

Week5 monday

Warmup: Design a CFG to generate the language $\{a^i b^j \mid j \geq i \geq 0\}$

Sample derivation:

Design a PDA to recognize the language $\{a^i b^j \mid j \geq i \geq 0\}$

Theorem 2.20: A language is generated by some context-free grammar if and only if it is recognized by some push-down automaton.

Definition: a language is called **context-free** if it is the language generated by a context-free grammar. The class of all context-free language over a given alphabet Σ is called **CFL**.

Consequences:

- Quick proof that every regular language is context free
- To prove closure of the class of context-free languages under a given operation, we can choose either of two modes of proof (via CFGs or PDAs) depending on which is easier
- To fully specify a PDA we could give its 6-tuple formal definition or we could give its input alphabet, stack alphabet, and state diagram. An informal description of a PDA is a step-by-step description of how its computations would process input strings; the reader should be able to reconstruct the state diagram or formal definition precisely from such a description. The informal description of a PDA can refer to some common modules or subroutines that are computable by PDAs:
 - PDAs can “test for emptiness of stack” without providing details. *How?* We can always push a special end-of-stack symbol, $\$$, at the start, before processing any input, and then use this symbol as a flag.
 - PDAs can “test for end of input” without providing details. *How?* We can transform a PDA to one where accepting states are only those reachable when there are no more input symbols.

Suppose L_1 and L_2 are context-free languages over Σ . **Goal:** $L_1 \cup L_2$ is also context-free.

Approach 1: with PDAs

Let $M_1 = (Q_1, \Sigma, \Gamma_1, \delta_1, q_1, F_1)$ and $M_2 = (Q_2, \Sigma, \Gamma_2, \delta_2, q_2, F_2)$ be PDAs with $L(M_1) = L_1$ and $L(M_2) = L_2$.

Define $M =$

Approach 2: with CFGs

Let $G_1 = (V_1, \Sigma, R_1, S_1)$ and $G_2 = (V_2, \Sigma, R_2, S_2)$ be CFGs with $L(G_1) = L_1$ and $L(G_2) = L_2$.

Define $G =$

Suppose L_1 and L_2 are context-free languages over Σ . **Goal:** $L_1 \circ L_2$ is also context-free.

Approach 1: with PDAs

Let $M_1 = (Q_1, \Sigma, \Gamma_1, \delta_1, q_1, F_1)$ and $M_2 = (Q_2, \Sigma, \Gamma_2, \delta_2, q_2, F_2)$ be PDAs with $L(M_1) = L_1$ and $L(M_2) = L_2$.

Define $M =$

Approach 2: with CFGs

Let $G_1 = (V_1, \Sigma, R_1, S_1)$ and $G_2 = (V_2, \Sigma, R_2, S_2)$ be CFGs with $L(G_1) = L_1$ and $L(G_2) = L_2$.

Define $G =$

Week5 wednesday

Summary

Over a fixed alphabet Σ , a language L is **regular**

- iff it is described by some regular expression
- iff it is recognized by some DFA
- iff it is recognized by some NFA

Over a fixed alphabet Σ , a language L is **context-free**

- iff it is generated by some CFG
- iff it is recognized by some PDA

Fact: Every regular language is a context-free language.

Fact: There are context-free languages that are not nonregular.

Fact: There are countably many regular languages.

Fact: There are countably infinitely many context-free languages.

Consequence: Most languages are **not** context-free!

Examples of non-context-free languages

$$\begin{aligned} &\{a^n b^n c^n \mid 0 \leq n, n \in \mathbb{Z}\} \\ &\{a^i b^j c^k \mid 0 \leq i \leq j \leq k, i \in \mathbb{Z}, j \in \mathbb{Z}, k \in \mathbb{Z}\} \\ &\{ww \mid w \in \{0,1\}^*\} \end{aligned}$$

(Sipser Ex 2.36, Ex 2.37, 2.38)

There is a Pumping Lemma for CFL that can be used to prove a specific language is non-context-free: If A is a context-free language, there is a number p where, if s is any string in A of length at least p , then s may be divided into five pieces $s = uvxyz$ where (1) for each $i \geq 0$, $uv^i xy^i z \in A$, (2) $|uv| > 0$, (3) $|vxy| \leq p$. *We will not go into the details of the proof or application of Pumping Lemma for CFLs this quarter.*

Recall: A set X is said to be **closed** under an operation OP if, for any elements in X , applying OP to them gives an element in X .

| True/False | Closure claim |
|------------|---|
| True | The set of integers is closed under multiplication. $\forall x \forall y ((x \in \mathbb{Z} \wedge y \in \mathbb{Z}) \rightarrow xy \in \mathbb{Z})$ |
| True | For each set A , the power set of A is closed under intersection. $\forall A_1 \forall A_2 ((A_1 \in \mathcal{P}(A) \wedge A_2 \in \mathcal{P}(A)) \rightarrow A_1 \cap A_2 \in \mathcal{P}(A))$ |
| | The class of regular languages over Σ is closed under complementation. |
| | The class of regular languages over Σ is closed under union. |
| | The class of regular languages over Σ is closed under intersection. |
| | The class of regular languages over Σ is closed under concatenation. |
| | The class of regular languages over Σ is closed under Kleene star. |
| | The class of context-free languages over Σ is closed under complementation. |
| | The class of context-free languages over Σ is closed under union. |
| | The class of context-free languages over Σ is closed under intersection. |
| | The class of context-free languages over Σ is closed under concatenation. |
| | The class of context-free languages over Σ is closed under Kleene star. |

Week5 friday

We are ready to introduce a formal model that will capture a notion of general purpose computation.

- *Similar to DFA, NFA, PDA*: input will be an arbitrary string over a fixed alphabet.
- *Different from NFA, PDA*: machine is deterministic.
- *Different from DFA, NFA, PDA*: read-write head can move both to the left and to the right, and can extend to the right past the original input.
- *Similar to DFA, NFA, PDA*: transition function drives computation one step at a time by moving within a finite set of states, always starting at designated start state.
- *Different from DFA, NFA, PDA*: the special states for rejecting and accepting take effect immediately.

(See more details: Sipser p. 166)

Formally: a Turing machine is $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$ where δ is the **transition function**

$$\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$$

The **computation** of M on a string w over Σ is:

- Read/write head starts at leftmost position on tape.
- Input string is written on $|w|$ -many leftmost cells of tape, rest of the tape cells have the blank symbol. **Tape alphabet** is Γ with $\sqcup \in \Gamma$ and $\Sigma \subseteq \Gamma$. The blank symbol $\sqcup \notin \Sigma$.
- Given current state of machine and current symbol being read at the tape head, the machine transitions to next state, writes a symbol to the current position of the tape head (overwriting existing symbol), and moves the tape head L or R (if possible).
- Computation ends **if and when** machine enters either the accept or the reject state. This is called **halting**. Note: $q_{accept} \neq q_{reject}$.

The **language recognized by the Turing machine** M , is $L(M) = \{w \in \Sigma^* \mid w \text{ is accepted by } M\}$, which is defined as

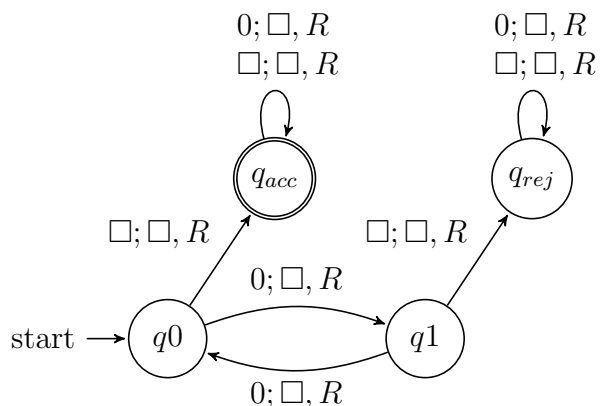
$$\{w \in \Sigma^* \mid \text{computation of } M \text{ on } w \text{ halts after entering the accept state}\}$$

definition:

Sample computation:

| $q0 \downarrow$ | | | | | | |
|-----------------|---|---|-----------|-----------|-----------|-----------|
| 0 | 0 | 0 | \square | \square | \square | \square |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

Formal



The language recognized by this machine is ...

Describing Turing machines (Sipser p. 185) To define a Turing machine, we could give a

- **Formal definition:** the 7-tuple of parameters including set of states, input alphabet, tape alphabet, transition function, start state, accept state, and reject state; or,
- **Implementation-level definition:** English prose that describes the Turing machine head movements relative to contents of tape, and conditions for accepting / rejecting based on those contents.
- **High-level description:** description of algorithm (precise sequence of instructions), without implementation details of machine. As part of this description, can “call” and run another TM as a subroutine.

Fix $\Sigma = \{0, 1\}$, $\Gamma = \{0, 1, \sqcup\}$ for the Turing machines with the following state diagrams:



Example of string accepted:

Example of string rejected:

Implementation-level description

High-level description



Example of string accepted:

Example of string rejected:

Implementation-level description

High-level description



Example of string accepted:

Example of string rejected:

Implementation-level description

High-level description



Example of string accepted:

Example of string rejected:

Implementation-level description

High-level description

Week4 wednesday

Definition A **pushdown automaton** (PDA) is specified by a 6-tuple $(Q, \Sigma, \Gamma, \delta, q_0, F)$ where Q is the finite set of states, Σ is the input alphabet, Γ is the stack alphabet,

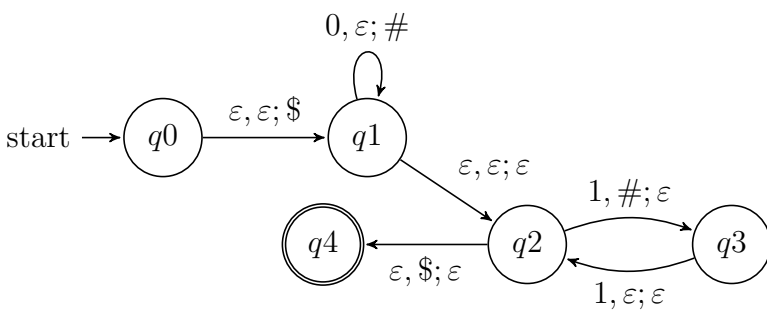
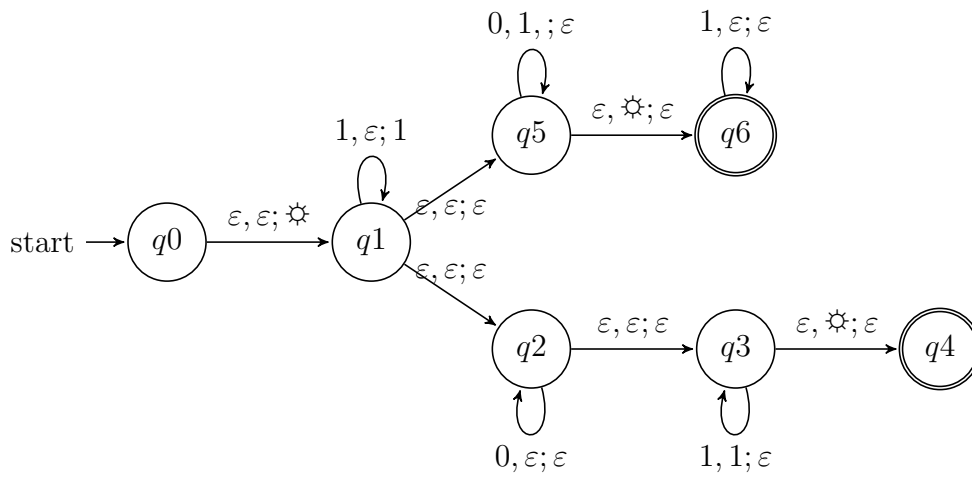
$$\delta : Q \times \Sigma_\epsilon \times \Gamma_\epsilon \rightarrow \mathcal{P}(Q \times \Gamma_\epsilon)$$

is the transition function, $q_0 \in Q$ is the start state, $F \subseteq Q$ is the set of accept states.

Draw the state diagram and give the formal definition of a PDA with $\Sigma = \Gamma$.

Draw the state diagram and give the formal definition of a PDA with $\Sigma \cap \Gamma = \emptyset$.

For the PDA state diagrams below, $\Sigma = \{0, 1\}$.

| Mathematical description of language | State diagram of PDA recognizing language |
|--------------------------------------|---|
| | $\Gamma = \{\$, \#\}$  |
| | $\Gamma = \{\odot, 1\}$  |

$$\{0^i 1^j 0^k \mid i, j, k \geq 0\}$$

Note: alternate notation is to replace ; with \rightarrow

Week4 friday

Big picture: PDAs were motivated by wanting to add some memory of unbounded size to NFA. How do we accomplish a similar enhancement of regular expressions to get a syntactic model that is more expressive?

DFA, NFA, PDA: Machines process one input string at a time; the computation of a machine on its input string reads the input from left to right.

Regular expressions: Syntactic descriptions of all strings that match a particular pattern; the language described by a regular expression is built up recursively according to the expression's syntax

Context-free grammars: Rules to produce one string at a time, adding characters from the middle, beginning, or end of the final string as the derivation proceeds.

Definitions below are on pages 101-102.

| Term | Typical symbol or Notation | Meaning |
|---|-------------------------------------|--|
| Context-free grammar (CFG) | G | $G = (V, \Sigma, R, S)$ |
| The set of variables | V | Finite set of symbols that represent phases in production pattern |
| The set of terminals | Σ | Alphabet of symbols of strings generated by CFG $V \cap \Sigma = \emptyset$ |
| The set of rules | R | Each rule is $A \rightarrow u$ with $A \in V$ and $u \in (V \cup \Sigma)^*$ |
| The start variable | S | Usually on left-hand-side of first/ topmost rule |
| Derivation | $S \Rightarrow \dots \Rightarrow w$ | Sequence of substitutions in a CFG (also written $S \Rightarrow^* w$). At each step, we can apply one rule to one occurrence of a variable in the current string by substituting that occurrence of the variable with the right-hand-side of the rule. The derivation must end when the current string has only terminals (no variables) because then there are no instances of variables to apply a rule to. |
| Language generated by the context-free grammar G | $L(G)$ | The set of strings for which there is a derivation in G . Symbolically: $\{w \in \Sigma^* \mid S \Rightarrow^* w\}$ i.e. $\{w \in \Sigma^* \mid \text{there is derivation in } G \text{ that ends in } w\}$ |
| Context-free language | | A language that is the language generated by some context-free grammar |

Examples of context-free grammars, derivations in those grammars, and the languages generated by those grammars

$G_1 = (\{S\}, \{0\}, R, S)$ with rules

$$S \rightarrow 0S$$

$$S \rightarrow 0$$

In $L(G_1)$...

Not in $L(G_1)$...

$$G_2 = (\{S\}, \{0, 1\}, R, S)$$

$$S \rightarrow 0S \mid 1S \mid \varepsilon$$

In $L(G_2) \dots$

Not in $L(G_2) \dots$

$(\{S, T\}, \{0, 1\}, R, S)$ with rules

$$S \rightarrow T1T1T1T$$

$$T \rightarrow 0T \mid 1T \mid \varepsilon$$

In $L(G_3) \dots$

Not in $L(G_3) \dots$

$G_4 = (\{A, B\}, \{0, 1\}, R, A)$ with rules

$$A \rightarrow 0A0 \mid 0A1 \mid 1A0 \mid 1A1 \mid 1$$

In $L(G_4)$...

Not in $L(G_4)$...

Design a CFG to generate the language $\{a^n b^n \mid n \geq 0\}$

Sample derivation:

Week3 wednesday

Definition and Theorem: For an alphabet Σ , a language L over Σ is called **regular** exactly when L is recognized by some DFA, which happens exactly when L is recognized by some NFA, and happens exactly when L is described by some regular expression

We saw that: The class of regular languages is closed under complementation, union, intersection, set-wise concatenation, and Kleene star.

Prove or Disprove: There is some alphabet Σ for which there is some language recognized by an NFA but not by any DFA.

Prove or Disprove: There is some alphabet Σ for which there is some finite language not described by any regular expression over Σ .

Prove or Disprove: If a language is recognized by an NFA then the complement of this language is not recognized by any DFA.

Fix alphabet Σ . Is every language L over Σ regular?

| Set | Cardinality |
|--|-------------|
| $\{0, 1\}$ | |
| $\{0, 1\}^*$ | |
| $\mathcal{P}(\{0, 1\})$ | |
| The set of all languages over $\{0, 1\}$ | |
| The set of all regular expressions over $\{0, 1\}$ | |
| The set of all regular languages over $\{0, 1\}$ | |

Strategy: Find an **invariant** property that is true of all regular languages. When analyzing a given language, if the invariant is not true about it, then the language is not regular.

Pumping Lemma (Sipser Theorem 1.70): If A is a regular language, then there is a number p (a *pumping length*) where, if s is any string in A of length at least p , then s may be divided into three pieces, $s = xyz$ such that

- $|y| > 0$
- for each $i \geq 0$, $xy^iz \in A$
- $|xy| \leq p$.

Proof illustration

True or False: A pumping length for $A = \{0, 1\}^*$ is $p = 5$.

Week3 friday

Recap so far: In DFA, the only memory available is in the states. Automata can only “remember” finitely far in the past and finitely much information, because they can have only finitely many states. If a computation path of a DFA visits the same state more than once, the machine can’t tell the difference between the first time and future times it visits this state. Thus, if a DFA accepts one long string, then it must accept (infinitely) many similar strings.

Definition A positive integer p is a **pumping length** of a language L over Σ means that, for each string $s \in \Sigma^*$, if $|s| \geq p$ and $s \in L$, then there are strings x, y, z such that

$$s = xyz$$

and

$$|y| > 0, \quad \text{for each } i \geq 0, xy^iz \in L, \quad \text{and} \quad |xy| \leq p.$$

Negation: A positive integer p is **not a pumping length** of a language L over Σ iff

$$\exists s (|s| \geq p \wedge s \in L \wedge \forall x \forall y \forall z ((s = xyz \wedge |y| > 0 \wedge |xy| \leq p) \rightarrow \exists i (i \geq 0 \wedge xy^iz \notin L)))$$

Informally:

Restating **Pumping Lemma**: If L is a regular language, then it has a pumping length.

Contrapositive: If L has no pumping length, then it is nonregular.

The Pumping Lemma *cannot* be used to prove that a language *is* regular.

The Pumping Lemma **can** be used to prove that a language *is not* regular.

Extra practice: Exercise 1.49 in the book.

Proof strategy: To prove that a language L is **not** regular,

- Consider an arbitrary positive integer p
- Prove that p is not a pumping length for L
- Conclude that L does not have *any* pumping length, and therefore it is not regular.

Example: $\Sigma = \{0, 1\}$, $L = \{0^n 1^n \mid n \geq 0\}$.

Fix p an arbitrary positive integer. List strings that are in L and have length greater than or equal to p :

Pick $s =$

Suppose $s = xyz$ with $|xy| \leq p$ and $|y| > 0$.

Then when $i =$, $xy^iz =$

Example: $\Sigma = \{0, 1\}$, $L = \{ww^{\mathcal{R}} \mid w \in \{0, 1\}^*\}$. Remember that the reverse of a string w is denoted $w^{\mathcal{R}}$ and means to write w in the opposite order, if $w = w_1 \cdots w_n$ then $w^{\mathcal{R}} = w_n \cdots w_1$. Note: $\varepsilon^{\mathcal{R}} = \varepsilon$.

Fix p an arbitrary positive integer. List strings that are in L and have length greater than or equal to p :

Pick $s =$

Suppose $s = xyz$ with $|xy| \leq p$ and $|y| > 0$.

Then when $i =$, $xy^iz =$

Example: $\Sigma = \{0, 1\}$, $L = \{0^j1^k \mid j \geq k \geq 0\}$.

Fix p an arbitrary positive integer. List strings that are in L and have length greater than or equal to p :

Pick $s =$

Suppose $s = xyz$ with $|xy| \leq p$ and $|y| > 0$.

Then when $i =$, $xy^iz =$

Example: $\Sigma = \{0, 1\}$, $L = \{0^n1^m0^n \mid m, n \geq 0\}$.

Fix p an arbitrary positive integer. List strings that are in L and have length greater than or equal to p :

Pick $s =$

Suppose $s = xyz$ with $|xy| \leq p$ and $|y| > 0$.

Then when $i =$, $xy^iz =$

Extra practice:

| Language | $s \in L$ | $s \notin L$ | Is the language regular or nonregular? |
|---|-----------|--------------|--|
| $\{a^n b^n \mid 0 \leq n \leq 5\}$ | | | |
| $\{b^n a^n \mid n \geq 2\}$ | | | |
| $\{a^m b^n \mid 0 \leq m \leq n\}$ | | | |
| $\{a^m b^n \mid m \geq n + 3, n \geq 0\}$ | | | |
| $\{b^m a^n \mid m \geq 1, n \geq 3\}$ | | | |
| $\{w \in \{a, b\}^* \mid w = w^R\}$ | | | |
| $\{ww^R \mid w \in \{a, b\}^*\}$ | | | |