Week9 wednesday

Recall: A is **mapping reducible to** B, written $A \leq_m B$, means there is a computable function $f: \Sigma^* \to \Sigma^*$ such that for all strings x in Σ^* ,

$$x \in A$$

if and only if

$$f(x) \in B$$
.

True or False: $\overline{A_{TM}} \leq_m \overline{HALT_{TM}}$

True or False: $HALT_{TM} \leq_m A_{TM}$.

Theorem (Sipser 5.28): If $A \leq_m B$ and B is recognizable, then A is recognizable.

Proof:

Corollary: If $A \leq_m B$ and A is unrecognizable, then B is unrecognizable.

Strategy:

- (i) To prove that a recognizable language R is undecidable, prove that $A_{TM} \leq_m R$.
- (ii) To prove that a co-recognizable language U is undecidable, prove that $\overline{A_{TM}} \leq_m U$, i.e. that $A_{TM} \leq_m \overline{U}$.

$$E_{TM} = \{ \langle M \rangle \mid M \text{ is a Turing machine and } L(M) = \emptyset \}$$

Example string in E_{TM} is ______ . Example string not in E_{TM} is _____

 E_{TM} is decidable / undecidable and recognizable / unrecognizable .

 $\overline{E_{TM}}$ is decidable / undecidable and recognizable / unrecognizable .

Claim: $\underline{\qquad} \leq_m \overline{E_{TM}}$.

Proof: Need computable function $F: \Sigma^* \to \Sigma^*$ such that $x \in A_{TM}$ iff $F(x) \notin E_{TM}$. Define

F = "On input x,

- 1. Type-check whether $x=\langle M,w\rangle$ for some TM M and string w. If so, move to step 2; if not, output
- 2. Construct the following machine M'_x :
- 3. Output $\langle M'_x \rangle$."

Verifying correctness:

| Input string | Output string |
|--|---------------|
| $\langle M, w \rangle$ where $w \in L(M)$ | |
| $\langle M, w \rangle$ where $w \notin L(M)$ | |
| x not encoding any pair of TM and string | |

Week9 friday

Recall: A is **mapping reducible to** B, written $A \leq_m B$, means there is a computable function $f: \Sigma^* \to \Sigma^*$ such that for all strings x in Σ^* ,

 $x\in A$ if and only if $f(x)\in B$. $EQ_{TM}=\{\langle M,M'\rangle\mid M \text{ and } M' \text{ are both Turing machines and } L(M)=L(M')\}$

Example string in EQ_{TM} is _______. Example string not in EQ_{TM} is ______. EQ_{TM} is decidable / undecidable and recognizable / unrecognizable . $\overline{EQ_{TM}}$ is decidable / undecidable and recognizable / unrecognizable .

To prove, show that ______ $\leq_m \overline{EQ_{TM}}$.

Verifying correctness:

| Input string | Output string |
|---|---------------|
| $\langle M, w \rangle$ where M halts on w | |
| $\langle M, w \rangle$ where M loops on w | |
| x not encoding any pair of TM and string | |

In practice, computers (and Turing machines) don't have infinite tape, and we can't afford to wait unboundedly long for an answer. "Decidable" isn't good enough - we want "Efficiently decidable".

For a given algorithm working on a given input, how long do we need to wait for an answer? How does the running time depend on the input in the worst-case? average-case? We expect to have to spend more time on computations with larger inputs.

Definition (Sipser 7.1): For M a deterministic decider, its **running time** is the function $f: \mathbb{N} \to \mathbb{N}$ given by

 $f(n) = \max$ number of steps M takes before halting, over all inputs of length n

Definition (Sipser 7.7): For each function t(n), the **time complexity class** TIME(t(n)), is defined by $TIME(t(n)) = \{L \mid L \text{ is decidable by a Turing machine with running time in } O(t(n))\}$

An example of an element of TIME(1) is

An example of an element of TIME(n) is

Note: $TIME(1) \subseteq TIME(n) \subseteq TIME(n^2)$

Definition (Sipser 7.12): P is the class of languages that are decidable in polynomial time on a deterministic 1-tape Turing machine

$$P = \bigcup_{k} TIME(n^k)$$

 $Compare\ to\ exponential\ time:\ brute-force\ search.$

Theorem (Sipser 7.8): Let t(n) be a function with $t(n) \ge n$. Then every t(n) time deterministic multitape Turing machine has an equivalent $O(t^2(n))$ time deterministic 1-tape Turing machine.

Week8 monday

Acceptance problem

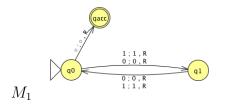
for Turing machines $A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a Turing machine that accepts input string } w \}$

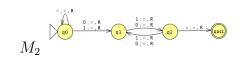
Language emptiness testing

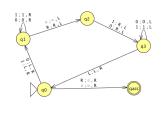
for Turing machines $E_{TM} = \{ \langle M \rangle \mid M \text{ is a Turing machine and } L(M) = \emptyset \}$

Language equality testing

for Turing machines EQ_{TM} $\{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are Turing machines and } L(M_1) = L(M_2)\}$





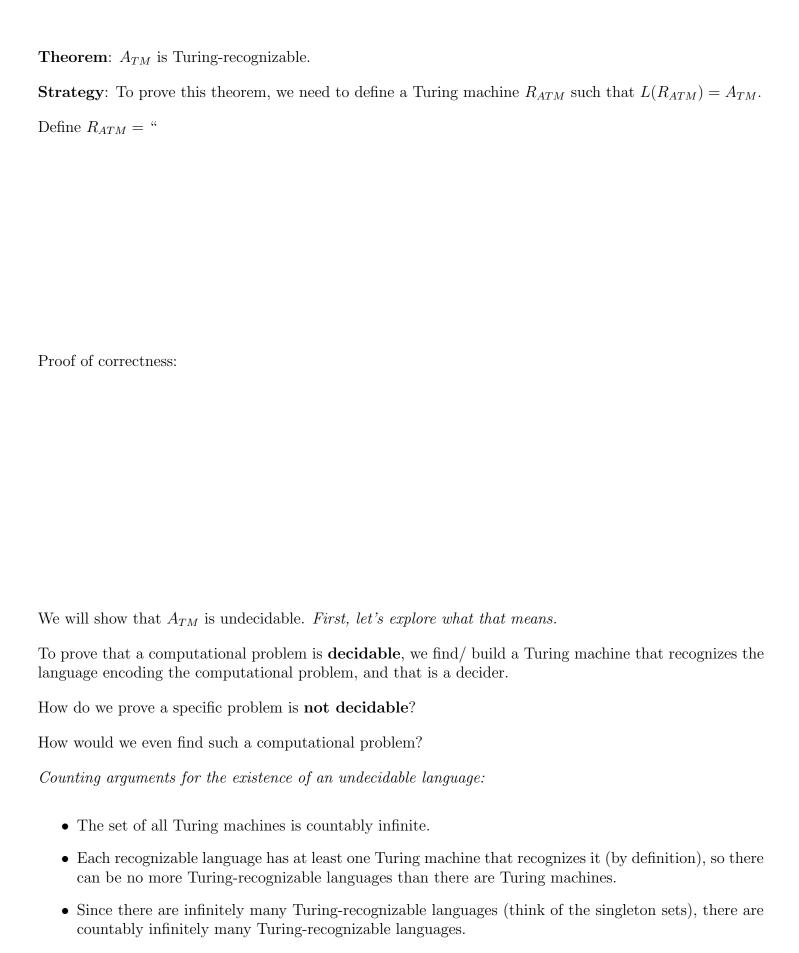


 M_3

Example strings in A_{TM}

Example strings in E_{TM}

Example strings in EQ_{TM}



• Such the set of Turing-decidable languages is an infinite subset of the set of Turing-recognizable

languages, the set of Turing-decidable languages is also countably infinite.

| Since there are uncountably many languages (because $\mathcal{P}(\Sigma^*)$ is uncountable), there are uncountably many unrecognizable languages and there are uncountably many undecidable languages. |
|--|
| Thus, there's at least one undecidable language! |
| What's a specific example of a language that is unrecognizable or undecidable? |
| To prove that a language is undecidable, we need to prove that there is no Turing machine that decides it. |
| Key idea: proof by contradiction relying on self-referential disagreement. |
| Theorem : A_{TM} is not Turing-decidable. |
| Proof : Suppose towards a contradiction that there is a Turing machine that decides A_{TM} . We call this presumed machine M_{ATM} . |
| By assumption, for every Turing machine M and every string w |
| • If $w \in L(M)$, then the computation of M_{ATM} on $\langle M, w \rangle$ |
| • If $w \notin L(M)$, then the computation of M_{ATM} on $\langle M, w \rangle$ |
| Define a new Turing machine using the high-level description: |
| $D=$ " On input $\langle M \rangle$, where M is a Turing machine: |
| 1. Run M_{ATM} on $\langle M, \langle M \rangle \rangle$. |
| 2. If M_{ATM} accepts, reject; if M_{ATM} rejects, accept." |
| Is D a Turing machine? |
| |
| Is D a decider? |
| |
| What is the result of the computation of D on $\langle D \rangle$? |
| |

Definition: A language L over an alphabet Σ is called **co-recognizable** if its complement, defined as $\Sigma^* \setminus L = \{x \in \Sigma^* \mid x \notin L\}$, is Turing-recognizable.

Theorem (Sipser Theorem 4.22): A language is Turing-decidable if and only if both it and its complement are Turing-recognizable.

Proof, first direction: Suppose language L is Turing-decidable. WTS that both it and its complement are Turing-recognizable.

Proof, second direction: Suppose language L is Turing-recognizable, and so is its complement. WTS that L is Turing-decidable.

Notation: The complement of a set X is denoted with a superscript c, X^c , or an overline, \overline{X} .

Week8 wednesday

Mapping reduction

Motivation: Proving that A_{TM} is undecidable was hard. How can we leverage that work? Can we relate the decidability / undecidability of one problem to another?

If problem X is **no harder than** problem Y

 \dots and if Y is easy,

 \dots then X must be easy too.

If problem X is **no harder than** problem Y

 \dots and if X is hard,

 \dots then Y must be hard too.

"Problem X is no harder than problem Y" means "Can answer questions about membership in X by converting them to questions about membership in Y".

Definition: A is **mapping reducible to** B means there is a computable function $f: \Sigma^* \to \Sigma^*$ such that for all strings x in Σ^* ,

 $x \in A$ if and only if $f(x) \in B$.

Notation: when A is mapping reducible to B, we write $A \leq_m B$.

Intuition: $A \leq_m B$ means A is no harder than B, i.e. that the level of difficulty of A is less than or equal the level of difficulty of B.

Computable functions

Definition: A function $f: \Sigma^* \to \Sigma^*$ is a **computable function** means there is some Turing machine such that, for each x, on input x the Turing machine halts with exactly f(x) followed by all blanks on the tape

Examples of computable functions:

The function that maps a string to a string which is one character longer and whose value, when interpreted as a fixed-width binary representation of a nonnegative integer is twice the value of the input string (when interpreted as a fixed-width binary representation of a non-negative integer)

$$f_1: \Sigma^* \to \Sigma^*$$
 $f_1(x) = x0$

To prove f_1 is computable function, we define a Turing machine computing it.

High-level description

"On input w

- 1. Append 0 to w.
- 2. Halt."

 $Implementation-level\ description$

"On input w

- 1. Sweep read-write head to the right until find first blank cell.
- 2. Write 0.
- 3. Halt."

Formal definition ($\{q0, qacc, qrej\}, \{0, 1\}, \{0, 1, \bot\}, \delta, q0, qacc, qrej$) where δ is specified by the state diagram:

The function that maps a string to the result of repeating the string twice.

$$f_2: \Sigma^* \to \Sigma^* \qquad f_2(x) = xx$$

The function that maps strings that are not the codes of Turing machines to the empty string and that maps strings that code Turing machines to the code of the related Turing machine that acts like the Turing machine coded by the input, except that if this Turing machine coded by the input tries to reject, the new machine will go into a loop.

$$f_3: \Sigma^* \to \Sigma^* \qquad f_3(x) = \begin{cases} \varepsilon & \text{if } x \text{ is not the code of a TM} \\ \langle (Q \cup \{q_{trap}\}, \Sigma, \Gamma, \delta', q_0, q_{acc}, q_{rej}) \rangle & \text{if } x = \langle (Q, \Sigma, \Gamma, \delta, q_0, q_{acc}, q_{rej}) \rangle \end{cases}$$

where $q_{trap} \notin Q$ and

$$\delta'((q,x)) = \begin{cases} (r,y,d) & \text{if } q \in Q, \ x \in \Gamma, \ \delta((q,x)) = (r,y,d), \ \text{and} \ r \neq q_{rej} \\ (q_{trap}, \neg, R) & \text{otherwise} \end{cases}$$

| The function that maps string that code CFGs to the code | _ | | o the empty string and that maps string age generated by the CFG. | S |
|---|---------------------|-------------------------------|---|----|
| $Other\ examples?$ | | | | |
| | | | | |
| Week8 friday | | | | |
| Recall definition: A is mapped that for all strings x in Σ^* , | oing reducible | e to B means there is | a computable function $f: \Sigma^* \to \Sigma^*$ such | h |
| | $x \in A$ | if and only if | $f(x) \in B$. | |
| Notation: when A is mapping | g reducible to | B , we write $A \leq_m B$. | | |
| Intuition: $A \leq_m B$ means A the level of difficulty of B . | is no harder th | nan B , i.e. that the le | evel of difficulty of A is less than or equa | ıl |
| Example: $A_{TM} \leq_m A_{TM}$ | | | | |
| Example: $A_{DFA} \leq_m \{ww \mid w\}$ | $y \in \{0,1\}^*\}$ | | | |

Theorem (Sipser 5.22): If $A \leq_m B$ and B is decidable, then A is decidable.

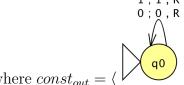
Theorem (Sipser 5.23): If $A \leq_m B$ and A is undecidable, then B is undecidable.

Halting problem

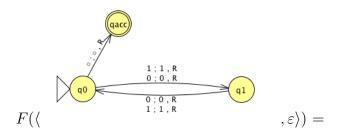
 $HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a Turing machine, } w \text{ is a string, and } M \text{ halts on } w\}$

Define $F: \Sigma^* \to \Sigma^*$ by

$$F(x) = \begin{cases} const_{out} & \text{if } x \neq \langle M, w \rangle \text{ for any Turing machine } M \text{ and string } w \text{ over the alphabet of } M \\ \langle M', w \rangle & \text{if } x = \langle M, w \rangle \text{ for some Turing machine } M \text{ and string } w \text{ over the alphabet of } M. \end{cases}$$



where $const_{out} = \langle V, \varepsilon \rangle$ and M' is a Turing machine that computes like M except, if the computation ever were to go to a reject state, M' loops instead.



To use this function to prove that $A_{TM} \leq_m HALT_{TM}$, we need two claims: Claim (1): F is computable Claim (2): for every $x, x \in A_{TM}$ iff $F(x) \in HALT_{TM}$.

Week5 monday

These definitions are on pages 101-102.

| Term | Typical symbol | Meaning |
|---|--------------------------------------|--|
| | or Notation | |
| Context-free grammar (CFG) | G | $G = (V, \Sigma, R, S)$ |
| The set of variables | V | Finite set of symbols that represent phases in pro- |
| | | duction pattern |
| The set of terminals | \sum | Alphabet of symbols of strings generated by CFG $V \cap \Sigma = \emptyset$ |
| The set of rules | R | Each rule is $A \to u$ with $A \in V$ and $u \in (V \cup \Sigma)^*$ |
| The start variable | S | Usually on left-hand-side of first/ topmost rule |
| Derivation | $S \Rightarrow \cdots \Rightarrow w$ | Sequence of substitutions in a CFG (also written $S \Rightarrow^* w$). At each step, we can apply one rule to one occurrence of a variable in the current string by substituting that occurrence of the variable with the right-hand-side of the rule. The derivation must end when the current string has only terminals (no variables) because then there are no instances of variables to apply a rule to. |
| Language generated by the context-free grammar G | L(G) | The set of strings for which there is a derivation in G . Symbolically: $\{w \in \Sigma^* \mid S \Rightarrow^* w\}$ i.e. |
| | | $\{w \in \Sigma^* \mid \text{there is derivation in } G \text{ that ends in } w\}$ |
| Context-free language | | A language that is the language generated by some context-free grammar |

Examples of context-free grammars, derivations in those grammars, and the languages generated by those grammars

$$G_1 = (\{S\}, \{0\}, R, S)$$
 with rules

$$S \to 0S$$

$$S \to 0$$

In $L(G_1)$...

Not in $L(G_1)$...



 $S \to 0S \mid 1S \mid \varepsilon$

In $L(G_2)$...

Not in $L(G_2)$...

 $(\{S, T\}, \{0, 1\}, R, S)$ with rules

$$\begin{split} S &\to T1T1T1T \\ T &\to 0T \mid 1T \mid \varepsilon \end{split}$$

In $L(G_3)$...

Not in $L(G_3)$...

 $G_4 = (\{A, B\}, \{0, 1\}, R, A)$ with rules

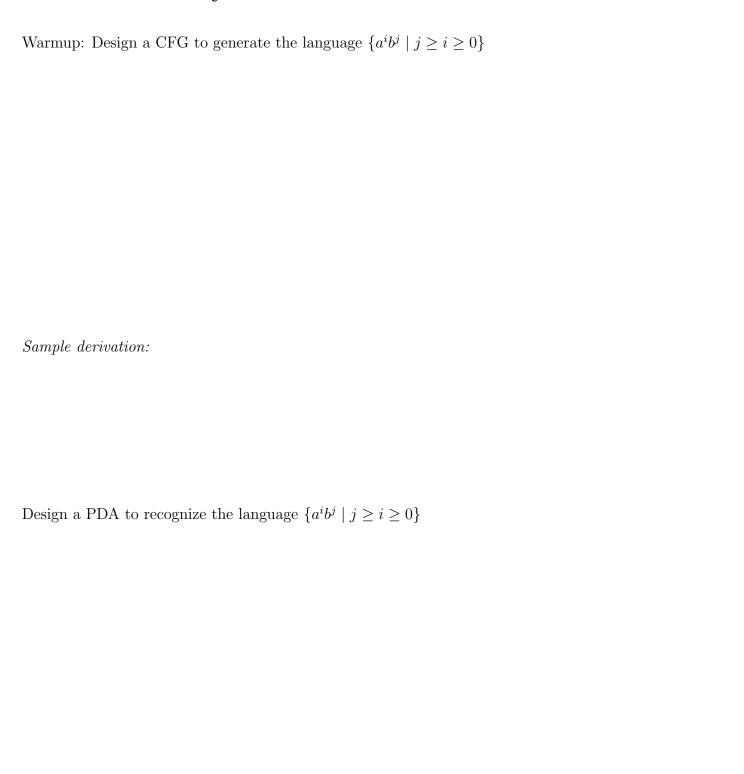
 $A \rightarrow 0A0 \mid 0A1 \mid 1A0 \mid 1A1 \mid 1$

In $L(G_4)$...

Not in $L(G_4)$...

| esign a CFG to generate the language $\{a^nb^n\mid n\geq 0\}$ | |
|---|--|
| | |
| mple derivation: | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |

Week5 wednesday



Theorem 2.20: A language is generated by some context-free grammar if and only if it is recognized by some push-down automaton.

Definition: a language is called **context-free** if it is the language generated by a context-free grammar. The class of all context-free language over a given alphabet Σ is called **CFL**.

Consequences:

- Quick proof that every regular language is context free
- To prove closure of the class of context-free languages under a given operation, we can choose either of two modes of proof (via CFGs or PDAs) depending on which is easier
- To fully specify a PDA we could give its 6-tuple formal definition or we could give its input alphabet, stack alphabet, and state diagram. An informal description of a PDA is a step-by-step description of how its computations would process input strings; the reader should be able to reconstruct the state diagram or formal definition precisely from such a descripton. The informal description of a PDA can refer to some common modules or subroutines that are computable by PDAs:
 - PDAs can "test for emptiness of stack" without providing details. *How?* We can always push a special end-of-stack symbol, \$, at the start, before processing any input, and then use this symbol as a flag.
 - PDAs can "test for end of input" without providing details. *How?* We can transform a PDA to one where accepting states are only those reachable when there are no more input symbols.

Suppose L_1 and L_2 are context-free languages over Σ . Goal: $L_1 \cup L_2$ is also context-free.

Approach 1: with PDAs

Let $M_1 = (Q_1, \Sigma, \Gamma_1, \delta_1, q_1, F_1)$ and $M_2 = (Q_2, \Sigma, \Gamma_2, \delta_2, q_2, F_2)$ be PDAs with $L(M_1) = L_1$ and $L(M_2) = L_2$.

Define M =

 $Approach\ 2:\ with\ CFGs$

Let $G_1 = (V_1, \Sigma, R_1, S_1)$ and $G_2 = (V_2, \Sigma, R_2, S_2)$ be CFGs with $L(G_1) = L_1$ and $L(G_2) = L_2$.

Define G =

Suppose L_1 and L_2 are context-free languages over Σ . Goal: $L_1 \circ L_2$ is also context-free.

Approach 1: with PDAs

Let $M_1 = (Q_1, \Sigma, \Gamma_1, \delta_1, q_1, F_1)$ and $M_2 = (Q_2, \Sigma, \Gamma_2, \delta_2, q_2, F_2)$ be PDAs with $L(M_1) = L_1$ and $L(M_2) = L_2$.

Define M =

Approach 2: with CFGs

Let $G_1 = (V_1, \Sigma, R_1, S_1)$ and $G_2 = (V_2, \Sigma, R_2, S_2)$ be CFGs with $L(G_1) = L_1$ and $L(G_2) = L_2$.

Define G =

Summary

Over a fixed alphabet Σ , a language L is **regular**

iff it is described by some regular expression iff it is recognized by some DFA iff it is recognized by some NFA

Over a fixed alphabet Σ , a language L is **context-free**

iff it is generated by some CFG iff it is recognized by some PDA

Fact: Every regular language is a context-free language.

Fact: There are context-free languages that are not nonregular.

Fact: There are countably many regular languages.

Fact: There are countably inifnitely many context-free languages.

Consequence: Most languages are **not** context-free!

Examples of non-context-free languages

$$\begin{aligned} &\{a^nb^nc^n\mid 0\leq n, n\in\mathbb{Z}\}\\ &\{a^ib^jc^k\mid 0\leq i\leq j\leq k, i\in\mathbb{Z}, j\in\mathbb{Z}, k\in\mathbb{Z}\}\\ &\{ww\mid w\in\{0,1\}^*\} \end{aligned}$$

(Sipser Ex 2.36, Ex 2.37, 2.38)

There is a Pumping Lemma for CFL that can be used to prove a specific language is non-context-free: If A is a context-free language, there there is a number p where, if s is any string in A of length at least p, then s may be divided into five pieces s = uvxyz where (1) for each $i \geq 0$, $uv^ixy^iz \in A$, (2) |uv| > 0, (3) $|vxy| \leq p$. We will not go into the details of the proof or application of Pumping Lemma for CFLs this quarter.

Week5 friday

Week4 monday

Recap so far: In DFA, the only memory available is in the states. Automata can only "remember" finitely far in the past and finitely much information, because they can have only finitely many states. If a computation path of a DFA visits the same state more than once, the machine can't tell the difference between the first time and future times it visits this state. Thus, if a DFA accepts one long string, then it must accept (infinitely) many similar strings.

Definition A positive integer p is a **pumping length** of a language L over Σ means that, for each string $s \in \Sigma^*$, if $|s| \ge p$ and $s \in L$, then there are strings x, y, z such that

$$s = xyz$$

and

$$|y| > 0$$
, for each $i \ge 0$, $xy^i z \in L$, and $|xy| \le p$.

Negation: A positive integer p is **not a pumping length** of a language L over Σ iff

$$\exists s \ (|s| \ge p \land s \in L \land \forall x \forall y \forall z \ ((s = xyz \land |y| > 0 \land |xy| \le p) \rightarrow \exists i (i \ge 0 \land xy^i z \notin L)))$$

Informally:

Restating **Pumping Lemma**: If L is a regular language, then it has a pumping length.

Contrapositive: If L has no pumping length, then it is nonregular.

The Pumping Lemma cannot be used to prove that a language is regular.

The Pumping Lemma can be used to prove that a language is not regular.

Extra practice: Exercise 1.49 in the book.

Proof strategy: To prove that a language L is **not** regular,

- Consider an arbitrary positive integer p
- Prove that p is not a pumping length for L
- Conclude that L does not have any pumping length, and therefore it is not regular.

Example: $\Sigma = \{0, 1\}, L = \{0^n 1^n \mid n \ge 0\}.$

Fix p an arbitrary positive integer. List strings that are in L and have length greater than or equal to p:

 ${\rm Pick}\ s =$

Suppose s = xyz with $|xy| \le p$ and |y| > 0.

Then when i =

 $, xy^{i}z =$

Example: $\Sigma = \{0, 1\}$, $L = \{ww^{\mathcal{R}} \mid w \in \{0, 1\}^*\}$. Remember that the reverse of a string w is denoted $w^{\mathcal{R}}$ and means to write w in the opposite order, if $w = w_1 \cdots w_n$ then $w^{\mathcal{R}} = w_n \cdots w_1$. Note: $\varepsilon^{\mathcal{R}} = \varepsilon$. Fix p an arbitrary positive integer. List strings that are in L and have length greater than or equal to p: Pick s =Suppose s = xyz with $|xy| \le p$ and |y| > 0. $, xy^iz =$ Then when i =Example: $\Sigma = \{0, 1\}, L = \{0^j 1^k \mid j \ge k \ge 0\}.$ Fix p an arbitrary positive integer. List strings that are in L and have length greater than or equal to p: Pick s =Suppose s = xyz with $|xy| \le p$ and |y| > 0. $xy^iz =$ Then when i =Example: $\Sigma = \{0, 1\}, L = \{0^n 1^m 0^n \mid m, n \ge 0\}.$ Fix p an arbitrary positive integer. List strings that are in L and have length greater than or equal to p: Pick s =Suppose s = xyz with $|xy| \le p$ and |y| > 0. $xy^iz =$ Then when i =

Extra practice:

| $s \in L$ | $s \notin L$ | Is the language regular or nonregular? |
|-----------|--------------|--|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | $s \in L$ | $s \in L \qquad \qquad s \notin L$ |

Week4 wednesday

Regular sets are not the end of the story

- Many nice / simple / important sets are not regular
- Limitation of the finite-state automaton model: Can't "count", Can only remember finitely far into the past, Can't backtrack, Must make decisions in "real-time"
- We know actual computers are more powerful than this model...

The **next** model of computation. Idea: allow some memory of unbounded size. How?

- To generalize regular expressions: context-free grammars
- To generalize NFA: **Pushdown automata**, which is like an NFA with access to a stack: Number of states is fixed, number of entries in stack is unbounded. At each step (1) Transition to new state based on current state, letter read, and top letter of stack, then (2) (Possibly) push or pop a letter to (or from) top of stack. Accept a string iff there is some sequence of states and some sequence of stack contents which helps the PDA processes the entire input string and ends in an accepting state.

Is there a PDA that recognizes the nonregular language $\{0^n1^n \mid n \geq 0\}$?



The PDA with state diagram above can be informally described as:

Read symbols from the input. As each 0 is read, push it onto the stack. As soon as 1s are seen, pop a 0 off the stack for each 1 read. If the stack becomes empty and we are at the end of the input string, accept the input. If the stack becomes empty and there are 1s left to read, or if 1s are finished while the stack still contains 0s, or if any 0s appear in the string following 1s, reject the input.

Trace the computation of this PDA on the input string 01.

Trace the computation of this PDA on the input string 011.

Read symbols from the input. As each 0 is read, push it onto the stack. As soon as 1s are seen, pop a 0 off the stack for each 1 read. If the stack becomes empty and there is exactly one 1 left to read, read that 1 and accept the input. If the stack becomes empty and there are either zero or more than one 1s left to read, or if the 1s are finished while the stack still contains 0s, or if any 0s appear in the input following 1s, reject the input.

Modify the state diagram below to get a PDA that implements this description:



Definition A **pushdown automaton** (PDA) is specified by a 6-tuple $(Q, \Sigma, \Gamma, \delta, q_0, F)$ where Q is the finite set of states, Σ is the input alphabet, Γ is the stack alphabet,

$$\delta: Q \times \Sigma_{\varepsilon} \times \Gamma_{\varepsilon} \to \mathcal{P}(Q \times \Gamma_{\varepsilon})$$

is the transition function, $q_0 \in Q$ is the start state, $F \subseteq Q$ is the set of accept states.

Week4 friday

Draw the state diagram and give the formal definition of a PDA with $\Sigma = \Gamma$.

Draw the state diagram and give the formal definition of a PDA with $\Sigma \cap \Gamma = \emptyset$.

For the PDA state diagrams below, $\Sigma = \{0, 1\}$.

Mathematical description of language

State diagram of PDA recognizing language

$$\Gamma = \{\$, \#\}$$



$$\Gamma = \{@, 1\}$$



$$\{0^i 1^j 0^k \mid i, j, k \ge 0\}$$

Note: alternate notation is to replace; with \rightarrow

Big picture: PDAs were motivated by wanting to add some memory of unbounded size to NFA. How do we accomplish a similar enhancement of regular expressions to get a syntactic model that is more expressive?

DFA, NFA, PDA: Machines process one input string at a time; the computation of a machine on its input string reads the input from left to right.

Regular expressions: Syntactic descriptions of all strings that match a particular pattern; the language described by a regular expression is built up recursively according to the expression's syntax

Context-free grammars: Rules to produce one string at a time, adding characters from the middle, beginning, or end of the final string as the derivation proceeds.

Week6 monday

We are ready to introduce a formal model that will capture a notion of general purpose computation.

- Similar to DFA, NFA, PDA: input will be an arbitrary string over a fixed alphabet.
- Different from NFA, PDA: machine is deterministic.
- Different from DFA, NFA, PDA: read-write head can move both to the left and to the right, and can extend to the right past the original input.
- Similar to DFA, NFA, PDA: transition function drives computation one step at a time by moving within a finite set of states, always starting at designated start state.
- Different from DFA, NFA, PDA: the special states for rejecting and accepting take effect immediately.

(See more details: Sipser p. 166)

Formally: a Turing machine is $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$ where δ is the **transition function**

$$\delta: Q \times \Gamma \to Q \times \Gamma \times \{L,R\}$$

The **computation** of M on a string w over Σ is:

- Read/write head starts at leftmost position on tape.
- Input string is written on |w|-many leftmost cells of tape, rest of the tape cells have the blank symbol. **Tape alphabet** is Γ with $\bot \in \Gamma$ and $\Sigma \subseteq \Gamma$. The blank symbol $\bot \notin \Sigma$.
- Given current state of machine and current symbol being read at the tape head, the machine transitions to next state, writes a symbol to the current position of the tape head (overwriting existing symbol), and moves the tape head L or R (if possible).
- Computation ends if and when machine enters either the accept or the reject state. This is called halting. Note: $q_{accept} \neq q_{reject}$.

The language recognized by the Turing machine M, is $L(M) = \{w \in \Sigma^* \mid w \text{ is accepted by } M\}$, which is defined as

 $\{w \in \Sigma^* \mid \text{computation of } M \text{ on } w \text{ halts after entering the accept state} \}$



Formal definition:

Sample computation:

| $q0\downarrow$ | | | | | | |
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| 0 | 0 | 0 | J | J | J | ٦ |
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The language recognized by this machine is ...

Describing Turing machines (Sipser p. 185) To define a Turing machine, we could give a

- Formal definition: the 7-tuple of parameters including set of states, input alphabet, tape alphabet, transition function, start state, accept state, and reject state; or,
- Implementation-level definition: English prose that describes the Turing machine head movements relative to contents of tape, and conditions for accepting / rejecting based on those contents.
- **High-level description**: description of algorithm (precise sequence of instructions), without implementation details of machine. As part of this description, can "call" and run another TM as a subroutine.

Fix $\Sigma = \{0,1\}$, $\Gamma = \{0,1,\bot\}$ for the Turing machines with the following state diagrams:





Example of string accepted: Example of string rejected:

Implementation-level description

High-level description





Example of string accepted: Example of string rejected:

Implementation-level description

High-level description



| Example | of | string | accepted |
|---------|----|--------|-----------|
| Example | of | string | rejected: |

Implementation-level description

High-level description





Example of string accepted: Example of string rejected:

Implementation-level description

High-level description

Week6 wednesday

Sipser Figure 3.10

Conventions in state diagram of TM: $b \to R$ label means $b \to b, R$ and all arrows missing from diagram represent transitions with output (q_{reject}, \cup, R)



Computation on input string 01#01

| $q_1 \downarrow$ | | | | | | |
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| 0 | 1 | # | 0 | 1 | u | J |
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Implementation level description of this machine:

Zig-zag across tape to corresponding positions on either side of # to check whether the characters in these positions agree. If they do not, or if there is no #, reject. If they do, cross them off.

Once all symbols to the left of the # are crossed off, check for any un-crossed-off symbols to the right of #; if there are any, reject; if there aren't, accept.

The language recognized by this machine is

 $\{w\#w \mid w \in \{0,1\}^*\}$

| TT: .1 1. | . 1 1 | | . (11 | 1 | ٠. |
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$Extra\ practice$

Computation on input string 01#1

| $q_1 \downarrow 0$ | 1 1 | 11 | - 1 | | | |
|--------------------|----------|----|-----|---|---|---|
| 0 | 1 | # | 1 | u | | u |
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Recall: High-level descriptions of Turing machine algorithms are written as indented text within quotation marks. Stages of the algorithm are typically numbered consecutively. The first line specifies the input to the machine, which must be a string.

A language L is **recognized by** a Turing machine M means

A Turing machine M recognizes a language L means

A Turing machine M is a **decider** means

A language L is **decided by** a Turing machine M means

A Turing machine M decides a language L means

Fix $\Sigma = \{0, 1\}$, $\Gamma = \{0, 1, \bot\}$ for the Turing machines with the following state diagrams:



Week6 friday

A **Turing-recognizable** language is a set of strings that is the language recognized by some Turing machine. We also say that such languages are recognizable.

A **Turing-decidable** language is a set of strings that is the language recognized by some decider. We also say that such languages are decidable.

An unrecognizable language is a language that is not Turing-recognizable.

An **undecidable** language is a language that is not Turing-decidable.

True or False: Any decidable language is also recognizable.

True or False: Any recognizable language is also decidable.

True or False: Any undecidable language is also unrecognizable.

True or False: Any unrecognizable language is also undecidable.

| True or False: The class of Turing-decidable languages is closed under complementation. |
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| Using formal definition: |
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| Using high-level description: |
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| Church-Turing Thesis (Sipser p. 183): The informal notion of algorithm is formalized completely and correctly by the formal definition of a Turing machine. In other words: all reasonably expressive models of computation are equally expressive with the standard Turing machine. |



| | (C . l . l . l . l | T | |
|--------|-------------------------------------|---------------------------|----------------------------|
| | (over a fixed alphabet Σ) a | are Turing-decidable, the | en their union is as well. |
| Proof: | | | |
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Claim: If two languages (over a fixed alphabet Σ) are Turing-recognizable, then their union is as well.

Proof:

Week7 wednesday

The Church-Turing thesis posits that each algorithm can be implemented by some Turing machine.

Describing algorithms (Sipser p. 185) To define a Turing machine, we could give a

- Formal definition: the 7-tuple of parameters including set of states, input alphabet, tape alphabet, transition function, start state, accept state, and reject state. This is the low-level programming view that models the logic computation flow in a processor.
- Implementation-level definition: English prose that describes the Turing machine head movements relative to contents of tape, and conditions for accepting / rejecting based on those contents. This level describes memory management and implementing data access with data structures.
 - Mention the tape or its contents (e.g. "Scan the tape from left to right until a blank is seen.")
 - Mention the tape head (e.g. "Return the tape head to the left end of the tape.")
- **High-level description** of algorithm executed by Turing machine: description of algorithm (precise sequence of instructions), without implementation details of machine. High-level descriptions of Turing machine algorithms are written as indented text within quotation marks. Stages of the algorithm are typically numbered consecutively. The first line specifies the input to the machine, which must be a string.
 - Use other Turing machines as subroutines (e.g. "Run M on w")
 - Build new machines from existing machines using previously shown results (e.g. "Given NFA A construct an NFA B such that $L(B) = \overline{L(A)}$ ")
 - Use previously shown conversions and constructions (e.g. "Convert regular expression R to an NFA N")

Formatted inputs to Turing machine algorithms

The input to a Turing machine is always a string. The format of the input to a Turing machine can be checked to interpret this string as representing structured data (like a csv file, the formal definition of a DFA, another Turing machine, etc.)

This string may be the encoding of some object or list of objects.

Notation: $\langle O \rangle$ is the string that encodes the object O. $\langle O_1, \ldots, O_n \rangle$ is the string that encodes the list of objects O_1, \ldots, O_n .

Assumption: There are algorithms (Turing machines) that can be called as subroutines to decode the string representations of common objects and interact with these objects as intended (data structures). These algorithms are able to "type-check" and string representations for different data structures are unique.

For example, since there are algorithms to answer each of the following questions, by Church-Turing thesis, there is a Turing machine that accepts exactly those strings for which the answer to the question is "yes"

- Does a string over $\{0,1\}$ have even length?
- Does a string over $\{0,1\}$ encode a string of ASCII characters?¹
- Does a DFA have a specific number of states?
- Do two NFAs have any state names in common?
- Do two CFGs have the same start variable?

A computational problem is decidable iff language encoding its positive problem instances is decidable.

The computational problem "Does a specific DFA accept a given string?" is encoded by the language

```
{representations of DFAs M and strings w such that w \in L(M)} ={\langle M, w \rangle \mid M is a DFA, w is a string, w \in L(M)}
```

The computational problem "Is the language generated by a CFG empty?" is encoded by the language

{representations of CFGs
$$G$$
 such that $L(G) = \emptyset$ } ={ $\langle G \rangle \mid G \text{ is a CFG}, L(G) = \emptyset$ }

The computational problem "Is the given Turing machine a decider?" is encoded by the language

```
{representations of TMs M such that M halts on every input} = \{\langle M \rangle \mid M \text{ is a TM and for each string } w, M \text{ halts on } w\}
```

Note: writing down the language encoding a computational problem is only the first step in determining if it's recognizable, decidable, or . . .

Deciding a computational problem means building / defining a Turing machine that recognizes the language encoding the computational problem, and that is a decider.

¹An introduction to ASCII is available on the w3 tutorial here.

Week7 friday

Some classes of computational problems will help us understand the differences between the machine models we've been studying. (Sipser Section 4.1)

```
Acceptance problem
                                                      \{\langle B, w \rangle \mid B \text{ is a DFA that accepts input string } w\}
... for DFA
                                         A_{DFA}
...for NFA
                                                      \{\langle B, w \rangle \mid B \text{ is a NFA that accepts input string } w\}
                                         A_{NFA}
... for regular expressions
                                                      \{\langle R, w \rangle \mid R \text{ is a regular expression that generates input string } w\}
                                         A_{REX}
                                                      \{\langle G, w \rangle \mid G \text{ is a context-free grammar that generates input string } w\}
... for CFG
                                         A_{CFG}
... for PDA
                                         A_{PDA}
                                                      \{\langle B, w \rangle \mid B \text{ is a PDA that accepts input string } w\}
Language emptiness testing
                                                      \{\langle A \rangle \mid A \text{ is a DFA and } L(A) = \emptyset\}
... for DFA
                                         E_{DFA}
                                                      \{\langle A \rangle \mid A \text{ is a NFA and } L(A) = \emptyset\}
...for NFA
                                         E_{NFA}
                                                      \{\langle R \rangle \mid R \text{ is a regular expression and } L(R) = \emptyset\}
... for regular expressions
                                         E_{REX}
... for CFG
                                                      \{\langle G \rangle \mid G \text{ is a context-free grammar and } L(G) = \emptyset\}
                                         E_{CFG}
... for PDA
                                                      \{\langle A \rangle \mid A \text{ is a PDA and } L(A) = \emptyset\}
                                         E_{PDA}
Language equality testing
...for DFA
                                                      \{\langle A, B \rangle \mid A \text{ and } B \text{ are DFAs and } L(A) = L(B)\}
                                        EQ_{DFA}
... for NFA
                                                      \{\langle A, B \rangle \mid A \text{ and } B \text{ are NFAs and } L(A) = L(B)\}
                                        EQ_{NFA}
                                                      \{\langle R, R' \rangle \mid R \text{ and } R' \text{ are regular expressions and } L(R) = L(R')\}
... for regular expressions
                                       EQ_{REX}
... for CFG
                                                      \{\langle G, G' \rangle \mid G \text{ and } G' \text{ are CFGs and } L(G) = L(G')\}
                                        EQ_{CFG}
... for PDA
                                                      \{\langle A, B \rangle \mid A \text{ and } B \text{ are PDAs and } L(A) = L(B)\}
                                        EQ_{PDA}
```

Example strings in A_{DFA}

Example strings in E_{DFA}

Example strings in EQ_{DFA}

 $M_1 =$ "On input $\langle M, w \rangle$, where M is a DFA and w is a string:

- 0. Type check encoding to check input is correct type. If not, reject.
- 1. Simulate M on input w (by keeping track of states in M, transition function of M, etc.)
- 2. If the simulations ends in an accept state of M, accept. If it ends in a non-accept state of M, reject. "

What is $L(M_1)$?

Is M_1 a decider?

Alternate description: Sometimes omit step 0 from listing and do implicit type check.

Synonyms: "Simulate", "run", "call".

True / False: $A_{REX} = A_{NFA} = A_{DFA}$

True / False: $A_{REX} \cap A_{NFA} = \emptyset$, $A_{REX} \cap A_{DFA} = \emptyset$, $A_{DFA} \cap A_{NFA} = \emptyset$

A Turing machine that decides A_{NFA} is:

A Turing machine that decides A_{REX} is:

 $E_{DFA} = \{\langle A \rangle \mid A \text{ is a DFA and } L(A) = \emptyset\}$. True/False: A Turing machine that decides E_{DFA} is

 M_2 ="On input $\langle M \rangle$ where M is a DFA,

- 1. For integer i = 1, 2, ...
- 2. Let s_i be the *i*th string over the alphabet of M (ordered in string order).
- 3. Run M on input s_i .
- 4. If M accepts, ______. If M rejects, increment i and keep going."

Choose the correct option to help fill in the blank so that M_2 recognizes E_{DFA}

- A. accepts
- B. rejects
- C. loop for ever
- D. We can't fill in the blank in any way to make this work

 $M_3 =$ "On input $\langle M \rangle$ where M is a DFA,

- 1. Mark the start state of M.
- 2. Repeat until no new states get marked:
- 3. Loop over the states of M.
- 4. Mark any unmarked state that has an incoming edge from a marked state.
- 5. If no accept state of A is marked, ______; otherwise, _____"

To build a Turing machine that decides EQ_{DFA} , notice that

$$L_1 = L_2$$
 iff $((L_1 \cap \overline{L_2}) \cup (L_2 \cap \overline{L_1})) = \emptyset$

There are no elements that are in one set and not the other

 $M_{EQDFA} =$

Summary: We can use the decision procedures (Turing machines) of decidable problems as subroutines in other algorithms. For example, we have subroutines for deciding each of A_{DFA} , E_{DFA} , E_{QDFA} . We can also use algorithms for known constructions as subroutines in other algorithms. For example, we have subroutines for: counting the number of states in a state diagram, counting the number of characters in an alphabet, converting DFA to a DFA recognizing the complement of the original language or a DFA recognizing the Kleene star of the original language, constructing a DFA or NFA from two DFA or NFA so that we have a machine recognizing the language of the union (or intersection, concatenation) of the languages of the original machines; converting regular expressions to equivalent DFA; converting DFA to equivalent regular expressions, etc.

Week3 friday

Definition and Theorem: For an alphabet Σ , a language L over Σ is called **regular** exactly when L is recognized by some DFA, which happens exactly when L is recognized by some NFA, and happens exactly when L is described by some regular expression

We saw that: The class of regular languages is closed under complementation, union, intersection, set-wise concatenation, and Kleene star.

Prove or Disprove: There is some alphabet Σ for which there is some language recognized by an NFA but not by any DFA.

Prove or **Disprove**: There is some alphabet Σ for which there is some finite language not described by any regular expression over Σ .

Prove or Disprove: If a language is recognized by an NFA then the complement of this language is not recognized by any DFA.

Fix alphabet Σ . Is every language L over Σ regular?

| Set | Cardinality |
|---|-------------|
| $\{0,1\}$ | |
| $\{0,1\}^*$ | |
| $\mathcal{P}(\{0,1\})$ | |
| The set of all languages over $\{0,1\}$ | |
| The set of all regular expressions over $\{0,1\}$ | |
| The set of all regular languages over $\{0,1\}$ | |
| | |

Strategy: Find an **invariant** property that is true of all regular languages. When analyzing a given language, if the invariant is not true about it, then the language is not regular.

Pumping Lemma (Sipser Theorem 1.70): If A is a regular language, then there is a number p (a pumping length) where, if s is any string in A of length at least p, then s may be divided into three pieces, s = xyz such that

- |y| > 0
- for each $i \ge 0$, $xy^iz \in A$
- $|xy| \leq p$.

Proof illustration

True or False: A pumping length for $A = \{0, 1\}^*$ is p = 5.

Week10 monday

Recall Definition (Sipser 7.1): For M a deterministic decider, its **running time** is the function $f: \mathbb{N} \to \mathbb{N}$ given by

 $f(n) = \max$ number of steps M takes before halting, over all inputs of length n

Recall Definition (Sipser 7.7): For each function t(n), the **time complexity class** TIME(t(n)), is defined by

 $TIME(t(n)) = \{L \mid L \text{ is decidable by a Turing machine with running time in } O(t(n))\}$

Recall Definition (Sipser 7.12): P is the class of languages that are decidable in polynomial time on a deterministic 1-tape Turing machine

$$P = \bigcup_{k} TIME(n^k)$$

Definition (Sipser 7.9): For N a nodeterministic decider. The **running time** of N is the function $f: \mathbb{N} \to \mathbb{N}$ given by

 $f(n) = \max$ number of steps N takes on any branch before halting, over all inputs of length n

Definition (Sipser 7.21): For each function t(n), the **nondeterministic time complexity class** NTIME(t(n)), is defined by

 $NTIME(t(n)) = \{L \mid L \text{ is decidable by a nondeterministic Turing machine with running time in } O(t(n))\}$

$$NP = \bigcup_{k} NTIME(n^k)$$

True or False: $TIME(n^2) \subseteq NTIME(n^2)$

True or False: $NTIME(n^2) \subseteq DTIME(n^2)$

Examples in P

Can't use nondeterminism; Can use multiple tapes; Often need to be "more clever" than naïve / brute force approach

$$PATH = \{ \langle G, s, t \rangle \mid G \text{ is digraph with } n \text{ nodes there is path from s to t} \}$$

Use breadth first search to show in P

$$RELPRIME = \{\langle x, y \rangle \mid x \text{ and } y \text{ are relatively prime integers} \}$$

Use Euclidean Algorithm to show in P

$$L(G) = \{ w \mid w \text{ is generated by } G \}$$

(where G is a context-free grammar). Use dynamic programming to show in P.

Examples in NP

"Verifiable" i.e. NP, Can be decided by a nondeterministic TM in polynomial time, best known deterministic solution may be brute-force, solution can be verified by a deterministic TM in polynomial time.

 $HAMPATH = \{\langle G, s, t \rangle \mid G \text{ is digraph with } n \text{ nodes, there is path from } s \text{ to } t \text{ that goes through every node example } s \text{ that goes through every node example } s \text{ that goes through every node example } s \text{ that goes through every node example } s \text{ that goes through every node example } s \text{ that goes through every node example } s \text{ that goes through every node example } s \text{ that goes through every node example } s \text{ that goes through every node } s \text{ that goes } s \text{ that$

 $VERTEX-COVER=\{\langle G,k\rangle\mid G \text{ is an undirected graph with } n \text{ nodes that has a } k\text{-node vertex cover}\}$

 $CLIQUE = \{ \langle G, k \rangle \mid G \text{ is an undirected graph with } n \text{ nodes that has a } k\text{-clique} \}$

 $SAT = \{\langle X \rangle \mid X \text{ is a satisfiable Boolean formula with } n \text{ variables} \}$

Every problem in NP is decidable with an exponential-time algorithm

Nondeterministic approach: guess a possible solution, verify that it works.

Brute-force (worst-case exponential time) approach: iterate over all possible solutions, for each one, check if it works.

| Problems in P | Problems in NP |
|---|--------------------|
| (Membership in any) regular language | Any problem in P |
| (Membership in any) context-free language | |
| A_{DFA} | SAT |
| E_{DFA} | CLIQUE |
| EQ_{DFA} | VERTEX-COVER |
| PATH | HAMPATH |
| RELPRIME | • • • |
| | |

Million-dollar question: Is P = NP?

One approach to trying to answer it is to look for *hardest* problems in NP and then (1) if we can show that there are efficient algorithms for them, then we can get efficient algorithms for all problems in NP so P = NP, or (2) these problems might be good candidates for showing that there are problems in NP for which there are no efficient algorithms.

Week10 wednesday

Definition (Sipser 7.29) Language A is **polynomial-time mapping reducible** to language B, written $A \leq_P B$, means there is a polynomial-time computable function $f: \Sigma^* \to \Sigma^*$ such that for every $x \in \Sigma^*$

$$x \in A$$
 iff $f(x) \in B$.

The function f is called the polynomial time reduction of A to B.

Theorem (Sipser 7.31): If $A \leq_P B$ and $B \in P$ then $A \in P$.

Proof:

Definition (Sipser 7.34; based in Stephen Cook and Leonid Levin's work in the 1970s): A language B is **NP-complete** means (1) B is in NP and (2) every language A in NP is polynomial time reducible to B.

Theorem (Sipser 7.35): If B is NP-complete and $B \in P$ then P = NP.

Proof:



$$3SAT = \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable 3cnf-formula} \}$$

Example strings in 3SAT

Example strings not in 3SAT

Cook-Levin Theorem: 3SAT is NP-complete.

Are there other NP-complete problems? To prove that X is NP-complete

- From scratch: prove X is in NP and that all NP problems are polynomial-time reducible to X.
- Using reduction: prove X is in NP and that a known-to-be NP-complete problem is polynomial-time reducible to X.



$$CLIQUE = \{\langle G, k \rangle \mid G \text{ is an undirected graph with a } k\text{-clique}\}$$

Example strings in CLIQUE

Example strings not in CLIQUE

Theorem (Sipser 7.32):

$$3SAT <_{P} CLIQUE$$

Given a Boolean formula in conjunctive normal form with k clauses and three literals per clause, we will map it to a graph so that the graph has a clique if the original formula is satisfiable and the graph does not have a clique if the original formula is not satisfiable.

The graph has 3k vertices (one for each literal in each clause) and an edge between all vertices except

- vertices for two literals in the same clause
- vertices for literals that are negations of one another

Example: $(x \lor \bar{y} \lor \bar{z}) \land (\bar{x} \lor y \lor z) \land (x \lor y \lor z)$

Week10 friday

| Model of Computation | Class of Languages |
|---|---|
| Deterministic finite automata: formal definition, how to design for a given language, how to describe language of a machine? Nondeterministic finite automata: formal definition, how to design for a given language, how to describe language of a machine? Regular expressions: formal definition, how to design for a given language, how to describe language of expression? Also: converting between different models. | Class of regular languages: what are the closure properties of this class? which languages are not in the class? using pumping lemma to prove nonregularity. |
| Push-down automata: formal definition, how to design for a given language, how to describe language of a machine? Context-free grammars: formal definition, how to design for a given language, how to describe language of a grammar? | Class of context-free languages: what are the closure properties of this class? which languages are not in the class? |
| Turing machines that always halt in polynomial time | P |
| Nondeterministic Turing machines that always halt in polynomial time | NP |
| Deciders (Turing machines that always halt): formal definition, how to design for a given language, how to describe language of a machine? | Class of decidable languages: what are the closure properties of this class? which languages are not in the class? using diagonalization and mapping reduction to show undecidability |
| Turing machines formal definition, how to design for a given language, how to describe language of a machine? | Class of recognizable languages: what are the closure properties of this class? which languages are not in the class? using closure and mapping reduction to show unrecognizability |

| Given | a | language, | prove | it | is | regui | ar |
|-------|---|-----------|-------|----|----|-------|-----|
| Given | а | ianguage, | prove | 16 | 15 | regu | lai |

Strategy 1: construct DFA recognizing the language and prove it works.

Strategy 2: construct NFA recognizing the language and prove it works.

Strategy 3: construct regular expression recognizing the language and prove it works.

"Prove it works" means . . .

Example: $L = \{w \in \{0,1\}^* \mid w \text{ has odd number of 1s or starts with 0}\}$

Using NFA

Using regular expressions

Example: Select all and only the options that result in a true statement: "To show a language A is not regular, we can..."

- a. Show A is finite
- b. Show there is a CFG generating A
- c. Show A has no pumping length
- d. Show A is undecidable

Example: What is the language generated by the CFG with rules

$$S \rightarrow aSb \mid bY \mid Ya$$

$$Y \rightarrow bY \mid Ya \mid \varepsilon$$



| Example: | Prove t | that the | class of d | lecidable | language | s is closed | d under co | oncatenati | ion. | |
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