Week4 monday

Regular sets are not the end of the story

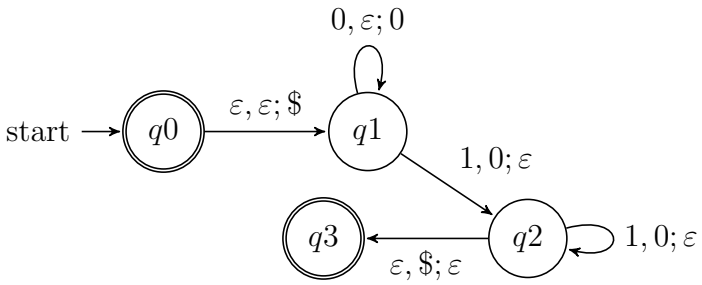
- Many nice / simple / important sets are not regular
- Limitation of the finite-state automaton model: Can't "count", Can only remember finitely far into the past, Can't backtrack, Must make decisions in "real-time"
- We know actual computers are more powerful than this model...

The **next** model of computation. Idea: allow some memory of unbounded size. How?

- To generalize regular expressions: **context-free grammars**
- To generalize NFA: **Pushdown automata**, which is like an NFA with access to a stack: Number of states is fixed, number of entries in stack is unbounded. At each step (1) Transition to new state based on current state, letter read, and top letter of stack, then (2) (Possibly) push or pop a letter to (or from) top of stack. Accept a string iff there is some sequence of states and some sequence of stack contents which helps the PDA processes the entire input string and ends in an accepting state.

Is there a PDA that recognizes the nonregular language $\{0^n1^n \mid n \geq 0\}$?

CC BY-NC-SA 2.0 Version October 19, 2024 (1)



The PDA with state diagram above can be informally described as:

> Read symbols from the input. As each 0 is read, push it onto the stack. As soon as 1s are seen, pop a 0 off the stack for each 1 read. If the stack becomes empty and we are at the end of the input string, accept the input. If the stack becomes empty and there are 1s left to read, or if 1s are finished while the stack still contains 0s, or if any 0s appear in the string following 1s, reject the input.

Trace the computation of this PDA on the input string 01.

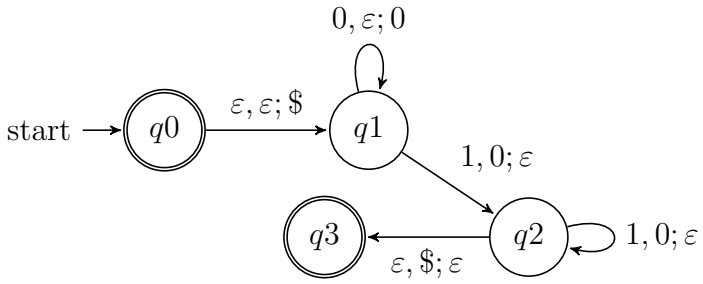
Trace the computation of this PDA on the input string 011.

CC BY-NC-SA 2.0 Version October 19, 2024 (2)

A PDA recognizing the set { } can be informally described as:

> Read symbols from the input. As each 0 is read, push it onto the stack. As soon as 1s are seen, pop a 0 off the stack for each 1 read. If the stack becomes empty and there is exactly one 1 left to read, read that 1 and accept the input. If the stack becomes empty and there are either zero or more than one 1s left to read, or if the 1s are finished while the stack still contains 0s, or if any 0s appear in the input following 1s, reject the input.

Modify the state diagram below to get a PDA that implements this description:



Week4 wednesday

Definition A **pushdown automaton** (PDA) is specified by a 6-tuple $(Q, \Sigma, \Gamma, \delta, q_0, F)$ where Q is the finite set of states, Σ is the input alphabet, Γ is the stack alphabet,

$$\delta : Q \times \Sigma_\varepsilon \times \Gamma_\varepsilon \to \mathcal{P}(Q \times \Gamma_\varepsilon)$$

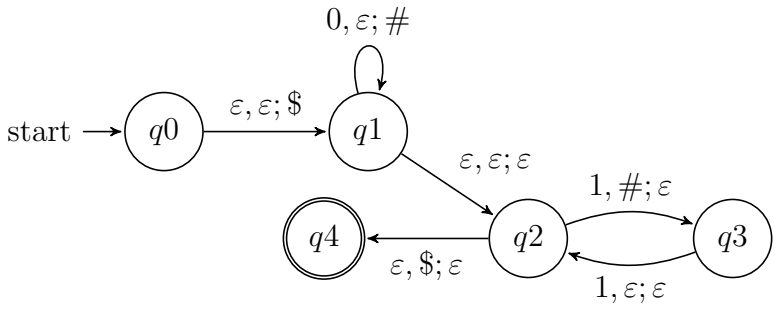
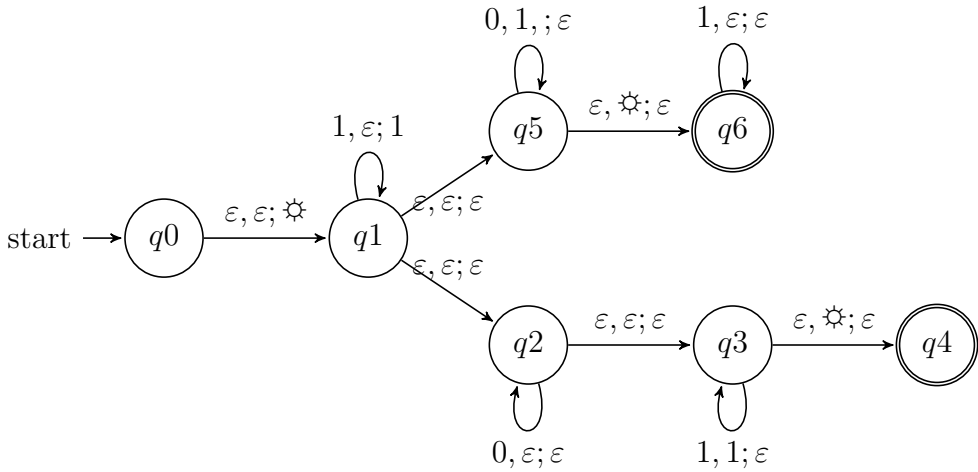
is the transition function, $q_0 \in Q$ is the start state, $F \subseteq Q$ is the set of accept states.

Draw the state diagram and give the formal definition of a PDA with $\Sigma = \Gamma$.

Draw the state diagram and give the formal definition of a PDA with $\Sigma \cap \Gamma = \emptyset$.

CC BY-NC-SA 2.0 Version October 19, 2024 (3)

For the PDA state diagrams below, $\Sigma = \{0, 1\}$.

Mathematical description of language	State diagram of PDA recognizing language
$\{0^i 1^j 0^k \mid i, j, k \geq 0\}$	$\Gamma = \{\$, \#\}$ 
	$\Gamma = \{☼, 1\}$ 

Note: alternate notation is to replace ; *with* $\rightarrow$

CC BY-NC-SA 2.0 Version October 19, 2024 (4)

Week4 friday

Big picture: PDAs were motivated by wanting to add some memory of unbounded size to NFA. How do we accomplish a similar enhancement of regular expressions to get a syntactic model that is more expressive?

DFA, NFA, PDA: Machines process one input string at a time; the computation of a machine on its input string reads the input from left to right.

Regular expressions: Syntactic descriptions of all strings that match a particular pattern; the language described by a regular expression is built up recursively according to the expression's syntax

Context-free grammars: Rules to produce one string at a time, adding characters from the middle, beginning, or end of the final string as the derivation proceeds.

Definitions below are on pages 101-102.

Term	**Typical symbol** or **Notation**	**Meaning**
Context-free grammar (CFG)	G	$G = (V, \Sigma, R, S)$
The set of **variables**	V	Finite set of symbols that represent phases in production pattern
The set of **terminals**	Σ	Alphabet of symbols of strings generated by CFG $V \cap \Sigma = \emptyset$
The set of **rules**	R	Each rule is $A \to u$ with $A \in V$ and $u \in (V \cup \Sigma)^*$
The **start** variable	S	Usually on left-hand-side of first/ topmost rule
Derivation	$S \Rightarrow \cdots \Rightarrow w$	Sequence of substitutions in a CFG (also written $S \Rightarrow^* w$). At each step, we can apply one rule to one occurrence of a variable in the current string by substituting that occurrence of the variable with the right-hand-side of the rule. The derivation must end when the current string has only terminals (no variables) because then there are no instances of variables to apply a rule to.
Language **generated** by the context-free grammar G	$L(G)$	The set of strings for which there is a derivation in G. Symbolically: $\{w \in \Sigma^* \mid S \Rightarrow^* w\}$ i.e. $\{w \in \Sigma^* \mid$ there is derivation in G that ends in $w\}$
Context-free language		A language that is the language generated by some context-free grammar

CC BY-NC-SA 2.0 Version October 19, 2024 (5)

Examples of context-free grammars, derivations in those grammars, and the languages generated by those grammars

$G_1 = (\{S\}, \{0\}, R, S)$ with rules

$$S \to 0S$$
$$S \to 0$$

In $L(G_1)$ ...

Not in $L(G_1)$ ...

CC BY-NC-SA 2.0 Version October 19, 2024 (6)

$G_2 = (\{S\}, \{0, 1\}, R, S)$

$$S \to 0S \mid 1S \mid \varepsilon$$

In $L(G_2)$ ...

Not in $L(G_2)$ ...

$(\{S, T\}, \{0, 1\}, R, S)$ with rules

$$S \to T1T1T1T$$
$$T \to 0T \mid 1T \mid \varepsilon$$

In $L(G_3)$ ...

Not in $L(G_3)$ ...

CC BY-NC-SA 2.0 Version October 19, 2024 (7)

$G_4 = (\{A, B\}, \{0, 1\}, R, A)$ with rules

$$A \to 0A0 \mid 0A1 \mid 1A0 \mid 1A1 \mid 1$$

In $L(G_4)$ ...

Not in $L(G_4)$ ...

CC BY-NC-SA 2.0 Version October 19, 2024 (8)

Design a CFG to generate the language $\{a^n b^n \mid n \geq 0\}$

Sample derivation:

CC BY-NC-SA 2.0 Version October 19, 2024 (9)

Week3 wednesday

Definition and Theorem: For an alphabet Σ, a language L over Σ is called **regular** exactly when L is recognized by some DFA, which happens exactly when L is recognized by some NFA, and happens exactly when L is described by some regular expression

We saw that: The class of regular languages is closed under complementation, union, intersection, set-wise concatenation, and Kleene star.

Prove or Disprove: There is some alphabet Σ for which there is some language recognized by an NFA but not by any DFA.

Prove or Disprove: There is some alphabet Σ for which there is some finite language not described by any regular expression over Σ.

Prove or Disprove: If a language is recognized by an NFA then the complement of this language is not recognized by any DFA.

CC BY-NC-SA 2.0 Version October 19, 2024 (10)

Fix alphabet Σ. Is every language L over Σ regular?

Set	Cardinality
$\{0,1\}$	
$\{0,1\}^*$	
$\mathcal{P}(\{0,1\})$	
The set of all languages over $\{0,1\}$	
The set of all regular expressions over $\{0,1\}$	
The set of all regular languages over $\{0,1\}$	

Strategy: Find an **invariant** property that is true of all regular languages. When analyzing a given language, if the invariant is not true about it, then the language is not regular.

CC BY-NC-SA 2.0 Version October 19, 2024 (11)

Pumping Lemma (Sipser Theorem 1.70): If A is a regular language, then there is a number p (a *pumping length*) where, if s is any string in A of length at least p, then s may be divided into three pieces, $s = xyz$ such that

- $|y| > 0$
- for each $i \geq 0$, $xy^iz \in A$
- $|xy| \leq p$.

Proof illustration

True or False: A pumping length for $A = \{0,1\}^*$ is $p = 5$.

CC BY-NC-SA 2.0 Version October 19, 2024 (12)

Week3 friday

Recap so far: In DFA, the only memory available is in the states. Automata can only "remember" finitely far in the past and finitely much information, because they can have only finitely many states. If a computation path of a DFA visits the same state more than once, the machine can't tell the difference between the first time and future times it visits this state. Thus, if a DFA accepts one long string, then it must accept (infinitely) many similar strings.

Definition A positive integer p is a **pumping length** of a language L over Σ means that, for each string $s \in \Sigma^*$, if $|s| \geq p$ and $s \in L$, then there are strings x, y, z such that

$$s = xyz$$

and

$$|y| > 0, \qquad \text{for each } i \geq 0,\ xy^iz \in L, \qquad \text{and} \qquad |xy| \leq p.$$

Negation: A positive integer p is **not a pumping length** of a language L over Σ iff

$$\exists s \left(\ |s| \geq p \wedge s \in L \wedge \forall x \forall y \forall z \left(\ (s = xyz \wedge |y| > 0 \wedge |xy| \leq p \ ) \rightarrow \exists i (i \geq 0 \wedge xy^iz \notin L) \right) \ \right)$$

Informally:

Restating **Pumping Lemma**: If L is a regular language, then it has a pumping length.

Contrapositive: If L has no pumping length, then it is nonregular.

The Pumping Lemma *cannot* be used to prove that a language *is* regular.

The Pumping Lemma **can** be used to prove that a language *is not* regular.

Extra practice: Exercise 1.49 in the book.

Proof strategy: To prove that a language L is **not** regular,

- Consider an arbitrary positive integer p
- Prove that p is not a pumping length for L
- Conclude that L does not have *any* pumping length, and therefore it is not regular.

CC BY-NC-SA 2.0 Version October 19, 2024 (13)

Example: $\Sigma = \{0, 1\}$, $L = \{0^n 1^n \mid n \geq 0\}$.

Fix p an arbitrary positive integer. List strings that are in L and have length greater than or equal to p:

Pick $s =$

Suppose $s = xyz$ with $|xy| \leq p$ and $|y| > 0$.

Then when $i =$, $xy^i z =$

CC BY-NC-SA 2.0 Version October 19, 2024 (14)

Example: $\Sigma = \{0, 1\}$, $L = \{ww^{\mathcal{R}} \mid w \in \{0, 1\}^*\}$. Remember that the reverse of a string w is denoted $w^{\mathcal{R}}$ and means to write w in the opposite order, if $w = w_1 \cdots w_n$ then $w^{\mathcal{R}} = w_n \cdots w_1$. Note: $\varepsilon^{\mathcal{R}} = \varepsilon$.

Fix p an arbitrary positive integer. List strings that are in L and have length greater than or equal to p:

Pick $s =$

Suppose $s = xyz$ with $|xy| \leq p$ and $|y| > 0$.

Then when $i =$, $xy^i z =$

Example: $\Sigma = \{0, 1\}$, $L = \{0^j 1^k \mid j \geq k \geq 0\}$.

Fix p an arbitrary positive integer. List strings that are in L and have length greater than or equal to p:

Pick $s =$

Suppose $s = xyz$ with $|xy| \leq p$ and $|y| > 0$.

Then when $i =$, $xy^i z =$

Example: $\Sigma = \{0, 1\}$, $L = \{0^n 1^m 0^n \mid m, n \geq 0\}$.

Fix p an arbitrary positive integer. List strings that are in L and have length greater than or equal to p:

Pick $s =$

Suppose $s = xyz$ with $|xy| \leq p$ and $|y| > 0$.

Then when $i =$, $xy^i z =$

CC BY-NC-SA 2.0 Version October 19, 2024 (15)

Extra practice:

Language	$s \in L$	$s \notin L$	Is the language regular or nonregular?
$\{a^n b^n \mid 0 \leq n \leq 5\}$			
$\{b^n a^n \mid n \geq 2\}$			
$\{a^m b^n \mid 0 \leq m \leq n\}$			
$\{a^m b^n \mid m \geq n+3, n \geq 0\}$			
$\{b^m a^n \mid m \geq 1, n \geq 3\}$			
$\{w \in \{a,b\}^* \mid w = w^{\mathcal{R}}\}$			
$\{ww^{\mathcal{R}} \mid w \in \{a,b\}^*\}$			

CC BY-NC-SA 2.0 Version October 19, 2024 (16)