

## *Some Damn Title...*

Some Permutation of: Benjamin, Fabrizo and Teri

*submitted 1 January 2003; revised 1 January 2003; accepted 1 January 2003*

### Semantics

FIRST PASS: ASSUME FIXED GODEL T NORM – WE CAN EXTEND TO OTHER TNORMS AND TO DISTRIBUTION SEMANTICS LATER. EVEN AFTER SIMPLIFYING, THERE ARE SEVERAL LAND MINES TO NAVIGATE.

TODO!!! UNFOUNDED SETS. AND CONTINUE WITH THE DEFINITION BELOW.

TES: Ground should include annotation

An *annotated atom* is an atom  $A$  associated with an annotation  $n$ ,  $0 \leq n \leq 1$ , denoted  $A : n$ . From an annotated atom  $A:n$ , an *objective literal*  $O$  is formed as  $O = A : n$  termed a positive objective literal with  $sign(O) = pos$ ; or as  $O = \neg A : n$  termed a negative objective literal with  $sign(O) = neg$ . In either case the annotation,  $n$ , is denoted as  $annotation(O)$ , while the underlying atom,  $A$ , is  $atom(A)$ . Two objective literals  $O_1$  and  $O_2$  with the same underlying atom are *homologs* if they have the same sign and *conjugates* otherwise. From an objective literal  $O$  a default literal is formed as either a positive default literal  $O$  or as a negative default literal  $naf O$ .<sup>1</sup>

Our attention is restricted to three-valued (partial) interpretations and models such as those extending the well-founded model. Each such interpretation  $\mathcal{I}$  is represented as a pair of sets of ground objective literals:  $\mathcal{T}$  and  $\mathcal{F}$ .

TES: IN THE FOLLOWING, I DONT YET FEEL CONFIDENT ABOUT MY  $>$ 'S AND MY  $\geq$ 'S. ... CONFLICTING TRUTH VALUES ARE MAPPED TO U, BUT IN THE EDGE CASE, I'D LIKE TRUE TO OUTWEIGH FALSE (AS WITH  $r$  BELOW).

#### *Definition 1*

Let  $P$  be a ground objective literal  $O$  with  $annotation(O) = n$ .

$O$  is true in  $\mathcal{I}$  if

- There exists an  $O_T$  in  $\mathcal{T}$  such that  $O_T$  is a homolog of  $O$  and  $annotation(O_T) \geq n$ , and
  - $\mathcal{T}$  does not contain a conjugate  $O_C$  of  $O$  with  $annotation(O_C) > (1 - n)$  and
  - $\mathcal{F}$  does not contain a homolog  $O_F$  of  $O$  with  $annotation(O_F) > (1 - n)$ .

$O$  is false in  $\mathcal{I}$  if

- $\mathcal{T}$  does not contain a homolog  $O_T$  of  $O$  with  $annotation(O_T) \geq (1 - n)$ ; and
  - $\mathcal{F}$  contains a homolog  $O_F$  of  $O$  with  $annotation(O_F) \geq n$ .
  - $\mathcal{T}$  contains a conjugate  $O_C$  of  $O$  with  $annotation(O_C) \geq n$ .

A positive literal  $O$  is true (false) in  $\mathcal{I}$  if  $O$  is true (false) in  $\mathcal{I}$ ; a negative literal  $naf O$  is true in  $\mathcal{I}$  if  $O$  is false in  $\mathcal{I}$  and is false in  $\mathcal{L}$  if  $O$  is true in  $\mathcal{I}$ .

$O$  is undefined in  $\mathcal{I}$  if it is neither true nor false in  $\mathcal{I}$ .

<sup>1</sup> When convenient, an objective literal  $A : 1$  ( $\neg A : 1$ ) is denoted simply as  $A$  ( $\neg A$ ).

*Example 1*

Consider the interpretation  $\mathcal{I}_1$  where  $\mathcal{T} = \{p : 0.7, q : 0.5, r : 0.6, \neg r : 0.5\}$  and  $\mathcal{F} = \{p : 0.4, q : 0.5\}$ .  $p : 0.6$  is true in  $\mathcal{I}$  and  $p : 0.8$  false, but  $p : .7$  is neither true nor false;  $q : 0.5$  is true in  $\mathcal{I}$ ; and  $r : 5$  is true in  $\mathcal{I}$  but  $r : 0.7$  is false.

*Definition 2*

A rule has the form

$$r = O \leftarrow L_1, \dots, L_n$$

where  $O$  is an objective literal and  $L_0, \dots, L_n$  are default literals.

A program is ... (ground)

*Definition 3 (Reduction of  $P$  modulo  $\mathcal{I}$ )*

Let  $\mathcal{I}$  be an interpretation of a program,  $P$ . By the *reduction of  $P$  modulo  $\mathcal{I}$*  we mean a new program  $\frac{P}{\mathcal{I}}$  obtained from  $P$  by performing the following operations:

1. Remove from  $P$  all rules that contain a literal that is false in  $\mathcal{I}$ .
2. Remove from all the remaining rules those literals that are true in  $\mathcal{I}$

Need to define the essentially undefined set in order to separate the truth values of conjugates. If the semantics is equivalent to rewriting each rule  $r$  for  $p$  so that  $\text{naf conjugate}(p)$  is in the body of  $r$  then a program like

```
p.
neg p :- not neg p.
```

will be able to derive neither  $p$  nor  $\text{neg } p$ .

I believe that this is what is done in WFSX, but this seems a bit weaker than necessary. Essentially, we can conclude an objective literal if we know that its conjugate is essentially undefined (as below). And we can determine this easily enough if its conjugate has been completely evaluated and yet is still undefined.

*Definition 4*

Given a program  $\mathcal{P}$ , there is a dependency edge from an objective literal  $O_1$  to an objective literal  $O_2$  if there is a rule  $R \in \mathcal{P}$  such that  $O_1$  is the head of  $R$  and  $O_2$  occurs in a literal  $L$  in the body of  $R$ . If  $O_2$  occurs in a positive literal the edge is positive; if  $O_2$  occurs in a negative literal the edge is negative.

There is a path between objective literals  $O_1$  and  $O_2$  in  $\mathcal{P}$  if there is an edge between  $O_1$  and  $O_2$  in  $\mathcal{P}$ , or if there is a path between  $O_1$  and an objective literal  $O_3$  and there is an edge between  $O_3$  and  $O_2$ .

The direct dependencies of an objective literal  $\mathcal{O}$  are those literals to which  $\mathcal{O}$  has a dependency edge. from  $\mathcal{O}$ .

An objective literal  $O$  is involved in a negative loop if there is a path from  $O$  to itself that involves a negative edge.

An objective literal  $O$  is essentially undefined if  $O$  is involved in a negative loop and every direct dependency of  $O$  is involved in a negative loop; or if every rule with head  $O$  has a non-empty body, and every direct dependency of  $O$  is essentially undefined.

Given a program  $\mathcal{P}$  and interpretation  $\mathcal{I}$ , the essentially undefined set  $\mathcal{U}_{\mathcal{I}}$  consists of all essentially undefined objective literals in  $\frac{\mathcal{P}}{\mathcal{I}}$ .

**Well-Founded Model**

Motivation: consider the program

```
p:0.8:- not p:0.8.
p:0.5.
neg p:0.3.
```

We want a model with p:0.5 as true, p:0.7 as false and p:0.6 as u. However, if the rule  $p:0.8:- \text{not } p:0.8.$  were removed, p:0.6 would be false.

*Dynamic Stratification*

One of the most important formulations of stratification is that of *dynamic* stratification. (?) shows that a program has a 2-valued well-founded model iff it is dynamically stratified, so that it is the weakest notion of stratification that is consistent with the well-founded semantics. As presented in (?), dynamic stratification computes strata via operators on interpretations of the form  $\langle Tr; Fa \rangle$ , where  $Tr$  and  $Fa$  are subsets of  $\mathcal{H}_P$ .

Given a set  $\mathcal{S}$  of ground objective literals, a ground objective literal  $A : m \hat{=} \mathcal{S}$  if  $A : n \in \mathcal{S}$  with  $n \geq m$ .

??? are rules defined properly???

*Definition 5*

For a normal program  $P$ , sets  $Tr$  and  $Fa$  of ground atoms and a 3-valued interpretation  $I = (\mathcal{T}, \mathcal{F})$  (sometimes called a pre-interpretation):

$True_I^P(Tr) = \{A | A \text{ is not true in } I; \text{ and there is a clause } B \leftarrow L_1, \dots, L_n \text{ in } P, \text{ a grounding substitution } \theta \text{ such that } A = B\theta \text{ and for every } 1 \leq i \leq n \text{ either } L_i\theta \text{ is true in } I, \text{ or } L_i\theta \in Tr\};$   
 $False_I^P(Fa) = \{A | A \text{ is not false in } I; \text{ and for every clause } B \leftarrow L_1, \dots, L_n \text{ in } P \text{ and grounding substitution } \theta \text{ such that } A = B\theta \text{ there is some } i (1 \leq i \leq n) \text{ such that } L_i\theta \text{ is false in } I \text{ or } L_i\theta \in Fa\}.$

(?) shows that  $True_I^P$  and  $False_I^P$  are both monotonic, and defines  $\mathcal{TR}_I^P$  as the least fixed point of  $True_I^P(\emptyset)$  and  $\mathcal{FA}_I^P$  as the greatest fixed point of  $False_I^P(\mathcal{H}_P)$ . In words, the operator  $\mathcal{TR}_I^P$  extends the interpretation  $I$  to add the new atomic facts that can be derived from  $P$  knowing  $I$ ;  $\mathcal{FA}_I^P$  adds the new negations of atomic facts that can be shown false in  $P$  by knowing  $I$  (via the uncovering of unfounded sets). An iterated fixed point operator builds up dynamic strata by constructing successive partial interpretations as follows.

*Definition 6 (Iterated Fixed Point and Dynamic Strata)*

For a normal program  $P$  let

$$\begin{aligned} WFM_0 &= \langle \emptyset; \emptyset \rangle; \\ WFM_{\alpha+1} &= WFM_\alpha \cup \langle \mathcal{TR}_{WFM_\alpha}^P; \mathcal{FA}_{WFM_\alpha}^P \rangle; \\ WFM_\alpha &= \bigcup_{\beta < \alpha} WFM_\beta, \text{ for limit ordinal } \alpha. \end{aligned}$$

$WFM(P)$  denotes the fixed point interpretation  $WFM_\delta$ , where  $\delta$  is the smallest (countable) ordinal such that both sets  $\mathcal{TR}_{WFM_\delta}^P$  and  $\mathcal{FA}_{WFM_\delta}^P$  are empty. The *stratum* of atom  $A$ , is the least ordinal  $\beta$  such that  $A \in WFM_\beta$ .

(?) shows that  $WFM(P)$  is in fact the well-founded model and that any undefined atoms of the well-founded model do not belong to any stratum – i.e. they are not added to  $WFM_\delta$  for any ordinal  $\delta$ . Thus, a program is *dynamically stratified* if every atom belongs to a stratum.