



# SASTRA

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*Course*

## CSE318 - Algorithm Design Strategies & Analysis

*Topic*

### Unit-1

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# Topics

- ✓ Algorithms Specification
  - ✓ Algorithm Design Model
  - ✓ Efficiency of Algorithms
- ✓ Asymptotic Notations
- ✓ Analysis of algorithms
  - ✓ Examples for complexity analysis
- ✓ Solving Recurrences
  - ✓ Recursion Tree Method
  - ✓ Iterative Method
  - ✓ Master Theorem
- ✓ Brute-force Method – Heuristic Method
  - ✓ Travelling Salesperson Problem
- ✓ Divide & Conquer Method
  - ✓ Maximum Sub Array
- ✓ Greedy Method
  - ✓ Knapsack Problem
  - ✓ Job Sequencing with Deadlines

# Introducing Algorithm

# What is an Algorithm?

- ✓ What is an Algorithm?
- ✓ **Representation:** Procedure, Flowchart and Pseudocode
- ✓ Why do we need many algorithms for solving same problem?
- ✓ How to choose best algorithm for a problem?
- ✓ How to quantify the **Efficiency** of an algorithm?
- ✓ What is “Analysis of Algorithm”?
- ✓ **Time complexity** and **Space Complexity**

# Algorithm: Procedure vs Pseudocode

Procedure **findMax**

**Input:** Three distinct numbers, number1, number2 and number 3.

**Output:** The biggest number among the given 3 numbers

**Steps:**

1. Compare number1 with number2 and number3. If it is greater than both number2 and number3, return number1 as biggest.
2. Compare number2 with number1 and number3. If it is greater than both number1 and number3, return number2 as biggest.
3. Compare number3 with number1 and number2. If it is greater than both number2 and number3, return number1 as biggest.

# Algorithm: Procedure vs Pseudocode

**Algorithm findMax(a,b,c)**

**Input:** Three numbers: a, b and c

**Output:** The biggest number

if a>b and a>c then

return a

endif

if b>a and b>c then

return b

endif

if c>a and c>b then

return c

endif

**end findMax**

**Pseudocode**

```
int findMax(int a, int b, int c)
{
    if(a>b && a>c)
    {
        return a;
    }
    if(b>a && b>c)
    {
        return b;
    }
    if(c>a && c>b)
    {
        return c;
    }
}
```

**Code in C Language**

# How to quantify Efficiency of an Algorithm?

Three different Algorithms for the same problem: *To find the maximum among 3 distinct numbers*

Which is the best?

```
int findMax(int a, int b, int c)
{
    if(a>b && a>c)
    {
        return a;
    }
    if(b>a && b>c)
    {
        return b;
    }
    if(c>a && c>b)
    {
        return c;
    }
}
```

```
int findMax(int a, int b, int c)
{
    if(a>b && a>c)
    {
        return a;
    }
    else
    {
        if(b>c)
        {
            return b;
        }
        else
        {
            return c;
        }
    }
}
```

```
int findMax(int a, int b, int c)
{
    if(a>b)
    {
        if(a>c)
        {
            return a;
        }
        else
        {
            return c;
        }
    }
    else
    {
        if(b>c)
        {
            return b;
        }
        else
        {
            return c;
        }
    }
}
```

# Algorithm Design Model

# Algorithm Design Model

1. What kind of Data Structure to be Choosed?

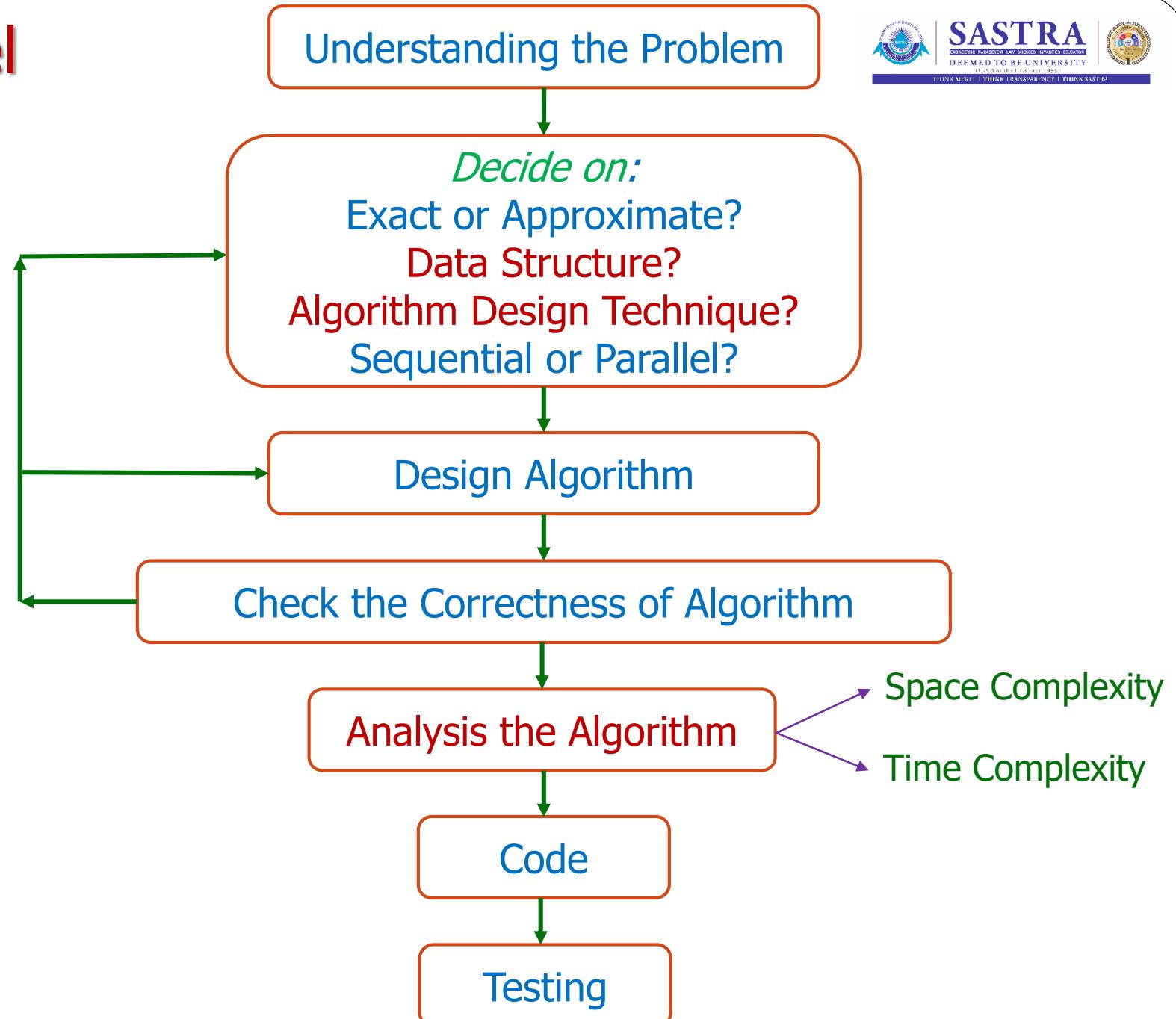
- o Stack
- o Queue
- o List
- o Tree
- o Graph

2. What kind of Algorithm Design Technique to be used to solve the problem?

- o Brute-force
- o Divide & Conquer
- o Dynamic Programming
- o Greedy
- o Backtracking
- o Branch and Bound, etc.

3. What is the Efficiency of algorithm? –  
Need to Analysis of Algorithm:

- o Time Complexity
- o Space Complexity



# Asymptotic Notations – For Representing Time Complexity

# Asymptotic Notations

- ✓ Mathematical Model – To represent the Time Complexity of an algorithm
- ✓ Time Complexity – Also known as Rate of Growth of an algorithm
- ✓ Asymptotic Notation – A relation between two function  $f(n)$  and  $g(n)$
- ✓ It is a formal notation for discussing and analysing the “Classes of Functions”
- ✓ Example:

Let two functions,  $f(n) = 4n^2+3n+5$  and  $g(n) = n^2$

Then,  $4n^2+3n+5 \in O(n^2)$

$4n^2+3n+5 \in \Omega(n^2)$

$4n^2+3n+5 \in \Theta(n^2)$

Rate of Growth		
Input Size $n$	Time	
	Algorithm 1	Algorithm 2
1	1	1
10	20	10
100	5000	100
1000	500000	1000
Best?		✓

# Asymptotic Notations

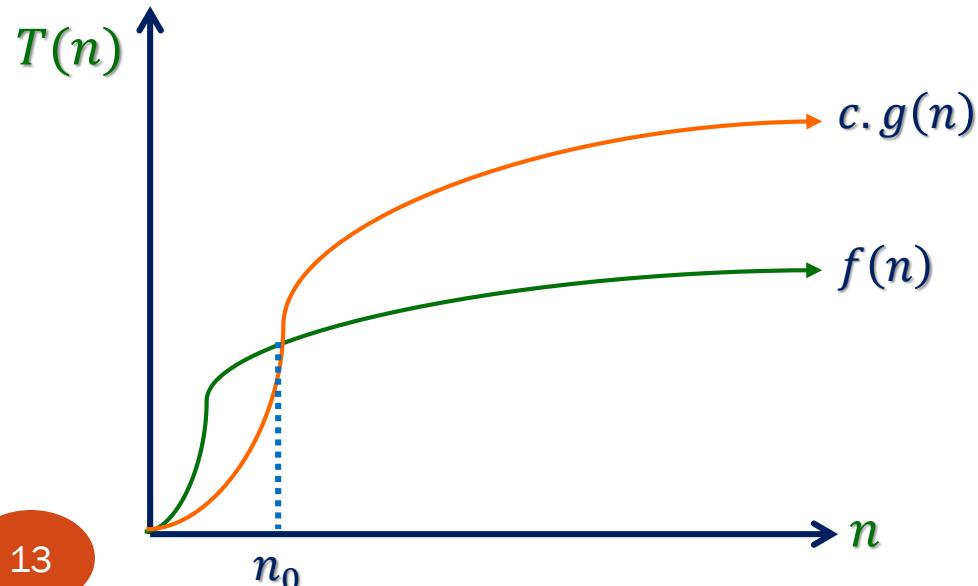
- ✓ Tight Bound
  - Big-Oh [ O ] – Define Upper Bound
  - Big-Omega [ Ω ] – Define Lower Bound
  - Theta [ θ ] – Define Both Upper and Lower Bound
- ✓ Loose Bound
  - Small-Oh or Little-Oh [ o ]
  - Small-Omega or Little-Omega [ ω ]

**Note:** Usually Big-Oh [ O ] and Theta [ θ ] notations are used for representing time complexity of an algorithm

# Big-Oh [ O ]

A function  $f(n)$  is said to be in Big-Oh,  $O(g(n))$ , denoted as  $f(n) \in O(g(n))$  or simply,  $f(n) = O(g(n))$ , if  $f(n)$  is bounded **ABOVE** by some +ve constant multiples of  $g(n)$  for all large 'n', if there exist some +ve constants  $c$ , and some non-negative integer  $n_0$

$$0 \leq f(n) \leq c \cdot g(n), \forall n \geq n_0$$



## Example

Let two functions,  $f(n) = 4n^2+3n+5$  and  $g(n) = n^2$ , Then, prove that  $4n^2+3n+5 \in O(n^2)$

**Solution:**

- Let  $f(n) = 4n^2+3n+5$ .
- Rise the terms '3n' and '5' to  $n^2$
- Need to multiply by  $n$  and  $n^2$  respectively
- $f(n) = 4n^2+3n+5 \leq 4n^2+3n^2+5n^2$
- $f(n) \leq 4n^2+3n^2+5n^2 \rightarrow f(n) \leq 12n^2$
- $f(n) \leq 12 \cdot g(n)$ , where  $c = 12$ .
- Need to prove this is true for large  $n$  values.
- Need to verify for  $n=1,2,3$ , and so on.,

$$n=1 \rightarrow 4(1)^2+3(1)+5 \leq 12 \cdot (1)^2 \rightarrow 12 \leq 12 \quad \checkmark$$

$$n=2 \rightarrow 4(2)^2+3(2)+5 \leq 12 \cdot (2)^2 \rightarrow 27 \leq 48 \quad \checkmark$$

$$n=3 \rightarrow 4(3)^2+3(3)+5 \leq 12 \cdot (3)^2 \rightarrow 50 \leq 108 \quad \checkmark$$

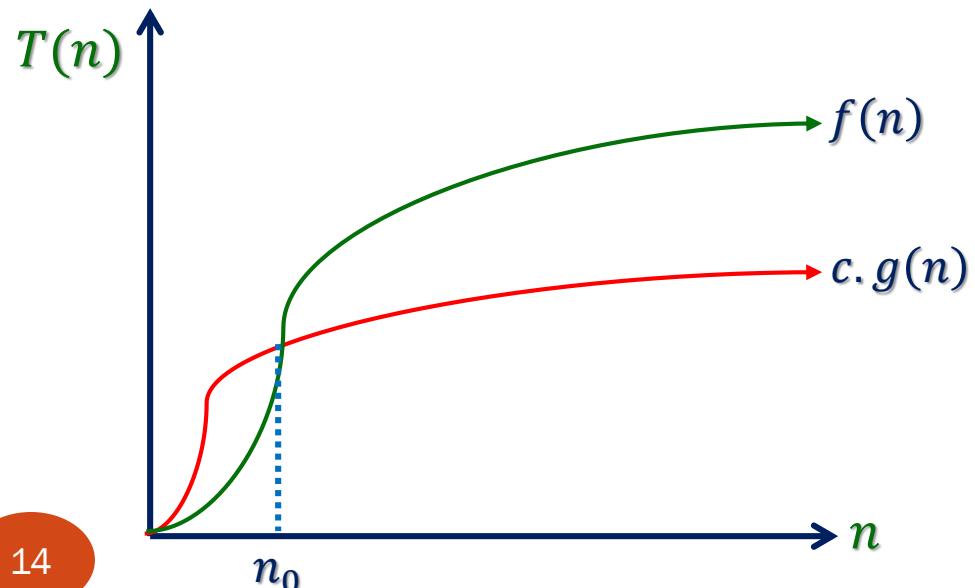
So,  $f(n) \leq c \cdot g(n)$  is True for  $c=12$  and  $n_0=1$

Hence proved,  $4n^2+3n+5 \in O(n^2)$

# Big-Omega [ $\Omega$ ]

A function  $f(n)$  is said to be in Big-Omega,  $\Omega(g(n))$ , denoted as  $f(n) \in \Omega(g(n))$  or simply,  $f(n) = \Omega(g(n))$ , if  $f(n)$  is bounded **BELLOW** by some +ve constant multiples of  $g(n)$  for all large 'n', if there exist some +ve constants  $c$ , and some non-negative integer  $n_0$

$$0 \geq f(n) \geq c \cdot g(n), \forall n \geq n_0$$



## Example

Let two functions,  $f(n) = 4n^2+3n+5$  and  $g(n) = n^2$ , Then, prove that  $4n^2+3n+5 \in \Omega(n^2)$

**Solution:**

- Let  $f(n) = 4n^2+3n+5$ .
- Reduce the terms '3n' and '5' to 0
- Need to remove these terms, so we can write,
- $f(n) = 4n^2+3n+5 \geq 4n^2$
- $f(n) \geq 4n^2 \rightarrow f(n) \geq 4 \cdot g(n)$ , where  $c = 4$ .
- Need to prove this is true for large n values.
- Need to verify for  $n=1,2,3$ , and so on.,

$$n=1 \rightarrow 4(1)^2+3(1)+5 \geq 4 \cdot (1)^2 \rightarrow 12 \geq 4 \quad \checkmark$$

$$n=2 \rightarrow 4(2)^2+3(2)+5 \geq 4 \cdot (2)^2 \rightarrow 27 \geq 16 \quad \checkmark$$

$$n=3 \rightarrow 4(3)^2+3(3)+5 \geq 4 \cdot (3)^2 \rightarrow 50 \geq 36 \quad \checkmark$$

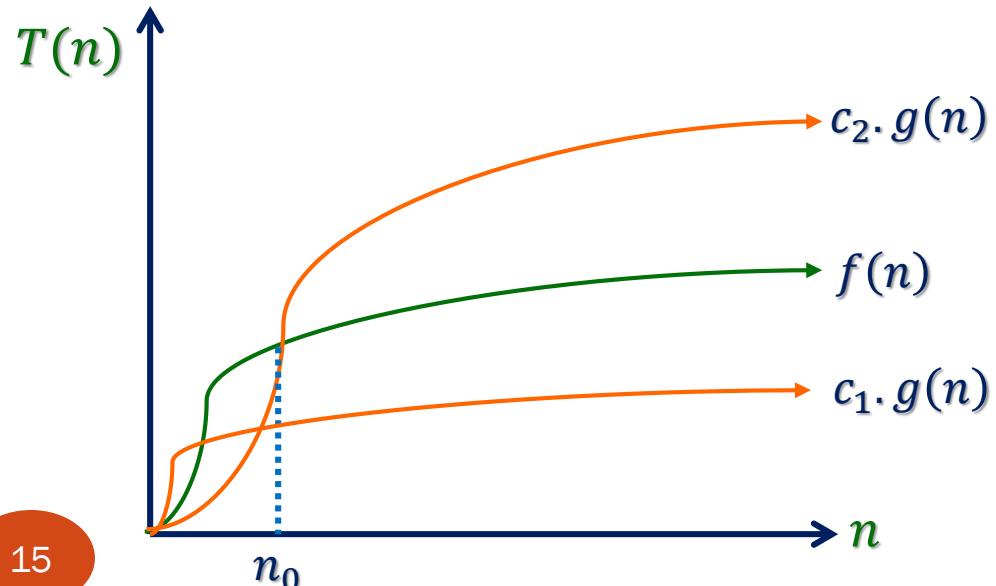
So,  $f(n) \geq c \cdot g(n)$  is True for  $c=4$  and  $n_0=1$

Hence proved,  $4n^2+3n+5 \in \Omega(n^2)$

# Theta [ θ ]

A function  $f(n)$  is said to be in Theta,  $\theta(g(n))$ , denoted as  $f(n) \in \theta(g(n))$  or simply,  $f(n) = \theta(g(n))$ , if  $f(n)$  is bounded **BELOW** and **ABOVE** by some +ve constant multiples of  $g(n)$  for all large 'n', if there exist some +ve constants  $c_1$  and  $c_2$ , and some non-negative integer  $n_0$

$$c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n), \forall n \geq n_0$$



## Example

Let two functions,  $f(n) = 4n^2+3n+5$  and  $g(n) = n^2$ .

Then, prove that  $4n^2+3n+5 \in \theta(n^2)$

### Solution:

- If  $f(n) \in O(g(n))$  and  $f(n) \in \Omega(g(n))$ , then  $f(n) \in \theta(g(n))$
- We already proved  $f(n) \in O(g(n))$  and  $f(n) \in \Omega(g(n))$
- So,  $f(n) \in \theta(g(n))$

So,  $c_1 \cdot g(n) \leq f(n) \geq c_2 \cdot g(n)$  is True for

$$c_1 = 4, c_2 = 12 \text{ and } n_0 = 1$$

Hence proved,  $4n^2+3n+5 \in \Omega(n^2)$

## Small-Oh or Little-Oh [ o ]

A function  $f(n)$  is said to be in Small-Oh,  $o(g(n))$ , denoted as  $f(n) \in o(g(n))$  or simply,  $f(n) = o(g(n))$ , if

$$0 \leq f(n) < c \cdot g(n), \forall n \geq n_0$$

## Small-Omega or Little-Omega [ ω ]

A function  $f(n)$  is said to be in Small-Omega,  $\omega(g(n))$ , denoted as  $f(n) \in \omega(g(n))$  or simply,  $f(n) = \omega(g(n))$ , if

$$0 \geq f(n) > c \cdot g(n), \forall n \geq n_0$$

# Rate of Growth – Comparing Algorithms

# Rate of Growth

- ✓ Constant –  $O(1)$  or  $O(c)$
- ✓ Linear –  $O(n)$
- ✓ Quadratic –  $O(n^2)$
- ✓ Cubic –  $O(n^3)$
- ✓ Polynomial –  $O(n^d)$ ,  $d > 1$
- ✓ Logarithmic –  $O(\log n)$
- ✓ Poly-logarithmic -  $O(n^d \log n)$ ,  $d \geq 1$
- ✓ Fractional Power –  $O(n^c)$ ,  $0 < c < 1$
- ✓ Constant Power –  $O(c^n)$ ,  $c > 1, 2^n, 3^n, 4^n, \dots$
- ✓ Factorial –  $O(n!)$

# How to Compare Algorithms?

- ✓ If the time complexity of algorithm is given, we can rank the algorithm based on their efficiency in terms of time complexity.
- ✓ Substitute some large n value. Based on result we can rank.

**Example:**

Algorithm	Time Complexity	Input Size - n			Rank
		8	256	1000	
Algorithm 1	$O(n)$	8	256	1000	4
Algorithm 2	$O(\log n)$	3	8	9.96578428	2
Algorithm 3	$O(n^2)$	64	65536	1000000	6
Algorithm 4	$O(n \log n)$	24	2048	9965.78428	5
Algorithm 5	$O(n!)$	40320	Infinity	Infinity	7
Algorithm 6	$O(1)$	1	1	1	1
Algorithm 7	$O(\sqrt{n})$	2.82843	16	31.6227766	3

## Problems

1. Show that  $n^2+2n+1 \in O(n^2)$
2. Show that  $3n+1 \in O(n)$
3. Show that  $2^{n+1} \in O(3^n)$
4. Show that  $3n^2+n \lg n \in O(n^2)$



1. Show that  $f(n) = n^2 + 2n + 1$  is  $O(n^2)$

Solution:

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{n^2 + 2n + 1}{n^2} < \lim_{n \rightarrow \infty} \frac{n^2 + 2n^2 + n^2}{n^2}$$

$f(n) = n^2 + 2n + 1$   
 $g(n) = O(n^2)$

$$n=1 = \frac{1+2+1}{1} = 4$$

choose  $c=4$

$$2n < 2n^2$$

Thus  $n^2 + 2n + 1$  is  $O(n^2)$  because  $n^2 + 2n + 1 \leq 4n^2$



2. Show that  $f(n) = 3n + 7$  is  $O(n)$

*solution:*

$$\lim_{n \rightarrow \infty} \frac{f(n)}{gn} = \frac{3n+7}{n} = \frac{3n+7n}{n} = \frac{10n}{n} = 10$$

choose  $c = 10$

$$7 < 7n$$

Thus  $3n+7$  is  $O(n)$ , because  $3n+7 \leq 10n$  whenever  $n > 1$



3. Prove that

$$f(n) = 2^{n+1} \in O(3^n)$$

$$\text{Lc : } \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \frac{2^{n+1}}{3^n} = \frac{2^n \cdot 2^1}{3^n} = 2\left(\frac{2}{3}\right)^n = 2\left(\frac{2}{3}\right)^\infty$$

$$\text{Lc tends to } \left(\frac{2}{3}\right)^\infty \text{ as } n \rightarrow \infty = 0$$

$$\text{Hence we get, Lc : } \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0 \in O(3^n)$$



1. Prove that  $f(n) = 3n^2 + n \lg n$  is  $\Theta(n^2)$

$$3n^2 + n \lg n \in \Theta(n^2)$$

Now we have to take  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)}$  to prove  $\Theta(n^2)$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{3n^2 + n \lg n}{n^2}$$

Let's do it in two ways:

$$\lim_{n \rightarrow \infty} \frac{3n^2}{n^2} + \lim_{n \rightarrow \infty} \frac{n \lg n}{n^2}$$

Both ways are based on the same idea

$$\lim_{n \rightarrow \infty} \frac{\lg n}{n} = 0$$

$$= 3 + 0 = 3 \text{ (some constant)}$$

So it means that  $f(n) \in \Theta(n^2)$

# Analyzing Algorithms - Examples

# How to Analyze Algorithm?

- ✓ **Time Complexity** – Rate of Growth of an algorithm
- ✓ It depends on “*How many operations are going to be executed?*”
- ✓ So, we need to count the number of operations to be performed.
- ✓ This count is usually depends on the input size ‘n’ for many algorithms.
- ✓ By counting the number of operations, it will give an polynomial expression in terms of input size n.
- ✓ Then, this polynomial function will be expressed as Big-Oh or Theta classes of functions.
- ✓ For example, if we get  $4n^2+3n+5$  as the count, we can say that, this function is belongs to  $O(n^2)$

# Example:

Sum of N numbers

**Algorithm Sum(A[1..n])**

**S  $\leftarrow$  0** -----> 1

**For i $\leftarrow$ 1 to n do** ----->  $(n-1+1)+1 = n+1$

**S  $\leftarrow$  S + A[i]** -----> 2n

**End For**

**return S** -----> 1

**End Sum**

$$T(n) = 1 + n + 1 + 2n + 1 = 3n + 3 \in O(n)$$

$$T(n) = O(n)$$

# Some Observations...

- ✓ To find time complexity, we need to *count the number of operations*
- ✓ The number of operations mainly depends on the **ACTIVE operations**.
- ✓ Active operation is an operation which is executed more often in an algorithm
- ✓ So, most probably, the active operation is operation present in the iteration.
- ✓ So, we may **count the number of iterations** to be executed, if the algorithm containing iterative statements.
- ✓ Mostly, the number of iterations are depends on input size.
- ✓ So, the time complexity is represented in terms of input size.

## Problem 1

```
for(i=1; i<=n; i++)  
{  
    O(1) Statements...  
}
```

### Solution

$$T(n) = \sum_{i=1}^n 1 = n - 1 + 1 = n \in O(n)$$

$$\textcolor{blue}{T(n)} = \textcolor{blue}{O(n)}$$

## Problem 2

```
for(i=n; i>=1; i--){  
    O(1) Statements...  
}
```

### Solution

$$T(n) = \sum_{i=1}^n 1 = n - 1 + 1 = n \in O(n)$$

$$\textcolor{blue}{T(n)} = \textcolor{blue}{O(n)}$$

## Problem 3

```
for(i=1; i<=n; i=i+2)
{
    O(1) Statements...
}
```

### Solution

$$T(n) = \frac{\sum_{i=1}^n 1}{2} = \frac{n - 1 + 1}{2} = \frac{n}{2} \in O(n)$$

$$T(n) = O(n)$$

## Problem 4

```
for(i=1; i<=n; i=i*2)
{
    O(1) Statements...
}
```

### Solution

$$T(n) = [i \leq n] = [2^{k-1} \leq n] = [k - 1 \leq \log_2 n] \Rightarrow k = \log_2 n + 1$$

Iteration	i
1	$1 = 2^0$
2	$2 = 2^1$
3	$4 = 2^2$
4	$8 = 2^3$
.	
.	
k	$2^{k-1}$

## Problem 5

```
for(i=n; i>=1; i=i/2)
{
    O(1) Statements...
}
```

### Solution

$$T(n) = [i \geq 1] = \left[ \frac{n}{2^{k-1}} \geq 1 \right] = [n \geq 2^{k-1}] = [\log_2 n \geq k - 1]$$
$$T(n) = [k - 1 \leq \log_2 n] \Rightarrow k = \log_2 n + 1$$

Iteration	i
1	$n = n / 2^0$
2	$n/2 = n / 2^1$
3	$n/4 = n / 2^2$
4	$n/8 = n / 2^3$
.	
.	
k	$n / 2^{k-1}$

## Problem 6

```
for(i=1, P=1; P<=n; i++)  
{  
    P = P + i;  
}
```

### Solution

$$T(n) = [P \leq n] = [k^2 \leq n] = [k \leq \sqrt{n}] \Rightarrow k \in O(\sqrt{n})$$

Iteration	P=P+i
1	1 = 1
2	3 = 1 + 2
3	6 = 1 + 2 + 3
4	10 = 1 + 2 + 3 + 4
.	
.	
k	1+2+3+...+k = k(k+1)/2 = O(k <sup>2</sup> )

## Problem 7

```
for(i=1; i*i<=n; i++)
```

```
{
```

O(1) Statements...

```
}
```

### Solution

$$T(n) = [i^2 \leq n] = [k^2 \leq n] = [k \leq \sqrt{n}] \Rightarrow k \in O(\sqrt{n})$$

Iteration	i*i
1	1
2	$2*2 = 2^2$
3	$3*3 = 3^2$
4	$4*4 = 4^2$
.	
.	
k	$k*k = k^2$

## Problem 8

```
for(i=1; i<=n; i++)  
{  
    O(1) Statements...  
}  
for(j=1; j<=n; j++)  
{  
    O(1) Statements...  
}
```

## Solution

$$T(n) = \sum_{i=1}^n 1 + \sum_{j=1}^n 1$$

$$T(n) = (n - 1 + 1) + (n - 1 + 1)$$

$$T(n) = 2n \in O(n)$$

$$\textcolor{blue}{T(n) = O(n)}$$

## Problem 9

```

for(i=1; i<=n; i++)
{
  for(j=1; j<=n; j++)
  {
    O(1) Statements...
  }
}
  
```

## Solution

$$T(n) = \sum_{i=1}^n \sum_{j=1}^n 1 = \sum_{i=1}^n n - 1 + 1$$

$$T(n) = \sum_{i=1}^n n = n \cdot \sum_{i=1}^n 1$$

$$T(n) = n \cdot (n - 1 + 1) = n * n$$

$$T(n) = n * n \in O(n^2)$$

**$T(n) = O(n^2)$**

## Problem 10

```

for(i=1; i<=n; i++)
{
  for(j=1; j<=i; j++)
  {
    O(1) Statements...
  }
}
  
```

## Solution

$$T(n) = \sum_{i=1}^n \sum_{j=1}^i 1 = \sum_{i=1}^n i - 1 + 1$$

$$T(n) = \sum_{i=1}^n i = 1 + 2 + \dots + n$$

$$T(n) = n \cdot \frac{(n+1)}{2}$$

$$T(n) = \frac{1}{2}(n^2 + n) \in O(n^2)$$

**$T(n) = O(n^2)$**

## Problem 11

```

for(i=1; i<=n; i++)
{
    for(j=1; j<=n; j++)
    {
        for(k=1;k<n;k++)
        {
            O(1) Statements...
        }
    }
}

```

## Solution

$$T(n) = \sum_{i=1}^n \sum_{j=1}^n \sum_{k=1}^n 1 = \sum_{i=1}^n \sum_{j=1}^n n - 1 + 1$$

$$T(n) = \sum_{i=1}^n \sum_{j=1}^n n = n \cdot \sum_{i=1}^n \sum_{j=1}^n 1$$

$$T(n) = n \cdot \sum_{i=1}^n n = n \cdot n \cdot \sum_{i=1}^n 1 = n^3$$

$$T(n) = n * n * n \in O(n^3)$$

**$T(n) = O(n^3)$**

# How to analyze algorithm?

If the number of Iterations depends on  
Not only the input size, but also the input values

Example: Linear Search

# Example:

## Linear Search

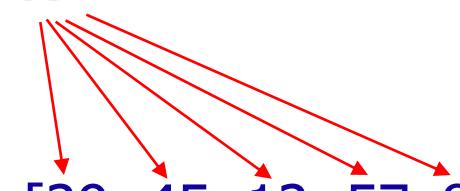
```

Algorithm Search(A[0..n-1], n, Key)
  For i←0 to n-1 do
    If Key = A[i] Then
      Return True
    End IF
  End For
  Return False
End Search
  
```

- ✓ In this example, the exact number of iterations is not known in advance.
- ✓ It depends on, “where the key element is present in the array?”

## Example:

83



Consider an array A = [29, 45, 12, 57, 83]

### Case 1: [ If Key is 29]

In this case, since the key is present in the first location, the algorithm need **only one iteration** to give the result.

### Case 2: [ If Key is 83 OR 25]

If key is present in last location OR the key is not present in the array.

In this case, the algorithm needs '**n**' iterations to obtain the result.

# Worst, Best and Average Case Complexity

When the number of iterations depends on value of the input, we need to analyse the algorithm in three different cases.

**Best Case Complexity:** [ Case 1 in above example ]

It is the time complexity of the algorithm for the BEST CASE INPUT.

**Worst Case Complexity:** [ Case 2 in above example ]

It is the time complexity of the algorithm for the WORST CASE INPUT.

**Average Case Complexity:**

This can be obtained by considering probability of input elements.

For Linear Search Algorithm:

$$T_{Best}(n) = O(1)$$

$$T_{Worst}(n) = O(n)$$

$$T_{Average}(n) = O(n)$$

# Insertion Sort – Algorithm, Example & Analysis

# Insertion Sort – Algorithm

```
Algorithm InsertionSort(a[0..n-1], n)
    for i=1 to n-1 do
        Key = a[i]
        j = i-1
        while j>=0 and Key<a[j] do
            a[j+1] = a[j]
            j = j-1
        end while
        a[j+1] = Key
    end for
end InsertionSort
```

# Insertion Sort – Example (Tracing Algorithm)

			Key = a[i]				Key	
	Iteration-1 (i=1)		23		Iteration-2 (i=2)		76	
	j=0	j=-1	a[j+1] = Key		j=1	j=0	j=-1	a[j+1] = Key
0	56	56		Stop	23			23
1	23	56			56			56
2	76	76			76			76
3	34	34			34			34
4	65	65			65			65
5	87	87			87			87
6	20	20			20			20
7	45	45			45			45

Stop

76<56, false, stop the iteration

# Insertion Sort – Example (Tracing Algorithm)

Iteration-3 ( $i=3$ )

$34 < 76 \quad 34 < 56 \quad 34 < 23$

	$j=2$	$j=1$	$j=0$	$j=-1$	$a[j+1] = \text{Key}$
0	23	23	23	23	
1	56	56	56	56	
2	76	76	56	56	
3	34	76	76	76	
4	65	65	65	65	
5	87	87	87	87	
6	20	20	20	20	
7	45	45	45	45	
Stop					

Key  
34

## Insertion Sort – Example (Tracing Algorithm)

Iteration-4 (i=4)			Key	
			65	
			65 < 76    65 < 56	
	j=3	j=2	j=1	j=0
0	23	23	23	
1	34	34	34	
2	56	56	56	
3	76	76	76	
4	65	76	76	Stop
5	87	87	87	
6	20	20	20	
7	45	45	45	

a[j+1] = Key

23
34
56
65
76
87
20
45

# Insertion Sort – Example (Tracing Algorithm)

Key  
87

Iteration-5 (i=5)

$87 < 76$

	j=4	j=3	j=2	j=1	j=0	j=-1	a[j+1] = Key	
0	23	23					23	0
1	34	34					34	1
2	56	56					56	2
3	65	65					65	3
4	76	76					76	4
5	87	87					87	5
6	20	20					20	6
7	45	45					45	7

Stop

## Insertion Sort – Example (Tracing Algorithm)

## Iteration-6 (i=6)

Key

20<87 20<76 20<65 20<56 20<34 20<23

j=5

j=4

j=3

j=2

j=1

j=0

j=-1

$$a[j+1] = \text{Key}$$

**Stop**

23	23	23	23	23	23	23
34	34	34	34	34	34	23
56	56	56	56	56	34	34
65	65	65	65	56	56	56
76	76	76	65	65	65	65
87	87	76	76	76	76	76
20	87	87	87	87	87	87
45	45	45	45	45	45	45

# Insertion Sort – Example (Tracing Algorithm)

Key =  $a[i]$

Iteration-7 ( $i=7$ )

45
----

$45 < 87 \ 45 < 76 \ 45 < 65 \ 45 < 56 \ 45 < 34$

	$j=6$	$j=5$	$j=4$	$j=3$	$j=2$	$j=1$	$j=0$	$j=-1$	$a[j+1] = \text{Key}$
0	20	20	20	20	20	20	20	Stop	20
1	23	23	23	23	23	23	23	Stop	23
2	34	34	34	34	34	34	34	Stop	34
3	56	56	56	56	56	56	56	Stop	45
4	65	65	65	65	56	56	56	Stop	56
5	76	76	76	65	65	65	65	Stop	65
6	87	87	76	76	76	76	76	Stop	76
7	45	87	87	87	87	87	87	Stop	87

Stop

# Insertion Sort – Analysis of Algorithm

Algorithm InsertionSort( $a[0..n-1]$ ,  $n$ )

for  $i=1$  to  $n-1$  do

    1 Key =  $a[i]$

    2  $j = i-1$

        while  $j \geq 0$  and  $Key < a[j]$  do

            3  $a[j+1] = a[j]$

            4  $j = j-1$

        end while

    5  $a[j+1] = Key$

end for

end InsertionSort



1. Outer Loop



2. Inner Loop

# Insertion Sort – Analysis of Algorithm

## 1. Outer Loop

- Since the loop is 'for' loop, we can find the exact number of iterations for this loop.
- This executes for  $i=1$  to  $n-1$
- Number of Iterations:  $(n-1)-1+1 = n-1$  [upper-lower+1]

## 2. Inner Loop

- Number of iterations for the 'while' loop is not known in advance.
- It is depending on the condition  $\text{Key} < a[j]$
- It means, the number of iterations is depending on the Input Values  $a[j]$  and Key
- So, it varies for each outer iteration (each 'i' value)
- Let us consider,
  - $t_1$  be the number of 'while' loop iterations for  $i=1$
  - $t_2$  be the number of 'while' loop iterations for  $i=2$
  - $t_3$  be the number of 'while' loop iterations for  $i=3$
  - ....
  - $t_{n-1}$  be the number of 'while' loop iterations for  $i=n-1$

# Insertion Sort – Analysis of Algorithm

- So, the total number of 'while' loop iterations is expressed as:

$$t_1 + t_2 + t_3 + \dots + t_{n-1}$$

- It can be simply written as:

$$\sum_{i=1}^{n-1} t_i$$

- The statements (outer loop), 1, 2 and 5 are executed for  $n - 1$  times.
- The statements (inner loop), 3 and 4 are executed for  $\sum_{i=1}^{n-1} t_i$
- So, the running time of the Insertion Sort is expressed as:

$$T(n) = (n - 1) + \sum_{i=1}^{n-1} t_i$$

$$T(n) = O(n) + \sum_{i=1}^{n-1} t_i$$

# Insertion Sort – Analysis of Algorithm

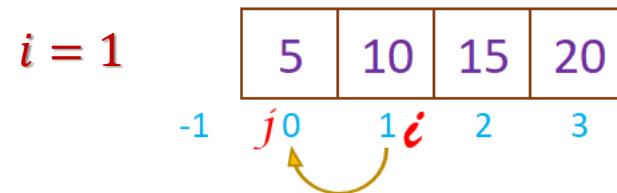
$$T(n) = O(n) + \sum_{i=1}^{n-1} t_i$$

- Now,  $t_i$  value is depending on the input values
- So, the algorithm is now needs to be analysed for different cases of input.
- Usually, there are three cases of inputs, “**Best Case**”, “**Worst Case**” and “**Average Case**”.
- The time complexity will be analysed as “**Best Case Complexity**”, “**Worst Case Complexity**” and “**Average Case complexity**”
- Best Case Complexity – Time complexity of an algorithm for best case input.
- Worst Case Complexity – Time complexity of an algorithm for worst case input. This case analyse the performance of the algorithm when the input is not favour.

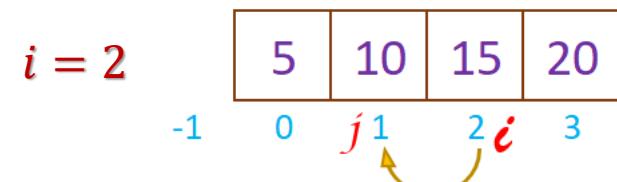
# Insertion Sort – Analysis of Algorithm

## Best Case Input

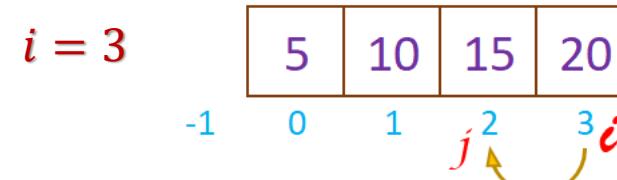
- Consider the following sample input.
- The input is already in ascending order



$$t_1 = 1$$



$$t_2 = 1$$



$$t_3 = 1$$

$$T(n) = O(n) + \sum_{i=1}^{n-1} t_i$$

- So, if the input is already in order, the number of 'while' loop iterations always 1.
- $t_1 = t_2 = t_3 = \dots = t_{n-1} = 1$
- Simply,  $t_i = 1$
- Substitute this in the equation.

$$T(n) = O(n) + \sum_{i=1}^{n-1} 1$$

$$T(n) = O(n) + (n - 1) - 1 + 1 = O(n) + O(n)$$

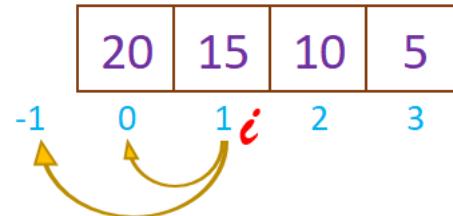
$$T_{best}(n) = O(n)$$

# Insertion Sort – Analysis of Algorithm

## Worst Case Input

- Consider the following sample input.
- The input is completely in Reverse Order

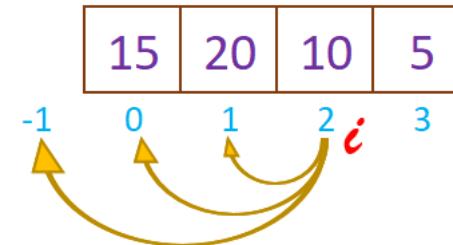
$i = 1$



$t_1 = 2$

- So, if the input is in reverse order, the number of 'while' loop iterations at the  $i^{\text{th}}$  iteration is  $i-1$ .

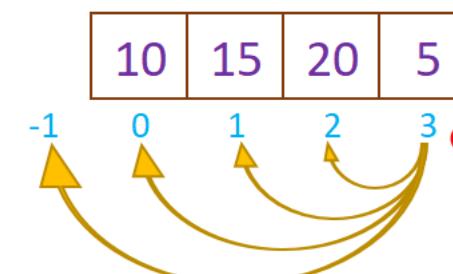
$i = 2$



$t_2 = 3$

- Simply,  $t_i = i + 1$
- Substitute this in the equation.

$i = 3$



$t_3 = 4$

$$T(n) = O(n) + O(n^2) + O(n) = O(n^2)$$

$$T(n) = O(n) + \sum_{i=1}^{n-1} t_i$$

$$T(n) = O(n) + \sum_{i=1}^{n-1} i + 1$$

$$T(n) = O(n) + \sum_{i=1}^{n-1} i + \sum_{i=1}^{n-1} 1$$

Formula Used:  
 $\sum_{i=1}^n i^d = O(n^{d+1})$

$$T_{\text{worst}}(n) = O(n^2)$$

# **Brute-Force Method – Heuristic Approach**

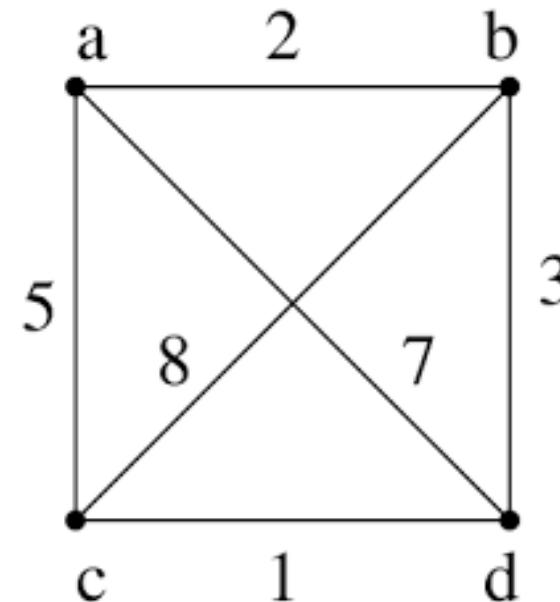
# Brute-Force Method – Heuristic Approach

- ✓ A heuristic is a **mental shortcut** commonly used to simplify problems and avoid cognitive overload. Heuristics are part of how the human brain evolved and is wired, allowing individuals to **quickly reach reasonable conclusions or solutions to complex problems**.
- ✓ A Brute Force Algorithm is the straightforward approach to a problem i.e., the first approach that comes to our mind on seeing the problem.
- ✓ This is the most basic and simplest type of algorithm.
- ✓ More technically it is just like iterating every possibility available to solve that problem.
- ✓ **Example:** If there is a lock of 4-digit PIN. The digits to be chosen from 0-9 then the brute force will be trying all possible combinations one by one like 0001, 0002, 0003, 0004, and so on until we get the right PIN. In the worst case, it will take 10,000 ( $10^4$ ) tries to find the right combination

# Travelling Salesperson Problem (TSP) – Heuristic Approach

- ✓ Given a set of cities and distance between each pair of cities.
- ✓ The problem is to **find the shortest possible trip** to visit every city exactly once and returns to the starting city.
- ✓ The cities are mapped with the vertices and the distance between the pairs are mapped with the edge cost of a graph.
- ✓ TSP - Heuristic method

- ✓ Assume the City 'a' is the starting city
- ✓ The following trips are possible



Trip	Cost	Total Cost
a b c d a	$2 + 8 + 1 + 7$	18
<b>a b d c a</b>	<b><math>2 + 3 + 1 + 5</math></b>	<b>11</b>
a c b d a	$5 + 8 + 3 + 7$	23
<b>a c d b a</b>	<b><math>5 + 1 + 3 + 2</math></b>	<b>11</b>
a d b c a	$7 + 3 + 8 + 5$	23
a d c b a	$7 + 1 + 8 + 2$	18

- ✓ The Trip with minimum cost 11 is selected

# Travelling Salesperson Problem (TSP) – Heuristic Approach

**Algorithm** TSP(Cost[1..n][1..n], n, S)

**Input:** n – Number of Vertices

S – Starting Vertex

Cost[1..n][1..n] - 'n x n' Cost matrix.

**Output:** Shortest Tour and the Cost

//Store all the vertices except the starting vertex into a list

**For** i←1 to n **Do**

**If** i<>S **Then**

        Vertex[i] ← i

**End If**

**End For**

//Let the initial minPath as infinity

minPath ←  $\infty$

//Repeat until there is no other permutations

**While** NextPermutation(Vertex, n) **Do**

    currentPathWeight ← 0

    K ← S

**For** i←1 to n **Do**

        currentPathWeight = currentPathWeight + Cost[K][Vertex[i]]

        K ← Vertex[i]

**End For**

    currentPathWeight = currentPathWeight + Cost[K][S]

    minPath = Min(minPath, currentPathWeight)

**End While**

**Return** minPath

**End TSP**

# Maximum Sub Array Problem

## Maximum Sub Array Problem:

Input: An array of elements: +Ve & -Ve

Output: A subarray of input array whose sum is maximum

Ex: I/P:  $\begin{bmatrix} 1 & 2 & 3 & 4 \\ -1 & 3 & -2 & 5 \end{bmatrix}$   $\Rightarrow [-1] [3] [-2] [5] \Rightarrow l=1$   
 $\Rightarrow [-1, 3] [3, -2], [-2, 5] \Rightarrow l=2$   
 $\Rightarrow [-1, 3, -2], [3, -2, 5] \Rightarrow l=3$   
 $\Rightarrow [-1, 3, -2, 5] \quad \textcircled{b} \checkmark \Rightarrow l=4$

### Algorithms:

1. Brute force  $\Rightarrow O(n^3)$
2. Brute force  $\Rightarrow O(n^2)$
3. Divide & Conquer  $\Rightarrow O(n \log n)$
4.  $O(n)$  Algorithm.

↓  
Output

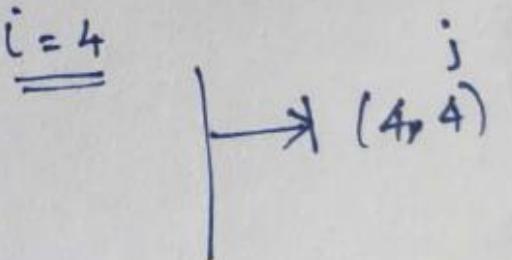
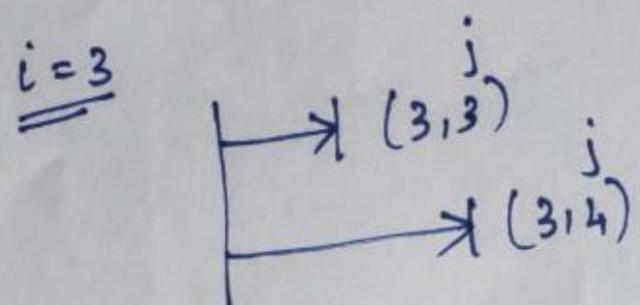
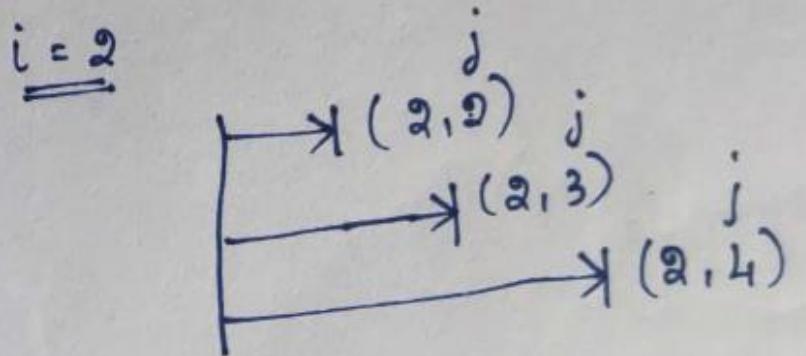
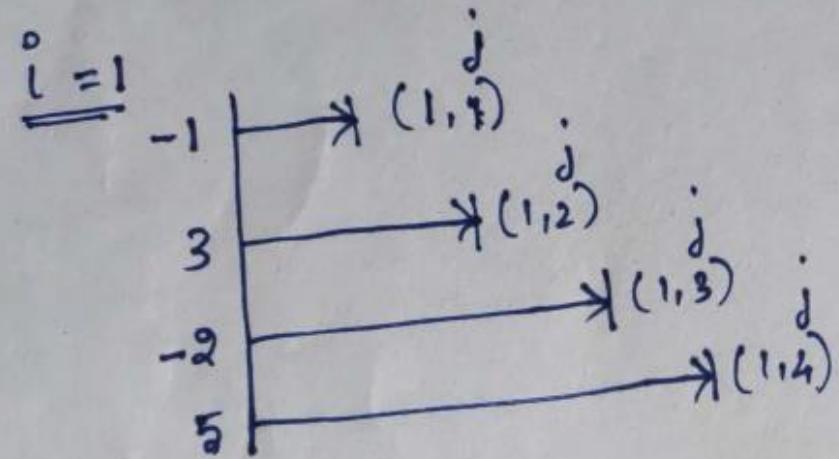
$O(n^3)$  Algorithm for Max. Subarray :

$$A \begin{bmatrix} 1 & 2 & 3 & 4 \\ -1 & 3 & -2 & 5 \end{bmatrix}$$

$$\underline{(1,1)} \Rightarrow [-1]$$

$$\underline{(1,3)} \Rightarrow [-1, 3, -2]$$

m Range	m Sum
$(2, 4)$	6



Algorithm MSI ( $A[1..n]$ )

$mSum \leftarrow A[1]$

$mRange \leftarrow (1, 1)$

for  $i \leftarrow 1$  to  $n$  do

for  $j \leftarrow i$  to  $n$  do

// Find the sum of elements in the range  $(i, j)$

$sum \leftarrow 0$

for  $k \leftarrow i$  to  $j$  do

$sum \leftarrow sum + A[k]$

end for

if  $sum > mSum$  then

$mSum \leftarrow sum$

$mRange \leftarrow (i, j)$

end if

end for

return  $mSum$  and  $mRange$

end MSI

→ ①

→ ②

→ ③

m Sum	m Range	i	j	(i,j)	Sum	-1	3	-2	5	A
-1	(1,1)	1	1	(1,1)	-1	1	2	3	4	
1	(1,1)	1	2	(1,2)	2					
2	(1,2)	1	3	(1,3)	0					
0	(1,2)	1	4	(1,4)	5					
5	(1,4)	2	2	(2,2)	3					
3	(1,4)	2	3	(2,3)	1					
1	(1,4)	2	4	(2,4)	6					
6	(2,4)	3	3	(3,3)	-2					
3	(2,4)	3	4	(3,4)	3					
5	(2,4)	4	4	(4,4)	5					
6   (2,4)										

$$S(i,j) = S(i,j-1) + A[j]$$

$$\textcircled{3} \Rightarrow \sum_{k=1}^j 1 \Rightarrow \underline{j-i+1} \Rightarrow \boxed{\textcircled{3} \Rightarrow j-i+1}$$

$$\textcircled{2} \Rightarrow \sum_{j=i}^n (\textcircled{3}) \Rightarrow \sum_{j=i}^n (j-i+1) \Rightarrow 1+2+\dots+\underline{n-i+1} \\ \Rightarrow \frac{(n-i+1)(n-i+1+1)}{2}$$

$$\left[ \sum_{i=1}^n i = \frac{n(n+1)}{2} \right] .$$

$$\boxed{\textcircled{2} \Rightarrow \frac{1}{2} (n-i+1)(n-i+2)}$$

$$\textcircled{1} \Rightarrow \sum_{i=1}^n \textcircled{2} \Rightarrow \sum_{i=1}^n \frac{1}{2} (n-i+1)(n-i+2) \\ \Rightarrow \frac{1}{2} \sum_{i=1}^n n^2 - \underline{n^2} + 2n - \underline{n^2} + i^2 - \underline{2i} + n - \underline{i} + 2 \\ \Rightarrow \frac{1}{2} \sum_{i=1}^n i^2 - (2n+3)i + (n^2+3n+2).1$$

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$$\Rightarrow \frac{1}{2} \left[ \sum_{i=1}^n i^2 - (2n+3) \cdot \sum_{i=1}^n i + (n^2+3n+2) \cdot \sum_{i=1}^n 1 \right]$$

$$\Rightarrow \frac{1}{2} \left[ O(n^3) - (2n+3) \cdot O(n^2) + (n^2+3n+2) O(n) \right]$$

$$\Rightarrow \frac{1}{2} [O(n^3) + O(n^3) + O(n^2) + O(n)] \in O(n^3)$$

$$T(n) = O(n^3)$$

$$\sum_{l=1}^n 1 = n - 1 + 1 \Rightarrow n \Rightarrow O(n)$$

$$\textcircled{1} \quad \sum_{i=l}^n 1 = n - l + 1$$

$$\sum_{i=1}^n i = 1 + 2 + 3 + \dots + n = \frac{n(n+1)}{2} \in O(n^2)$$

$$\textcircled{2} \quad \sum_{i=1}^n i = O(n^2)$$

$$\sum_{i=1}^n i^2 = 1^2 + 2^2 + \dots + n^2 = \frac{n(n+1)(2n+1)}{6} \in O(n^3)$$

$$\textcircled{3} \quad \sum_{i=1}^n i^2 = O(n^3)$$

$$\textcircled{4} \quad \sum_{i=1}^n i^d = O(n^{d+1})$$

## 2) $O(n^2)$ Algorithm for Maximum Subarray:

Alg. MS2 ( $A[1..n]$ )

$mSum \leftarrow A[1]$

$mRange \leftarrow (1, 1)$

for  $i \leftarrow 1$  to  $n$  do  $\rightarrow ①$

$sum \leftarrow 0$   $\rightarrow ②$

for  $j \leftarrow i$  to  $n$  do

$sum \leftarrow sum + A[j]$

if  $sum > mSum$  then

$mSum \leftarrow sum$

$mRange \leftarrow (i, j)$

end if

end for

end for

return  $mRange$  &  $mSum$

By:

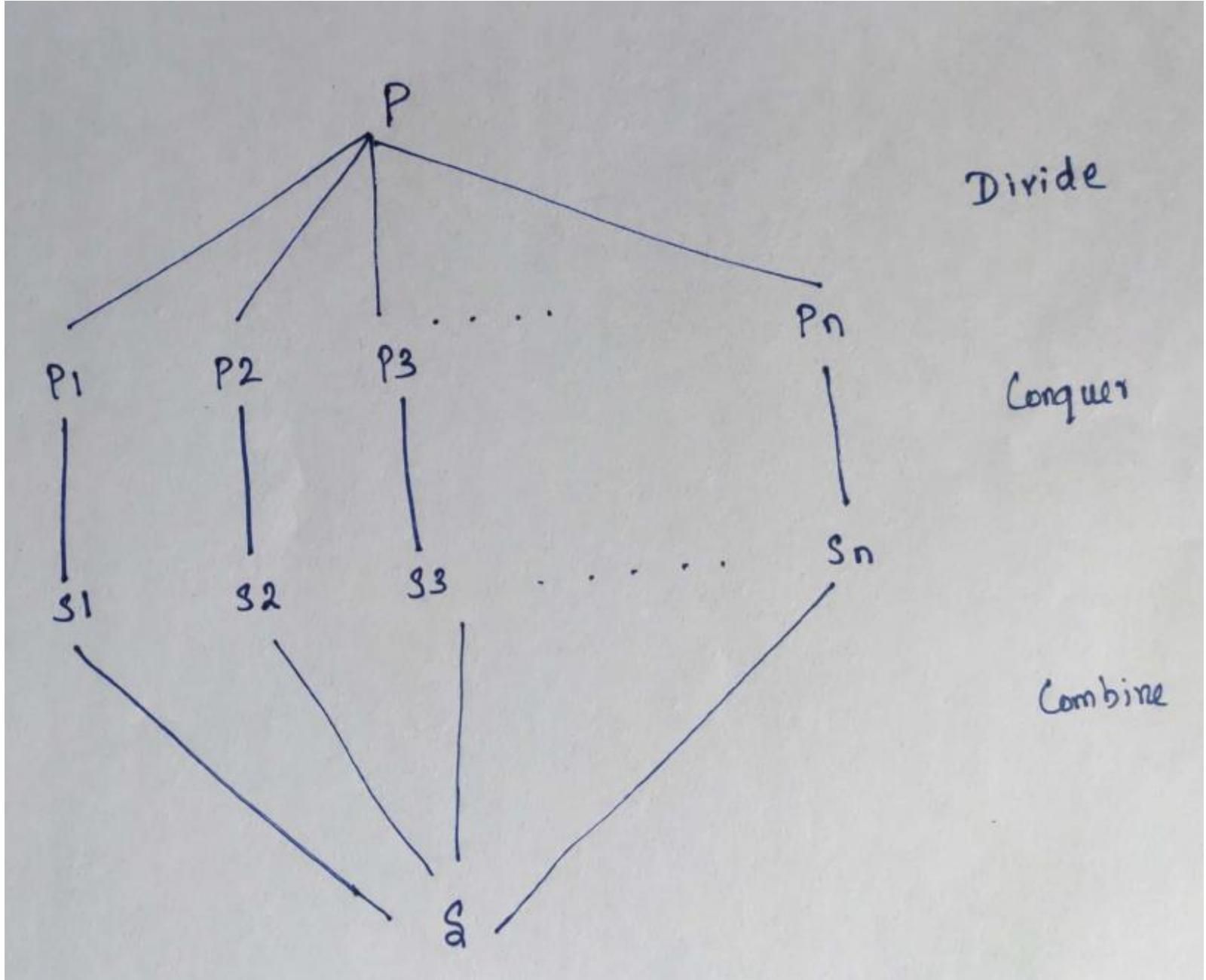
end MS2

# Divide & Conquer Approach

# Divide & Conquer Approach: (An algorithm design technique)

## 3 Steps:

1. Divide : The Problem is divided into the number of Sub problems that are smaller Instances of the same problem
2. Conquer : The Subproblems are Solved either Recursively or Iteratively
3. Combine : The solutions of sub problems are combined into the solution to the main problem.

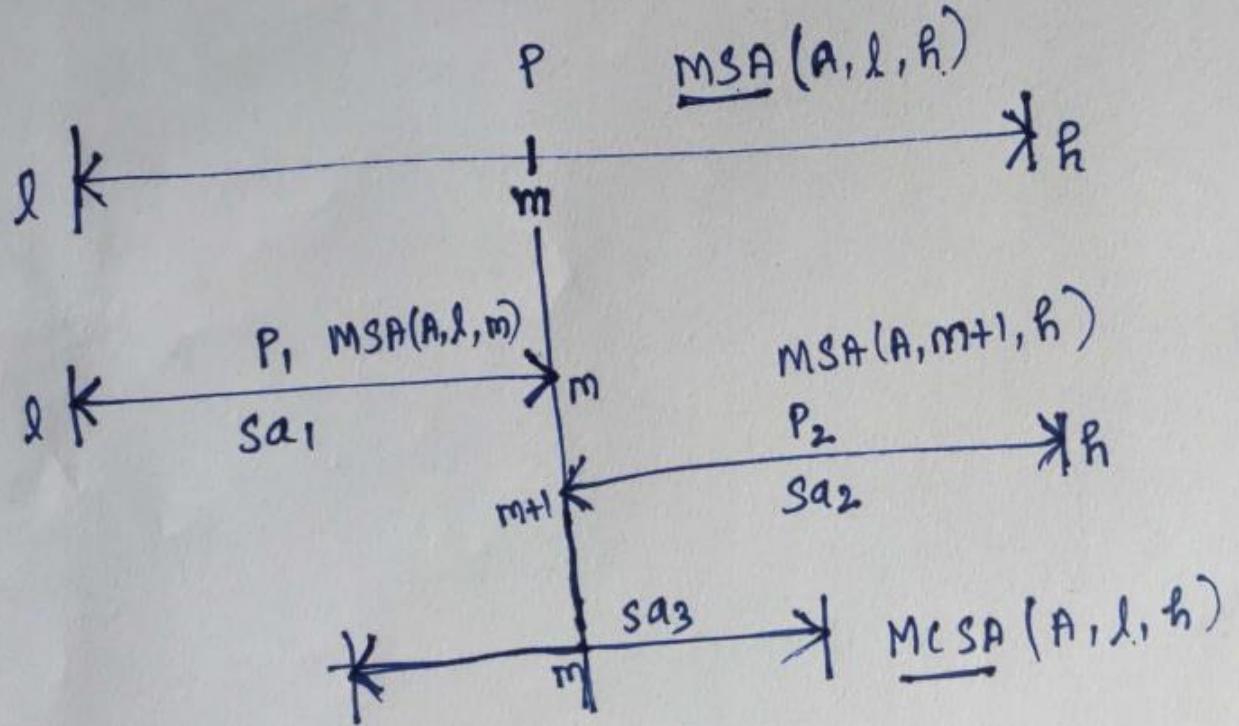


### 3) Divide & Conquer Approach for Max. SubArray Problem:

Input:  $A[1..n]$ ,  $l$ ,  $r$

Output: ( $s$ ,  $e$ , sum)

$A$	-1	3	-2	5
	1	2	3	4
	$l$			$r$



$$\begin{aligned}
 &\text{MSA}(A, l, r) \\
 &\downarrow \\
 &\underline{\text{MSA}}(A, l, 4) \Rightarrow I/P \\
 &= \\
 &\downarrow \\
 &(2, 4, 6) \Rightarrow O/P
 \end{aligned}$$

$$\underline{\max} (\underline{sa_1}, \underline{sa_2}, \underline{sa_3}) \Rightarrow \text{Result}$$

Alg. MSA ( $A[1..n]$ ,  $\ell$ ,  $h$ )  $\Rightarrow \text{size} = n$

```

if l=h then
    return (l, h, A[l])
end if

```

$$\text{MSA}(A, 2, 2) \xrightarrow{\Downarrow} \underline{\text{MSA}(2, 2, A[2])}$$

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$\Theta(1)$  Divide       $\Theta(1)$  Conquer       $\Theta(1)$  Combine

①  $m \leftarrow \lfloor (l+h)/2 \rfloor$

②  $(s_1, e_1, \text{sum}_1) \leftarrow \text{MSA}(A, l, m)$       //  $s_1$   $\Rightarrow \text{size} = n/2$   
 ③  $(s_2, e_2, \text{sum}_2) \leftarrow \text{MSA}(A, m+1, h)$       //  $s_2$   $\Rightarrow \text{size} = n/2$   
 ④  $(s_3, e_3, \text{sum}_3) \leftarrow \text{MCSA}(A, l, h)$       //  $s_3$   $\Rightarrow \text{size} = n$

⑤ if  $\text{sum}_1 > \text{sum}_2$  then  
     if  $\text{sum}_1 > \text{sum}_3$  then  
         return  $(s_1, e_1, \text{sum}_1)$   
     else  
         return  $(s_3, e_3, \text{sum}_3)$   
     end if  
 else  
     if  $\text{sum}_2 > \text{sum}_3$  then  
         return  $(s_2, e_2, \text{sum}_2)$   
     else  
         return  $(s_3, e_3, \text{sum}_3)$   
     end if  
 endif

n 6 7  
3 3  
3 4

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Alg MCSA ( $A[1..n]$ ,  $l$ ,  $h$ )

$$m \leftarrow \lfloor (l+h)/2 \rfloor$$

$$\text{sum} \leftarrow A[m]$$

$$l\text{Sum} \leftarrow A[m]$$

$$l\text{Max} \leftarrow m$$

① For  $i \leftarrow m-1$  to  $l$  downwards

$$\text{sum} \leftarrow \text{sum} + A[i]$$

if  $\text{sum} > l\text{Sum}$  then

$$l\text{Sum} \leftarrow \text{sum}$$

$$l\text{Max} \leftarrow i$$

end if

end for

$$\text{sum} \leftarrow A[m+1]$$

$$r\text{Sum} \leftarrow A[m+1]$$

$$r\text{Max} \leftarrow m+1$$

② for  $i \leftarrow m+2$  to  $h$  do

$$\text{sum} \leftarrow \text{sum} + A[i]$$

if  $\text{sum} > r\text{Sum}$  then

$$r\text{Sum} \leftarrow \text{sum}$$

$$r\text{Max} \leftarrow i$$

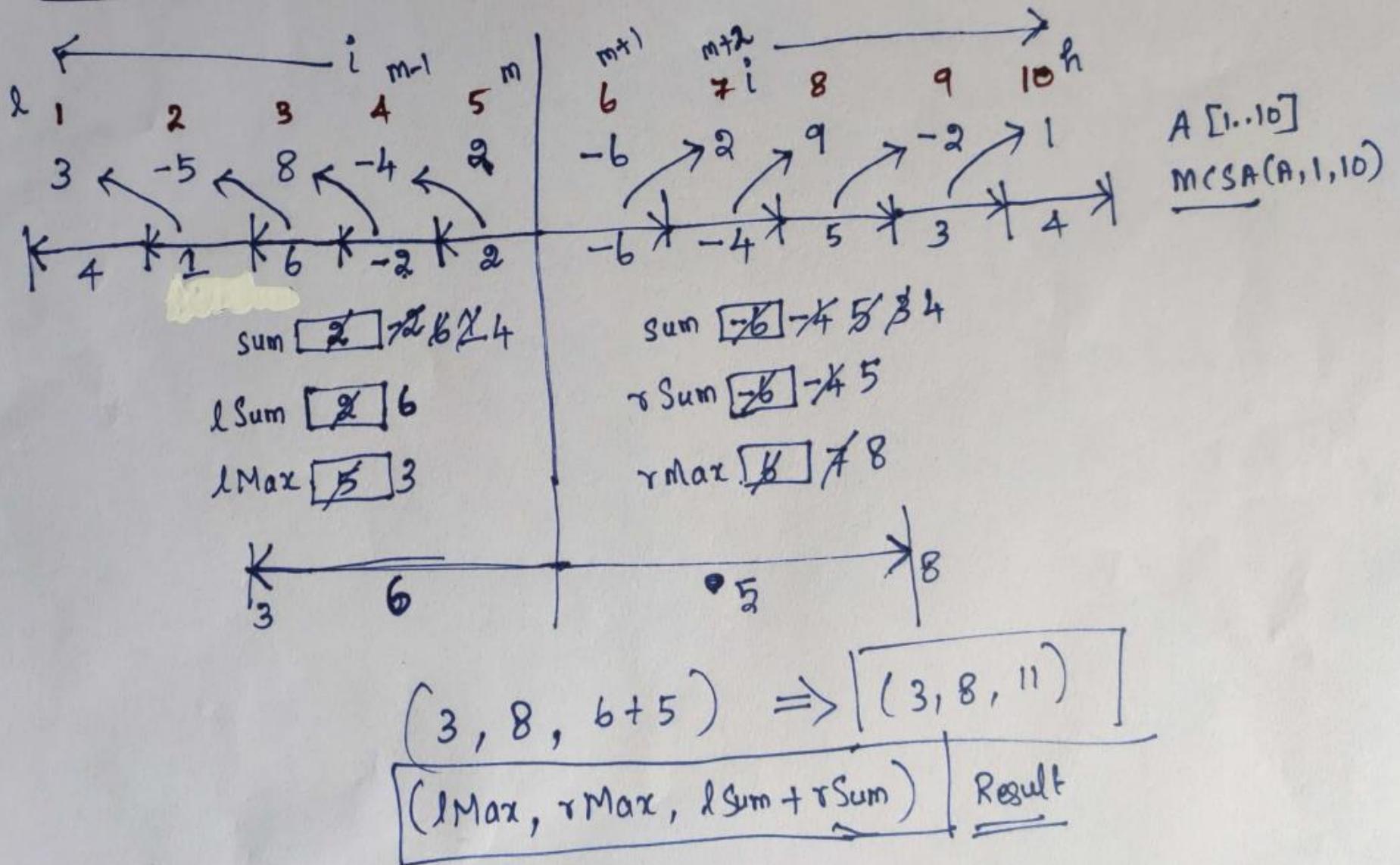
end if

end for

return  $(l\text{Max}, r\text{Max}, l\text{Sum} + r\text{Sum})$

end MCSA

## Finding Mid Crossing Sub Array: [MC SA]



## Maximum Sub Array - Divide & Conquer - Example:

$A[1..8]$   $n = 8$

$l = 1$

$h = 8$

$A$     3    -5    7    -4    2    -6    2    9  
 1       2    3    4    5    6    7    8    h

1. MSA(A, 1, 8)  $\boxed{(7, 8, 11)}$

$m = 4$

1.1 MSA(A, 1, 4)  $\boxed{(3, 3, 7)}$

1.2 MSA(A, 5, 8)  $\boxed{\checkmark (7, 8, 11)}$

1.3 MCSA(A, 1, 8)  $\boxed{(3, 8, 10)}$

1.1. MSA(A, 1, 4)

$m = 2$

1.1.1 MSA(A, 1, 2)  $\boxed{(1, 1, 3)}$

1.1.2 MSA(A, 3, 4)  $\boxed{\checkmark (3, 3, 7)}$

1.1.3 MCSA(A, 1, 4)  $\boxed{(1, 3, 5)}$

1.1.1. MSA(A, 1, 2)

$m = 1$

1.1.1.1 MSA(A, 1, 1)  $\boxed{(1, 1, 3)}$

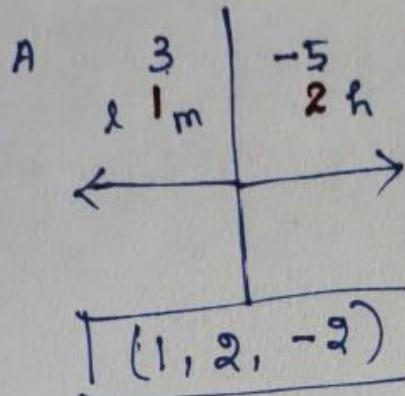
1.1.1.2 MSA(A, 2, 2)  $\boxed{(2, 2, -5)}$

1.1.1.3 MCSA(A, 1, 2)  $\boxed{(1, 2, -2)}$



### 1.1.1.3. MCSA(A, 1, 2)

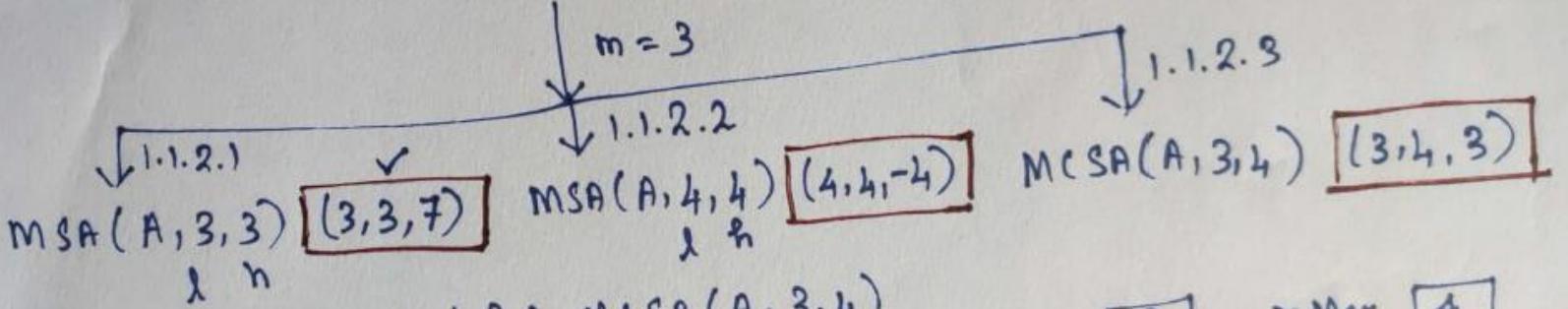
(119)



lMax	1
lSum	3
Sum	3

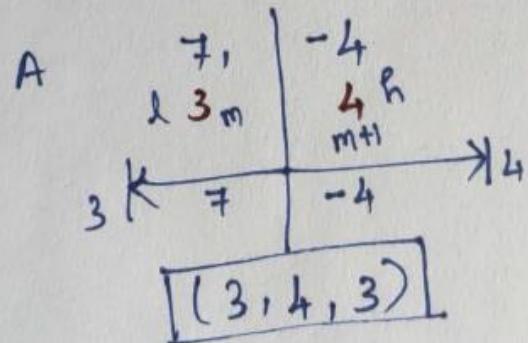
rMax	2
rSum	-5
Sum	-5

### 1.1.2. MSA(A, 3, 4)



1.1.2.3

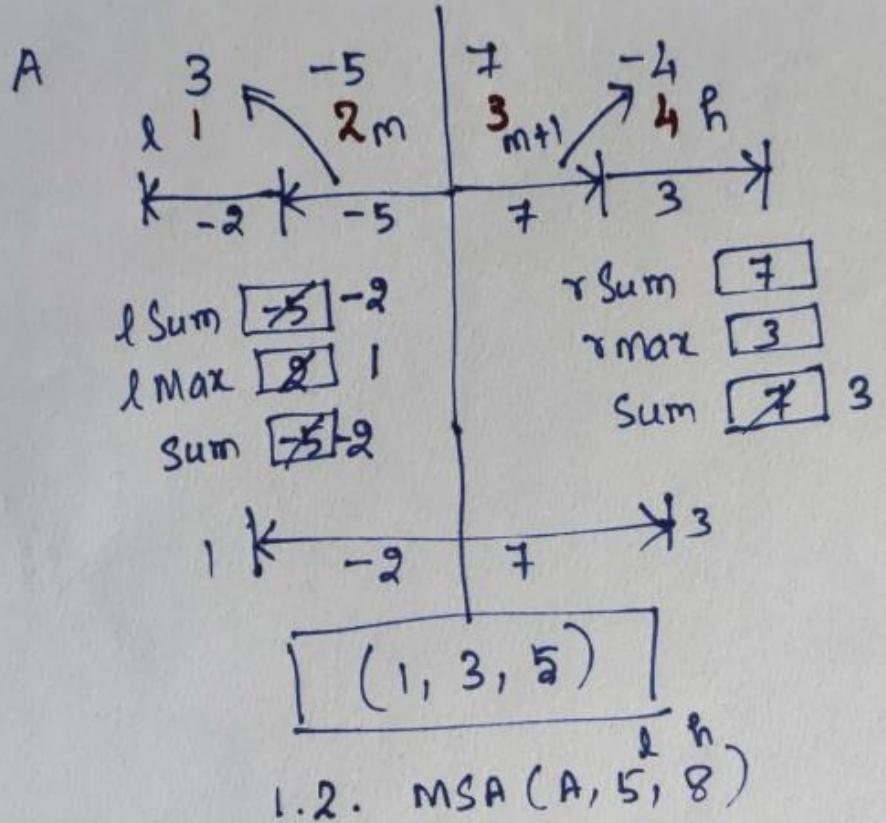
### 1.1.2.3. MCSA(A, 3, 4)



lMax	3
lSum	7
Sum	7

rMax	4
rSum	-4
Sum	-4

### 1.1.3. MCSA(A, 1, 4)



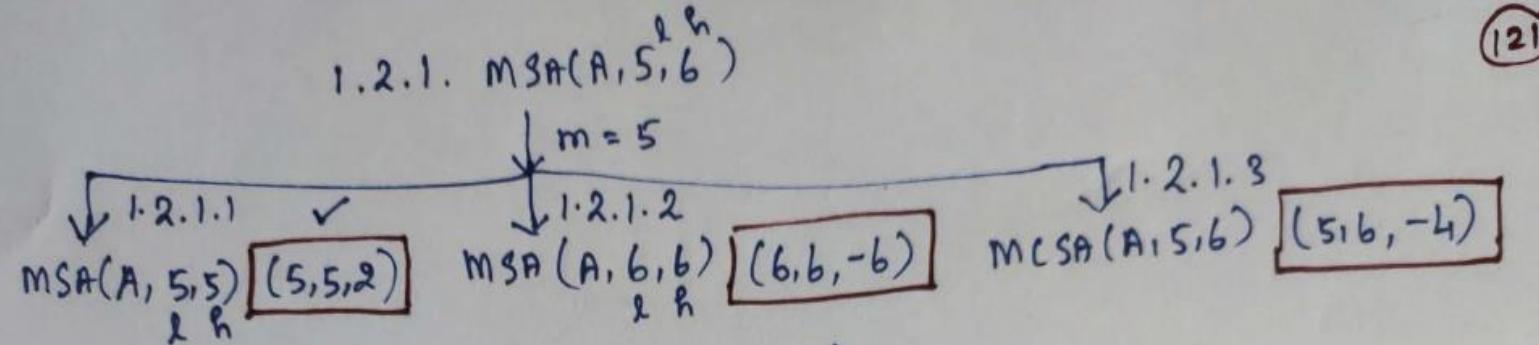
1.2. MSA(A, 5, 8)

$$m = b$$

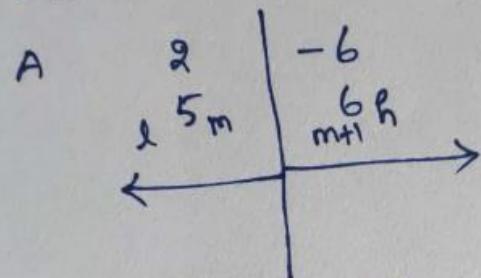
$\downarrow 1.2.1$   
 $\text{MSA}(A, 5, 6) \boxed{(5, 5, 2)}$

$\downarrow 1.2.2.$   
 $\text{MSA}(A, 7, 8) \boxed{\checkmark (7, 8, 11)}$

$\downarrow 1.2.3$   
 $\text{MCSA}(A, 5, 8) \boxed{(5, 8, 7)}$



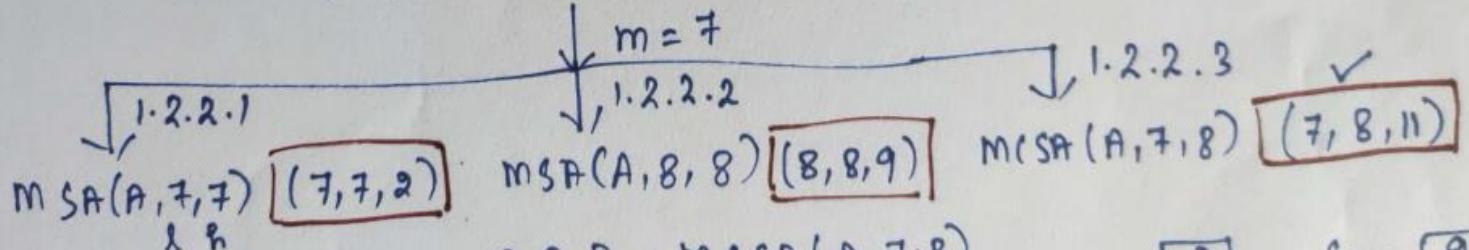
1.2.1.3.  $MCSA(A, 5, 6)$



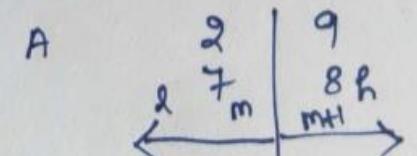
sum  $\boxed{2}$   
 $\Delta$ sum  $\boxed{2}$   
 $\Delta$ Max  $\boxed{5}$

sum  $\boxed{-6}$   
 $\Delta$ sum  $\boxed{-6}$   
 $\Delta$ Max  $\boxed{6}$

1.2.2.  $MSA(A, 7, 8)$



1.2.2.3.  $MCSA(A, 7, 8)$



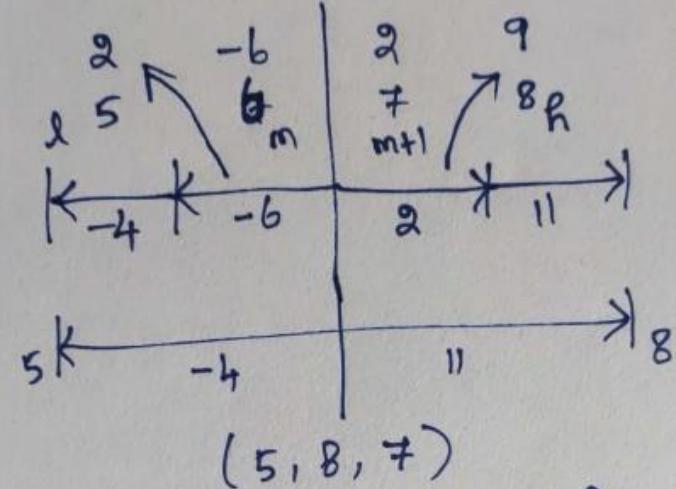
sum  $\boxed{2}$   
 $\Delta$ sum  $\boxed{2}$   
 $\Delta$ Max  $\boxed{7}$

sum  $\boxed{9}$   
 $\Delta$ sum  $\boxed{9}$   
 $\Delta$ Max  $\boxed{8}$

1.2.3. MCSA(A, 5, 8)

Sum  $\boxed{2}$  11  
 rSum  $\boxed{2}$  11  
 rMax  $\boxed{7}$  8

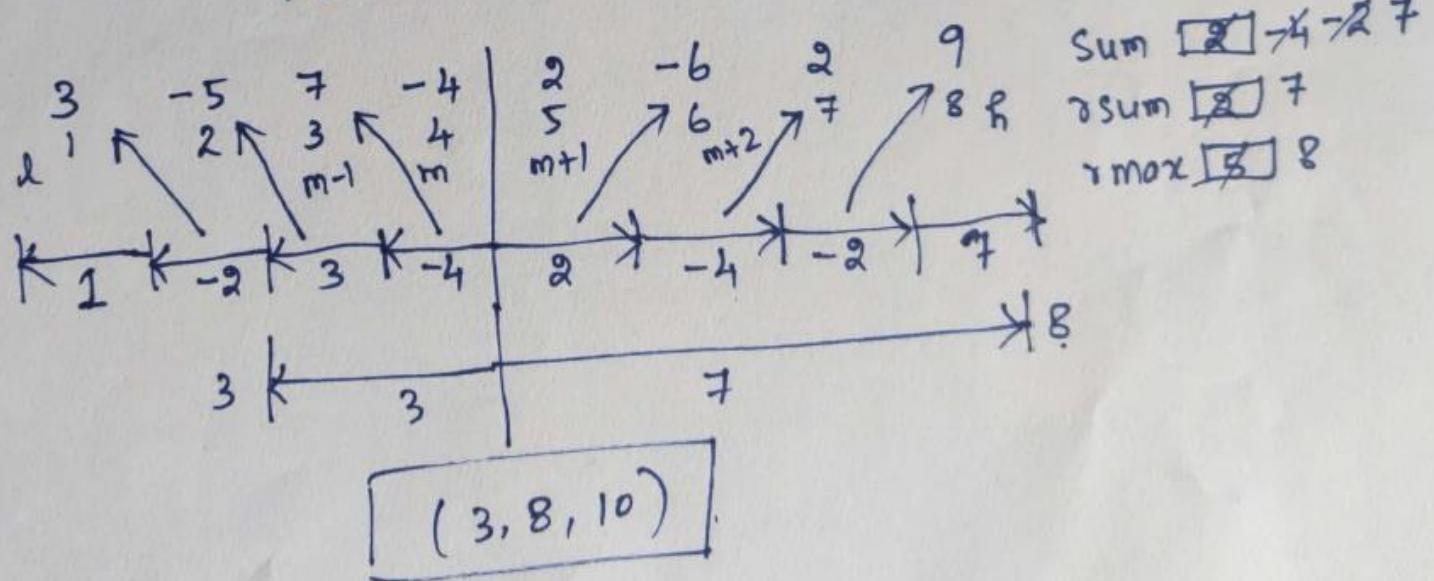
A



Sum  $\boxed{-6}$  -4  
 l Sum  $\boxed{-6}$  -4  
 l Max  $\boxed{6}$  5

1.3. MCSA(A, 1, 8)

Sum  $\boxed{2}$  21  
 l Sum  $\boxed{2}$  3  
 l Max  $\boxed{4}$  3



Sum  $\boxed{2}$  7  
 rSum  $\boxed{2}$  7  
 rMax  $\boxed{5}$  8

## Analysis of Divide & Conquer Algorithm for Maximum Sub Array Problem:

- 1. MSA
- 2. MCSA

Analyze the Complexity of MCSA: (for size n)

$$\text{Loop } ① \Rightarrow \sum_{i=l}^{m-1} 1 = m - l - 1 + 1 \Rightarrow \underline{m-l}$$

$$\text{Loop } ② \Rightarrow \sum_{i=m+2}^h 1 = h - (m+2) + 1 \Rightarrow \underline{h-m-1}$$

Time Complexity on 'n':

$$\begin{aligned} T(n) &= m-l + h-m-1 \\ &= h-l-1 \\ &= n-1-1 \Rightarrow n-2 \in O(n) \end{aligned}$$

For 'n' input:

$$l = 1$$

$$h = n$$

$$T(n) = \Theta(n)$$



Analyze the complexity of MSA:

case 1: (Base case) : if  $n=1$  :  $l=h$

$$\boxed{T(n) = \Theta(1)}$$

case 2: (Recursive case) : if  $n > 1$  :  $l \neq h$

1. Complexity for Divide: ①  $\Rightarrow \Theta(1)$

2. Complexity for Combine: ⑤  $\Rightarrow \Theta(1)$

3. Complexity for Conquer: ② + ③ + ④  $\Rightarrow T(\frac{n}{2}) + T(\frac{n}{2}) + \Theta(n)$

Let us consider  $T(n)$  be the complexity of MSA with  $n$

Complexity of MSA for size  $\frac{n}{2}$  =  $T(\frac{n}{2})$

$$\textcircled{2} = T(\frac{n}{2})$$

$$\textcircled{3} \Rightarrow T(\frac{n}{2})$$

$$\textcircled{4} \Rightarrow \Theta(n)$$

$$T(n) = \underbrace{\textcircled{1}}_{\Theta(1)} + \underbrace{\textcircled{2}}_{T(n/2)} + \underbrace{\textcircled{3}}_{T(n/2)} + \underbrace{\textcircled{4}}_{\Theta(n)} + \underbrace{\textcircled{5}}_{\Theta(1)}$$

$$\boxed{T(n) = 2 \cdot T(n/2) + \Theta(n)} \quad \text{if } n > 1$$

$$T(n) = \begin{cases} 2 \cdot T(n/2) + \Theta(n), & \text{if } n > 1 \\ \Theta(1) & \text{if } n = 1 \end{cases}$$

⇒ Recurrence - Need to be solved  
 1. Recursion Tree  
 2. Substitution  
 3. Master Theorem

$$T(n) = 2 \cdot T(n/2) + \Theta(n)$$

$$a = 2$$

$$b = 2$$

$$k = 1$$

$$p = 0$$

$$a^{b^k} \Rightarrow 2^{2^1} \Rightarrow \boxed{2 = 2} \Rightarrow \boxed{a = b^k} \Rightarrow \underline{\underline{\text{Case 2}}}$$

$$(p=0) \Rightarrow \text{Subcase (iii)} \Rightarrow \text{Solution: } T(n) = \Theta(n^{\log_b a} \cdot \log^{p+1} n) = \Theta(n^{\log_2 2} \cdot \log^1 n)$$

$(p < -1)$  Complexity MSA for size 'n'  $\boxed{T(n) = \Theta(n \cdot \log n)}$

## Method for Solving Recurrence:



$$T(n) = a \cdot T\left(\frac{n}{b}\right) + \Theta(n^k \cdot \log^p n)$$

Case 1:  $\underline{a > b^k}$   $\Rightarrow$  Solution:  $T(n) = \Theta(n^{\log_b a})$

Case 2:  $\underline{a = b^k}$   $\Rightarrow$  Solution:  $T(n) = \Theta(n^{\log_b a})$

i)  $p < -1$   $\Rightarrow$  Solution:  $T(n) = \Theta(n^{\log_b a} \cdot \log^2 n)$

ii)  $p = -1$   $\Rightarrow$  Solution:  $T(n) = \Theta(n^{\log_b a} \cdot \log^{p+1} n)$

iii)  $p > -1$   $\Rightarrow$  Solution:  $T(n) = \Theta(n^{\log_b a} \cdot \log^p n)$

Case 3:  $\underline{a < b^k}$   $\Rightarrow$  Solution:  $T(n) = \Theta(n^k)$

i)  $p < 0$   $\Rightarrow$  Solution:  $T(n) = \Theta(n^k \cdot \log^p n)$

ii)  $p \geq 0$   $\Rightarrow$  Solution:  $T(n) = \Theta(n^k \cdot \log^p n)$

**Divide & Conquer Approach**

# Merge Sort – Algorithm, Example & Analysis

## Merge Sort: (Divide & Conquer Approach)

→ MergeSort ( $A[0..n-1]$ ,  $p$ ,  $r$ )  
 → Merge ( $A[0..n-1]$ ,  $p, q, r$ )

### Merge:

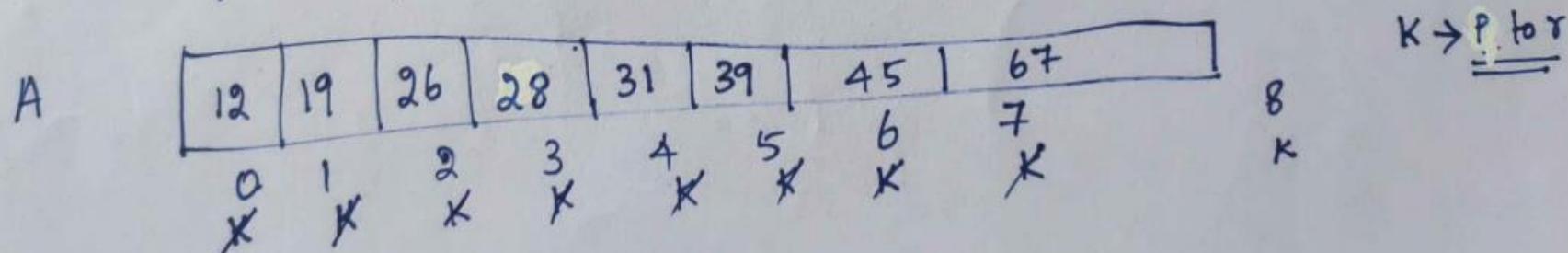
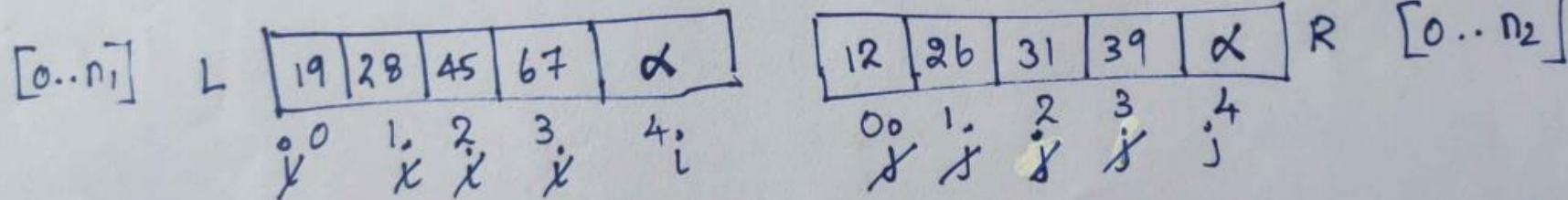
A	19	28	45	67	12	26	31	39
$p$	0	1	2	3	4	5	6	7
$q$				$q+1$				

$$\begin{aligned} n_1 &= q-p+1 \\ &= 3-0+1 \Rightarrow n_1 = 4 \end{aligned}$$

$$\begin{aligned} n_2 &= r-(q+1)+1 \\ &= r-q \Rightarrow n_2 = 4 \\ &= 7-3 \Rightarrow n_2 = 4 \end{aligned}$$

$$\begin{matrix} p & q & r \\ \downarrow & & \end{matrix} \\ \Rightarrow \text{Merge}(A, 0, 3, 7)$$

↓  
Sort the elements  
from 0 to 7



$p \rightarrow$  Start Index

$r \rightarrow$  End "

$q \rightarrow$  Middle Index.

## Merge Sort :

A      26      13      91      65      56      72      33      49  
        0      1      2      3      4      5      6      7  
        p                  m      m+1      r

$\leftarrow$  Merge Sort (A, 0, 3)  $\rightarrow$        $\leftarrow$  Merge Sort (A, 4, 7)  $\rightarrow$

13      26      65      91      33      49      56      72  
        0      1      2      3      4      5      6      7  
        p                  m      m+1      r

$\downarrow$   
Merge (A, 0, 3, 7)  
 $\downarrow$

13      26      33      49      56      65      72      91  
        0      1      2      3      4      5      6      7

MergeSort (A, 0, 7)  
 $m = (p+r)/2$



Alg. Merge ( $A[0..n-1]$ ,  $P$ ,  $q$ ,  $r$ )

$n_1 \leftarrow q - P + 1$

$n_2 \leftarrow r - q$

//Let 2 Arrays  $L[0..n_1]$  and  $R[0..n_2]$

$i \leftarrow 0$

$j \leftarrow 0$

① for  $K \leftarrow P$  to  $r$  do

if  $K \leq q$  then

$L[i] \leftarrow A[K]$

$i \leftarrow i + 1$

else

$R[j] \leftarrow A[K]$

$j \leftarrow j + 1$

end if

end for

$L[n_1] \leftarrow R[n_2] \leftarrow \infty$

$i \leftarrow 0$   
 $j \leftarrow 0$

② for  $K \leftarrow P$  to  $r$  do

if  $L[i] < R[j]$  then

$A[K] \leftarrow L[i]$

$i \leftarrow i + 1$

else

$A[K] \leftarrow R[j]$

$j \leftarrow j + 1$

endif

end for

end Merge.

Alg MergeSort ( $A[0..n-1]$ ,  $p$ ,  $r$ ) // if 'n' is size

(13)

if  $p \geq r$  then

return;

end if

①  $m \leftarrow \lfloor (p+r)/2 \rfloor$

$\rightarrow \Theta(1)$

② MergeSort ( $A$ ,  $p$ ,  $m$ ) // size =  $n/2$   $\rightarrow T(n/2)$

③ MergeSort ( $A$ ,  $m+1$ ,  $r$ ) // size =  $n/2$   $\rightarrow T(n/2)$

$\rightarrow \Theta(n)$

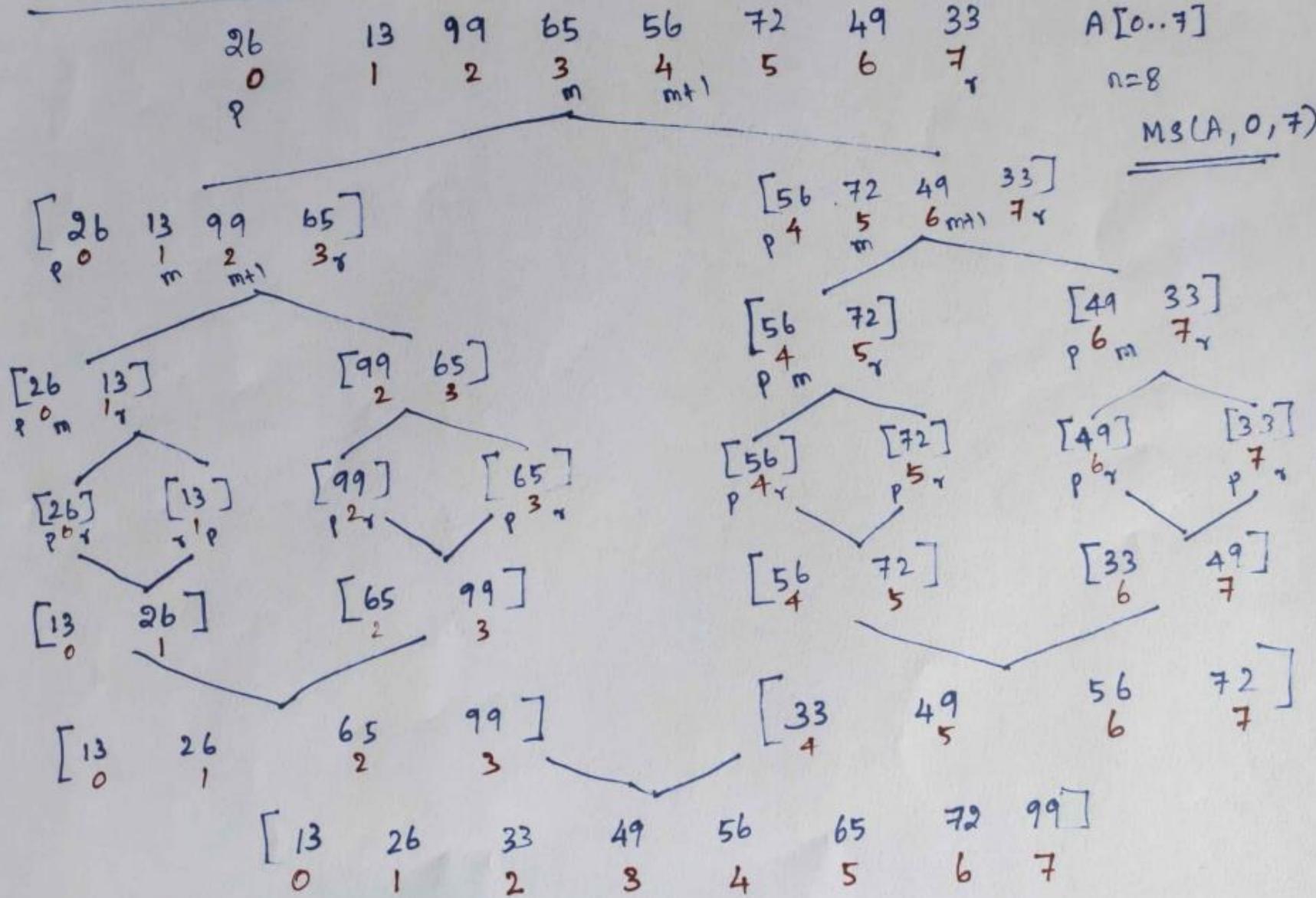
④ Merge ( $A$ ,  $p$ ,  $m$ ,  $r$ )

end MergeSort

Recursive

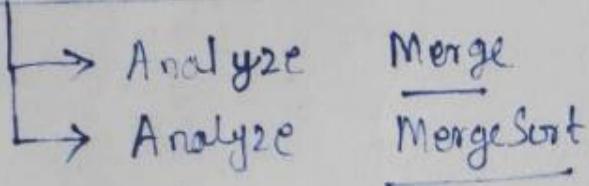
## Example for Merge Sort:

(132)



## Analysis of Merge Sort:

(133)



Merge:

$$\text{Loop } ① \Rightarrow \sum_{k=p}^r 1 = r-p+1$$

$$\text{Loop } ② \Rightarrow \sum_{k=p}^r 1 \Rightarrow r-p+1$$

$$\begin{aligned} T(n) \text{ for } \underline{\text{Merge}} &= ① + ② \Rightarrow r-p+1 + r-p+1 \\ &= 2(r-p) + 2 \\ &= 2(n-1-0) + 2 = 2n - 2 + 2 \end{aligned}$$

$$T(n) = 2n \in O(n)$$

$$\boxed{T(n) = \Theta(n)}$$

Merge ( $A, P, Q, r$ )

$\downarrow A[0..n-1]$

Merge ( $A, 0, \dots, n-1$ )

$\boxed{P=0 \quad r=n-1}$

Merge Sort:

Base Case: ( $p = r$ ), ( $\underline{n=1}$ )

$$T(n) = \Theta(1) \quad , \text{ if } n = 1$$

Recursive Case: ( $n > 1$ )

Let  $\underline{T(n)}$  be the complexity of Merge Sort with size ' $\underline{n}$ '

The complexity of Merge Sort with size  $\frac{n}{2} \rightarrow \underline{T(\frac{n}{2})}$

$$\begin{aligned} T(n) &= \textcircled{1} + \textcircled{2} + \textcircled{3} + \textcircled{4} \\ &= \Theta(1) + T(\frac{n}{2}) + T(\frac{n}{2}) + \Theta(n) = 2 \cdot T(\frac{n}{2}) + \Theta(n) \end{aligned}$$

$$T(n) = \begin{cases} 2 \cdot T(\frac{n}{2}) + \Theta(n) , & \text{if } n > 1 \\ \Theta(1) & \text{if } n = 1 \end{cases}$$

*Recurrence*

Solving Recurrence:

$$T(n) = 2 \cdot T(n/2) + \Theta(n) \quad \Theta(n^1 \cdot \log^0 n)$$

$$a=2 \quad b=2 \quad k=1 \quad p=0$$

$$a^{b^k} \Rightarrow 2^{2^1} \Rightarrow 2 = 2 \Rightarrow \boxed{a=b^k}$$

$p=0 \Rightarrow$  Case 2    Subcase (iii)

Solution:  $T(n) = \Theta(n^{\log_b a} \cdot \log^{p+1} n)$

$$T(n) = \Theta(n^{\log_2 2} \cdot \log^{0+1} n)$$

$$\boxed{T(n) = \Theta(n \cdot \log n)}$$

$$\boxed{T(n) = \begin{cases} \Theta(n \log n) & \text{if } n > 1 \\ \Theta(1) & \text{if } n = 1 \end{cases}}$$

# **Complexity Analysis of Divide & Conquer Approach**



## Divide & Conquer Approach:

Three steps in this approach.

### 1. Divide

→ The problem is divided into the number of sub problems that are smaller instances of same problem.

### 2. Conquer:

→ In this step, the sub problems are solved either recursively or using iterations.

### 3. Combine:

→ The solutions of sub problems are combined into the solution for the original problem.

## Complexity Analysis of Divide & Conquer

Let the problem 'P' of size  $n$ .

Suppose the problem is divided into 'a' no. of subproblems.

i.e.,  $P_1, P_2, \dots, P_a$

Let the size of the subproblems is :  $n/b$  (unequally divide)

or  $n/a$  (equally divide)



Let the time Complexity of the problem ' $P$ ' with size  $n/b \Rightarrow T(n/b)$

Time Complexity for dividing }  
input }  $\Rightarrow D(n)$

Time Complexity for combining }  
Solutions }  $\Rightarrow C(n)$

Let the time Complexity of the problem P with size 1  $\Rightarrow O(1)$

Then the Time Complexity of the problem P with size n  $\Rightarrow T(n)$

$$T(n) = \begin{cases} \left( \text{Sum of Complexity of all subproblems} \right) + \left( \text{Complexity for divide input} \right) + \left( \text{Complexity for combine solutions} \right), & \text{if } n > 1 \\ O(1), & \text{if } n = 1 \end{cases}$$

$$T(n) = \begin{cases} a \cdot T(\frac{n}{b}) + D(n) + C(n), & \text{if } n > 1 \\ O(1), & \text{if } n = 1 \end{cases}$$

This expression usually called  
 as Recurrence, (which contains  
 unknown complexity  
 in - expression)

Need to be solved by using  
 any of the following methods.

# Solving Recurrences

# Solving Recurrences

- ✓ Iterative Method
- ✓ Recursion Tree Method
- ✓ Master Theorem
- ✓ Substitution Method

# Solving Recurrences –Iterative Method



## Iterative Method - Examples:

$$\textcircled{1} \quad T(n) = \begin{cases} 2 \cdot T(n/2) + O(n), & \text{if } n > 1 \\ O(1), & \text{if } n = 1 \end{cases}$$



Base Case:  $T(1) = O(1)$

Recursive Case:  $T(n) = 2 \cdot T(n/2) + O(n)$

We can write:  $c \cdot n \in O(n)$

$$T(n) = 2 \cdot T(n/2) + c \cdot n \quad \rightarrow ①$$



Put  $n = n/2$  in ①  $T(n) = T(n/2) + C \cdot n/2$

$$T(n/2) = 2 \cdot T((n/2)/2) + C \cdot n/2$$
$$T(n/2) = 2 \cdot T(n/4) + C \cdot n/2$$

→ ②



Substitute ② in ①, ~~with the help of P~~

①  $\Rightarrow T(n) = 2 \left[ 2 \cdot T\left(\frac{n}{2}\right) + c \cdot \frac{n}{2} \right] + c \cdot n$

$= 2^2 \cdot T\left(\frac{n}{2^2}\right) + 2 \cdot c \cdot \frac{n}{2} + c \cdot n$

$T(n) = 2^2 \cdot T\left(\frac{n}{2^2}\right) + 2 \cdot c \cdot n \rightarrow ③$



Need to find  $T(n/2^2)$ :

Put ①  $n = n/2^2$  in ①

$$\text{①} \Rightarrow T(n/2^2) = 2 \cdot T(n/2^3) + c \cdot n/2^2$$

④

Put this ④ in ③:



$$\begin{aligned} ③ \Rightarrow T(n) &= 2^2 \left[ 2 \cdot T\left(\frac{n}{2^3}\right) + C \cdot \frac{n}{2^2} \right] + 2Cn \\ &= 2^3 \cdot T\left(\frac{n}{2^3}\right) + 2 \cdot C \cdot \frac{n}{2^2} + 2 \cdot Cn \end{aligned}$$

$T(n) = 2^3 \cdot T\left(\frac{n}{2^3}\right) + 3 \cdot C \cdot n$

→ ⑤

Keep on iterating,

At the  $i^{th}$  iteration,  
we will obtain, [from ①, ③ and ⑤]

$$T(n) = 2^i \cdot T\left(\frac{n}{2^i}\right) + i \cdot c \cdot n$$

⑥

Let us consider the base case:

$$T(1) = O(1) \Rightarrow T(1) = 1$$

In ⑥, we need to know,  $T(\frac{n}{2})$  to obtain the solution,

Since, we know the solution for the base case, i.e., when  $n=1$ ,

Let  $T(\frac{n}{2}) = T(1) = 1$

i.e.,  $\frac{n}{2} = 1 \Rightarrow n = 2^1$

take  $\log_2$  on both sides,

$$\log_2 n = \log_2 2^i$$

$$\log_2 n = i \cdot \log_2 2 = i \cdot 1$$

$$i = \log_2 n$$

So substitute,  $\frac{n}{2^i} = 1$  and  $i = \log_2 n$   
 (1) in (6)

$$(6) \Rightarrow T(n) = 2^{\log_2 n} \cdot T(1) + \log_2 n \cdot c \cdot n$$

$$a^{\log_c b} = b^{\log_c a}$$

$$2^{\log_2 n} = n^{\log_2 2} = n^1$$

$$T(n) = n \cdot T(1) + c \cdot n \cdot \log_2 n$$

$$T(1) = 1$$

$$T(n) = \underbrace{n}_{\text{Biggest one}} + c \cdot n \cdot \log_2 n$$

Biggest one in  $n \log_2 n$ .

$$\text{So, } T(n) = n + c \cdot n \cdot \log_2 n \in O(n \log_2 n)$$

$$\boxed{T(n) = O(n \log_2 n)}$$

$$\textcircled{2} \quad T(n) = \begin{cases} 3 \cdot T(\frac{n}{4}) + n, & \text{if } n > 1 \\ \textcircled{1} \quad \theta(1) & \text{, if } n = 1 \end{cases}$$

Base Case :  $\theta(1) \Rightarrow T(1) = \theta(1) = 1$

Recursive Case :

$$T(n) = 3 \cdot T\left(\frac{n}{4}\right) + n \rightarrow \textcircled{1}$$

↑  
need to find

Put  $n = \frac{n}{4}$  in ①.

$$① \Rightarrow T\left(\frac{n}{4}\right) = 3 \cdot T\left(\frac{n}{4^2}\right) + \frac{n}{4}$$

②

Put this ② in ①

$$① \Rightarrow T(n) = 3 \left[ 3 \cdot T\left(\frac{n}{4^2}\right) + \frac{n}{4} \right] + n$$

$$T(n) = 3^2 T\left(\frac{n}{4}\right) + \frac{3}{4}n + n$$

↓      ↗ ③

Need to Find  $T\left(\frac{n}{4}\right)$

So put  $n = \frac{n}{4}$  in ①

$$\textcircled{1} \Rightarrow T\left(\frac{n}{4}\right) = 3 \cdot T\left(\frac{n}{4^2}\right) + \frac{n}{4^2}$$

↗ ④

Substitute this ④

$$③ \Rightarrow T(n) = 3^2 \left[ 3 \cdot T\left(\frac{n}{4}\right) + \frac{n}{4^2} \right] + n$$

$$+ \frac{3}{4} n + n$$

$$T(n) = 3^3 \cdot T\left(\frac{n}{4^3}\right) + \left(\frac{3}{4}\right)^2 \cdot n + \left(\frac{3}{4}\right) \cdot n + \left(\frac{3}{4}\right) \cdot n$$

⑤

If we keep on Substituting,  
In general, [from ①, ③ & ⑤]

$$T(n) = 3^i \cdot T\left(\frac{n}{4}^i\right) + \left(\frac{3}{4}\right)^{i-1} \cdot n + \left(\frac{3}{4}\right)^{i-2} \cdot n \\ + \dots + \left(\frac{3}{4}\right)^1 \cdot n + \left(\frac{3}{4}\right)^0 \cdot n$$

⑥  $\rightarrow$

$$T(n) = 3^i \cdot T\left(\frac{n}{4}\right) + n \cdot \left[ \left(\frac{3}{4}\right)^0 + \left(\frac{3}{4}\right)^1 + \dots + \left(\frac{3}{4}\right)^{i-1} \right]$$

$\boxed{T(n) = 3^i \cdot T\left(\frac{n}{4}\right) + n \cdot \sum_{j=0}^{i-1} \left(\frac{3}{4}\right)^j}$

7

Base Case:  $\underline{\underline{T(1)}} = O(1) = 1$

$\underline{\underline{T\left(\frac{n}{4}\right)}} = \underline{\underline{T(1)}} (= 1)$

$$\boxed{\frac{n}{4^i} = 1} \Rightarrow n = 4^i$$

$$\log_4 n = \log_4 4^i \Rightarrow i = \log_4 n$$

So substitute  $\frac{n}{4^i} = 1$  &  $i = \log_4 n$  in ⑦

$$\begin{aligned}
 & \text{⑦} \Rightarrow T(n) = 3^{\log_4 n} + n \cdot \sum_{j=0}^{\log_4 n - 1} \left(\frac{3}{4}\right)^j \\
 & \quad \downarrow \quad \downarrow \\
 & \quad n^{\log_4 3} + n \cdot \sum_{j=0}^{\log_4 n - 1} \left(\frac{3}{4}\right)^j \\
 & T(n) = n^{0.79} + n \cdot \sum_{j=0}^{\log_4 n - 1} \left(\frac{3}{4}\right)^j
 \end{aligned}$$



By considering the formula

$$\sum_{i=0}^{\infty} x^i = \frac{1}{1-x}$$

Only if  $x < 1$

For any large 'n' value,

$$\sum_{j=0}^{\log_4 n - 1} \left(\frac{3}{4}\right)^j = \sum_{j=0}^{\infty} \left(\frac{3}{4}\right)^j, \text{ Here } r = \frac{3}{4}$$

$$= \frac{1}{1 - \frac{3}{4}} = \frac{1}{\left(\frac{1}{4}\right)} = 4$$

$$\boxed{\sum_{j=0}^{\log_4 n - 1} \left(\frac{3}{4}\right)^j = 4} \quad \text{for large } n \text{ value}$$

So  $T(n)$  become.



$$\begin{aligned} T(n) &= n^{0.79} + n \cdot 4 \\ &= \underbrace{4n + n^{0.79}}_{\text{max in } n} \in O(n) \end{aligned}$$

$T(n) = O(n)$



$$\textcircled{3} \quad T(n) = \begin{cases} 7 \cdot T\left(\frac{n}{2}\right) + n^2, & \text{if } n > 1 \\ O(1), & \text{if } n = 1 \end{cases}$$

Base case:  $T(1) = O(1) = 1$

Recursive Case:  $T(n) = f \cdot T(n/2) + n^2$

$n = n/2$  in ①

 $\Rightarrow T(n/2) = f \cdot T(n/2^2) + (\frac{1}{2}) \cdot n^2$  ②

Part-② is ①.

$$\textcircled{1} \Rightarrow T(n) = 7 \cdot \left[ 7 \cdot T\left(\frac{n}{2}\right) + \left(\frac{1}{2}\right) \cdot n^2 \right] + n^2$$

$$T(n) = 7^2 \cdot T\left(\frac{n}{2}\right) + \left(\frac{7}{4}\right) \cdot n^2 + \left(\frac{7}{4}\right) \cdot n^2$$

→ ③

$n = n/2^2$  in ①:

$$① \Rightarrow T(n/2^2) = T \cdot T\left(\frac{n}{2^3}\right) + \left(\frac{1}{4}\right) \cdot n^2$$

↓  
 ~~$T + C_1 T + C_2 T + \dots + C_k T$~~   $\hookrightarrow ④$

put ④ in ③:

$$③ \Rightarrow T(n) = T^2 \left[ T \cdot T\left(\frac{n}{2^3}\right) + \left(\frac{1}{4}\right)^2 n^2 \right] \\ + \left(\frac{1}{4}\right) \cdot n^2 + \left(\frac{1}{4}\right)^0 \cdot n^2$$

$$T(n) = T^3 \cdot T\left(\frac{n}{2^3}\right) + \left(\frac{7}{4}\right)^2 \cdot n^2$$

$$+ \left(\frac{7}{4}\right)^1 \cdot n^2$$

$$+ \left(\frac{7}{4}\right)^0 \cdot n^2$$

1 → ⑤

From ①, ③ & ⑤,

In general

$$T(n) = \frac{1}{2} \cdot T\left(\frac{n}{2}\right) + \left(\frac{7}{4}\right)^0 n^2 + \left(\frac{7}{4}\right)^1 n^2 + \dots + \left(\frac{7}{4}\right)^{i-1} n^2$$

$$T(n) = \frac{1}{2} \cdot T\left(\frac{n}{2}\right) + n^2 \left[ \left(\frac{7}{4}\right)^0 + \left(\frac{7}{4}\right)^1 + \dots + \left(\frac{7}{4}\right)^{i-1} \right]$$

$$T(n) = 7 \cdot T\left(\frac{n}{2}\right) + n^2 \cdot \sum_{j=0}^{i-1} (74)^j$$

Let  $\frac{n}{2^i} = 1 \Rightarrow n = 2^i$

$$\Rightarrow \log_2 n = \log_2 2^i$$

$$\Rightarrow i = \log_2 n$$

$$T(n) = 7^{\log_2 n} \cdot T(1) + n^2 \cdot \sum_{j=0}^{i-1} (74)^j$$

$$T(n) = n^{\log_2 7} + n^2 \cdot \sum_{j=0}^{i-1} \left(\frac{7}{4}\right)^j$$

$\sum_{i=0}^n x^i = \frac{x^{n+1}-1}{x-1}$  for  $x > 1$

$$\sum_{j=0}^{i-1} \left(\frac{7}{4}\right)^j = \frac{\left(\frac{7}{4}\right)^{i-1+1}-1}{\frac{7}{4}-1} = \frac{\left(\frac{7}{4}\right)^i-1}{\left(\frac{7}{4}\right)-1}$$

When  $i = \log_2 n \Rightarrow \frac{\log_2 n}{\frac{7}{4} - 1}$  put this in eq.  $T(n)$

$$\begin{aligned}
 T(n) &= n^{2.81} + n^2 \cdot \left[ \frac{\left(\frac{7}{4}\right)^{\log_2 n} - 1}{\frac{7}{4} - 1} \right] \\
 &= n^{2.81} + \frac{3}{4} \cdot n^2 \left[ n^{\log_2 \left(\frac{7}{4}\right)} - 1 \right]
 \end{aligned}$$

$$= n^{2.81} + \frac{3}{4} n^2 \cdot n^{0.81} - \cancel{\frac{3}{4} n^2}$$

negative terms  
we can ignore

$$T(n) = n^{2.81} + \frac{3}{4} n^{2.81} \in O(n^{2.81})$$

$T(n) = O(n^{2.81})$

# Solving Recurrences - Recursion Tree Method

# Recursion Tree Method:

(For Solving Recurrences)

Examples:

$$\textcircled{1} \quad T(n) = \begin{cases} 2 \cdot T(n/2) + c \cdot n, & \text{if } n > 1 \\ O(1) & \text{, if } n = 1 \end{cases}$$

$$\begin{array}{lcl} \text{No. of Sub problems} & = 2 & \left| \begin{array}{l} \Rightarrow a = 2 \\ \Rightarrow b = 2 \end{array} \right. \\ \text{Sub problem size} & = n/2 & \end{array}$$

Recursive Case:

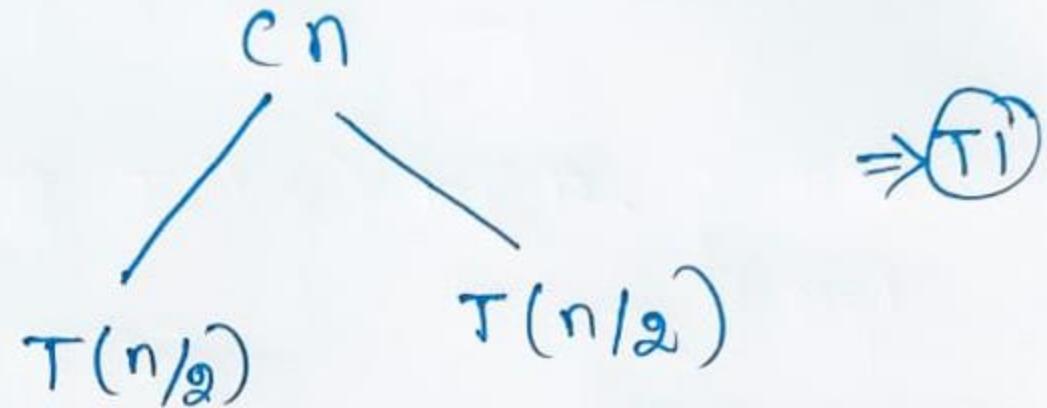
$$T(n) = 2 \cdot T\left(\frac{n}{2}\right) + c \cdot n \quad \text{①}$$

→ Complexity for divide & Conquer.

→ Represent  $T(n)$  as ~~binary~~ Tree  
with Root as  $c \cdot n$  & The 2 children  
are  $T\left(\frac{n}{2}\right)$

→ The no. of children is  
the no. of sub problems.

$$T(n) \Rightarrow$$



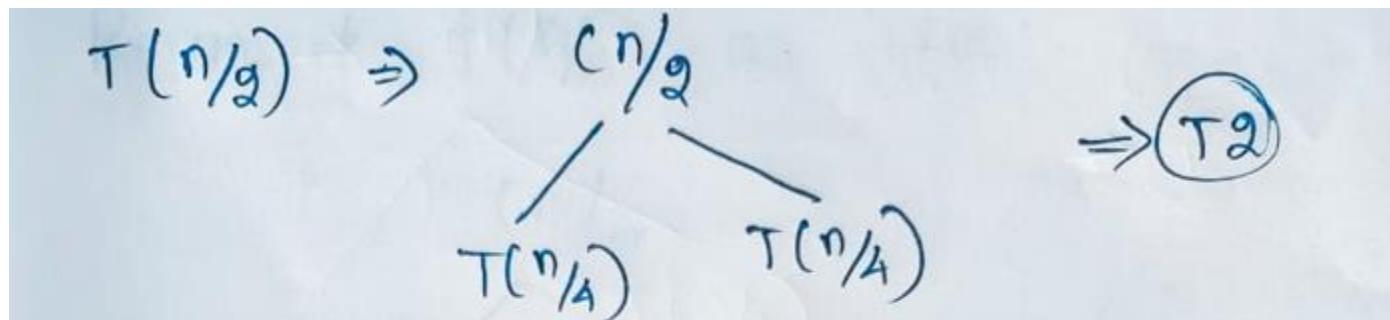
Now, Need to find  $T(n/2)$ :

Substitute  $n = n/2$  in ①;

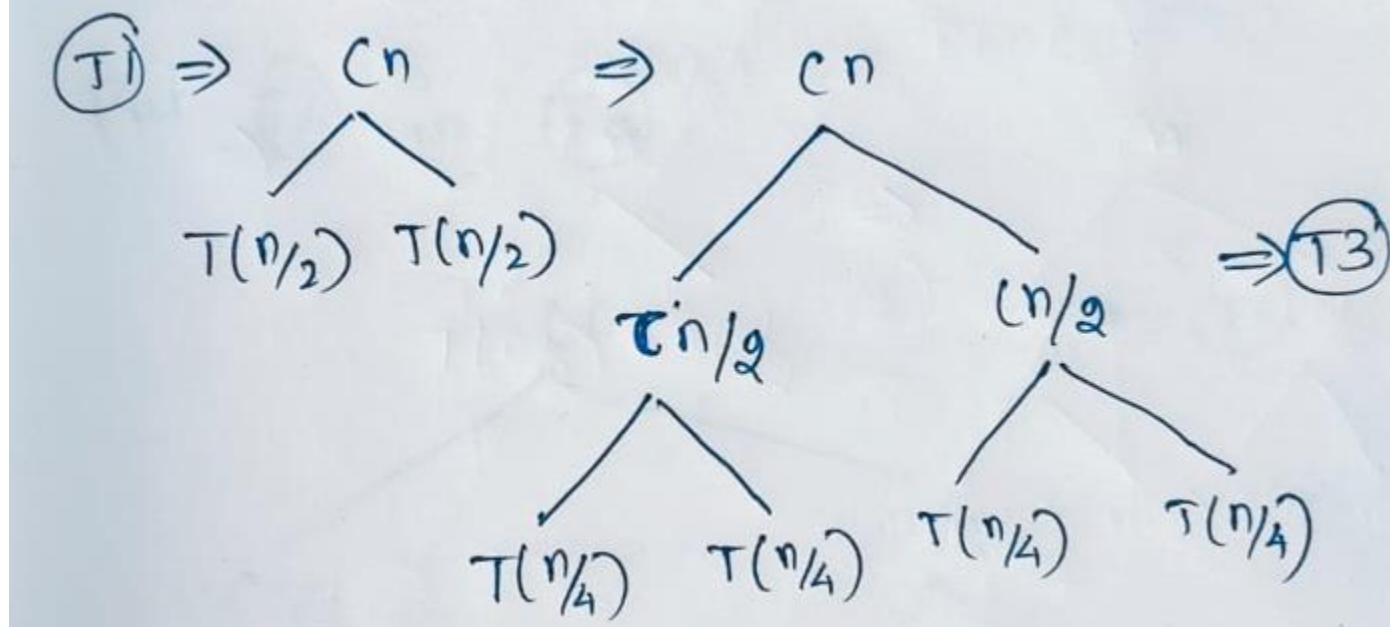
$$① \Rightarrow T\left(\frac{n}{2}\right) = 2 \cdot T\left(\frac{n/2}{2}\right) + C \cdot \frac{n}{2}$$

$$\boxed{T\left(\frac{n}{2}\right) = 2 \cdot T\left(\frac{n/2}{2}\right) + C \cdot \frac{n}{2}} \quad \hookrightarrow ②$$

Now Represent this ② as tree:



Substitute thus  $T_2$  in  $T_1$ :





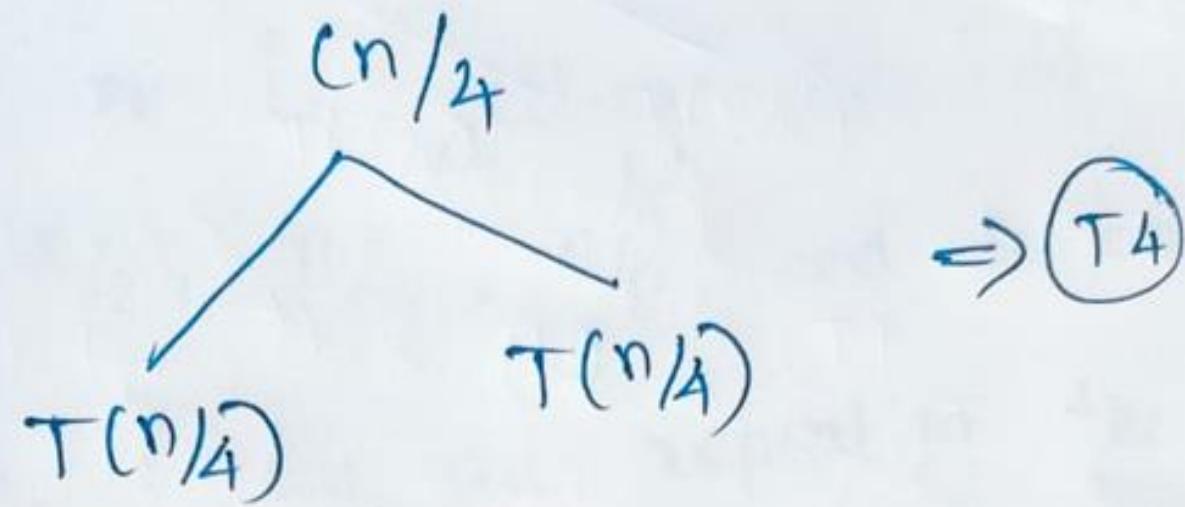
Now Need to Find  $T(n/4)$  :

$n = n/4$  in ①

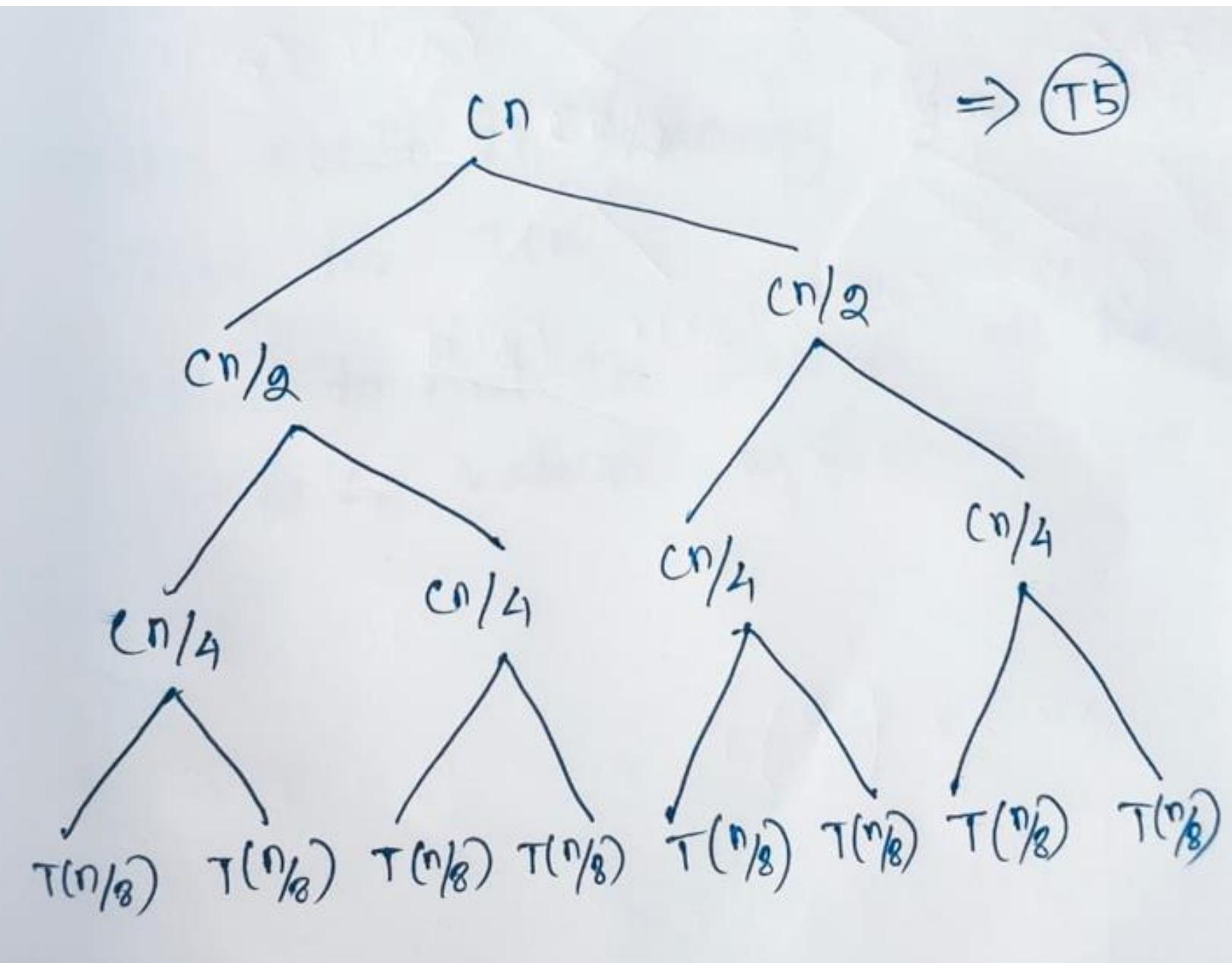
$$\textcircled{1} \Rightarrow T(n/4) = 2 \cdot T\left(\frac{n/4}{2}\right) + C \cdot n/4$$

$$T(n/4) = 2 \cdot T(n/8) + Cn/4 \rightarrow \textcircled{3}$$

Represent  $T(n/4)$  as Tree:



Put  $T_4$  in  $T_3$ :



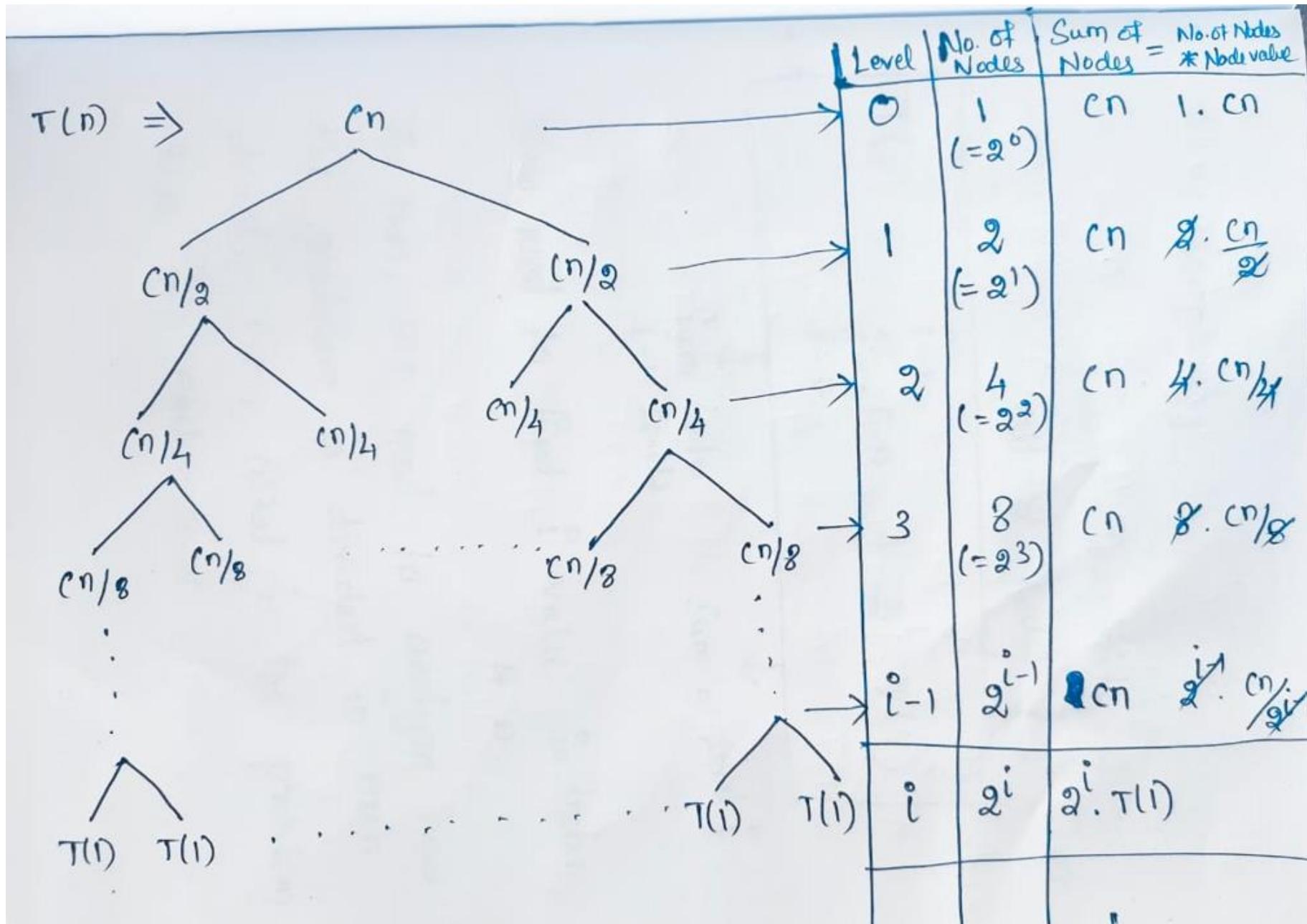


→ In each level of the tree, the size  $n$  is getting reduced. as  $n, n/2, n/4, n/8$  and so on.

→ So, if we are repeating the steps,  
, once we will get a tree with  
all the leaf nodes are  $T(1)$ .

→ We obtain the following generic  
Tree for  $T(n)$ .

→ Need to find the sum of all the node's values. as follows.





## Time Complexity

$T(n)$  = Sum of Complexity of  
all the nodes in the Tree.

$$T(n) = \sum_{j=0}^{i-1} c \cdot n + 2^i \cdot T(i)$$

↓                              ↓  
Sum upto                      Sum at level  $i$   
 $i-1$  levels



Now need to find  $i$  value in terms of  $n$ .

For this, we need to analyze how the problem is divided in each level, i.e., what is the problem size in each level.

0	1	2	3	...	i
$n$	$\frac{n}{2}$	$\frac{n}{4}$	$\frac{n}{8}$	...	$\frac{n}{2^i}$
$\frac{n}{2^0}$	$\frac{n}{2^1}$	$\frac{n}{2^2}$	$\frac{n}{2^3}$	...	$\frac{n}{2^i}$ equal to 1

At  $i^{th}$  level, as per our tree,  
leaf nodes are  $T(i)$ , i.e., at  
@  $i^{th}$  level the problem size is 1

$$i \cdot r, \quad \frac{n}{2^i} = 1 \Rightarrow n = 2^i$$

$$\log_2 n = \log_2 2^i$$

$$i = \log_2 n$$

$$T(n) = \sum_{j=0}^{\log_2 n - 1} c \cdot n + 2^{\log_2 i} \cdot T(i)$$



$$T(n) = c \cdot n \sum_{j=0}^{\log_2 n - 1} 1 + n^{\log_2 2} \cdot T(1)$$

$$T(n) = c \cdot n \sum_{j=0}^{\log_2 n - 1} 1 + n$$

we know that,  $\sum_{l=a}^b 1 \Rightarrow b - a + 1$

$$\sum_{j=0}^{\log_2 n - 1} 1 = \log_2 n + 1 = \log_2 n.$$



$$T(n) = c \cdot n \cdot \log n + n \in O(n \log n)$$

Big one is  $n \log n$

$$T(n) = O(n \log_2 n)$$



$$\textcircled{2} \quad T(n) = \begin{cases} 3 \cdot T(n/4) + n, & \text{if } n > 1 \\ O(1), & \text{if } n = 1 \end{cases}$$

No. of Sub problems = 3

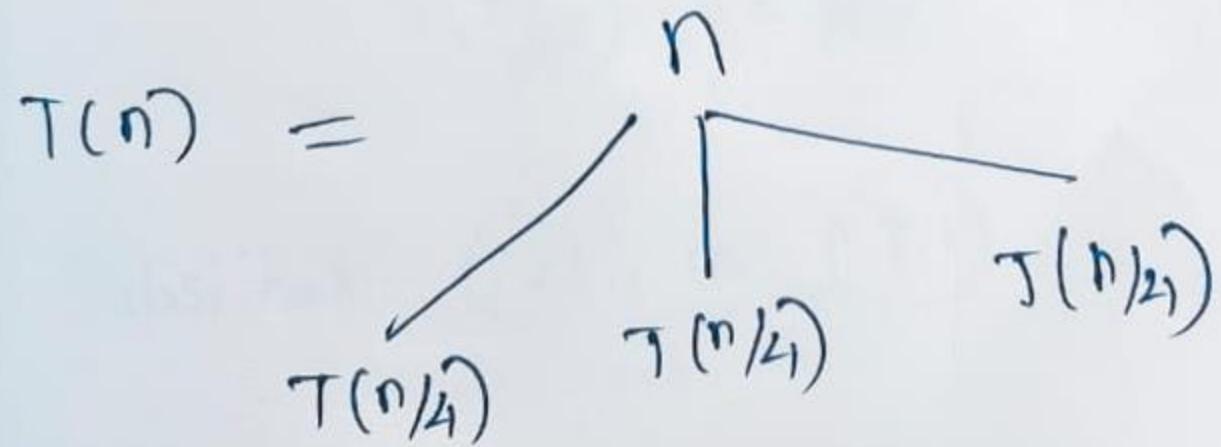
Sub problem size =  $n/4$

Complexity for divide & conquer =  $n$

$$T(n) = 3 \cdot T(n/4) + n$$

→ ①

Corresponding Tree:



→ T1



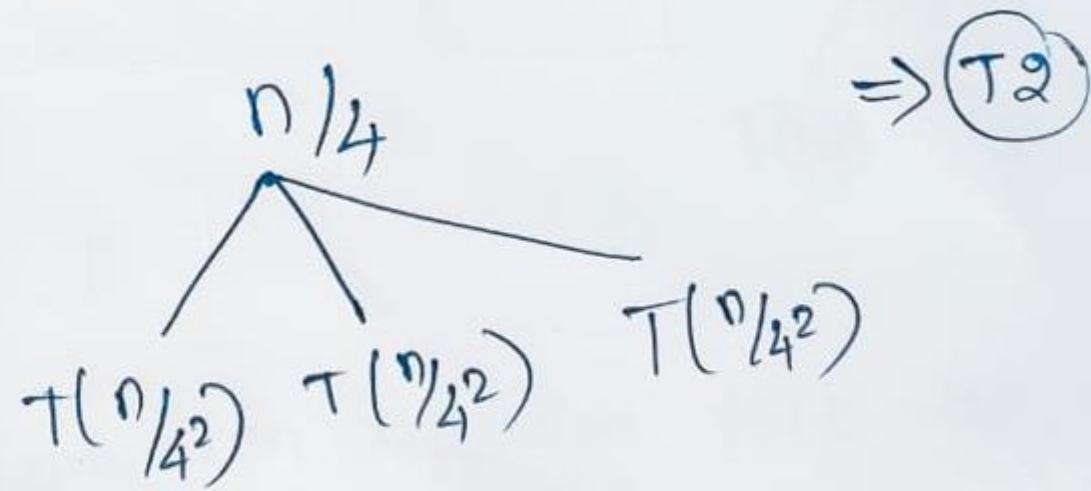
Need to find  $T(n/4)$ :

$n = n/4$  in ①:

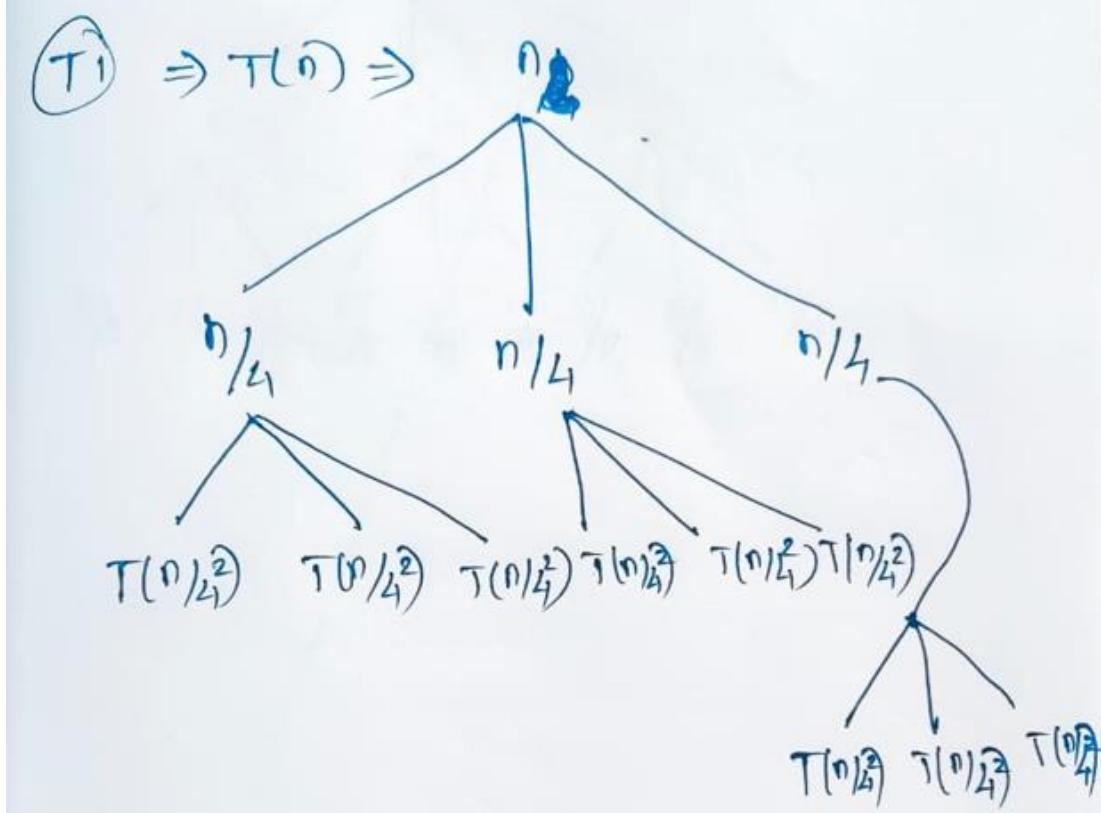
$$T(n/4) = 3 \cdot T\left(\frac{n/4}{4}\right) + n/4$$

$$T(n/4) = 3 \cdot T\left(\frac{n/2}{4^2}\right) + n/4$$

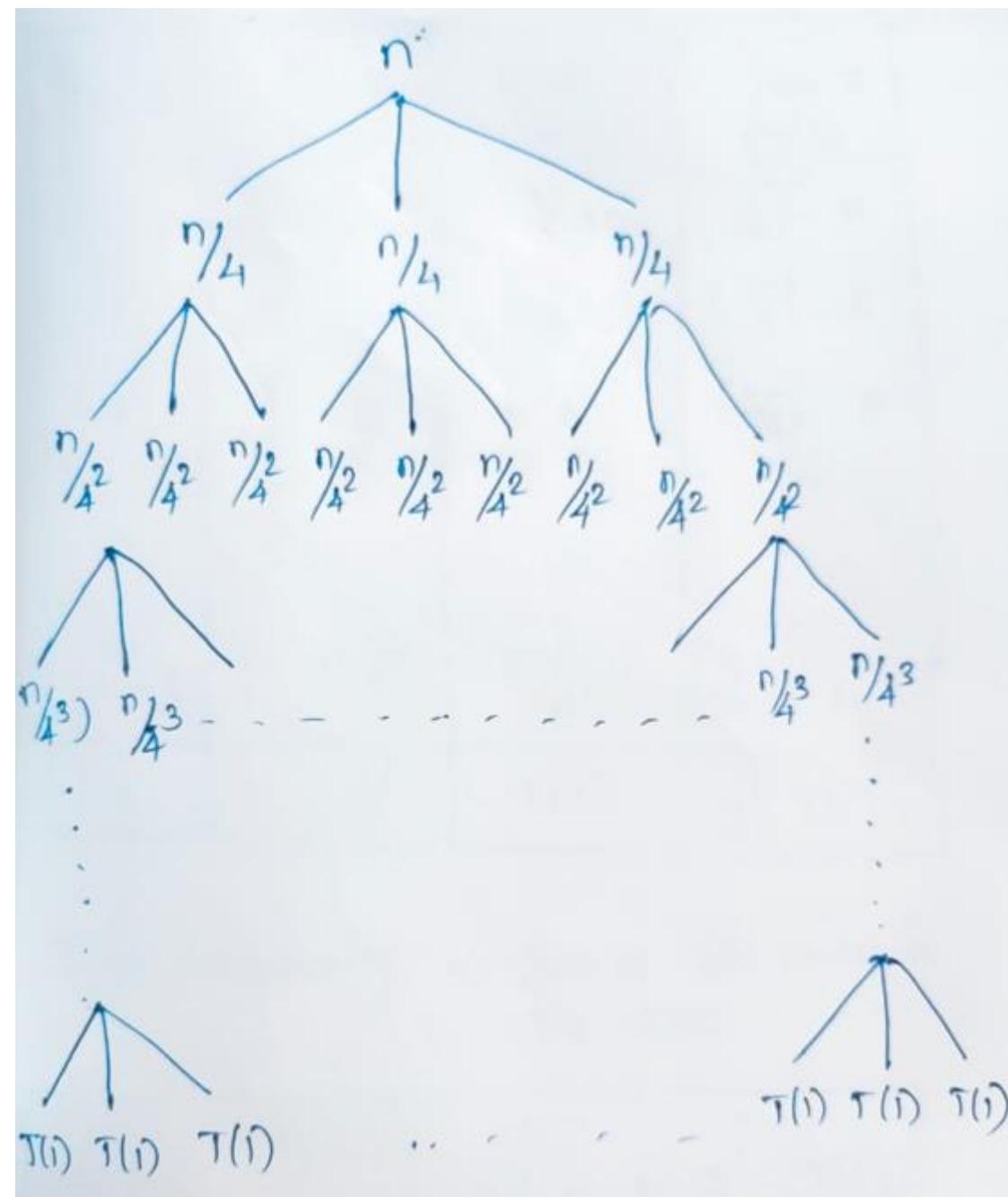
Corresponding Tree:



Substitute  $T_2$  in  $T_1$



If we keep on repeating this step,  
we will obtain the following generic  
tree :



Level	No. of Nodes	Node value	Sum of nodes
0	1	$n/4^0$	$(\frac{3}{4})^0 \cdot n$
1	3	$n/4^1$	$(\frac{3}{4})^1 \cdot n$
2	9	$n/4^2$	$(\frac{3}{4})^2 \cdot n$
:	:	:	
$i-1$	$3^{i-1}$	$n/4^{i-1}$	$(\frac{3}{4})^{i-1} \cdot n$
$i$	$3^i$	$T(i)$	$3^i \cdot T(i)$

Time Complexity  $\Rightarrow$  Sum of all nodes in the tree.

$$T(n) = \sum_{j=0}^{i-1} \left(\frac{3}{4}\right)^j \cdot n + 3^i \cdot T(i)$$

To Find  $i$ : (need to consider the problem size in each level)

$$\begin{array}{cccccc} 0 & 1 & 2 & \dots & i \\ n & \frac{n}{4} & \frac{n}{4^2} & \dots & \frac{n}{4^i} \end{array}$$



At  $i$ th level, problem size equal to 1

$$\text{So, } \frac{n}{4^i} = 1 \Rightarrow n = 4^i$$

$$\log_4 n = \log_4 4^i$$

$$i = \log_4 n$$

$$T(n) = \sum_{j=0}^{\log_4 n - 1} \left(\frac{3}{4}\right)^j \cdot n + 3^{\log_4 n} \cdot T(1)$$

$$= n \cdot \sum_{j=0}^{\log_4 n - 1} \left(\frac{3}{4}\right)^j + n^{\log_4 3} \cdot (1)$$

For large  $n$ ,

$$\sum_{i=0}^{\infty} x^i = \frac{1}{1-x}$$



$$T(n) = n \cdot \left( \frac{1}{1 - 3/4} \right) + n^{0.81}$$

$$T(n) = \underbrace{4 \cdot n + n^{0.81}}_{\text{Big one } n^1} \in O(n)$$

$$\boxed{T(n) = O(n)}$$



### Problems:

$$\textcircled{3} \quad T(n) = \begin{cases} 2 \cdot T(n/5) + n & , \text{ if } n > 1 \\ O(1) & , \text{ if } n = 1 \end{cases}$$

$$\textcircled{4} \quad T(n) = \begin{cases} T(n-1) + T(n-2) + \dots & , \text{ if } n \geq 2 \\ O(1) & , \text{ if } n=1 \text{ or } n=2 \end{cases}$$

$$\textcircled{5} \quad T(n) = \begin{cases} T(n/3) + T(2n/3) + n & , \text{ if } n > 1 \\ O(1) & , \text{ if } n = 1 \end{cases}$$

# Solving Recurrences – Using Master Theorem



## MASTER THEOREM:

(for Solving Recurrences)

Let  $a \geq 1$  and  $b \geq 1$ . Let  $f(n)$  be a function. Let  $T(n)$  be defined on non-negative integers by the recurrence.

$$T(n) = a \cdot T(n/b) + f(n)$$



$T(n)$  has the following asymptotic bounds.

Case - 1 :

If  $F(n) = O(n^{(\log_b a) - \varepsilon})$  for some constant  $\varepsilon > 0$ , then the solution

$$T(n) = \Theta(n^{\log_b a})$$



Case - 2:

If  $f(n) = \Theta(n^{\log_b a})$ , then  
the solution

$$T(n) = \Theta(n^{\log_b a} \cdot \log_b n)$$

Case - 3:

If  $f(n) = \sum n^{\log_b a + \epsilon}$  for

Some Constant  $\epsilon > 0$ ,

AND If  $a \cdot F(n/b) \leq c \cdot f(n)$  for

Some Constant  $c < 1$  and all  
Sufficient large 'n'.

If both conditions are true,  
then the solution

$$T(n) = \Theta(f(n))$$



## Problems :

$$\textcircled{1} \quad T(n) = 2 \cdot T(n/4) + 1$$

Step-1: Identify  $a, b, f(n)$

$$a = 2 \quad b = 4 \quad f(n) = 1$$

Step-2: Find  $n^{\log_b a}$

$$n^{\log_b a} = n^{\log_4 2} = n^{0.5}$$

Step-3: Represent  $f(n)$  as  $n$  to the power of something.

$$\begin{aligned}
 f(n) &= 1 = n^0 \\
 &= n^{0.5 - 0.5} \\
 &= n^{\log_4 2 - 0.5} \Rightarrow n^{\log_b a - \epsilon} \\
 f(n) &= O(n^{\log_4 2 - 0.5}) \Rightarrow O(n^{\log_b a - \epsilon}) \\
 f(n) &= O(n^{\log_b a - \epsilon}) \text{ with } \epsilon = 0.5 > 0
 \end{aligned}$$



This belongs to Case-1: So the Solution for case-1 is  $\Theta(n^{\log_5 9})$

So the Solution for this recurrence is:

$$T(n) = \Theta(n^{\log_5 9})$$

$$= \Theta(n^{\log_4 8}) = \Theta(n^{0.5})$$

$$\boxed{T(n) = \Theta(\sqrt{n})}$$

$$\textcircled{2} \quad T(n) = 2 \cdot T(n/4) + \sqrt{n}$$

Step-1: Identify  $a, b, f(n)$

$$a = 2 \quad b = 4 \quad f(n) = \sqrt{n}$$

Step-2: Find  $n^{\log_b a}$

$$n^{\log_b a} = n^{\log_4 2} = n^{0.5}$$



Step-3: Find the case by checking  $f(n)$

$$f(n) = \sqrt{n} = n^{0.5}$$

$$= n^{\log_4 2} = \Theta(n^{\log_4 2})$$

$$\boxed{f(n) = \Theta(n^{\log_4 2})} \Rightarrow \Theta(n^{\log_b a})$$

This belongs to case-2:

Case-2 Solution:  $T(n) = \Theta(n^{\log_b^a} \cdot \log n)$

$$T(n) = \Theta(n^{\log_4 2} \cdot \log n)$$

$$T(n) = \Theta(\sqrt{n} \cdot \log n)$$

$$\textcircled{3} \quad T(n) = 2 \cdot T(n/4) + n$$

Step 1:  $a = 2$      $b = 4$      $f(n) = n$

Step 2:  $n^{\log_b a} = n^{\log_4 2} = n^{0.5}$

Step 3:  $f(n) = n^1 = n^{0.5+0.5}$

$$f(n) = n^{\log_4 2 + 0.5} \quad \underline{\text{add log}}$$

$$F(n) = \Omega(n^{\log_4 2 + 0.5})$$

↑

$$\hookrightarrow F(n) = \Omega(n^{\log_b a + \epsilon})$$

with  $\epsilon = 0.5 > 0.$

So this may belongs to case 3:  
 But we need to check one more  
 condition for case 3.



Need to verify

$$a \cdot f(n/2) \leq c \cdot f(n) \text{ for } c < 1$$

$$2 \cdot f(n/4) \leq c \cdot f(n)$$

$\xrightarrow{\quad}$  need to find.

$$\text{if } f(n) = n, \text{ then } f(n/4) = n/4$$

$$2. \frac{n}{4} \leq c \cdot \underline{F(n)}$$

$$2. \frac{n}{4} \leq c \cdot n$$

$$\left(\frac{1}{2}\right)n \leq c \cdot n$$

At worst case :  $\frac{1}{2}n = c \cdot n$

i.e.,  $c = 0.5 < 1$

So 2nd condition also true.



So, this recurrence belongs to case 3:

case 3 Solution is:  $T(n) = \Theta(f(n))$

$$T(n) = \Theta(n)$$

$$④ T(n) = 2 \cdot T(n/4) + n^2$$

$$a = 2 \quad b = 4 \quad f(n) = n^2.$$

$$n^{\log_b a} = n^{\log_4 2} = n^{0.5}$$

$$f(n) = n^2 = n^{0.5 + 1.5} = \Omega(n^{\log_4 2 + 1.5})$$

$$\boxed{f(n) = \Omega(n^{\log_4 2 + 1.5})}$$

$$\hookrightarrow f(n) = \Omega(n^{\log_b a + \epsilon})$$

with  $\epsilon = 1.5 > 0$ .

May belongs to case 3:

Need to verify:

$$a \cdot f(n/b) \leq c \cdot f(n) \text{ for } c < 1$$

$$2 \cdot f(n/4) \leq c \cdot f(n)$$

if  $f(n) = n^2$  then  $f(n/4) = n^2/16$

$$2 \cdot \frac{n^2}{16} \leq c \cdot n^2$$



$$\left(\frac{1}{8}\right) \cdot \underline{n^2} \leq c \cdot \underline{n^2}$$

$$\boxed{c = \frac{1}{8}} < 1 \Rightarrow \text{True.}$$

So belongs to Case 3:

Case 3 Solution:  $T(n) = \Theta(f(n))$

$$\text{So : } \boxed{T(n) = \Theta(n^2)}$$

$$\textcircled{5} \quad T(n) = 2 \cdot T\left(\frac{n}{2}\right) + n$$

$$a=2 \quad b=2 \quad f(n)=n$$

$$n^{\log_b a} = n^{\log_2 2} = n^1$$

$$f(n) = n = n^1 = \Theta(n^1) = \Theta(n^{\log_2 2})$$

$$f(n) = \Theta(n^{\log_b a}),$$



So this belongs to case 2:

Case 2 Solution:  $T(n) = \Theta(n^{\log_3 2} \cdot \log n)$

$$T(n) = \Theta(n \log_2 2 \cdot \log n)$$

$$\boxed{T(n) = \Theta(n \cdot \log n)}$$

## Additional Problems:

$$\textcircled{6} \quad T(n) = 9 \cdot T\left(\frac{n}{3}\right) + n$$

$$\textcircled{7} \quad T(n) = 8 \cdot T\left(\frac{2n}{3}\right) + 1$$

$$\textcircled{8} \quad T(n) = 8 \cdot T\left(\frac{n}{2}\right) + \Theta(n^2)$$

$$\textcircled{9} \quad T(n) = 7 \cdot T\left(\frac{n}{2}\right) + \Theta(n^2)$$

$$\textcircled{10} \quad T(n) = 3 \cdot T\left(\frac{n}{4}\right) + n \log_2 n$$



Solve using Recursion Tree Method:

$$\textcircled{5} \quad T(n) = \begin{cases} T\left(\frac{n}{3}\right) + T\left(\frac{2n}{3}\right) + n, & n > 1 \\ \Theta(1) & n = 1 \end{cases}$$

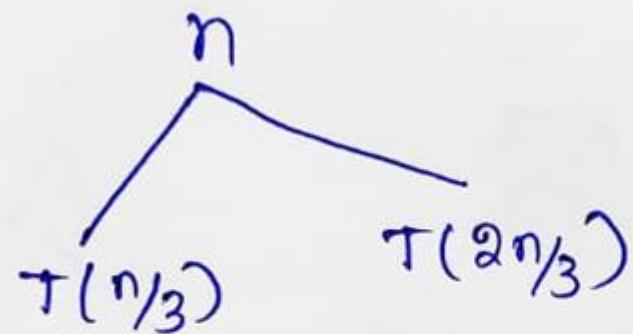
No. of Sub problems = 2

Sub problems Size =  $\frac{n}{3}$  &  $\frac{2n}{3}$

Complexity for divide & combine = n

$$T(n) = T\left(\frac{n}{3}\right) + T\left(\frac{2n}{3}\right) + n$$

$$T(n) = T(n/3) + T(2n/3) + n$$



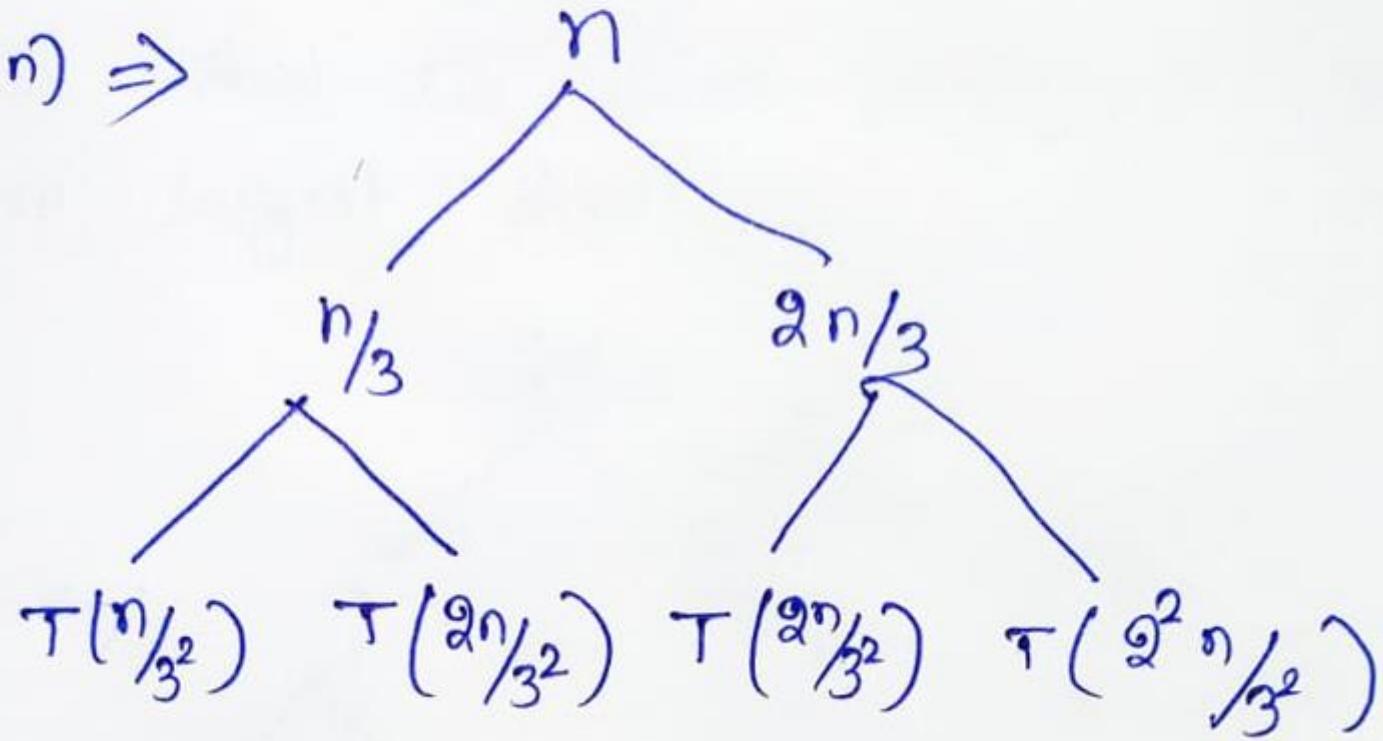
Need to find  $T(n/3)$  &  $T(2n/3)$  from  $T(n)$

$$T(n/3) = T(n/3^2) + T(2n/3^2) + n/3$$

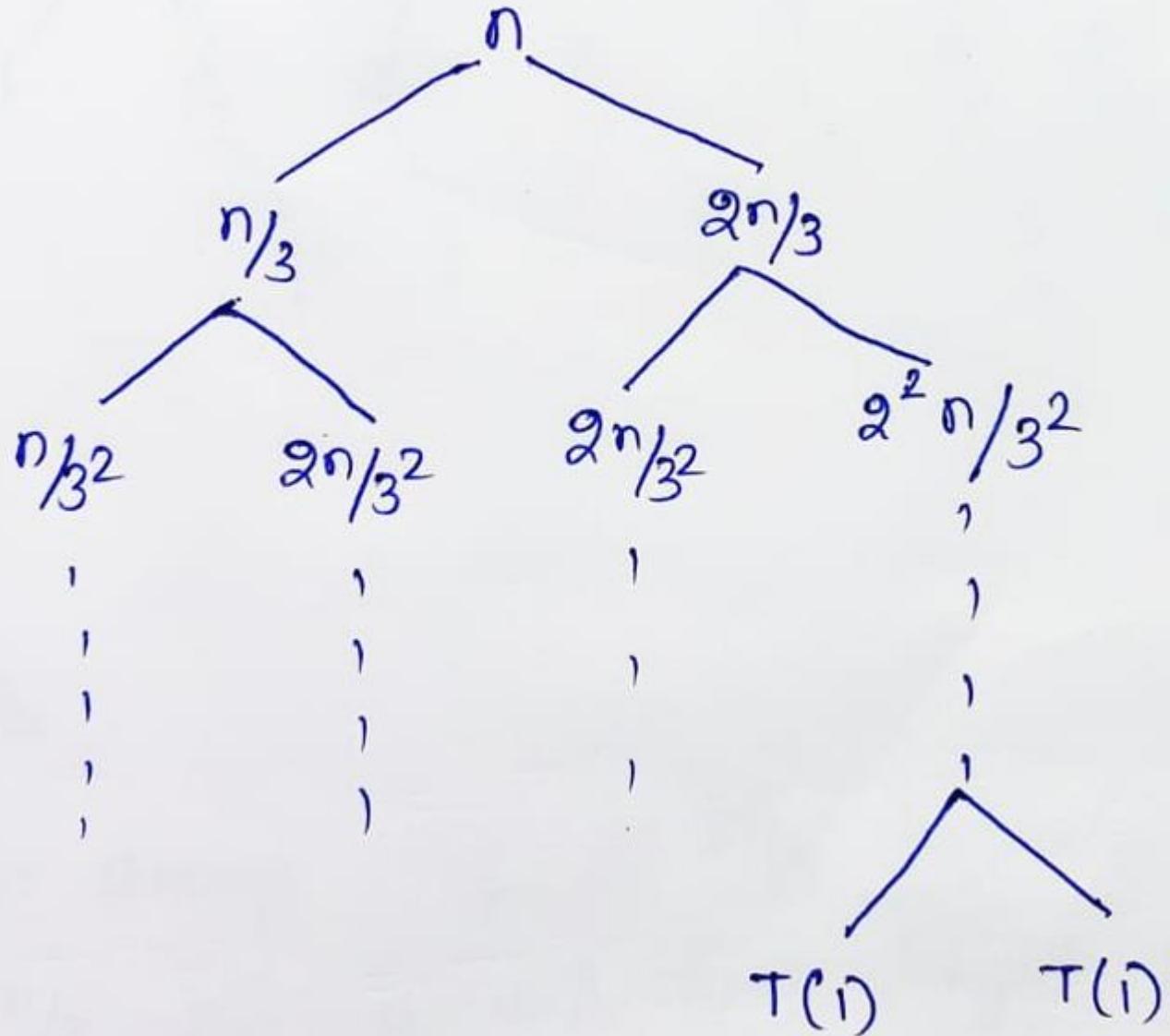
$$T(2n/3) = T(2n/3^2) + T(2^2 \cdot n/3^2) + 2n/3$$

By substituting these in  $T(n)$  tree,

$T(n) \Rightarrow$



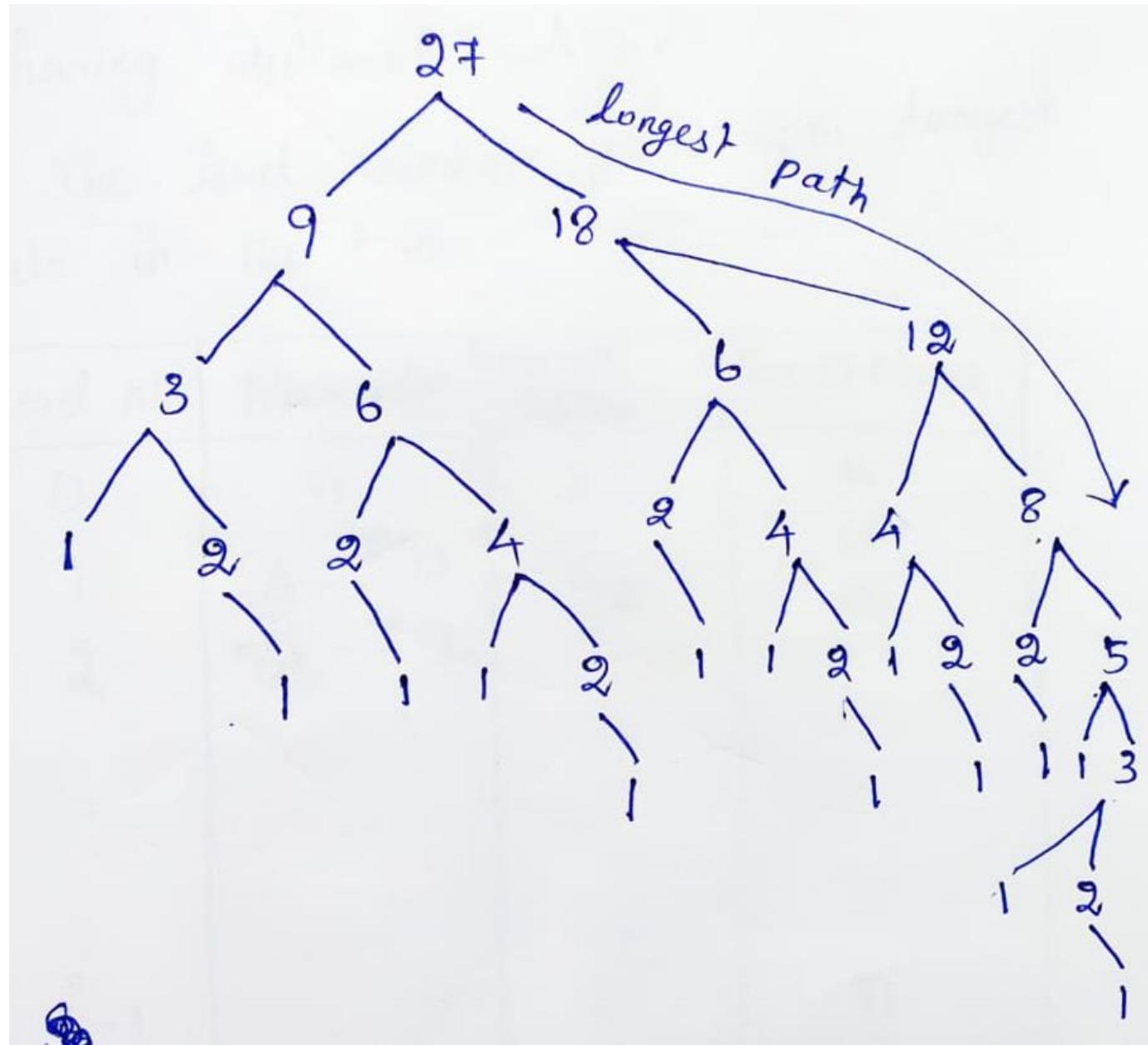
The generic tree become:





Take an example  $n=27$ , See how this size is getting reduced in each level. And see which branch will have longest depth.

So among  $n/3$  &  $2n/3$  branches,  $2n/3$  branch will have longest path.





We need to consider the longest path while finding sum of the nodes.

While drawing table, Node value cannot be written, since, the 2 branches are having different values.

The level number goes upto longest path in the tree.

Level No	Node value	No. of Nodes	Sum of Nodes
0	$n$	$2^0$	$n$
1	$n/3$	$2^1$	$n$
2	$n/3^2$	$2^2$	$n$
:	:	:	:
$i-1$		$2^{i-1}$	$n$
$i$	$T(i)$	$2^i$	$2^i \cdot T(i)$



To Find  $i$ :

$$\begin{array}{ccccccc} 0 & 1 & 2 & 3 & \dots & & \\ n & \frac{2n}{3} & \frac{2^2 n}{3^2} & \frac{2^3 n}{3^3} & \dots & \frac{2^i n}{3^i} & \end{array}$$

When the size  $n$  is divided into  $\frac{n}{3}$  &  $\frac{2n}{3}$ , we need to consider the bigger one,



At  $i^{\text{th}}$  level:  $\text{size} = \frac{2^i \cdot n}{3^i} = 1$

$$\left(\frac{2}{3}\right)^i \cdot n = 1 \Rightarrow n = \left(\frac{3}{2}\right)^i$$

Take  $\log_{3/2}$  on both sides:

$$\log_{3/2} n = \log_{3/2} \left(\frac{3}{2}\right)^i$$

$$i = \log_{3/2} n$$

Now need to find the Sum:

$$T(n) \leq \left[ \underset{0}{\text{Sum in}} + \underset{1}{\text{Sum in}} + \dots + \underset{i-1}{\text{Sum in}} \right] + \underset{i}{\text{Sum in}}$$

$$T(n) \leq [n + n + \dots + n] + 2^i \cdot T(i)$$

$$T(n) \leq \sum_{j=0}^{i-1} n + 2^i \cdot T(i)$$

$$T(n) \leq n \cdot \sum_{j=0}^{i-1} 1 + 2^i \cdot T(i) \quad \left| \begin{array}{l} \sum_{j=0}^n 1 = n+1 \end{array} \right.$$

$$T(n) \leq n \cdot (i-1+1) + 2^i \cdot T(1)$$

$$T(n) \leq n \cdot i + 2^i \cdot T(1)$$

$$i = \log_{3/2} n$$

$$T(1) = 1$$

$$T(n) \leq n \cdot \log_{3/2} n + 2^{\log_{3/2} n}$$

$$T(n) \leq n \cdot \log_{3/2} n + n^{\log_{3/2} 2}$$

max is  $n^{\log_{3/2} 2}$

Solution:

$$T(n) = O(n^{\log_{3/2} 2})$$

# Solving Recurrences – Problems in All Methods

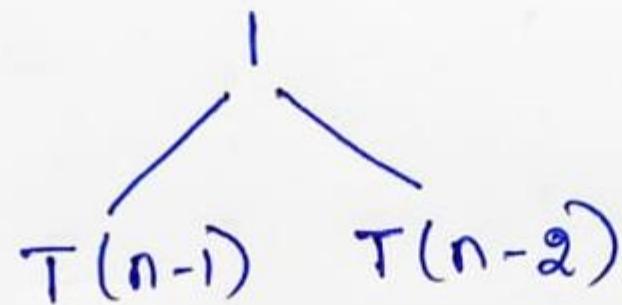
Using Recursion Tree Method:

$$\textcircled{b} \quad T(n) = \begin{cases} T(n-1) + T(n-2) + 1 & n > 2 \\ \theta(1) & n=1 \text{ or } n=2 \end{cases}$$

Recursive Case:

$$T(n) = T(n-1) + T(n-2) + 1$$

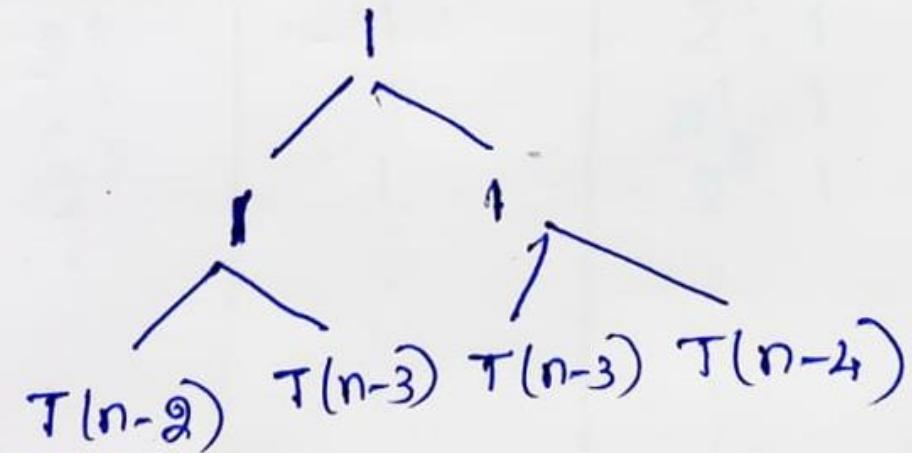
Tree:



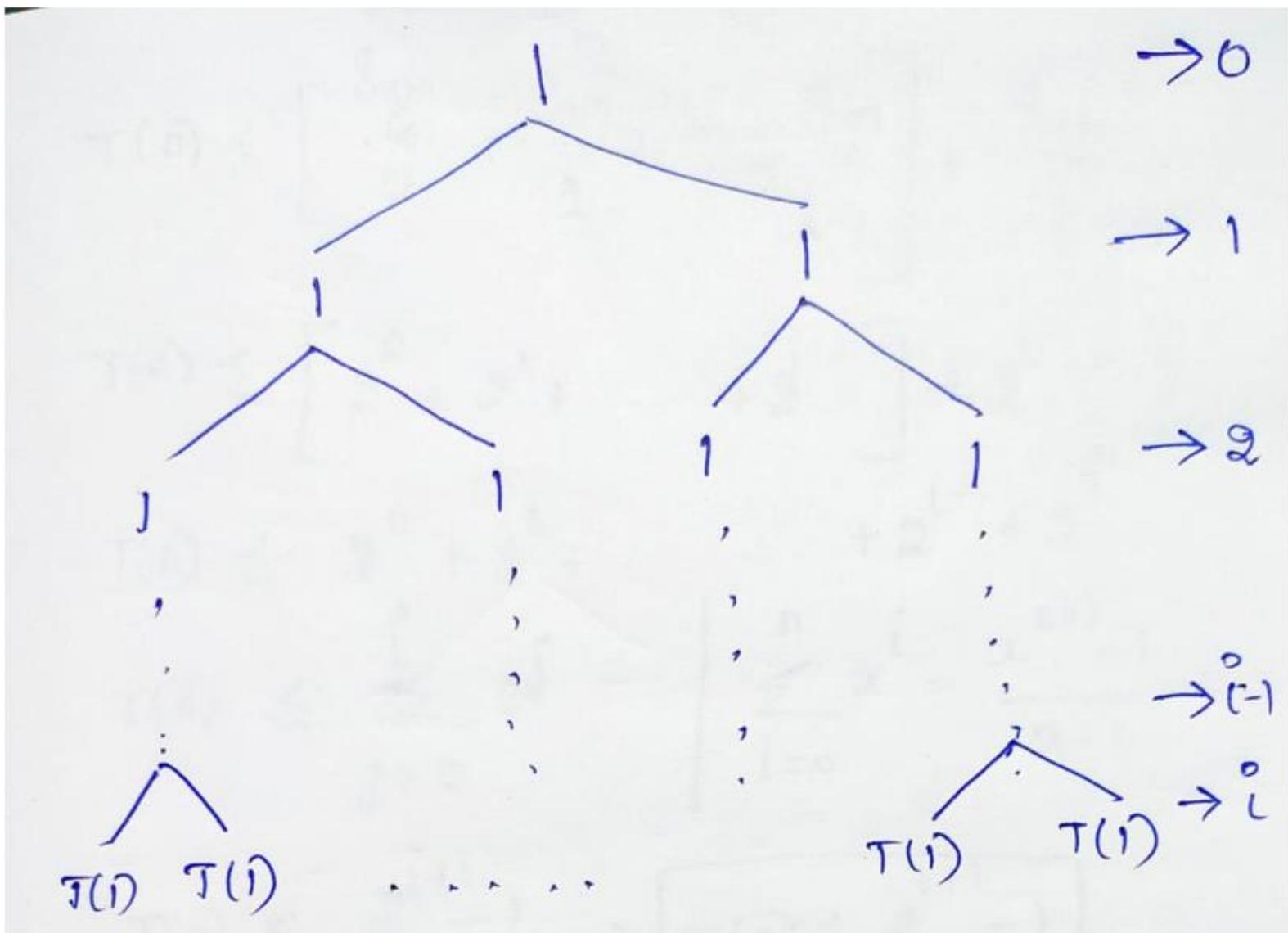
$$T(n-1) = T(n-2) + T(n-3) + 1$$

$$T(n-2) = T(n-3) + T(n-4) + 1$$

From  $T(n)$ :  
 $n=n-1$  &  
 $n=n-2$



By extending the levels of Tree:



Level NO	No. of Nodes	Node Value	$S_{dm}$
0	$2^0$	1	$2^0 \cdot 1$
1	$2^1$	1	$2^1 \cdot 1$
2	$2^2$	1	$2^2 \cdot 1$
:	:	:	:
$i-1$	$2^{i-1}$	1	$2^{i-1} \cdot 1$
$i$	$2^i$	$T(i)$	$2^i \cdot T(i)$

$$T(n) \leq \left[ \sum_{i=0}^n + \sum_{i=1}^n + \dots + \sum_{i=1}^n \right] + \sum_{i=0}^n$$

$$T(n) \leq \left[ 2^0 + 2^1 + \dots + 2^{i-1} \right] + 2^i \cdot T(1)$$

$$T(n) \leq 2^0 + 2^1 + \dots + 2^{i-1} + 2^i$$

$$T(n) \leq \sum_{j=0}^i 2^j \quad \left| \quad \sum_{i=0}^n x^i = \frac{x^{n+1}-1}{x-1} \right.$$

$$T(n) \leq \frac{2^{i+1} - 1}{2 - 1} \Rightarrow T(n) \leq 2^{i+1} - 1$$



To Find  $i$ :

$$\begin{array}{ccccccc} 0 & 1 & 2 & \dots & i & \dots \\ n & n-1 & n-2 & \dots & n-i & \dots \end{array}$$

At  $i^{\text{th}}$  level:

$$n-i = 1 \Rightarrow i = n-1$$

$$T(n) \leq 2^{n-1+1} - 1 \Rightarrow T(n) \leq 2^n - 1 \in O(2^n)$$

$$T(n) = O(2^n)$$

↓  
Ignore -ve Term.

Solve using Iterative Method:

$$\textcircled{4} \quad T(n) = \begin{cases} T(n-1) + n & , \text{ if } n > 1 \\ \theta(1) & , \text{ if } n = 1 \end{cases}$$

Recursive case:

$$T(n) = T(n-1) + n \rightarrow \textcircled{1}$$

$$n = n-1 \text{ in } \textcircled{1}$$

$$T(n-1) = T(n-2) + n-1 \rightarrow \textcircled{2}$$

$$\textcircled{2} \text{ in } \textcircled{1}$$

$$T(n) = T(n-2) + (n-1) + n \rightarrow \textcircled{3}$$

$$n = n-2 \text{ in } \textcircled{1}$$

$$T(n-2) = T(n-3) + (n-3) \rightarrow \textcircled{1}$$

④ in ③

$$T(n) = T(n-3) + (n-2) + (n-1) + n \rightarrow ⑤$$

From ①, ③, ⑤, In general,

$$T(n) = \underbrace{T(n-i)}_{+ \dots +} + (n-(i-1)) + (n-(i-2)) + \dots + (n-(i-i))$$

At  $i^{th}$  level:

$$n-i = \text{Problem Size} = 1$$

$$n-i=1 \Rightarrow \boxed{i=n-1}$$



$$T(n) = \sum_{j=0}^{i-1} (n-j) + T(n-i)$$

$$T(n) = \sum_{j=0}^{n-1-i} (n-j) + T(n-(n-i))$$

$$T(n) = \left[ (n-0) + (n-1) + (n-2) \dots + n - (n-2) \right] + T(n-i+1)$$

$$\begin{aligned}
 T(n) &= [n + (n-1) + (n-2) + \dots + 2] + T(1) \\
 &= [n + (n-1) + (n-2) + \dots + 2] + 1
 \end{aligned}$$

$$T(n) = 1 + 2 + \dots + (n-2) + (n-1) + n$$

It's a arithmetic series

$$T(n) = \frac{n(n+1)}{2} \in O(n^2)$$

$$\boxed{T(n) = O(n^2)}$$

Using Master Theorem:

⑥  $T(n) = 9 \cdot T(n/3) + n$

$$a=9 \quad b=3 \quad f(n)=n$$

$$\underline{n^{\log_b a}} = \underline{n^{\log_3 9}} = n^{\log_3 3^2} = \underline{n^2}$$

$n^{\log_b a} = n^2$



$$f(n) = n^1 = n^{2-1} = \Theta(n^{\log_3 9 - 1})$$

$$f(n) = O(n^{\log_3 9 - 1}) \Rightarrow \underline{\text{Case 1}} \\ \text{with} \\ \epsilon = 1 > 0$$

Case 1 Solution:

$$T(n) = \Theta(n^{\log_b a})$$

$$T(n) = \Theta(n^{\log_3 9})$$

$$\boxed{T(n) = \Theta(n^2)}$$



$$\textcircled{7} \quad T(n) = T(\frac{2n}{3}) + 1$$

$$T(n) = T\left(\frac{n}{(3/2)}\right) + 1$$

$$a = 1 \quad b = \frac{3}{2} \quad f(n) = 1$$

$$n^{\log_b a} = n^{\log_{3/2} 1} = n^0 \Rightarrow \boxed{n^{\log_b a} = n^0}$$



$$f(n) = 1 = n^0 = \Theta(n^0)$$

$$f(n) = \Theta(n^{\log_{3/2} 1}) \Rightarrow \underline{\text{Case 2}}$$

Case 2 Solution:

$$T(n) = \Theta(n^{\log_3 a} \cdot \log n)$$

$$T(n) = \Theta(n^{\log_{3/2} 1} \cdot \log n)$$

$$T(n) = \Theta(1 \cdot \log n)$$

$$\boxed{T(n) = \Theta(\log n)}$$

$$⑧ T(n) = 8 \cdot T(n/2) + \Theta(n^2)$$

$$a=8 \quad b=2 \quad f(n)=\Theta(n^2)$$

$$n^{\log_2 8} = n^{\log_2 8} = n^3 \Rightarrow \boxed{n^{\log_2 8} = n^3}$$

$$f(n) = \Theta(n^2) = \Theta(n^{3-1}) = \Theta(n^{\log_2 8 - 1})$$

$\Rightarrow$  Case 1 with  $\epsilon = 1 > 0$

Case 1 Solution:  $T(n) = \Theta(n^{\log_2 8})$

$$T(n) = \Theta(n^{\log_2 8}) \Rightarrow \boxed{T(n) = \Theta(n^3)}$$

$$⑨ T(n) = f \cdot T(n/2) + \Theta(n^2)$$

$$a=7 \quad b=2$$

$$f(n) = \Theta(n^2)$$

$$n^{\log_b a} = n^{\log_2 7} = n^{2.81} \Rightarrow n^{\log_b a} = n^{2.81}$$

$$\begin{aligned} f(n) &= \Theta(n^2) = \Theta(n^{2.81 - 0.81}) \\ &= \Theta(n^{\log_2 7 - 0.81}) \end{aligned}$$

$\Rightarrow$  Case 1 with  $\epsilon = 0.81 > 0$

Case 1 Solution:  $T(n) = \Theta(n^{\log_b a})$

$$T(n) = \Theta(n^{\log_2 7}) \Rightarrow T(n) = \Theta(n^{2.81})$$



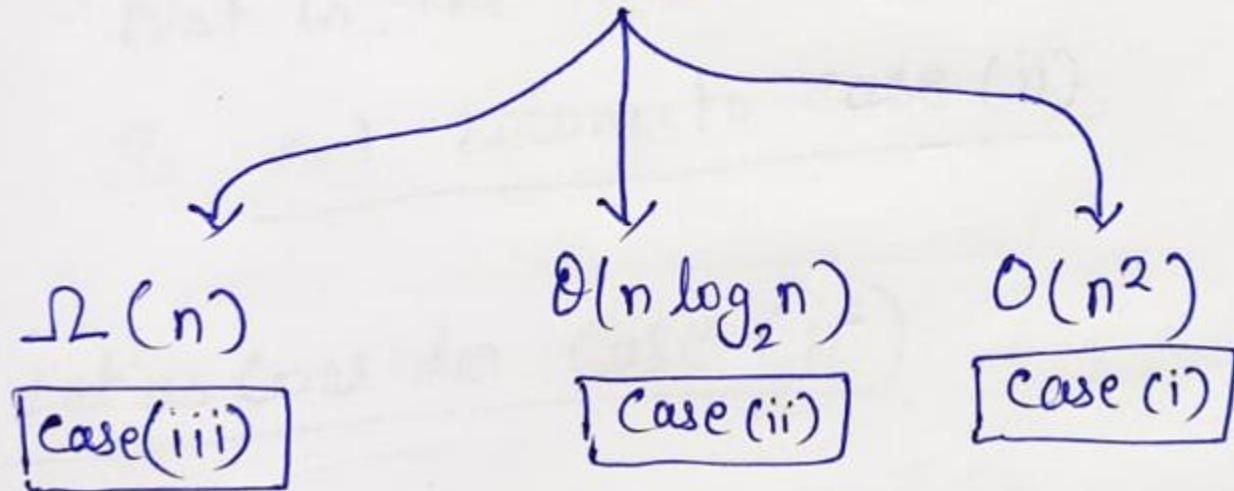
⑩  $T(n) = 3 \cdot T(n/4) + n \log_2 n$

$a=3$        $b=4$        $f(n) = n \log_2 n$

$$n^{\log_b a} = n^{\log_4 3} = n^{0.79} \Rightarrow n^{\log_b a} = n^{0.79}$$

$f(n) = n \log_2 n$

$$n < n \log_2 n < n^2$$



i.e., we can write,

$$n \log_2 n \in \Theta(n \log_2 n)$$

$$n \log_2 n \in \Omega(n)$$

$$n \log_2 n \in O(n^2)$$

Let us consider Case i:

$$f(n) = n \log n \in O(n^2)$$

$$f(n) = O(n^{0.79+1.2}) \Rightarrow \frac{\text{Not belongs to}}{\text{Case (i),}}$$

Because, case i)  
format is  $O(n^{\log_b a - \epsilon})$

Let us consider Case (ii)

$$f(n) = n \log n \in O(n \log n)$$

Not in the format of  $O(n^{\log_b a})$

So not belongs to case (ii)



Let us consider Case (iii)

$$f(n) = n \log n \in \Omega(n)$$

$$f(n) = \Omega(n) = \Omega(n^{0.79 + 0.21}).$$

$$f(n) = \Omega(n^{\log_b 9 + 0.21})$$

May belongs to Case (iii) with  
 $\epsilon = 0.21 > 0$



Now, need to verify another condition  
for case (iii):

$$a \cdot f(\frac{n}{b}) \leq c \cdot f(n) \quad \text{for } c \leq 1$$

$$\underline{3 \cdot f(\frac{n}{4})} \leq c \cdot n \log n$$

$$f(n) = n \log n \Rightarrow f(\frac{n}{4}) = \frac{n}{4} \cdot \log \frac{n}{4}.$$

$$3 \cdot n_{1/4} \cdot \log n_{1/4} \leq c \cdot n \log n$$

$$\left(\frac{3}{4}\right) \cdot n (\log n - \log 4) \leq c \cdot n \log n$$

$$\left(\frac{3}{4}\right) \cdot n \cdot \log n - \frac{3}{4} \cdot \log 4 \leq c \cdot n \log n$$

$$\frac{3}{4} n \cdot \log n - \frac{3}{2} \leq c \cdot n \log n$$

$-\frac{3}{2}$  is negative constant. So we  
 can ignore it.

$$\frac{3}{4} \cdot n \cdot \log n \leq c \cdot n \cdot \log n$$

where  $c = \frac{3}{4} < 1 \Rightarrow$  Condition True.

So this belongs to case (iii)

Case 3 Solution:  $T(n) = \Theta(F(n))$

$$T(n) = \Theta(n \log_2 n)$$



PROBLEMS - MASTER THEOREM:

$$1. T(n) = 3 \cdot T(n/2) + n^2$$

$$2. T(n) = 4 \cdot T(n/2) + n^2$$

$$3. T(n) = T(n/2) + 2^n$$

$$4. T(n) = 2^n \cdot T(n/2) + n^n$$

$$5. T(n) = 16 \cdot T(n/4) + n$$

$$6. T(n) = 2 \cdot T(n/2) + n \cdot \log_2 n$$

$$7. T(n) = 2 \cdot T(n/2) + n/\log_2 n$$

$$8. T(n) = 2 \cdot T(n/4) + n^{0.51}$$

$$9. T(n) = 0.5 T(n/2) + 1/n$$

$$10. T(n) = 16 \cdot T(n/4) + n!$$

$$11. T(n) = \sqrt{2} \cdot T(n/2) + \log_2 n$$

$$12. T(n) = 3 \cdot T(n/2) + n$$

$$13. T(n) = 3 \cdot T(n/3) + \sqrt{n}$$

$$14. T(n) = 4 \cdot T(n/2) + c \cdot n$$

$$15. T(n) = 3 \cdot T(n/4) + n \cdot \log_2 n$$

$$16. T(n) = 3 \cdot T(n/3) + n/2$$

$$17. T(n) = 6 \cdot T(n/3) + n^2 \cdot \log_2 n$$

$$18. T(n) = 4 \cdot T(n/2) + n/\log_2 n$$

$$19. T(n) = 64 \cdot T(n/8) - n^2 \cdot \log_2 n$$

$$20. T(n) = 7 \cdot T(n/3) + n^2$$

$$21. T(n) = 4 \cdot T(n/2) + \log_2 n$$

$$22. T(n) = T(n/2) + n(2 - \log n)$$

$$23. T(n) = 4 \cdot T(n/4) + n^2$$

$$24. T(n) = 4 \cdot T(n/2) + n^2$$

$$25. T(n) = 8 \cdot T(n/2) + n^2$$

$$26. T(n) = T(n-1) + n$$

$$27. T(n) = 7 \cdot T(n/2) + \frac{9}{2}n^2$$

# Solving Recurrences – Substitution Method

# Substitution Method

- ✓ The substitution method for solving recurrences comprises **two steps:**
  1. Guess the form of the solution.
  2. Use mathematical induction to find the constants and show that the solution works.
- ✓ We substitute the guessed solution for the function when applying **the inductive hypothesis to smaller values**; hence the name “substitution method.”
- ✓ This method is powerful, but **we must be able to guess the form of the answer** in order to apply it.
- ✓ We can use the substitution method to **establish either upper or lower bounds** on a recurrence.

# Substitution Method - Example

Determine a tight asymptotic lower bound for the following recurrence:

$$T(n) = 4T\left(\frac{n}{2}\right) + n^2.$$

Let us guess that  $T(n) = n^2 \lg(n)$ . Then our induction hypothesis is that there exists a  $c$  and an  $n_0$  such that

$$T(n) \geq cn^2 \lg(n) \quad \forall n > n_0 \text{ and } c > 0.$$

For the base case ( $n = 1$ ), we have  $T(1) = 1 > c1^2 \lg 1$ . This is true for all  $c > 0$ .

Now, for the inductive step, assume the hypothesis is true for  $m < n$ . Then

$$T(m) \geq cm^2 \lg(m).$$

So,

$$\begin{aligned} T(n) &= 4T\left(\frac{n}{2}\right) + n^2 \\ &\geq 4c \frac{n^2}{4} \lg \frac{n}{2} + n^2 \\ &= cn^2 \lg(n) - cn^2 \lg(2) + n^2 \\ &= cn^2 \lg(n) + (1 - c)n^2. \end{aligned}$$

If we now pick as  $c < 1$ , then

$$T(n) = \Omega(cn^2 \lg(n)).$$

# Substitution Method - Example

Use the substitution method to show that

$$T(n) = 2T\left(\frac{n}{2}\right) + n$$

is  $O(n \lg(n))$ .

We are trying to prove that  $T(n) \leq cn \lg(n)$ . So we start by assuming that the bound holds for  $\frac{n}{2}$  :

$$\begin{aligned} T(n) &\leq c\left(\frac{n}{2}\right)\lg\left(\frac{n}{2}\right) \\ &\leq cn\lg\left(\frac{n}{2}\right) + n \\ &= cn\lg(n) - cn\lg(2) + n \\ &\leq cn\lg(n). \end{aligned}$$

Since the last step holds for any  $c > 1$ , we are done.  $\square$

# **Greedy Method – Solving Problems**

## Greedy Algorithm

- A greedy algorithm is one which finds optimal solution at each and every stage with the hope of finding the global optimum at the end.
- Greedy algorithms do not always give optimal solutions but for many problems they do

## Example Algorithms:

1. Algorithm for Generation of Huffman Code
2. " Activity Selection Problem
3. " Knapsack Problem
4. Dijkstra's Single Source Shortest path problem

## Greedy Algorithms:

A	B	C	D
$5 \times 3$	$3 \times 4$	$4 \times 2$	$2 \times 6$

$\ell = 2$ : AB BC CD

$\ell = 2$ : AB BC CD

$\ell = 3$ : ABC BCD

```

graph TD
    ABC --- ABCL
    ABC --- ACBD
    BCD --- BCID
    BCD --- BD
  
```

(AB)C A(CB) (BC)D B(CD)

$\ell = 4$ : ABCD

$\ell = 3$ : A(BC) (BC)D

$\ell = 3$ : (A.(BC)).D



A      B      C      D

$101 \times 11$      $11 \times 9$      $9 \times 100$      $100 \times 99$

DP

$((AB)(CD))$

Multiplications = 189090

Greedy

$(A \cdot ((BC) \cdot D))$

No. of Mult = 227989

# **Greedy Method – Fractional Knapsack Problem**



Greedy Method:

Knapsack Problem:

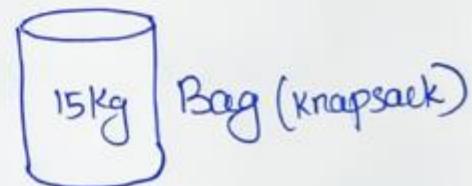
Objects: 0 : 1 2 3 4 5 6 7

Profits: P: 10 5 15 7 6 18 3

Weights: w: 2 3 5 7 1 4 1

n: 7

C: 15 kg.





Objective : Need to fill the bag with available objects without exceeding its capacity and with maximum profit.

problem : Maximization of Profit  
↳ type of optimization.

Constraint : 1. Objects are divisible.  
2. Should not exceed bag capacity.

Problem is known as Fractional knapsack Problem.



→ For optimization problems,  
greedy approach is best suitable.

→ Optimization is a  
computational problem in which the  
object is to find the best solution  
from all possible solutions.

→ Problem to find a solution  
in the feasible region which has  
the minimum (or maximum) value  
of the objective function.

### Method - 1 :

(Selecting the Objects with maximum profit)

Objects	Profit	weight	Remaining weight

Total Profit =

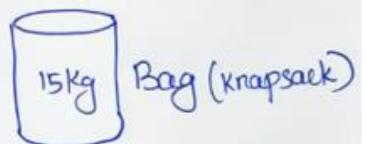
Objects: 0: 1 2 3 4 5 6 7

Profits: p: 10 5 15 7 6 18 3

Weights: w: 2 3 5 7 1 4 1

n:7

C : 15 kg.





Method - 1:

(Selecting the objects with maximum profit)

Objects	Profit	weight	Remaining weight
6	18	4	$15 - 4 = 11$
3	15	5	$11 - 5 = 6$
1	10	2	$6 - 2 = 4$
4	7	4	$4 - 4 = 0$
	$7 \times \frac{4}{7} = 4$		

$$\boxed{\text{Total Profit} = 47}$$

## Method - 2:

(Selecting the Objects with minimum weight)

Objects	Profit	weight	Remaining weight



Total Profit =

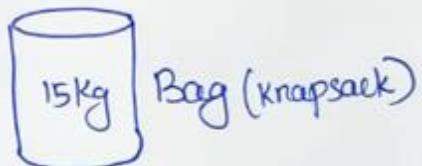
Objects: 0 : 1 2 3 4 5 6 7

Profits: P: 10 5 15 7 6 18 3

Weights: w: 2 3 5 7 1 4 1

n: 干

C : 15 kg.





### Method - 2:

(Selecting the Objects with minimum weight)

Objects	Profit	weight	Remaining weight
5	6	1	$15 - 1 = 14$
6	3	1	$14 - 1 = 13$
1	10	2	$13 - 2 = 11$
2	5	3	$11 - 3 = 8$
6	18	4	$8 - 4 = 4$
3	15	4	$4 - 4 = 0$
	$15 \times 4 = 12$		

$$\boxed{\text{Total Profit} = 54}$$

### Method - 3:

(Selecting Object with maximum  
Profit Per Kg)

O:	1	2	3	4	5	6	7
P:	10	5	15	7	6	18	3
w:	2	3	5	7	1	4	1

P/w :



Objects	Profit	Weight	Remaining weight

### Method - 3 :

(Selecting Object with maximum profit Per Kg)

O:	1	2	3	4	5	6	7
P:	10	5	15	7	6	18	3
W:	2	3	5	7	1	4	1
H <sub>10</sub> :	5	1.67	3	1	6	4.5	3

Objects	Profit	weight	Remaining weight
5	6	1	$15 - 1 = 14$
1	10	2	$14 - 2 = 12$
6	18	4	$12 - 4 = 8$
3	15	5	$8 - 5 = 3$
7	3	1	$3 - 1 = 2$
2	5	2	$2 - 2 = 0$
		$5 \times \frac{2}{3} = 3.33$	
<b>Total Profit = 55.33</b>			

Method - 3:

(Selecting Object with maximum profit per kg)

O:	1	2	3	4	5	6	7
P:	10	5	15	7	6	18	3
w:	2	3	5	7	1	4	1
P/w:	5	1.67	3	1	6	4.5	3

# Algorithm Fractional Knapsack Greedy(

Objects[1..n], n, c )

Input: Objects [1..n] with profit & weight.

size, n

Bag capacity, c

Output: Selected Objects [1..m] and m, number of selected objects.



//Calculating Profit per Kg

for i ← 1 to n do

Objects[i]. pw ← Objects[i]. P /  
Objects[i]. w

endfor.

Sort the Objects [1..n] based on pw.  
in descending order.



```
m ← 0
for i ← 1 to n do
    if C = 0 then
        return m;
    endif
    if Objects[i].w ≤ C then
        m ← m + 1
        SelectedObjects[m] ← Objects[i]
        C ← C - Objects[i].w
    else
        Obj ← Objects[i]
        Obj.w ← C
        Obj.P ← Obj.P * (Obj.w / Objects[i].w)
        m ← m + 1
        SelectedObjects[m] ← Obj
        C ← 0
    endif
end for
return m;
end FractionalKnapSackGreedy.
```

# **Greedy Method – Job Sequencing with Deadlines Problem**



## Job Sequencing with Deadlines

### Problem:

Given a set of ~~prob~~ Jobs with their Profit and deadline ~~to~~ within which the Jobs need to be completed. The objective is to obtain the maximum profit by scheduling the Jobs in timeline.

## Example:

Jobs:  $j$ : 1 2 3 4 5

Profits:  $p$ : 20 15 10 5 1

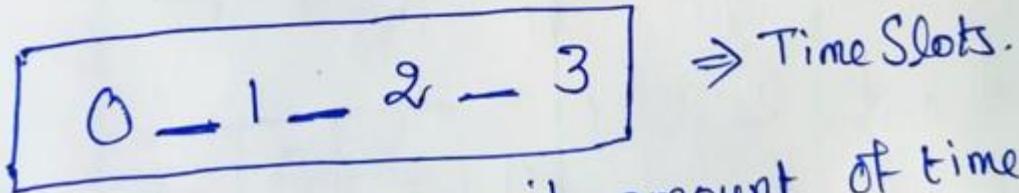
Deadlines:  $d$ : 2 2 1 3 3

\*  $n$ : 5

### Solution:

Maximum Time a Job can Finish: ③

So no. of time slots : ③



Each Job take 1 unit amount of time.



### Approach:

→ Select the job with maximum profit.

→ Schedule it, if slot is available.  
with in the Job's deadline.

So, need to Sort the jobs in descending order of their Profit.

Job	Profit	Deadline	Slots	Scheduled ?						
1	20	2	<table border="1" style="display: inline-table; vertical-align: middle;"> <tr><td>0</td><td>0</td><td>0</td></tr> <tr><td>1</td><td>2</td><td>3</td></tr> </table>	0	0	0	1	2	3	
0	0	0								
1	2	3								
2	15	2	<table border="1" style="display: inline-table; vertical-align: middle;"> <tr><td>0</td><td>0</td><td>0</td></tr> <tr><td>1</td><td>2</td><td>3</td></tr> </table>	0	0	0	1	2	3	
0	0	0								
1	2	3								
3	10	1	<table border="1" style="display: inline-table; vertical-align: middle;"> <tr><td>0</td><td>0</td><td>0</td></tr> <tr><td>1</td><td>2</td><td>3</td></tr> </table>	0	0	0	1	2	3	
0	0	0								
1	2	3								
4	5	3	<table border="1" style="display: inline-table; vertical-align: middle;"> <tr><td>0</td><td>0</td><td>0</td></tr> <tr><td>1</td><td>2</td><td>3</td></tr> </table>	0	0	0	1	2	3	
0	0	0								
1	2	3								
5	1	3	<table border="1" style="display: inline-table; vertical-align: middle;"> <tr><td>0</td><td>0</td><td>0</td></tr> <tr><td>1</td><td>2</td><td>3</td></tr> </table>	0	0	0	1	2	3	
0	0	0								
1	2	3								

Job	Profit	Deadline	Slots	Scheduled ?
1	20	2	$\begin{array}{ c c c } \hline \emptyset & \emptyset & 0 \\ \hline 1 & 2 & 3 \\ \hline \end{array}$	✓
2	15	2	$\begin{array}{ c c c } \hline \emptyset & \emptyset & 0 \\ \hline 2 & 1 & 0 \\ \hline 1 & 2 & 3 \\ \hline \end{array}$	✓
3	10	1	$\begin{array}{ c c c } \hline \emptyset & \emptyset & 0 \\ \hline 2 & 1 & 0 \\ \hline 1 & 2 & 3 \\ \hline \end{array}$	✗
4	5	3	$\begin{array}{ c c c } \hline \emptyset & \emptyset & \emptyset \\ \hline 2 & 1 & 4 \\ \hline 1 & 2 & 3 \\ \hline \end{array}$	✓
5	1	3	$\begin{array}{ c c c } \hline \emptyset & \emptyset & \emptyset \\ \hline 2 & 1 & 4 \\ \hline 1 & 2 & 3 \\ \hline \end{array}$	✗

Scheduled Jobs :  $\{J_2, J_3, J_4\}$

Total Profit : 40

## Example 2:

Jobs:  $j : 1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7$

Profits:  $P : 35 \ 30 \ 25 \ 20 \ 15 \ 12 \ 5$

Deadlines:  $d : 3 \ 4 \ 4 \ 2 \ 3 \ 1 \ 2$

$n : 7$

maximum Slots: 4 (maximum deadline)

0 — 1 — 2 — 3 — 4

Jobs	Profit	Deadline	Slots	Scheduled								
1	35	3	<table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td>0</td><td>0</td><td>0</td><td>0</td> </tr> <tr> <td>1</td><td>2</td><td>3</td><td>4</td> </tr> </table>	0	0	0	0	1	2	3	4	
0	0	0	0									
1	2	3	4									
2	30	4	<table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td>0</td><td>0</td><td>0</td><td>0</td> </tr> <tr> <td>1</td><td>2</td><td>3</td><td>4</td> </tr> </table>	0	0	0	0	1	2	3	4	
0	0	0	0									
1	2	3	4									
3	25	4	<table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td>0</td><td>0</td><td>0</td><td>0</td> </tr> <tr> <td>1</td><td>2</td><td>3</td><td>4</td> </tr> </table>	0	0	0	0	1	2	3	4	
0	0	0	0									
1	2	3	4									
4	20	2	<table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td>0</td><td>0</td><td>0</td><td>0</td> </tr> <tr> <td>1</td><td>2</td><td>3</td><td>4</td> </tr> </table>	0	0	0	0	1	2	3	4	
0	0	0	0									
1	2	3	4									
5	15	3	<table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td>0</td><td>0</td><td>0</td><td>0</td> </tr> <tr> <td>1</td><td>2</td><td>3</td><td>4</td> </tr> </table>	0	0	0	0	1	2	3	4	
0	0	0	0									
1	2	3	4									
6	12	1	<table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td>0</td><td>0</td><td>0</td><td>0</td> </tr> <tr> <td>1</td><td>2</td><td>3</td><td>4</td> </tr> </table>	0	0	0	0	1	2	3	4	
0	0	0	0									
1	2	3	4									
7	5	2	<table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td>0</td><td>0</td><td>0</td><td>0</td> </tr> <tr> <td>1</td><td>2</td><td>3</td><td>4</td> </tr> </table>	0	0	0	0	1	2	3	4	
0	0	0	0									
1	2	3	4									

Jobs	Profit	Deadline	Slots	Scheduled
1	35	3	0 0 Ø 1 0 1 2 3 4	✓
2	30	4	0 0 Ø 1 Ø 2 1 2 3 4	✓
3	25	4	Ø Ø 3 Ø 1 Ø 2 1 2 3 4	✓
4	20	2	Ø 4 Ø 3 Ø 1 Ø 2 1 2 3 4	✓
5	15	3	Ø 4 Ø 3 Ø 1 Ø 2 1 2 3 4	✗
6	12	1	Ø 4 Ø 3 Ø 1 Ø 2 1 2 3 4	✗
7	5	2	Ø 4 Ø 3 Ø 1 Ø 2 1 2 3 4	✗

Scheduled Jobs:  $\{J_4, J_3, J_1, J_2\}$

Total Profit : 110



Algorithm JobSequencingGreedy(  
Jobs[1..n], n)

Input: Jobs[1..n] with profit and  
deadlines.

No. of Jobs, n

Output: Slot[1..m] and the size m.

// Finding maximum slot.

m  $\leftarrow$  maximum (Jobs[1..n].deadline)

Sort the Jobs[1..n] in descending  
order of its profit.

Let Slot[1..m] to maintain  
the order of Jobs to be done.

```
for i ← 1 to m do
    Slot[i] ← 0
end for
```



**SASTRA**  
PROGRESS THROUGH QUALITY EDUCATION  
ENGINEERING • MANAGEMENT • LAW • SCIENCES • HUMANITIES • EDUCATION  
DEEMED TO BE UNIVERSITY  
(U/S 3 OF THE UGC ACT, 1956)  
THINK MERIT | THINK TRANSPARENCY | THINK SASTRA

```
for i ← 1 to n do
    for j ← Jobs[i].deadline to 1
        downwards do
            if slot[j] = 0 then
                slot[j] ← 1
                break from loop.
            end if
        end for
    end for.
    return.
end Job Scheduling Greedy.
```

# Summary

- ✓ Algorithm Design Model
- ✓ Efficiency of Algorithms – Space & Time
- ✓ Asymptotic Notations – Big-Oh, Big-Omega and Theta
- ✓ Problem Solving Strategies – Brute-Force, Divide & Conquer, Greedy – Heuristic Algorithms
- ✓ Solving Recurrences – Recursion Tree Method
- ✓ Solving Recurrences – Iterative Method
- ✓ Solving Recurrences – Using Master Theorem
- ✓ Brute-Force – Travelling Salesperson Problem
- ✓ Divide & Conquer – Maximum Sub Array
- ✓ Greedy – Fractional Knapsack Problem
- ✓ Greedy – Job Sequencing