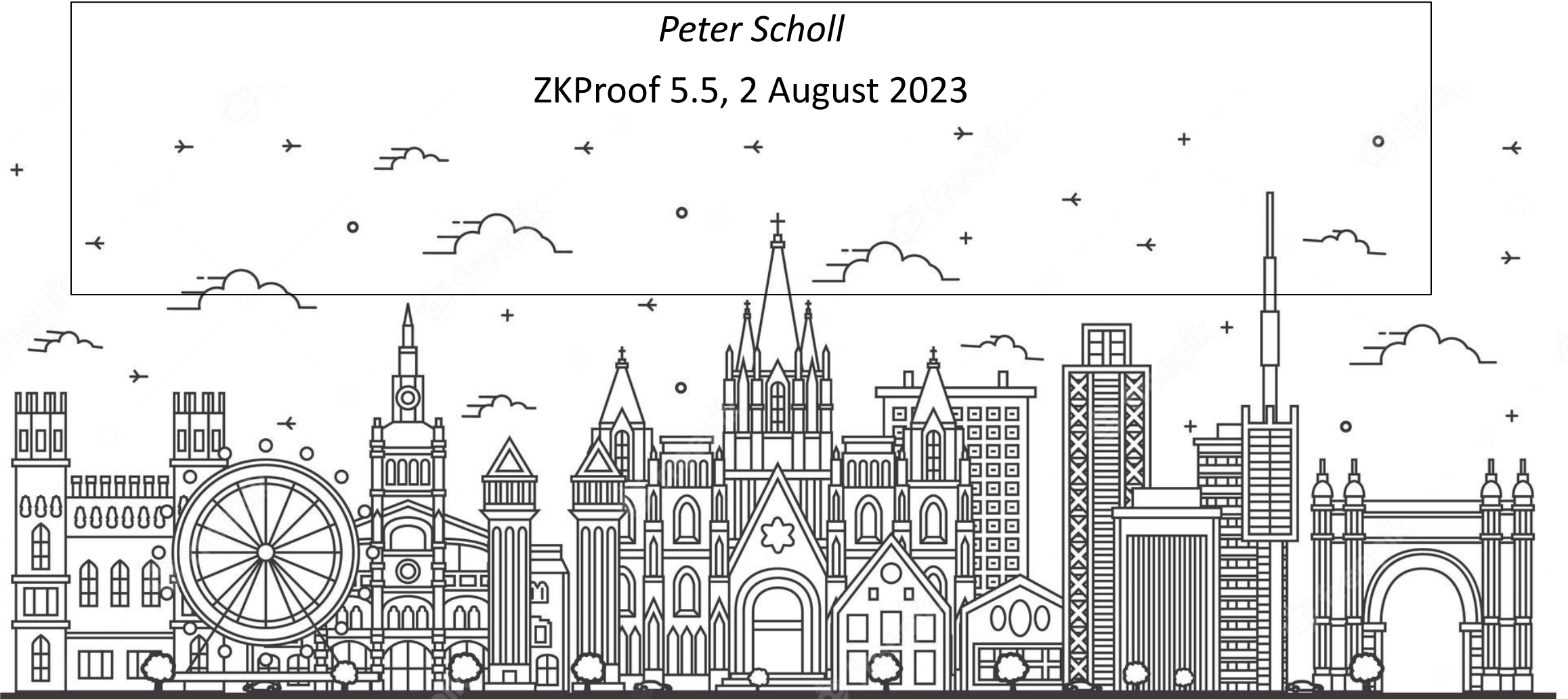


VOLE-in-the-Head and the FAEST Post-Quantum Signature Scheme

Peter Scholl

ZKProof 5.5, 2 August 2023

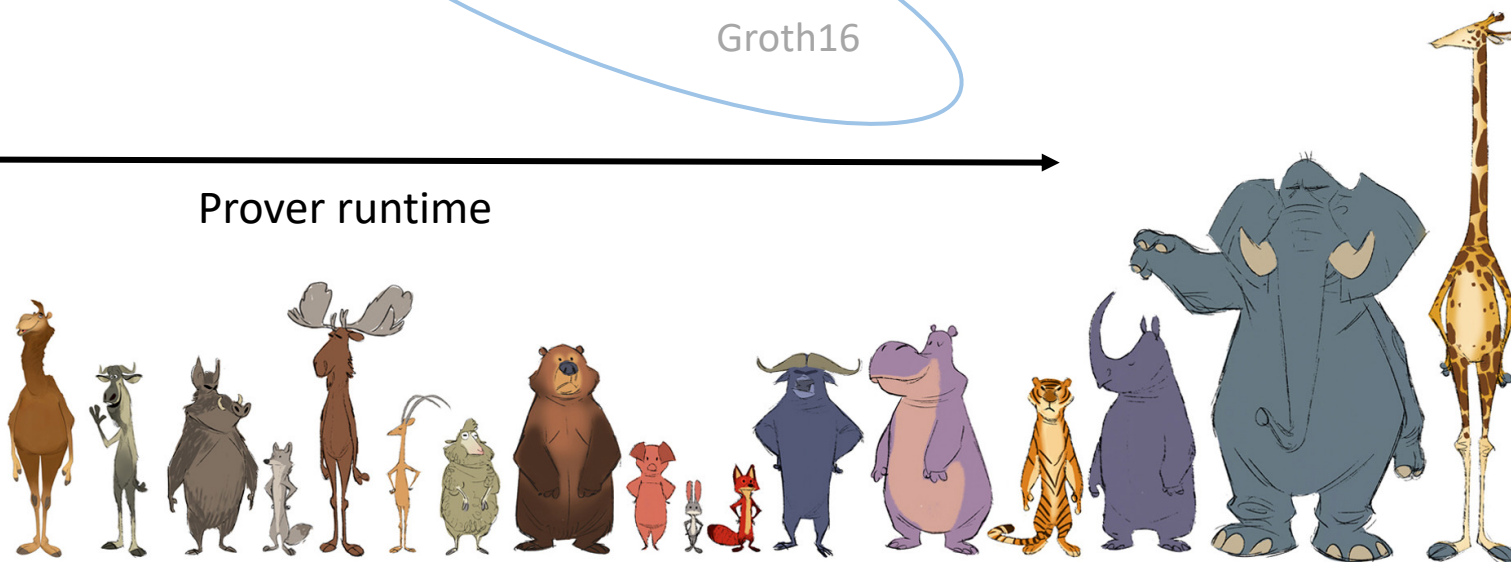
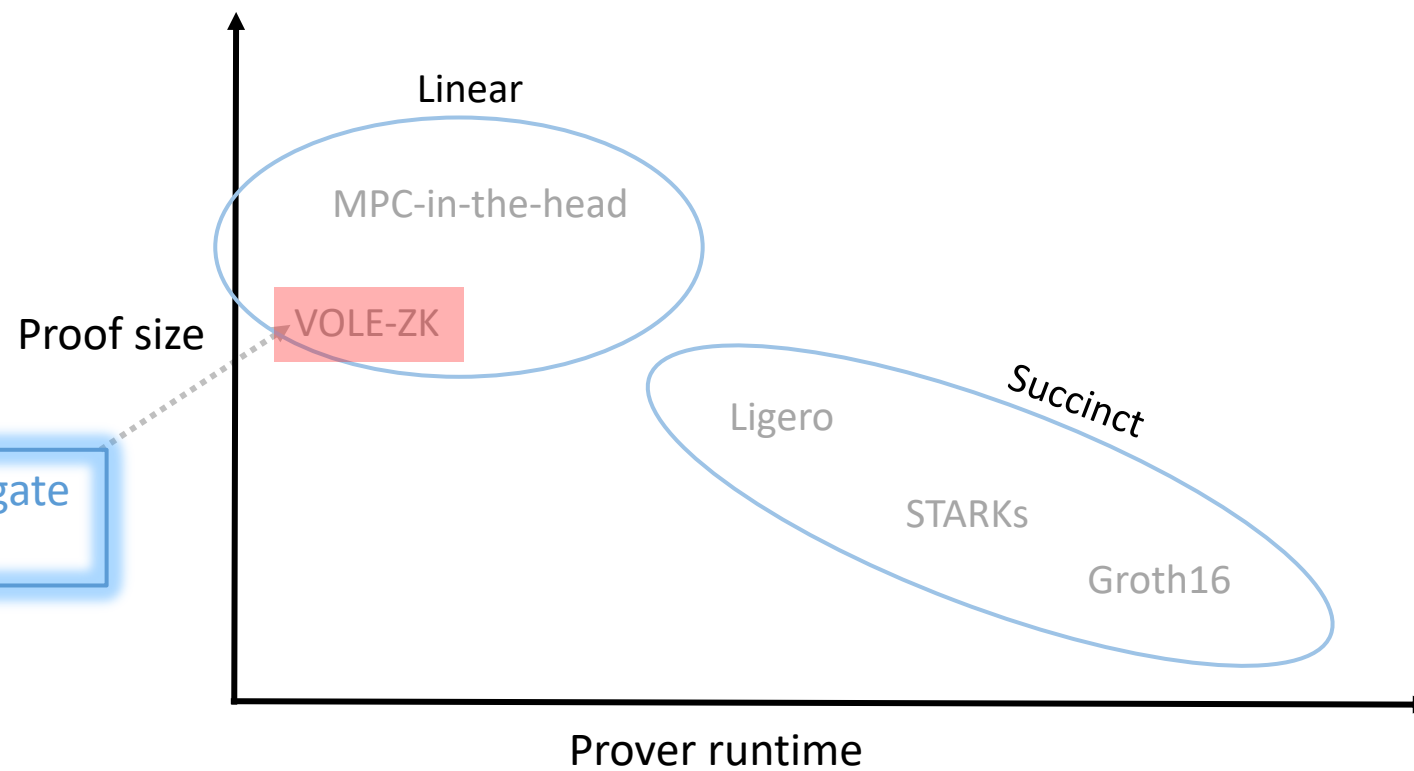


Based on

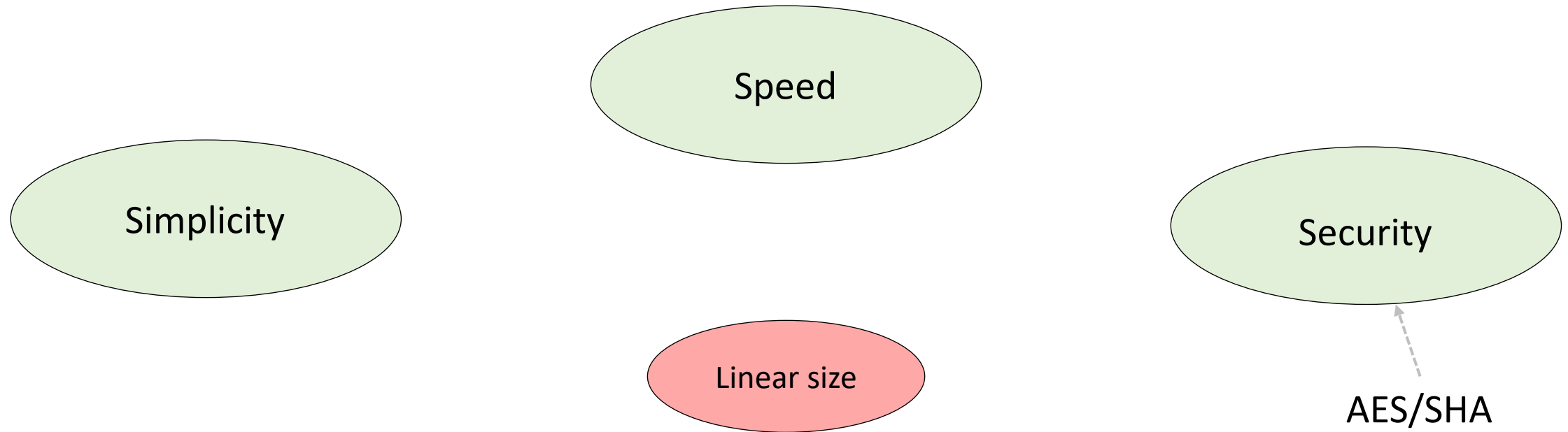
Publicly Verifiable Zero-Knowledge and Post-Quantum Signatures From VOLE-in-the-Head
with *Carsten Baum, Lennart Braun, Cyprien Delpéch de Saint Guilhem, Michael Klooß, Emmanuela Orsini, Lawrence Roy*
CRYPTO 2023

FAEST Digital Signature Scheme
+ *Christian Majenz, Shibam Mukherjee, Sebastian Ramacher, Christian Rechberger*
Submission to NIST PQC Standardization process

Families of ZK Proofs



VOLE-in-the-Head: a general tool for making VOLE-ZK proofs publicly verifiable



Application: FAEST post-quantum signature scheme



VOLE-ZK

in the [designated verifier](#) setting



Background: VOLE

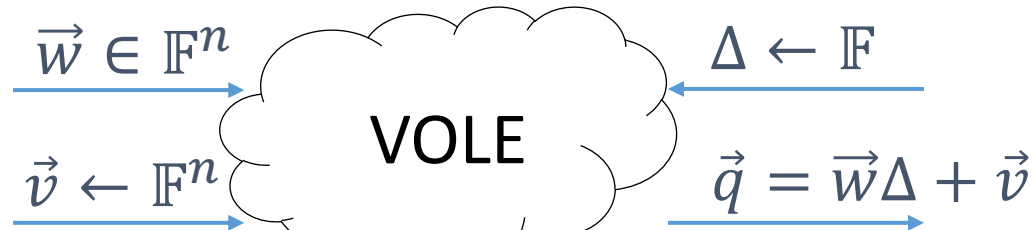
(vector oblivious linear evaluation)



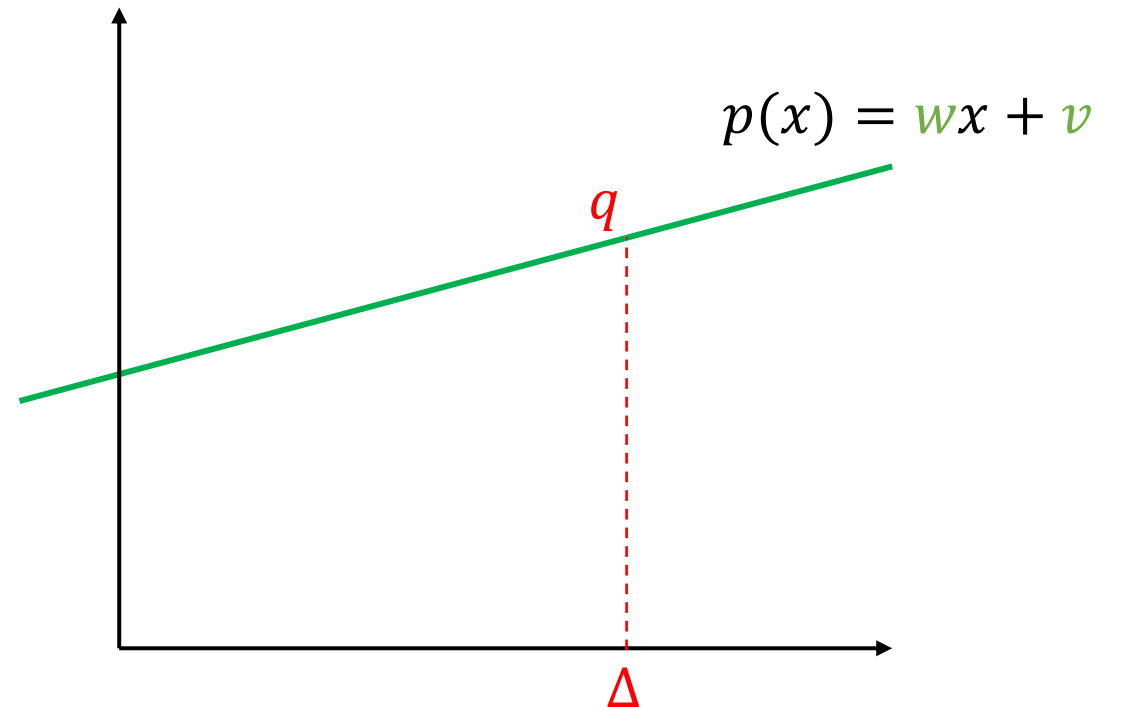
Prover



Verifier



Can be instantiated with OT, HE, LPN...



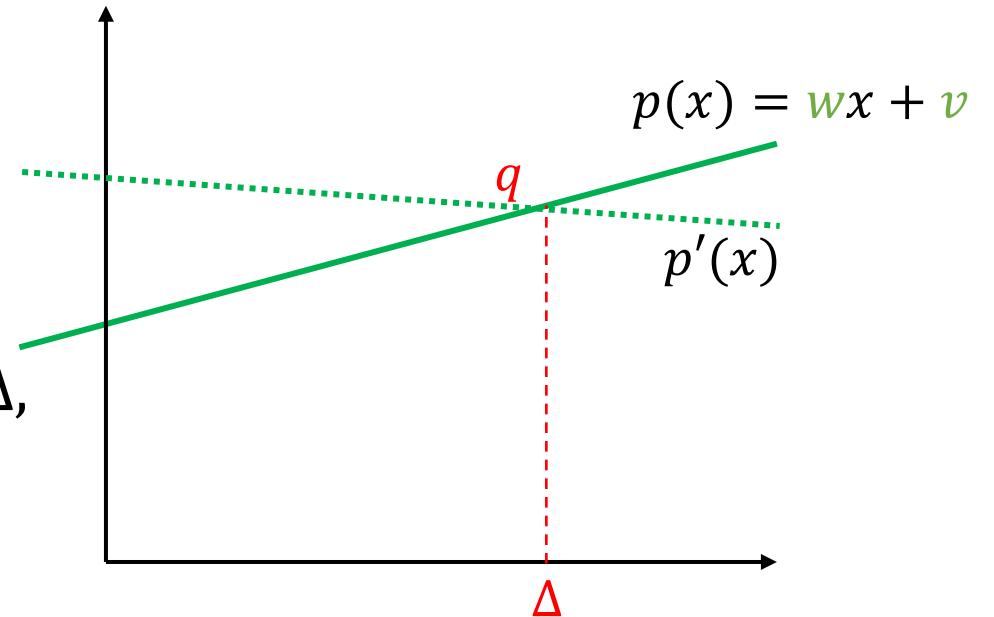
ZK from VOLE (designated verifier)

[BMRS 21, WYKW 21]

Use VOLE as a **linear commitment** to \vec{w}

To open

- Alice sends (w, v) , Bob checks if $q = w\Delta + v$
- **Hiding**: since v is random
- **Binding**: opening to $w' \neq w$ requires guessing Δ , prob. $1/|\mathbb{F}|$

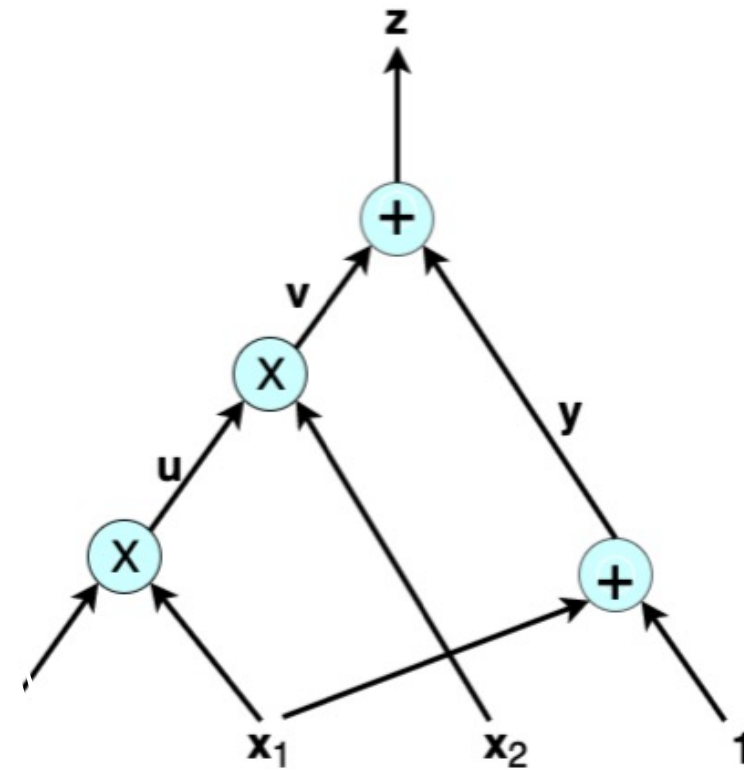


Commitments are **linearly homomorphic**

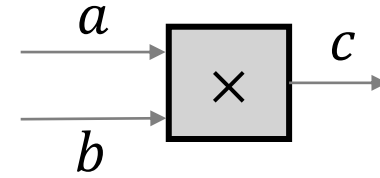
ZK from VOLE via Commit-and-Prove

[BMRS 21, WYKW 21]

- Commit to witness \vec{w}
- Evaluate \mathcal{C} gate-by-gate:
 - Linear gates: easy
 - Multiplication: ???

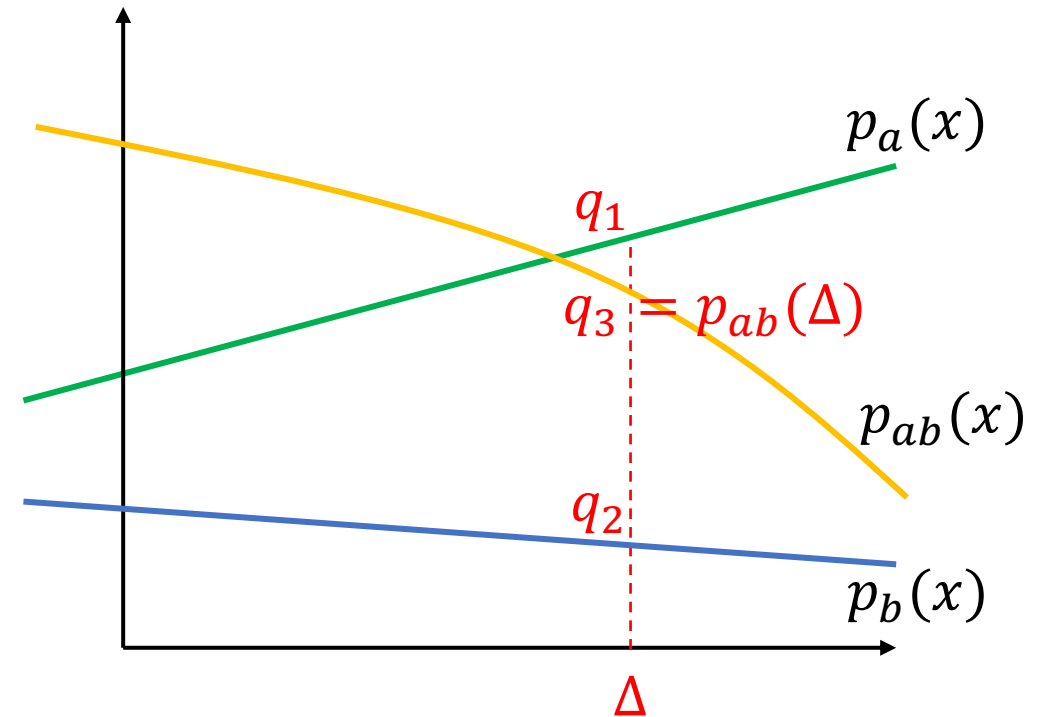


Multiplication gates in VOLE-ZK



[DIO 21, YSWW 21]

- Multiply two lines \Rightarrow quadratic polynomial
 - $p_{ab}(x) = d_0 + d_1x + abx^2$
- Commit to output $c \Rightarrow p_c(x) = v + cx$
- $p_{ab}(x) - xp_c(x)$ **should be** degree-1
 - Open and check
 - First, **mask** with random deg-1 commitment



Cost analysis for VOLE-ZK

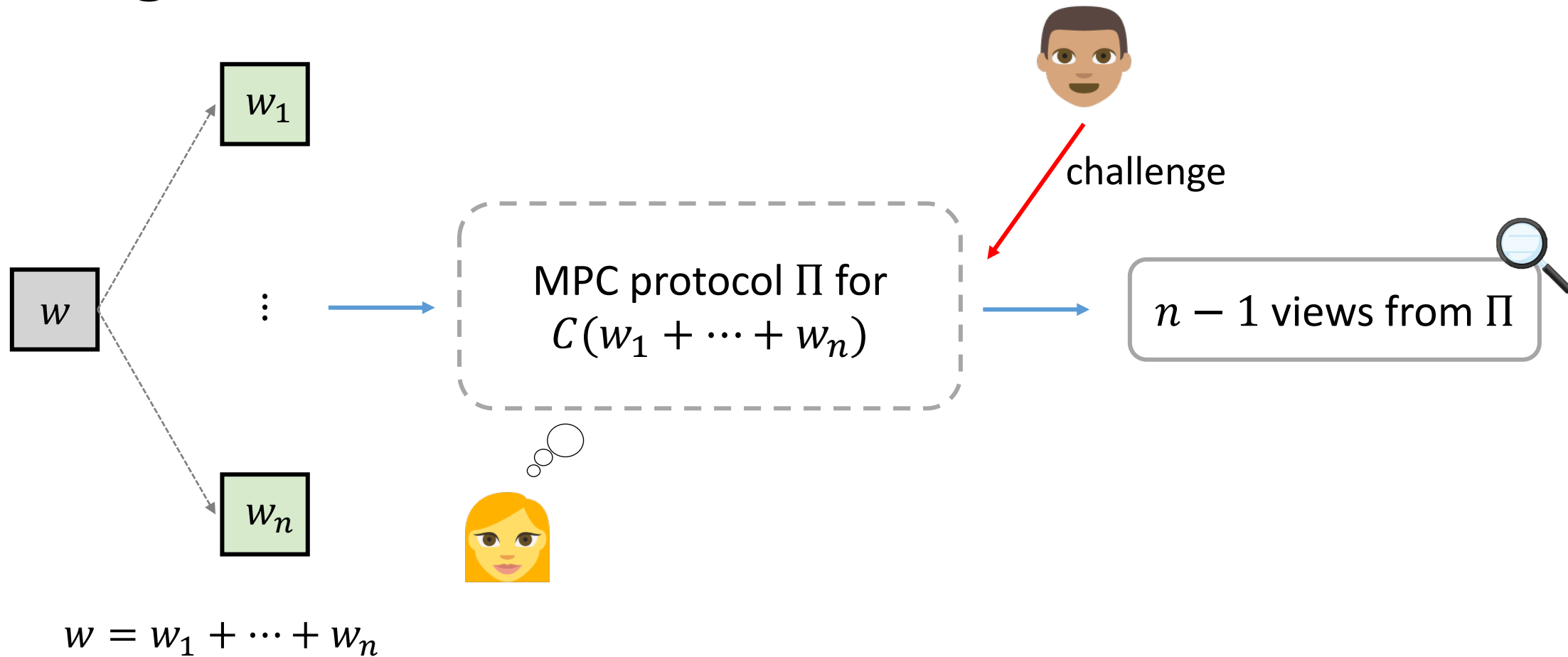
- Per multiplication gate:
 - Commit to c
 - 1× VOLE element
 - Open masked commitment
 - Can be amortized (check random combination of gates)
- For circuit:
 - n field elements for circuit with n mult. gates (assuming cheap VOLE)
 - Improvements:
 - General deg-2 and higher degree gates; branching; field switching...

VOLE-in-the-Head

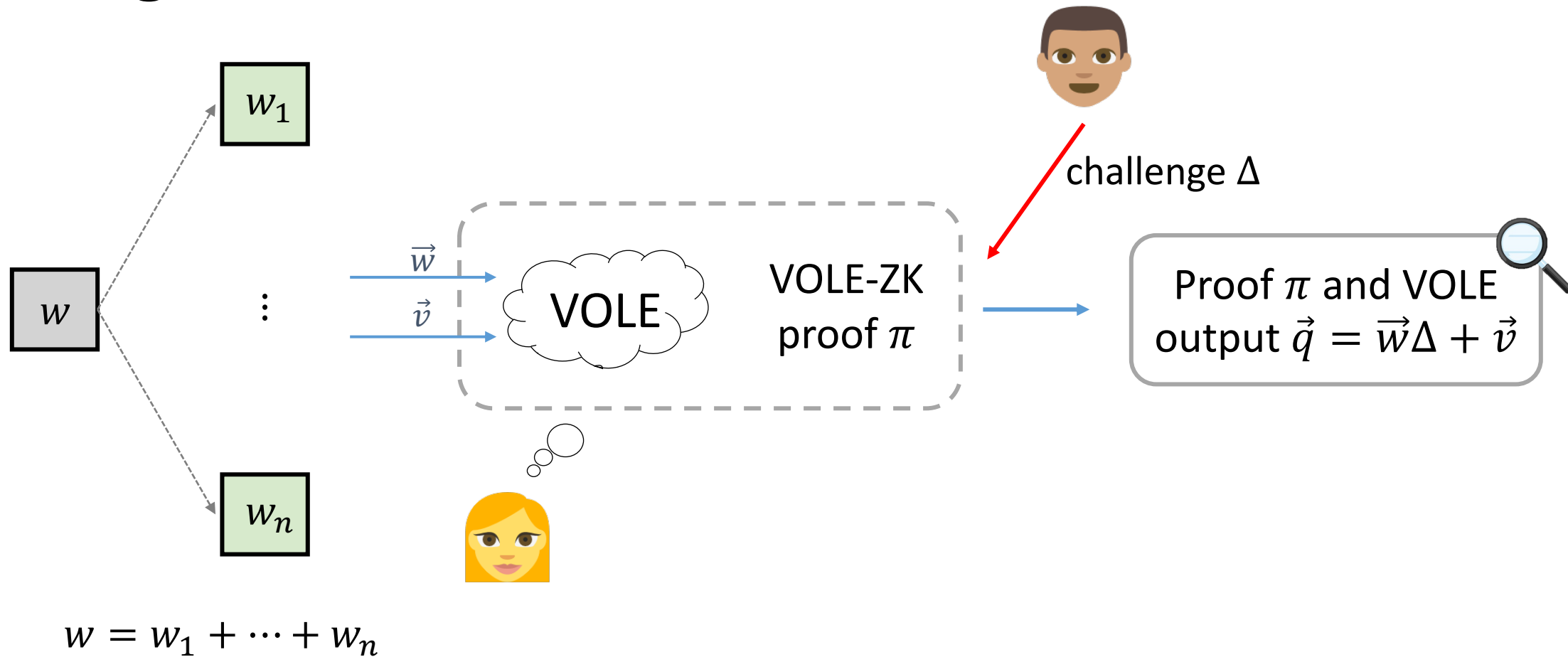
Adding public
verifiability



MPC-in-the-Head vs VOLE-in-the-head: high-level differences



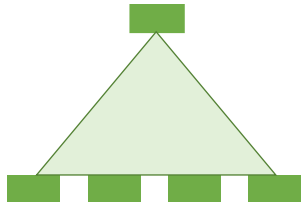
MPC-in-the-Head vs VOLE-in-the-head: high-level differences



How to do VOLE-in-the-head?



All-but-one
vector commitment



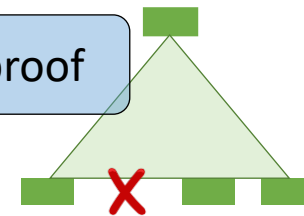
Commit to n random strings

\vdots

Run VOLE-ZK proof

Challenge Δ

Open $n - 1$



Convert to VOLE

\vec{u}, \vec{v}

$\vec{q} = \vec{u}\Delta + \vec{v}$

VOLE-in-the-head: some details

- $(n - 1)$ -out-of- n vector commit \Rightarrow VOLE in \mathbb{F}_n
 - Commitments have soundness error $\frac{1}{n}$ ☹️
 - What about \mathbb{F}_m for large m ?
- For extension fields, $m = n^\tau$:
 - Repeat τ times, with same $w \in \mathbb{F}_n$
 - Cost e.g. over \mathbb{F}_2 , 10-16 bits per AND
- For large prime fields:
 - Encode w with linear code
 - Cost: 1-2 field elements per MULT

Needs consistency check



Application to Post-Quantum Signatures



Call for Additional Digital Signature Schemes

Paradigm for ZK-based signatures

- Signature:
 - NIZK proof of knowledge of sk , such that $pk = \text{Enc}_{sk}(x)$
- Challenge: finding a **ZK-friendly** Enc
 - Custom ciphers: e.g. LowMC, MiMC
 - Other assumptions: code-based, multivariate...

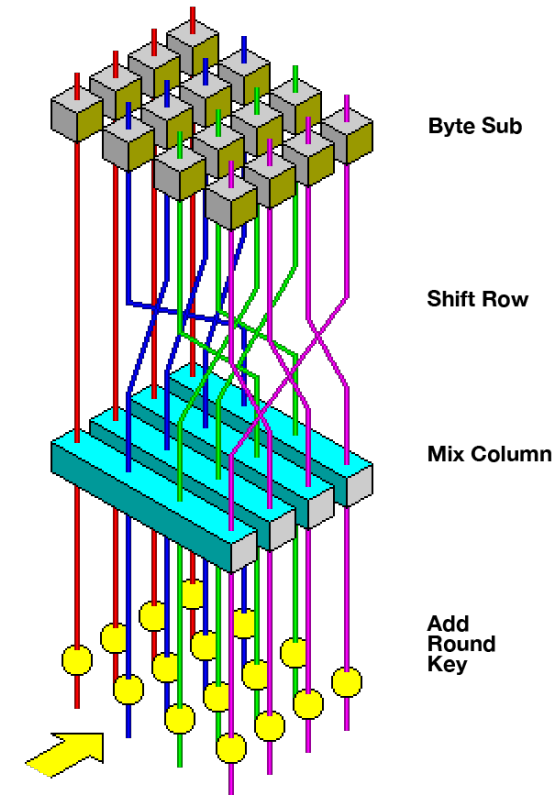
AES: a ZK-friendly OWF?

ShiftRows, MixColumns, AddRoundKey:

- All **linear** over \mathbb{F}_2

S-Box:

- Inversion in \mathbb{F}_{2^8}
- Prove in ZK as **1 multiplication constraint**



Proving AES-128 in FAEST

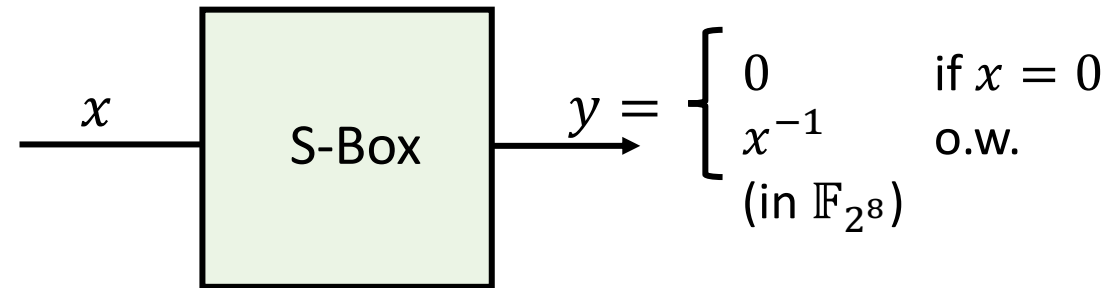
Witness: key + internal state of each round

- 1600 bits (in \mathbb{F}_2)

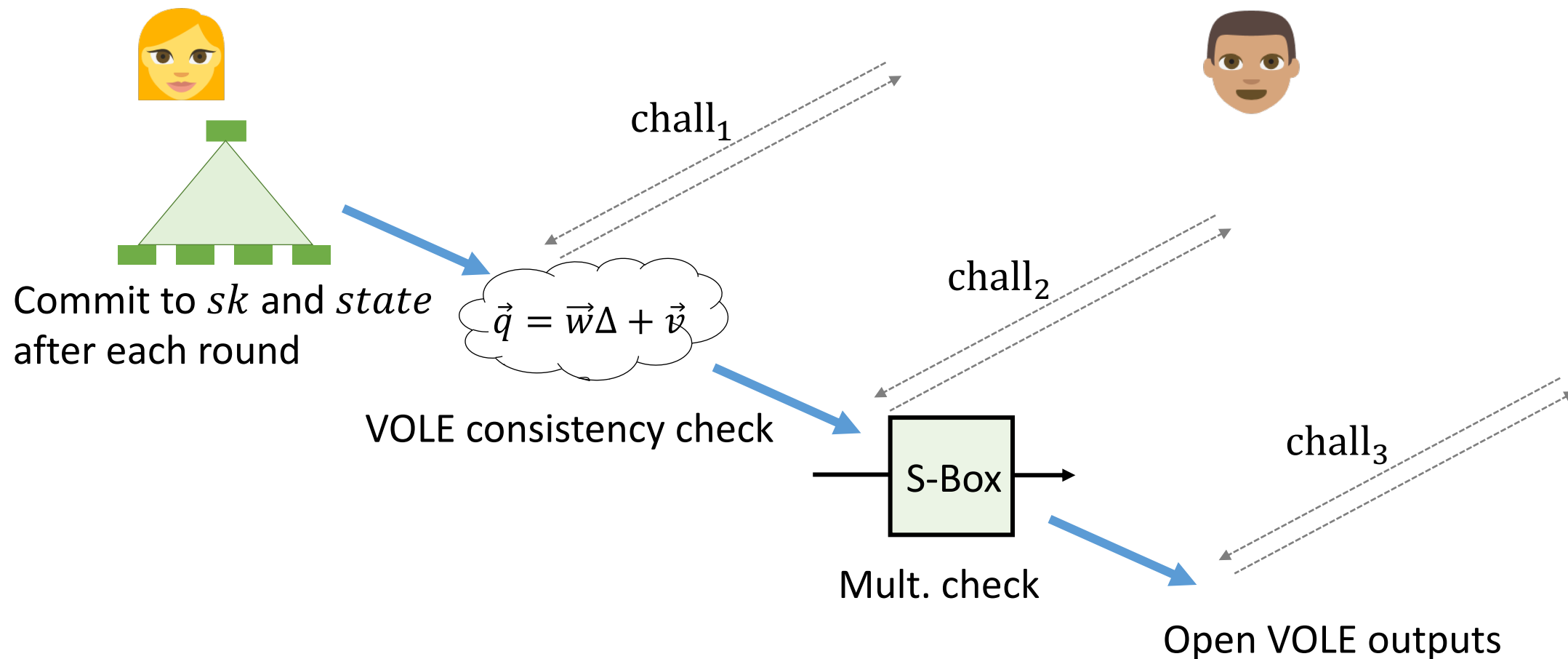
200 constraints over \mathbb{F}_{2^8} :

- 1 per S-box: degree-2 polynomial

$$xy = 1$$



FAEST overview: proving $pk = AES_{sk}(x)$



FAEST: example performance

	Sign/Verify	Size
FAEST-128s	$\approx 8\text{ms}$	5 006 B
FAEST-128f	$\approx 1\text{ms}$	6 336 B
FAEST-256s	$\approx 27\text{ms}$	22 100 B
FAEST-256f	$\approx 3\text{ms}$	28 400 B

- Signature sizes:
 - Smaller than SPHINCS+ and most code-based candidates
 - Faster signing, slower verification
- Possible variants:
 - MQ instead of AES: size $\approx 3\text{ kB}$

Conclusion

VOLE-ZK proofs:

- **Lightweight** and **fast** with linear size
- VOLE-in-the-head: **publicly verifiable**

FAEST signature:

- Conservative security
- Reasonable performance

Resources:

Paper: <https://ia.cr/2023/996>

PQ signature: <https://faest.info>

