# Some Title...

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Mention that we may get an interval of uncertainty. Maybe discuss frequentist and subjectivist interpretations. (2)

# **Preliminaries**

FIRST PASS: ASSUME FIXED GODEL T NORM – WE CAN EXTEND TO OTHER TNORMS AND TO DISTRIBUTION SEMANTICS LATER.

Throughout this paper, we assume a general knowledge of logic programming terminology, including tabled resolution and the well-founded semantics including both default and explicit negation as in (1). Here, we include notation and definitions that will be used for our proof of the correctness of Plow.

An annotated atom is an atom A associated with an annotation n that is either a variable or  $0 \le n \le 1$ , denoted A:n. From an annotated atom A:n, an objective literal O is formed as either O=A:n, termed a positive objective literal with sign(O)=pos; or as O=negA:n, termed a negative objective literal with sign(O)=neg, and the neg symbol denotes explicit negation. In either case the annotation, n, is denoted as annotation(O), while the underlying atom, A, is atom(A). Two objective literals  $O_1$  and  $O_2$  with the same underlying atom are homologs if they have the same sign and conjugates otherwise. An objective literal A:n is ground if both A and A are ground. From an objective literal A and A are ground. From an objective literal A and A are ground. From an objective literal A and A are ground. From an objective literal A and A are ground. From an objective literal A and A are ground. From an objective literal A and A are ground. From an objective literal A and A are ground. From an objective literal A and A are ground. From an objective literal A and A are ground. From an objective literal A and A are ground. From an objective literal A and A are ground. From an objective literal A and A are ground. From an objective literal A and A are ground. From an objective literal A and A are ground. From an objective literal A are ground. From an objective literal A and A are ground. From an objective literal A and A are ground. From an objective literal A are ground A are ground A are ground A and A are ground A are ground A and A are ground A are ground A and A are ground A are ground

A rule has the form

$$r = O \leftarrow L_1, \dots, L_n$$

where O is an objective literal and  $L_0, \ldots, L_n$  are default literals.

A rule R is ground if all literals in R are ground; a program P is ground if all rules in P are ground.

Our attention is restricted to three-valued (partial) interpretations and models such as those extending the well-founded model. Each such interpretation  $\mathcal{I}$  is represented as a pair of sets of ground objective literals:  $(\mathcal{T}, za\mathcal{F})$ .

Definition 1

Let P be a ground objective literal O with annotation(O) = n, and  $\mathcal{I}$  an interpretation. O is true in  $\mathcal{I}$  if

- $\mathcal{T}$  contains an  $O_T$  such that  $O_T$  is a homolog of O and  $annotation(O_T) \geq n$ ; and
- $\mathcal{T}$  does not contain a conjugate  $O_C$  of O with  $annotation(O_C) \geq (1-n)$ ; and

<sup>&</sup>lt;sup>1</sup> When convenient, an objective literal  $A: 1 (\neg A: 1)$  is denoted simply as  $A(\neg A)$ .

•  $\mathcal{F}$  does not contain a homolog  $O_F$  of O with  $annotation(O_F) \geq (1-n)$ .

O is false in  $\mathcal{I}$  if

- $\mathcal{T}$  does not contain a homolog  $O_T$  of O with  $annotation(O_T) \geq (1-n)$ ; and either
  - $\mathcal{F}$  contains a homolog  $O_F$  of O with  $annotation(O_F) \geq n$ ; or
  - $\mathcal{T}$  contains a conjugate  $O_C$  of O with  $annotation(O_C) \geq n$ .

O is  $\mathbf{u}$  in  $\mathcal{I}$  if it is neither true nor false in  $\mathcal{I}$ .

A positive literal O is true (false) in  $\mathcal{I}$  if O is true (false) in  $\mathcal{I}$ ; a negative literal nafO is true in  $\mathcal{I}$  if O is false in  $\mathcal{I}$  and is false in  $\mathcal{L}$  if O is true in  $\mathcal{I}$ . A literal is  $\mathbf{u}$  in  $\mathcal{I}$  if it is neither true nore false in  $\mathcal{I}$ .

Note that in the above definition the truth value **u** captures both the traditional case where a literal is undefined, along with the case where a literal is overdefined.

## Example 1

Consider the interpretation  $\mathcal{I}_1$  where

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• \mathcal{T} = \{p : 0.7, q : 0.5, r : 0.6, negr : 0.5\}
• \mathcal{F} = \{p : 0.4, q : 0.5\}.
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p:0.6 is true in  $\mathcal{I}$  and p:0.8 false, but p:0.7 is  $\mathbf{u}$ ; q:0.5 is  $\mathbf{u}$  in  $\mathcal{I}$ . r:0.4 is true in  $\mathcal{I}$  but r:0.7 is false; r:n is  $\mathbf{u}$  for  $0.5 \le n \le 0.6$ .

# Well-Founded Model

Motivation: consider the program

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p:0.8:- not p:0.8.
p:0.5.
neg p:0.3.
```

We want a model with p:0.5 as true, p:0.7 as false and p:0.6 as u. However, if the rule p:0.8: – not p:0.8. were removed, p:0.6 would be false.

One of the most important formulations of stratification is that of *dynamic* stratification (3), which shows that a program has a 2-valued well-founded model iff it is dynamically stratified, so that it is the weakest notion of stratification consistent with the well-founded semantics. The original definition of dynamic stratification included neither explicit negation (neg) nor annotations; however the encapsulation of these features within the interpretations of Definition 1 allows the definitions in this section to be mostly unchanged from their original formulation.

As presented in (3), dynamic stratification computes strata via operators on interpretations of the form  $(\mathcal{T},\mathcal{F})$  where  $\mathcal{T}$  and  $\mathcal{F}$  are subsets of  $\mathcal{H}_P$ . Given a set  $\mathcal{S}$  of ground objective literals, a ground objective literal  $A: \hat{m} \in \mathcal{S}$  if  $A: n \in \mathcal{S}$  with  $n \geq m$ .

Dynamic stratification is based on a series of reduction of a program in the usual manner.

Definition 2 (Reduction of  $\mathcal{P}$  modulo  $\mathcal{I}$ )

Let  $\mathcal{I}$  be an interpretation and  $\mathcal{P}$  a program, both over the same langage  $\mathcal{L}$ . By the *reduction of* P *modulo*  $\mathcal{I}$  we mean a new program  $\frac{P}{\mathcal{I}}$  obtained from P by performing the following operations:

- 1. Remove from  $\mathcal{P}$  all rules that contain a literal that is false in  $\mathcal{I}$ .
- 2. Remove from all the remaining rules those literals that are true in  $\mathcal{I}$

Each stratum is then based on the interpretation of the previous stratum if it exists commbined with a reduction.

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#### Definition 3

For a normal program P, sets  $\mathcal{T}$  and  $\mathcal{F}$  of ground atoms and a 3-valued interpretation  $I = (\mathcal{T}, \mathcal{F})$  (sometimes called a pre-interpretation):

 $True_I^P(\mathcal{T}) = \{A|A \text{ is true in } I; \text{ or there is a clause } B \leftarrow L_1,...,L_n \text{ in } P, \text{ a grounding substitution } \theta \text{ such that } A = B\theta \text{ and for every } 1 \leq i \leq n \text{ either } L_i\theta \text{ is true in } I, \text{ or } L_i\theta \hat{\in}\mathcal{T}\};$   $False_I^P(\mathcal{F}) = \{A|A \text{ is false in } I; \text{ or for every clause } B \leftarrow L_1,...,L_n \text{ in } P \text{ and grounding substitution } \theta \text{ such that } A = B\theta \text{ there is some } i \ (1 \leq i \leq n) \text{ such that } L_i\theta \text{ is false in } I \text{ or } L_i\theta \hat{\in}\mathcal{F}\}.$ 

(3) shows that  $True_I^P$  and  $False_I^P$  are both monotonic, and defines  $\mathcal{TR}_I^P$  as the least fixed point of  $True_I^P(\emptyset)$  and  $\mathcal{F}\mathcal{A}_I^P$  as the greatest fixed point of  $False_I^P(\mathcal{H}_P)$ . In words, the operator  $\mathcal{TR}_I^P$  extends the interpretation I to add the new atomic facts that can be derived from P knowing I;  $\mathcal{F}\mathcal{A}_I^P$  adds the new negations of atomic facts that can be shown false in P by knowing I (via the uncovering of unfounded sets). An iterated fixed point operator builds up dynamic strata by constructing successive partial interpretations as follows.

Definition 4 (Iterated Fixed Point and Dynamic Strata) For a normal program P let

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\begin{array}{rcl} WFM_0 & = & \langle \emptyset; \emptyset \rangle; \\ WFM_{\alpha+1} & = & WFM_{\alpha} \cup \langle \mathcal{TR}^P_{WFM_{\alpha}}; \mathcal{FA}^P_{WFM_{\alpha}} \rangle; \\ WFM_{\alpha} & = & \bigcup_{\beta < \alpha} WFM_{\beta}, \text{ for limit ordinal } \alpha. \end{array}
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WFM(P) denotes the fixed point interpretation  $WFM_{\delta}$ , where  $\delta$  is the smallest (countable) ordinal such that both sets  $\mathcal{TR}^P_{WFM_{\delta}}$  and  $\mathcal{FA}^P_{WFM_{\delta}}$  are empty. The *stratum* of atom A, is the least ordinal  $\beta$  such that  $A \in WFM_{\beta}$ .

(3) shows that WFM(P) is in fact the well-founded model and that any undefined atoms of the well-founded model do not belong to any stratum – i.e. they are not added to  $WFM_{\delta}$  for any ordinal  $\delta$ . Thus, a program is *dynamically stratified* if every atom belongs to at least one stratum.

This section has considered normal logic programs extended both with explicit negation. We note that if all atoms in a program  $\mathcal{P}$  have the annotation 1, Definition 4 reduces to the definition of the Well0founded Semantics with Explicit Negation (1), and if  $\mathcal{P}$  also does not contain explicit negation, the definition reduces to the definition of Well-Founded Semantics in (3).

## **Goal-Driven Evaluation**

(1)

#### Not yet incorporated

TES: not sure this def is necessary.

Definition 5 (Unfounded Sets)

Given a program  $\mathcal{P}$ , there is a dependency edge from an objective literal  $O_1$  to an objective literal  $O_2$  if there is a rule  $R \in \mathcal{P}$  such that  $O_1$  is the head of R and  $O_2$  occurs in a literal L in the body of R. If  $O_2$  occurs in a positive default literal the edge is positive; if  $O_2$  occurs in a negative default literal the edge is negative.

There is a path between objective literals  $O_1$  and  $O_2$  in  $\mathcal{P}$  if there is an edge bwtween  $O_1$  and

 $O_2$  in  $\mathcal{P}$ , or if there is a path between  $O_1$  and an objective literal  $O_3$  and there is an edge between  $O_3$  and  $O_2$ .

The direct dependencies of an objective literal  $\mathcal{O}$  are those literals to which  $\mathcal{O}$  has a dependency edge. from  $\mathcal{O}$ .

An objective literal O is involved in a negative loop if there is a path from O to itself that involves a negative edge.

An objective literal O is *unfounded* if O is involved in a negative loop and every direct dependency of O is involved in a negative loop; or if every rule with head O has a non-empty body, and every direct dependency of O is unfounded.

Given a program  $\mathcal{P}$  and interpretation  $\mathcal{I}$ , the *unfounded set*  $\mathcal{U}_{\mathcal{I}}$  consists of all unfounded objective literals in  $\frac{\mathcal{P}}{\mathcal{I}}$ .

Unfounded sets will be used to separate the truth values of conjugates. If the semantics is equivalent to rewriting each rule r for p so that  $naf\,conjugate(p)$  is in the body of r then a program like

```
p.
neg p:- not neg p.
```

will be able to derive neither p nor neg p.

### References

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