



# SASTRA

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# CSE211-Formal Languages and Automata Theory

## U4L6\_Universal Language Part 2

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# Agenda

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- Recap of previous class: Diagonalization
- Converting the Problem to a Language
- Binary-Strings from TM's
- TM Representation by binary
- Language representation by binary
- Universal Language
- Universal Turing Machine

# TM Representation by binary

- Represent a TM by concatenating the codes for each of its moves, separated by **11** as punctuation.
  - That is:  $\text{Code}_1 11 \text{Code}_2 11 \text{Code}_3 11 \dots$

*What is the  $L(M)$ ?*

	0	1	B
$q_1$	$(q_1, 0, R)$	$(q_1, 1, R)$	$(q_2, B, L)$
$q_2$	$(q_3, 0, R)$	-	-
$q_3$	-	-	-

Coding for the above table:

**1110101010100110100101001001101000100100010  
110010100010100111**

Are the followings correct encoding of a TM?

01100001110001

111111

# Language Representation by binary string



## ■ Definition:

$$L_t = \{x \mid x \text{ is in } \{0, 1\}^* \text{ and } x \text{ encodes a TM}\}$$

- Question: Is  $L_t$  recursive?
- Answer: Yes. [Check only for format, i.e. the order and number of 0's and 1's, syntax checking]
  
- Question: Is  $L_t$  decidable?
- Answer: Yes (same question).

# The Universal Language

- An example of a recursively enumerable, but not recursive language is the language  $L_u$  of a *universal Turing machine*.
- That is, the UTM takes as input the code for some TM M and some binary string w and accepts if and only if M accepts w.

# The Universal Language

- We can define the language  $L_u$  as follows:  
$$L_u = \{x \mid x \text{ is in } \{0, 1\}^* \text{ and } x = \langle M, w \rangle \text{ where } M \text{ is a TM encoding and } w \text{ is in } L(M)\}$$
- Let  $x$  be in  $\{0, 1\}^*$ . Then either:
  1.  $x$  doesn't have a TM prefix, in which case  $x$  is **not** in  $L_u$
  2.  $x$  has a TM prefix, i.e.,  $x = \langle M, w \rangle$  and either:
    - a)  $w$  is not in  $L(M)$ , in which case  $x$  is **not** in  $L_u$
    - b)  $w$  is in  $L(M)$ , in which case  $x$  is in  $L_u$

# Universal TM (UTM)

- A limitation of Turing Machines:

Turing Machines are “hardwired”

they execute  
only one program

- Real Computers are re-programmable
- Solution: Universal Turing Machine

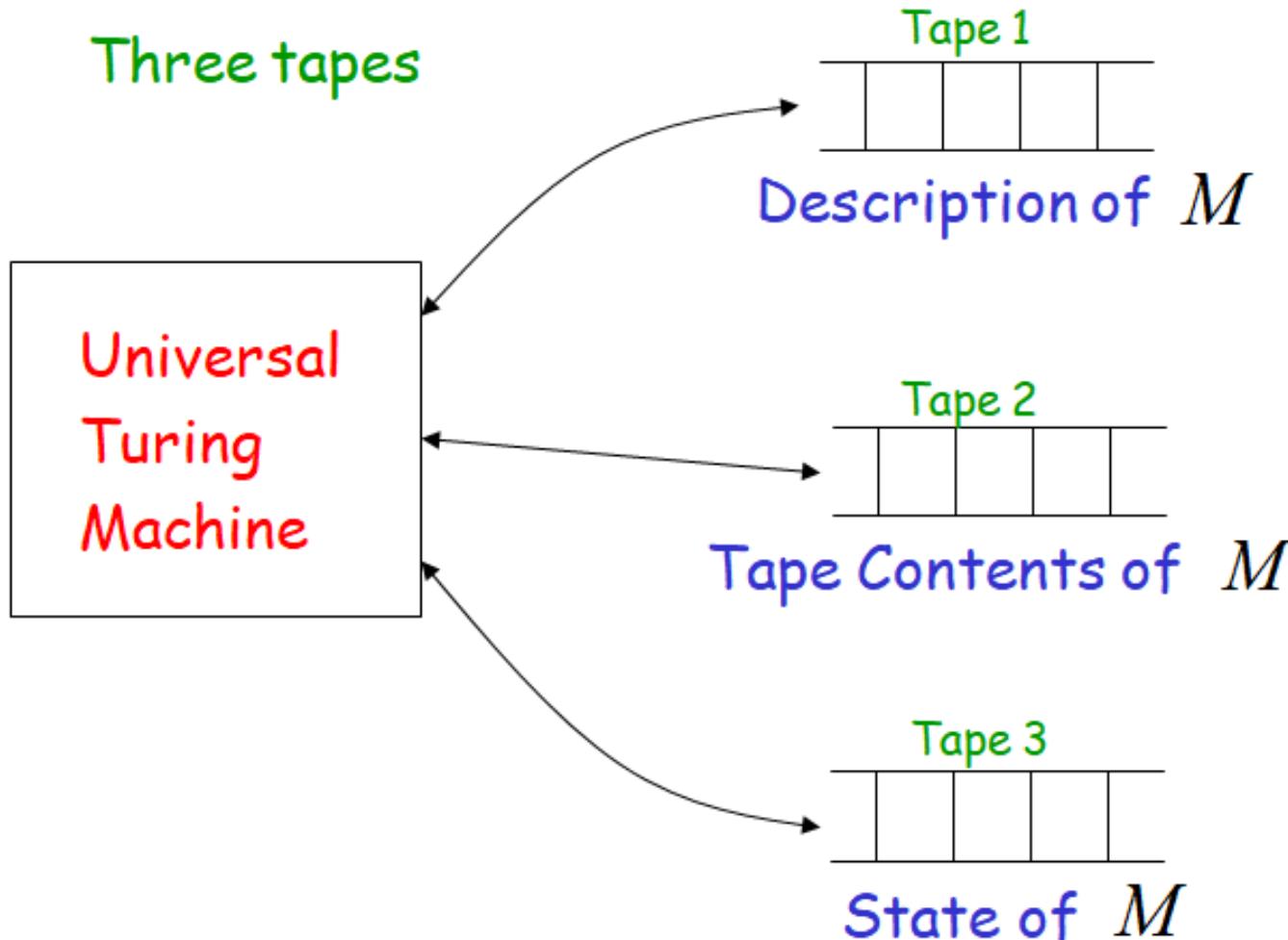
# Universal TM (UTM)

- Attributes of UTM
  - Reprogrammable machine
  - Simulates any other Turing Machine
- Universal TM simulates Turing Machine M
- Inputs are
  - Description of TM M and
  - Input String of M

# Designing the UTM

- Inputs are of the form:  
    Code for M 111 w
- Note: A valid TM code never has 111, so we can split M from w.
- The UTM must accept its input if and only if M is a valid TM code and that TM accepts w.

# Universal TM (UTM)



# The UTM – (2)

- The UTM will have several tapes.
  - Tape 1 holds the input M111w
  - Tape 2 holds the tape of M.
    - Mark the current head position of M.
  - Tape 3 holds the state of M.

# The UTM – (3)

- Step 1: The UTM checks that M is a valid code for a TM.
  - E.g., all moves have five components, no two moves have the same state/symbol as first two components.
- If M is not valid, its language is empty, so the UTM immediately halts without accepting
- Step 2: The UTM examines M to see how many of its own tape squares it needs to represent one symbol of M.

# The UTM – (4)

- Step 3: Initialize Tape 2 to represent the tape of M with input w, and initialize Tape 3 to hold the start state
- Step 4: Simulate M.
  - Look for a move on Tape 1 that matches the state on Tape 3 and the tape symbol under the head on Tape 2.
  - If found, change the symbol and move the head marker on Tape 2 and change the State on Tape 3.
  - If M accepts, the UTM also accepts.

# A Question

- Do we see anything like universal Turing machines in real life?

# Proof That $L_u$ is Recursively Enumerable, but not Recursive

- We designed a TM for  $L_u$ , so it is surely RE.
- Suppose it were recursive; that is, we could design a UTM  $U$  that always halted.
- Then we could also design an algorithm for  $L_d$ , as follows.

# Proof – (2)

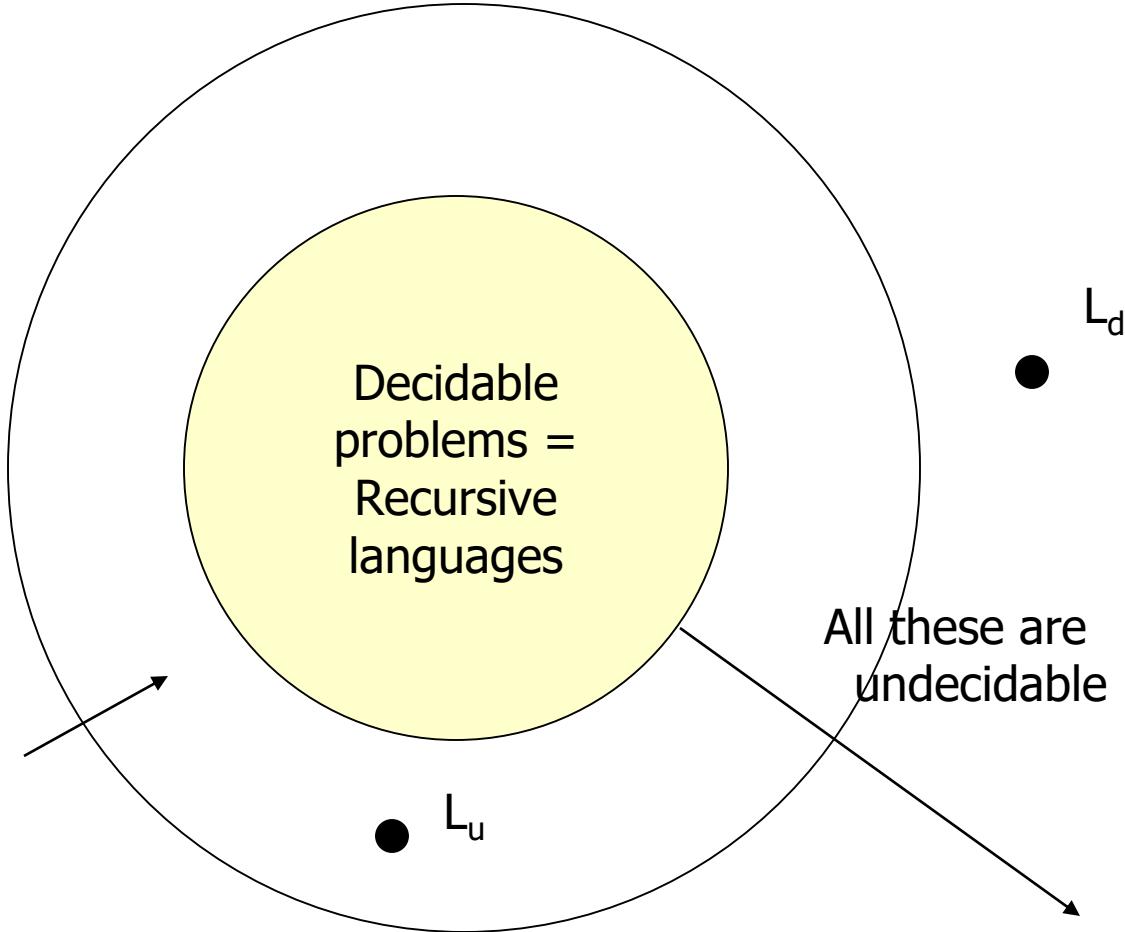
- Given input  $w$ , we can decide if it is in  $L_d$  by the following steps.
  1. Check that  $w$  is a valid TM code.
    - If not, then its language is empty, so  $w$  is in  $L_d$ .
  2. If valid, use the hypothetical algorithm to decide whether  $w111w$  is in  $L_u$ .
  3. If so, then  $w$  is not in  $L_d$ ; else it is.

# Proof – (3)

- But we already know there is no algorithm for  $L_d$ .
- Thus, our assumption that there was an algorithm for  $L_u$  is wrong.
- $L_u$  is RE, but not recursive.

# Bullseye Picture

Not recursively enumerable languages



# References

John E. Hopcroft, Rajeev Motwani and Jeffrey D. Ullman,  
*Introduction to Automata Theory, Languages, and Computation*, Pearson, 3<sup>rd</sup> Edition, 2011.

Peter Linz, *An Introduction to Formal Languages and Automata*, Jones and Bartle Learning International, United Kingdom, 6<sup>th</sup> Edition, 2016.

Next Class: Unit IV

# **Reducibility and Rice's Theorem**

**Thank you.**