

## *Some Title...*

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### **Semantics**

FIRST PASS: ASSUME FIXED GODEL T NORM – WE CAN EXTEND TO OTHER TNORMS AND TO DISTRIBUTION SEMANTICS LATER. EVEN AFTER SIMPLIFYING, THERE ARE SEVERAL LAND MINES TO NAVIGATE.

TODO!!! UNFOUNDED SETS. AND CONTINUE WITH THE DEFINITION BELOW.

### *Preliminaries*

An *annotated atom* is an atom  $A$  associated with an annotation  $n$  that is either a variable or  $0 \leq n \leq 1$ , denoted  $A : n$ . From an annotated atom  $A:n$ , an *objective literal*  $O$  is formed as either  $O = A : n$ , termed a positive objective literal with  $sign(O) = pos$ ; or aa  $O = \neg A : n$ , termed a negative objective literal with  $sign(O) = neg$ . In either case the annotation,  $n$ , is denoted as  $annotation(O)$ , while the underlying atom,  $A$ , is  $atom(A)$ . Two objective literals  $O_1$  and  $O_2$  with the same underlying atom are *homologs* if they have the same sign and *conjugates* otherwise. An objective literal  $A : n$  is ground if both  $A$  and  $n$  are ground. From an objective literal  $O$  a default literal is formed as either a positive default literal  $O$  or as a negative default literal  $naf O$ . A default literal is sometimes simply called a *literal*.<sup>1</sup>

A rule has the form

$$r = O \leftarrow L_1, \dots, L_n$$

where  $O$  is an objective literal and  $L_0, \dots, L_n$  are default literals.

A rule  $R$  is ground if all literals in  $R$  are ground; a program  $\mathcal{P}$  is ground if all rules in  $\mathcal{P}$  are ground.

### *Three-Valued Models for Annotated Atoms*

Our attention is restricted to three-valued (partial) interpretations and models such as those extending the well-founded model. Each such interpretation  $\mathcal{I}$  is represented as a pair of sets of ground objective literals:  $\mathcal{T}$  and  $\mathcal{F}$ .

#### *Definition 1*

Let  $P$  be a ground objective literal  $O$  with  $annotation(O) = n$ , and  $\mathcal{I}$  an interpretation.

$O$  is true in  $\mathcal{I}$  if

- $\mathcal{T}$  contains an  $O_T$  such that  $O_T$  is a homolog of  $O$  and  $annotation(O_T) \geq n$ ; and
- $\mathcal{T}$  does not contain a conjugate  $O_C$  of  $O$  with  $annotation(O_C) \geq (1 - n)$ ; and

<sup>1</sup> When convenient, an objective literal  $A : 1$  ( $\neg A : 1$ ) is denoted simply as  $A$  ( $\neg A$ ).

- $\mathcal{F}$  does not contain a homolog  $O_F$  of  $O$  with  $\text{annotation}(O_F) \geq (1 - n)$ .

$O$  is false in  $\mathcal{I}$  if

- $\mathcal{T}$  does not contain a homolog  $O_T$  of  $O$  with  $\text{annotation}(O_T) \geq (1 - n)$ ; and either
  - $\mathcal{F}$  contains a homolog  $O_F$  of  $O$  with  $\text{annotation}(O_F) \geq n$ ; or
  - $\mathcal{T}$  contains a conjugate  $O_C$  of  $O$  with  $\text{annotation}(O_C) \geq n$ .

$O$  is **u** in  $\mathcal{I}$  if it is neither true nor false in  $\mathcal{I}$ .

A positive literal  $O$  is true (false) in  $\mathcal{I}$  if  $O$  is true (false) in  $\mathcal{I}$ ; a negative literal  $\text{naf}O$  is true in  $\mathcal{I}$  if  $O$  is false in  $\mathcal{I}$  and is false in  $\mathcal{L}$  if  $O$  is true in  $\mathcal{I}$ . A literal is **u** in  $\mathcal{I}$  if it is neither true nor false in  $\mathcal{I}$ .

Note that in the above definition the truth value **u** captures both the traditional case where a literal is undefined, along with the case where a literal is overdefined.

#### Example 1

Consider the interpretation  $\mathcal{I}_1$  where

- $\mathcal{T} = \{p : 0.7, q : 0.5, r : 0.6, \neg r : 0.5\}$
- $\mathcal{F} = \{p : 0.4, q : 0.5\}$ .

$p : 0.6$  is true in  $\mathcal{I}$  and  $p : 0.8$  false, but  $p : 0.7$  is **u**;  $q : 0.5$  is **u** in  $\mathcal{I}$ .  $r : 0.4$  is true in  $\mathcal{I}$  but  $r : 0.7$  is false;  $r : n$  is **u** for  $0.5 \leq n \leq 0.6$ .

Given Definition 1, the definition of the reduction of a program is the same as for normal logic programs.

#### Definition 2 (Reduction of $\mathcal{P}$ modulo $\mathcal{I}$ )

Let  $\mathcal{I}$  be an interpretation and  $\mathcal{P}$  a program, both over the same language  $\mathcal{L}$ . By the *reduction of  $\mathcal{P}$  modulo  $\mathcal{I}$*  we mean a new program  $\frac{\mathcal{P}}{\mathcal{I}}$  obtained from  $\mathcal{P}$  by performing the following operations:

1. Remove from  $\mathcal{P}$  all rules that contain a literal that is false in  $\mathcal{I}$ .
2. Remove from all the remaining rules those literals that are true in  $\mathcal{I}$

TES: not sure this def is necessary.

#### Definition 3 (Unfounded Sets)

Given a program  $\mathcal{P}$ , there is a dependency edge from an objective literal  $O_1$  to an objective literal  $O_2$  if there is a rule  $R \in \mathcal{P}$  such that  $O_1$  is the head of  $R$  and  $O_2$  occurs in a literal  $L$  in the body of  $R$ . If  $O_2$  occurs in a positive default literal the edge is positive; if  $O_2$  occurs in a negative default literal the edge is negative.

There is a path between objective literals  $O_1$  and  $O_2$  in  $\mathcal{P}$  if there is an edge between  $O_1$  and  $O_2$  in  $\mathcal{P}$ , or if there is a path between  $O_1$  and an objective literal  $O_3$  and there is an edge between  $O_3$  and  $O_2$ .

The direct dependencies of an objective literal  $\mathcal{O}$  are those literals to which  $\mathcal{O}$  has a dependency edge. from  $\mathcal{O}$ .

An objective literal  $O$  is involved in a negative loop if there is a path from  $O$  to itself that involves a negative edge.

An objective literal  $O$  is *unfounded* if  $O$  is involved in a negative loop and every direct dependency of  $O$  is involved in a negative loop; or if every rule with head  $O$  has a non-empty body, and every direct dependency of  $O$  is unfounded.

Given a program  $\mathcal{P}$  and interpretation  $\mathcal{I}$ , the *unfounded set*  $\mathcal{U}_{\mathcal{I}}$  consists of all unfounded objective literals in  $\frac{\mathcal{P}}{\mathcal{I}}$ .

Unfounded sets will be used to separate the truth values of conjugates. If the semantics is equivalent to rewriting each rule  $r$  for  $p$  so that  $\text{naf conjugate}(p)$  is in the body of  $r$  then a program like

```
p.
neg p :- not neg p.
```

will be able to derive neither  $p$  nor  $\text{neg } p$ .

### Well-Founded Model

Motivation: consider the program

```
p:0.8:- not p:0.8.
p:0.5.
neg p:0.3.
```

We want a model with  $p:0.5$  as true,  $p:0.7$  as false and  $p:0.6$  as u. However, if the rule  $p:0.8:-\text{not } p:0.8.$  were removed,  $p:0.6$  would be false.

### Dynamic Stratification

One of the most important formulations of stratification is that of *dynamic* stratification. (?) shows that a program has a 2-valued well-founded model iff it is dynamically stratified, so that it is the weakest notion of stratification that is consistent with the well-founded semantics. As presented in (?), dynamic stratification computes strata via operators on interpretations of the form  $\langle Tr; Fa \rangle$ , where  $Tr$  and  $Fa$  are subsets of  $\mathcal{H}_P$ .

Given a set  $\mathcal{S}$  of ground objective literals, a ground objective literal  $A : m \hat{\in} \mathcal{S}$  if  $A : n \in \mathcal{S}$  with  $n \geq m$ .

??? are rules defined properly???

#### Definition 4

For a normal program  $P$ , sets  $Tr$  and  $Fa$  of ground atoms and a 3-valued interpretation  $I = (\mathcal{T}, \mathcal{F})$  (sometimes called a pre-interpretation):

$True_I^P(Tr) = \{A | A \text{ is not true in } I; \text{ and there is a clause } B \leftarrow L_1, \dots, L_n \text{ in } P, \text{ a grounding substitution } \theta \text{ such that } A = B\theta \text{ and for every } 1 \leq i \leq n \text{ either } L_i\theta \text{ is true in } I, \text{ or } L_i\theta \in Tr\}$ ;  
 $False_I^P(Fa) = \{A | A \text{ is not false in } I; \text{ and for every clause } B \leftarrow L_1, \dots, L_n \text{ in } P \text{ and grounding substitution } \theta \text{ such that } A = B\theta \text{ there is some } i \text{ (} 1 \leq i \leq n \text{) such that } L_i\theta \text{ is false in } I \text{ or } L_i\theta \in Fa\}$ .

(?) shows that  $True_I^P$  and  $False_I^P$  are both monotonic, and defines  $\mathcal{TR}_I^P$  as the least fixed point of  $True_I^P(\emptyset)$  and  $\mathcal{FA}_I^P$  as the greatest fixed point of  $False_I^P(\mathcal{H}_P)$ . In words, the operator  $\mathcal{TR}_I^P$  extends the interpretation  $I$  to add the new atomic facts that can be derived from  $P$  knowing  $I$ ;  $\mathcal{FA}_I^P$  adds the new negations of atomic facts that can be shown false in  $P$  by knowing  $I$  (via the uncovering of unfounded sets). An iterated fixed point operator builds up dynamic strata by constructing successive partial interpretations as follows.

*Definition 5 (Iterated Fixed Point and Dynamic Strata)*

For a normal program  $P$  let

$$\begin{aligned} WFM_0 &= \langle \emptyset; \emptyset \rangle; \\ WFM_{\alpha+1} &= WFM_\alpha \cup \langle \mathcal{TR}_{WFM_\alpha}^P; \mathcal{FA}_{WFM_\alpha}^P \rangle; \\ WFM_\alpha &= \bigcup_{\beta < \alpha} WFM_\beta, \text{ for limit ordinal } \alpha. \end{aligned}$$

$WFM(P)$  denotes the fixed point interpretation  $WFM_\delta$ , where  $\delta$  is the smallest (countable) ordinal such that both sets  $\mathcal{TR}_{WFM_\delta}^P$  and  $\mathcal{FA}_{WFM_\delta}^P$  are empty. The *stratum* of atom  $A$ , is the least ordinal  $\beta$  such that  $A \in WFM_\beta$ .

(?) shows that  $WFM(P)$  is in fact the well-founded model and that any undefined atoms of the well-founded model do not belong to any stratum – i.e. they are not added to  $WFM_\delta$  for any ordinal  $\delta$ . Thus, a program is *dynamically stratified* if every atom belongs to a stratum.