Week 5 at a glance

Textbook reading: Section 2.2, 2.1.
Before Monday, read Theorem 2.20.

Before Wednesday, read Example 2.18 (page 114).

Before Friday, read Figure 3.1.

For Week 6 Monday: Page 165-166 Introduction to Section 3.1.

We will be learning and practicing to:

- Clearly and unambiguously communicate computational ideas using appropriate formalism. Translate across levels of abstraction.
  - Describe and use models of computation that don't involve state machines.
    - **Use context-free grammars and relate them to languages and pushdown automata.**
  - Use precise notation to formally define the state diagram of a Turing machine
  - Use clear English to describe computations of Turing machines informally.
    - **Design a PDA that recognizes a given language.**
  - Give examples of sets that are context-free (and prove that they are).
    - **State the definition of the class of context-free languages**
    - **Explain the limits of the class of context-free languages**
    - **Identify some context-free sets and some non-context-free sets**
- Know, select and apply appropriate computing knowledge and problem-solving techniques. Reason about computation and systems.
  - Describe and prove closure properties of classes of languages under certain operations.
    - **Apply a general construction to create a new PDA or CFG from an example one.**
    - **Formalize a general construction from an informal description of it.**
    - **Use general constructions to prove closure properties of the class of context-free langugages.**
    - **Use counterexamples to prove non-closure properties of the class of context-free langugages.**

TODO:

Schedule your Test 1 Attempt 1, Test 2 Attempt 1, Test 1 Attempt 2, and Test 2 Attempt 2 times at PrairieTest (http://us.prairietest.com)

Review Quiz 5 on PrairieLearn (http://us.prairielearn.com), complete by Sunday 11/4/2024

CC BY-NC-SA 2.0 Version November 20, 2024 (1)

Monday: Context-free languages

Warmup: Design a CFG to generate the language $\{a^i b^j \mid j \geq i \geq 0\}$

Sample derivation:

Design a PDA to recognize the language $\{a^i b^j \mid j \geq i \geq 0\}$

CC BY-NC-SA 2.0 Version November 20, 2024 (2)

Theorem 2.20: A language is generated by some context-free grammar if and only if it is recognized by some push-down automaton.

Definition: a language is called **context-free** if it is the language generated by a context-free grammar. The class of all context-free language over a given alphabet Σ is called **CFL**.

Consequences:

- Quick proof that every regular language is context free
- To prove closure of the class of context-free languages under a given operation, we can choose either of two modes of proof (via CFGs or PDAs) depending on which is easier
- To fully specify a PDA we could give its 6-tuple formal definition or we could give its input alphabet, stack alphabet, and state diagram. An informal description of a PDA is a step-by-step description of how its computations would process input strings; the reader should be able to reconstruct the state diagram or formal definition precisely from such a descripton. The informal description of a PDA can refer to some common modules or subroutines that are computable by PDAs:
  - PDAs can "test for emptiness of stack" without providing details. *How?* We can always push a special end-of-stack symbol, \$, at the start, before processing any input, and then use this symbol as a flag.
  - PDAs can "test for end of input" without providing details. *How?* We can transform a PDA to one where accepting states are only those reachable when there are no more input symbols.

CC BY-NC-SA 2.0 Version November 20, 2024 (3)

Suppose L_1 and L_2 are context-free languages over Σ. **Goal**: $L_1 \cup L_2$ is also context-free.

Approach 1: with PDAs

Let $M_1 = (Q_1, \Sigma, \Gamma_1, \delta_1, q_1, F_1)$ and $M_2 = (Q_2, \Sigma, \Gamma_2, \delta_2, q_2, F_2)$ be PDAs with $L(M_1) = L_1$ and $L(M_2) = L_2$.

Define $M =$

Approach 2: with CFGs

Let $G_1 = (V_1, \Sigma, R_1, S_1)$ and $G_2 = (V_2, \Sigma, R_2, S_2)$ be CFGs with $L(G_1) = L_1$ and $L(G_2) = L_2$.

Define $G =$

CC BY-NC-SA 2.0 Version November 20, 2024 (4)

Suppose L_1 and L_2 are context-free languages over Σ. **Goal**: $L_1 \circ L_2$ is also context-free.

Approach 1: with PDAs

Let $M_1 = (Q_1, \Sigma, \Gamma_1, \delta_1, q_1, F_1)$ and $M_2 = (Q_2, \Sigma, \Gamma_2, \delta_2, q_2, F_2)$ be PDAs with $L(M_1) = L_1$ and $L(M_2) = L_2$.

Define $M =$

Approach 2: with CFGs

Let $G_1 = (V_1, \Sigma, R_1, S_1)$ and $G_2 = (V_2, \Sigma, R_2, S_2)$ be CFGs with $L(G_1) = L_1$ and $L(G_2) = L_2$.

Define $G =$

CC BY-NC-SA 2.0 Version November 20, 2024 (5)

Wednesday: Context-free and non-context-free languages

Summary

Over a fixed alphabet Σ, a language L is **regular**

iff it is described by some regular expression
iff it is recognized by some DFA
iff it is recognized by some NFA

Over a fixed alphabet Σ, a language L is **context-free**

iff it is generated by some CFG
iff it is recognized by some PDA

Fact: Every regular language is a context-free language.

Fact: There are context-free languages that are not nonregular.

Fact: There are countably many regular languages.

Fact: There are countably infinitely many context-free languages.

Consequence: Most languages are **not** context-free!

CC BY-NC-SA 2.0 Version November 20, 2024 (6)

Examples of non-context-free languages

$$\{a^n b^n c^n \mid 0 \leq n, n \in \mathbb{Z}\}$$
$$\{a^i b^j c^k \mid 0 \leq i \leq j \leq k, i \in \mathbb{Z}, j \in \mathbb{Z}, k \in \mathbb{Z}\}$$
$$\{ww \mid w \in \{0,1\}^*\}$$

(Sipser Ex 2.36, Ex 2.37, 2.38)

There is a Pumping Lemma for CFL that can be used to prove a specific language is non-context-free: If A is a context-free language, there is a number p where, if s is any string in A of length at least p, then s may be divided into five pieces $s = uvxyz$ where (1) for each $i \geq 0$, $uv^i xy^i z \in A$, (2) $|uv| > 0$, (3) $|vxy| \leq p$. *We will not go into the details of the proof or application of Pumping Lemma for CFLs this quarter.*

Recall: A set X is said to be **closed** under an operation OP if, for any elements in X, applying OP to them gives an element in X.

True/False	Closure claim
True	The set of integers is closed under multiplication. $\forall x \forall y \, (\ (x \in \mathbb{Z} \wedge y \in \mathbb{Z}) \rightarrow xy \in \mathbb{Z}\ )$
True	For each set A, the power set of A is closed under intersection. $\forall A_1 \forall A_2 \, (\ (A_1 \in \mathcal{P}(A) \wedge A_2 \in \mathcal{P}(A) \in \mathbb{Z}) \rightarrow A_1 \cap A_2 \in \mathcal{P}(A)\ )$
	The class of regular languages over Σ is closed under complementation.
	The class of regular languages over Σ is closed under union.
	The class of regular languages over Σ is closed under intersection.
	The class of regular languages over Σ is closed under concatenation.
	The class of regular languages over Σ is closed under Kleene star.
	The class of context-free languages over Σ is closed under complementation.
	The class of context-free languages over Σ is closed under union.
	The class of context-free languages over Σ is closed under intersection.
	The class of context-free languages over Σ is closed under concatenation.
	The class of context-free languages over Σ is closed under Kleene star.

CC BY-NC-SA 2.0 Version November 20, 2024 (7)

Friday: Turing machines

We are ready to introduce a formal model that will capture a notion of general purpose computation.

- *Similar to DFA, NFA, PDA*: input will be an arbitrary string over a fixed alphabet.
- *Different from NFA, PDA*: machine is deterministic.
- *Different from DFA, NFA, PDA*: read-write head can move both to the left and to the right, and can extend to the right past the original input.
- *Similar to DFA, NFA, PDA*: transition function drives computation one step at a time by moving within a finite set of states, always starting at designated start state.
- *Different from DFA, NFA, PDA*: the special states for rejecting and accepting take effect immediately.

(See more details: Sipser p. 166)

Formally: a Turing machine is $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$ where δ is the **transition function**

$$\delta : Q \times \Gamma \to Q \times \Gamma \times \{L, R\}$$

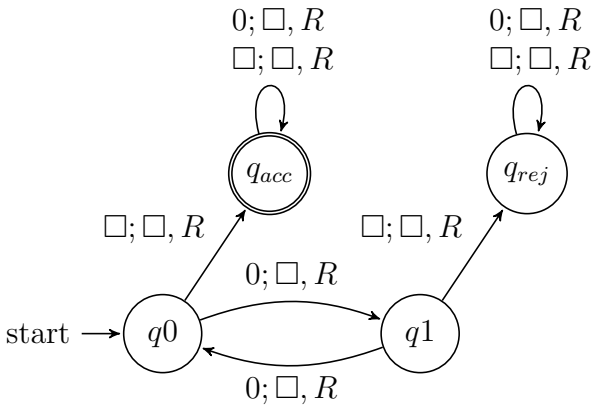
The **computation** of M on a string w over Σ is:

- Read/write head starts at leftmost position on tape.
- Input string is written on $|w|$-many leftmost cells of tape, rest of the tape cells have the blank symbol. **Tape alphabet** is Γ with $\textvisiblespace \in \Gamma$ and $\Sigma \subseteq \Gamma$. The blank symbol $\textvisiblespace \notin \Sigma$.
- Given current state of machine and current symbol being read at the tape head, the machine transitions to next state, writes a symbol to the current position of the tape head (overwriting existing symbol), and moves the tape head L or R (if possible).
- Computation ends **if and when** machine enters either the accept or the reject state. This is called **halting**. Note: $q_{accept} \neq q_{reject}$.

The **language recognized by the Turing machine** M, is $L(M) = \{w \in \Sigma^* \mid w \text{ is accepted by } M\}$, which is defined as

$$\{w \in \Sigma^* \mid \text{computation of } M \text{ on } w \text{ halts after entering the accept state}\}$$

CC BY-NC-SA 2.0 Version November 20, 2024 (8)



Formal definition:

Sample computation:

$q0 \downarrow$						
0	0	0	␣	␣	␣	␣

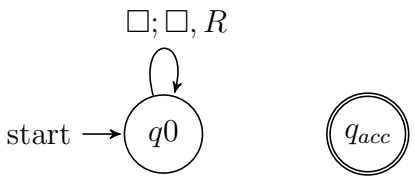
The language recognized by this machine is ...

Describing Turing machines (Sipser p. 185) To define a Turing machine, we could give a

- **Formal definition**: the 7-tuple of parameters including set of states, input alphabet, tape alphabet, transition function, start state, accept state, and reject state; or,
- **Implementation-level definition**: English prose that describes the Turing machine head movements relative to contents of tape, and conditions for accepting / rejecting based on those contents.
- **High-level description**: description of algorithm (precise sequence of instructions), without implementation details of machine. As part of this description, can "call" and run another TM as a subroutine.

CC BY-NC-SA 2.0 Version November 20, 2024 (9)

Fix $\Sigma = \{0, 1\}$, $\Gamma = \{0, 1, \textvisiblespace\}$ for the Turing machines with the following state diagrams:



Example of string accepted:
Example of string rejected:

Implementation-level description

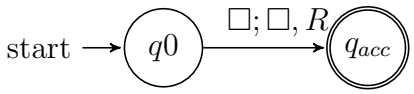
High-level description

Example of string accepted:
Example of string rejected:

Implementation-level description

High-level description

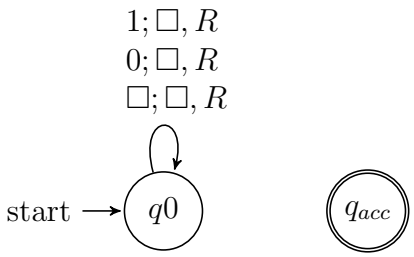
CC BY-NC-SA 2.0 Version November 20, 2024 (10)



Example of string accepted:
Example of string rejected:

Implementation-level description

High-level description



Example of string accepted:
Example of string rejected:

Implementation-level description

High-level description

CC BY-NC-SA 2.0 Version November 20, 2024 (11)