#### Computer Networks and Applications

COMP 3331/COMP 9331 Week 4

#### **Transport Layer** Part 1

Reading Guide: Chapter 3, Sections 3.1 – 3.5

## Transport Layer

#### our goals:

- understand
   principles behind
   transport layer
   services:
  - multiplexing, =demultiplexing
  - reliable data transfer
  - flow control
  - congestion control

- learn about Internet transport layer protocols:
  - UDP: connectionless transport
  - TCP: connection-oriented reliable transport

## Transport Layer Outline

- 3.1 transport-layer services
- 3.2 multiplexing and demultiplexing
- 3.3 connectionless transport: UDP
- 3.4 principles of reliable data transfer

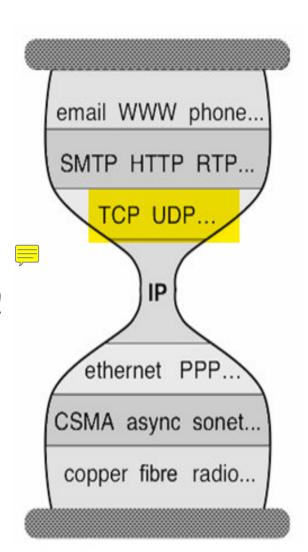
- 3.5 connection-oriented transport: TCP
  - segment structure
  - reliable data transfer
  - flow control
  - connection management
- 3.6 principles of congestion control
- 3.7 TCP congestion control

## Transport layer

Moving "down" a layer



- Current perspective:
  - Application is the boss....
  - Usually executing within the OS Kernel
  - The network layer is ours to command !!



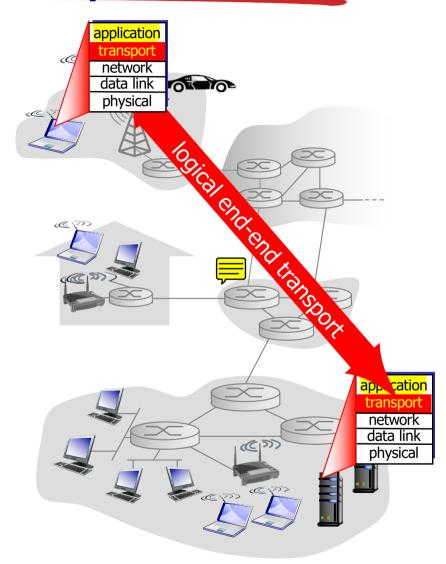
## Network layer (context)

- What it does: finds paths through network
  - Routing from one end host to another
- What it doesn't:
  - Reliable transfer: "best effort delivery"
  - Guarantee paths

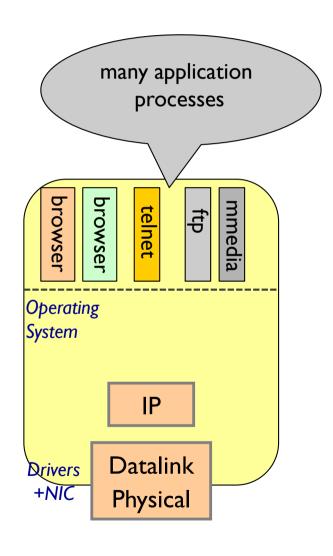
- Arbitrate transfer rates
- For now, think of the network layer as giving us an "API" with one function: sendtohost(data, host)
  - Promise: the data will go to that (usually!!)

## Transport services and protocols

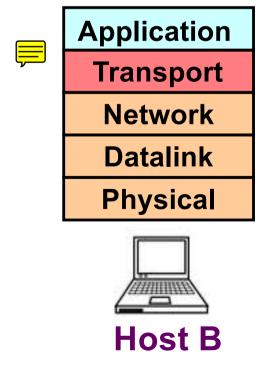
- provide logical communication between app processes running on different hosts
- transport protocols run in end systems
  - send side: breaks app messages into segments, passes to network layer
  - rcv side: reassembles
     segments into messages,
     passes to app layer
  - Exports services to application that network layer does not provide



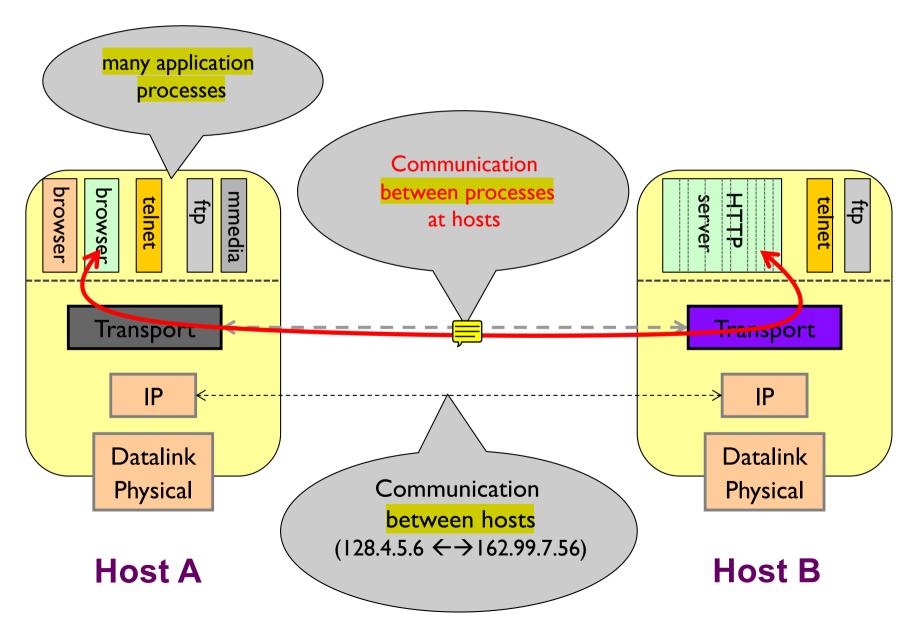
# Why a transport layer?



**Host A** 



# Why a transport layer?

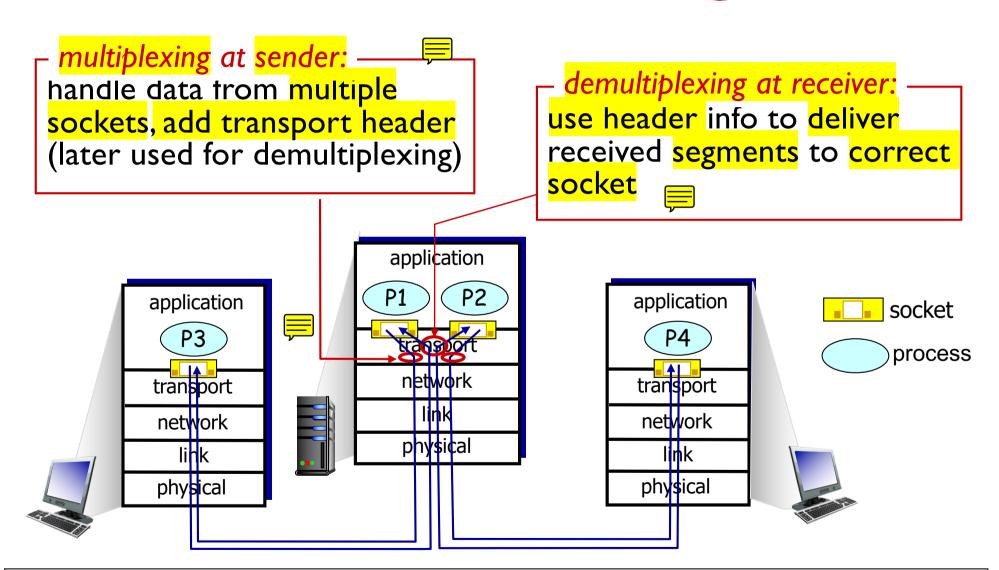


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## Multiplexing/demultiplexing



**Note:** The network is a shared resource. It does not care about your applications, sockets, etc.



## Connectionless demultiplexing

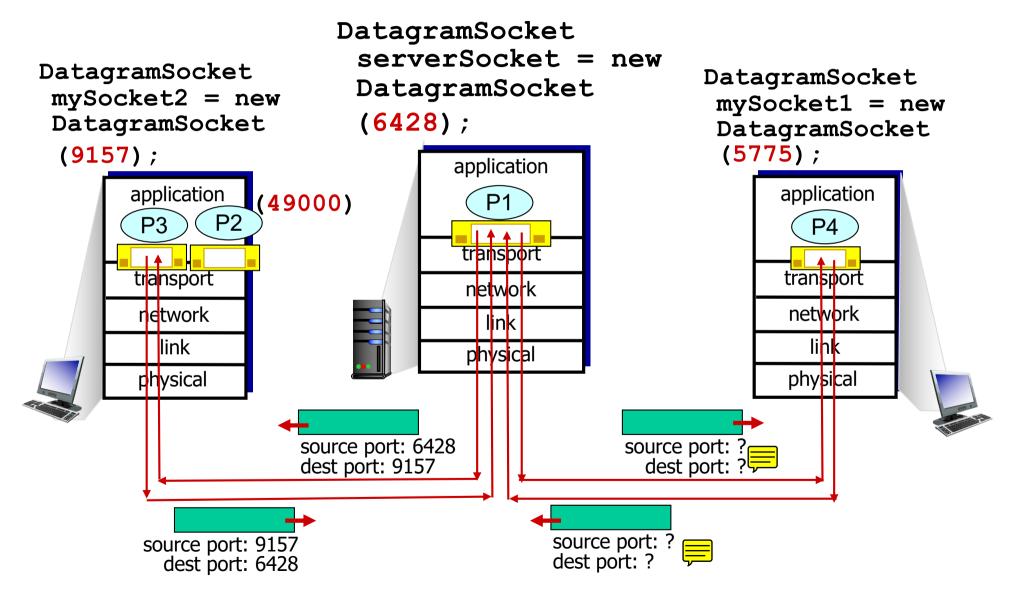
- \* recall: created socket has
  host-local port #: 
  DatagramSocket mySocket1
  = new DatagramSocket(12534);
- recall: when creating datagram to send into UDP socket, must specify
  - destination IP address
  - destination port #

- when host receives UDP segment:
  - checks destination port # in segment



directs UDP segment to socket with that port # IP datagrams with same dest. port #, but different source IP addresses and/or source port numbers will be directed to same socket at dest

# Connectionless demux: example



## Connection-oriented demux

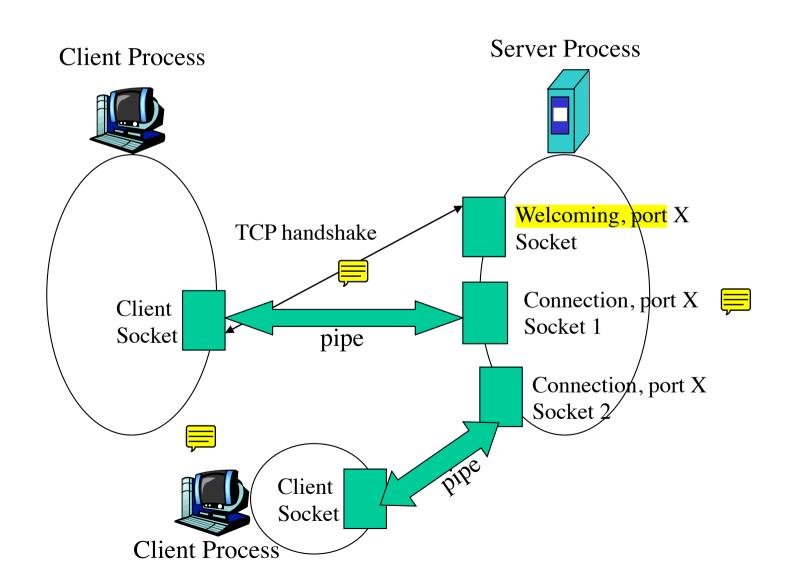
- TCP socket identified by 4-tuple:
  - source IP address
  - source port number
  - dest IP address
  - dest port number
- demux: receiver uses all four values to direct segment to appropriate socket

- server host may support many simultaneous TCP sockets:
  - each socket identified by its own 4-tuple
- web servers have different sockets for each connecting client
  - non-persistent HTTP will have different socket for each request

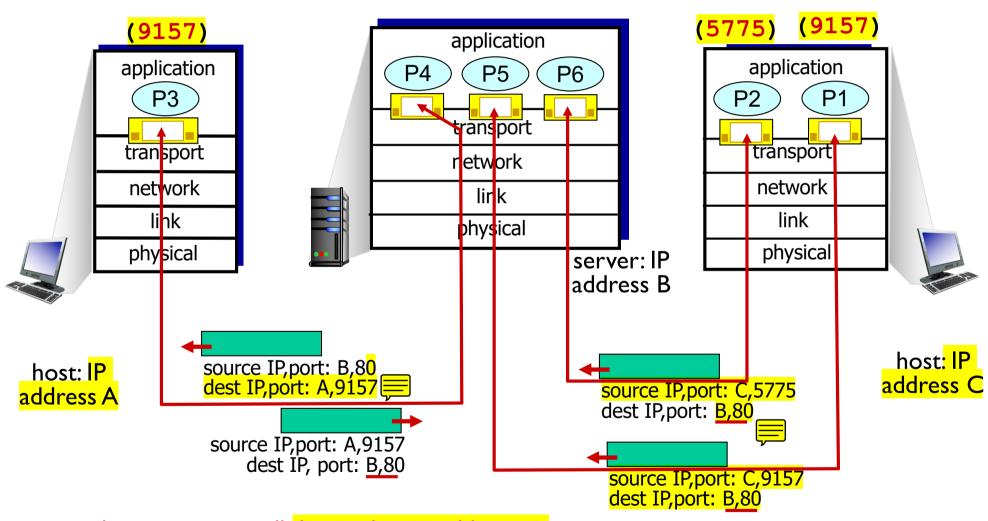




## Revisiting TCP Sockets



### Connection-oriented demux: example



three segments, all destined to IP address: B, dest port: 80 are demultiplexed to different sockets

# May I scan your ports?

http://netsecurity.about.com/cs/hackertools/a/aa121303.htm

- Servers wait at open ports for client requests
- Hackers often perform port scans to determine open, closed and unreachable ports on candidate victims
- Several ports are well-known
  - <1024 are reserved for well-known apps</p>
  - Other apps also use known ports
    - MS SQL server uses port 1434 (udp)
    - Sun Network File System (NFS) 2049 (tcp/udp)
- Hackers can exploit known flaws with these known apps
  - Example: Slammer worm exploited buffer overflow flaw in the SQL server
- How do you scan ports?
  - Nmap, Superscan, etc

http://www.auditmypc.com/

https://www.grc.com/shieldsup

#### **Quiz: UDP Sockets**



\* Suppose we use UDP instead of TCP for designing a web server where all requests and responses fit in a single UDP segment. Suppose 100 clients are simultaneously communicating with this web server. How many sockets are respectively at the server and each client?

- a) 1, 1 =
- b) 2, 1
- c) 200, 2
- d) 100, 1
- e) 101, 1

#### **Quiz: TCP Sockets**



- \* Suppose 100 clients are simultaneously communicating with a traditional HTTP/TCP web server. How many sockets are respectively at the server and each client?
  - a) 1, 1
  - b) 2, 1
  - c) 200, 2
  - d) 100, 1
  - e) 101, 1 =

#### **Quiz: TCP Sockets**



\* Suppose 100 clients are simultaneously communicating with a traditional HTTP/TCP web server. Do all of the sockets at the server have the same server-side port number?

- a) Yes 📃
- b) No

## Transport Layer Outline

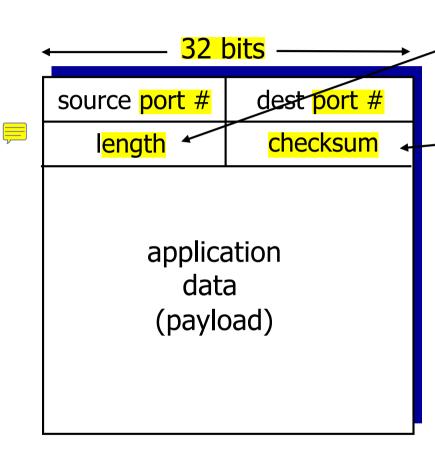
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### UDP: User Datagram Protocol [RFC 768]

- "no frills," "bare bones" Internet transport protocol
- "best effort" service, UDP segments may be:
  - Ost
  - delivered out-of-order to app
- connectionless:
  - no handshaking between UDP sender, receiver
  - each UDP segment handled independently of others

## **UDP:** segment header



UDP segment format

length, in bytes ofUDP segment,including header

2 bytes Optional

Checksum

#### why is there a UDP?

- no connection
   establishment (which can add delay)
- simple: no connection
   state at sender, receiver
- \* small header size
- no congestion control:
   UDP can blast away as
   fast as desired



#### **UDP** checksum

- Goal: detect "errors" (e.g., flipped bits) in transmitted segment
  - Router memory errors
  - Driver bugs
  - Electromagnetic interference

#### sender:

- treat segment contents,
   including header fields, as sequence of 16-bit integers
- checksum: addition (one's complement sum) of segment contents
- sender puts checksum value into UDP checksum field

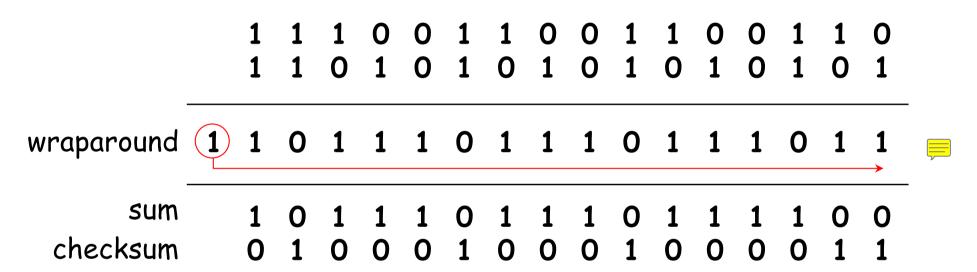
#### receiver:

- Add all the received together as 16-bit integers
- Add that to the checksum
- If the result is not IIII IIII IIII, there are errors!



## Internet checksum: example

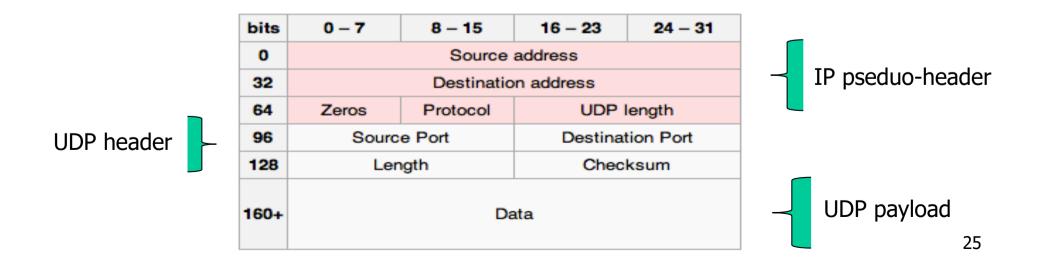
example: add two 16-bit integers



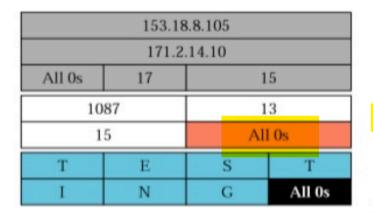
Note: when adding numbers, a carryout from the most significant bit needs to be added to the result

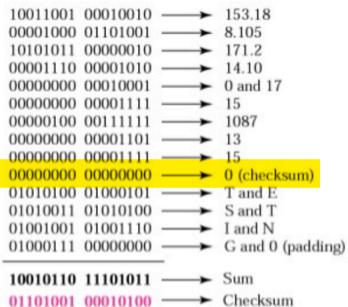
#### **UDP:** Checksum

- Checksum is the 16-bit one's complement of the one's complement sum of a pseudo header of information from the IP header, the UDP header, and the data, padded with zero octets at the end (if necessary) to make a multiple of two octets.
- Checksum header, data and pre-pended IP pseudo-header
- But the header contains the checksum itself? =
- What's IP pseudo-header?



## Internet checksum: example





## **UDP** Applications

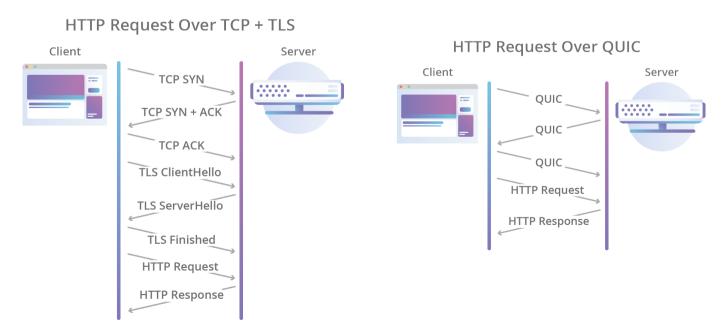
- Latency sensitive/time critical
  - Quick request/response (DNS, DHCP)
  - Network management (SNMP)
  - Routing updates (RIP)



- Voice/video chat
- Gaming (especially FPS)
- Error correction unnecessary (periodic messages)

#### QUIC: Quick UDP Internet Connections

- Core idea: HTTP/2 over UDP
  - Faster connection establishment
  - Overcomes HoL blocking due to lost packets
  - Improved congestion control
  - Forward error correction
  - Connection migration



## Transport Layer Outline

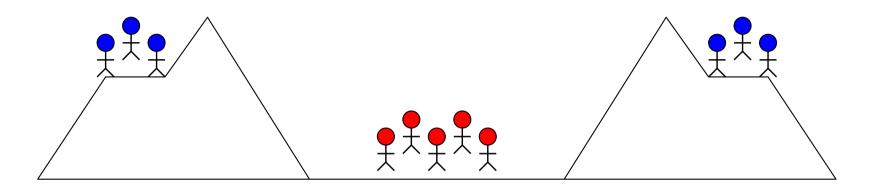
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## Reliable Transport

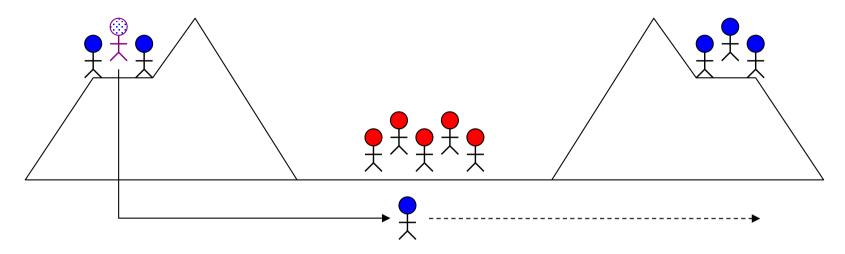
- In a perfect world, reliable transport is easy
- All the bad things best-effort can do
  - a packet is corrupted (bit errors) checksum usually prevents this
  - a packet is lost (why?) gets dropped because router queue is full
  - a packet is delayed (why?) because of buffering, queueing
  - packets are reordered (why?) because 2 packets can take different path, first may be longer
  - a packet is duplicated (why?) thinks packet is lost, not actually lost, resends the packet, then both arrive

### The Two Generals Problem



- Two army divisions (blue) surround enemy (red)
  - Each division led by a general
  - Both must agree when to simultaneously attack
  - If either side attacks alone, defeat
- Generals can only communicate via messengers
  - Messengers may get captured (unreliable channel)

#### The Two Generals Problem

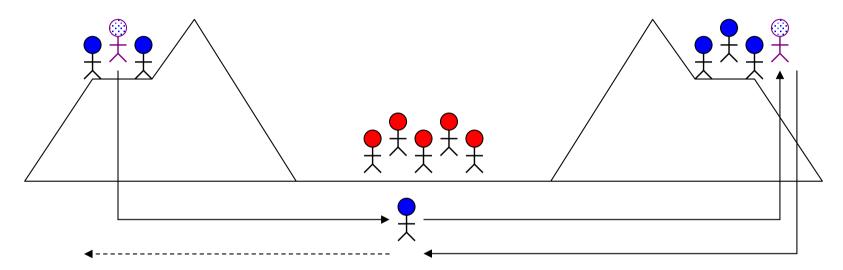


- 1. either he makes it and you both attack at dawn (win)
- 2. or he doesn't make it and only you attack at dawn and die

so you NEED TO KNOW WHETHER HE MADE IT

- How to coordinate?
  - Send messenger: "Attack at dawn"
  - What if messenger doesn't make it?

#### The Two Generals Problem



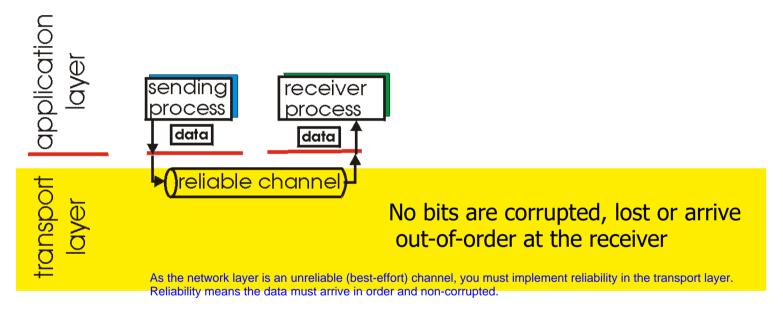
now assuming your guy made it, you need to know about it;
1. they send a guy back and he makes it (you both attack at dawn) (win)
2. they send a guy back but he doesn't make it, they attack and die (lose)

so now they are in the same situation as you were above, i.e. they need to know that their guy made it to you (and we just tried to prove this <- here and showed it doesn't work

- How to be sure messenger made it?
  - Send acknowledgement: "We received message"

## Principles of reliable data transfer

- important in application, transport, link layers
  - top-10 list of important networking topics!

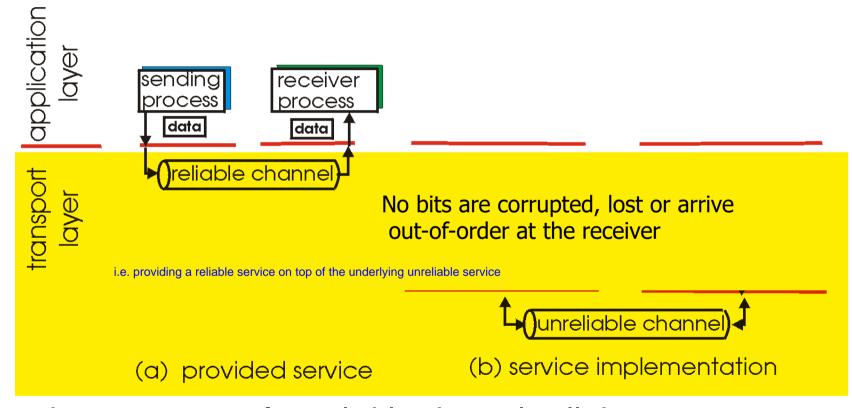


- (a) provided service
- characteristics of unreliable channel will determine complexity of reliable data transfer protocol (rdt)



## Principles of reliable data transfer

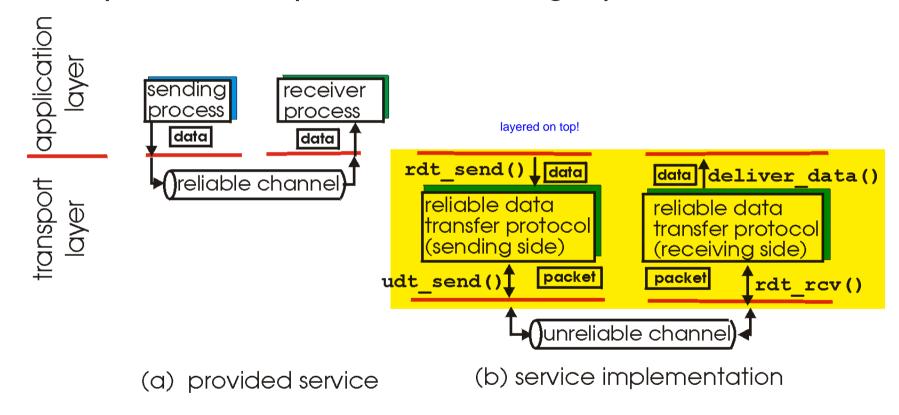
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 characteristics of unreliable channel will determine complexity of reliable data transfer protocol (rdt)

## Principles of reliable data transfer

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 characteristics of unreliable channel will determine complexity of reliable data transfer protocol (rdt)

#### Reliable data transfer: getting started

#### We'll:

- Incrementally develop sender, receiver sides of reliable data transfer protocol (rdt)
- Consider only unidirectional data transfer
  - but control info will flow on both directions!

i.e. need ACKS coming from client to sender

Channel will not re-order packets

if it is reliable, and you are doing stop and wait, then there is no need to re-order packets!

stop and wait
sender sends one packet,
then waits for receiver
response

#### rdt 1.0: reliable transfer over a reliable channel

Underlying channel perfectly reliable

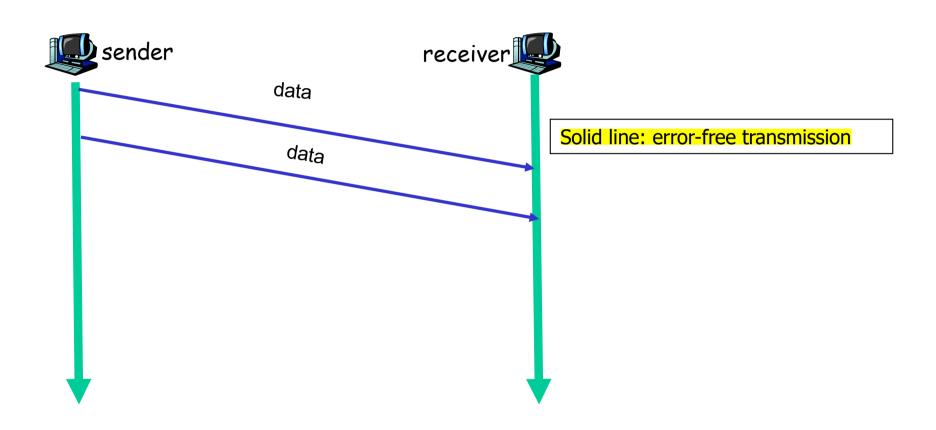
i.e IF network layer was 100% reliable

no bit errors

and stop and wait so no possibility of packet re-ordering

- no loss of packets
- Transport layer does nothing!

### Global Picture of rdt1.0



#### rdt2.0: channel with bit errors

- underlying channel may flip bits in packet
  - checksum to detect bit errors
    still g

still guaranteed to get the packet there though, even if erroneous data

the question: how to recover from errors:

so we've already talked about how to detect (most) errors, but how to recover??

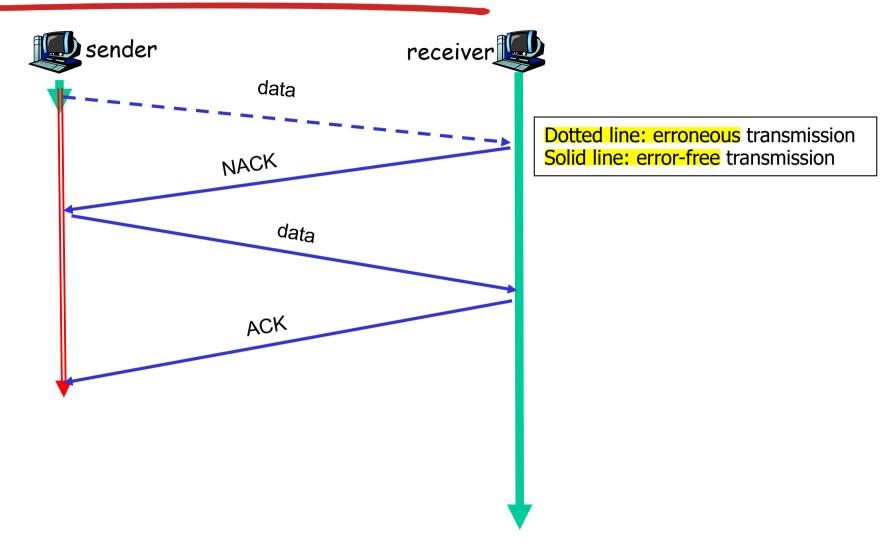
# How do humans recover from "errors" during conversation?

we repeat, saying what we were supposed to say

### rdt2.0: channel with bit errors

- underlying channel may flip bits in packet
  - checksum to detect bit errors
- the question: how to recover from errors:
  - acknowledgements (ACKs): receiver explicitly tells sender that pkt received OK
  - negative acknowledgements (NAKs): receiver explicitly tells sender that pkt had errors
  - sender retransmits pkt on receipt of NAK
- new mechanisms in rdt2.0 (beyond rdt1.0):
  - error detection via checksum
  - feedback: control msgs (ACK,NAK) from receiver to sender to recover from an error (i.e. receiver tests checksum and it is wrong and so sends back NAK to re-get)
  - retransmission sender will re-send when it gets a NAK back

### Global Picture of rdt2.0



### rdt2.0 has a fatal flaw!

we know it gets there because that is guaranteed in this example, but it could be erroneous. Sender doesn't know what it is "ahh is this an ACK or a NAK or something else?"

## what happens if ACK/NAK corrupted?

- sender doesn't know what happened at receiver!
- can't just retransmit: possible duplicate

#### handling duplicates:

- sender retransmits
   current pkt if ACK/NAK
   corrupted
- sender adds sequence number to each pkt
- receiver discards (doesn't deliver up) duplicate pkt

stop and wait sender sends one packet, then waits for receiver response

### rdt2.1: discussion

#### sender:

- seq # added to pkt
- \* two seq. #'s (0,1) will suffice. Why?
- must check if received ACK/NAK corrupted
- twice as many states
  - "remember" whether

    "expected" pkt should
    have seq # of 0 or 1

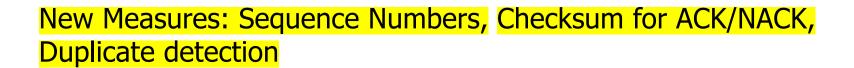
#### receiver:

seq#

- must check if received packet is duplicate
  - state indicates whether0 or I is expected pkt
- note: receiver can not know if its last
   ACK/NAK received
   OK at sender

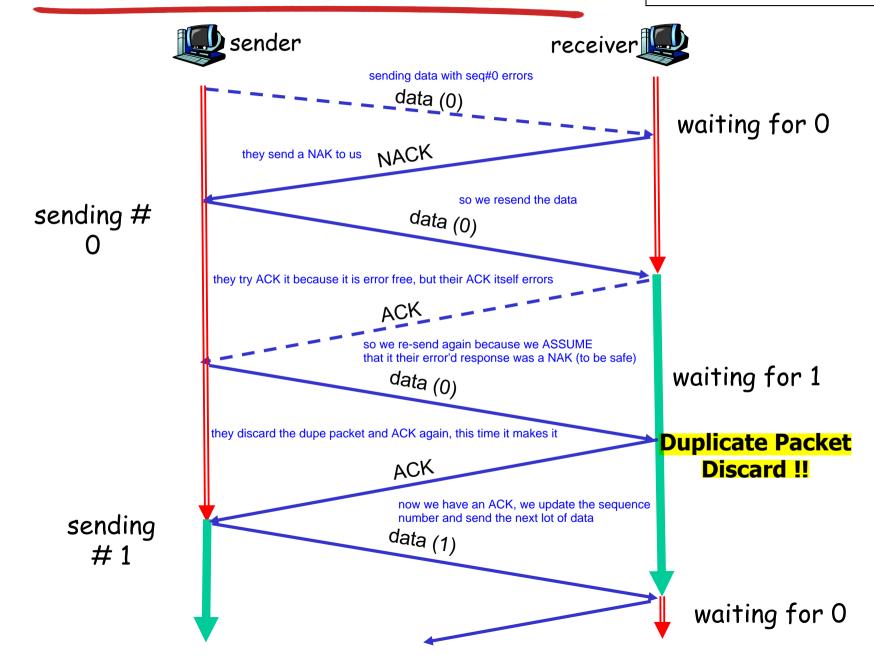
it can corrupt just like these other packets can this is the reason we may be getting sent duplicates in the first place!





#### Another Look at rdt2.1

Dotted line: erroneous transmission
Solid line: error-free transmission

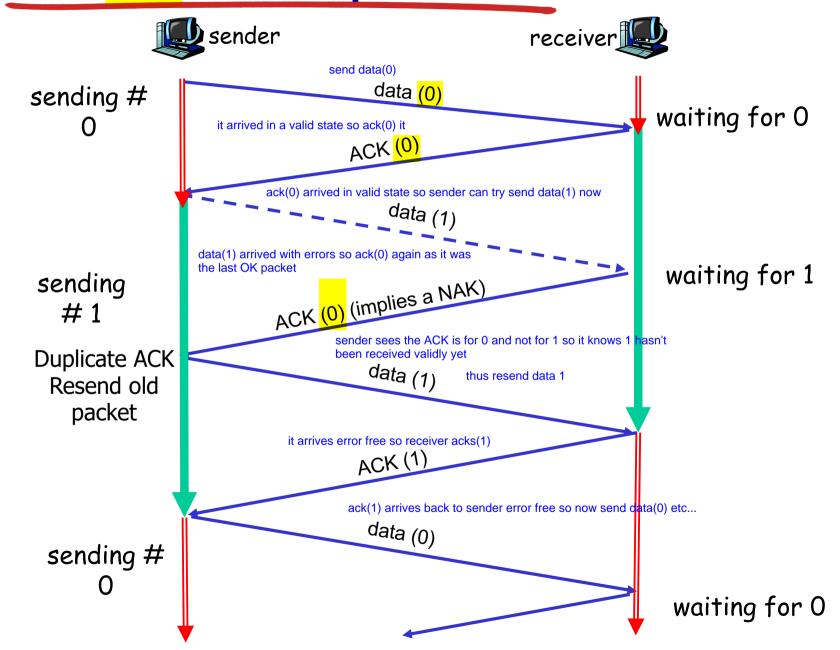


### rdt2.2: a NAK-free protocol

- same functionality as rdt2.1, using ACKs only
- instead of NAK, receiver sends ACK for last pkt received OK ACK(last okay packet seq#)
  - receiver must explicitly include seq # of pkt being ACKed
- duplicate ACK at sender results in same action as NAK: retransmit current pkt

### rdt2.2: Example

Dotted line: erroneous transmission
Solid line: error-free transmission



#### rdt3.0: channels with errors and loss

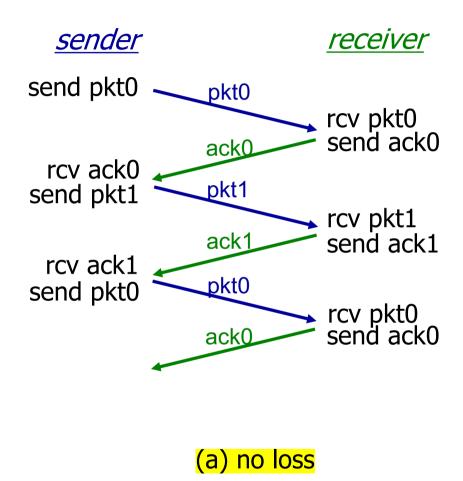
#### new assumption:

underlying channel can also loose packets (data, ACKs)

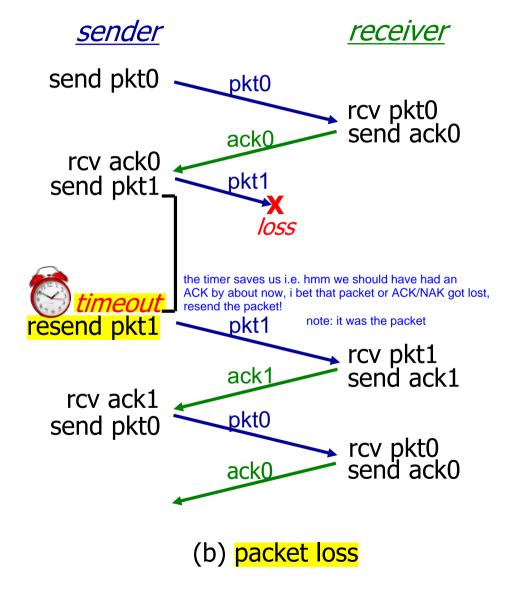
checksum, seq. #,
 ACKs, retransmissions
 will be of help ... but
 not enough

- approach: sender waits "reasonable" amount of time for ACK
- retransmits if no ACK received in this time
- if pkt (or ACK) just delayed (not lost):
  - retransmission will be duplicate, but seq. #'s already handles this
  - receiver must specify seq# of pkt being ACKed this is already known
- requires countdown timer

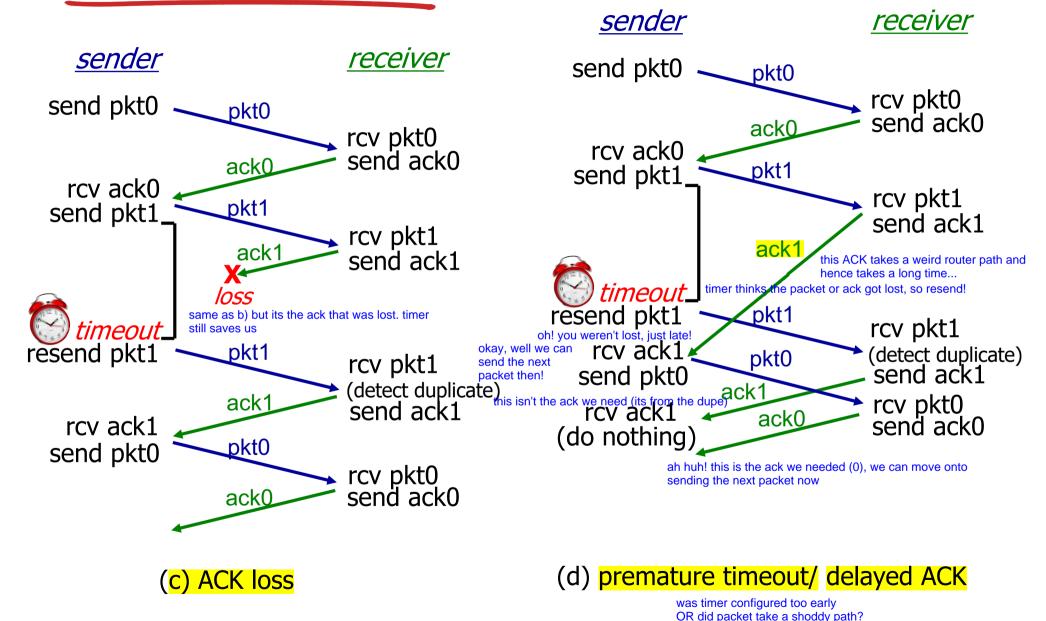
### rdt3.0 in action



having no loss is equivalent to the old system where loss wasn't possible, so of course this is fine!



#### rdt3.0 in action



#### **Quiz: Reliable Data Transfer**



- \* Which of the following are needed for reliable data transfer with only packet corruption (and no loss or reordering)? Use only as much as is strictly needed.
  - a) Checksums
  - b) Checksums, ACKs, NACKs

ack+nack is not enough, how do you know if it's a duplicate packet that the sender is sending you because your last ack didn't make it back!

- c) Checksums, ACKs
- d) Checksums, ACKs, sequence numbers



e) Checksums, ACKs, NACKs, sequence numbers

#### **Quiz: Reliable Data Transfer**



- \* If packets (and ACKs and NACKs) could be lost which of the following is true of RDT 2.1 (or 2.2)?
  - a) Reliable in-order delivery is still achieved
  - b) The protocol will get stuck
  - c) The protocol will continue making progress but may skip delivering some messages

#### **Quiz: Reliable Data Transfer**

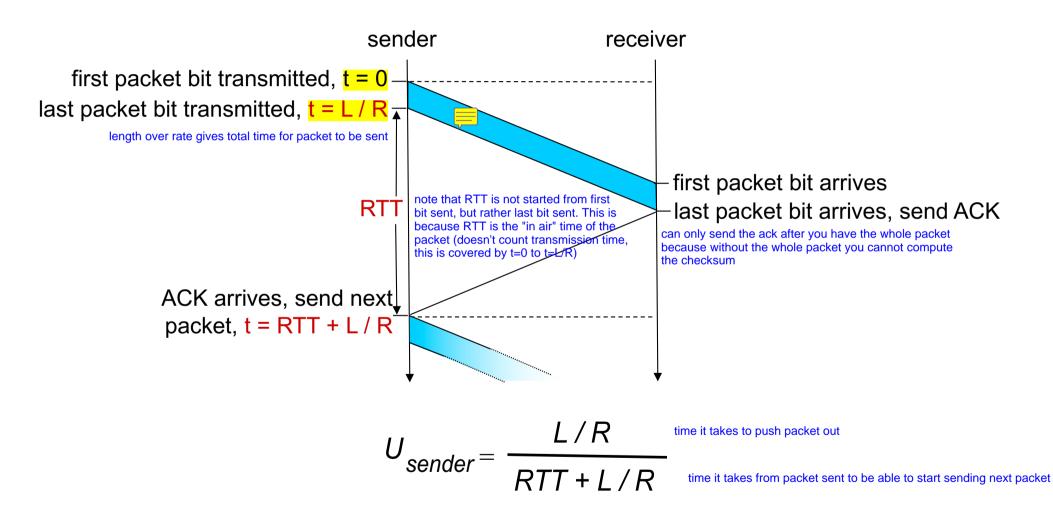


- \* Which of the following are needed for reliable data transfer to handle packet corruption and loss? Use only as much as is strictly needed.
  - a) Checksums, timeouts
  - b) Checksums, ACKs, sequence numbers
  - c) Checksums, ACKs, timeouts
  - d) Checksums, ACKs, timeouts, sequence numbers



e) Checksums, ACKs, NACKs, timeouts, sequence numbers

### rdt3.0: stop-and-wait operation



hence the utility of the sender is  $^{\circ}$  because for up to t = RTT + L/R, all the sender was able to do it push one packet out but then it just waiting doing nothing for the ACK to come back. Not very utilised!

#### Performance of rdt3.0

- rdt3.0 is correct, but performance stinks
- > e.g.: I Gbps link, 8000 bit packet and 30msec RTT:

$$D_{trans} = \frac{L_{packet \, len}}{R_{rate}} = \frac{8000 \, bits}{0^9 \, bits/sec} = 8 \, microsecs$$

$$= 0.000008 \, seconds$$

$$= 8 \, microsecs$$

$$= 10^9 = 1,000,000,000 = 1 \, Gb$$

U<sub>sender</sub>: <u>utilization</u> – fraction of time sender busy sending

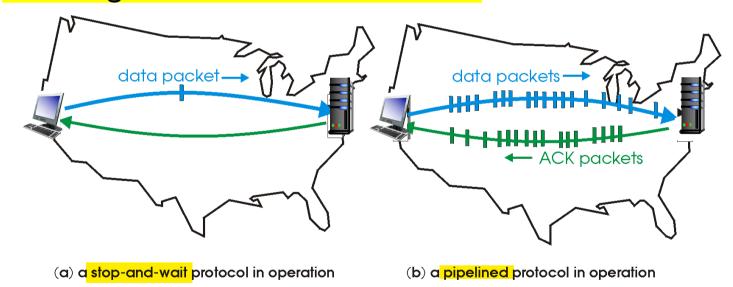
$$U_{\text{sender}} = \frac{L/R}{RTT + L/R} = \frac{.008}{30.008} = 0.00027$$

- RTT=30 msec, IKB pkt every 30.008 msec: 33kB/sec thruput over I Gbps link
- Network protocol limits use of physical resources!

### Pipelined protocols

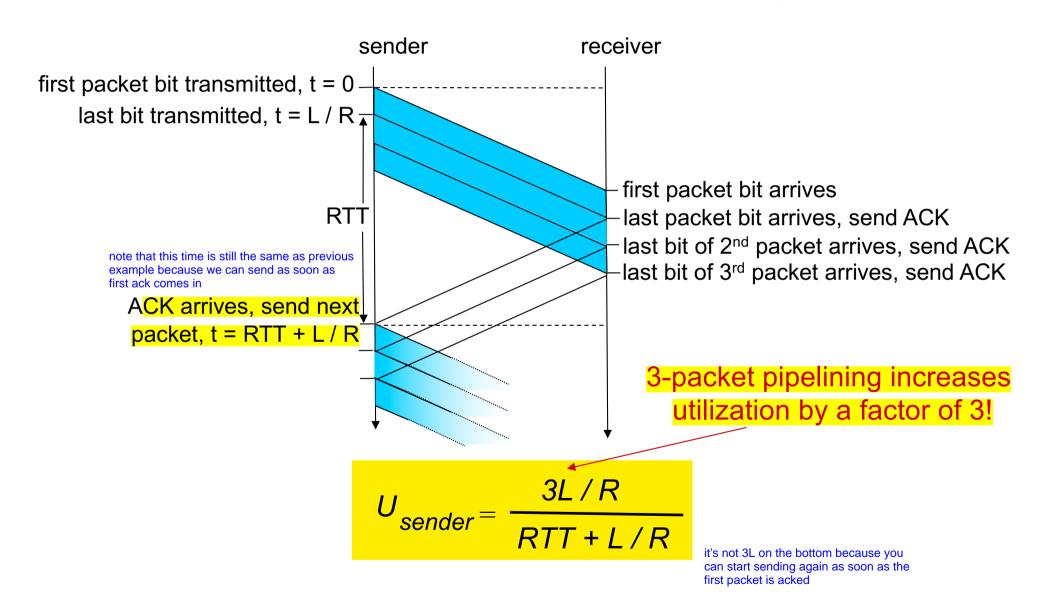
pipelining: sender allows multiple, "in-flight", yetto-be-acknowledged pkts

- range of sequence numbers must be increased
- buffering at sender and/or receiver



two generic forms of pipelined (sliding window) protocols: go-Back-N, selective repeat

### Pipelining: increased utilization



### Pipelined protocols: overview

#### Go-Back-N:

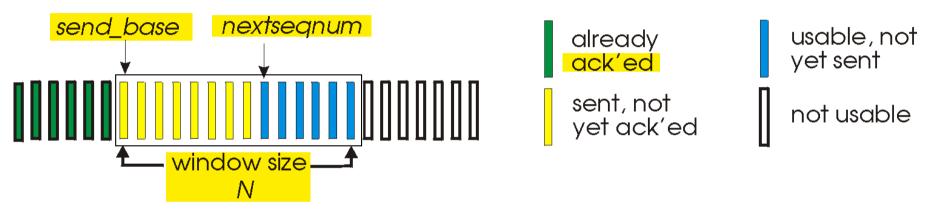
- Sender can have up to N unacked packets in pipeline
- Sender has single timer for oldest unacked packet, when timer expires, retransmit all unacked packets
- There is no buffer available at Receiver, out of order packets are discarded
- Receiver only sends cumulative ack, doesn't ack new packet if there's a gap

#### Selective Repeat:

- Sender can have up to N unacked packets in pipeline
- Sender maintains timer for each unacked packet, when timer expires, retransmit only that unacked packet
- Receiver has buffer, can accept out of order packets
- Receiver sends individual ack for each packet

#### Go-Back-N: sender

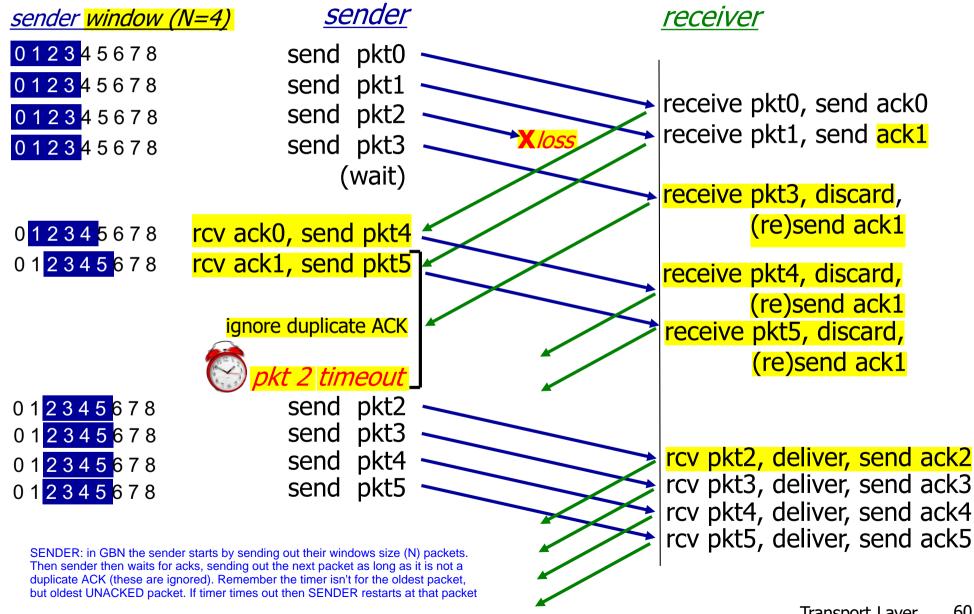
- k-bit seq # in pkt header
- "window" of up to N, consecutive unack'ed pkts allowed



- ACK(n):ACKs all pkts up to, including seq # n "cumulative ACK"
  - may receive duplicate ACKs (see receiver)
- timer for oldest in-flight pkt
- timeout(n): retransmit packet n and all higher seq # pkts in window

Applets: http://media.pearsoncmg.com/aw/aw\_kurose\_network\_2/applets/go-back-n/go-back-n.html http://www.ccs-labs.org/teaching/rn/animations/gbn sr/

#### GBN in action

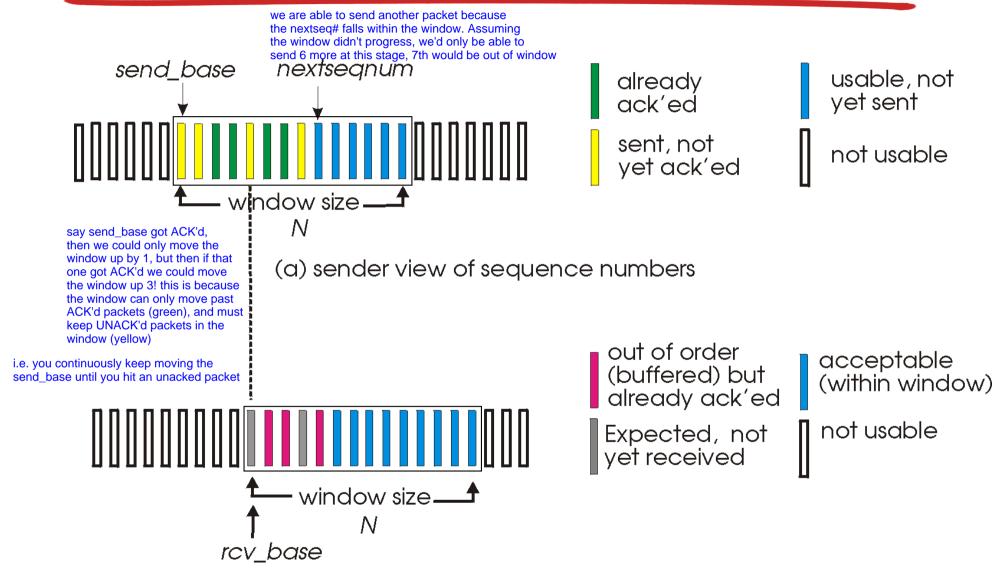


### Selective repeat

- receiver individually acknowledges all correctly received pkts
  - buffers pkts, as needed, for eventual in-order delivery to upper layer
- sender only resends pkts for which ACK not received
  - sender timer for each unACKed pkt
- sender window
  - N consecutive seq #'s
  - limits seq #s of sent, unACKed pkts

Applet: http://media.pearsoncmg.com/aw/aw\_kurose\_network\_3/applets/SelectRepeat/SR.html

#### Selective repeat: sender, receiver windows



(b) receiver view of sequence numbers

### Selective repeat

#### sender

#### data from above:

if next available seq # in window, send pkt

#### timeout(n):

resend pkt n, restart timer
this is trivial

ACK(n) in [sendbase,sendbase+N]:

- mark pkt n as received
- if n smallest unACKed pkt, advance window base to next unACKed seq #

#### receiver -

current window

pkt n in [rcvbase, rcvbase+N-1]

- send ACK(n)
- out-of-order: buffer
- in-order: deliver (also deliver buffered, in-order pkts), advance window to next not-yet-received pkt

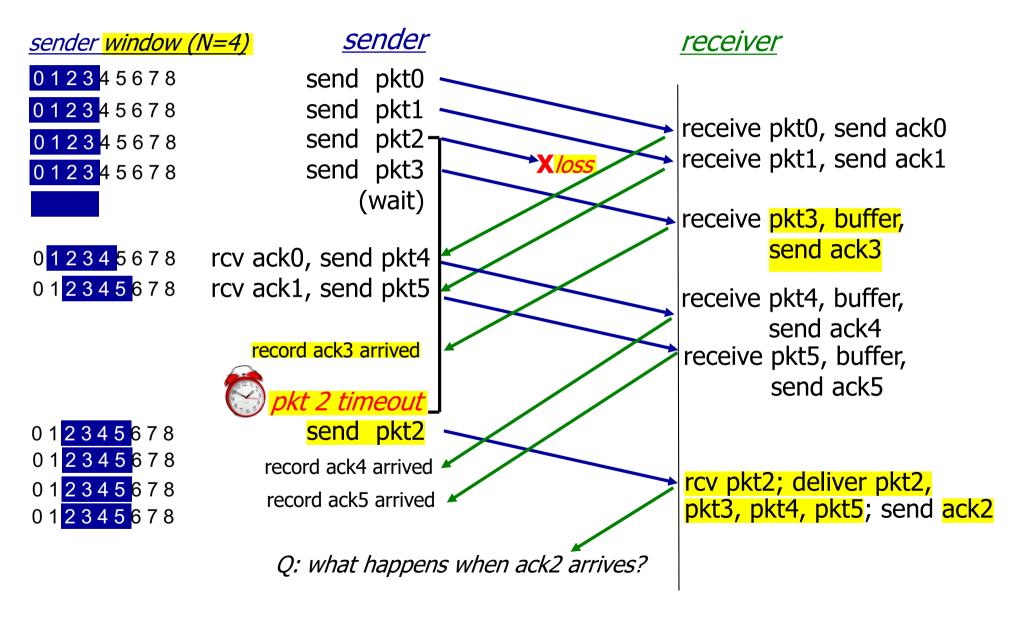
pkt n in [rcvbase-N,rcvbase-1]

ACK(n)

#### otherwise:

ignore

#### Selective repeat in action

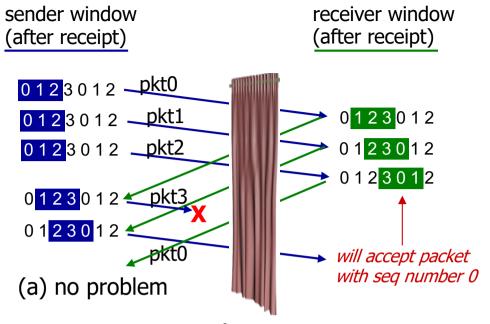


# Selective repeat: dilemma

#### example:

- ❖ seq #'s: 0, 1, 2, 3
  i.e. 2bit seq#
- \* window size=3
- receiver sees no difference in two scenarios!
- duplicate data accepted as new in (b)
- Q: what relationship between seq # size and window size to avoid problem in (b)?

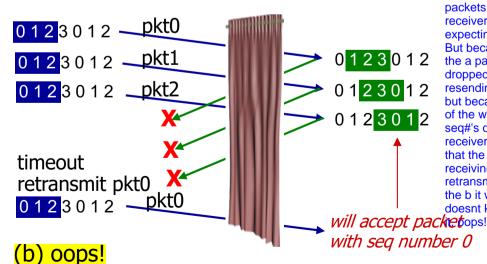
A: Sender window size <= 1/2 of Sequence number space



receiver can't see sender side.

receiver behavior identical in both cases and seq #0b -

something's (very) wrong!



#2b (a representing first batch, b representing seconds batch). All 'a' packets make it to the receiver, so now it is expecting the b packets. But because the acks for the a packets are dropped, the sender is resending the a packets but because of the size of the window, the seq#'s overlap, and the receiver doesn't know that the seq#0 it is receiving is actually a retransmit of a and not the b it wants, but it doesnt know so it takes

### Recap: components of a solution

- Checksums (for error detection)
- Timers (for loss detection)
- Acknowledgments
  - cumulative
  - selective
- Sequence numbers (duplicates, windows) duplicates and pipelining
- Sliding Windows (for efficiency) pipelining
- Reliability protocols use the above to decide when and what to retransmit or acknowledge i,e. TCP

#### Quiz: GBN, SR



- Which of the following is not true?
  - a) GBN uses cumulative ACKs, SR uses individual ACKs
  - b) Both GBN and SR use timeouts to address packet loss
  - c) GBN maintains a separate timer for each outstanding packet
  - d) SR maintains a separate timer for each outstanding packet
  - e) Neither GBN nor SR use NACKs

#### Quiz: GBN, SR



- \* Suppose a receiver that has received all packets up to and including sequence number 24 and next receives packet 27 and 28. In response, what are the sequence numbers in the ACK(s) sent out by the GBN and SR receiver, respectively?
  - a) [27, 28], [28, 28]
  - b) [24, 24], [27, 28] GBN re-acks last in-order ack in the event of getting an out of order packet. SR acks what it gets
  - c) [27, 28], [27, 28]
  - d) [25, 25], [25, 25]
  - e) [nothing], [27, 28]

### Transport Layer Outline

- 3.1 transport-layer services
- 3.2 multiplexing and demultiplexing
- 3.3 connectionless transport: UDP
- 3.4 principles of reliable data transfer

- 3.5 connection-oriented transport: TCP
  - segment structure
  - reliable data transfer
  - flow control
  - connection management
- 3.6 principles of congestion control
- 3.7 TCP congestion control

### Practical Reliability Questions

- How do the sender and receiver keep track of outstanding pipelined segments?
- How many segments should be pipelined?
- How do we choose sequence numbers?
- What does connection establishment and teardown look like?
- How should we choose timeout values?

#### TCP: Overview

RFCs: 793,1122,1323, 2018, 2581

- point-to-point:
  - one sender, one receiver
- reliable, in-order byte stream:
  - no "message boundaries"
- pipelined:

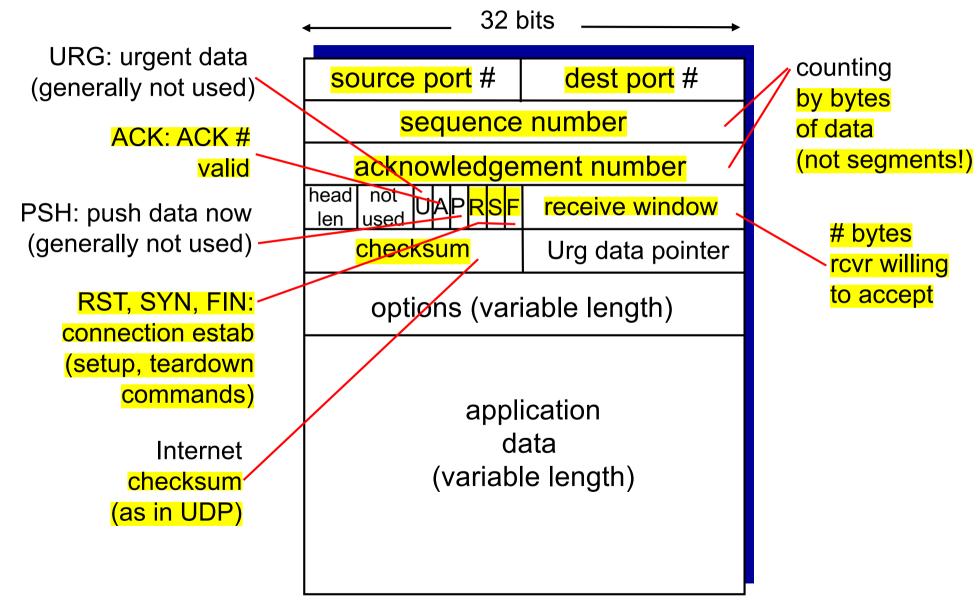
dynamic window based on traffic

- TCP congestion and flow control set window size
- send and receive buffers

- full duplex data:
   bi-directional data flow in same connection
  - MSS: maximum segment size
- connection-oriented:
  - handshaking (exchange of control msgs) inits sender, receiver state before data exchange
- flow controlled:
  - sender will not overwhelm receiver



### TCP segment structure



### TCP segment structure

32 bits source port # dest port # 20 Bytes sequence number acknowledgement number (UDP was 8) head not receive window used llen checksum Urg data pointer options (variable length) application data (variable length)

# Transport Layer Outline

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# Recall: Components of a solution for reliable transport

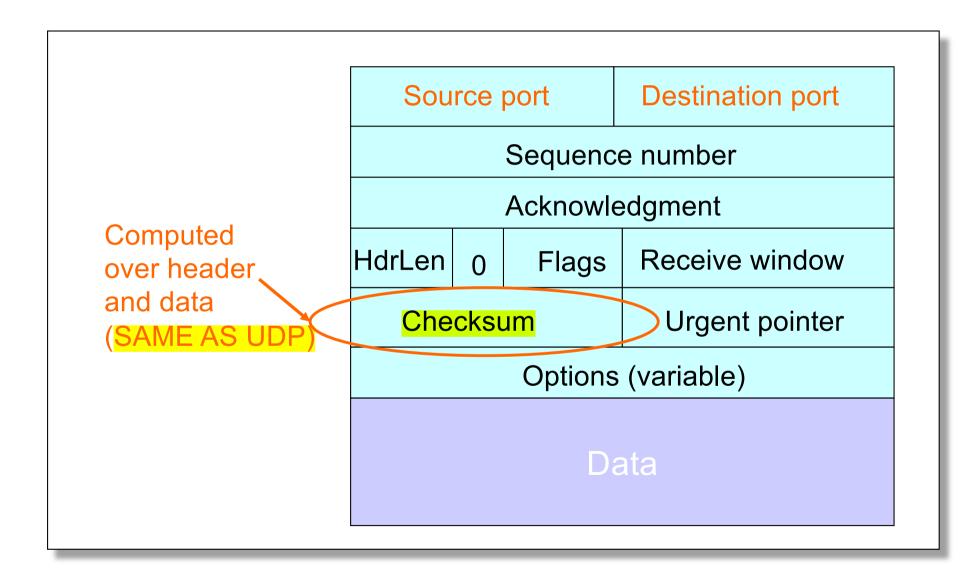
- Checksums (for error detection)
- Timers (for loss detection)
- Acknowledgments
  - cumulative
  - selective
- Sequence numbers (duplicates, windows)
- Sliding Windows (for efficiency)
  - Go-Back-N (GBN)
  - Selective Repeat (SR)

### What does TCP do?

# Many of our previous ideas, but some key differences

Checksum

### **TCP** Header



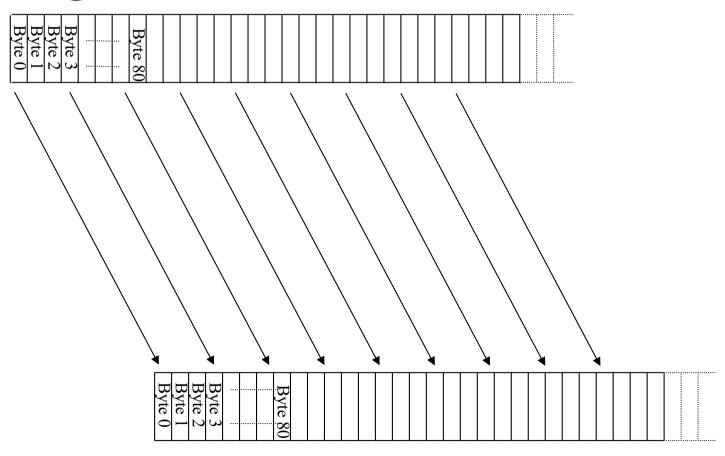
### What does TCP do?

# Many of our previous ideas, but some key differences

- Checksum
- Sequence numbers are byte offsets

# TCP "Stream of Bytes" Service ...

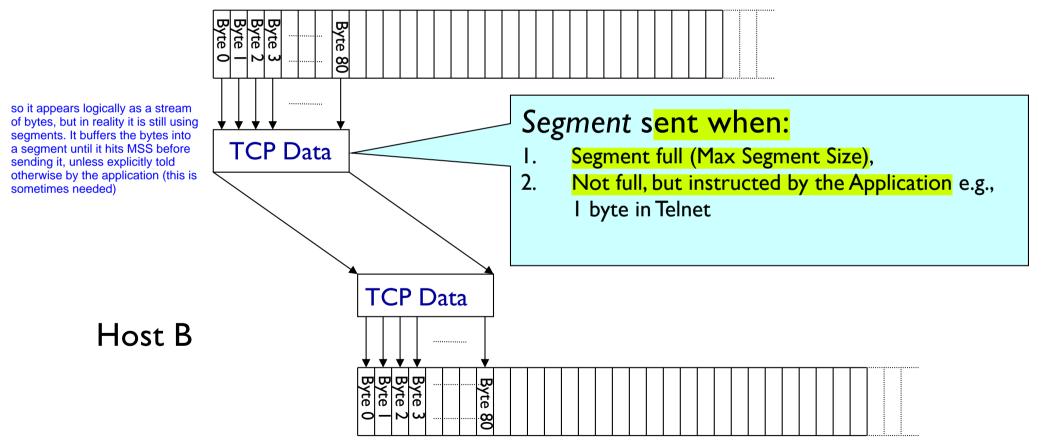
#### Application @ Host A



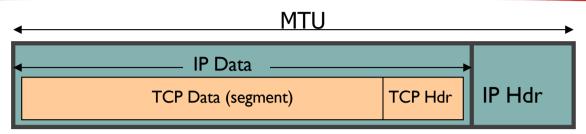
Application @ Host B

# .. Provided Using TCP "Segments"

#### Host A



# TCP Maximum Segment Size



### Packet

- No bigger than Maximum Transmission Unit (MTU)
- E.g., up to 1500 bytes with Ethernet

so TCP funnels down to the network layer which eventually funnels down to IP, so whatever IP is bottle-necked by, so is network and TCP. So we are capped at 1500 bytes (for ethernet)

### TCP packet

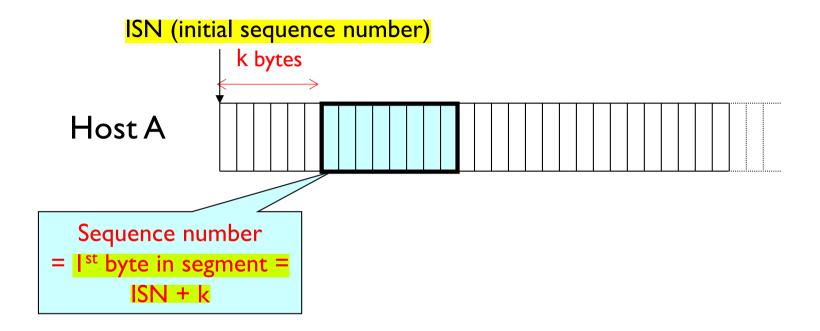
- IP packet with a TCP header and data inside
- TCP header ≥ 20 bytes long

but the IP packet isn't all data, it has the IP header (min 20 bytes), and the TCP header which is also min 20 bytes.

### TCP segment

- No more than Maximum Segment Size (MSS) bytes
- E.g., up to 1460 consecutive bytes from the stream
- MSS = MTU 20 (min IP header ) 20 ( min TCP header )

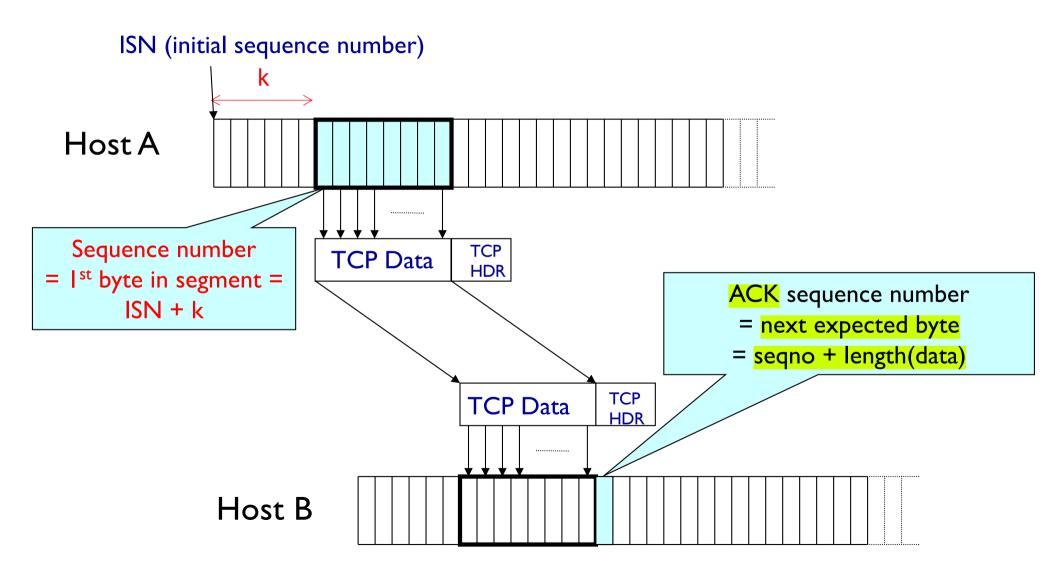
# Sequence Numbers



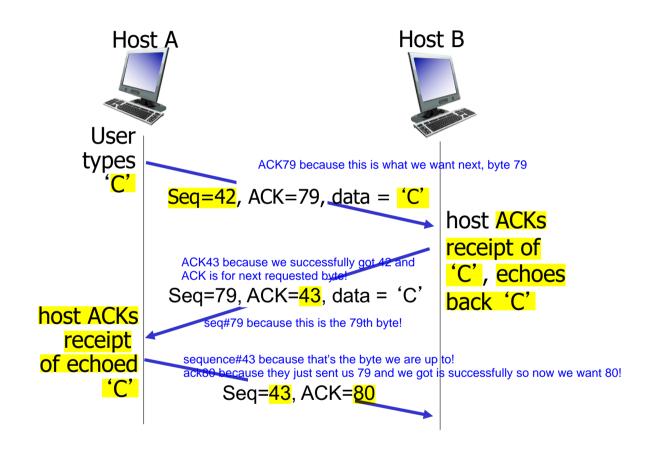
#### Sequence numbers:

byte stream "number" of first byte in segment's data

# Sequence & Ack Numbers



## TCP seq. numbers, ACKs



simple telnet scenario

# Transport Part 1: Summary

- principles behind transport layer services:
  - multiplexing, demultiplexing
  - UDP
  - reliable data transfer
  - Pipelined Protocols for reliable data transfer

#### Next Week:

- TCP
  - TCP Flow Control
  - TCP Connection Management