



ADDITION & AMENDMENT FORM

INVESTOR DETAILS (continued)

SOURCE OF INCOME

Employer Name/Name of own business:

Occupation/Nature of own business:

Employer/Business Address:

2. HOW TO INVEST

Old Mutual Unit Trust is under no obligation to accept below minimum investments and reserves the right not to debit or to cease debiting your account under certain circumstances. If no selection is made, distributions are automatically reinvested.

Lump sum investment

A

Please debit my bank account with

N\$

on the

D

D

M

M

C

C

Y

Y

B

I have transferred

N\$

into Old Mutual Unit Trust's bank account.

Bank details for the Old Mutual Unit Trusts Namibia's bank account:

Bank	Account Number	Branch	Branch Code	Account type
Standard Bank	04 3195 636	Windhoek	08 23 72	Current

Email your completed form to omnamuttrans@oldmutual.com and contact our Service Centre at (061) 239 513/522 to obtain your account number. Then use this account number as the reference when making a direct deposit or Electronic Fund Transfer. Selecting the incorrect bank account or not including an account number as reference may cause delays.

Recurring Monthly (debit order) Investments

PLEASE ALLOW AT LEAST 10 DAYS' NOTICE

Debit order dates (select one):

1

3

7

15

20

27

Commencing month:

D

D

M

M

C

C

Y

Y

To help ensure my investment amount keeps pace with inflation, please increase my debit order investment amount every year on the anniversary date by:

5* % or % or N\$ on all Unit Trusts or (specify Unit Trust)

* Delete if not applicable. If you don't indicate your choice, the increased amount will be applied to all Unit Trusts in your portfolio that are affected by this application.

Select your Unit Trusts

Fund (lump sum/monthly minimums)	Lump Sum	Monthly	Annual debit order increase* (% or N\$ value)	Distributions** (to action)	
				Payout	Reinvest
OM Nedbank Namibia Corporate Fund (N\$25 000)	N\$	N/A		N/A	N/A
OM Nedbank Namibia Money Market Fund (N\$300/N\$100)	N\$	N\$		N/A	N/A
Namibia Income Fund (N\$300/N\$100)	N\$	N\$			
Namibia Enhanced Income Fund (N\$300/N\$100)	N\$	N\$			
Namibia Real Income Fund (N\$300/N\$100)	N\$	N\$			
Namibia Dynamic Floor Fund (N\$300/N\$100)	N\$	N\$			
Namibia Managed Fund (N\$300/N\$100)	N\$	N\$			
Namibia Growth Fund (N\$300/N\$100)	N\$	N\$			
Namibia Property Fund (N\$300/N\$100)	N\$	N\$			
TOTAL	N\$	N\$			



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3. BANK DETAILS

Deductions cannot be made from credit cards. Debit orders can only be raised from your own account (except in the case of legal guardians investing on behalf of minors, or spouses investing on each other's behalf). Payments can only be made to your own bank account (except in the case of minors).

BANK DETAILS FOR DEDUCTIONS (We will deduct the stated amount as well as all future payments from this account. Please provide proof of banking details and resolution authorising deductions)

Surname of account holder: Initials:

Bank and Branch:

Branch code: Account number:

Account type: Current ☐ Savings ☐ Cheque ☐

BANK DETAILS FOR PAYOUTS IF DIFFERENT FROM ABOVE

(We will pay dividends, proceeds of sales and regular withdrawals, into this account.) Please provide proof of banking details.

Surname of account holder: Initials:

Bank and Branch:

Branch code: Account number:

Account type: Current ☐ Savings ☐ Cheque ☐

4. AUTOMATED REGULAR WITHDRAWALS

Please sell units to the value of N\$ from my Fund,

every month/quarter/six months/year (circle payment frequency). Starting (Date).



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5. DECLARATION

I agree to accept the number of units sold to me by virtue of this application and I warrant that I have full power and authority to enter into and conclude this transaction, with the necessary assistance where such assistance is a legal requirement. I am aware that this product offers no cooling-off rights. I know that the cost of buying units includes an initial charge and that there are no guarantees on my capital. I acknowledge that there are further allowable deductions from the fund (e.g. an annual service fee) that impact on the value of my investment. I am satisfied that the facts provided are accurate and complete. I am aware of the fund's/funds' objectives, risk factors, the charges and income distributions, as set out in the fund fact sheet/s. I acknowledge that Old Mutual Unit Trust may borrow up to 10% of the portfolio to bridge insufficient liquidity. I have read and fully understood all pages of this application form. I hereby authorise Old Mutual Unit Trust to debit the account mentioned above in Section 3 with the monthly amount/ lump sum specified. I hereby consent to Old Mutual Unit Trust transmitting the information or documentation provided by me in so far as may be needed to facilitate its day-to-day business, including sharing the information provided by me with national and international regulatory authorities and further consent to Old Mutual Unit Trust transmitting information required to discharge local or international regulatory obligations without further notice to me. I further authorise Old Mutual Unit Trust to accept instructions from me via facsimile.

Date

Signature of Investor _____

6. ACCEPTANCE OF ADVICE

☐ A I am solely responsible for my investment choices and their associated outcomes, and acknowledge that I have entered into this transaction without obtaining financial advice as that is my preference. As a result no initial charge will be levied on any investment of R100 (the general unit trust minimum) or more.

OR

☐ B I hereby confirm that, where applicable, the relevant intermediary (adviser or broker) has satisfied me that he/she is authorised to render financial services in respect of this product.

Do you want to appoint this intermediary as your Preferred Servicing Intermediary (PSI) for all your future Old Mutual financial services needs?

☐ YES

YES, I appoint and give the PSI access to information on all my existing Old Mutual financial services products.

☐ NO

NO, I will complete an Intermediary Appointment Note (IAN) selecting my Service intermediaries of preference per category of business.



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7. INSTRUCTION FOR PAYMENT OF ADVISER FEES (If applicable)

AN INITIAL ADVISER FEE has been agreed as follows (subject to the maximums for each Unit Trust, stipulated on the Unit Trust fund fact sheet). The applicable amount will be deducted prior to the purchase of units.

% for equity/asset allocation Unit Trusts (max. 3.00%) which is N\$

% for fixed income Unit Trusts (max. 0.60%) which is N\$ (Note: If % and N\$ amount differ, the % holds.)

ANNUAL ADVISER FEE

In addition, you may elect to pay an ongoing annual adviser fee. This fee is negotiated between yourself and your adviser or broker, and is over and above the initial adviser fee stipulated above.

☒ YES ☐ NO

If yes, specify percentage % (max. 1.00% p.a.) of your discretionary Unit Trust portfolio. Note that this instruction overrides all existing annual adviser fee agreements.

☒ A from Fund (one Unit Trust only) UT (Unit Trust account number)

OR

☐ B Proportionally across all my Unit Trusts.

This annual fee is calculated as a percentage of the value of your discretionary unit trust portfolio. The annual adviser fee is deducted monthly, through the sale of units from the nominated unit trust/s. If this fee is being paid from a single unit trust and there is at any time an insufficient number of units in the unit trust, the fee will automatically be deducted from your Money Market Fund, failing which, the largest unit trust in your portfolio. Where investments are held in more than one class in the same unit trust, the fee will be deducted from the class open to new business (unless the closed unit trust class is stipulated above). You will receive confirmation of the implementation of this fee, any adjustments made to the annual percentage or to the unit trust, as well as the cancellation thereof. This fee is based on an agreement between the client and the intermediary. Old Mutual Unit Trust is not a party to the agreement and will cancel the fee on the written instruction of either party. The fee will further be cancelled by a change in servicing intermediary. Old Mutual Unit Trust will change any erroneous instructions received, but will not rectify prior payments based on erroneous instructions.

Date Signature of Investor _____

8. DECLARATION OF ADVISER/BROKER

I, the undersigned, hereby declare and warrant that I am duly authorised to render financial services in respect of this product. All parties concerned have been identified and verified and all relevant documentation has been obtained and appropriate procedures have been applied in accordance with the Financial Intelligence Act, Act 13 of 2012.

Name of Introducer Branch Intermediary/Broker code Tel. no.

Introducer's e-mail address Signature of Introducer _____

FOR OFFICE USE:

Name of Reviewer: Signature of Reviewer _____

Staff code:

SPECIAL INSTRUCTIONS



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PLEASE NOTE

• Buying and selling requests

For requests to be processed on the same day as submitted, all requirements must be met by 15h00 (13h00 for the Old Mutual Nedbank Namibia Money Market and Old Mutual Nedbank Namibia Corporate Funds). Such investments will be valued on the day after processing.

Direct Deposits/EFTs: Kindly email all proof of deposits to omnamuttrans@oldmutual.com and then contact the Service Centre to obtain your account number. This account number must appear on the electronic fund transfer or Standard Bank deposit slip. To obtain the same day's value, email confirmation of transfer or direct deposit to omnamuttrans@oldmutual.com before 15h00 (13h00 for Old Mutual Nedbank Namibia Money Market and Old Mutual Nedbank Namibia Corporate Funds). For all queries/requests please email: NamibiaUnitTrust@oldmutual.com

• Monthly and lump sum debit orders

No debit order payments will be allowed on behalf of another person - except where parents/grandparents are investing on behalf of a minor, or where an investment is made on behalf of a spouse. The amount/s you specify will be deducted from your bank account automatically by debit order (please note that credit cards are not accepted). Old Mutual Unit Trust is under no obligation to accept debit orders below the stipulated minimums. Old Mutual Unit Trust reserves the right to cease debiting your account under certain circumstances.

• Distributions

Historical income distributions are available on each fund fact sheet.

• Annual Adviser Fee

This annual fee is calculated as a percentage of the value of your entire unit trust portfolio. The fee is deducted monthly, through the sale of units from the nominated fund/funds. If this fee is being paid from a single fund and there is at any time an insufficient number of units in the fund, the fee will automatically be deducted from the largest fund in your Namibia portfolio.

You will receive confirmation of the implementation of this fee, any adjustments made to the annual percentage or to the fund, as well as the cancellation thereof.

This fee is based on an agreement between the client and the intermediary. Old Mutual Unit Trust is not a party to the agreement and will cancel the fee on the written instruction of either party. The fee will further be cancelled by a change in servicing intermediary. Old Mutual Unit Trust will change any erroneous instructions received, but will not rectify prior payments based on erroneous instructions.

STATUTORY INFORMATION

Unit Trusts are generally medium to long term investments. Past performance is no indication of future growth. It is important that you are prepared for some shorter term fluctuations as your investment moves in line with the markets. Fluctuations or movements in exchange rates may cause the value of underlying international investments to go up or down. An initial charge (from 0,25% to maximum 5% of the investment amount) is included in the buying price of units. This charge may include commission (up to 3% of the investment amount). An annual service fee (from 0,6% to 1,5%) is calculated on the market value of the fund's assets (excluding income and permissible deductions) and deducted from the portfolio on a monthly basis. Further fees that may be deducted from the fund's portfolio are compulsory and bank charges, brokerage, marketable securities tax, other taxes and levies, custodian and trustee fees and audit fees. A schedule of fees and charges and maximum commissions is available from the company/intermediary. The daily price is based on the current value of the fund's assets plus income (minus expenses) divided by the number of units in issue. You can easily sell your investment at the ruling price of the day (calculated at 15h00 on a forward pricing basis). The Old Mutual Nedbank Namibia Money Market and Old Mutual Nedbank Namibia Corporate Funds unit prices are static and interest is declared daily at 13h00. The Namibia Enhanced Income Fund and Namibia Dynamic Floor Fund may be capped in order to be managed in accordance with their mandates.

CONTACT DETAILS

Old Mutual Unit Trust Management Company Namibia Limited

(Registration no. 94/288)

PO Box 165, Windhoek, Namibia

Telephone: 061 239 513/522

Compliance Department: 061 299 8000

www.oldmutual.com.na

Queries email: NamibiaUnitTrust@oldmutual.com

Transactions email: omnamuttrans@oldmutual.com

Professional indemnity and fidelity insurance cover is in place.

JTC Fund Solutions RSA (PTY) Limited

Registration – 2006/028378/07

Block B Century Falls,
Century Boulevard Milnerton,
Century City, 7441

DISCLOSURE

The Old Mutual Group would like to offer you ongoing financial services and may use your personal information to provide you with information about products or services that may be suitable to meet your financial needs. The Old Mutual Group may use your information or obtain information about you for the following purposes:

- Underwriting
- Assessment and processing of claims
- Credit searches
- Verifying your identity
- Verifying and/or updating your personal information
- Claims checks (Industry Life & Claims Register)
- Tracing beneficiaries
- Fraud prevention and detection (including anti-money laundering screening)
- Market research and statistical analysis
- Audit & record keeping purposes
- Compliance with legal & regulatory requirements
- Sharing information with service providers we engage to process such information on our behalf or who render services to us. These service providers may be abroad, but we will not share your information with them unless we are satisfied that they have adequate security measures in place to protect your personal information.

You agree that Old Mutual may view, search and update your information.

You agree that your medical information and the answers to the Health and Lifestyle questionnaire may be shared with relevant third parties (including the adviser involved in this application). If, as a result of your health, a decision is taken to increase premiums, not to cover certain conditions or not to accept the application for cover, you agree to the reasons for this decision being shared with the adviser. You understand that if you do not agree this application for cover will not be processed.

You may access your personal information that we hold and may, under certain circumstances, also request us to correct any errors or to delete this information. In certain cases, you have the right to object to the processing of your personal information.

To view our full privacy notice and to exercise your preferences, please visit our website on

www.oldmutual.com.na/about-old-mutual/how-we-do-business/legal/privacy-policy