Thesis (working draft)

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Pieter – Ghent 2020

Contents

List of abbreviations

(TODO)

Chapter 1

Introduction

(TODO)
    - economische impact (minder tijdverlies) - ecologische impact (minder elektriciteit)

1.1   Research questions

- Hoeveel % van de uitgevoerde test runs faalt?
    - Hoelang duurt een gemiddelde test run?
    - Voor elk project, hoe effectief is het gebruiken van TCP?

Chapter 2

Software Engineering

The Institute of Electrical and Electronics Engineers [IEEE] defines the practice of Software Engineering as: "Application of a systematic, disciplined, quantifiable approach to the development, operation and maintenance of software; that is, the application of engineering to software" [24, p. 421]. The word "systematic" in this definition, emphasises the need for a structured process, depicting guidelines and models that describe how software should be developed the most efficient way possible. Such a process does exist and it is often referred to as the Software Development Life Cycle (SDLC) [24, p. 420]. In the absence of a model, i.e. when the developer does what they deem correct without following any rules, the term *Cowboy coding* is used [27, p. 34].

2.1   Software Development Life Cycle

An implementation of the SDLC consists of two major components. First, the process is broken down into several smaller phases. Depending on the nature of the software, it is possible to omit steps or add more steps. I have compiled a simple yet generic approach from multiple sources [16, 23], to which most software projects adhere. This approach consists of five phases.

1. **Requirements phase:** This is the initial phase of the development process. During this phase, the developer gets acquainted with the project and compiles a list of the desired functionalities [23]. Using this information, the developer eventually decides on the required hardware specifications and possible external software which will need to be acquired.

2. **Design phase:** After the developer has gained sufficient knowledge about the project requirements, they can use this information to draw an architectural design of the application. This design consists of multiple documents, including user stories and UML-diagrams.

3. **Implementation phase:** During this phase, the developer will write code according to the specifications defined in the architectural designs.

4. **Testing phase:** This is the most important phase. During this phase, the implementation is tested to identify potential bugs before the application is used by other users.

5. **Operational phase:** In the final phase, the project is fully completed and it is integrated in the existing business environment.

Subsequently, a model is chosen to define how to transition from one phase into another phase. A manifold of models exist [16], each having advantages and disadvantages, but I will consider the basic yet most widely used model, which is the Waterfall model by Benington [5]. The initial Waterfall model required every phase to be executed sequentially and in order, cascading. However, this imposes several issues, the most prevalent being the inability to revise design decisions taken in the second phase, when performing the actual implementation in the third phase. To mitigate this, an improved version of the Waterfall model was proposed by Royce [37]. This version allows a phase to transition back to a previous phase (Figure 2.1).
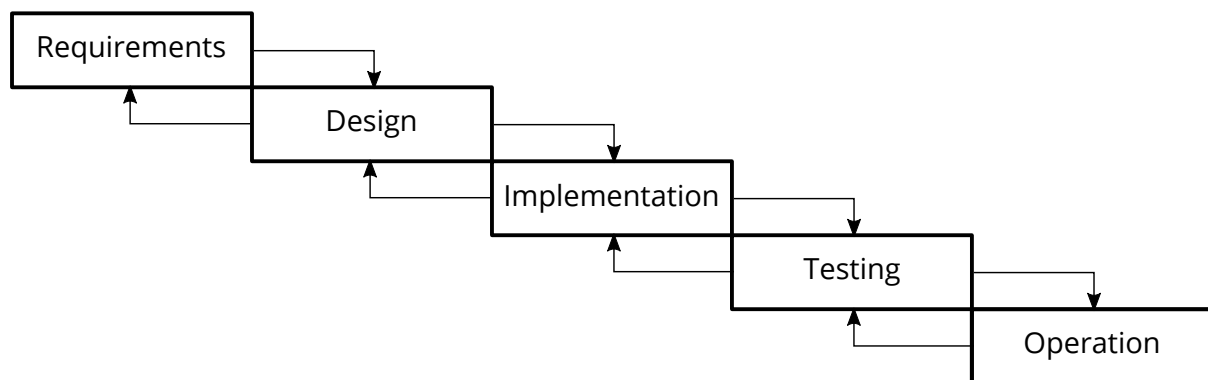

Figure 2.1: Improved Waterfall model by Royce

In this thesis I will solely focus on the implementation and testing phase, as these are the most time-consuming phases of the entire process. The modification to the Waterfall model by Royce is particularly useful when applied to these two phases, in the context of *software regressions*. A regression [34] is a feature that was previously working correctly, but is now malfunctioning. This behaviour can have external causes, such as a change in the system clock because of daylight saving time, but can also be the result of a change to another, seemingly unrelated part of the application code [22].

Software regressions and other functional bugs can ultimately incur disastrous effects, such as severe financial loss or damage to the reputation of the software company. The most famous example in history is without any doubt the explosion of the Ariane 5-rocket, which was caused by an integer overflow [28]. In order to reduce the risk of bugs, malfunctioning components should be detected as soon as possible to proactively defend against potential failures. Because of this reason, the testing phase is to be considered as the most important phase of the entire development process and an application should therefore include sufficient tests. The collection of all tests included in an application, or a smaller chosen subset of certain tests, is referred to

as the *test suite*. Tests can be classified in multiple categories, this thesis will consider three distinguishable categories:

1. **Unit test:** This is the most basic kind of test. The purpose of a unit test is to verify the behaviour of an individual component [41]. The scope of a unit test should be limited to a small and isolated piece of code, such as one function. Unit tests are typically implemented as *white-box tests* [22, p. 12]. A white-box test is constructed by manually inspecting the function under test, to identify important *edge values*. The unit test should then feed these values as arguments to the function under test, to observe its behaviour. Common edge cases include zero, negative numbers, empty arrays or array boundaries that might result in an overflow.

2. **Integration test:** A more advanced test, an integration test verifies the interaction between multiple individually tested components [41]. Examples of integration tests include the communication between the front-end and the back-end side of an application. As opposed to unit tests, an integration test is an example of a *black-box* test [22, p. 6], meaning that implementation-specific details should be irrelevant or unknown when writing an integration test.

3. **Regression test:** After a regression has been detected, a regression test [24, p. 372] is added to the test suite. This regression test should replicate the exact conditions and sequence of actions that have caused the regression, to warden the implementation against subsequent failures if the same conditions would reapply in the future.

2.1.1   Test Suite Assessment

2.1.1.1   Coverage

The most frequently used metric to measure the quantity and thoroughness of a test suite is the *code coverage* or *test coverage* [24, p. 467]. The test coverage is expressed as a percentage and indicates which fraction of the application code is affected by code in the test suite. Internally, this works by augmenting every statement in the application code using binary instrumentation. A hook is inserted before and after every statement to keep track of which statements are executed during tests. Many different criteria exist to interpret these instrumentation results and thus to express the fraction of covered code [33], the most commonly used ones are *statement coverage* and *branch coverage*.

Statement coverage expresses the fraction of code statements that are executed in any test of the test suite [22], out of all executable statements in the application code. Analogously, the fraction of lines covered by a test may be used to calculate the *line coverage* percentage. Since one statement can span multiple lines and one line may also contain more than one statement, both of these criteria implicitly represent the same value. Statement coverage is heavily criticised in literature [33, p. 37], since it is possible to achieve a statement coverage percentage of 100% on a code fragment which can be proven to be incorrect. Consider the code fragment in Listing 2.1. If a test would call the `example`-function with arguments $\{a = 1, b = 2\}$, the test will pass and every statement will be covered, resulting in a statement coverage of 100%. However, it is clear to see that if the function would be called with arguments $\{a = 0, b = 0\}$, a *division-by-zero* error would be raised, resulting in a crash. This very short example already indicates that statement coverage is not trustworthy, yet it may still be useful for other purposes, such as detecting unreachable code which may safely be removed.

```c
int example(int a, int b) {
        if (a == 0 || b != 0) {
                return a / b;
        }
}
```

Listing 2.1: Example of irrelevant statement coverage in C.

Branch coverage on the other hand, requires that every branch of a conditional statement is traversed at least once [33, p. 37]. For an `if`-statement, this results in two tests being required, one for every possible outcome of the condition (`true` or `false`). For a `loop`-statement, this requires a test case in which the loop body is never executed and another test case in which the loop body is always executed. Remark that while this criterion is stronger than statement coverage, it is still not sufficiently strong to detect the bug in Listing 2.1. In order to mitigate this, *multiple-condition coverage* [33, p. 40] is used. This criterion requires that for every conditional statement, every possible combination of subexpressions is evaluated at least once. Applied to Listing 2.1, the `if`-statement is only covered if the following four cases are tested, which is sufficient to detect the bug.

- $a = 0, b = 0$

- $a = 0, b \neq 0$

- $a \neq 0, b = 0$

- $a \neq 0, b \neq 0$

It should be self-evident that achieving and maintaining a coverage percentage of 100% at all times is critical. However, this does not necessarily imply that all lines, statements or branches need to be covered explicitly [8]. Some parts of the code might simply be irrelevant or untestable. Examples include wrapper or delegation methods that simply call a library function. All major programming languages have frameworks and libraries available to collect coverage information during test execution, and each of these frameworks allows the developer to exclude parts of the code from the final coverage calculation. As of today, the most popular options are JaCoCo[1] for Java, coverage.py[2] for Python and simplecov[3] for Ruby. These frameworks are able to generate in-depth statistics on which parts of the code are covered and which parts require more tests, as illustrated in Figure 2.3.

2.1.1.2 Mutation testing

Whereas code coverage can be used to identify whether or not a part of the code is currently affected by the test suite, *mutation testing* can be used to measure its quality and ability to detect future failures. This technique creates several syntactically different instances of the source code, referred to as *mutants*. A mutant can be created by applying one or more *mutation operators* to the original source code. These mutation operators are aimed at simulating typical mistakes that developers tend to make, such as the introduction of off-by-one errors, removal of statements and replacement of logical connectors [36]. The *mutation order* refers to the amount of mutation operators that have been applied consecutively to an instance of the code. This order is traditionally rather low, as a result of the *Competent Programmer Hypothesis*, which states that programmers develop programs which are near-correct [25].

Creating and evaluating the mutant versions of the code is a computationally expensive process and requires human intervention, which is why very few software developers have managed to employ this technique in practice. Figure 2.2 shows how mutation testing is performed. First of all, the mutation system takes the original program P and a set of test cases T. Then, several mutation operators are applied to construct a large set of mutants P'. The next step is to evaluate every test case t on the original program P to verify its correctness, this is a task that needs to be performed manually. If at least one of these test cases proves incorrect, a bug has been found in the original program, which needs to be resolved before the mutation analysis can continue. When P successfully passes every test case, every test case are evaluated for each of the mutants. A mutant p' is said to be "killed" if its output is different from

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[1] https://www.jacoco.org/jacoco/
[2] https://github.com/nedbat/coveragepy
[3] https://github.com/colszowka/simplecov

P for at least one test case, otherwise it is considered "surviving". After executing all test cases, the set of surviving mutants should be analysed in order to introduce subsequent test cases that can be used to kill them. However, it is also possible that the surviving mutants are functionally equivalent to P. This needs to be verified manually, since the detection of program equivalence is impossible [25, 36].
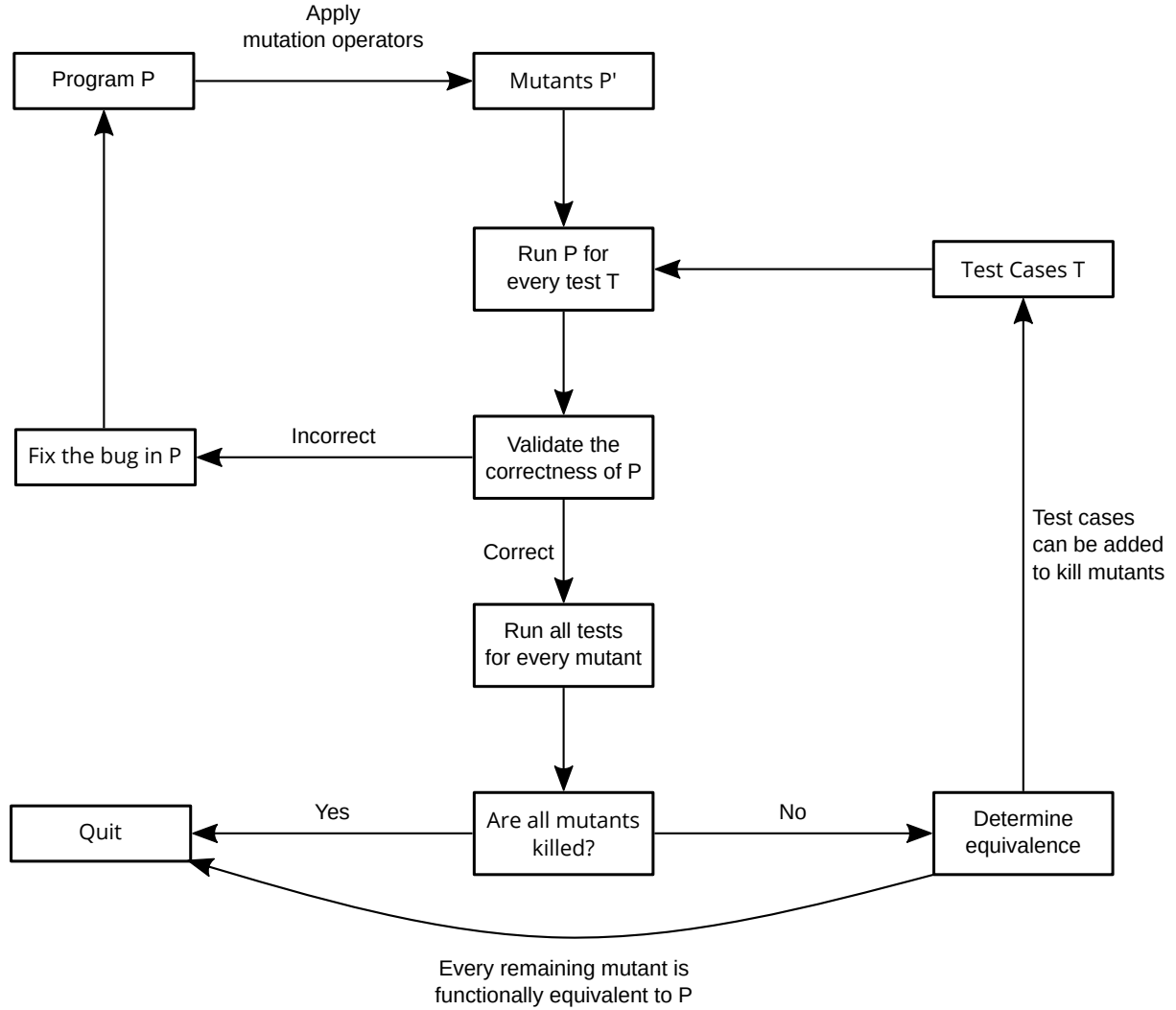
Figure 2.2: Process of Mutation Testing (based on [36])

After every mutant has either been killed or marked equivalent to the original problem, the test suite is assigned a *mutation score* which is calculated using Equation 2.1. In an ideal test suite, this score should be equal to 1, indicating that the test suite was able to detect every mutant.

$$\text{Mutant Score} = \frac{\text{killed mutants}}{\text{non-equivalent mutants}} \qquad (2.1)$$

io.github.thepieterdc.http.impl

Element	Missed Instructions	Cov.	Missed Branches	Cov.	Missed	Cxty	Missed	Lines	Missed	Methods	Missed	Classes
HttpClientImpl	▬▬▬▬	59%	▬▬▬▬	14%	7	14	18	40	2	9	0	1
HttpResponseImpl	▬▬	55%		n/a	9	15	10	22	9	15	0	1
Total	88 of 211	58%	6 of 7	14%	16	29	28	62	11	24	0	2

(a) JaCoCo coverage report of `https://github.com/thepieterdc/dodona-api-java`

Coverage report: 75%

Module ↓	statements	missing	excluded	coverage
awesome/__init__.py	4	1	0	75%

```
1  def smile():
2      return ":)"
3
4  def frown():
5      return ":("
```

| **Total** | **4** | **1** | **0** | **75%** |

(b) coverage.py report of `https://github.com/codecov/example-python`

Helpers (88.41% covered at 22.84 hits/line)

12 files in total. **716** relevant lines. **633** lines covered and **83** lines missed

File	% covered	Lines	Relevant Lines	Lines covered	Lines missed	Avg. Hits / Line
app/helpers/standard_form_builder.rb	**100.0 %**	5	3	3	0	11.0
app/helpers/renderers/feedback_code_renderer.rb	**100.0 %**	25	16	16	0	5.4
app/helpers/institutions_helper.rb	**100.0 %**	2	1	1	0	1.0
app/helpers/api_tokens_controller_helper.rb	**100.0 %**	2	1	1	0	1.0
app/helpers/renderers/pythia_renderer.rb	**93.94 %**	290	165	155	10	3.6
app/helpers/renderers/feedback_table_renderer.rb	**90.59 %**	349	202	183	19	16.8
app/helpers/exercise_helper.rb	**90.16 %**	125	61	55	6	3.5
app/helpers/courses_helper.rb	**86.67 %**	36	15	13	2	28.4
app/helpers/repository_helper.rb	**85.71 %**	11	7	6	1	2.6
app/helpers/application_helper.rb	**85.59 %**	220	111	95	16	62.6
app/helpers/users_helper.rb	**84.62 %**	20	13	11	2	1.4
app/helpers/renderers/lcs_html_differ.rb	**77.69 %**	236	121	94	27	38.2
Showing 1 to 12 of 12 entries						

(c) simplecov report of `https://github.com/dodona-edu/dodona`

Figure 2.3: Statistics from Code coverage tools

2.2 Agile Software Development

2.2.1 Agile Manifesto

Since the late 1990's, developers have tried to reduce the time occupied by the implementation and testing phases. In order to accomplish this, several new implementations of the SDLC were proposed and evaluated, later collectively referred to as *Agile development methodologies*. The term *Agile development* was coined during a meeting of seventeen prominent software developers, held between February 11-13, 2001, in Snowbird, Utah [20]. As a result of this meeting, the developers defined the four key values and twelve principles that define these new methodologies, called the *Manifesto for Agile Software Development*, also known as the *Agile Manifesto*.

According to the authors, the four key values of Agile software development should be interpreted as follows: "While there is value in the items on the right, we value the items on the left more" [3]. Meyer provides a the following definition for the four values: "general assumptions framing the agile view of the world", while defining the principles as "core agile rules, organizational and technical" [32, p. 2]. Martin identifies the principles as "the characteristics that differentiate a set of agile practices from a heavyweight process" [31, p. 33]. A variety of different programming models, based on the agile ideologies, have arisen since 2001 and each one incorporates these values and principles in their own unique way. I will very briefly explain these values and their corresponding principles, using the mapping proposed by Kiv [26, p. 12].

2.2.1.1 *Individuals and interactions* over processes and tools

Instead of meticulously following an outlined development process and utilising the best tools available, the main focus of attention should shift to the people behind the development and how they are interacting with each other. According to Glass, the quality of the programmers and the team is the most influential factor in the successful development of software [15].

Principle 5: Build projects around motivated individuals. Give them the environment and support they need, and trust them to get the job done.
The key to successful software development is ensuring that the people working on the project are both skilled and motivated. Research has shown that, while proficient programmers can cost twice as much as their less-skilled counterparts, their productivity lies between 5 to 30 times higher [15]. Any factor that negatively impacts a healthy environment or decreases motivation should be changed [31, p. 34].

Principle 6: The most efficient and effective method of conveying information to and within a development team is face-to-face conversation.

Real-life conversations and human interaction, ideally in an informal setting, should be preferred over forms of digital communication. Direct communication techniques will encourage the developers to raise questions instead of making (possibly) wrong assumptions [11, 15].

Principle 8: Agile processes promote sustainable development. The sponsors, developers, and users should be able to maintain a constant pace indefinitely.

The team should aim for a fast, yet sustainable pace instead of rushing to finish the project. This reduces the risk of burnouts and ensures high-quality software will be delivered [31].

Principle 11: The best architectures, requirements, and designs emerge from self-organizing teams.

The idea of requiring a hierarchy within a team should be abolished. Every team member must be considered equal and must have input on how to divide the work and the corresponding responsibilities [31]. Subsequently, Fowler and Highsmith state that a minimal amount of process rules and an increase in human interactions has a positive influence on innovation and creativity [11].

Principle 12: At regular intervals, the team reflects on how to become more effective, then tunes and adjusts its behavior accordingly.

An agile team is versatile and aware that the environment changes continuously, and that they should act accordingly [31]. An important aspect to keep in mind is that the decision on whether to incorporate changes, should be taken by the team itself instead of by an upper hand, since all members share equal responsibilities [15].

2.2.1.2 *Working software* over comprehensive documentation

The primary goal of software engineering is to deliver a working end product which fulfils the needs of the customer. In order to accomplish this, development should start as soon as possible. Traditional programming models demand a lot of documentation to be written prior to the actual development, which will inevitably lead to inconsistencies between the documentation and the actual application as the project grows and the requirements change [19].

Principle 1: Our highest priority is to satisfy the customer through early and continuous delivery of valuable software.

Research has identified a negative correlation between the functionalities of the initial

delivery and the quality of the final release. This implies that the team should strive to deliver a rudimentary version of the project as soon as possible [31], rather than attempting to implement all required features at once.

Principle 3: Deliver working software frequently, from a couple of weeks to a couple of months, with a preference to the shorter timescale.
In the first principle I have explained the importance of an early, rudimental version of the project. After this initial delivery, new functionality is added in an incremental fashion in subsequent deliveries until all required features are implemented, with the interval between two delivery cycles being as short as possible. An important distinction to make is the difference between a "delivery" and a "release". Deliveries are iterations of the project sent to the customer, while releases are those deliveries that the customer considers suitable for public use [11]. Glass criticises this statement and sees little point in delivering development versions to the customer [15].

Principle 7: Working software is the primary measure of progress.
In traditional software development, the progress is measured by the amount of documentation that has been written. This way of measuring is however not representative for the actual completion of the project. Glass gives the example of a team lacking behind on schedule. They can hide their lack of progress by simply writing documentation instead of code, fooling their management [15]. In agile software development, the progress is measured directly by the fraction of completed functionality [31].

Principle 10: Simplicity –the art of maximizing the amount of work not done– is essential.
Agile software development tries to realise a minimal working version as soon as possible. In order to achieve this goal, optimal time management is crucial. This imposes two important consequences. First, the developers should only start writing code when the design is thoroughly tested, to avoid having to restart all over again [15]. Secondly, as section 2.2.1.4 will explain, it is possible that the structure of the project can change completely, something which needs to be accounted for when writing the code [31].

2.2.1.3 *Customer collaboration* over contract negotiation

In traditional software engineering, the role of the customer is subordinate to the developer. Agile software engineering maintains a different perception of this role, treating both the customer and developers as equal entities. Daily contact between both parties is of vital importance to avoid misunderstandings and a short feedback loop

allows the developers to cope with changes in requirements and to ensure that the customer is satisfied with the delivered product [19].

Principle 4: Business people and developers must work together daily throughout the project.
Martin: "For a project to be agile, customers, developers and stakeholders must have significant and frequent interaction." [31]. This has already been emphasised before by the principles discussed in section 2.2.1.1. Note that the word "customer" is missing in the definition of this principle. According to Glass, this was done on purpose to make the agile ideas apply to non-business applications as well [15].

2.2.1.4 *Responding to change* over following a plan

The first step of the aforementioned waterfall model (section 2.1) was to ensure both the customer and the developers have a complete and exhaustive view of the entire application. In reality however, this has proven to be rather difficult and sometimes even impossible. As a result of this, a change in requirements was one of the most common causes of software project failure [15]. Consequently, the agile software development methodologies do not require a complete specification of the final product to be known a priori and stimulate the developers to successfully cope with changes as the application is being developed [19].

Principle 2: Welcome changing requirements, even late in development. Agile processes harness change for the customer's competitive advantage.
Due to the iterative development approach, agile methodologies are able to implement required changes much earlier in the process, resulting in only a minimal impact on the system [31].

Principle 9: Continuous attention to technical excellence and good design enhances agility.
"High quality is the key to high speed", according to Fowler [31]. Code of high quality can only be achieved if the quality of the design is high as well, since this is required to handle changes in requirements. As a consequence, agile programmers should manage a "refactor early, refactor often" approach. While this might not result in a short-term benefit, as no new functionality is added, it definitely has a major impact in the long run and is essential to maintaining agility [11].

2.2.2 The need for Agile

In the wake of the world economic crisis, software companies were forced to devote efforts into researching how their overall expenses could be reduced. This research has concluded that in order to reduce financial risks, the *time-to-market* of an application should be as short as possible. In order to accomplish this, further research was conducted, resulting in an increase of attention for agile methodologies in scientific literature [21]. As was previously described in section 2.2.1.2, agile methodologies strive to deliver a minimal version as soon as possible, allowing additional functionality to be added in an incremental fashion. This effectively results in a shorter *time-to-market* and lower costs, since the company can decide to cancel the project much earlier in the process.

In addition to a reduced time-to-market, maintaining an agile workflow has also proven beneficial to the success rate of development. A study performed by The Standish Group revealed that the success rate of agile projects is more than three times higher compared to when traditional methodologies are practised, as illustrated in Figure 2.4.
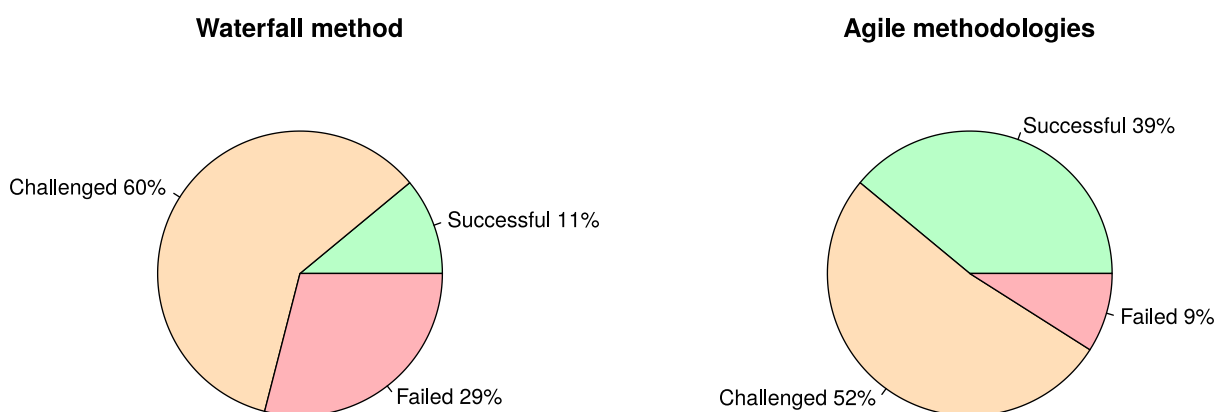

Figure 2.4: Success rate of Agile methodologies [17].

2.2.3 Continuous Integration

In traditional software development, the design phase typically leads to a representation of the required functionality in multiple, stand-alone modules. Subsequently, every module is implemented separately by individual developers. Afterwards, an attempt is made to integrate all the modules into the final application, an event to which Meyer refers to as the "Big Bang" [32, p.103]. The name *Big Bang* reflects the complex nature of this operation. This can prove to be a challenging operation, because every developer can take unexpected assumptions at the start of the project, which

may ultimately result in mutually incompatible components. Furthermore, since the code was written over a span of several weeks to months, the developers often need to rewrite code that they have not touched in a long time. Eventually this will lead to unanticipated delays and costs [38].

Contrarily, agile development methodologies advocate the idea of frequent, yet small deliveries (section 2.2.1.2). Consequently, this implies that the code is built often and that the modules are integrated multiple times, on a *continuous* basis, rather than just once at the end, thus allowing for early identification of problems [14]. This practice of frequent builds is referred to as *Continuous Integration* [31, 32]. It should be noted that this idea has existed and has been applied before the agile manifesto was written. The first notorious software company that has adopted this practice is Microsoft, already in 1989 [7, p.11]. Cusumano reports that Microsoft typically builds the entire application at least once per day [7, p.12], therefore requiring developers to integrate and test their changes multiple times per day.

The introduction of Continuous Integration `[CI]` in software development has important consequences on the life cycle. Where the waterfall model used a cascading life cycle, Continuous Integration employs a circular, repetitive structure consisting of three phases, as visualised in Figure 2.5.

1. **Implementation:** In the first phase, every developer individually writes code for the module they were assigned to. At a regular interval, the code is committed to the remote repository.

2. **Integration:** When the code is committed, the developer simultaneously fetches the changes to other modules. Afterwards, the developer must integrate the changes with his own module, to ensure compatibility. In case a conflict occurs, the developer is responsible for its resolution [31].

3. **Test:** After the module has successfully been integrated, the test suite is run to ensure no bugs have been introduced.

Adopting Continuous Integration can prove to be a lengthy and repetitive task. Luckily, a variety of tools and frameworks exist to automate this process. Essentially, these tools are typically attached to a version control system (e.g. Git, Mercurial, . . .), using a `post-receive` hook. Every time a commit is pushed by one of the developers, the CI system is notified, after which the code is automatically built and tests are executed. Optionally, the system can be configured to automatically publish successful runs to the end users, a process referred to as *Continuous Delivery*. I will now proceed by discussing four prominent Continuous Integration systems.
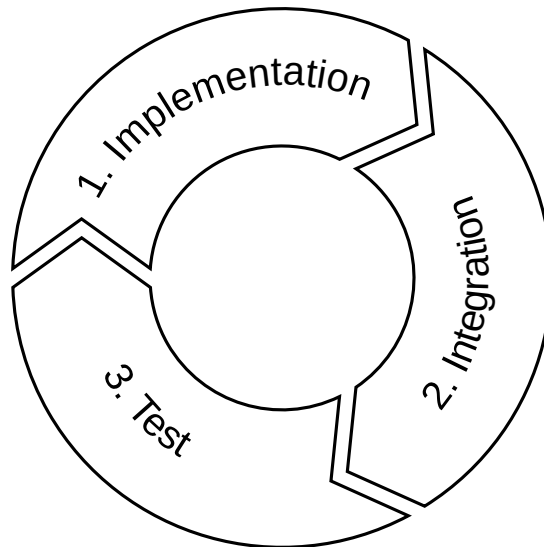
Figure 2.5: Development Life Cycle with Continuous Integration

2.2.3.1 Jenkins

Jenkins CI[4] was started as a hobby project in 2004 by Kohsuke Kawaguchi, a former employee of Sun Microsystems. Jenkins is programmed in Java and profiles itself as "The leading open source automation server". It was initially named Hudson, but after Sun was acquired by Oracle, issues related to the trademark Hudson arose. In response, the developer community decided to migrate the Hudson code to a new repository and rename the project to Jenkins [38]. As of today, Jenkins is still widely used for many reasons. Since it is open source and its source code is located on GitHub, it is free to use and can be self-hosted by the developers in a private environment. Furthermore, Jenkins provides an open ecosystem to support developers into writing new plugins and extending its functionality. A market research conducted by ZeroTurnaround in 2016 revealed that Jenkins is the preferred Continuous Integration tool by 60% of the developers [29].

Figure 2.6: Logo of Jenkins CI (`https://jenkins.io/`)

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[4]`https://jenkins.io/`

2.2.3.2   GitHub Actions

Following the successful beta of GitHub Actions which had started in August 2019, GitHub launched its own Continuous Integration system later that year in November[5]. GitHub Actions executes builds in the cloud on servers owned by GitHub and can therefore only be used in conjunction with a GitHub repository, support for GitHub Enterprise repositories is not yet available. The developers can define builds using *workflows* that can be configured to run both on Linux, Windows as well as OSX hosts. Private repositories are allowed a fixed amount of free build minutes per month, while builds of public repositories are always free of charges [10]. Similar to Jenkins, GitHub Actions can be extended with custom plugins. These plugins can be created using either a Docker container, or in native JavaScript, which allows faster execution [1]. It should be noted however that due to the recent nature of this system, not many plugins have been created yet.

Figure 2.7: Logo of GitHub Actions (`https://github.com/features/actions`)

2.2.3.3   GitLab CI

GitLab, the main competitor of GitHub, announced its own Continuous Integration service in late 2012 named GitLab CI[6]. GitLab CI builds are configured using *pipelines* and are executed by *GitLab Runners*. These runners are operated by developers on their own infrastructure. Additionally, GitLab also offers the possibility to use *shared runners*, which are hosted by themselves [2]. Equivalent to the aforementioned GitHub Actions, shared runners can be used for free by public repositories and are bounded by quota for private repositories [13]. A downside of using GitLab CI is the lack of a community-driven plugin system, however this is a planned feature [7].

Figure 2.8: Logo of GitLab CI (`https://gitlab.com/`)

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[5]`https://github.blog/2019-08-08-github-actions-now-supports-ci-cd/`
[6]`https://about.gitlab.com/blog/2012/11/13/continuous-integration-server-from-gitlab/`
[7]`https://gitlab.com/gitlab-org/gitlab/issues/15067`

2.2.3.4 Travis CI

The final Continuous Integration platform which I will discuss is Travis CI. This Continuous Integration system was launched in 2011 and can only be used in addition to an existing GitHub repository. Travis CI build tasks can be configured in a similar fashion as GitLab CI, but the builds can exclusively be executed on their servers. Besides running builds after a commit has been pushed to the repository, it is also possible to schedule daily, weekly or monthly builds using cron jobs. Similar to GitHub Actions, open-source projects can be built at zero cost and a paid plan exists for private repositories [9]. It is not possible to create custom plugins, however Travis CI already features built-in support for a variety of programming languages. In 2020, almost 1 million projects are being built using Travis CI [39].

Figure 2.9: Logo of Travis CI (`https://travis-ci.com/`)

Chapter 3

Related work

In the previous chapter, the paramount importance of frequently integrating one's changes into the upstream repository was emphasised. Additionally, Continuous Integration was introduced as both a practice and a tool to facilitate this often complex and time-consuming process. Continuous Integration is, however, not the golden bullet for software engineering, as there is a flip side to applying this practice. After every integration, all of the unit and regression tests in the test suite must be executed to ensure that the integration was successful and that no new bugs have been introduced. As the project evolves, the size of the codebase increases and consequently the amount of tests will increase as well in order to maintain a sufficiently high coverage level. An increase in the size of the test suite will inevitably lead to an increase in test duration [35], which imposes an issue of scaling. Walcott, Soffa and Kapfhammer illustrate the magnitude of this problem by providing an example of a codebase consisting of 20.000 lines, for which the tests require up to seven weeks to complete [40].

Fortunately, multiple developers and researchers have found some techniques that can be used to address the scalability issues of growing test suites. The techniques currently known to literature can be classified in three categories. Developers can either apply *Test Suite Minimisation*, *Test Case Selection* or *Test Case Prioritisation* [35]. All three techniques are applicable to any test suite, however there is a trade-off to be made. Depending on which technique is chosen, it will either have a major impact on the duration of the test suite execution in exchange for a reduced test coverage level, or it will result in a higher test adequacy.

In the following sections, the details of these three approaches will be discussed and accompanying algorithms will be provided. Since the approaches share common ideas, the algorithms can (albeit with minor modifications) be applied to all approaches. The final section will investigate the adoption and integration of these techniques and algorithms in existing prominent software testing frameworks.

3.1 Classification of approaches

3.1.1 Test Suite Minimisation

Test Suite Minimisation, also referred to as *Test Suite Reduction*, aims to reduce the size of the test suite by permanently removing redundant tests. This problem is formally defined by Rothermel in definition 1 [42] and illustrated in Figure 3.1.

Definition 1 (Test Suite Minimisation)**.**
Given:

- $T = \{t_1, \ldots, t_n\}$ *a test suite consisting of tests* t_j*.*

- $R = \{r_1, \ldots, r_n\}$ *a set of requirements that must be satisfied in order to provide the desired "adequate" testing of the program.*

- $\{T_1, \ldots, T_n\} \subseteq T$ *subsets of test cases, one associated with each of the requirements* r_i*, such that any one of the test cases* $t_j \in T_i$ *can be used to satisfy requirement* r_i*.*

Test Suite Minimisation is then defined as the task of finding a set T' *of test cases* $t_j \in T$ *that satisfies all requirements* r_i*.*
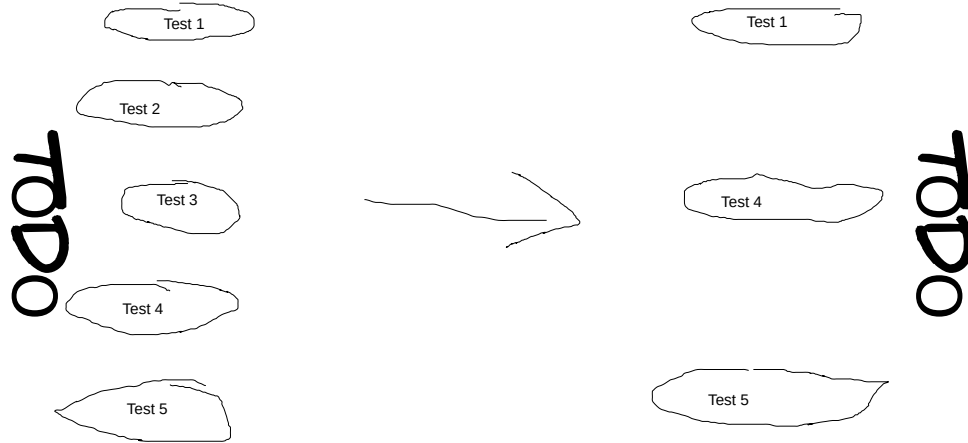
Figure 3.1: Test Suite Minimisation

If we apply this definition to the concepts introduced in chapter 2, the requirements R can be interpreted as lines in the codebase that must be covered. With respect to the definition, a requirement can be satisfied by any test t_j that belongs to subset T_i of T. Observe that the problem of finding T' is closely related to the *hitting set problem* (definition 2) [42].

Definition 2 (Hitting Set Problem)**.**
Given:

- $S = \{s_1, \ldots, s_n\}$ *a finite set of elements.*

- $C = \{c_1, \ldots, c_n\}$ *a collection of sets, with* $\forall c_i \in C : c_i \subseteq S.$

- K *a positive integer,* $K \leq |S|.$

The hitting set is a subset $S' \subseteq S$ *such that* S' *contains at least one element from each subset in* $C.$

In the context of Test Suite Minimisation, T' is precisely the hitting set of T_is. In order to effectively minimise the amount of tests in the test suite, T' should be the minimal hitting set [42], which is an NP-complete problem as it can be reduced to the *Vertex Cover*-problem [12].

3.1.2 Test Case Selection

The second algorithm closely resembles the previous one. Instead of determining the minimal hitting set of the test suite in order to permanently remove tests, this algorithm has a notion of context. Prior to the execution of the tests, the algorithm performs a *white-box static analysis* of the codebase to identify which parts have been changed. Subsequently, only the tests regarding modified parts are executed, making the selection temporary (Figure 3.2) and modification-aware [42]. Rothermel and Harrold define this formally in definition 3.

Definition 3 (Test Case Selection)**.**
Given:

- P *the previous version of the codebase*

- P' *the current (modified) version of the codebase*

- T *the test suite*

Test Case Selection aims to find a subset $T' \subseteq T$ *that is used to test* $P'.$

3.1.3 Test Case Prioritisation

Where the previous algorithms both attempted to execute as few tests as possible, it might sometimes be desired or even required that all tests pass. In this case, the previous ideas can be used as well. In Test Case Prioritisation, we want to find a permutation of the sequence of all tests instead of eliminating certain tests. The order of the permutation is chosen specifically to achieve a given goal as soon as possible, allowing for early termination of the test suite upon failure [42]. Some examples of
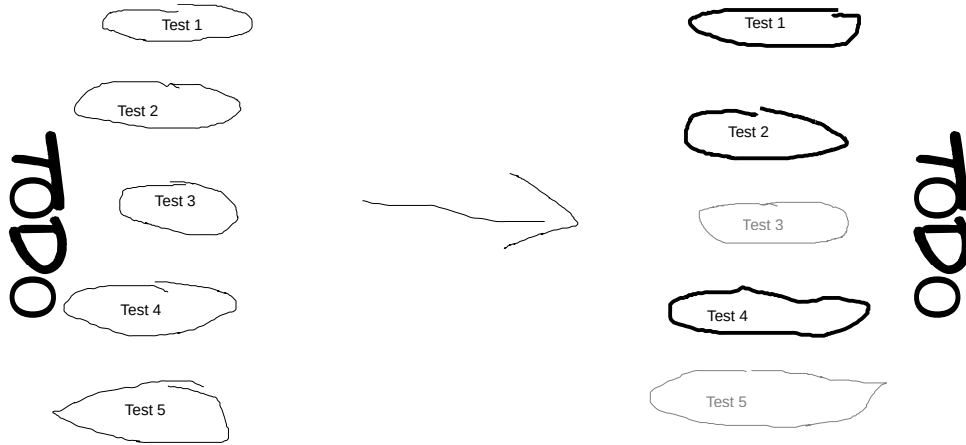
Figure 3.2: Test Case Selection

goals include covering as many lines of code as fast as possible, or early execution of tests with a high probability of failure. A formal definition of this algorithm is provided in definition 4.

Definition 4 (Test Case Prioritisation)**.**
Given:

- T *the test suite*

- PT *the set of permutations of* T

- $f : PT \mapsto \mathbb{R}$ *a function from a subset to a real number, this function is used to compare sequences of tests to find the optimal permutation.*

Test Case Prioritisation finds a permutation $T' \in PT$ *such that* $\forall T'' \in PT : f(T') \geq f(T'') \Rightarrow (T'' \neq T')$
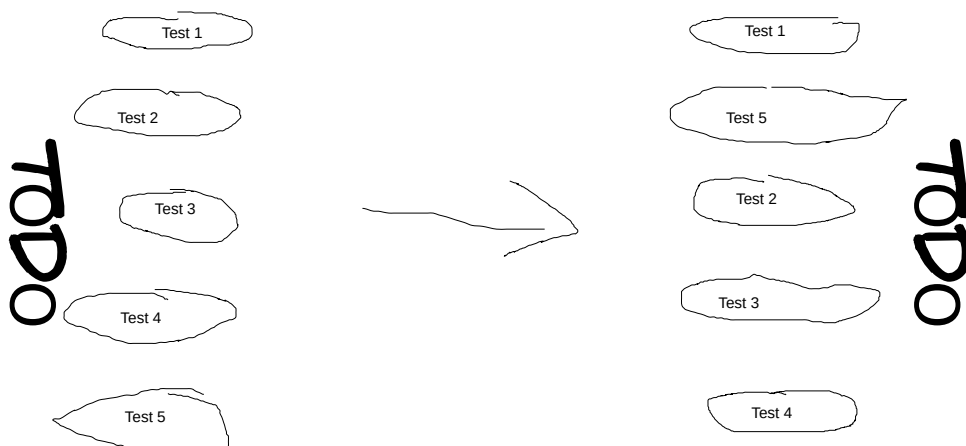

Figure 3.3: Test Case Prioritisation

3.2 Algorithms

In subsection 3.1.1 the relation was explained between applying Test Suite Minimisation and finding the minimal hitting set of the test suite and the set of requirements, which is an NP-complete problem. Therefore, the use of *heuristics* is required. A heuristic is an experience-based method that can be used to solve a hard to compute problem by finding a fast approximation [22]. However, the found solution will mostly be suboptimal or might sometimes even fail to find any solution at all. Considering its relation to the minimal hitting set problem, heuristics that are known to literature for solving this problem can also be used to implement Test Suite Minimisation. A selection of these heuristics will be discussed below. It should be noted however that the used terminology and naming of the variables might have been changed to ensure mutual consistency. Every algorithm has been adapted to adhere to the conventions provided in definition 5 and definition 6.

Definition 5 (Naming convention)**.**

- C: *the set of all lines in the application source code that are covered by at least one test case* $t \in TS$.

    - CT_l *denotes the test group* l, *which corresponds to the set of all tests* $t \in TS$ *that cover source code line* $l \in C$.

- RS: *the representative set of test cases, these are the test cases that have been selected by the algorithm.*

- TS: *the set of all test cases in the test suite.*

    - TL_t *denotes the set of all source code lines that are covered by test* $t \in TS$.

Definition 6 (Cardinality)**.** *For a finite set* S, *the cardinality* $|S|$ *is defined as the number of elements in* S. *In case of potential confusion, the cardinality of* S *can also be denoted as* $Card(S)$.

3.2.1 Greedy algorithm

The first algorithm is a *greedy* heuristic, which was originally designed by Chvatal to find an approximation for the set-covering problem [35]. A greedy algorithm always makes a locally optimal choice, assuming that this will eventually lead to a globally optimal solution [6]. Algorithm 1 presents the Greedy algorithm for Test Suite Minimisation. The goal of the algorithm is to construct a set of test cases that cover every line in the code, by requiring as few tests as possible.

Initially, the algorithm starts with an empty result set RS, the set TS of all test cases and the set C of all coverable source code lines. Furthermore, TL_t denotes the set of source code lines in C that are covered by test case $t \in TS$. Subsequently, the algorithm iteratively selects test cases from TS and adds them to RS. The locally optimal choice is to always select the test case that will contribute the most still uncovered lines, ergo the test t for which the cardinality of the intersection between C and TL_t is maximal. After every iteration, the now covered lines TL_t are removed from C and the selection process is repeated until C is empty. Upon running the tests, only the tests in RS must be executed. This algorithm can be converted to make it applicable to Test Case Prioritisation by converting the set RS to a list to maintain the order in which the test cases were selected, which is equivalent to the prioritised order of execution.

Algorithm 1 Greedy algorithm for Test Suite Minimisation

1: **Input:** Set TS of all test cases,
       Set C of all source code lines that are covered by any $t \in TS$,
       TL_t the set of all lines are covered by test case $t \in TS$.
2: **Output:** Subset $RS \subseteq TS$ of tests to execute.
3: $RS \leftarrow \emptyset$
4: **while** $C \neq \emptyset$ **do**
5:     $t\_max \leftarrow 0$
6:     $tl\_max \leftarrow \emptyset$
7:     **for all** $t \in TS$ **do**
8:         $tl\_current \leftarrow C \cap TL_t$
9:         **if** $|tl\_current| > |tl\_max|$ **then**
10:            $t\_max \leftarrow t$
11:            $tl\_max \leftarrow tl\_current$
12:     $RS \leftarrow RS \cup \{t\_max\}$
13:     $C \leftarrow C \setminus tl\_max$

3.2.2 HGS

The second algorithm was created by Harrold, Gupta and Soffa [18]. This algorithm constructs the minimal hitting set of the test suite in an iterative fashion. As opposed to the greedy algorithm (subsection 3.2.1), the HGS algorithm considers the test groups CT instead of the set TLt to obtain a list of test cases that cover all source code lines. More specifically, this algorithm considers the distinct test groups, denoted as CTD. Two test groups are considered indistinct if they differ in at least one test case. The pseudocode for this algorithm is provided in Algorithm 2.

Similar to the previous algorithm, an empty representative set RS is constructed in which the selected test cases will be stored. The algorithm begins by iterating over every source code line $l \in C$ and constructing the corresponding set of test groups CT_l. As mentioned before, for performance reasons this set is reduced to CTD, only retaining distinct test groups. Next, the algorithm selects every test group of which the cardinality is equal to 1 and adds these to RS. This corresponds to every test case that covers a line of code, which is exclusively covered by that single test case. Subsequently, the lines that are covered by any of the selected test cases are removed from C. This process is repeated for an incremented cardinality, until every line in C is covered. Since the remaining test groups will now contain more than one test case, the algorithm needs to make a choice on which test case to select. The authors have chosen that the test case that occurs in the most test groups is preferred. In the event of a tie, this choice is deferred until the next iteration.

The authors have provided an accompanying calculation of the computational time complexity of this algorithm [18]. With respect to the naming convention introduced in definition 5, additionally let n denote the number of distinct test groups CTD, nt the number of test cases $t \in TS$ and MAX_CARD the cardinality of the largest test group. The HGS algorithm consists of two steps which are performed repeatedly. The first step involves computing the number of occurrences of every test case t in each test group. Given that there are n distinct test groups and, in the worst case scenario, each test group can contain MAX_CARD test cases which all need to be examined once, the computational cost of this step is equal to $O(n * MAX\_CARD)$. In order to determine which test case should be included in the representative set RS, the algorithm needs to find all test cases for which the number of occurrences in all test groups is maximal, which requires at most $O(nt * MAX\_CARD)$. Since every repetition of these two steps adds a test case that belongs to at least one out of n test groups to the representative set, the overall runtime of the algorithm is $O(n * (n + nt) * MAX\_CARD)$.

Algorithm 2 HGS algorithm ([18])

1: **Input:** Distinct test groups $T_1, \ldots T_n \in CDT$, containing test cases from TS.
2: **Output:** Subset $RS \subseteq TS$ of tests to execute.
3: $marked \leftarrow array[1 \ldots n]$ ▷ initially $false$
4: $MAX\_CARD \leftarrow max\{Card(T_i) | T_i \in CDT\}$
5: $RS \leftarrow \bigcup\{T_i | Card(T_i) = 1\}$
6: **for all** $T_i \in CDT$ **do**
7:     **if** $T_i \cap RS \neq \emptyset$ **then** $marked[i] \leftarrow true$

8: $current \leftarrow 1$
9: **while** $current < MAX\_CARD$ **do**
10:     $current \leftarrow current + 1$
11:     **while** $\exists T_i : Card(T_i) = current, marked[i] = false$ **do**
12:         $list \leftarrow \{t | t \in T_i : Card(T_i) = current, marked[i] = false\}$
13:         $next \leftarrow SelectTest(current, list)$
14:         $reduce \leftarrow false$
15:         **for all** $T_i \in CDT$ **do**
16:             **if** $next \in T_i$ **then**
17:                 $marked[i] = true$
18:                 **if** $Card(T_1) = MAX\_CARD$ **then** $reduce \leftarrow true$
19:         **if** $reduce$ **then**
20:             $MAX\_CARD \leftarrow max\{Card(T_i) | marked[i] = false\}$
21:         $RS \leftarrow RS \cup \{next\}$
22: **function** SelectTest($size$, $list$)
23:     $count \leftarrow array[1 \ldots nt]$
24:     **for all** $t \in list$ **do**
25:         $count[t] \leftarrow |\{T_j | t \in T_j, marked[T_j] = false, Card(T_j) = size\}|$
26:     $tests \leftarrow \{t | t \in list, count[t] = max(count)\}$
27:     **if** $|tests| = 1$ **then return** $tests[0]$
28:     **else if** $|tests| = MAX\_CARD$ **then return** $tests[0]$
29:     **else return** $SelectTest(size + 1, tests)$

3.2.3 ROCKET algorithm

In contrast to the previously discussed algorithms which focused on Test Suite Minimisation, the ROCKET algorithm is tailored for Test Case Prioritisation. This algorithm was presented by Marijan, Gotlieb and Sen [30] as part of a case study to improve the testing efficiency of industrial video conferencing software. Unlike the previous algorithms that only take code coverage into account, this algorithm also considers historical failure data and test execution time. The objective of this algorithm is twofold: select the test cases with the highest consecutive failure rate, whilst also maximising the number of executed test cases in a limited time frame. The below algorithm has been modified slightly, since the time frame is a domain-specific constraint for this particular industry case and irrelevant for this thesis. Since this is a prioritisation algorithm rather than a selection or minimisation algorithm, it yields a total ordering of all the test cases in the

test suite, ordered using a weighted function.

The modified version of the algorithm (pseudocode is provided in Algorithm 3) takes three inputs:

- the set of test cases to prioritise $TS = \{T_1, \ldots, T_n\}$

- the execution time for each test case $E = \{E_1, \ldots, E_n\}$

- the failure status for each test case over the previous m successive executions $F = \{F_1, \ldots, F_n\}$, where $F_i = \{f_1, \ldots, f_m\}$

The algorithm starts by creating an array P of length n, which contains the priority of each test case. The priority of each test case is initialised at zero. Next, an $m \times n$ failure matrix MF is constructed and filled using the following formula.

$$MF[i, j] = \begin{cases} 1 & \text{if test case } T_j \text{ passed in execution } (current - i) \\ -1 & \text{if test case } T_j \text{ failed in execution } (current - i) \end{cases}$$

This matrix MF is visualised in Table 3.1. This table contains the hypothetical failure rates of the last three executions of six test cases.

run	T_1	T_2	T_3	T_4	T_5	T_6
$current - 1$	1	1	1	1	-1	-1
$current - 2$	-1	1	-1	-1	1	-1
$current - 3$	1	1	-1	1	1	-1

Table 3.1: Visualisation of the failure matrix MF.

Afterwards, P is filled with the cumulative priority of each test case, which is calculated by multiplying its failure rate with a domain-specific weight heuristic ω. This heuristic is used to derive the probability of repeated failures of the same test, given earlier failures. In their paper [30], the authors apply the following weights:

$$\omega_i = \begin{cases} 0.7 & \text{if } i = 1 \\ 0.2 & \text{if } i = 2 \\ 0.1 & \text{if } i >= 3 \end{cases}$$

$$P_j = \sum_{i=1\ldots m} MF[i, j] * \omega_i$$

Finally, the algorithm groups test cases based on their calculated priority in P. Every test case that belongs to the same group is equally relevant for execution in the current test run. However, within every test group the tests will differ in execution time E. The

final step is to reorder test cases that belong to the same group in such a way that test cases with a shorter duration are executed earlier in the group.

Algorithm 3 ROCKET algorithm

1: **Input:** Set $TS = \{T_1, \ldots, T_n\}$ of all test cases,
Execution time E of every test case,
Failure status FS for each test case over the previous m successive iterations.
2: **Output:** Priority of test cases P.
3: $P \leftarrow array[1 \ldots n]$ ▷ initially 0
4: $MF \leftarrow array[1 \ldots m]$
5: **for all** $i \in 1 \ldots m$ **do**
6:     $MF[i] \leftarrow array[1 \ldots n]$
7:     **for all** $j \in 1 \ldots n$ **do**
8:        **if** test case T_j failed in run $(current - i)$ **then** $MF[i][j] \leftarrow -1$
9:        **else** $MF[i][j] \leftarrow 1$
10: **for all** $j \in 1 \ldots n$ **do**
11:     **for all** $i \in 1 \ldots m$ **do**
12:        **if** $i = 1$ **then** $P[j] \leftarrow P[j] + (MF[i][j] * 0.7)$
13:        **else if** $i = 2$ **then** $P[j] \leftarrow P[j] + (MF[i][j] * 0.2)$
14:        **else** $P[j] + (MF[i][j] * 0.1)$
15: $Q \leftarrow \{P[j] | j \in 1 \ldots n\}$ ▷ distinct priorities
16: $G \leftarrow array[1 \ldots Card(Q)]$ ▷ initially empty sets
17: **for all** $j \in 1 \ldots n$ **do**
18:     $p \leftarrow P[j]$
19:     $G[p] \leftarrow G[p] \cup \{j\}$
20: Sort every group in G based on ascending execution time in E.
21: Sort P according to which group it belongs and its position within that group.

3.3   Adoption in testing frameworks

Some of the approaches discussed above have been integrated in existing software testing frameworks. This paper will now proceed by conducting an analysis of these frameworks and tools to analyse which optimisation features are available and how they were implemented.

3.3.1   Gradle and JUnit

Gradle[1] is a dependency manager and application framework for Java, Groovy and Kotlin projects. Gradle supports multiple plugins to automate tedious tasks, such as configuration management, testing and deploying. One of the supported testing integrations is JUnit[2], which is the most widely used unit testing framework by Java developers. JUnit 5 is the newest version which is still actively being developed as of today. The framework is integrated as the testing framework of choice in several other Java libraries and frameworks, such as Android and Spring. JUnit offers mediocre support for features that optimise the execution of the test cases, especially when used in conjunction with Gradle. The following three key features are available:

1. **Parallel test execution:** JUnit comes bundled with multiple test processors that are responsible for processing test classes and to execute the test cases. One of these test processors is the `MaxNParallelTestClassProcessor`, which is capable of running a configurable amount of test cases in parallel. This results in a major speed-up of the overall test suite execution.

2. **Prioritise failed test cases:** Another test class processor which is provided by Gradle, is the `RunPreviousFailedFirstTestClassProcessor`. This processor will prioritise test cases that have failed in the previous run, similar to the idea of the ROCKET-algorithm (subsection 3.2.3), albeit without taking into account the duration of these test cases.

3. **Test order specification:** JUnit allows the user to specify the order in which test cases will be executed[3]. By default, a random yet deterministic order is used. The order can be manipulated by annotating the test class with the `@TestMethodOrder`-annotation, or by annotating individual test cases with the `@Order(int)`-annotation. This feature can only be used to alter the order of test cases within the same test class, it is not possible to perform inter-test class reordering. This feature could be used to sort test cases based on their execution time.

---

[1] https://gradle.org
[2] https://junit.org
[3] https://junit.org/junit5/docs/current/user-guide/#writing-tests-test-execution-order

Figure 3.4: Logo of Gradle

Figure 3.5: Logo of JUnit 5

3.3.2 OpenClover

OpenClover[4] is a code coverage framework for Java and Groovy projects. The frame-work was created by Atlassian and open-sourced in 2017. It profiles itself as "the most sophisticated code coverage tool", by extracting useful metrics from the coverage re-sults and by providing features that can optimise the test suite. Among these features are powerful integrations with development software and prominent Continuous Inte-gration services. Furthermore, OpenClover offers the automatic analysis of the cover-age results to detect relations between the application source code and the test cases. This feature allows OpenClover to predict which test cases will have been affected, given a set of modifications to the source code. Subsequently, these predictions can be interpreted to implement Test Case Selection. This results in a reduced test suite execution time.

Figure 3.6: Logo of Atlassian Clover

---

[4]https://openclover.org

Chapter 4

Proposed framework: VeloCIty

The implementation part of this thesis consists of a framework and a set of tools, tailored at optimising the test suite as well as providing accompanying metrics and insights. The framework was named *VeloCIty* to reflect its purpose of enhancing the speed at which Continuous Integration is practised. This paper will now proceed by describing the design goals of the framework. Afterwards, a high-level schematic overview of the implemented architecture will be provided, followed by a more in-depth explanation of every pipeline step. In the final section of this chapter, the *Alpha* algorithm will be presented.

4.1 Design goals

VeloCIty has been implemented with four design goals in mind:

1. **Extensibility:** It should be possible and straightforward to support additional Continuous Integration systems, programming languages and test frameworks. Subsequently, a clear interface should be provided to integrate additional prioritisation algorithms.

2. **Minimally invasive:** Integrating VeloCIty into an existing test suite should not require drastic changes to any of the test cases.

3. **Language agnosticism:** This design goal is related to the framework being extensible. The implemented tools should not need to be aware of the programming language of the source code, nor the used test framework.

4. **Self-improvement:** The prioritisation framework supports all of the algorithms presented in section 3.2. It is possible that the performance of a given algorithm is strongly dependent on the nature of the project it is being applied to. In order to facilitate this behaviour, the framework should be able to measure the performance of every algorithm and "learn" which algorithm offers the best prediction, given a set of source code.

4.2   Architecture

The architecture of the VeloCIty framework consists of seven steps that are performed sequentially in a pipeline fashion, as illustrated in the sequence diagram (Figure 4.1). Every step is executed by one of three individual components, which will now be introduced briefly.

4.2.1   Agent

The first component that will be discussed is the agent. This is the only component that depends actively on both the programming language, as well as the used test framework, since it must interact directly with the source code and test suite. For every programming language or test framework that needs to be supported, a different implementation of an agent must be provided. This implementations are however strongly related, so much code can be reused or even shared. In this thesis, an agent was implemented in Java, more specifically as a plugin for the widely used Gradle and JUnit test framework. This combination was previously described in subsection 3.3.1. This plugin is responsible for running the test suite in a certain prioritised order, which is obtained by communicating with the controller (subsection 4.2.2). After the test cases have been executed, the plugin sends a feedback report to the controller, where it is analysed.

4.2.2   Controller

The second component is the core of the framework, acting as an intermediary between the agent on the left side and the predictor (subsection 4.2.3) on the right side. In order to satisfy the second design goal and allow language agnosticism, the agent communicates with the controller using the `HTTP` protocol by exposing a *REST*-interface. Representational State Transfer [`REST`] is a software architecture used by modern web applications that allows standardised communication using existing HTTP methods. On the right side, the controller does not communicate directly with the predictor, but rather stores prediction requests in a shared database which is periodically polled by the predictor. Besides routing prediction requests from the agent to the predictor, the controller will also update the meta predictor by evaluating the accuracy of earlier predictions of this project.

4.2.3   Predictor and Metrics

The final component is twofold. Its main responsibility is to apply the prioritisation algorithms and predict an order in which the test cases should be executed. This order
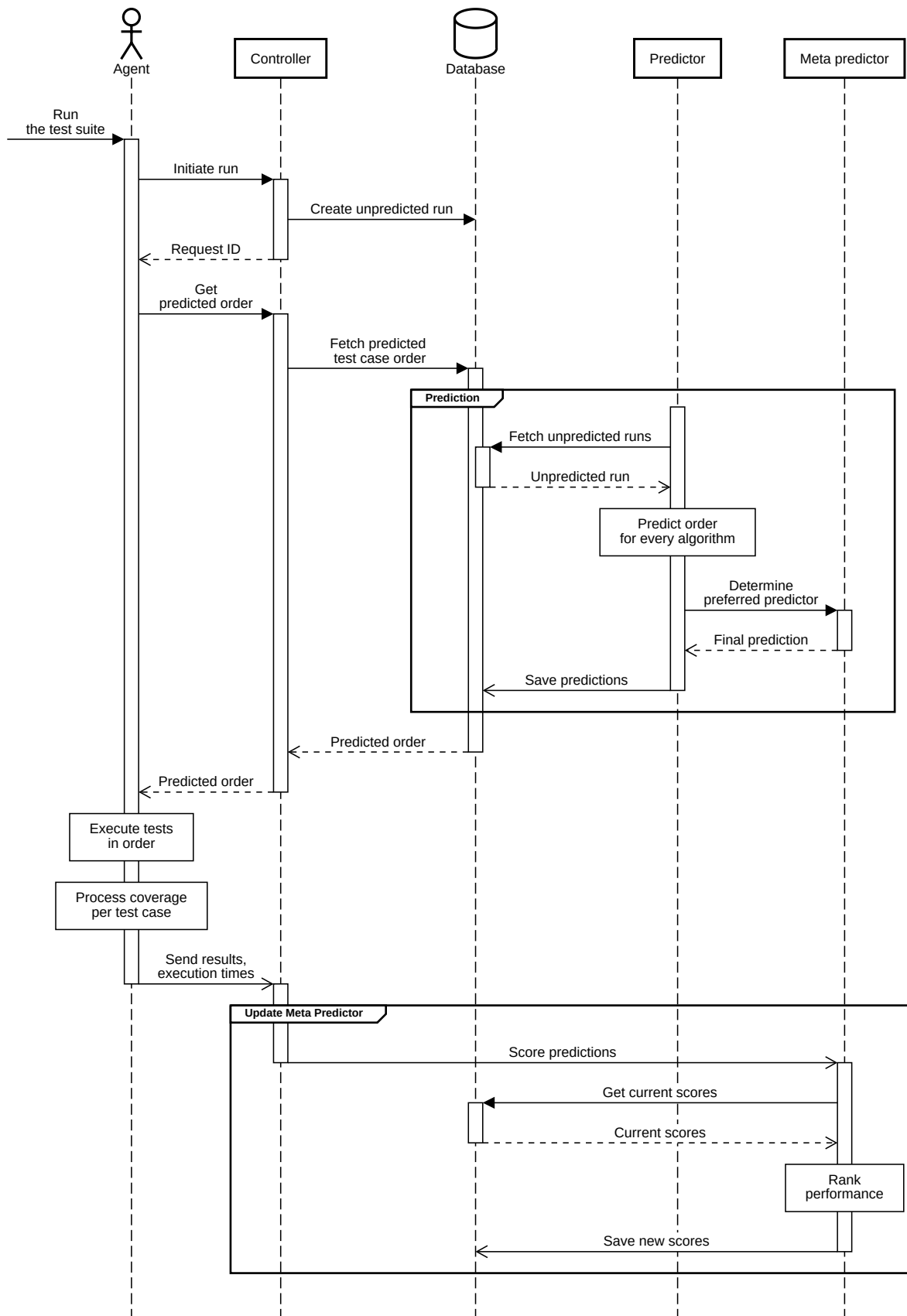
Figure 4.1: Sequence diagram of VeloCIty

is calculated by first executing ten algorithms and subsequently picking the algorithm that has been preferred by the meta predictor. Additionally, this component is able to provide metrics about the test suite, such as identifying superfluous test cases by applying Test Suite Minimisation. More specifically, this redundancy is obtained using the greedy algorithm (subsection 3.2.1). Both of these scripts have been implemented in Python, because of its simplicity and existing libraries for many common operations, such as numerical calculations (NumPy[1]) and machine learning (TensorFlow[2]).

4.3 Pipeline

This section will elaborate on the individual steps of the pipeline. The steps will be discussed by manually executing the pipeline that has hypothetically been implemented on a Java project. For the sake of simplicity, this explanation will assume a steady-state situation, ensuring the existence of at least one completed run of this project in the database at the controller side.

4.3.1 Initialisation

As was explained before, the provided Java implementation of the agent was designed to be used in conjunction with Gradle. In order to integrate VeloCIty into a Gradle project, the build script (`build.gradle`) should be modified in two places. The first change is to include and apply the plugin in the header of the file. Afterwards, the plugin requires three properties to be configured:

- `base` the path to the Java source files, relative to the location of the build script. This will typically resemble `src/main/java`.

- `repository` the url to the git repository at which the project is hosted. This is required in subsequent steps of the pipeline, to detect which code lines have been changed in the commit currently being analysed.

- `server` the url at which the controller can be reached.

Listing 4.1 contains a minimal integration of the agent in a Gradle build script, applied to a library for generating random numbers[3]. The controller is hosted at the same host as the agent and is accessible at port `8080`.

Listing 4.1: Minimal Gradle buildscript

```
1 buildscript {
```

---

[1]`https://numpy.org/`
[2]`https://www.tensorflow.org/`
[3]`https://github.com/thepieterdc/random-java`

```
2      dependencies {
3          classpath 'io.github.thepieterdc.velocity:velocity-
               junit:0.0.1-SNAPSHOT'
4      }
5  }
6
7  plugins {
8      id 'java'
9  }
10
11 apply plugin: 'velocity-junit'
12
13 velocity {
14     base 'src/main/java/'
15     repository 'https://github.com/thepieterdc/random-java'
16     server 'http://localhost:8080'
17 }
```

After the project has been configured, the test suite must be executed. For the Gradle agent, this involves executing the built-in `test` task. This task requires an additional argument to be passed, which is the commit hash of the changeset to prioritise. In every discussed Continuous Integration system, this commit hash is available as an environment variable.

The first step is for the agent to initiate a new test run in the controller. This is accomplished by sending a `POST`-request to the `/runs` endpoint of the controller, which will reply with an identifier. On the controller side, this request will result in a new prioritisation request being enqueued in the database that will asynchronously be processed by the predictor daemon in the next step.

4.3.2   Prediction

The prediction of the test execution order is performed by the predictor daemon. This daemon continuously polls the database to fetch new test runs that need to be predicted. When a new test run is detected, the predictor executes every available prediction algorithm in order to obtain multiple prioritised test sequences. The following algorithms are available:

AllInOrder   The first algorithm will simply prioritise every test case alphabetically and will be used for for benchmarking purposes in chapter 5.

AllRandom   The second algorithm has also been implemented for benchmarking purposes. This algorithm will "prioritise" every test case arbitrarily.

AffectedRandom   This algorithm will only consider the test cases that cover source code lines which have been modified in the current commit. These test cases will be ordered randomly, followed by the other test cases in the test suite in no particular order.

GreedyCoverAll   The first of three implementations of the Greedy algorithm (subsection 3.2.1) will execute the algorithm to prioritise the entire test suite.

GreedyCoverAffected   As opposed to the previous greedy algorithm, the second Greedy algorithm will only consider test cases covering changed source code lines to be prioritised. After these test cases, the remaining test cases in the test suite will be ordered randomly.

GreedyTimeAll   Instead of greedily attempting to cover as many lines of the source code using as few tests as possible, this implementation will attempt to execute as many tests as possible, as soon as possible. In other words, this algorithm will prioritise test cases based on their average execution time.

HGSAll   This algorithm is an implementation of the algorithm presented by Harrold, Gupta and Soffa (subsection 3.2.2). It is executed for every test case in the test suite.

HGSAffected   Similar to the *GreedyAffected* algorithm, this algorithm is identical to the previous *HGSAll* algorithm besides that it will only prioritise test cases covering changed source code lines.

ROCKET   The penultimate algorithm is a straightforward implementation of the pseudocode provided in subsection 3.2.3.

Alpha   The final algorithm has been inspired by the other implemented algorithms. section 4.4 will further elaborate on the details.

Subsequently, the final prioritisation order is determined by applying the meta predictor. Essentially, the meta predictor can be seen as a table which assigns a score to every algorithm, indicating its performance on this codebase. subsection 4.3.4 will explain later how this score is updated. The predicted order by the algorithm with the highest score is eventually elected by the meta predictor as the final prioritisation order, and saved to the database.

4.3.3   Test case execution

Regarding the agent, the identifier obtained in subsection 4.3.1 is used to poll the controller by sending a `GET` request to `/runs/id`, which will reply with the test execution order if this has already been determined. One of the discussed features of Gradle in subsection 3.3.1 was the possibility to execute test cases in a chosen order by adding annotations. However, this feature cannot be used to implement the Java agent, since it only supports ordering test cases within the same test class. In order to facilitate complete control over the order of execution, a custom `TestProcessor` and `TestListener` have been implemented.

The `TestProcessor` is responsible for processing every test class in the classpath and forward it along with configurable options to a delegate processor. The final processor in this chain will eventually perform the actual execution of the test class. Since the delegate processors that are built into Gradle will by default execute every method in the test class, the custom processor needs to work differently. The implemented agent will first store every received test class into a list and load the class to obtain all test cases in the class using reflection. After all classes have been processed, the processor will iterate over the prioritised order. For every test case t in the order, the delegate processor is called with a tuple of the corresponding test class and an options array which excludes every test case except t. This will effectively forward the same test class multiple times to the delegate processor, but each time with an option that restricts test execution to the prioritised test case, resulting in the desired behaviour.

Subsequently, the `TestListener` is a method that is called before and after every invocation of a test case. This listener allows the agent to calculate the duration of every test case, as well as collect the intermediary coverage and save this on a per-test case basis.

4.3.4   Post-processing and analysis

The final step of the pipeline is to provide feedback to the controller, to evaluate the accuracy of the predictions and thereby implementing the fourth design goal of self-improvement. After executing all test cases, the agent sends the test case results, the execution time and the coverage per test case to the controller by issuing a `POST` request to `/runs/id/test-results` and `/runs/id/coverage`.

Upon receiving this data, the controller will update the meta predictor using the following procedure. The meta predictor is only updated if at least one of the test cases has failed, since the objective of Test Case Prioritisation is to detect failures as fast as

possible, thus every prioritised order is equally good if there are no failures at all. If however a test case did fail, the predicted orders are inspected to calculate the duration until the first failed test case for every order. Subsequently, the average of all these durations is calculated. Finally, the score of every algorithm that predicted a below average duration until the first failure is increased, otherwise it is decreased. This will eventually lead to the most accurate algorithms being preferred in subsequent test runs.

4.4   Alpha algorithm

Besides the algorithms which have been presented in section 3.2, an additional algorithm has been implemented: the *Alpha* algorithm. This was constructed by examining the philosophy behind every discussed algorithm and subsequently combining the best ideas into a novel prioritisation algorithm. The specification below will assume the same naming convention as described in definition 5. The pseudocode is provided in Algorithm 4

The algorithm consumes the following inputs:

- the set of all n test cases: $TS = \{T_1, \ldots, T_n\}$

- the set of m *affected* test cases: $AS = \{T_1, \ldots, T_m\} \subseteq TS$. A test case t is considered "affected" if any source code line which is covered by t has been modified or removed in the commit that is being predicted.

- C: the set of all lines in the application source code, for which a test case $t \in TS$ exists that covers this line and that has not yet been prioritised. Initially, this set contains every covered source code line.

- the failure status of every test case, for every past execution out of k executions of that test case: $F = \{F_1, \ldots, F_n\}$, where $F_i = \{f_1, \ldots, f_k\}$. $F_{tj} = 1$ implies that test case t has failed in execution $current - j$.

- the execution time of test case $t \in TS$ for run $r \in [1 \ldots k]$, in milliseconds: D_{tr}.

- for every test case $t \in TS$, the set TL_t is composed of all source code lines that are covered by test case t.

The first step of the algorithm is to determine the execution time E_t of every test case t. This execution time is calculated as the average of the durations of every successful (i.e.) execution of t, since a test case will be prematurely aborted upon the first failed

assertion, which introduces bias in the duration timings. In case t has never been executed successfully, the average is computed over every execution of t.

$$E_t = \begin{cases} \overline{\{D_{ti}|i \in [1\ldots k], F_{ti} = 0\}} & \exists j \in [1\ldots k], F_{tj} = 0 \\ \overline{\{D_{ti}|i \in [1\ldots k]\}} & \text{otherwise} \end{cases}$$

Next, the algorithm executes every affected test case that has also failed at least once in its three previous executions. This reflects the behaviour of a developer attempting to resolve the bug that caused the test case to fail. Specifically executing *affected* failing test cases first is required in case multiple test cases are failing and the developer is resolving these one by one, an idea which was extracted from the ROCKET algorithm (subsection 3.2.3). In case there are multiple affected failing test cases, the test cases are prioritised by increasing execution time. After every selected test case, C is updated by subtracting the code lines that have been covered by at least one of these test cases.

Afterwards, the same operation is repeated for every failed but unaffected test case, likewise ordered by increasing execution time. Where the previous step helps developers to get fast feedback about whether or not the specific failing test case they were working on has been resolved, this step ensures that other failing test cases are not forgotten and are executed early in the run as well. Similar to the previous step, C is again updated after every prioritised test case.

Research (subsection 5.4.1) has indicated that on average, only a small fraction ($10\,\% - -20\,\%$) of all test runs will contain failed tests, resulting in the previous two steps not being executed at all. Therefore, the most time should be dedicated to executing test cases that cover affected code lines. More specifically, the next step of the algorithm executes every affected test case, sorted by decreasing cardinality of the intersection between C and the lines which are covered by the test case. Conforming to the prior two steps, C is also updated to reflect the selected test case. As a consequence of these updates, the cardinalities of these intersections change after every update, which will ultimately lead to affected tests not strictly requiring to be executed. This idea has been adopted from the Greedy algorithm subsection 3.2.1.

In the penultimate step, the previous operation is repeated in an identical fashion for the remaining test cases, similarly ordered by the cardinality of the intersection with the remaining uncovered lines in C.

Finally, the algorithm selects every test case which had not yet been prioritised. No-

tice that these test cases do not contribute to the test coverage, as every test case that would incur additional coverage would have been prioritised already in the previous step. Subsequently, these test cases are actually redundant and are therefore candidates for removal by Test Suite Minimisation. However, since this is a prioritisation algorithm, these tests will still be executed and prioritised by increasing execution time.

Algorithm 4 Alpha algorithm for Test Case Prioritisation

1: **Input:** Set $TS = \{T_1, \ldots, T_n\}$ of all test cases,
    Set $AS = \{T_1, \ldots T_m\} \subseteq TS$ of affected test cases,
    Set C of all source code lines that are covered by any $t \in TS$,
    Execution times D_{tr} of every test case t, over all k runs r of that test case,
    Failure status FS for each test case over the previous m successive iterations,
    Sets $TL = \{TL_1, \ldots, TL_n\}$ of all source code lines that are covered by test case
    $t \in TS$.
2: **Output:** Ordered list P of n test cases and their priorities.
3: $P \leftarrow array[1 \ldots n]$ ▷ initially 0
4: $i \leftarrow n$
5: $FTS \leftarrow \{t | t \in TS \wedge (F[t][1] = 1 \vee F[t][2] = 1 \vee F[t][3] = 1)\}$
6: $AFTS \leftarrow AS \cap FTS$
7: **for all** $t \in AFTS$ **do**   ▷ sorted by execution time in E (ascending)
8:     $C \leftarrow C \setminus TL[t]$
9:     $P[t] \leftarrow i$
10:     $i \leftarrow i - 1$
11: $FTS \leftarrow FTS \setminus AFTS$
12: **for all** $t \in FTS$ **do**   ▷ sorted by execution time in E (ascending)
13:     $C \leftarrow C \setminus TL[t]$
14:     $P[t] \leftarrow i$
15:     $i \leftarrow i - 1$
16: $AS \leftarrow AS \setminus FTS$
17: **while** $AS \neq \emptyset$ **do**
18:     $t\_max \leftarrow AS[1]$ ▷ any element from AS
19:     $tl\_max \leftarrow \emptyset$
20:     **for all** $t \in AS$ **do**
21:         $tl\_current \leftarrow C \cap TL_t$
22:         **if** $|tl\_current| > |tl\_max|$ **then**
23:             $t\_max \leftarrow t$
24:             $tl\_max \leftarrow tl\_current$
25:     $C \leftarrow C \setminus tl\_max$
26:     $P[t] \leftarrow i$
27:     $i \leftarrow i - 1$
28: $TS \leftarrow TS \setminus (AS \cup FTS)$
29: **while** $TS \neq \emptyset$ **do**
30:     $t\_max \leftarrow TS[1]$ ▷ any element from TS
31:     $tl\_max \leftarrow \emptyset$
32:     **for all** $t \in TS$ **do**
33:         $tl\_current \leftarrow C \cap TL_t$
34:         **if** $|tl\_current| > |tl\_max|$ **then**
35:             $t\_max \leftarrow t$
36:             $tl\_max \leftarrow tl\_current$
37:     $C \leftarrow C \setminus tl\_max$
38:     $P[t] \leftarrow i$
39:     $i \leftarrow i - 1$
    return P

Chapter 5

Evaluation

This chapter will evaluate the performance of the previously discussed VeloCIty framework. In the first section, the projects that will be used in the experiments will be presented. The next section will formally restate the research questions that have been posed in the introduction and extend these. Afterwards, the process of how the data was obtained will be elaborated. The final section will provide answers to the research questions as well as present the results of applying Test Case Prioritisation to the test subjects.

5.1   Test subjects

5.1.1   Dodona

Dodona[1] is an open source online learning environment created by Ghent University, allowing students from secondary schools and universities in Belgium and South-Korea to submit solutions to programming exercises and receive automated feedback. The application is built using the Ruby-on-Rails web framework. In order to automate the testing process of the application, Dodona makes use of Github Actions (section 2.2.3.2) to run tests using the default `MiniTest` testing framework. The coverage of the test suite is being recorded by `SimpleCov`[2].

5.2   Research questions

The following research questions will be answered in the subsequent sections:

RQ1: What is the probability that a test run will contain at least one failed test case?   The first research question will provide useful insights into whether a typical test run has a tendency towards failing or succeeding. It is expected that a typical test run will succeed and therefore that executing every test case is not strictly necessary.

RQ2: If a test run has failed, what is the probability that the next run will fail as well?   The ROCKET algorithm (subsection 3.2.3) relies on the assumption that if a test

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[1] https://dodona.ugent.be/
[2] https://github.com/colszowka/simplecov

case has failed in a given run, it will most likely fail in the subsequent run as well. This research question will investigate the correctness of this hypothesis.

RQ3: What is the average duration of a test run?   Measuring how long it takes to execute a typical test run is required to estimate the benefit of applying any form of test suite optimisation. Only successful test runs should be considered to reduce bias introduced by prematurely aborting execution.

RQ4: What is the performance impact of applying Test Case Prioritisation to Dodona?   This research question will investigate how quickly a failing test case in the Dodona project can be discovered using VeloCIty.

5.3   Data collection

5.3.1   Travis CI build data

In order to answer the first three research questions, build data for several projects hosted on Travis CI (section 2.2.3.4) was used. This data was obtained from two sources.

The first source is a database of 35 793 144 jobs, provided by Durieux et al [9]. Due to the magnitude of this dataset (61.11 GiB), a big data framework is required to parse the log files. In order to collect the required data to provide an answer to the first and third research question, two simple `MapReduce` pipelines have been executed using the Apache Spark[3] framework..
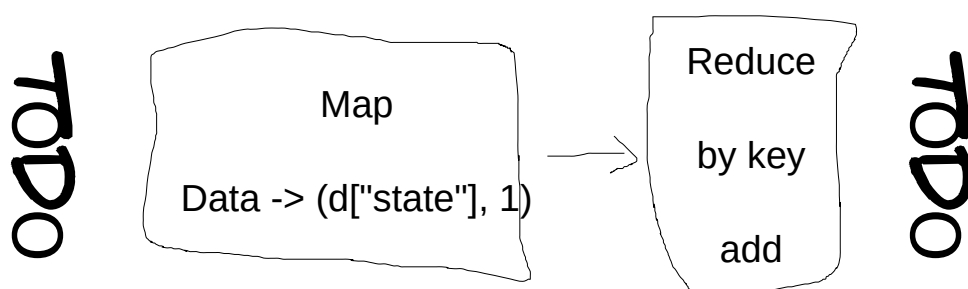

Figure 5.1: MapReduce pipeline to find the failed runs

In addition to the first source, another 3 702 595 jobs have been analysed from the *TravisTorrent* project. This project [4] scrapes the API of Travis CI and combines this with data obtained from the GitHub API to augment the dataset with extra information. One of these additional values is the identifier of the previous executed build
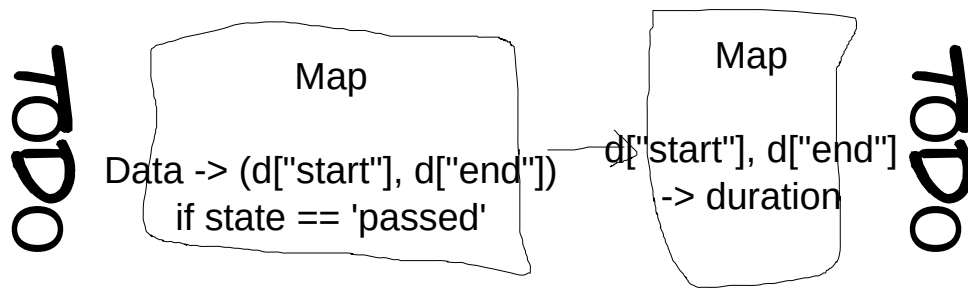
---

[3]`https://spark.apache.org/`

Figure 5.2: MapReduce pipeline to find the average duration of a successful test run

of every project. This identifier is required to answer the second research question. Furthermore, the amount of failed test cases in every run is included, which can be used to distinguish whether the test run has actually failed or the project could not be compiled and thus allowing a more fine-grained answer to the first research question as well. After analysis of this dataset, the execution time was not accurate compared to the actual timings on the Travis CI build page, so this dataset was not used in the third research question. For analysis, the creators of TravisTorrent have provided a Google BigQuery[4] interface to allow querying the dataset, which is required given its magnitude. The following queries have been executed to obtain the required data:

Listing 5.1: TravisTorrent query: Find the amount of failed runs

```
1 SELECT
2   COUNTIF(tr_log_bool_tests_failed) as failed,
3   COUNTIF(tr_log_bool_tests_ran) as ran,
4   COUNT(1) as total
5 FROM 'travistorrent'
```

Listing 5.2: TravisTorrent query: Find the probability of consecutive failures

```
1 (
2   SELECT gh_build_started_at, true as failed
3   FROM 'travistorrent'
4   WHERE
5     tr_build_id IN (
6       SELECT DISTINCT(tr_prev_build)
7       FROM 'travistorrent'
8       WHERE tr_log_bool_tests_ran=true AND
           tr_log_bool_tests_failed=true
9     )
10     AND gh_build_started_at IS NOT null AND
         tr_log_bool_tests_failed=true
```

---

[4]https://bigquery.cloud.google.com/

```
11  ) UNION ALL (
12    SELECT gh_build_started_at, false as failed
13    FROM 'travistorrent'
14    WHERE
15      tr_build_id IN (
16        SELECT DISTINCT(tr_prev_build)
17        FROM 'travistorrent'
18        WHERE tr_log_bool_tests_ran=true AND
              tr_log_bool_tests_failed=false
19      )
20      AND gh_build_started_at IS NOT null AND
          tr_log_bool_tests_failed=true
21  )
```

5.3.2 Dodona build data

As mentioned before, Dodona makes use of the MiniTest testing framework and the SimpleCov coverage tracker. By default, MiniTest only emits which test cases have failed without any further information. Furthermore, SimpleCov is only capable of calculating the coverage for the entire test suite and does not allow to retrieve the coverage on a per-test basis. In order to answer the fourth research question which analyses the performance of applying the VeloCIty predictors to Dodona, a Python script has been created to repeat every failed test run in a modified way to allow timing the execution and tracking the individual test case coverage. Essentially, this script queries the API of GitHub Actions to find failed test runs, 62 failed runs were used in this thesis. For every failed commit, the script queries the API again to find the first successfully tested parent commit. These parent commits are used to obtain the coverage per test case on a codebase which resembles the failed commits as close as possible. After the appropriate parent commits have been identified, they are modified by applying the following two transformations and subsequently rescheduled in GitHub Actions:

- **Cobertura formatter:** The currently used coverage formatter is only capable of generating a HTML report of the coverage, which is not desirable for analysis. The Cobertura formatter on the other hand is able to generate XML reports instead, these are already supported by the Controller and Java agent.

- **Disable parallel execution:** By default, the test cases are executed concurrently and divided over four processes. However, since SimpleCov is not thread-safe, this would render the tracked coverage invalid.

5.4   Results

5.4.1   RQ1: Probability of failure

Figure 5.3 contains two pie charts that illustrate the amount of failed and successful test runs. The left chart contains the results of the dataset provided by Durieux et al [9]. This dataset contains 4 558 279 failed test runs versus 24 323 724 successful runs, which corresponds to a failure probability of 18.74 %. The other pie chart visualises data from the TravisTorrent project. According to this dataset, the run has failed prior to starting the test suite in 42.89 % of the executions. For the remaining part of the runs, 225 766 out of 2 114 920 executions contained at least one failed test case, corresponding to a failure percentage of 10.67 %.
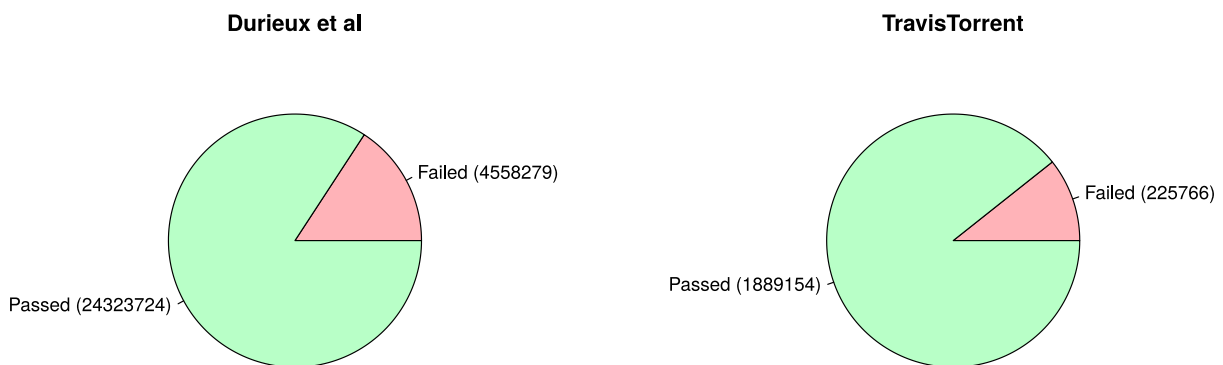
Durieux et al   **TravisTorrent**

Failed (4558279)   Failed (225766)

Passed (24323724)   Passed (1889154)

Figure 5.3: Probability of test run failure

5.4.2   RQ2: Probability of consecutive failure

In order to find consecutive failures, only the TravisTorrent project can be used as every entry in this dataset contains the identifier of the previous build which is required to link consecutive builds. The dataset contains 211 040 test runs of which the test suite of the preceding test run was both executed and contained at least one failed test case. As illustrated in Figure 5.4, 109 224 of these test runs failed as well, versus 101 816 test runs (51.76 %) that did succeed.

5.4.3   RQ3: Average test run duration

maak boxplot van 5.3

   - tests lager dan 10 seconden werden genegeerd aangezien dit een failure in de setup betekent. - de zeer lange tests maken gebruik van mutation testing - gegroepeerd per hoeveelheid en genormaliseerd op de y-as
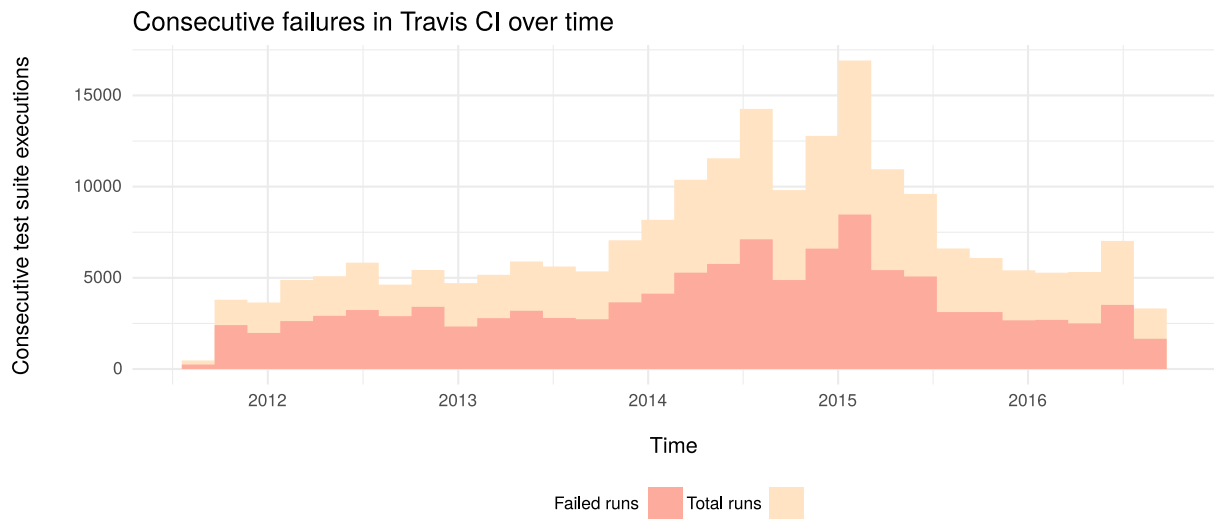
Figure 5.4: Consecutive test run failures on Travis CI

5.4.4 RQ4: Applying Test Case Prioritisation to Dodona

voor 5.4:

- duration per run - failed test cases per run - time (ms) until first failed test case - time (ms) until first prioritised failed test case

Chapter 6

Conclusion and future work

(TODO) - TCP combieren met ideeen uit TSM om zo bepaalde tests te skippen -¿ Test Suite Optimisation

- Onderscheid tussen unit en integration tests -¿ gefaalde unit test geeft waarschijnlijk aanleiding tot gefaalde integratie test dus gebruik dit om te ordenen

- Parallelliseren?

- Machine Learning en Lineair programmeren als metapredictor?

- Hou rekening met wat er gebeurt als tests zelf worden aangepast -¿ beschouw dit ook als een change in de gecoverde code

- Niet zeker wat er gebeurt met additions (ipv modifications/deletions) aan source code lines.

- Idee: Combineer meerdere predictions ipv ze apart te gebruiken?

- Gebruik een saturerende counter ipv te blijven increasen/decreasen, voor het geval de codebase zich aanpast in de toekomst

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List of Figures