```
COND => LHS = RHS.
```

At the end of this process lib-create has

• made a def object for O:

```
O(x1, ..., xn) \le term_of(synth(0))
```

- created a synth object. This is a theorem of the type entered above. This theorem must be proven by the user.
- made a number of eqn objects, on per equation entered. Each of these is a theorem that is to be proved. Again, these proofs are left to the user.

The proofs referred to above constitute a (constructive) demonstration that there exists a total, primitive recursive function which satisfies the equations given.

Example. A definition of the function nat_plus is given, and the familiar equations for it are then enumerated. Proofs are left as an exercise.

```
| ?- lib_create(defeqn(nat_plus)).

Enter type for nat_plus: (pnat # pnat)=>pnat.

Enter equations for nat_plus ("eod." to finish)

nat_plus1: nat_plus(0,x) = x.

nat_plus2: nat_plus(s(x),y) = s(nat_plus(x,y)).

nat_plus3: eod.

Definition of nat_plus completed.

Use lib_save(defeqn(nat_plus)) to save and register your definition.
```

NB. Clam does not automatically save your definitions, nor does it register the equations. This means that they will be ignored by Clam during proof-planning. To register them, you must use lib-save(defeqn(nat-plus)), which saves the objects associated with the defeqn object and then immediately reloads them.

```
| ?- lib_save(defeqn(nat_plus), 'lib-save').
Saved def(nat_plus)
Saved synth(nat_plus)
Saved eqn(nat_plus1)
Saved eqn(nat_plus2)
Registering these definitions...
Loaded synth(nat_plus)
Clam WARNING: Theorem nat_plus has status incomplete
Loaded eqn(nat_plus1)
Clam WARNING: Theorem nat_plus1 has status incomplete
Loaded eqn(nat_plus2)
Clam WARNING: Theorem nat_plus2 has status incomplete
Added rewrite-record for nat_plus1
Added rewrite-record for nat_plus2
Added rewrite-record for nat_plus2
Clam INFO: [Extended registry positive]
Clam INFO: [Extended registry negative]
Added (=) equ(pnat,left) reduction-record for nat_plus1
Clam INFO: [Extended registry positive]
Clam INFO: [Extended registry negative]
Added (=) equ(pnat,left) reduction-record for nat_plus2
Loaded def(nat_plus)
```

lib-create(defeqn(+0))

As lib-create/2, with Dir defaulting to the current directory.

lib-delete(?T(?0))

T(0) will be unified with a logical object present in the current database, and this object will be deleted from the database. The predicate tries to maintain database consistency by deleting all aspects of the specified object. For instance, if a def is deleted, the corresponding eqns are also deleted, and if present, so is the synth associated with it. Equations are deleted if they are present and numbered consecutively from 1; consistency may be lost when individual eqns are deleted thus destroying the consecutive numbering.

The simplest use of this predicate is to delete a single fully specified object:

```
:- lib_delete(thm(assp)).
```

However, by partially specifying T(0) and backtracking over lib-delete/1, it is possible to delete more than one object at once. For instance:

```
:- lib_delete(mthd(M)),fail.
```

will delete all methods from the system.

Deleting reduction rules. When a reduction rule is deleted, the registry is not changed even though the remaining rules may be terminating under more general registry. For example,

```
| ?- lib_delete(red(nat_plus2)).
Deleting reduction record for nat_plus2...done
Clam info:
```

Some rewrite rules have been removed from the TRS; However, Clam info:

any possible weakenings of the registry have not been made.

lib-delete

This predicate deletes all logical objects from the current environment.

lib-load(+T(+0),+Dir)

This predicate will load a logical object O of type T from the corresponding file(s) in directory Dir, using the file-name conventions described above. Furthermore, it will also (and first) load all logical objects which are needed by O (directly and indirectly), according to the needs/2 database. All these auxiliary objects are also loaded from directory Dir. Dir can be specified as a relative directory from the current directory or as an absolute pathname.

Instead of a single typed logical object, the first argument can also be a list of typed logical objects, in which case lib-load/2 will iterate over all elements of the list. Failure to load any of these objects will prevent all subsequent objects from being loaded.

If the typed logical object T(0), or any of the objects it needs directly or indirectly, are already loaded, they will not be loaded again.

For logical objects of type def(D) Clam loads as many consecutively numbered equations of the form eqn(Dn) as can be found in the library (starting from n=1), and these will be added to the reduction rules database.

Definitions having a type of the form A => A = u(1) (that is, binary predicates) are given special attention. For such definitions Clam attempts to show that the defined symbol is transitive, by setting up and trying to prove a conjecture stating

the transitivity of the symbol. Clam uses the predicate quickly-provable/1 for these proofs. The flag prove-trans/0 can be used to switch this facility off. If trans-proving/0 is retracted, Clam does not attempt any automatic processing of transitivity proofs.

Definitions having a type of the form A => A => B (that is, binary functions) can also given special attention if the flag prove-comm/0 is set. If it is, then for such definitions Clam attempts to show that the defined symbol commutative, i.e. that f(x,y) = f(y,x), by setting up and trying to prove a conjecture stating the commutativity of the symbol. Clam uses the predicate quickly-provable/1 for these proofs. If the symbol is found to be commutative, then commuted versions of all defining equations for the symbol are loaded, where the original equation is of the form $f(A,B) \Rightarrow g(f(C,D))$ and the added equation is of the form: $f(B,A) \Rightarrow g(f(D,C))$. This could be extended to equations where the LHS only contains f as a subexpression, where the LHS or RHS contains multiple occurrences of f, etc. The rewriting tactics have not been extended to cope with these commuted equations, which is why the facility is by default turned off.

Example The following examples illustrate the behaviour of lib-load.

```
| ?- asserta(comm_proving). % set comm_proving flag.
| ?- trace_plan(_,23). % show what is loaded by lib-load
| ?- lib_load([def(plus),def(geq)]).
Loaded eqn(plus1)
Loaded eqn(plus2)
Added (=) equ(pnat,left) rewrite-record for plus1
Added (=) equ(pnat,left) rewrite-record for plus2
Added (=) equ(pnat,right) rewrite-record for plus2
Clam INFO: [Extended registry positive]
Clam INFO: [Extended registry negative]
Added (=) equ(pnat,left) reduction-record for plus1
Clam INFO: [Extended registry positive]
Clam INFO: [Extended registry negative]
Added (=) equ(pnat,left) reduction-record for plus2
Loaded def(plus)
Clam INFO: Definition def(plus) has the type of a binary function.
Clam INFO: Trying to show it is commutative.
Clam INFO: Proved def(plus) to be commutative.
Clam INFO: Adding commuted versions of wave rules.
Clam INFO: Note, need to add code for tactics for commuted wave rules soon.
Clam INFO: Added commuted wave rule (equ(pnat, right)) for equation plus 2.
Clam INFO: Added commuted wave rule (equ(pnat,left)) for equation plus2.
Clam INFO: Added commuted wave rule (equ(pnat,left)) for equation plus1.
Loaded synth(leg)
Loaded eqn(geq1)
Loaded eqn(geq2)
Loaded eqn(geq3)
Added (=) equ(u(1),left) rewrite-record for geq1
Added (=) equ(u(1),left) rewrite-record for geq2
Added (=) equ(u(1),left) rewrite-record for geq3
Added (=) equ(u(1),right) rewrite-record for geq3
Clam INFO: [Extended registry positive]
Clam INFO: [Extended registry negative]
Added (=) equ(u(1),left) reduction-record for geq1
Clam INFO: [Extended registry positive]
```

```
Clam INFO: [Extended registry negative]
Added (=) equ(u(1),left) reduction-record for geq2
Clam INFO: [Extended registry positive]
Clam INFO: [Extended registry negative]
Added (=) equ(u(1),left) reduction-record for geq3
Loaded def(geq)
Clam INFO: Definition def(geq) has the type of a binary function.
Clam INFO: Trying to show it is commutative.
Clam INFO: Failed to prove def(geq) commutative.
Clam INFO: Definition def(geq) has the type of a transitive relation.
Clam INFO: Trying to show it is indeed transitive.
Clam INFO: Proved def(geq) to be transitive.

yes
| ?-
```

First plus is loaded—notice how plus1 and plus2 are automatically loaded when def(plus) is loaded, and shown to be measure decreasing reduction rules. Then geq is loaded.

```
?- lib_load([def(plus),thm(assp),thm(comp)])]).
```

first loaded the definition of plus, then loads two thm objects: assp then comp.

lib-load(+T(+0))

This is as lib-load/2, but the Path argument will be the default library path, as set by lib-set/2.

lib-load(wave(+OList))

A specialised version of the lib-load predicate which allows us to recognise arbitrary complementary sets of rewrites. OList must be a list of theorems which are be treated as a set of complementary set of rewrites. They are handed to complementary-set/2 for processing.

lib-load(+Mthd(+M),+Pos,+Dir)

These forms of the lib-load predicate are meant only for logical objects of types mthd or smthd (in other words, Mthd is one of the atoms mthd or smthd, and M is of the form f/n). For these objects we want to be able to specify the relative location where they are to be inserted into the database. For this purpose the second argument of lib-load/[2;3] can be a position. Pos can be one of the following four values, each specifying a position in the database where the (sub)method M is to be inserted:

- first M is inserted as the first item in the database.
- last M is inserted as the last item in the database.
- before F/N M is inserted just before the method F/N in the database.
- after F/N M is inserted just after the method F/N in the database.

If the Pos argument is not specified (as in lib-load(mthd(M),Dir) or lib-load(mthd(M))), the default value for Pos is last unless the specified method M already occurs in the database, in which case the default value for Pos is the current position of M.

No more than one copy of any (sub)method ever occurs in the database. Thus, reloading a (sub)method into the database results in removing the old copy of the (sub)method. In this way, lib-load/[1;2;3] resembles the Prolog predicate reconsult/1 and not the predicate consult/1. Because of this, the easiest way to move a method from one position in the database to a new position is to reload the method, while specifying its new position. Notice that a (sub)method is allowed to have more than one clause (such as the wave/4 method), but the above enforces that these clauses must appear consecutively in the (sub)methods database.

Examples of the use of these predicates are:

```
:- lib_load(mthd(induction/1),after(ind_strat/1)).
:- lib_load(mthd(identity/0),first).
:- lib_load(mthd(sym_eval/1),last).
```

lib-load(+Mthd(+M),+Pos)

As lib-load(+Mthd(+M),+Pos,+Dir) except that Dir is instantiated to be the current library directory.

lib-load-dep(+Thing,?Dep,+Dir)

This is a version of lib-load/2 for loading logical object Thing from the library in director Dir. lib-load-dep/3 does not use the needs/2 database. It automatically analyses the logical object in question (Thing may be any of thm, red, wave, lemma, eqn) and determines which definitions must be loaded for Thing to be loaded. This is does recursively, and hence calculates the dependancies between theorems and definitions etc.

Dep is a tree showing the objects upon which Thing depends.

Notice that there is no sanity check on these dependancies: if a def object refers to itself recursively lib-load-dep/3 is likely to diverge. (This scenario is illegal anyway as far as Oyster is concerned.)

For example,

lib-present(?T(?0))

T(0) will be unified with a typed logical object in the current environment. This can be used to test for the presence of a specified logical object, or to generate a set of logical objects from the environment on backtracking, by partially specifying T(0).

NB: whilst cancellation (see cancel-rule/2) and equality (see equal-rule/2) records are not library objects, they will be displayed as such by lib-present/1.

lib-present

This predicate prints the names of all logical objects in the current environment.

NB: whilst cancellation (see cancel-rule/2) and equality (see equal-rule/2) records are not library objects, they will be displayed as such by lib-present/0.

lib-save(+T(+0),+Dir)

This predicate will save a logical object O of type T in a file in directory Dir, using the file-name conventions described above. Notice that it will **not** save any of the objects that are needed by O. The only exception to this is when saving a **def** object, when all the corresponding recursion equations will also be saved in directory Dir. The only two exceptions to this are when

- 1. saving a def object, when all the corresponding recursion equations will also be saved in directory Dir, and,
- 2. when saving a defeqn object, which saves the corresponding def object, and the associated equations, and the synth object.
- 3. when saving a plan object, the following information is recorded in the library:
 - the name of the theorem for which the plan was constructed;
 - the version number of Clam that produced the plan;
 - the Clam environment—all logical objects present at the time the proofplanning was carried out with the exception of plan objects.

This information is useful when comparing proof-plans across different versions of Clam and different collections of methods. It allows a user to reproduce precisely the environment in which a plan was found. Future versions of Clam will provide support for storing multiple plans for a single theorem in the library.

As for lib-load/2, the first argument can also be a list of typed logical objects, in which case lib-save/2 will iterate over all elements of the list, and O may also be a list of logical objects of type T, in which case each is saved in list order.

When saving objects of type def(D), a each consecutively numbered theorem called Dn is saved (from n = 1). Compare with lib-load/1.

Since (sub)methods are not created on-line by Prolog or Oyster programming (unlike defs, thms etc.), lib-save/[1;2] will not work for (sub)methods. However, if this is felt as a restriction it can easily be lifted.

lib-save(+T(+0))

As lib-save/2, with Dir defaulting to the current directory.

lib-edit(+Mthd)

For those users who do not use Emacs-like interfaces, this predicate allows editing of library objects from within Clam. At the moment, it only allows editing of methods. If Mthd is a (sub)method specification, calling this predicate will edit the specified (sub)method in the default library directory. After editing ends, Mthd is automatically (re)loaded into the system.

The editor that is used for the editing operation is taken from the shell environment variable VISUAL, or, if this is not set, from the shell environment variable EDITOR, or if this is not set either, will default to vi.

Since in SICStus Prolog it is impossible to find out the values of environment variables, the editor will always default vi. Of course, it is still possible to affect the value of the editor using the lib-set/1 predicate.

lib-edit(+Mthd,+Dir)

As lib-edit/1, except that Mthd is not taken from the default library directory, but from directory Dir instead.

lib-set(+P)

This predicate can be used to set various parameters which affect the behaviour of Clam's library. Currently, the value of P can be:

dir(+P) This will change the value of the library search directory path to P. P is a list of directories; the special token '*' may appear in the list to indicate that Clam should search the system directory at that point. For example,

```
lib_set(dir(['~joseph/clam/lib','*'])).
```

allows searching of user arthur's personal Clam library before the default library is searched. The default system library may be found using lib-dir-system/1, but this directory cannot be changed. lib-set(dir(['*'])) is the default path setting.

Currently, local needs files are not supported, so this means that a single needs file must reflect dependencies across all libraries. This will be improved in a future release.

sdir(+D) This will change the value of the default library saving directory to D. D
is a directory. For example,

```
lib_set(sdir('~arthur/clam/lib-new')).
```

Subsequent lib-save's will use that directory by default.

editor(+E) This will change the value of the editor to E.

Part II Programmer Manual

Chapter 4

Representations

This second part of this note is intended for readers who want to understand the inner workings of Clam so that they can change the way it works. This can vary from adding new planners to the existing set, to changing the way Clam interfaces with Oyster, to changing the internal organisation of Clam, etc. Note that method-programming is not discussed here. It was discussed in the *User Manual* (section2.1 on page 9), since Clam users should already be able to change methods. You don't have to be (or shouldn't have to be ...) a Clam programmer in order to experiment with different methods.

This Programmer Manual discusses

- the representation of induction schemes,
- the mechanics of constructing iterators,
- Clam's storage mechanism for definitions, theorem, lemmas, recursion equations etc, (which is not the same as Oyster's representation mechanism),
- the representation of wave-fronts,
- the representation of the methods- and submethods-databases,
- and a list of utilities to make a programmer's life easier.

We have not discussed many parts of Clam's code, and the interested reader should refer to Clam's source files for these. The ratio of comment to code is quite high at the moment (more than 1:1, better than I've ever produced before), so most of the code should be fairly understandable. The organisation of Clam's source code across the various Prolog files is explained in appendix C.1 on page 143.

4.1 Induction schemes

An important part of Clam's current ability to produce proof-plans relies on an effective representation of induction schemes. This section discusses this representation in some detail.

As discussed earlier, the scheme/3 and scheme/5 predicates implement the Clam-level representation and application of induction rules. Some logics (such as Oyster) require justification of non-standard (i.e., non built-in) induction schemes: lemmas justifying these inductions are stored as logical objects of type scheme. For each such object loaded from the library, Clam automatically creates a corresponding meta-level induction scheme. This scheme record is stored in the scheme database to be access via scheme/3.

Due to restrictions in the implementation, some scheme objects cannot be translated into scheme/3 objects. This will be improved in the future.

Currently, the Clam library contains a number of different induction schemes. Here we give each of them together with the corresponding higher-order theorem from the scheme database which justifies it (phi(x)) is a schematic formula, possibly containing x).

```
primitive induction over pnat:
```

```
\begin{array}{lll} & & \text{scheme}([s(\_)], \_, \\ [& [] & ==> & \text{phi}(0), \\ [X:pnat, & phi(X)] & ==> & phi(s(X))] \\ & & & ==> & phi(N:pnat)). \\ & & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & & & \\ & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & &
```

(This induction is built into Oyster, so no justification is required.)

```
two-step induction over pnat (twos):
```

```
\begin{array}{lll} & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ &
```

phi of s(0)=>
 (x:pnat=>phi of x=>phi of s(s(x)))=>
 z:pnat=>phi of z

plus induction over pnat (plusind):

$$\frac{\vdash \phi(0) \quad \vdash \phi(s(0)) \quad x : pnat, y : pnat, \phi(x), \phi(y) \vdash \phi(x+y)}{\vdash \forall x : pnat. \phi(x)}$$

```
phi:(pnat=>u(2))=>
  phi of 0=>
  phi of s(0)=>
  (x:pnat=>y:pnat=>phi of x=>phi of y=>phi of plus(x,y))=>
  z:pnat=>phi of z
```

```
simple prime induction over pnat (primescheme):
scheme([times(_,_)], primescheme,
==> phi(0),
                                         ==> phi(s(0)),
  [P:{prime}, X:{posint}, phi(X)] ==> phi(times(P,X))]
                                        ==> phi(Z:{posint})).
              \vdash \phi(0) \vdash \phi(s(0)) \quad p:prime, x:posint, \phi(x) \vdash \phi(p \times x)
                                \vdash \forall x : posint. \phi(x)
phi:({posint}=>u(2))=>
 phi of s(0) = >
  (p:{prime}=>x:{posint}=>phi of x=>phi of times(p,x))=>
   z:{posint}=>phi of z
simple simultaneous induction on two variables over pnat (pairs):
scheme([s(_),s(_)], pairs,
      [Y:pnat]
==> phi(0,Y),
      [X:pnat]
                                     ==> phi(X,0),
      [X:pnat,Y:pnat, phi(X,Y)] ==> phi(s(X),s(Y))]
                                     ==> phi(X:pnat,Y:pnat)).
    y: pnat \vdash \phi(0, y) x: pnat \vdash \phi(x, 0) x: pnat, y: pnat, \phi(x, y) \vdash \phi(s(x), s(y))
                            \vdash \forall x : pnat. \forall y : pnat. \phi(x, y)
phi:(pnat=>pnat=>u(2))=>
 x:pnat=>
  y:pnat=>
   (y:pnat=>phi of 0 of y)=>
     (x:pnat=>phi of x of 0)=>
      (x:pnat=>y:pnat=>phi of x of y=>phi of s(x)of s(y))=>
       phi of x of y
simultaneous induction on two variables over t list and pnat (nat-list-pairs):
scheme([s(_),_::_], nat_list_pair,
[ [A:pnat]
                                            ==> phi(A,nil),
  [B:T list]
                                            ==> phi(0,B),
  [X:pnat, Y:T, Ys:T list, phi(X,Ys)] ==> phi(s(X),Y::Ys)]
                                            ==> phi(P:pnat, Q:T list)).
y: pnat \vdash \phi(nil, y) \quad x: list(t) \vdash \phi(x, 0) \quad h:t, x: list(t), y: pnat, \phi(x, y) \vdash \phi(h:x, s(y))
                            \vdash \forall x : list(t) . \forall y : pnat. \phi(x, y)
t:u(1)=>
 phi:(t list=>t list=>u(2))=>
  x:t list=>
   y:t list=>
     (y:t list=>phi of nil of y)=>
      (x:t list=>phi of x of nil)=>
       (x:t list=>xe:t=>y:t list=>ye:t=>
            phi of x of y=>
             phi of(xe::x)of(ye::y))=>
        phi of x of y
```

```
primitive recursion on t list:
scheme([_::_], _,
                                          ==> phi(nil),
  [H:Type, T:Type list, phi(T)] ==> phi(H::T)]
                                          ==> phi(L: Type list)).
                       \frac{\vdash \phi(nil) \quad h\!:\!t,x\!:\!list(t),\phi(x) \vdash \phi(h::x)}{\vdash \forall x\!:\!list(t).\phi(x)}
(This induction is built into Oyster, so no justification is required.)
structural induction over trees (treeind):
scheme([node(_,_)], treeind,
[[Leaf:T]
                                              ==> phi(leaf(Leaf)),
 [L:T tree,R:T tree,phi(L),phi(R) ] ==> phi(node(L,R))]
                                              ==> phi(Tree:T tree)).
          \underline{y: t \vdash \phi(leaf(y)) \quad l: tree(t), r: tree(t), \phi(l), \phi(r) \vdash \phi(node(l,r))}
                                   \vdash \forall x : tree(t).\phi(x)
t:u(1)=>
 phi:(t tree=>u(2))=>
  (n:t=>phi of leaf(n))=>
    (1:t tree=>r:t tree=>phi of l=>phi of r=>phi of node(l,r))=>
     x:t tree=>phi of x
```

For each of these induction schemes, Clam will automatically extract a separate clause of the scheme/3 predicate. Furthermore, an extra clause for the induction/1 tactic is needed to apply the scheme during plan execution: this is not extracted automatically. However, such tactics are provided for the schemes shown above.

This induction tactics, when applied to a sequent, should produce exactly the output sequents as specified in the scheme/3 predicate. Currently, an induction scheme is described by the term(s) that is(are) substituted for the induction variable(s) in the step sequent (known as the $step\ term(s)$) or $induction\ term(s)$. This assumption is somewhat problematic, since different induction schemes sometimes correspond to the same step-term (for instance the simple prime and composite prime inductions above). (See scheme/5 for more detail on this representation.)

The representation of induction schemes should therefore be expanded with a list of the recursion variables of the scheme. This extension should distinguish the simple prime induction (with only one recursive variable) from the composite prime induction (with two recursive variables).

Thus, extending Clam to cope with more induction schemes should be fairly easy:

- 1. write a higher-order theorem expressing the validity of the induction, and save it into the library as scheme object.
- 2. Load this scheme object to allow Clam to extract a new clause for the scheme/3 predicate.
- 3. write a new clause for the induction/1 tactic.

change no. [3] should be made in the file tactics.pl and change no. [2] should result in a new file in the scheme subdirectory of the library directory.

For schemes for which Clam cannot extract the scheme/3 clause, the user may choose to edit schemes.pl directly and add new clauses in the style of those above for such an induction.

4.2 Iterating methods

The general concept of iterators is discussed in section 2.2.4.2 on page 38. Such an iterated construct over (sub)methods has been called a *methodical*, since it is to a method what a tactical is to a tactic. Currently, the only available methodical is the iterator (corresponding to the tactical repeat). However, this could be extended in the future to deal with other methodicals such as complete/1, progress/1, etc.

A rather arbitrary restriction on the construction of iterators is that the iterated (sub)methods must only produce at most one output sequent. In other words, their output-slot must be either the empty list or a list of length 1. This restriction means that we don't have to deal with branching iterations, but is a rather arbitrary and not very nice hack. This should be changed in a future version of Clam.

Other restrictions on the behaviour of iterators are more reasonable, and can be varied easily by making small changes to the code of the iterate-methods/4 predicate. These concern the exhaustive-ness of the iterations performed by iterators, and possible permutations of iterations. For a discussion of these choices, we assume an iterator I constructed out of iterating the list of methods $M_i, i = 1, \ldots, n$. We write M_{i_1}, \ldots, M_{i_k} for a sequence of k applications of these methods (i.e., an iteration of length k).

The length of an iteration: Currently, an iterator I in Clam will always produce maximally long iterations. Thus, if after applying M_{i_1}, \ldots, M_{i_k} , another method $M_{i_{k+1}}$ is still applicable, this method will be applied. As a result, it is guaranteed that after an application of an iterator I, none of the iterated method M_i will be applicable. This behaviour can be changed by redefining the predicates iterate-methods/4 in the file methodical.pl. By changing the use of orelse/2 in the postconditions-slot of the generated method into a v/2, the iterator will also generate subsequences of the maximally long chain, with the longest chain generated first. Thus, if the maximal chain is $M_{i_1}; \ldots; M_{i_k}$, it will generate (on backtracking) all sequences $M_{i_1}; \ldots; M_{i_j}$ for $j = k, \ldots, 1$. Another small change, namely swapping the order of the disjuncts in the postconditions-slot, would change the order in which chains are generated, and would generate the shortest chain first, with longer chains only on backtracking.

Iterations of length 1: At the moment, iterations of length 1 are not suppressed. They are simply returned as a possible application of the iterator (if no further applications are possible, see above). This is not very useful if both I and M_i are available as applicable methods, since an application of M_i and an application of I of length 1 are equivalent, thus doubling the search space for applicable methods. Thus, in general it is good programming technique to not have both M_i and I available for application at the same time. This can be achieved by making M_i a submethod, which will allow the construction of I, but will not make M_i available for application. Alternatively, the iterate-methods/4 predicate could be changed to disallow iterations of length 1.

Permutations of iterations: Currently, no permutations of sequences of applications are generated. Thus, if I is an iterator over the methods M_1, \ldots, M_n , with the methods specified in this order when constructing I, then I will try to apply the M_i in ascending order. Thus, a method M_j will only be applied by I if none of the M_i , i < j apply. This rule can be relaxed by changing the predicate iterate-methods/4: remove the use of the thereis/1 predicate in the preconditions-slot of the generated method. This will result in all possible permutations of applicable methods being generated by I. This change

00

is orthogonal to the removal of the $\tt orelse/2$ predicate in the postconditions (to not insist on maximally long chains of iterations). Thus, removing only the $\tt thereis/1$ from the preconditions-slot and leaving the $\tt orelse/2$ in the postconditions-slot will result in I generating all permutations of maximal length, whereas making both changes will result in I generating all permutations of all lengths.

Terminating iterations: If some of the methods can terminate, we have a choice in how to make I behave: should it prefer terminating M_i over non-terminating ones, or should it just iterate them in a fixed sequence, and stop when it happens to hit a terminating M_i , without actually gravitating towards one? The first (preferring terminating M_i s) is obviously preferable, but makes I potentially more expensive, since it will first try all M_i s to see if there is a terminating one, and if not, it will have to iterate over the M_i s in sequence as usual. These two behaviours can be obtained by changing the order of the two conjuncts in the preconditions of the generated method: having the thereis/1 first will allow termination but not prefer it, while having the thereis/1 second will prefer termination at the cost of trying all methods first for termination. Currently, the second option (no preference for terminating M_i) is implemented. Of course, even with the second option, iterated methods can be ordered in the sequence so as to have the terminating ones first, but this is not possible in all cases.

4.3 Caching mechanism

Clam has its own mechanisms for internally storing logical objects such as definitions, lemmas, plans, recursion equations, etc. These representations are different from the representations Oyster uses, although for some they are ultimately based on these Oyster representations (there may be no corresponding Oyster representation, as in the case of plans, for instance). The main reason for these separate Clam representations is efficiency. This section describes the Clam representational system.

4.3.1 Theorem records

When a logical object of type lemma, synth, thm or eqn is loaded via the lib-load/[1;2] predicate, a theorem record is stored in Prolog's record database of the form:

record(theorem, theorem(Name, Type, Goal, Thm), Ref)

where Name is the name of the logical object, Type the type of the logical object (as specified in the Type(Name) argument to the lib-load/[1;2] command), and Goal the top-level goal of the logical object. In most cases, Thm will be equal to Name, except for recursion equations (Type=eqn), when Name will be of the form namen, with $n=1,\ldots 9$, and Thm will be name (i.e., Name stripped of the last digit). Name is the name of the Oyster theorem corresponding to the logical object.

These theorem records can be accessed using the predicate theorem/3.

theorem(?Thm, ?Goal, ?T)

Thm is the name of a logical object of T, with T one of lemma, synth, thm or eqn, and Goal is the top-level goal of the object. Will not succeed if Thm unifies with the currently selected Oyster theorem. This predicate is an extension of theorem/2, where T is restricted to thm or lemma.

The reason for having these theorem records as an extra layer on top of the Oyster theorem representation is efficiency: It takes Oyster 130 milliseconds to select a theorem and pick up the top-level goal, whereas doing the same task using theorem records takes only 6 milliseconds.

4.3.2 Reduction records

Whenever a logical object of type eqn or red is loaded, Clam tries to add it to the terminating rewrite system. It will try to prove that the rewrite rule is measure decreasing according to RPOS. It may extend either of the two registries, should this be necessary, and will give message to that effect.

If the rule is measure decreasing, it will be stored as a reduction record in Prolog's record database of the form

```
record(reduction, reduction(LHS,RHS,Cond,Dir,Thm), Ref)
```

where LHS is the left-hand side of the reduction rule Thm is the name of the theorem from which this rule was derived. All universally quantified variables in Exp have been replaced by meta (Prolog) variables. These reduction records can be accessed with the predicate reduction-rule/6.

The registry may be accessed via registry/4.

4.3.3 Rewrite records

Whenever a logical object of type wave or eqn is loaded it is stored as a rewrite record. This record database is used by the dynamic wave-rule application code (see wave/4 and ripple/6).

(The dynamic wave-rule parser caches wave-rules during a session. This means that a rewrite rule will not be parsed into a particular wave-rule more than once in a session.)

4.3.4 Proof-plan records

inxxproof-plan records Whenever Clam finds a proof-plan for a particular theorem T, the planning mechanism creates a proof-plan record of the form:

```
record(proof_plan, proof_plan(T,Plan),_).
```

where Plan is the proof-plan created. Only one proof-plan record is kept per theorem, and it can only be created by the planning mechanism. Proof-plans can be saved into the library in the normal way using lib-save.

4.3.5 Rewrite-rule records

Whenever a logical object of type eqn or thm is loaded, Clam adds a record to Prolog's record database of the form

```
record(rewrite, rewrite(L,R,C,Dir,Name), Ref)
```

where L and R are the left- and right-hand-sides of the rule, conditional upon C; Dir specifies in which direction and of what type the rewrite rule is; Name is the name of the corresponding theorem.

More than one such record may be added based upon each object loaded: as many rewrites as Clam can extract will be stored in separate records.

If the flag prove-comm/0 is true, and Clam has determined that a function is commutative, then equations and theorems are subjected to additional processing

to form commuted versions, which are asserted as rewrite-rule records. No tactics are available for this yet, so if the commuted rules are used in a proof plan, the corresponding object-level proof will fail.

4.4 Wave-fronts, holes and sinks

Here we describe the data structures we have chosen to represent wave-front and sink.

As described in §A.3.1 on page 131, wave-fronts correspond to a subtree of the term, with a subtree inside it corresponding to the wave hole(s). We implement this annotation with special function symbols whose identity is secret. Clam provides a kind of to hide from the user and programmer the internal details of this annotation representation.

The programmer/user can inspect, create and destruct annotated terms via the interface that Clam provides. These predicates are iswf/4, issink/2 and iswh/2.

In fact, things are not secret: the actual functors that Clam are given by wave-front-functor/1, wave-hole-functor/1 and sink-functor/1.

As described in §A.3.4 on page 134 sinks delimit term structure in an induction hypothesis which corresponds to a universally quantified variable in an induction hypothesis.

| Annotated term | Prolog | Portrayal |
|------------------------------|---|------------------------|
| f(g(x), y) | f(g(x),y) | f(g(x),y) |
| $f(g(\lfloor x \rfloor), y)$ | f(g('@sink@'(x)),y) | $f(g(\x/),y)$ |
| $g(\underline{x})$ | '@wave_front@'(hard,out, g('@wave_var@'(x))) | ''g({x})'' <out></out> |

Table 4.1: Representation and portrayal of annotations. (The central column is exposing 'secret' information that cannot be trusted!)

4.4.1 Well-annotated terms

A term containing annotations must be well-annotated otherwise Clam will produce an error message when it tries to take the term apart, or apply a wave-rule, for example. It is an error to manipulate terms which are not well-annotated.

The predicate well-annoated/1 decides well-annotation, that is, membership of the set WAT, as defined in §A.3.1 on page 131.

In practice, it can become difficult to read well-annotated terms because of the large number of wave-fronts for certain annotations. For this reason, annotated terms may be depicted in a maximally-joined form; see maximally-joined/2.

4.5 Induction hypotheses

Induction hypotheses are annotated in order that their role in a proof can be recorded and exploited by the preconditions of methods. These annotations also serve as a kind of 'user documentation' that can help in debugging proofs.

An induction hypothesis IHyp is tagged by an induction marker in the following way:

V:[ihmarker(Usage,Mark)|IHyps]

where Usage indicates how the induction hypotheses have been used so far, if at all. Mark is in place for future developments and currently is not exploited.

An induction hypothesis can be in one three states, corresponding to three distinct phases of an induction proof-plan. Notice that these phases are *particular* to the proof-plan implemented in the standard Clam setup. These three states are:

raw=raw the hypothesis has not been used in any way; this is the state immediately following an induction.

notraw(Ds) the hypothesis is being used during a phase of iterated . Ds is a list consisting of the following atoms:

left the hypothesis has been used in a left-to-right direction during weakfertilization;

right the hypothesis has been used in a right-to-left direction during weakfertilization;

The first element of Ds describes the *nearest* (most recent) use of weak-fertilization, the last element describes the *furthest* (least-recent) use of weak-fertilization.

used(Ds) the hypothesis has been used, and the weak-fertilization phase completed.
Ds may be as above, and in addition, in the case of strong fertilization, Ds can
be the singleton [strong], indicating that has taken place on that hypothesis.
Alternatively, Ds may reflect that has taken place: this is indicated with
Ds=pw.

The above three states are pretty-printed as 'RAW', 'NOTRAW' and 'USED' (Ds) respectively.

4.6 The (sub)methods database

This section describes the way Clam stores the representations for methods and submethods. Clam distinguishes between external and internal representations of (sub)methods. When loading a (sub)method via lib-load(mthd(F/N),...), the system reads in the external format of the specified (sub)method, transforms it to the appropriate internal format, and stores this format in the internal database. All of the code that manages this process lives in the file method-db.pl.

The external format of a (sub)method can take one of the following forms:

- method(MethodName(...), Input, PreConds, PostConds, Output, Tactic):
 an explicitly specified method.
- 2. iterator(method, MethodName, methods, MethodList): a method constructed by iterating other methods.
- 3. iterator(method, MethodName, submethods, SubMethodList): a method constructed by iterating other submethods.
- submethod(SubMethodName(...), Input, PreConds, PostConds, Output, Tactic):
 an explicitly specified submethod.
- 5. iterator(submethod, SubMethodName, methods, MethodList): a submethod constructed by iterating other methods.

6. iterator(submethod, SubMethodName, submethods, SubMethodList): a submethod constructed by iterating other submethods.

The corresponding internal representations are:

- 1. method(MethodName(...),Input,Pre,Post,Output,Tactic)
- 2. method(MethodName([..MethodCalls..]),In,Pre,Post,Out,Tactic)
- 3. method(MethodName([..SubMethodCalls..]),In,Pre,Post,Out,Tactic)
- 4. submethod(SubMethodName(...),Input,Pre,Post,Output,Tactic)
- 5. submethod(SubMethodName([..MethodCalls..]),In,Pre,Post,Out,Tactic)
- 6. submethod(SubMethodName([..SubMethodCalls..]),In,Pre,Post,Out,Tactic)

Notice that [1]=[2]=[3] and [4]=[5]=[6], so that external iterator/4 clauses get mapped into the same internal representation as normal methods and submethods (namely method/6 clauses), thus giving only 2 different internal representations for 6 external representations.

Notice also that the above representations force iterated (sub)methods to be of arity 1, with the single argument representing the sequence of calls to the iterated methods.

The predicates mthd-int/3, mthd-ext/3 and ext2int/2 provide an interface to the internal and external representations of methods. If any of these two representations needs to be changed, only these predicates should suffer.

After transformation from external to internal format, the (sub)methods get stored in an internal database. Currently, this database has the form of two lists, one for methods and one for submethods, stored in Prolog's record database.

The main predicates for accessing this database are:

- load-method/[1;2;3] and load-submethod/[1;2;3] for loading a (sub)method,
- method/6 and submethod/6 for accessing the database,
- delete-method/1, delete-submethod/1, delete-methods/0 and delete-submethods/0 for removing (sub)methods from the database
- list-methods/[0;1] and list-submethods/[0;1] for listing the database

The representation of the database as a recorded list is not a particularly good choice of representation, and was mainly motivated by ease of programming; Accessing a (sub)method in this format means ploughing through the list of all (sub)methods, whereas other means of storage could exploit Prolog's indexing mechanisms in various ways. More efficient representations for a future version could be to store methods as separate items in the asserted database. If we would just store them as method/6 clauses in the clause store, we could use the Prolog indexing to efficiently find methods given their name. Actually, since we don't often look for a method with a given name, the name would not be the best property to be used for indexing (i.e. to live in the first slot of a method/6 clause). It would possibly be better to index on some other slot of the method/6 clauses, such as the postconditions, which are often given as either [] or $[_|_]$. Disadvantages of using clauses in the the assert database instead of a list in the record database is that the assert database is a pain to handle (after all, we must be able to assert a clause in any specified position among an existing set of clauses). All this depends on how often we actually modify the (sub)method database. If it is the case (which I think it is) that we modify the database much less frequently than we access it, it might well be worth moving to a representation using the asserted clause database, doing indexing on the postconditions slot.

Chapter 5

Implementation

5.1 Induction preconditions

This section to be written.

5.2 Rippling implementation

This section to be written.

5.3 RPOS implementation

5.3.1 Overview

The code implementing the RPOS simplification ordering, and some utilities to orient equations into terminating rewrite systems, is described in this section. See $\S A.4$ on page 135 for more general information.

The primary predicate is **prove/5** whose arguments are the RPOS registry, the term ordering problem to be determined, a proof object, and a set of atoms to be treated as variables in the ordering problem. (Recall that RPOS is lifted to variables as described in $\S A.4.2.2$ on page 137/)

5.3.2 Registry

Most of the code implementing RPOS needs to know the current registry and so most of the predicates are parameterized by Prec, which is the quasi-precedence relation, and Tau which is the status function.

5.3.2.1 Quasi-precedence: Prec

Prec is a representation of the quasi-precedence relation \succeq ; see §A.4.2.1 on page 135 for the definition.

Clam makes explicit the negation present in the definition of the \succ , the strict part of \succeq . Prec is a pair P-I of Prolog lists: P is the transitive part of the ordering, consisting of function symbols related by >=; I is the inequality part of the ordering, =/=. =/= is a symmetric, irreflexive binary relation. The partial order \succ is the intersection of these two relations.

Remark 1 Will will often ignore the fact that >= and =/= are kept separate, and simply refer to the precedence.

Now there are some consistency checks to impose on the way in which Prec can be extended. For example, we cannot have Prec containing a>=b, b>=c, a=/=b, a>=c and c>=a; from this we can obtain $a \succ a$ which is illegal in a quasi-ordering (it must be reflexive). The predicate consistent/2 decides that a Prec really is a quasi-ordering, and furthermore, that it obeys the restriction laid down in $\S A.4.2.1$ on page 135.

5.3.2.2 Status function: Tau

The status function Tau is represented as a list of symbol/status pairs. The mapping must be total in that all function symbols in the ordering problem must be in the domain of the mapping.

The range of the mapping (say of a symbol f) consists of the following elements:

- _ Uncommitted status. The status of that function symbol is free to be instantiated during the search for a proof.
- undef Undefined status. $\tau(f) = \odot$. The status of that function symbol is uncommitted but cannot be committed during the proof. That is a proof is in some sense independent from the status of that symbol.

```
ms Multiset. \tau(f) = \otimes.
```

lex(D) Lexicographic. If D is ground it must be one of:

```
lr Left-to-right: \tau(f) = \oplus.
rl Right-to-left: \tau(f) = \ominus.
```

If D is a variable, the status of f is lexicographic, but the permutation is uncommitted and may be instantiated during a proof.

5.3.3 Lifting

RPOS is defined over ground first-order terms; lifting to terms containing variables is necessary to treat rewrite systems (see above).

The implementation follows this style because it avoids the pain of worrying about variables becoming instantiated during a proof. Hence variables are simply atoms but their special status is recorded by passing them around as a parameter (called Vars). Any atom in Vars is treated as if it were a variable.

5.3.4 Ordering problems

An ordering problem is a Prolog term of the form:

```
S >= T \text{ Iff } S = T \text{ or } S > T.
```

S = T Iff S and T are equivalent under EPOS.

S > T Iff S is greater than T under EPOS.

```
S < T \text{ Iff } T > S.
```

$$S = < T \text{ Iff } T >= S.$$

In these ordering problems S and T must be ground Prolog terms. Atoms appearing in Vars indicate which of the atoms in S and T are to be considered variables by RPOS.

See the description of prove/5, extend-registry-prove/4 in the *User Manual*.

Chapter 6

Programmer utilities

This section describes some of the utilities developed for use by Clam programmers. Some of these utilities are general purpose programming utilities (such as the formatted output package), others are more specific to Clam (such as the statistics and debugging packages).

6.1 Making new versions of Clam

A Makefile can be found in the make directory. This provides a mechanism for building new versions of Clam. The following targets are defined:

make DIA=qui oyster: Create a Quintus Prolog runnable image for Oyster.

make DIA=sic oyster: Create a SICStus Prolog runnable image for Oyster.

make DIA=qui clam: Create a Quintus Prolog runnable image for Clam with all the source code compiled.

make DIA=sic clam: Create a SICStus Prolog runnable image for Clam with all the source code compiled.

make DIA=qui clamlib: Create a runnable image with only the Quintus Prolog loaded but none of the source code.

make DIA=sic clamlib: Create a runnable image with only the SICStus Prolog loaded but none of the source code.

make clean: The dustman.

The Makefile knows about the location of the Oyster executable image and about the collection of source files for Clam. If either of these changes, the Makefile should be updated.

If any Quintus Prolog or SICStus Prolog libraries are needed, the required commands and declarations should be made in the file libs.pl, using ensure-loaded/1 instructions. The libs.pl file is located in the relevant subdirectory of dialect-support.

Some properties of the Clam system will differ between machines. All these properties should be defined in the file sysdep.pl which is generated when Clam is compiled: currently this file contains predicates that determine paths and directories (lib-dir/1, lib-dir-system/1, source-dir/1, saving-dir/1 and clam-version/1).

Finally, the features which direct the conditional compilation, such as dialect/1 and os/1 are defined in this file.

6.1. NEW VERSIONS

There are a couple of predicates reporting Clam version information:

clam-version(?N)

N will be unified with the current version of Clam. Current value of N is 2.8.4. (See also clam-patchlevel-info/0.)

clam-patchlevel-info

Prints a short summary of changes since the last patchlevel.

file-version(?RCS)

RCS is an RCS header from one of Clam's source files.

lib-dir/1(?Path)

Path is the current Clam library search path. It can be changed using lib-set/1. (See lib-set/1 for an explanation of the library search path.)

lib-sdir/1(?D)

D is the current Clam saving directory. It can be changed using lib-set/1.

lib-dir-system/1(?D)

D is the directory under which the default Clam library is to be found. This is fixed at compile time and cannot be changed. (See also lib-set/1 for how to change Clam directory search path.)

lib-fname-exists/5(+P,?Dir,?D,?T,?F)

P is a path (a path is a list of directories: see lib-set/1 for further details), Dir is a directory in this path which contains the logical object Type(D). The special directory name '*' may appear in the path—the default Clam directory location is searched at that point.

source-dir(?Dir)

Dir will be unified with the directory where the sources of Clam currently live in the system.

6.1.1 Make package

Just as the Unix make command provides a facility for incremental compilation, so the Prolog make/[0;1] predicate allows for incrementally reloading code.

make +Flag

This predicate will compare the modification date on all Prolog files loaded into the system with the time the files were loaded. If the modification time is more recent than the load time, the file will be reloaded. The meaning of "reloaded" depends on Flag: make -i will load the files interpreted (reconsult them), make -c will load the files compiled (recompile them), and make -n will only say which files will be reloaded, but not actually reload them.

Because SICStus Prolog does not (easily) allow inspection of clock time and modification time, the make/[0;1] predicates do not function when Clam runs under this dialect.

make

This is as make -i.

6.2 Porting code to other Prolog dialects

Clam was developed using Quintus Prolog and SICStus Prolog. The Makefile allows Clam to be built under these dialects, using code from dialect-support. Earlier versions of Clam were compiled under SWI Prolog, but that dialect is now not supported—it may be in future releases.

Three strategies have been used in trying to make Clam as portable as possible. Firstly, all code is written as much as possible in "vanilla flavour" Prolog, relying as little as possible on system dependent features, and trying to stay inside the cross section of all DEC10 based dialects. Secondly, the system and language dependent features have been localised in a small number of files. The files containing system dependent information are <code>sysdep.pl</code> (pathnames, version number), <code>boot.pl</code> (code for executing make scripts), and <code>libs.pl</code> (low-level code for libraries and saving prolog states).

A final mechanism in assisting with porting code is the use of conditional loading of code, described in §6.1.1.

6.3 Statistics package

The simplest way of collecting statistics on the behaviour of Clam is the predicate runtime/[2;3]:

runtime(+Pred, ?Time)

This will execute Pred as a Prolog predicate, and if successful, will unify Time with the CPU time spent while executing Pred, measured in milliseconds. This measurement is notoriously unreliable on Unix systems (especially when Time is small). Therefore, it is often better to use the predicate runtime/3.

runtime(+Pred, +N, ?Time)

This will execute Pred N times, and if successful, will unify Time with the average CPU time spent while executing a call to Pred. The larger N and Time are, the more reliable the value of Time will be.

More sophisticated statistics concerning the size of the planning space can be collected using the statistics package from the file stats.pl. This file needs to be loaded manually: it is not part of the standard Clam system in order to keep things small. The current version of the statistics package can collect data about the branching factor of the planning space, and about the number of nodes visited while constructing a plan. The predicate for operating the statistics package is stats/[2;3]. Currently, the following versions of the stats/[2;3] predicate exist:

stats(branchfactor, {on,off}, +Planner/+Arity)

If the first argument of stats/3 is the atom branchfactor, then collection of the average branching factor of the planning space for Planner/Arity will be switched

on or off, depending on the second argument. Planner/Arity must be the specification of the recursive predicate of a planner, for instance dplan/3, or idplan/6. Since the stats/3 predicate works by dynamically modifying the code of the specified planning predicate, the particular predicate needs to be declared dynamic using the dynamic/1 declaration in Quintus Prolog. This typically means the appropriate source file for the Planner/Arity predicate must be reloaded or recompiled.

stats(branchfactor, collect, ?N)

If the first argument of stats/3 is the atom branchfactor, and the second argument is collect, then N will be unified with the average branching factor as encountered by the planners for which the branchfactor statistic has been switched on. It will also remove all data concerning the branchfactor statistics, so as to clean up for a new batch of statistics-taking. As a result, this predicate will succeed only once.

stats(nodesvisited, {on,off})

If the first argument of stats/2 is the atom nodesvisited, then the collection of the number of nodes visited (by any planner) will be switched on or off, depending on the second argument. Notice that the nodesvisited statistic is not dependent on any particular planner used, contrariwise to the branchfactor statistics, which are collected per planner. Since the stats/2 predicate works by dynamically modifying the code for the applicable/4 predicate, this predicate needs to be declared dynamic using the dynamic/1 declaration in Quintus Prolog. This typically means that the source file for the applicable/4 predicate (the file applicable.pl) must be reloaded or recompiled.

stats(nodesvisited, collect, ?N)

If the first argument of stats/3 is the atom nodesvisited, and the second argument is the atom collect, then N will be unified with the number of nodes visited by any planner since the last time the statistics were collected (or switched on). It will also remove all data concerning the nodesvisited statistics, so as to clean up for a new batch of statistics-taking. As a result, this predicate will succeed only once.

stats(rules, {on,off})

If the first argument of stats/2 is the atom rules, then the counting the number of object-level Oyster rules of inference applied during execution of any tactics will be switched on or off. The problem with this is that in Quintus, the Oyster's rule/3 needs to be dynamic. This can only be done by explicitly reloading or recompiling by hand a new version of Oyster which contains the appropriate :-dynamic rule/3 declaration.

stats(rules, collect [?Rules,?Wffs])

If the first argument of stats/2 is the atom rules, and the second argument is the atom collect, then Rules and Wffs will be unified with the number of well-formedness rules (Wffs) and non well-formedness rules (Rules) applied by Oyster since the most recent collection of the rules statistics, or (if not collected before), since the collection of rules statistics was started. Well-formedness rules are all those Oyster rules which apply to goals of the form G in T, with G not of the form

_ = _.

6.3.1 Debugging utilities

A simple tracing package has been implemented to help debugging and using Clam. This package is described in section 3.2.3 on page 90. The predicate that should be used to introduce more trace points in newly constructed code is the predicate plantraced/2.

plantraced(+N, +Pred)

N is compared with the current tracing level, and if this level is at least N, Pred will be executed. In order to avoid interference with the embedding code, plantraced/2 never fails or backtracks, neither when N is larger then the current tracing level, nor when Pred fails or leaves backtrack points.

6.3.2 Benchmarking

After making changes to the code of planners, methods or tactics, we often want to test out the new version of the code on a set of theorems for which the old version was known to work. This process is made easier by the predicates plan-all/[0;1], plan-from/[1;2], plan-to/[1;2], prove-all/[0;1], prove-from/[1;2] and prove-to/[1;2]. These predicates access examples.pl file which contains clauses of the form:

example(Type,Thm,Status).

where Type is arith or lists and Thm is the name of a theorem. If Thm is marked as being provable if Status is a variable. The examples.pl resides in the default library directory and is reconsulted every time one of the above prove- or planpredicates is invoked.

plan-all

attempts to construct plans for all theorems recorded in examples.pl.

plan-all(?Type)

attempts to construct plans for all theorems recorded in examples.pl of type Type.

plan-from(?Thm)

attempts to construct plans for all theorems recorded in examples.pl from Thm.

plan-from(?Type,?Thm)

attempts to construct plans for all theorems recorded in examples.pl of type Type starting with Thm.

plan-to(?Thm)

attempts to construct plans for all theorems recorded in examples.pl up to and including Thm.

plan-to(?Type,?Thm)

attempts to construct plans for all theorems recorded in examples.pl of type Type up to and including Thm.

prove-all

attempts to construct and execute plans for all theorems recorded in examples.pl.

prove-all(?Type)

attempts to construct and execute plans for all theorems recorded in examples.pl of type Type.

prove-from(?Thm)

attempts to construct and execute plans for all theorems recorded in examples.pl from Thm.

prove-from(?Type,?Thm)

attempts to construct and execute plans for all theorems recorded in examples.pl of type Type starting with Thm.

prove-to(?Thm)

attempts to construct and execute plans for all theorems recorded in examples.pl up to and including Thm.

prove-to(?Type,?Thm)

attempts to construct and execute plans for all theorems recorded in examples.pl of type Type up to and including Thm.

6.3.3 Pretty printing

Apart from the pretty-printer for plans, described in section 3.2.1 on page 88, Clam also makes use of Prolog's portray/1 pretty-printing hook to make output look somewhat more readable. The current uses of the portray/1 hook are as follows:

- Terms of the form elementary(I), will be printed as elementary(...) if I is bound, to suppress the long chain of elementary inference rules usually bound to I.
- If M/1 is an iterated method, than terms of the Terms of the form M(L) will be printed as M([...]) if L is bound, to suppress the long chain of method applications usually bound to L, with the number of dots in ... indicating the number of iterations encoded in L.

6.3.4 Writef package

Rather then using the Quintus Prolog format/[2;3] predicate for doing formatted output, I have been using an old workhorse from the DEC10 library, the writef/[1;2;3] predicate:

writef(+Format, +List)

writef(+Format)

writef(+File, +Format, +List)

writef(+File, +Format)

All these predicates are documented in the file writef.doc.

6.4 Clam should be theory free

This section explains a particular constraint that I (Frank van Harmelen) claim Clam should always satisfy. It also shows how Clam almost satisfies this constraint, and how it can be easily fixed to completely satisfy it. I think it is useful for future programmers on Clam to be aware of these issues, which is why I include this section in the programmer's manual.

Ideally, we would like Clam (or any other theorem prover, for that matter), to be theory free: it shouldn't have any particular knowledge about the function and predicate symbols that appear in the theory. For instance, if we are dealing with an arithmetic theory, then the theorem prover should not be "told" that + is associative, since that would amount to cheating. Clam satisfies this theory free requirement quite well: nowhere in the code of either the planner or the methods does it know about special properties of particular function or predicate symbols of the object-level logic. (It does know about the logical constants of the object-level theory, but nobody said that theorem provers should be logic free. We only require them to be theory free).

Above, I said: Clam satisfies the theory free requirement *quite* well, but unfortunately, not completely. There are two major places where Clam violates the theory free requirement, and uses specialised knowledge about object-level function and predicate symbols:

- 1. In the formulation of induction schemes (in schemes.pl).
- 2. In the formulation of the weak fertilization method.

How does Clam violate the theory free requirement in these two places?

- The induction schemes use specialised knowledge about object-level types and function and predicate symbols for their formulation: the scheme/3 clauses in schemes.pl are contain them so some extent, and so does the code for scheme/5.
- 2. The weak fertilization method uses two types of specialised knowledge about a number of function and predicate symbols: first that some functions are transitive, and second that functions are symmetric or have a positive polarity under some order (see code in the file lib/mthd/weak-fertilize).

How can these violations be removed from Clam? Below I sketch a solution for each of the two violations. They both rely on the introduction of extra families of theorems. Just as current theorems are divided into families such as recursion equations, wave rules, etc., we introduce some more families (for 2) or use an existing family in a new way (for 1), in order to remove all occurrences of specific object-level function and predicate symbols from the code of Clam:

1. Currently, we have a family of (typically 2nd order) theorems called induction schemes. For each member of this family we also require a scheme/3 clause which tells Clam how the induction specified in the theorem is to be done.

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The correspondence between the second-order scheme lemma and the corresponding scheme/3 clause is close; It should not be too hard to write some code that would automatically produce the code for the scheme/3 clauses on the basis of the induction scheme theorem (especially if we would formulate the 2nd order induction theorems in a more or less standard way). Then, when the user would load an induction scheme theorem, the system would automatically produce the scheme/3 clause, and proceed as before. This situation is analogous to the treatment of wave-rules and of recursion equations, where some internal data-structure is produced when a theorem of a particular family is loaded.

2. We should introduce two new families of theorems: Firstly, the family of theorems that show that a particular function is transitive. Members of this family would be easy to recognise automatically (they would all be of the form $f(x,y) \to f(y,z) \to f(x,z)$), and they would be used in the first few conjuncts of the preconditions of the weak fertilization method to recognise known transitive function symbols.

Secondly, we should introduce the family of polarity theorems. Members of this family would be theorems that show that a particular function symbol is positive (or non-decreasing, or monotonic) under some appropriate orderings. Again, they would be to recognise. They would all be of the form $x_1 \leq x_2 \to f(x_1) \leq f(x_2)$ where ≤ 1 is a partial ordering on the domain of f and ≤ 1 is a partial ordering on the codomain of f. These theorems would also be used in the preconditions of the weak fertilization method, to determine the polarity of function symbols. They would effectively replace the plrty/5 table in method-pre.pl. In both cases I would imagine that a specialised data-structure is created when the theorem is loaded (for instance, a plrty/5 entry for polarity theorems).

Rather than actually implementing the above, I have only described how this could be done, convincing myself that, although Clam is not entirely theory free, it could be easily made to be, thus justifying the claim that it genuinely proves theorems for itself, without any prompting from the user.

The ideological position outlined above is also discussed in [31].

A border line position in this debate about "what Clam is allowed to know" are the definitions of object-level types in Oyster. Do these types fall under the category "logical constants"? Strictly speaking no (ask your local logician), and thus, Clam should not be told. However, they do seem integral part of the object-level system Clam is reasoning about, so we would except Clam to have to know. As a result, there are a few places where Clam does get information about the existence and structure of object-level types of Oyster:

- 1. The predicate oyster-type/3 in util.pl enumerates Oyster types and corresponding constants and constructors.
- 2. The predicate constant/2 in method-pre.pl gives more info about the recursive structure of Oyster types.
- Four clam-arith clauses of prule/2 in elementary.pl know about the structure of pnat.

Currently, I don't regard these three points as "cheating", or in conflict with the position outlined above (and in [31]), until somebody manages to convince me otherwise (or, plainly speaking: until somebody can show me how to get rid of these three bits of code...).

Appendix A

Rippling and Reduction

This chapter provides general background material on the two basic forms of rewriting provided by Clam.

A.1 Introduction

One of the basic logical manipulations that Clam uses is term rewriting. Where possible, Clam ensures that the rewriting is terminating, and to this end, Clam supports two different types are termination argument: *rippling* and *reduction*. Rippling is outlined in sectionA.3 on page 131 and reduction inA.4 on page 135. Both of these are based on the standard notion of rewrite rule, which is treated in sectionA.2

Notation We use the following notation when describing rewriting.

 \Rightarrow is Clam's meta-level implication; \supset is object-level implication; \equiv is object-level biimplication; \equiv is object-level equality. We write $\overline{t_n}$ rather than t_1, \ldots, t_n , for n > 0.

A set R of rewrite rules consists of conditional rules of the form $c \Rightarrow l \to r$ where the union of the free variables in the condition c and the right-hand side r is a subset of the free variables on the left-hand side, l, and finally, l is not a variable. When the condition on a rule is vacuous that rule will be written with the condition elided, as $l \to r$.

A.2 Rewriting

A.2.1 Polarity

Clam's various rewrite rules are extracted automatically from lemmas (equalities, equivalences and implications). Since Clam rewrites both propositions and terms it is necessary to account for polarity—rewrite rules derived from implication (\supset) are distinguished from those derived from equality (=) and equivalence (\equiv) since they may only be used at positions of certain (logical) polarity.

The user has a fair degree of control as to exactly which lemmas are to be used as rewrites, but it is convenient to define a set of *general rewrites*, REWRITE, from which Clam's reduction and wave-rules are extracted. Any rewrite to be used as a reduction rule or wave-rule must belong to REWRITE.

 $^{^{1}}$ Equality in Oyster is typed but these types are elided in this chapter.

Definition 1 (Polarity) A proposition p appearing in the conclusion q of a sequent $\Gamma \vdash q$ has a *polarity* that is either positive (+), negative (-) or both (\pm) . In a sequent $\Gamma \vdash G$, G has positive polarity, written G^+ .

The complement of a polarity p is written \bar{p} , defined to be $\bar{+}=-, \bar{-}=+$ and $\bar{\pm}=\pm.$

Polarity is defined inductively over the structure of propositions: $(A^{\bar{p}} \supset B^p)^p$, $(A^p \wedge B^p)^p$, $(A^p \vee B^p)^p$ and $(\neg A^{\bar{p}})^p$; the polarity of non-propositional terms is \pm . Propositions beneath an equivalence can have either polarity: $(A^{\pm} \equiv B^{\pm})^p$.

Clam uses polarity to ensure that rewriting withing propositional structure is sound: when rewriting with respect to equality (=) or biimplication (\equiv) the polarity of the term being rewritten is immaterial to soundness. When rewriting with respect to implications, the polarity of the term being rewritten must be either + or -, depending on the direction of the implication. These notions are made precise by polarized TRSs.

Definition 2 (Polarized TRS) A polarized TRS T consists of rewrite rules $l \to_p r$ where p is a polarity annotation, one of +, -.

Definition 3 (Polarized term rewriting) Given a polarized TRS T, a term u rewrites in one step to σr , written $u \to_T \sigma r$, iff there is a subterm t of u at polarity p, and one of the following two (not exclusive) conditions holds:

- 1. $u \to_p v \in T$, or,
- 2. p is \pm and rules for both + and are available, that is, both of the following hold:

$$u \to_+ v \in T$$
 $u \to_- v \in T$

A.2.2 Clam's rewrite rules

In the current Clam implementation, rewrites based on equivalences are in fact stored separately rather than being stored separately as + and - parts.

Rewrites are collected from formulae as and when they are loaded into the environment. Rewrite rules are all stored in the Prolog database, and can be examined using rewrite-rule/5.

variables become the variables of the rewrite rule; conditions of conditional rules derived from propositional structure.

Definition 4 (REWRITE) We define the following polarized TRSs:

$$\begin{array}{lll} \mathsf{REWRITE}_{+} & \subseteq & \left\{ c \Rightarrow l \to_{+} r \, \middle| \, & c \supset l \supset r \\ c \supset l \equiv r & c \supset r \equiv l \, \right\} \\ \mathsf{REWRITE}_{-} & \subseteq & \left\{ c \Rightarrow l \to_{-} r \, \middle| \, & c \supset r \supset l \\ c \supset l \equiv r & c \supset r \equiv l \, \right\} \\ \mathsf{REWRITE}_{-} & \stackrel{\mathsf{def}}{=} & \mathsf{REWRITE}_{+} \cup \mathsf{REWRITE}_{-} \end{array}$$

where the set comprehension is taken over all provable universally quantified object-level formulae. (The left- and right-hand sides of the rules must satisfy the usual restrictions that l is not a variable and that the free variables in r appear free in l.)

The intention is that $\mathsf{REWRITE}_+$ are the rewrites that are sound at positions of positive polarity, $\mathsf{REWRITE}_-$ sound at negative positions, and $\mathsf{REWRITE}_\pm$ sound at either. $\mathsf{REWRITE}$ is the union of all of these. As we shall see below, both wave-rules and reduction rules are chosen from these sets.

For example, the formula $\forall x. \forall y. x \neq h \supset x \in h :: t \equiv x \in t$ yields the following rewrite-rules (using uppercase symbols to denote variables):

$$\begin{split} X \neq H \Rightarrow X \in H :: T & \rightarrow_{+} & X \in T \\ X \neq H \Rightarrow X \in H :: T & \rightarrow_{-} & X \in T \\ X \in H :: T \equiv X \in T & \rightarrow_{-} & X \neq H \end{split}$$

A.3 Annotations and rippling

A.3.1 Syntax of well-annotated terms

Annotations provide a mechanism for controlling the search among rewrite operations in inductive proofs. [6] gives motivation and outlines basic properties of annotated terms.

Here we give a formal definitions of: syntax of annotated terms, skeletons, erasure, annotated rewriting, well-founded measures on terms, weakening, and touch on some aspects of the implementation. Much of this material is taken from [2].

Definition 5 (WAT/WATS) We assume a set TERM of unannotated first-order terms over some signature Σ (which does not include the symbols {wfout, wfin, wh, sink}), and set of variables V.

- ullet WAT \subset WATS.
- $u \in \mathsf{WAT}$ if $u \in \mathsf{TERM}$.
- $sink(u) \in WATS \text{ if } u \in TERM.$
- wfout $(f(\overline{t_n})) \in \mathsf{WAT}$ iff $f \in \Sigma$ is of arity n, and for some $i, t_i = \mathsf{wh}(s_i)$ and for each i where $t_i = \mathsf{wh}(s_i), s_i \in \mathsf{WAT}$, and for each i where $t_i \neq \mathsf{wh}(s_i), t_i \in \mathsf{TERM}$.
- wfin $(f(\overline{t_n})) \in WAT$ under similar conditions to the case above.
- $f(\overline{t_n}) \in \mathsf{WAT}$ if $f \in \Sigma$ and each $t_i \in \mathsf{TERM}$ for all i.

The set WAT and WATS differ only in that the latter contains sinks, whilst former does not.

Remark 2 A sink is not permitted to contain an annotated term in this version of Clam.

Remark 3 In the sequel, wfout(·) will be depicted as \bigcirc^{\uparrow} , wfin(·) as \bigcirc^{\downarrow} , wh(·) as $\underline{\cdot}$, and sink(·) as $\lfloor \cdot \rfloor$.

Example The following are thus annotated terms:

$$\boxed{s(\underline{plus}(x, \lfloor x \rfloor))}^{\uparrow} \quad plus(\boxed{s(\underline{\lfloor x \rfloor})}^{\downarrow}, \lfloor x \rfloor)$$

Definition 6 (skels: WATS $\rightarrow 2^{\mathsf{TERM}}$) is defined recursively over well-annotated terms:

$$\begin{array}{rcl} \mathsf{skels}(u) & = & \{u\} & \text{for all } u \in V \\ \\ \mathsf{skels}(\boxed{f(\overline{t_n})}^\uparrow) & = & \{s \mid \text{for some } i, t_i = \underline{t_i'} \land s \in \mathsf{skels}(t_i')\} & f \in \Sigma \\ \\ \mathsf{skels}(\boxed{f(\overline{t_n})}^\downarrow) & = & \{s \mid t_i = \underline{t_i'} \land s \in \mathsf{skels}(t_i')\} & f \in \Sigma \\ \\ \mathsf{skels}(\lfloor t \rfloor) & = & v & \text{where } v \text{ is a fresh variable} \\ \\ \mathsf{skels}(f(\overline{t_n})) & = & \{f(\overline{s_n}) \mid \text{for all } i, s_i \in \mathsf{skels}(t_i)\} \\ \end{array}$$

Functions over annotated terms will generally be defined over WATS: the restriction of these functions to WAT is trivial and we shall not be formal about it.

The skeleton of a sink term is defined to be some fresh variable: it stands for a 'wild-card'.

Remark 4 When skels is singleton, we often refer to *the* skeleton.

The following notion of skeleton equality is defined over singleton skeletons. We are quite informal here.

Definition 7 (Equality of skeletons) Let a and b be WATS, such that $skels(a) = \{s_a\}$ and $skels(b) = \{s_b\}$ for some TERMs s_a and s_b . These skeletons are equal, $s_a = s_b$ iff there exists some substitution over the wild-cards appearing in s_a and s_b such that

$$s_a \sigma$$
 is identical to $s_b \sigma$

The intention is that the skeletons two annotated terms are equal providing the only disagreement between those skeletons occurs at sink positions.

Example The skeleton of the first example above is $\{plus(x, w_1)\}$, the skeleton of the second example is $\{plus(w_2, w_3)\}$.

Notice that these skeletons are identical modulo instantiation of the 'wild-card' variables w_1 , w_2 and w_3 .

The erasure of a well-annotated term is computed by erase.

Definition 8 (erase : WATS \rightarrow TERM) is defined recursively over well-annotated terms:

$$\begin{array}{rcl} & \mathrm{erase}(u) & = & u & \mathrm{for \ all} \ u \in V \\ & \mathrm{erase}(\boxed{f(\overline{t_n})}^\uparrow) & = & f(\overline{s_n}) & \mathrm{where \ if} \ t_i = \underline{t_i'}, \ s_i = erase(t_i') \ \mathrm{else} \ s_i = t_i \\ & \mathrm{erase}(\boxed{f(\overline{t_n})}^\uparrow) & = & f(\overline{s_n}) & \mathrm{where \ if} \ t_i = \underline{t_i'}, \ s_i = erase(t_i') \ \mathrm{else} \ s_i = t_i \\ & \mathrm{erase}(\lfloor t \rfloor) & = & t \\ & \mathrm{erase}(f(\overline{t_n})) & = & f(\overline{s_n}) & \mathrm{where} \ s_i = erase(t_i) \end{array}$$

A.3.2 Wave-rules and rippling

Here we define > which is a well-founded relation over WATS.

Definition 9 $(\succ^*) \succ^*$ is an annotated reduction ordering on WATs. See [2].

Wave-rules are rewrite rules defined over annotated terms, as follows:

Definition 10 (Wave-rule) For $c \in \mathsf{TERM}$, $l, r \in \mathsf{WAT}$, $c \Rightarrow l \xrightarrow{\mathrm{rip}}_p r$ is a (polarized) wave-rule iff the following three conditions hold:

Soundness

$$c \Rightarrow erase(l) \rightarrow_{p} erase(r) \quad \in \quad \mathsf{REWRITE}$$

Skeleton preserving

$$skels(l) = skels(r)$$

Termination

$$l \succ^{\star} r$$

That is, a wave-rule is an annotated, measure-reducing, skeleton-preserving, sink-free, conditional polarized rewrite-rule.

The definition of annotated substitution can be found in [2], along with a notion of wave-rewriting. We shall make do here with an informal definition:

Definition 11 (Rippling) A term s ripples to a term t if one or more wave-rules rewrites s to t, i.e., $s \stackrel{\text{rip}}{\to} t$ where $\stackrel{\text{rip}}{\to} t$ is the irreflexive transitive closure of the congruence induced by $\stackrel{\text{rip}}{\to}$.

A.3.3 Rippling in Clam

Rules which rewrite WATs are called *wave-rules*, they are computed *rewrite rules* according to the definition above (see also §4.3.3 on page 115) as needed during proof-planning. The rewrite database provides the stock of rewrite rules from which these wave-rules can be dynamically constructed—hence the term *dynamic rippling*.

As stated above, rippling is the repeated application of wave-rules: normally in Clam wave-rules are applied to an annotated term until no more wave-rules apply.

There are two basic types of rippling: static and dynamic. Static rippling is what is defined in the previous section. The distinction concerns the manner in which the various conditions on rippling are enforced. Clam supports only static rippling but we describe dynamic rippling here too for completeness.

The important point is that static and dynamic rippling are different rewriting relations: in fact, the dynamic rippling relation is strictly larger than the static rippling relation. 2

²Interested readers may like to know that at the time of writing, λ Clam [25] supports dynamic rippling via embeddings [26]. But, I digress.

A.3.3.1 Static rippling

In static rippling, the annotated rewrite relation is determined by the available wave-rules: these rules may be computed in advance of being needed or they may be computed only when required.

Eager Static wave-rule parsing Here a set of rewrite rules is complied into a set of wave-rules. Each rewrite rule will be compiled into zero or more wave-rules, so as to exhaust all possible ways of extracting a wave-rule from a rewrite rule. Typically a single rewrite rule can be parsed as a wave-rule in many different ways. In many proofs, this is wasteful of both space and time since some of these wave-rules may not be used during proof search.

Lazy Static wave-rule parsing This differs from eager static rippling only in that wave-rules are not compiled in advance of their use. The idea of lazy parsing of rewrite rules is to avoid over-generation of rules that are not used during proof search.

It is important to note that both of these approaches compute the *same* ripple relation: that is, if s lazy static ripples to t then so does it eager static ripple to t, and vice versa. The difference is a practical one: lazy parsing is much more efficient.

(It is worth pointing out that some authors, notably Basin and Walsh, refer to the eager/lazy distinction as static/dynamic.)

A.3.3.2 Dynamic rippling

Dynamic rippling prime characteristic is that it is not easily characterized as a rewrite relation, and that it is certainly different from static rippling.

I will not say anything more on this for the moment.

A.3.4 Role of sinks

Sinks provide a mechanism for controlling sideways rippling, and for allowing a more liberal notion of skeleton preservation. A sink marks the occurrence of a term within the induction conclusion whose position is the same as the position of a universally quantified-variable in the induction hypothesis. A precondition of a sideways ripple is that a sink occurs at or below the position to which a wave-front is moved.

Since the sink corresponds to a universal variable in the hypothesis, it is permissible, indeed, useful, for the skeleton to be corrupted below the sink position.

Example. The following wave-rule helps to illustrate the need for skeleton preservation modulo sinks. The rewrite rule

$$split_list(A :: X, W) \rightarrow W :: split_list(X, A),$$

cannot be applied to the annotated goal

$$\forall w.split_list(\boxed{h::\underline{t}}\ , \lfloor w \rfloor)$$

unless the skeleton is allowed to change at the sink position. With skeleton preservation modulo sinks, we can ripple to

$$\forall w. \boxed{w :: \underline{split_list(t, \lfloor a \rfloor)}} \ .$$

Notice that the contents of the sink has changed, yet the skeletons are equal.

A.4 Reduction

Outside of inductive branches, where there is no requirement for skeleton preservation, a different kind of terminating rewriting may be desirable.

This section describes the termination ordering used in Clam for reduction rules. Reduction rules are a subset of rewrite rules (i.e., taken from the set REWRITE) which can be oriented into a terminating reduction ordering (a simplification ordering, as we shall see below). See §4.3.2 on page 115 for more information on the reduction rule database.

A.4.1 Simplification orderings

A partial ordering > is a simplification ordering iff

$$s > t$$
 implies $f(\cdots s \cdots) > f(\cdots t \cdots)$
 $f(\cdots t \cdots) > t$

for any terms s and t and function symbol f. We assume stability under substitution.

We can show that a rewrite system is terminating under a stable simplification ordering > by showing that for each rule $s \to t \in R$ that s > t.

A.4.2 Recursive path ordering with status (RPOS)

Recursive path ordering (RPO) is a simplification ordering (due to Dershowitz) that is parametrized by a quasi precedence relation \succeq on function symbols. We can instantiate the precedence relation to make a particular instance of the RPO, and thus obtain a simplification ordering. RPO with status (RPOS), due to Kamin and Levy, is additionally parametrized by a status function τ . Together, these two parameters are called a registry, denoted $\rho = \langle \succeq, \tau \rangle$. The ordering is thus written $>_{\rho}$ (the strict part) and \geq_{ρ} , when we want to include equivalence. (This are defined formally later.)

As is well-known in the rewriting community (the idea was pioneered by Lescanne from what I can gather; the references I used are Forgaard [16] and Steinbach [28]), registries can be computed incrementally. This means that it is not necessary to work out the registry in advance: a new reduction rule can be added to a reduction system and the registry extended as and when necessary (if this is possible) to maintain termination.

Clam's library mechanism (see §3.3 on page 92) ensures that the registry is extended (if possible) as and when new reduction rules are loaded.

A.4.2.1 Precedence, status and registry

A precedence \succeq is a transitive, irreflexive binary relation on terms. $s \sim t$ means $s \succeq t$ and $t \succeq s$. The induced partial ordering $s \succ t$ is $s \succeq t$ and $s \not\sim t$.

The following are also used:

$$s \sim t$$
 means $s \succeq t$ and $t \succeq s$ (A.1)

$$s \succ t \quad \text{means} \quad s \succeq t \text{ and } t \not\sim ts$$
 (A.2)

A status function is a mapping from function symbols to one of two³ status indicators: \otimes or δ . These indicators are used to flag how the arguments of that function symbol are to be compared. \otimes means use the multiset extension. δ means use a lexicographic extension— δ is a permutation function on the arguments.

³In fact this can be generalized significantly.

Additionally, we allow an undefined status, \odot , to allow us to express that the status of a particular function is undecided, and can be set as required. Typically we can make do with only two permutations: from left to right and from right to left. We will adopt this restriction and denote them by \oplus and \ominus respectively. So we think of τ mapping into $\{ \otimes, \oplus, \ominus, \odot \}$.

The following functions are used in connection with status (where $\overrightarrow{t_n}$ abbreviates t_1, \ldots, t_n).

Definition 12 (Status functions)

$$\langle \overrightarrow{t_n} \rangle^{\oplus} = \langle \overrightarrow{t_n} \rangle$$

$$\langle \overrightarrow{t_n} \rangle^{\ominus} = \langle t_n, \dots, t_1 \rangle$$

$$\langle \overrightarrow{t_n} \rangle^{\otimes} = \{ \overrightarrow{t_n} \}$$

where the set on the last line is a multiset.

Definition 13 (Consistency) A registry $\rho = \langle \succeq, \tau \rangle$ is *consistent* iff

- 1. $f \sim g$ implies $\tau(f) = \tau(g)$, when f and g have a defined status, and,
- 2. if $f \succeq g$ and $g \succeq h$ and $f \not\sim g$ or $g \not\sim h$ then $f \not\sim h$.

Definition 14 $(>_{\rho}, \geq_{\rho})$ Given a consistent registry $\rho = \langle \succeq, \tau \rangle$ we define $>_{\rho}$ over TERM by four disjunctive cases as follows.

$$\begin{split} s &= f(\overrightarrow{s_n}) \geq_{\rho} t = g(\overrightarrow{t_m}) \quad \text{iff} \\ s_i &\geq_{\rho} t \quad \text{for some } s_i \\ s &>_{\rho} t_i \quad \text{if } f \succ g \\ s &>_{\rho}^* t \quad \text{if } f \sim g \\ s &>_{\rho}^* t \quad \text{if } f \succeq g \text{ and } s >_{\rho} t_i \text{ for all } t_i \end{split}$$

Where

$$s \ge_{\rho} t$$
 iff $s >_{\rho} t$ or $s \sim_{\rho} t$.

(For details of the congruence \sim_{ρ} , readers are referred to [16, 28]: roughly it is the smallest relation extending \succeq to a congruence on terms, accounting for the status function.)

Note that $s >_{\rho}^* t$ is common to the third and forth clauses, and that $s >_{\rho} t_i$ is common to the second and forth.

The reader familiar with (the original) RPOS may spot that the last clause is not normally present. It is part of the extension to allow \succeq to be computed incrementally. It simply says that we can proceed on the basis of partial information $f \succeq g$, rather than making a commitment to $f \sim g$ or $f \succ g$, providing that both of these are viable. In the case of the \sim extension, we can see that we are reduced to the case dealt with by the third clause; in the case of \succ , the second clause. These are conjoined in the last clause.

I have introduced $>^*$ here (and defined it below) to try to make the presentation slightly clearer, since it is defined by cases, according to the status of s and t. To compare s and t according to the multiset extension, the root function symbol of s and t must have status \otimes . To compare lexicographically, the status must be \oplus or \ominus . Such statuses are *compatible*.

Definition 15 ($>_{\rho}^{*}$ (extension)) We define the multiset and lexicographic extension of $>_{\rho}$ (for consistent ρ) by two cases, depending on the status of the heads of the terms under comparison.

$$\begin{split} s &= f(\overrightarrow{s_n}) >_{\rho}^* t = g(\overrightarrow{t_m}) \quad \text{iff} \\ & \{ \overrightarrow{s_n} \} >_{\rho} \{ \overrightarrow{t_m} \} \quad \text{if } \tau(f) = \otimes \\ & \langle \overrightarrow{s_n} \rangle^{\tau(f)} \geq_{\rho} \langle \overrightarrow{t_m} \rangle^{\tau(g)} \quad \text{if } \tau(f), \tau(g) \in \{\oplus, \ominus\} \text{ and } s >_{\rho} t_i \text{ for all } t_i \end{split}$$

which cover the multiset extension and lexicographic extension respectively.

Remark. In the case of the lexicographic comparison, it might seem strange to insist upon the condition $s >_{\rho} t_i$, namely that s is greater than all the arguments of t. This is necessary since s might otherwise be a subterm of t. For example, we do not want that f(h(x), x) > f(x, f(h(x), x)) simply because h(x) > x, in a left-to-right lexicographic comparison.

A.4.2.2 Lifting RPOS

 $>_{\rho}$ etc. are lifted to a stable ordering on non-ground terms by treating all variables x appearing as distinguished constants that are unrelated under ρ . That is, $x \sim x$, $\tau(x) = \otimes$ and x and y are incomparable under \succeq , for distinct variables x and y.

A.4.3 Computing the registry dynamically

We start with some initial registry and dynamically extend it with assignments of status to function symbols where no status is present, and/or with extensions to \succeq . Initially, τ is set to \odot for all function symbols (excepting the nullary functions which represent variables) and \succeq is empty. The registry may only be extended in such a way as to preserve consistency.

The choice points in proof search arise when (i) we can choose either $f \sim g$ or $f \succ g$, or (ii) assigning some status to f and g. Clearly, there may be more than one possible extension. There is a notion of minimality here which can be used to bias the search. An extension e_1 of the registry is smaller than e_2 if e_1 can be extended further to e_2 . Computing the minimal extension is expensive, so in practice, the bias is something cruder—try to extend \succeq before τ .

The rules above treat the partial information case \succeq as a conjunction of the two cases for \sim and \succ . Similarly, the treatment for \odot status is a conjunction of $\{\otimes, \oplus, \ominus\}$. In either case if the conjunction cannot be established, a commitment is needed for the proof to proceed.

A.4.3.1 Rewriting, polarity and reduction rules

In Clam there are two TRSs, one for positive polarity, and one for negative, with a registry for the ordering in each case. These sets are called REDUCTION₊, REDUCTION₋; the termination of each is justified by a registry, ρ_+ and ρ_- , respectively. These two TRSs collectively define Clam's reduction TRS, REDUCTION.

We take subsets of REWRITE that satisfy the termination ordering appropriate to reduction:

Definition 16 (REDUCTION)

```
\begin{array}{lll} \mathsf{REDUCTION}_{+} &=& \mathsf{REWRITE}_{+} \cap >_{+} \\ \mathsf{REDUCTION}_{-} &=& \mathsf{REWRITE}_{-} \cap >_{-} \\ \mathsf{REDUCTION} &=& \mathsf{REWRITE}_{+} \cup \mathsf{REDUCTION}_{-} \end{array}
```

The polarized reduction relation is defined analogous to the polarized rewrite relation (definition3 on page 130).

Remark 5 As in the case of REWRITE, Clam does record explicitly those reduction rules which are derived from equality and biimplication.

A.5 Labelled term rewriting

The rewriting engine in Clam attempts to improve efficiency of reduction (the repeated replacement of a redex by a reduct) by manipulating labelled terms rather than regular terms. The idea is very simple: labelled terms implement a memo-table that improves efficiency of rewriting.

Labelled terms are terms decorated by markers: each node in the term tree is marked with the token 'nf' or with label-variables l_1 , l_2 . The intended meaning is that a term whose root is labelled with the token nf is in normal form, and all a variable labelling indicates that it is not known if that term is in normal form. When the name of a label-variable doesn't matter, it will be written anonymously,

Definition 17 (Well-labelled) A labelled term t is well-labelled iff for every subterm s of t that is labelled with nf, all subterms of s are labelled with nf.

Since a term is either labelled with nf or with a label-variable, it follows that for a well-labelled term t, all superterms of some subterm of t labelled with a label-variable will be labelled with a label-variable.

An example well-labelled term is $plus^{l_1}(s^{l_2}(x^{l_3}), 0^{nf})$. Notice that 0 is labelled as being in normal form and the other subterms are labelled with label-variables, meaning 'not known to be in normal form'. Substitutions over labelled variables are as one expects.

Labelled terms are a convenient representation of a memo-table for computing normal forms: terms labelled by nf need not be searched (traversed) when looking for a redex.

We make some simple definitions:

Definition 18 (Unlabel) The function Unlabel from labelled terms to terms yields the term in which all labelling is deleted:

$$\mathsf{Unlabel}(f^X(t_1,\ldots,t_n)) =_{\mathsf{def}} f(\mathsf{Unlabel}(t_1),\ldots,\mathsf{Unlabel}(t_n))$$

for $0 \le n$.

Definition 19 (V-L) The function V-L from terms to labelled terms yields the labelled term in which all nodes are labelled with a distinct label-variable.

$$V-L(f(t_1,\ldots,t_n)) =_{\mathrm{def}} f^-(V-L(t_1),\ldots,V-L(t_n))$$

for $0 \le n$.

(The term V-L(t) is the representation of the term t with an 'empty' memo-table.)

A.5.1 Labelled rewrite system

A labelled rewrite system is a rewrite system over labelled terms. There is no restriction that label-variables of the RHS are a subset of the label-variables on the LHS (and so labelled rewrite systems and rewrite systems are not equivalent).

To propagate labellings through reduction, we label the rules in a set R of rewrite rules to yield a labelled system LR. $l \to r \in R$ iff $l' \to r' \in LR$, where $l = \mathsf{Unlabel}(l')$ and $r = \mathsf{Unlabel}(r')$, and:

- 1. All distinct, non-identical subterms of l' are assigned a fresh label-variable; all occurrences of identical subterms are assigned the *same* label-variable. Notice in particular that all occurrences of some variable V in l' are assigned the same label-variable. (Typically, rules do not normally share non-variable subterms, but sometimes they do.)
- 2. Subterms of r identical to subterms of l are labelled similarly in r' and l'. (In particular, variables in r' are labelled with the same label-variable as similar variables in l'.)

(The current Clam implementation does not meet this specification: only *variable* subterms are considered: non-identical subterms are labelled with distinct label-variables.)

Notice in particular that l' will be labelled with a label-variable.

For example the rewrite derived from the definition of plus,

$$plus(s(X), Y) \rightarrow s(plus(X, Y))$$

becomes the labelled rewrite rule

$$plus^{l_3}(s^{l_4}(X^{l_1}), Y^{l_2}) \to s^{l_5}(plus^{l_6}(X^{l_1}, Y^{l_2}))$$

Notice that the sharing of label-variable for occurrences of a variable in the rule means that the labelling of the term to which a variable is instantiated is propagated (if necessary) from the redex to the reduct. The memo-table update corresponding to the reduct is computed simply by applying the labelled rewrite.

A.5.2 Labelled term rewriting (LTR)

This section is incomplete, and it is more than likely to be incorrect.

Rewriting with labelled terms is much as before, with the following additional proviso on the labelling of the term to be reduced:

Definition 20 (Labelled term rewriting) The labelled rewrite relation \rightarrow_{LR} is defined over well-labelled terms as follows:

$$s^{\alpha}[u^{\beta}] \to_{LR} s^{\alpha}[b^{l_2}\sigma]$$
 iff $a^{l_1} \to b^{l_2} \in LR$ and $a^{l_1}\sigma = u^{\beta}\sigma$

for some mgu σ .

Notice from the above that all redexes in LTR are labelled with a label-variable and that σ is a unifier (it may instantiate label-variables appearing in both u and a).

From the definition of well-labelled, and definition of LTR, one can see that each superterm of a redex is labelled with a label-variable (hence s itself must be labelled with a label-variable). Therefore, when the reduction is made the labelling on the rest of the term need not be altered.

A.5.3 Reduction strategy

The term traversal algorithm used by the rewriting checks to see if the term is a labelled term. If it is, and the node is labelled with nf, that term and its subterms are not searched. If a term is labelled with a variable, then it is searched. If no redex is found, the label-variable at its root is set to 'nf'.

Thus label-variable is instantiated to nf when all subterms are shown to be irreducible (the reduction mechanism must ensure that well-labelling is preserved) or by unification during rewriting.

Soundness is trivial since labelled rewriting is a restriction of normal rewriting.

Completeness The relations \rightarrow_{LR} and \rightarrow_{R} are different since labellings (even well-labellings) can be added arbitrarily. We need a more general statement.

The claim is that for the terms t and V-L(t) we have:

$$t \to_R^* s \text{ iff V-L}(t) \to_{LR}^* s'$$

where s' is some labelling of s, and * means reflexive transitive closure. We can even make a stronger statement that the reduction sequence in each case is the same. This section is incomplete! Need to formalize and do the proofs.

Of course soundness and completeness says nothing of efficiency, but empirical evidence suggests that LTR is faster.

Clam uses labelled term rewriting in the implementation of some of the reduction rule code. The main advantage is that for conditional rewriting it may be expensive to determine that a term is not a redex because of the effort expended in trying to establish the condition.

Appendix B

Decision procedures

Clam contains two decision procedures.

B.1 Intuitionistic propositional logic

The predicate propositional/2 is a decider for intuitionistic propositional sequent calculus. The algorithm implemented is that due to Dyckhoff [15].

This decider builds tactics when the goal is provable which can be applied to give an object-level proof.

B.2 Presburger arithmetic

The predicate cooper/1 is a decision procedure for Presburger integer arithmetic [23]. The algorithm implemented is that due to Cooper [14].

The argument to cooper/1 is a sentence of Presburger arithmetic, as defined by the following grammatical elements:

- universal quantification over integers and natural numbers (x:int=>... and x:pnat=>...).
- existential quantification over integers and natural numbers (x:int#... and x:pnat#...).
- propositional connectives $(\#, \setminus, =>, <=>)$.
- propositions: true, void.
- the following term constructors: 0, s, plus, times(a,b) (where at least one of a or b is a ground term), and the integers, -1, 1, -2, 2, -3, 3, etc.
- the following predicates: leq, geq, greater, less, _ = _ in pnat, _ = _ in int.

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This grammar is hard-wired. There is an implicit assumption that this grammar agrees with the definitions of appearing in the Clam library. Even worse, the same symbols are used for both integer and natural numbers. Quantification over the natural numbers is internally translated into restricted quantification over the integers.

The algorithm does not as yet build object-level proofs.

B.2. PRESBURGER ARITHMETICAPPENDIX B. DECISION PROCEDURES

Appendix C

Appendix

C.1 The organisation of the source files

NEWS Information on the latest release.

README A file containing information about the cur-

rent version of Clam, (lists of things to do,

known bugs), etc.

dialect-support/ Directory containing the boot-strap file sub-

directories for the various dialects of Prolog supported by the Makefile. Currently only sic, qui and swi (SICStus Prolog, Quintus Prolog and SWI Prolog) are available. Each sub-directory contains a boot.pl, libs.pl and

sysdep.pl.

info-for-users/ This directory contains various information

of use to users including the Clam manual and a short introduction to theorem proving using Oyster and Clam. It also contains some auxiliary style files for use with the LaTeX=LATEX tracing facility (see dplanTeX[0;1] and idplanTeX[0;1]).

lib/ Library directory with logical objects (con-

structor).

lib-buffer/ The lib-buffer provides a directory into which

Clam -users can copy definitions, theorems, lemmas etc for validation by the current keeper of Clam before being installed in the official lib directory.

The default library directory for saving

objects.

low-level-code/ Low-level support routines.

lib-save/

make/Makefile Commands and dependencies for installing

new versions of Clam.

make/clam.v2.8.4.DIA Executable image for the entire Clam system. make/clamlib.v2.8.4.DIA Executable image with all necessary libraries

pre-loaded.

make/oyster.DIA Executable image for Oyster.

config/methods.pl Code for loading a standard set of methods. config/tactics.pl Code for loading standard lemmas for arith-

metic tactics.

config/hints.pl Code for loading a standard set of hints. Note that these configuration files are not consulted — they are goal clauses. proof-planning/applicable.pl Code for tests for method-applicability. proof-planning/library.pl Code for simple library mechanism. proof-planning/method-db.pl Code for maintaining the (sub)method databases. proof-planning/plan-bf.pl Code for breadth-first planner. proof-planning/plan-df.pl Code for depth-first planner. proof-planning/plan-dht.pl Code for depth-first (hint) planner. proof-planning/plan-gdf.pl Code for best-first planner. proof-planning/plan-gdht.pl Code for best-first (hint) planner. proof-planning/plan-id.pl Code for iterative-deepening planner. proof-planning/plan-idht.pl Code for iterative-deepening (hint) planner. proof-planning/plan-toy.pl Code for toy versions of all planners to use for experiments in artificially constructed search spaces. proof-planning/plan-vi.pl Code for visual iterative deepening planner. proof-planning/util.pl Code for utilities: tracers, printers, etc., plus generally useful Prolog stuff. proof-planning/stats.pl Code for taking statistics. meta-level-support/cancellation.pl Code needed to deal with cancellation rules. meta-level-support/dp This directory contains the code for the Presburger decision procedure. meta-level-support/elementary.pl This file contains a decision procedure for a subset of the propositional part of Oyster logic together with additional datatype properties, e.g. uniqueness. meta-level-support/hint-context.pl Contexts for the hint mechanism. meta-level-support/hint-pre.pl Code for the hint mechanism. meta-level-support/method-con.pl Definition of all the connectives of the method language. meta-level-support/method-pre.pl Definition of all the predicates of the method language. meta-level-support/methodical.pl Code for constructing methodicals, currently only the iterator. meta-level-support/propositional.pl This file contains a decision procedure for the propositional part of Oyster logic. meta-level-support/recursive.pl Code for analysing recursive definitions. meta-level-support/reduction.pl Code for analysing reduction rules. meta-level-support/schemes.pl Representation of induction schemes. meta-level-support/so.pl RPOS and miscellaneous predicates for reduction rule machinery.. meta-level-support/tactics.pl Code for tactics corresponding to methods. meta-level-support/tactics-wf.pl Code for tactics for well-formedness goals. meta-level-support/wave-rules.pl Code for analysing wave-rules and handling

C.2 Release Notes

writef.pl

object-level-support/oyster-theory.pl

This section describes the changes in each subsequent release of Clam, starting from release 1.1 onwards. We only list changes to the functionality of the system and leave out fixed (and introduced...) bugs.

wave-fronts.

background theory.

Things particular to the Oyster logic and its

Writef formatted output package.

C.2.1 CVS and Clam

From version 2.2, Clam is under the CVS revision control system. The CVS tags associated with each of the releases is show below in teletype font.

Edinburgh researchers can retreive the latest Clam version for development, by issuing the CVS command

cvs checkout -rHEAD clam

This will checkout the entire Clam system with all the revision control information ready for development work.

To retrieve Clam version 2.8.4 for compilation, but not development, use the CVS command 'export' rather than 'checkout':

cvs export -rCLAM_2_8_4 oyster-clam

This will checkout the entire Clam system without the revision control information. Please note that the these are for very rough guidance only; Please refer to local Edinburgh documentation for notificatio of current practice etc.

C.2.2 Version 1.1, May 1989

- The predicates base-eq/2, base-eqs/1, step-eq/2 and step-eqs/1 have all been renamed base-rule/2, base-rules/1, step-rule/2 and step-rules/1
- 2. The methods fertilize-left/2 and fertilize-right/2 are now submethods, disjunctively joint together in a new method fertilize/2.
- 3. There is now a version of the induction method which explicitly encodes the minimality condition for subsumption in the preconditions, instead of relying on the procedural implementation of subsumes/2.
- 4. The code for tactics has been distributed over two files: tactics-wff for all the well-formedness tactics, and tactics for all the other ("real") tactics.
- 5. The code defining the method language is distributed over two files: method-con for the connectives and method-pre for the predicates. Some material is also in the oyster-theory
- 6. A new predicate has been introduced to specify the default value for the pathname of the library directory: lib-dir/1.
- 7. The predicate lib-present/1 has been added to inspect the currently available set of logical objects.
- 8. The predicate lib-delete/1 has been added to delete logical objects. (plan logical objects may not be deleted.)
- 9. The behaviour of lib-load/[1;2] has been changed. When loading in a logical object that is already present, the old predicate did nothing. The new predicate does (re)load the specified logical object, but does not reload any of the objects needed by the specified object (as recorded in the needs/2 predicate). This is so that new versions of objects can be loaded without having to reload things that possibly did not change.
- 10. A mechanism for loading and deleting methods has been introduced:

- The library mechanism (lib-load/[1;2], lib-present/1 and lib-delete/1) has been extended to deal with arguments of the form mthd and smthd for methods and submethods. This enables individual loading of methods from files.
- delete-methods/0, delete-submethods/0, list-methods/[0;1] and list-submethods/[0;1] have been introduced (although they could have been formulated in terms of the library mechanism).

As a result of this change, methods now live in individual files instead of one big file.

- 11. The representation of iterating methods has been changed. It also now possible to construct every possible combination of (sub)methods iterating (sub)methods. Thus, we can construct a method that iterates submethods, a method that iterates methods, a submethod that iterates methods.
- 12. A new try/1 methodical has been added to allow fail-save application of (sub)methods.
- A new then/2 methodical has been added to allow sequential combination of submethods.
- 14. The predicate exists/1 has been renamed to thereis/1 (to avoid a clash with a built-in NIP Prolog predicate).
- 15. Portability code for NIP Prolog has been added in the file nip.
- 16. The meta-linguistic connective or/2 has been renamed v/2 (to avoid a clash with the Oyster tactical or/2).
- 17. The scripts to construct runnable images of Clam have been upgraded to run under both NIP Prolog and Quintus Prolog.
- 18. A Makefile is now present to help with installing new versions.
- 19. The tactic lemma/1 has been renamed apply-lemma/1 (to avoid a name clash with the Oyster rule of inference).

C.2.3 Version 1.2, June 1989

- 1. The format of the fertilize/2 method has been changed. It is now written in terms of submethods fertilize-left/2 and fertilize-right/2.
- 2. A new or/2 methodical has been added to allow disjunctive combination of submethods.
- 3. The predicate matrix/3 has been added to the method-language predicates.
- 4. Zero arity versions of lib-present, lib-delete, lib-present /0 and lib-delete/0 have been added as utilities.
- 5. The zero arity version of print-plan, print-plan/0 has been added as a utility.
- 6. Portability code for SWI Prolog has been added in the file nip. The main reason for porting to SWI is that it is the only Prolog with decent profiling facilities.
- 7. The welcome-banner printing is different, to avoid a bug in Quintus Prolog and to make it more portable.

C.2.4 Version 1.3, October 1989

- 1. Appendix F (describing the contents of the Clam library of definitions and theorems) has been removed from the manual, because the current library is now far too big. At the moment, it contains 44 definitions (comprising 98 recursion equations) and 95 theorems. Most of the theorems and definitions are indexed with their numbers in the Boyer and Moore book [3] in the subdirectory BM.
- 2. The predicate canonical/2 has been introduced into the method language.
- 3. The predicate recursive/3 can now deal with simultaneous recursions.
- 4. The predicate recursive/4 has been introduced to deal with conditional recursion equations.
- 5. The predicate universal-var/2 has been added to the method language.
- 6. The predicate wave-fronts/3 provides a way of manipulating wave-fronts in formulae.
- 7. The predicate wave-rule/3 provides a new representation for wave-rules which allows the implementation of the rippling-out control strategy for conditional multiple-wave-rules.
- 8. The fertilization method has also been substantially reorganised to deal with wave-fronts, and to distinguish between weak and strong fertilization.
- 9. Only one coherent version of the induction strategy (previously known as the "basic plan", remains as the method ind-strat-I/1). The methods ind-strat-III/1 and ind-strat-IIII/1 are now obsolete.
- 10. We now have a method for doing motivated casesplits in proofs (based on the notion of complementary sets of preconditions).
- 11. listof/3 (an amalgam of the Prolog predicates setof/3 and findall/3) has been added to the method language.
- 12. All methods have been reformulated so that they can now deal with explicitly quantified formula as well as with skolemised variables.
- 13. A section describing wave-front representation has been added to the manual.
- 14. Tracing output for planners in general, and for the depth-first planner in particular, has been improved.
- 15. The rewrite tactics have substantially changed. The functionality of the available rewrite operations should have increased, but I'm not sure how "upwards compatible" each of the individual predicates is.
- 16. Some new pretty-print predicates are available:
 - print-plan/0 which pretty-prints the plan below the current sequent in the usual format.
 - snap/[0;1] which form a compromise between the very short print-plan/0 and the still rather verbose snapshot/[0;1] provided by Oyster.
- 17. The default tracing level is now set to 20 rather than 0.

- 18. For those not using an Emacs interface, it is now possible to edit (sub)methods from within clam, using the predicates lib-edit/[1;2].
- 19. Some global parameters of the system can now be set using the predicate lib-set/1.
- 20. A new make/[0;1] predicate is now available for incrementally reloading changed source files.
- 21. Iterated methods are now pretty printed differently, so that the printed form indicates the length of the iteration.
- 22. The tautology checker has been jazzed up to make it deal with a bit more than just propositional tautologies (however, it remains a decidable predicate free of search).

C.2.5 Version 1.4, December 1989

- 1. Induction schemes can now have more than one step case, although our way of indexing induction schemes (relying on a single induction term to identify a scheme) should also be upgraded in the future.
- 2. A new predicate object-level-term/1 has been added to the method language.
- 3. Clam knows about the polarity of certain object-level function symbols. This is a temporary fix to allow the implementation of a more general version of weak fertilization, and should eventually be replaced by a theory free solution, described in 6.4 on page 127. A polarity/5 predicate has been added to the method language to make this knowledge available inside methods.
- 4. Base- and step-rules are now stored with universally quantified variables replaced by meta-(Prolog) variables, allowing faster checks for applicability.
- 5. A new class of theorems, so called reduction rules, have been implemented to improve the behaviour of and the story behind symbolic evaluation.
- 6. Clam now also runs under SICStus Prolog
- 7. Path expression (position specifiers, tree coordinates) for specifying positions in formulae are now transparent to wave-front annotations.
- 8. The predicate canonical/2 has been renamed constant/2 to avoid name clashes.
- 9. Side-ways wave-rules (transverse wave-rules) have been implemented.
- 10. The generalise/2 method has been generalised.
- 11. A more general version of weak fertilization has been implemented.
- 12. A predicate source-dir/1 names the source directory for Clam (useful for auto-loading of sources etc).
- 13. A new statistics facility allows counting of number of inference rules applied at the Oyster object-level during plan execution.
- 14. Geraint's visual version of the iterative deepening planner has been incorporated.

- 15. wave-fronts can now be properly joined and split as and when needed.
- 16. The wfftac has been jazzed up (once more) to deal with wff goals of functions.
- 17. Structural induction over trees has been added.
- 18. The preconditions of the ind-strat-I/1 method now explicitly call upon the preconditions of the induction/2 method, rather than repeating them verbatim.
- 19. The manual now has seperate indexes for keywords and for predicates.

C.2.6 Version 2.1, November 1993

- 1. The induction/2 method has under gone significant modifications. The main change is the use of a heuristic scoring mechanism to rank induction choices.
- 2. scheme/5 has been extended to allow for induction over more than one variable simultaneously. This is not, however, a general mechanism for supporting simultaneous induction.
- 3. base/2 and step/2 methods have been replaced by eval-def/2. Consequently, methods base-rule/2, base-rules/1, step-rule/2 and step-rules/1 have been removed.
- 4. A mechanism for dealing with complementary sets of rewrites has been incorporated. As a consequence new database records have been introduced to record complementary rewrites and condition sets.
- 5. Induction hypotheses are now annotated to indicate there status within stepcase proofs.
- 6. Rippling is implemented as a single method ripple/1 which iterates over the submethods wave/4, casesplit/1 and unblock/3.
- 7. The eval-def/2 and wave/4 methods now include a polarity check.
- 8. The submethod unblock/3 has been introduced to support a variety of metaand object-level rewriting with the aim of facilitating further wave-rule applications.
- 9. The wave-rule parser has been generalised to allow for the full generality of rippling [6]. This has led to a new wave-rule representation. The predicate wave-rule/1 is provided for pretty printing wave-rules.
- 10. The predicate wave-rule/1 provides a means of pretty printing wave-rules.
- 11. The meta-level annotations (wave-fronts and sinks) have been brought into line with the literature [6].
- 12. strong fertilization and weak fertilization have been packaged up within a new method called fertilize/2. Weak fertilization now includes post-fertilization rippling as described in [6].
- 13. Existential rippling [6] has been implemented and consequently the existential/2 method has been eliminated. A submethod has been introduced called existential/2 which is invoked within sym-eval/1 to deal with synthesis theorems. Eventually this will be replaced by an existential version of eval-def/2.

- 14. An additional argument has been added to the wave/3 method. This argument is for the substitutions generated by existential rippling.
- 15. base-case/1 and step-case/1 methods have been introduced.
- 16. The normalize/1 method is not loaded by default but is required for certain theorems in the corpus.
- 17. The methods language has been extended significantly.
- 18. A new set of benchmarking predicates have been incorporated (plan- and prove-). These are built on top of the existing benchmarking machinery. Instead of accessing the needs.pl file, these predicates access the examples.pl file which provides clearer documentation of the current corpus.
- 19. ind-strat/1 replaces ind-strat-I/1 and it can be applied as both a terminating and a non-terminating method.
- 20. ind-strat-II/1, and induction-min/2 have both been removed.
- 21. tautology/[0;1;2] has been renamed elementary/[0;1;2].
- 22. wfftacs has been strengthend.
- 23. Two new method iterators have been introduced: repeat/7 and iterate/5.
- 24. The library has been restructured to reflect the different kinds of logical objects which inhabit it.
- 25. A hint mechanism has been introduced.
- 26. The needs.pl file is reconsulted when Clam is invoked.
- 27. A tutorial guide to Clam has been added to a subdirectory called info-for-users.
- 28. Due to problems with the dynamic database Clam is incompatible with Quintus version 3.0.
- 29. apply-ext/1 provides an interface to the Oyster extraction mechanism making it easier to execute Oyster programs.

C.2.7 Version 2.2, August 1994 (CLAM_2_2_0)

- 1. Correction to the removal of redundant wave-front annotations after an application of the step-case method/submethod.
- 2. Generalisation of the condition-set record structure.
- 3. Modification of the casesplit method/submethod to reflect the generalisation of the condition-set record structure.
- 4. New make/ directory organisation, and some changes to the organization of the source files:
 - there is now a config/ directory, which contains files hints.pl, methods.pl, tactics.pl. These files are used to initialize Clam. These files contain Prolog goals (they are not consulted).
 - make/ directory is quite different. All of the various driver files have been merged into a single file, makeclam.pl; the C pre-processor is used to generate a particular driver each time.

- 5. The behaviour of the Quintus, Sicstus and SWI versions is much closer. clamlib no longer loads the needs.pl file, this is done only by Clam proper (and is done by all Prolog versions).
- 6. The symbol CPP in make/Makefile should point to the C pre-processor. Normally this is /usr/lib/cpp.
- 7. The CLAMSRC symbol in make/Makefile should be set as normal, but the default is to compute it based on the current working directory. Thus the only thing that may require editing in that file is the location of Oyster: in the standard Oyster-Clam distribution this is not necessary.
- 8. The predicate maplist (in all arities) has been changed to map-list, to avoid a name clash with users wishing to use the Quintus map_list library.

C.2.8 Version 2.3 patchlevel 5, 6 May 1995

First version with dynamic wave-rule parsing.

- 1. Totally new induction preconditions.
- 2. New step-case, ripple and wave submethods to deal with dynamic rippling.
- 3. New rewrite database for dynamic wave-rule parsing.
- 4. New conditional machinery.
- 5. New complementary wave-rule submethod.
- 6. Less dependancy on the old wave-rule parsing code; I think all that requires this now is reduction rule stuff.
- 7. New object-level-support directory for things specific to Oyster and background theory. (This change is transparent to the user.)

C.2.9 Version 2.3 patchlevel 6, 18 July 1995 CLAM_2_3_6

- 1. Bug in existential smthd fixed.
- 2. red(plus1right) and red(plus2right) removed from needs.pl for thm(binom_one); red(times1right) removed from thm(evenm).
- 3. Induction method preconditions now allow holes in induction term wave-fronts to be subterms other than variables. For example, $h_1 :: h_2 :: t$ was not possible previously, but now is.
- 4. Removed limit of 20 equations per definition. All equations of the form $name_N$ are loaded from when def(name) is loaded, starting with N=1, N=2 and so on. $name_{N+1}$ is loaded only when $name_N$ is present, hence: IMPORTANT: All equations must be $consecutively \ numbered$.
- 5. Added biconditional operator <=>. Tactics intro_iff and elim_iff; con-fig/tactics.pl does lib-load(def(iff)) (operator declaration was added to Oyster by Ian Green on 6 June 1995).
- $6. \ \ {\tt clam-patchlevel-info/0} \ \ {\tt command} \ \ {\tt added} \ \ {\tt for} \ \ {\tt Clam} \ \ {\tt patchlevel} \ \ {\tt information}.$
- 7. Only need for old wave-rule parsing code is to parse reduction rules.

- 8. Speeded up loading of rewrite rules.
- 9. lib-create/[1;2] added for simple interactive creation of def, eqn and synth objects.
- 10. Bugs in lib-save/[1;2] fixed; (lib-save(def(0)) now saves equations associated with def(0) as intended.
- 11. Manual source split into more managable parts.

C.2.10 Version 2.4 patchlevel 0, 3 October 1995 CLAM_2_4_0

The version number was increased for the following reasons:

- The arity of the induction method and submethod has been changed from 2 to 1. This is to accommodate the revised induction scheme representation. See induction/1 and scheme/[3;5].
- The scheme database has been completely rewritten; it should now be easier to add new induction schemes.

Other less significant changes are:

- 1. Rewrite rules may have multiple conditions.
- 2. The library mechanism now operates with a list of directories (a *path*) which is searched (in order) for library items. For example,

allows searching of user img's personal Clam library before the default library (indicated by the special token '*') is searched. The default system library may be found using lib_dir_system(D), but this cannot be changed. lib_set(dir(['*'])) is the default path setting. Currently, local needs files are not supported, so this means that the single needs file must reflect dependancies across all libraries. The saving directory, lib_set(sdir(.)) has not been changed. (The predicate lib-fname-exists/5 may be used to search paths.)

- 3. lib-sdir/1 added (same as saving-dir/1, which is undocumented).
- 4. Tricky problem in weak-fertilization tactic has been fixed. The problem was a mis-alignment of variable names caused when the weak-fertilization is a right-to-left rewrite where the LHS has more variables than the RHS. These unbound variables were 'arbitrarily' instantiated by the tactic, whilst the method chooses the (unique) instantiation suggested by the skeleton.
- 5. idplan-max/[1;2] added to impose a maximum depth on the DFID planner. (idplan-max is not really suggestive of iterative deepening since it does not increase any search depth iteratively; however, the code is from plan-id.pl, so it was named that way for uniformity.)
- 6. Revised benchmarking code which parameterizes the benchmark by the planner: e.g., plan_from(idplan_max(10),comm) will use the planner idplan_max (with a search depth bound of 20) for entries in the corpus from (and including) comm. Benchmarking code automatically saves successful plan construction using lib_save(plan(...)). The library into which plans are saved defaults to the standard library.

- 7. New logical object called 'plan' has been added to explicitly record the proofplan associated with a particular theorem. This can be saved into the library via lib-save/1: the name of the theorem, the raw proof-plan, the Clam environment (type of planner used, Clam version number methods, submethods, rewrites etc., in effect during plan construction) is saved into the library.
- 8. Totally new implementation of the scheme database. This is almost plug-incompatible with the old database (which has been removed), but it is much easier to add induction schemes. Difference matching is used to add annotation.
- 9. Complementary sets are computed and stored at load-time. Access is via complementary-set/1. complementary-set-dynamic/1 is available for runtime construction of complementary sets, should that be needed.
- 10. Library mechanism supports loading of multiple things in a single call to lib-load/1: for example, lib_load(scheme([pairs,plusind])). If one of the objects in the list fails to load the Clam continues trying to load subsequent objects. A warning message is printed in this case.
- 11. The idea of 'induction scheme' is less ambiguous: the induction (sub)method now has a singe argument which reflects this important change.
 - A 'scheme' now makes explicit the connection between a variable and the induction term which replaces it in an induction conclusion. E.g., [x:pnat-s(v0), y:pnat list-h::t] means nat_list_pair induction.
- 12. The induction tactic now avoids a problem encountered when renaming of variables in a goal was required to avoid capture. In some cases renaming of these bound variables in the goal is needed to avoid capture of variables present in the induction scheme lemma. Fix is to rename all variables in the scheme lemma apart from all variables (free and bound) in the hypotheses and goal.

C.2.11 Version 2.5 patchlevel 0, 21 June 1996 CLAM_2_5_0

This version is based on Clam 2.4, but differs in a few important ways which are discussed below. As an end user, the only major difference is the interface to eval-def/2 rules, which no longer exists: it is replaced by reduction rules. Comparing the eval-def/2 method with the old one will illustrate the change. See "Other important changes" below.

Reduction rules & symbolic evaluation

Reduction rules were not available in Clam 2.4, but, due to popular demand, they are back. The reduction rule machinery has been generalized and is used for any (unannotated) rewriting required to be terminating. §A.4 on page 135 describes reduction rules formally. Clam tries to add the following objects to the TRS when they are loaded via the library mechanism:

- eqn's (loaded automatically as part of a definition)
- red's (thm's that the user explicitly wants to use as a reduction rule)

Notice that there is now no distinction between a rewrite which was loaded as an eqn and one loaded as a red: they are all added to the same database. That database is accessed via reduction-rule/6, and the parameters are in registry/4 (see reduction.pl for more information). The methods for symbolic evaluation have been changed to use reduction rules.

Labelled term rewriting

This is an improvement to the speed with which terms are normalized by the repeated application of rewrite rules. It is only implemented for unannotated terms at the moment, via the predicates nf/2 and pnf-plus/4: see reduction.pl again.

The sym-eval/1 method uses nf-plus/4 so that symbolic evaluation is faster. This is done via a new method called mnormalize-term/1, used in place of the standard reduction/2 methods. The reduction/2 methods are still available. (extending-registry/0 is a flag that determines if the registry is to be dynamically extended: it is set to false by default.)

Other important changes

The first seven of these are incompatibilities with Clam 2.4

- 1. Deleting a wave or a red will not remove the associated thm (nor anything else).
- 2. lib-load(wave(t)) no longer does lib-load(red(t)), and vice-versa. This allows more control over rewriting. Use needs/2 mechanism to enforce this if required.
- 3. Cancellation rules are no longer used, although they are still generated. This might have repercussions for ripple-and-cancel/1.
- 4. Equality rules no longer exist. They are superseded by reduction rules. In particular, equivalences are now handled by the reduction rule and wave-rule machinery (see below).
- 5. Since all eqn's are made into reduction rules, there is no longer any need for func-defeqn/3: it is superseded by reduction-rule/6 (an example of this is in the eval-def/2 method).
- 6. The definitions of leq, geq, greater and less have been revised (they were not all definitions before). A result of these new definitions is the need for leqzero, geqzero, lesszero and greaterzero to be added to the library as theorems, and, for certain theorems, for these to be loaded as reduction rules.
- 7. step-case/1 preconditions now insist that the goal contains annotation.
- 8. There is a new type of library object called trs; currently there is only one, called default, referring to the combination of positive and negative polarites. Currently there is no way of saving these objects to the library, nor of having more than one. lib-delete(trs(default)) will empty the reduction rule database, and both ordering parameters.
- 9. Support is provided for casesplits during symbolic evaluation, although this is not loaded by default. The s/methods base-case-cs/1 and sym-eval-cs/1 allow branching.
- 10. There was a restriction in Clam 2.4 that the left-hand-side of all rewrites (waves and reds) be non-atomic. This has been dropped (it was a bug).
- 11. When tracing at level 40, all the reduction rules and rewrite rules are displayed as they are generated.
- 12. Full support for <=> rewriting.

C.2.12 Version 2.6 patchlevel 3, 1 October 1997 CLAM_2_6_3

Clam 2.6, patchlevel 3

- 1. previous releases of Clam 2.6 did not have branching in base-case and symeral, as documented in the release notes of Clam 2.6.0. This has now been fixed.
- 2. Added decision procedure for Presburger arithmetic
- 3. Dropped distinction bewteen methods and submethods inside the library. Both are now stored in the library as 'methods'. Such objects can be loaded either as mthd or as smthd, as normal. This simplifies the maintenance of methods and submethods which are identical but for some irrelevant syntax.
- 4. improved control over portrayal of terms.

C.2.13 Version 2.7 patchlevel 0 CLAM_2_7_0

- 1. Automatic parsing of scheme/[3;5] logical objects.
- 2. Simultaneous inductions correctly treated by induction/1 heuristics.
- 3. Faster simplification ordering for reduction rules.
- 4. Library mechanism less verbose. More flexible loading of logical objects.
- 5. Induction analysis and casesplit analysis uniformly treated.
- 6. New propositional decider.
- 7. Methods elementary/1 and propositional/1 treat annotations uniformly.
- 8. Type guessing improved.
- 9. Compiles under SICStus Prolog version 3 patchlevel 5, and under Quintus Prolog version 3.
- 10. Annotations abstracted into new file annotations.pl.
- 11. Socket support (under SICStus) for inter-process communication.
- 12. Manual up-to-date (chapter on background material is incomplete).
- 13. "Klutz" user guide for basic introduction to Clam in distribution.

C.2.14 Version 2.7 patchlevel 1 CLAM_2_7_1

This release has not been checked extensively; it performs miserably on transitivity proofs due to limitations in the induction selection heuristics.

- 1. Support for SWI Prolog. This is known to run on at least one Linux machine, but is not widely used at Edinburgh.
- 2. Verbosity is decreased by default. Most non-essential messages are only shown if the tracing level is greater than 22.
- 3. Library now supports equations having a filename of the form root.1, root.2, ..., root.N each of the N equations defining root. The old style in which the separator is empty (root1, root2, ..., rootN) is still supported.

4. Clam attempts to show that binary relations are transitive. The switch trans-proving/0 (default true; see config/tactics.pl) controls this feature.

The predicate is-transitive/2 does these proofs by calling the decision procedure and, if that is inapplicable or runs beyond a prespecified time limit, the proof-planner itself is called. (The time limit is currently set to 60s for the decision procedure and 60s for the planner; see library.pl.)

If a relation can be shown to be transitive, this is recored as a transitive-pred/1 fact. Weak fertilization examines this database.

- 5. trivially-falsifiable/2 has been added. This instantiates a universally quantified formula to a ground formula in which variables have been instantiated to random constants of the appropriate type. This ground formula is then evaluated. False instances reveal that the original formula is not a theorem.
- 6. weak-fertilize/4 uses trivially-falsifiable/2 to reject false subgoals.
- 7. Method elementary/1: individual clauses merged to remove unwanted backtracking. Use of decision procedure controlled by using-presburger/0 switch (default true; see config/methods.pl).
- 8. Method step-case/1 subgoals are stripped of all annotation.
- 9. Manual not up-to-date.

C.2.15 Version 2.7, patchlevel 2 CLAM_2_7_2

- Small change to needs mechanism to support multiple libraries. needs.pl should no longer have the catch-all clause needs(_,[]).
 normally found at the end of the file.
- 2. Some additions to the library.
- 3. Speed improvements in induction preconditions.
- Method ind-strat/1: prefers unflawed induction over unflawed casesplits over flawed induction.

C.2.16 Version 2.8, patchlevel 0, February 1999 CLAM_2_8_0

- 1. Piecewise fertilization method pwf/1 incorporated into step-case of induction proof-plan.
- 2. All tactics for the basic induction proof-plan are present. Tactics for Presburger arithmetic not present.

C.2.17 Version 2.8, patchlevel 1, 7th April 1999 CLAM_2_8_1

- 1. Manual brought up-to-date.
- 2. lib-load-dep/3 added.

C.2.18 Version 2.8, patchlevel 2, 18th May 1999 CLAM_2_8_2

1. If the switch comm-proving/0 is true (default false; see config/tactics.pl) Clam attempts to show that binary functions are commutative.

The predicate is-commutative/2 does these proofs by calling the decision procedure and, if that is inapplicable or runs beyond a prespecified time limit, the proof-planner itself is called. (The time limit is currently set to 60s for the decision procedure and 60s for the planner; see library.pl.)

If a function can be shown to be commutative, then commuted versions of all defining equations for the function are loaded. The rewriting tactics have not been extended to take this into account yet, which is why the switch is by default off.

2. The timeout code has been fixed, and should now allow an arbitrary number of nested timeouts to be set, ensuring that timeouts cause exceptions at the correct points in the code.

C.2.19 Version 2.8, patchlevel 3, 26th April 2005 CLAM_2_8_3

- 1. Fixes to LATEX and sicstus to make compatible with later releases.
- 2. Strip out non-functional support for quintus and swi Prolog dialects.
- 3. Presburger decision procedure is off by default.
- 4. Tactics revised to allow running of default test suite.
- 5. Step case method changed to strip out annotations in hypotheses after induction.
- 6. Timing code uses sicstus time-out library.
- 7. Propositional method slightly extended to recapture symmetry of equations in strong fertilisation.

C.2.20 Version 2.8, patchlevel 4, July 2006 CLAM_2_8_4

Wider release of version working under current sicstus.

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