Week10 friday

NP-Complete Problems

3SAT: A literal is a Boolean variable (e.g. x) or a negated Boolean variable (e.g. \bar{x}). A Boolean formula is a **3cnf-formula** if it is a Boolean formula in conjunctive normal form (a conjunction of disjunctive clauses of literals) and each clause has three literals.

$$3SAT = \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable 3cnf-formula} \}$$

Example string in 3SAT

$$\langle (x \vee \bar{y} \vee \bar{z}) \wedge (\bar{x} \vee y \vee z) \wedge (x \vee y \vee z) \rangle$$

Example string not in 3SAT

$$\langle (x \vee y \vee z) \wedge (x \vee y \vee \bar{z}) \wedge (x \vee \bar{y} \vee z) \wedge (x \vee \bar{y} \vee \bar{z}) \wedge (\bar{x} \vee y \vee z) \wedge (\bar{x} \vee y \vee \bar{z}) \wedge (\bar{x} \vee \bar{y} \vee z) \wedge (\bar{x} \vee \bar{y} \vee \bar{z}) \rangle$$

Cook-Levin Theorem: 3SAT is NP-complete.

Are there other NP-complete problems? To prove that X is NP-complete

- From scratch: prove X is in NP and that all NP problems are polynomial-time reducible to X.
- Using reduction: prove X is in NP and that a known-to-be NP-complete problem is polynomial-time reducible to X.



$$CLIQUE = \{\langle G, k \rangle \mid G \text{ is an undirected graph with a } k\text{-clique}\}$$

Example string in CLIQUE

Example string not in CLIQUE

Theorem (Sipser 7.32):

$$3SAT <_{P} CLIQUE$$

Given a Boolean formula in conjunctive normal form with k clauses and three literals per clause, we will map it to a graph so that the graph has a clique if the original formula is satisfiable and the graph does not have a clique if the original formula is not satisfiable.

The graph has 3k vertices (one for each literal in each clause) and an edge between all vertices except

- vertices for two literals in the same clause
- vertices for literals that are negations of one another

Example: $(x \vee \bar{y} \vee \bar{z}) \wedge (\bar{x} \vee y \vee z) \wedge (x \vee y \vee z)$

| Model of Computation | Class of Languages |
|---|---|
| Deterministic finite automata: formal definition, how to design for a given language, how to describe language of a machine? Nondeterministic finite automata: formal definition, how to design for a given language, how to describe language of a machine? Regular expressions: formal definition, how to design for a given language, how to describe language of expression? Also: converting between different models. | Class of regular languages: what are the closure properties of this class? which languages are not in the class? using pumping lemma to prove nonregularity. |
| Push-down automata: formal definition, how to design for a given language, how to describe language of a machine? Context-free grammars: formal definition, how to design for a given language, how to describe language of a grammar? | Class of context-free languages: what are the closure properties of this class? which languages are not in the class? |
| Turing machines that always halt in polynomial time Nondeterministic Turing machines that always halt in polynomial time | P NP |
| Deciders (Turing machines that always halt): formal definition, how to design for a given language, how to describe language of a machine? | Class of decidable languages: what are the closure properties of this class? which languages are not in the class? using diagonalization and mapping reduction to show undecidability |
| Turing machines formal definition, how to design for a given language, how to describe language of a machine? | Class of recognizable languages: what are the closure properties of this class? which languages are not in the class? using closure and mapping reduction to show unrecognizability |

| Given | a | language, | prove | it | is | regui | ar |
|-------|---|-----------|-------|----|----|-------|-----|
| Given | а | ianguage, | prove | 16 | 15 | regu | lai |

Strategy 1: construct DFA recognizing the language and prove it works.

Strategy 2: construct NFA recognizing the language and prove it works.

Strategy 3: construct regular expression recognizing the language and prove it works.

"Prove it works" means . . .

Example: $L = \{w \in \{0,1\}^* \mid w \text{ has odd number of 1s or starts with } 0\}$

Using NFA

Using regular expressions

Example: Select all and only the options that result in a true statement: "To show a language A is not regular, we can..."

- a. Show A is finite
- b. Show there is a CFG generating A
- c. Show A has no pumping length
- d. Show A is undecidable

Example: What is the language generated by the CFG with rules

$$S \rightarrow aSb \mid bY \mid Ya$$

$$Y \rightarrow bY \mid Ya \mid \varepsilon$$



| Example: Prove that the class of decidable languages is closed under concatenation. | | | | | | | | |
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