

GATE

CRASH COURSE

DS & AI

Algorithms

Heap Algorithms
(Lecture 12)

By - Aditya sir



Topics to be Covered

1

2

Heap Algo:

3

↳ Operations

4

↳ Algos

↳ Examples

PyQ + Practice





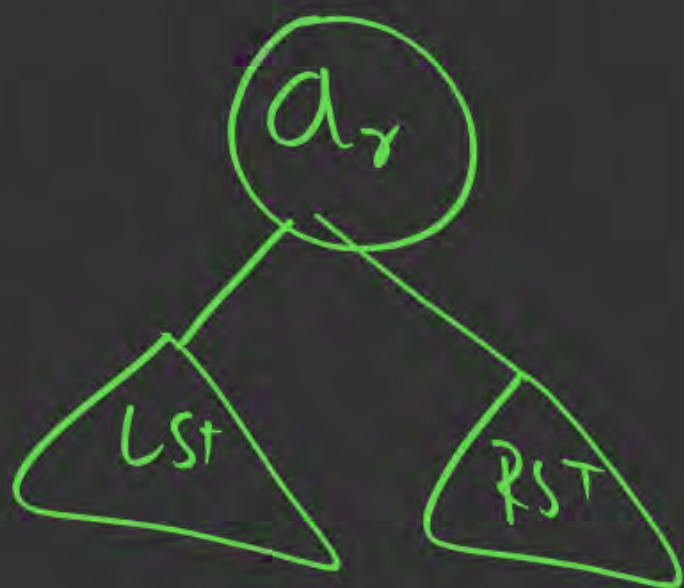
About Aditya Jain sir

1. Appeared for GATE during BTech and secured AIR 60 in GATE in very first attempt - City topper
2. Represented college as the first Google DSC Ambassador.
3. The only student from the batch to secure an internship at Amazon. (9+ CGPA)
4. Had offer from IIT Bombay and IISc Bangalore to join the Masters program
5. Joined IIT Bombay for my 2 year Masters program, specialization in Data Science
6. Published multiple research papers in well known conferences along with the team
7. Received the prestigious excellence in Research award from IIT Bombay for my Masters thesis
8. Completed my Masters with an overall GPA of 9.36/10
9. Joined Dream11 as a Data Scientist
10. Have mentored working professions in field of Data Science and Analytics
11. Have been mentoring GATE aspirants to secure a great rank in limited time
12. Have got around 27.5K followers on LinkedIn where I share my insights and guide students and professionals.



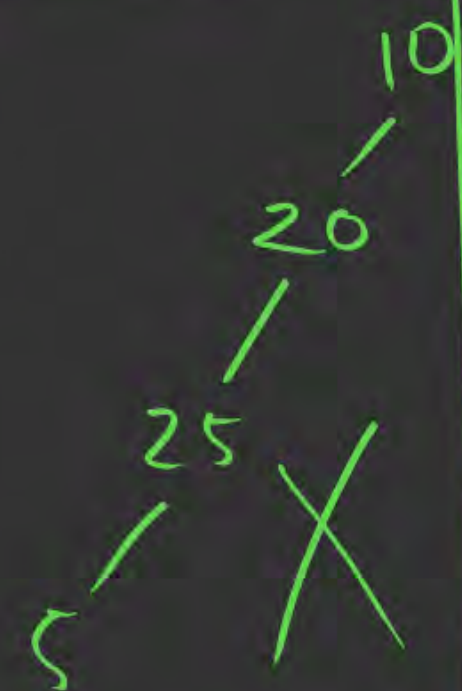
Telegram Link for Aditya Jain sir:

https://t.me/AdityaSir_PW

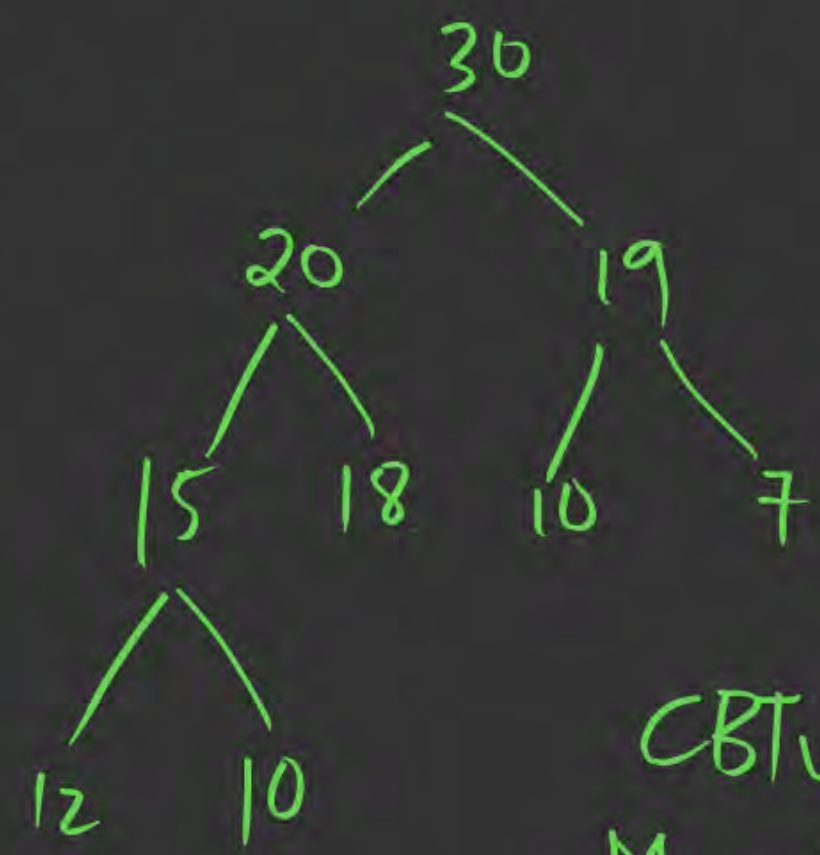


$a_r > (LST, RST)$

max-Heap

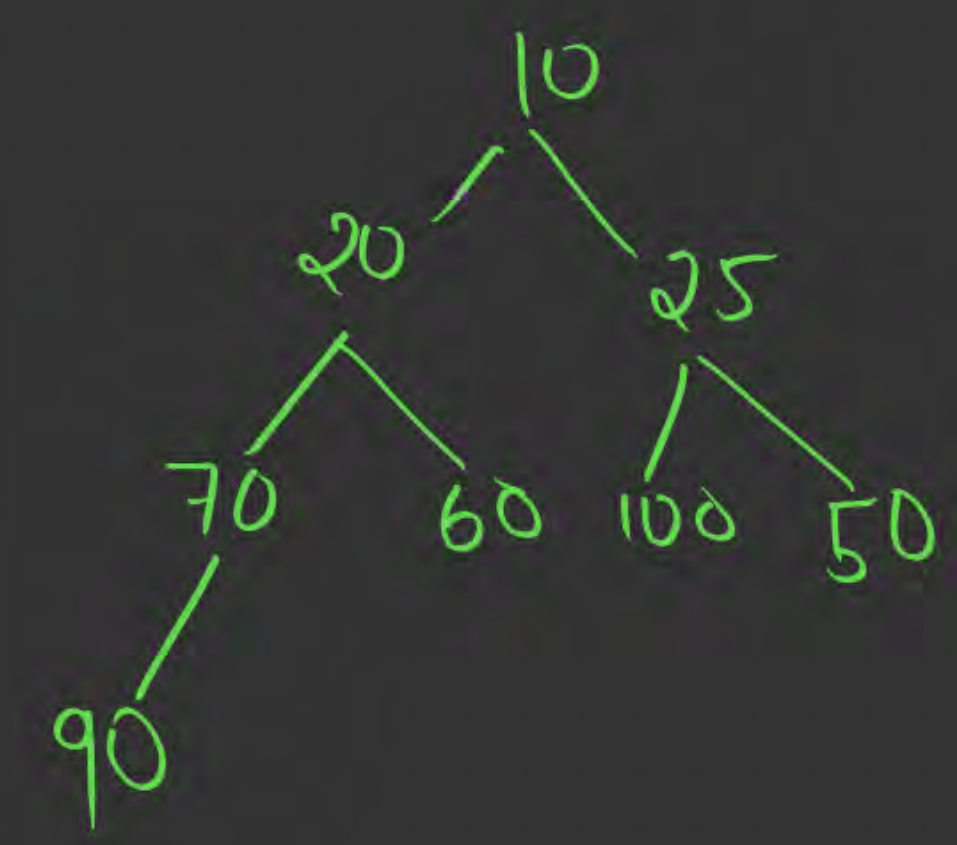
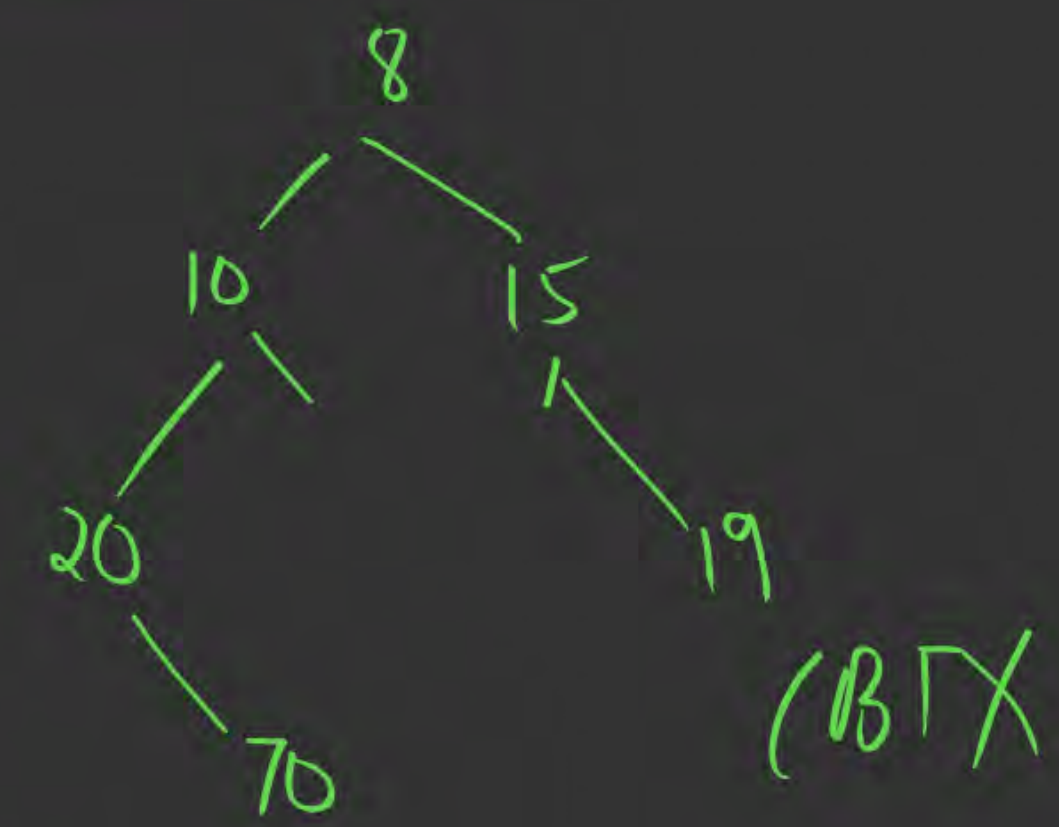


CBT ✓
Max-Heap X



CBT ✓
Max-Heap ✓

Min-Heap



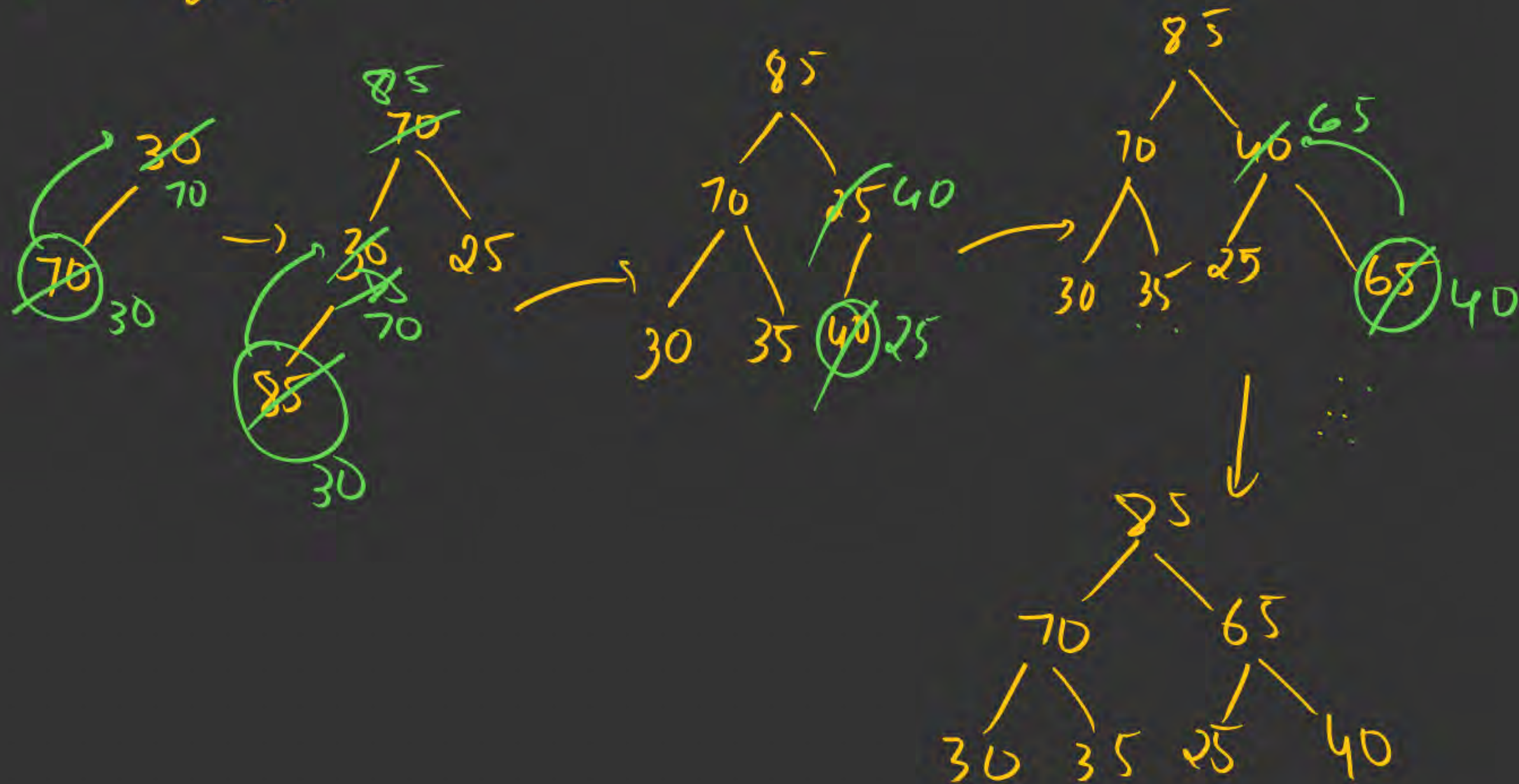
Creation of Heaps :

- 1) Insertion mtd
- 2) Heapify / Build-Heap

Heap → By-default
↓
Max-Heap

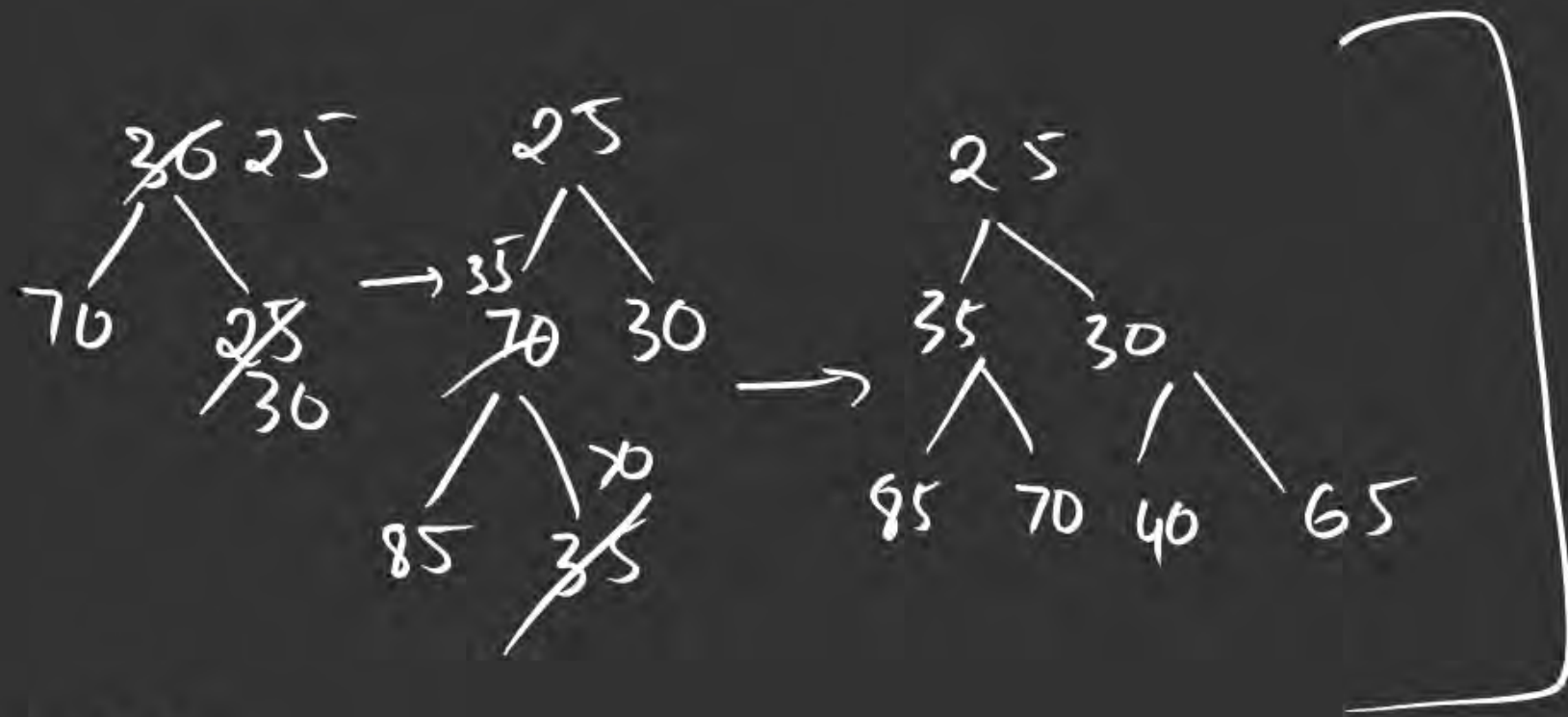
1) Insertion mtd :

eg:- A = [30, 70, 25, 85, 35, 40, 65]



1) Insertion mtd:

eg:- A = [30, 70, 25, 85, 35, 40, 65]
✓ ✓



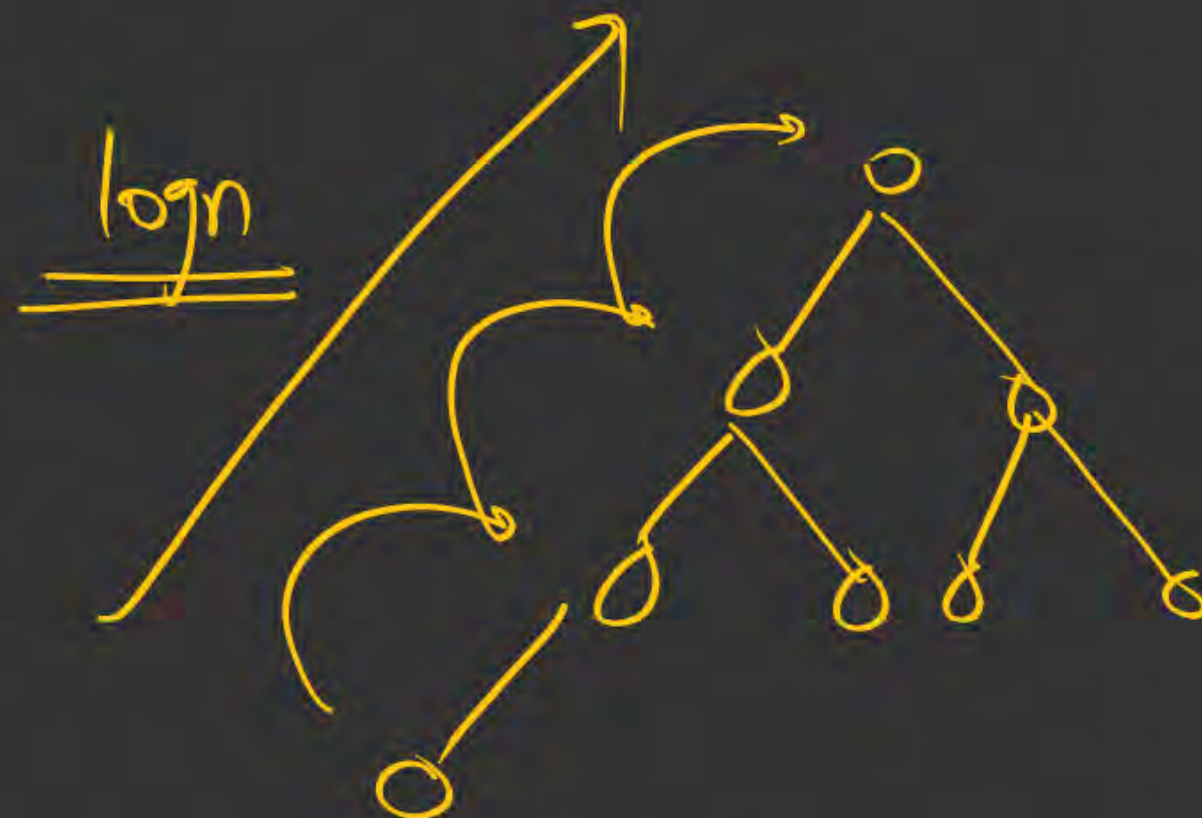
2 swaps

Time Complexity of Insertion operation

Given a Heap of n-elements : insert a node to it.

Best case : $\Omega(1)$

Worst case : $O(\log n)$



Heap Creation using Insertion mtd n elems

1) Best Case $n \times \sqrt{2}(1) \rightarrow \Omega(n)$

2) Worst Case $n \times O(\log_2 n) \rightarrow \underline{O(n \log_2 n)}$

$A = [40, 80, 35, 90, 45, 50, 70]$

Heap \rightarrow Insertion mtd.

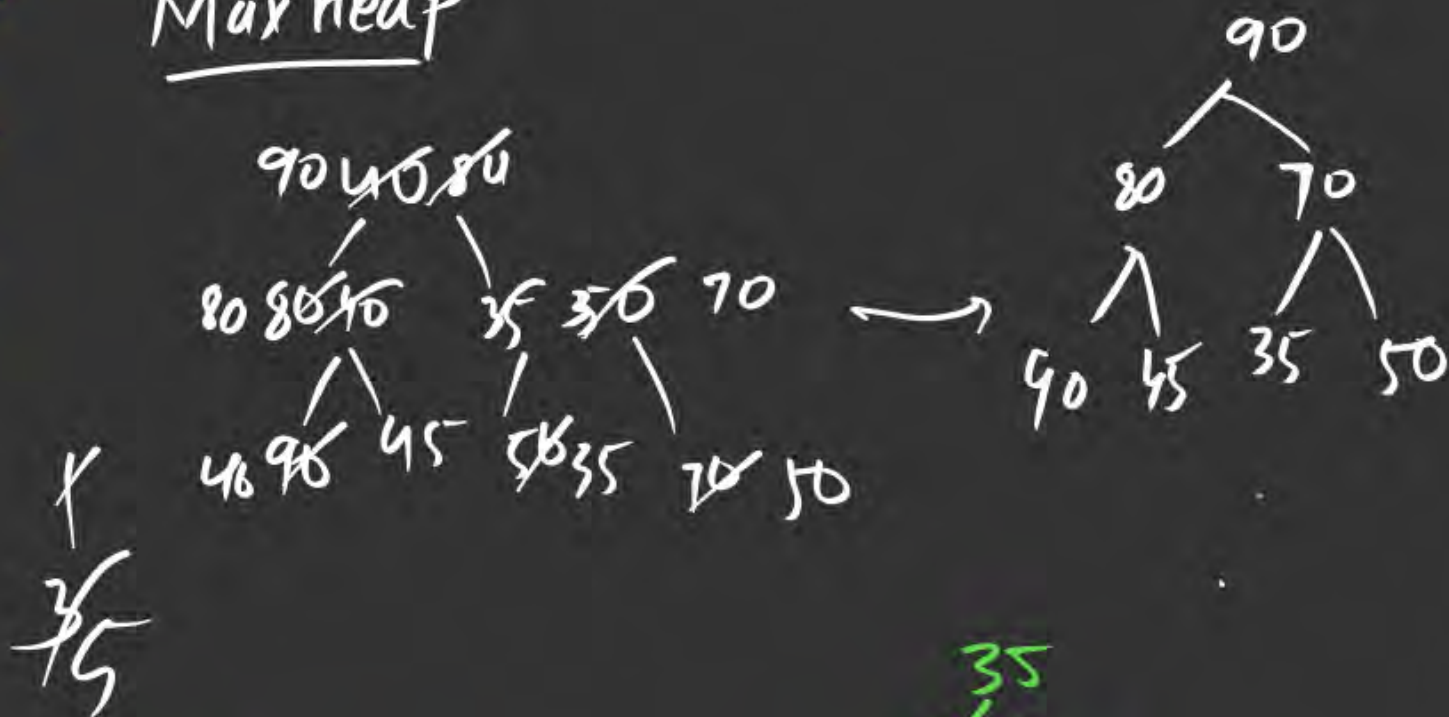
How many swaps?

Max-Heaps $\rightarrow x$

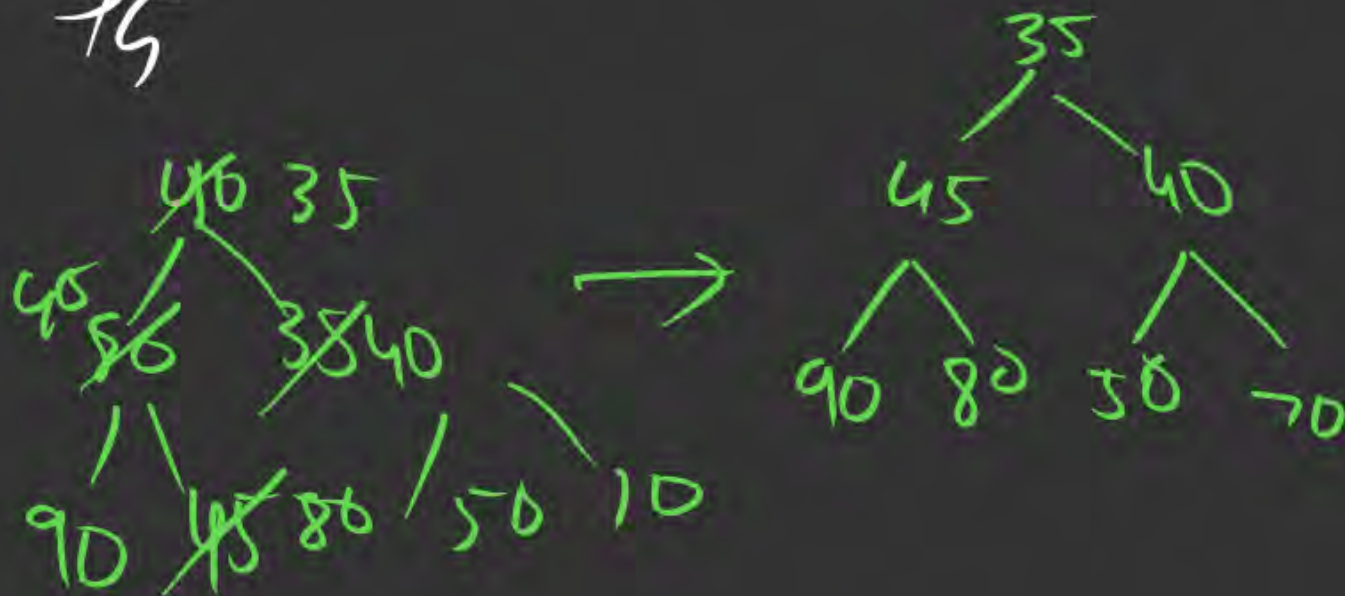
Min-Heaps $\rightarrow y$

$x + y = ?$

Max Heap



Min-Heap



$x = 5$

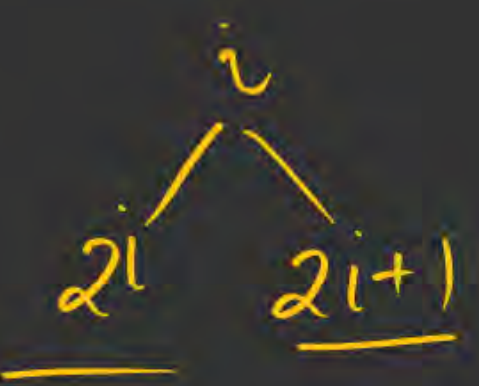
$y = 2$

$x + y = \underline{\underline{7}}$

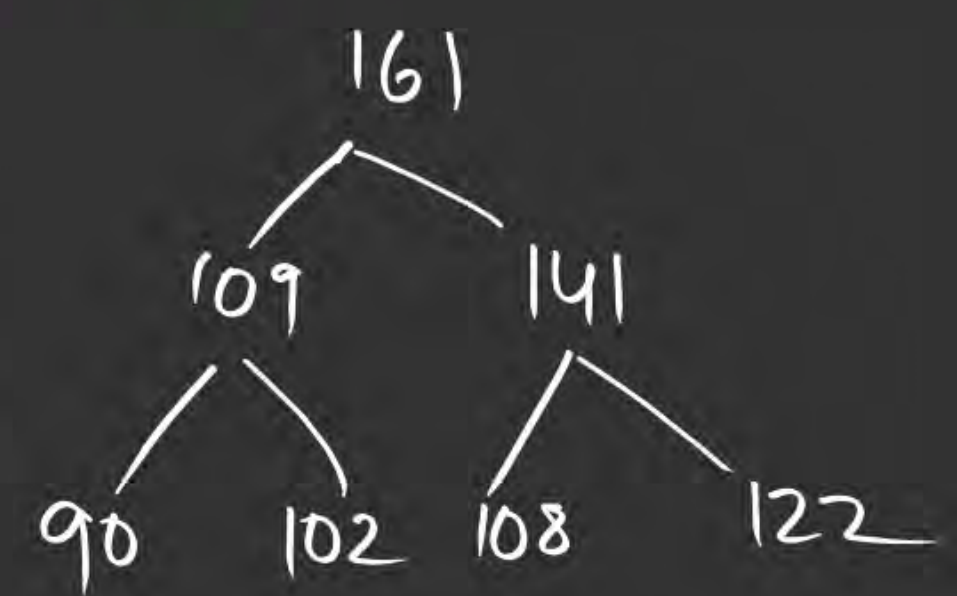
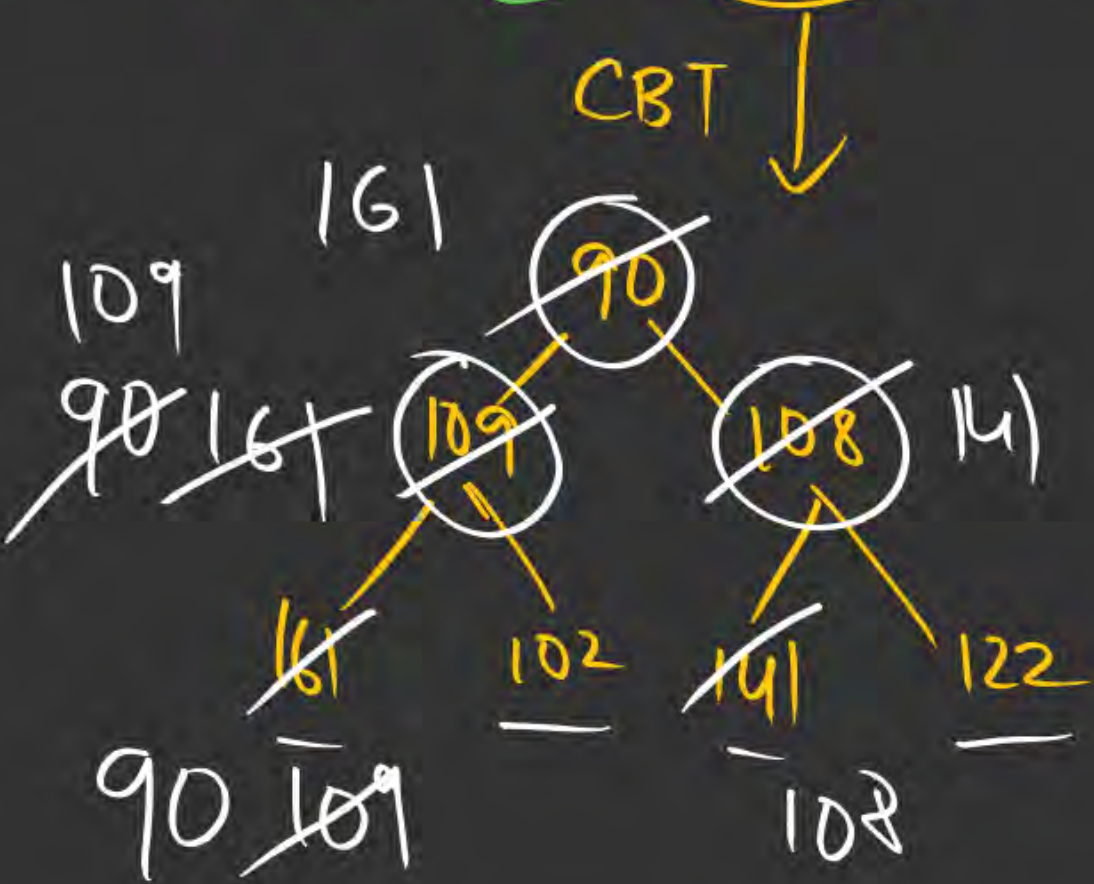
2) Heapify / Build-Heap:

→ Adjust (level by level)

→ Start at 2nd last level



eg $A = [90, 109, 108, 161, 102, 141, 122]$



Time Complexity of Heapify:

Heap \rightarrow n elements

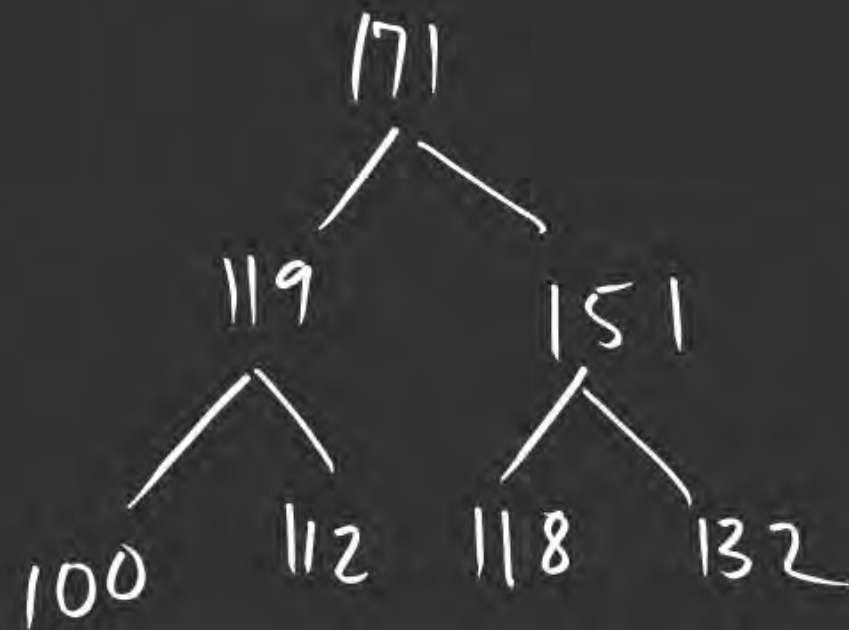
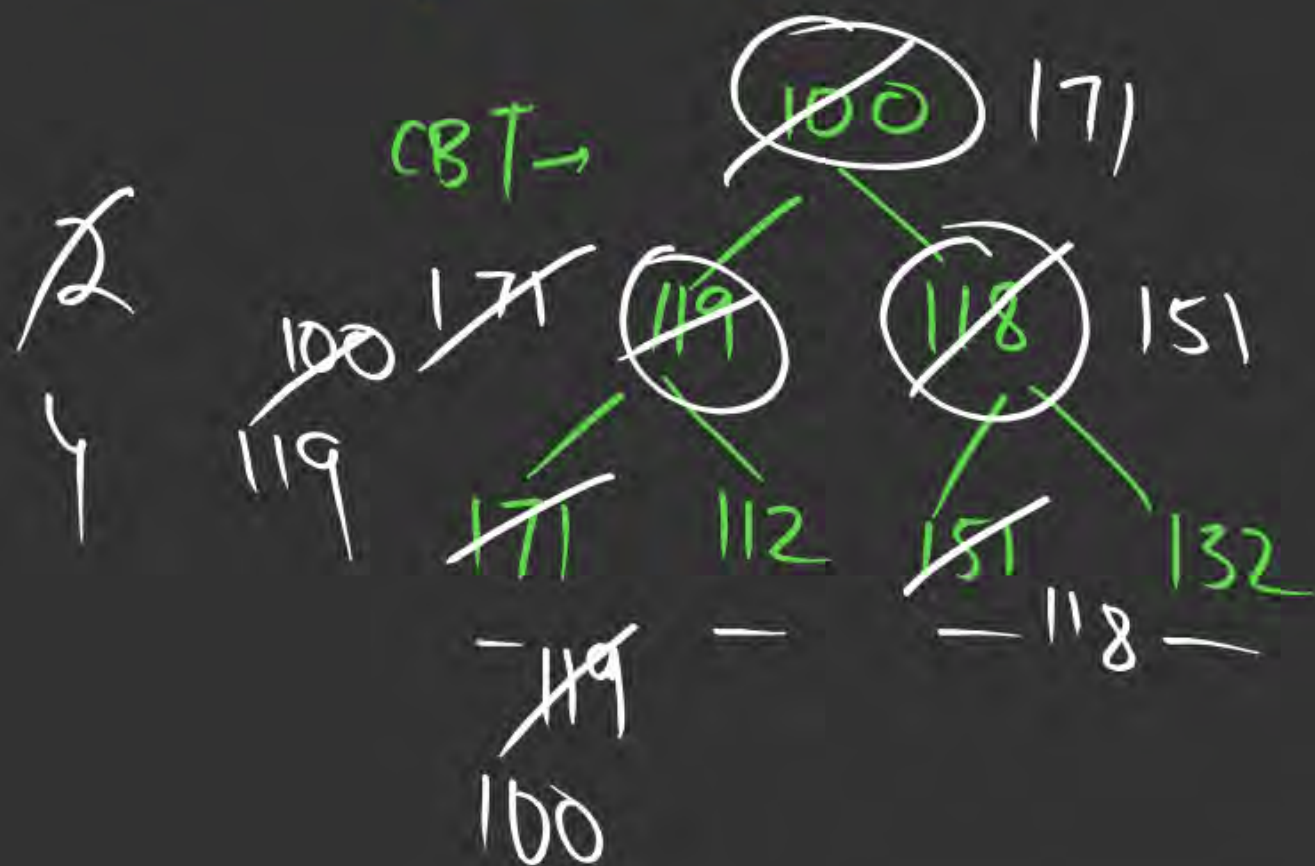
BT \longrightarrow Heap
(n)

$\Rightarrow \underline{\underline{O(n)}}$

eg: $A = [100, 119, 118, 171, 112, 151, 132]$

Max-Heap \rightarrow Heapify

No. of interchanges?



4 interchanges

4) Delete Operation:

Max-Heap \rightarrow Delete Root \rightarrow max elem

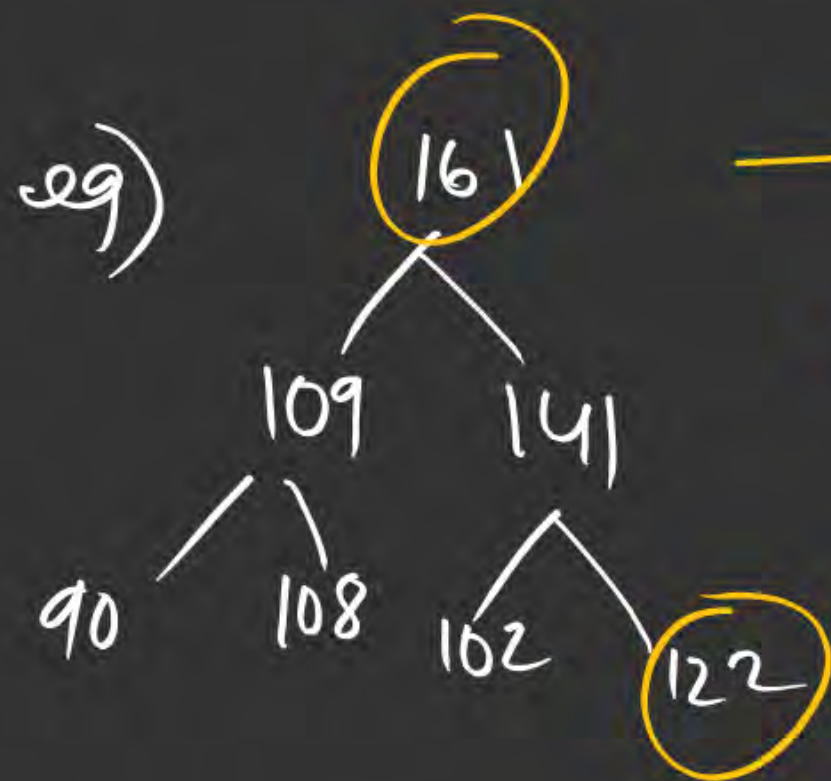
Min Heap \rightarrow Delete Root \rightarrow min elem

1-based indexing

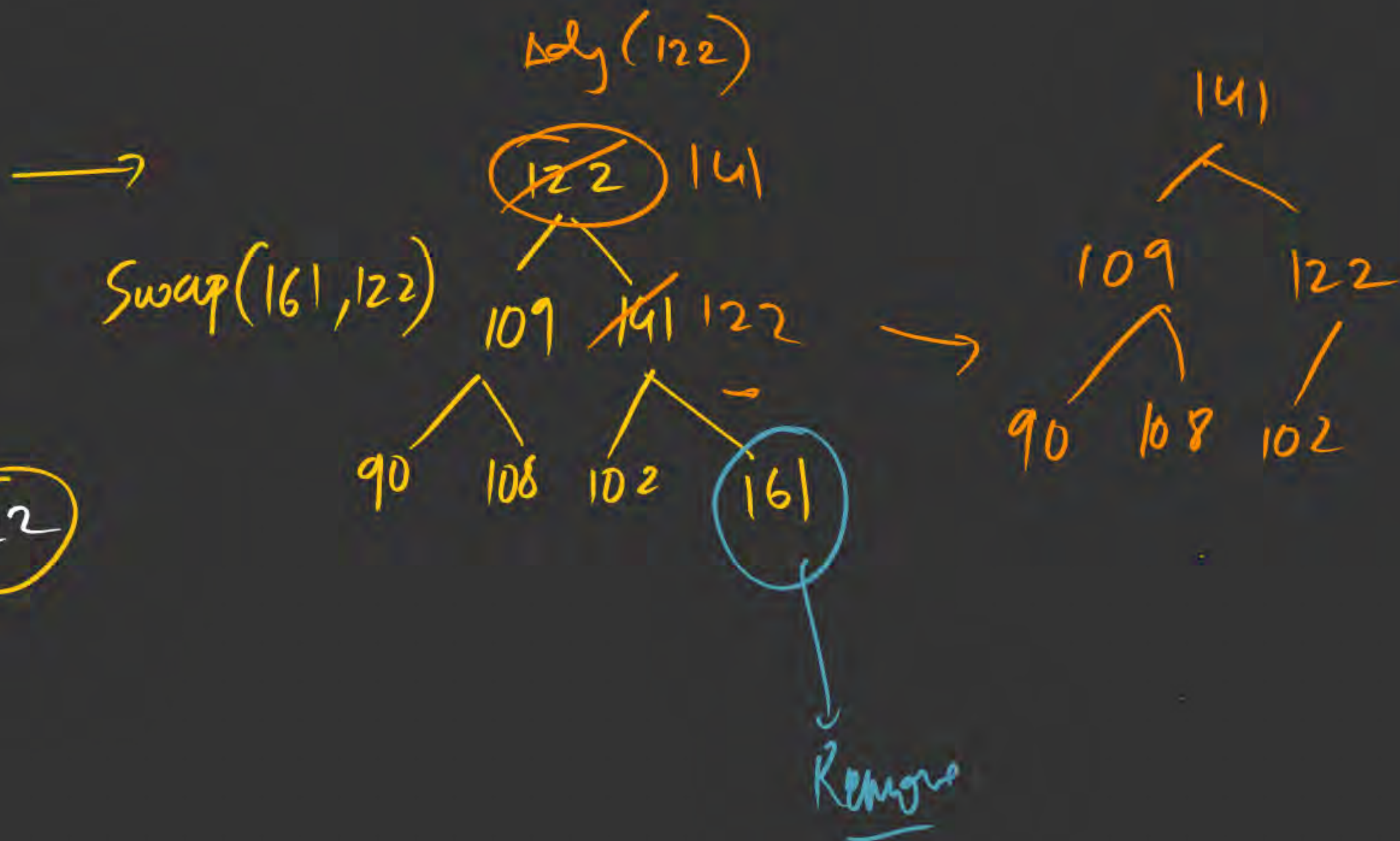
Steps:- 1) Swap ($A[1], A[n]$)

2) Remove $A[n]$

3) Adjust $A[1]$ (Root)



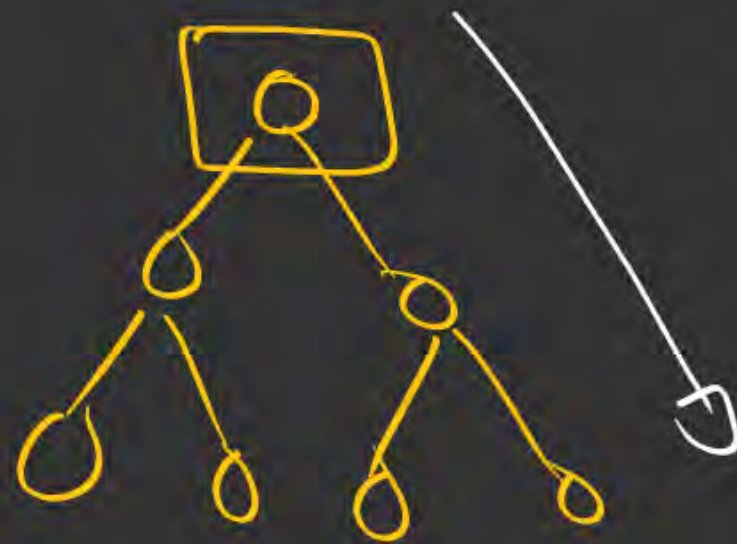
Delete 161



Time complexity of delete operation

Heap (n elems)

1 delete op \rightarrow $O(\log n)$



Adjust $\rightarrow O(\log n)$

procedure HEAPSORT (A, n)

// A(1 : n) contains n elements to be sorted.

1. call HEAPIFY (A, n)

2. for $i \leftarrow n$ to 2 by -1 do

$\left[\begin{array}{l} t \leftarrow A(i); A(i) \leftarrow A(1); A(1) \leftarrow t \\ \text{call ADJUST, (A, 1, } i-1) \end{array} \right]$

repeat

end HEAPSORT

1/p Array \longrightarrow CBT



Heap Sort :- n elems

1) Time Complexity $\rightarrow O(n \log_2 n)$

2) Space Complexity $\rightarrow O(1)$

3) Inplace

4) Not Stable

#Q. Which Array Representation is a valid Binary Max-Heap

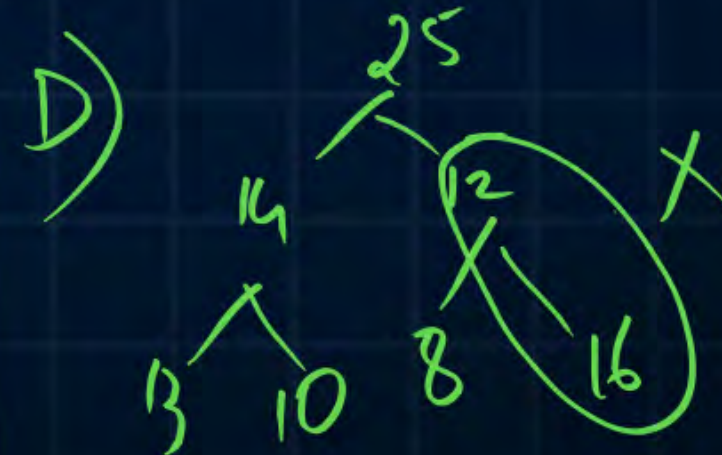
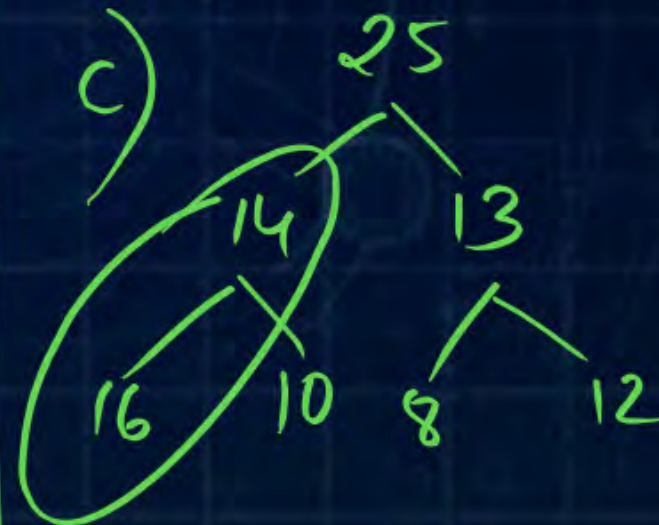
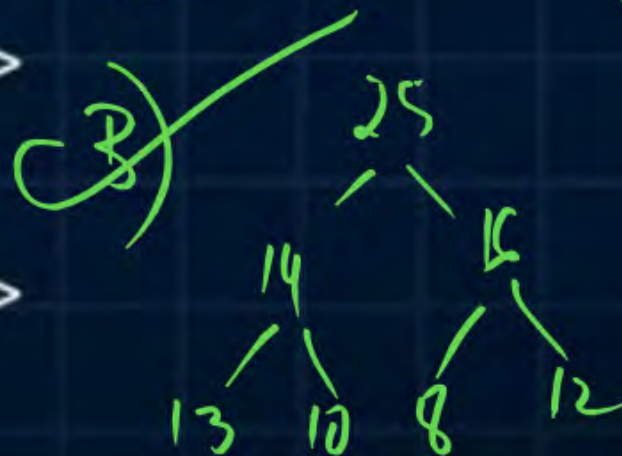
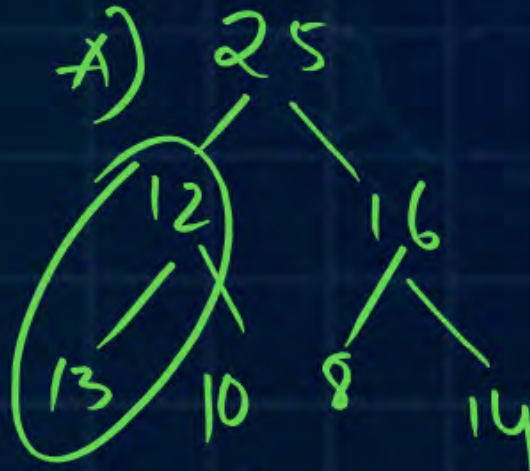
msg

~~A~~ <25, 12, 16, 13, 10, 8, 14>

~~B~~ <25, 14, 16, 13, 10, 8, 12>

~~C~~ <25, 14, 13, 16, 10, 8, 12>

~~D~~ <25, 14, 12, 13, 10, 8, 16>



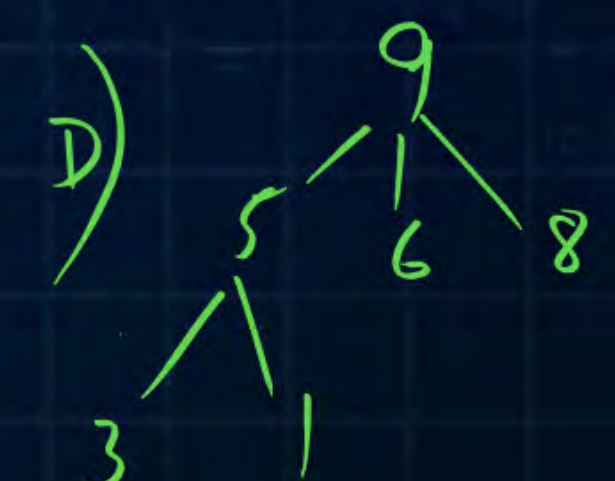
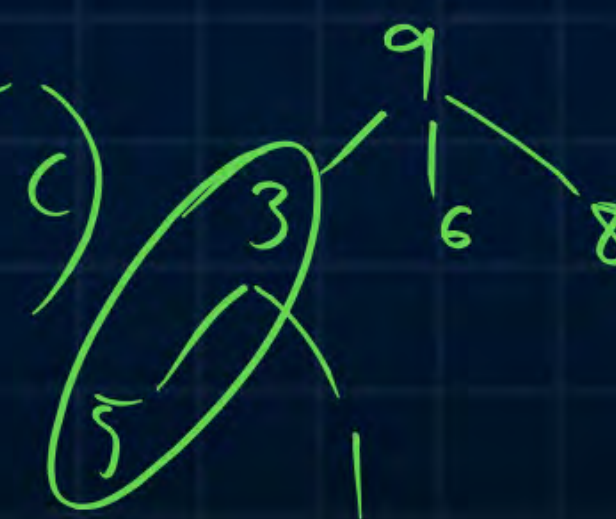
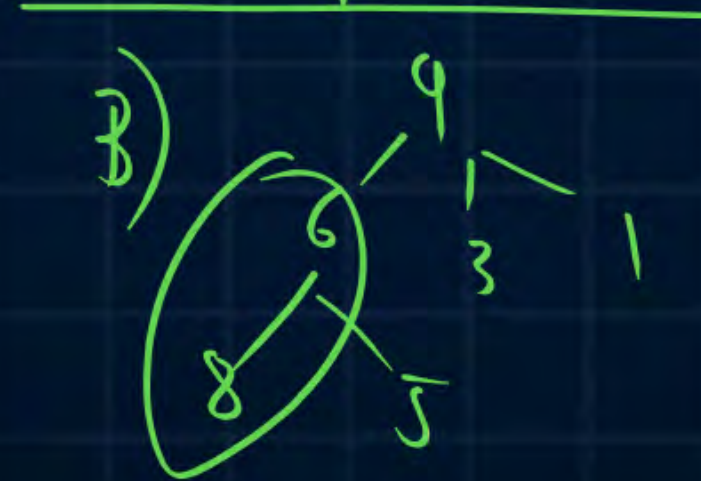
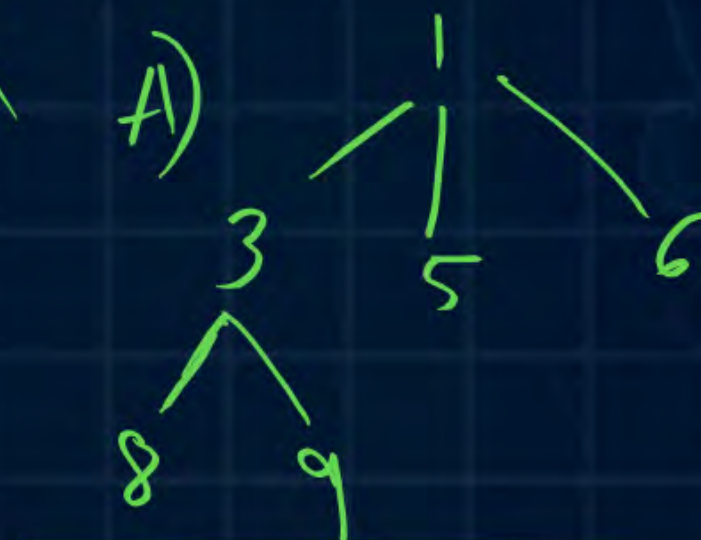
#Q. Which one is valid 3-ary Maximum Heap Array representation

A ~~X~~ $\langle 1, 3, 5, 6, 8, 9 \rangle$ ~~X~~

B ~~X~~ $\langle 9, 6, 3, 1, 8, 5 \rangle$

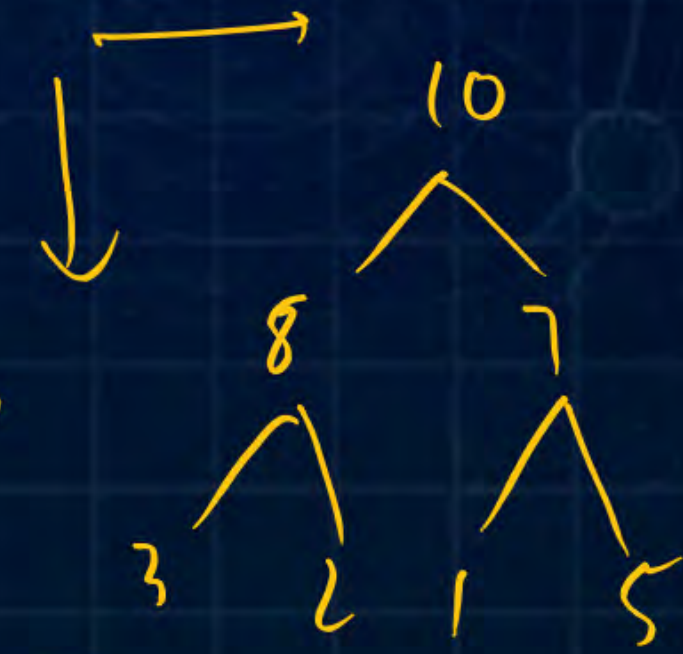
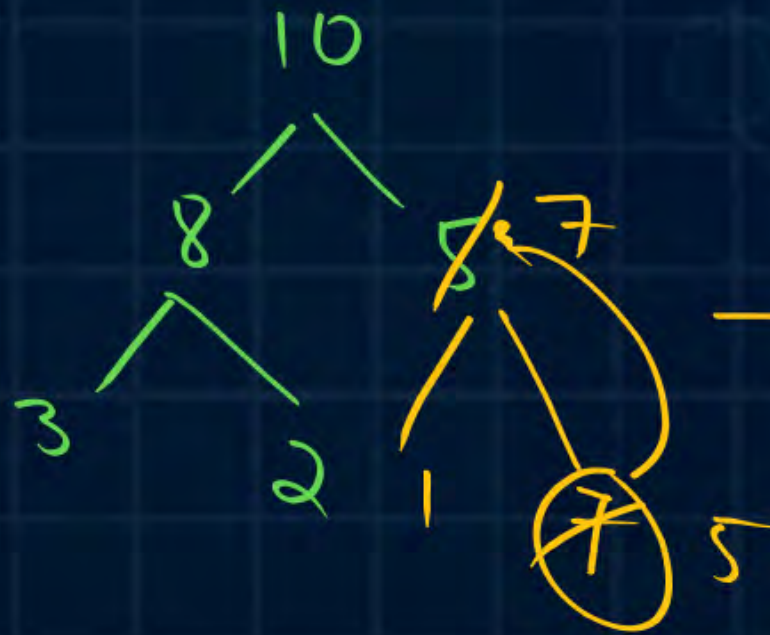
C ~~X~~ $\langle 9, 3, 6, 8, 5, 1 \rangle$

D $\langle 9, 5, 6, 8, 3, 1 \rangle$



#Q. Level order traversal of a binary max heap generates: $\langle 10, 8, 5, 3, 2 \rangle$. To this Heap Insert: $\langle 1$ and $7 \rangle$; What is the resultant level order Traversal

given Heap:



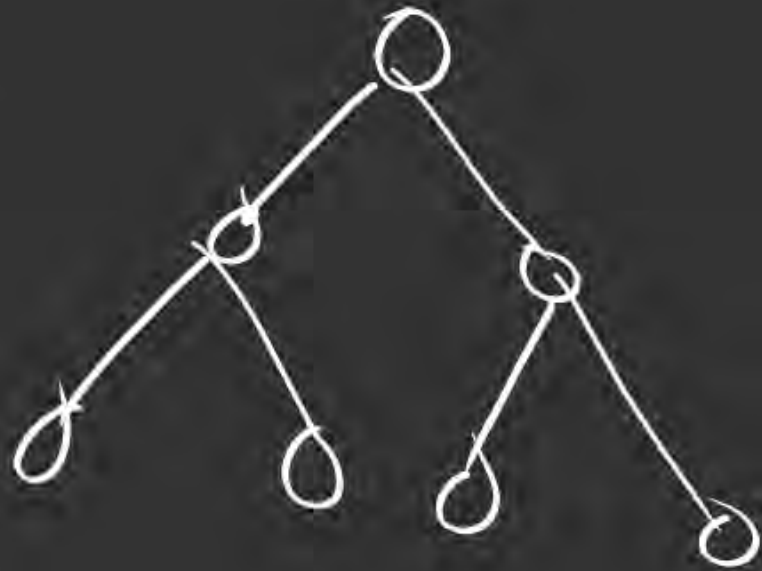
[10 8 7 3 2 1 5]

#Q. In a Binary Max-Heap with n elements, the smallest element can be found in time of ____.

- A) $O(n \log n)$ X
- B) $O(\log n)$
- C) $O(n)$
- D) $O(n^2)$

"Worst Case Complexity of the most optimal algo"

Case 1

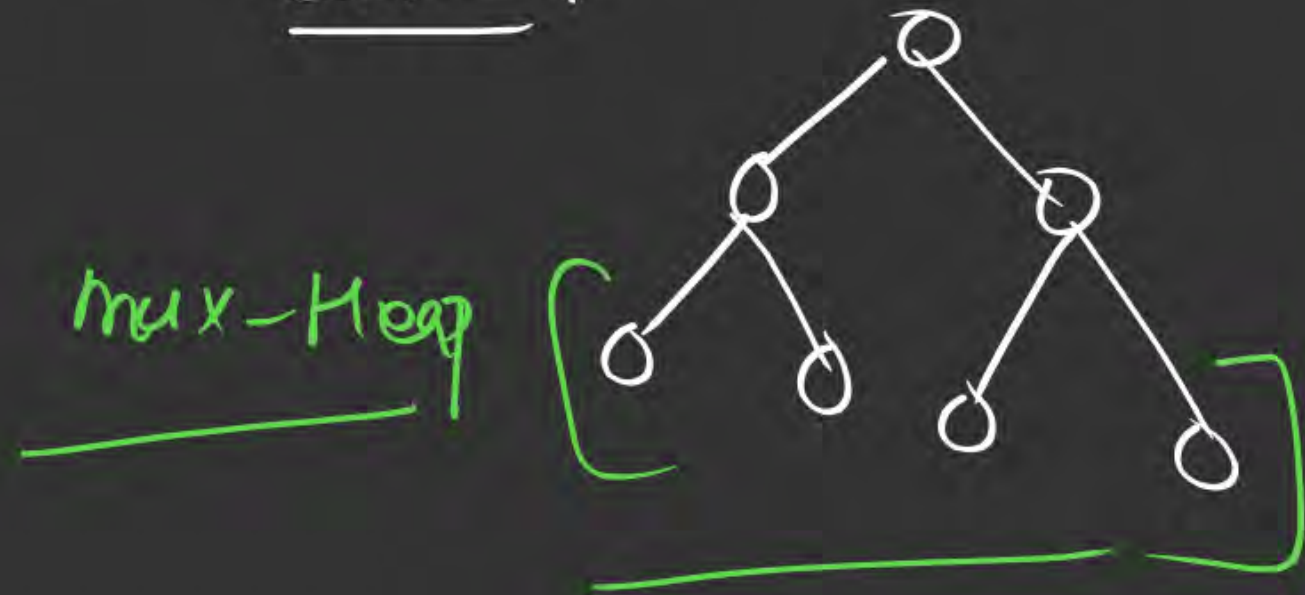


1) Heap \rightarrow Heap Sort



$O(n \log n)$

Case 2 :-

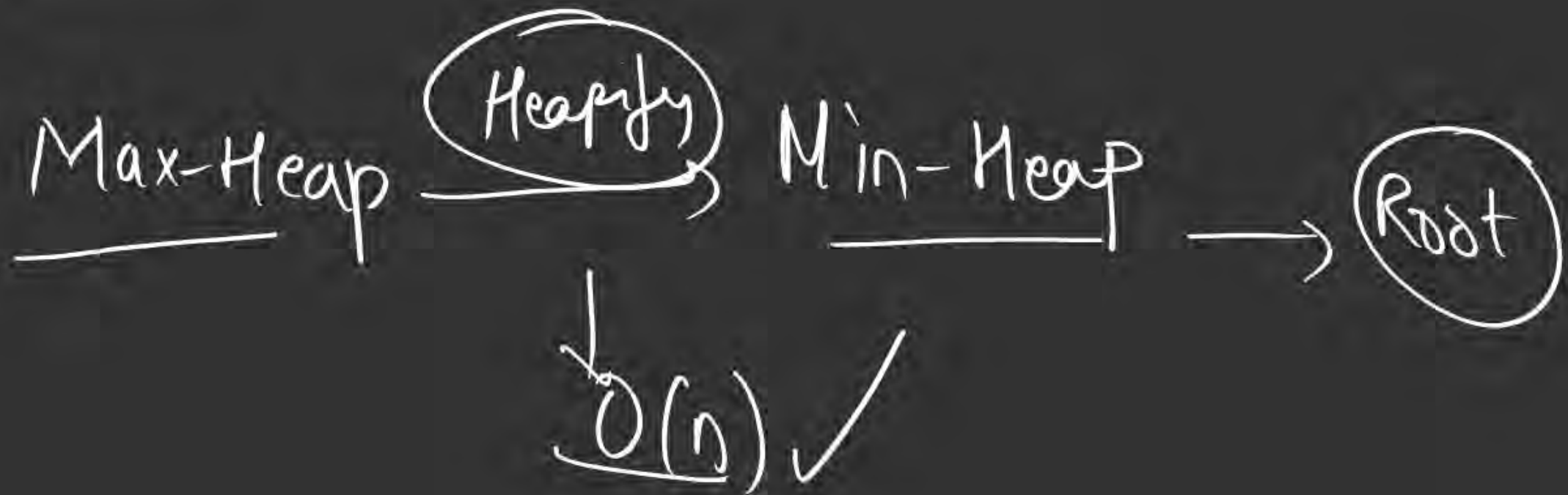


min-element
will be at leaf

$$\approx \lfloor n/2 \rfloor$$

$$= O(n/2) = \underline{O(n)}$$

Case 3:- Heapify



#Q. Given binary Heap with 'n' elements & it is required to insert 'n' more elements not necessarily one after another into this Heap. Total time required for this operation is:

- A** $O(n^2)$
- B** $n \log n$
- ☒ **C** n
- D** $n^2 \log n$

Given
array-1

Heap of
n elems

n new
elems

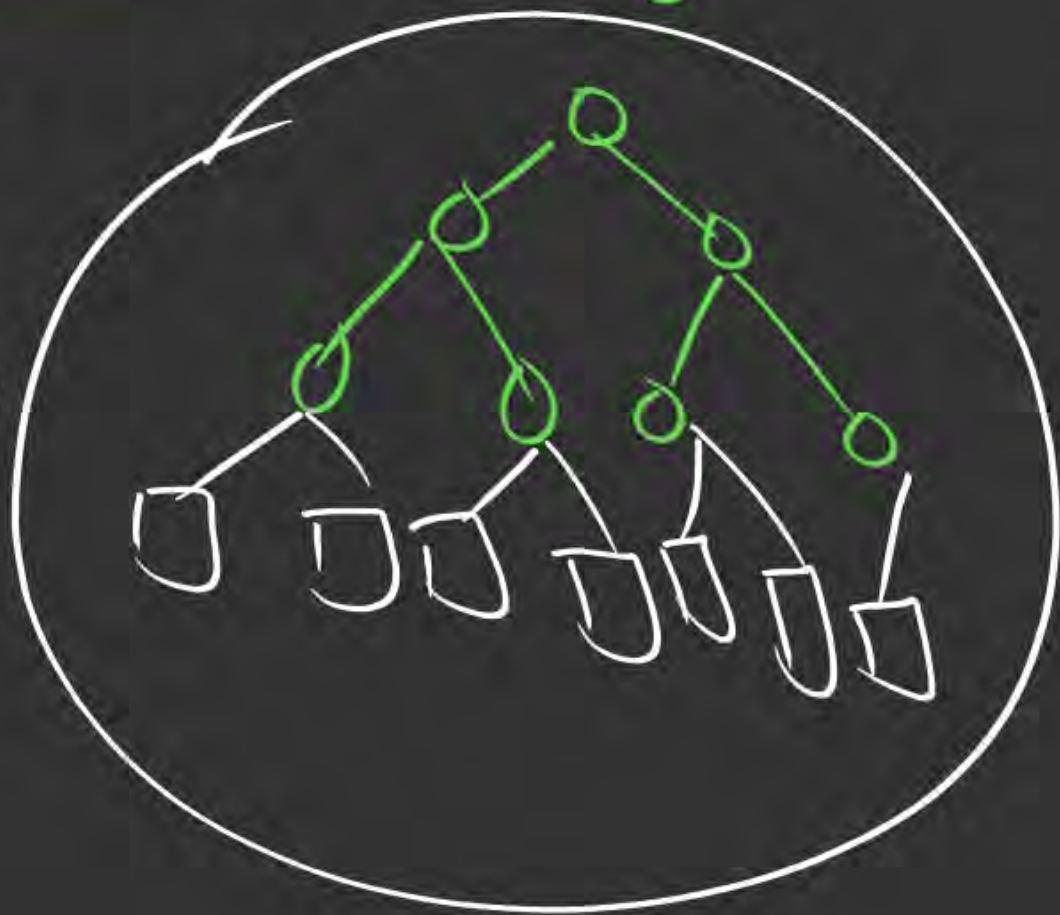
1) Insertion will

every insert $\rightarrow O(\log n)$

$\rightarrow O(n \log n)$

appx-2 : Heapify / Build Heap

CBT
of
2n elems



CBT $\xrightarrow{\text{Heapify}}$ MaxHeap
(2n) (2n)

Heapify $\rightarrow O(2n) \rightarrow \underline{O(n)}$

#Q. The approximate number of element that can be sorted in $O(\log n)$ time using Heap Sort is _____.

A) $O(\log n)$ X

B) $O(1)$ X

C) $O(n)$ X

☒ D) $O\left(\frac{\log n}{\log(\log n)}\right)$

Soln: n elems \longrightarrow $O(n \log_2(n))$

A) $\log n$ elems $\longrightarrow O(\log n * \log(\log n)) \times$

B) $O(1)$ elems \times

C) $O(n)$ elems $\longrightarrow O(n \log n) \times$

$$D) \quad n \text{ elems} \rightarrow O(n \log_2 n)$$

$$\frac{\log n}{\log(\log n)} \text{ elems} \rightarrow O\left(\frac{\log n}{\log(\log n)} * \log\left(\frac{\log n}{\log(\log n)}\right)\right)$$

$$\log(A/B) = \log A - \log B = O\left(\frac{\log n}{\log(\log n)} * \left[\log \log n - \log \log \log n\right]\right)$$

$$= O\left(\log n - \frac{\log n}{\log \log n} * \log \log \log n\right)$$

$$= \underline{O(\log n)}$$

Question



#Q. Consider a max heap, represented by the array:

40, 30, 20, 10, 15, 16, 17, 8, 4

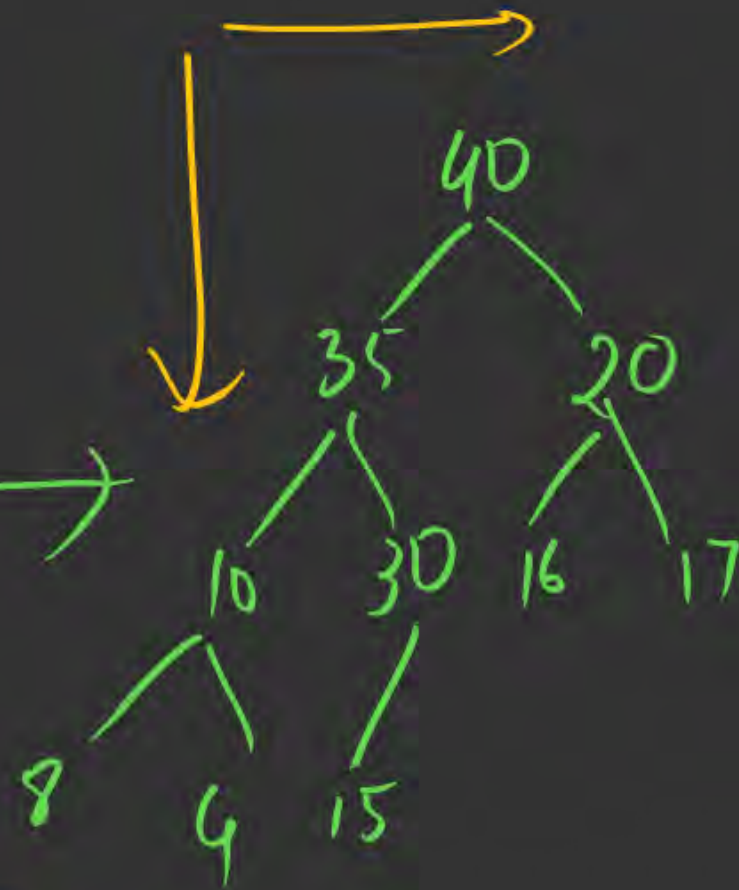
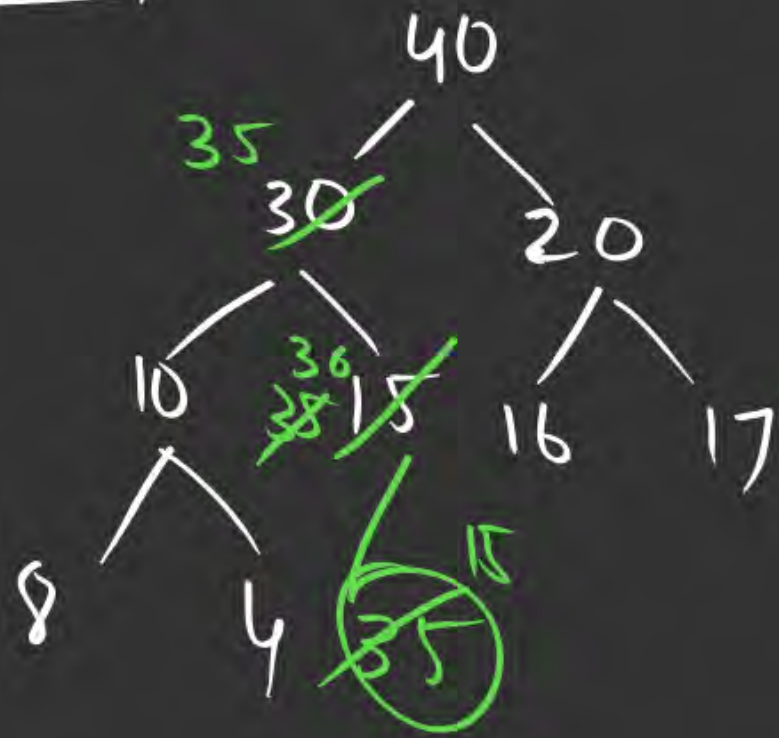
Array index	1	2	3	4	5	6	7	8	9
Value	40	30	20 ²⁰	10	15	16	17	8	4

Now consider that a value 35 is inserted into this heap. After insertion, the new ~~heap~~ ^{heap} is

- A** 40, 30, 20, 10, 15, 16, 17, 8, 4, 35 ~~X~~
- B** 40, 35, 20, 10, 30, 16, 17, 8, 4, 15 ✓
- C** 40, 30, 20, 10, 35, 16, 17, 8, 4, 15 ~~X~~
- D** 40, 35, 20, 10, 15, 16, 17, 8, 4, 30 ~~X~~

Solnt A: 40, 30, ~~20~~²⁰, 10, 15, 16, 17, 8, 4

Given Heap



O/p: [40, 35, 20, 10, 30, 16, 17, 8, 4, 15]

Question



of n elements

#Q. Given Binary Heap in Array with the smallest at the root, the 7th smallest element can be found in time complexity of ____.

min-Heap

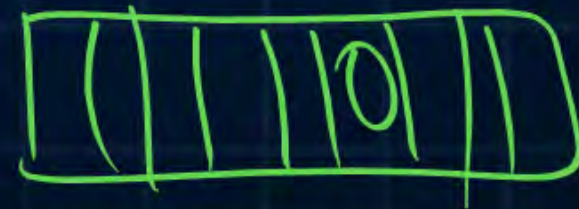
A) $O(n)$

B) $O(\log n)$

☒ C) $O(1)$

D) $O(n \log n)$

Heap Sort



Soln:- Traditional



1 deletion \rightarrow 1st min

2 deletion \rightarrow 2nd min

3rd \rightarrow 3rd min

\vdots
7th deletion \rightarrow 7th min

1 deletion $\rightarrow O(\log_2 n)$

\rightarrow 7 deletion $\rightarrow O(7 \times \log_2 n) = \underline{O(\log n)}$

min-heap

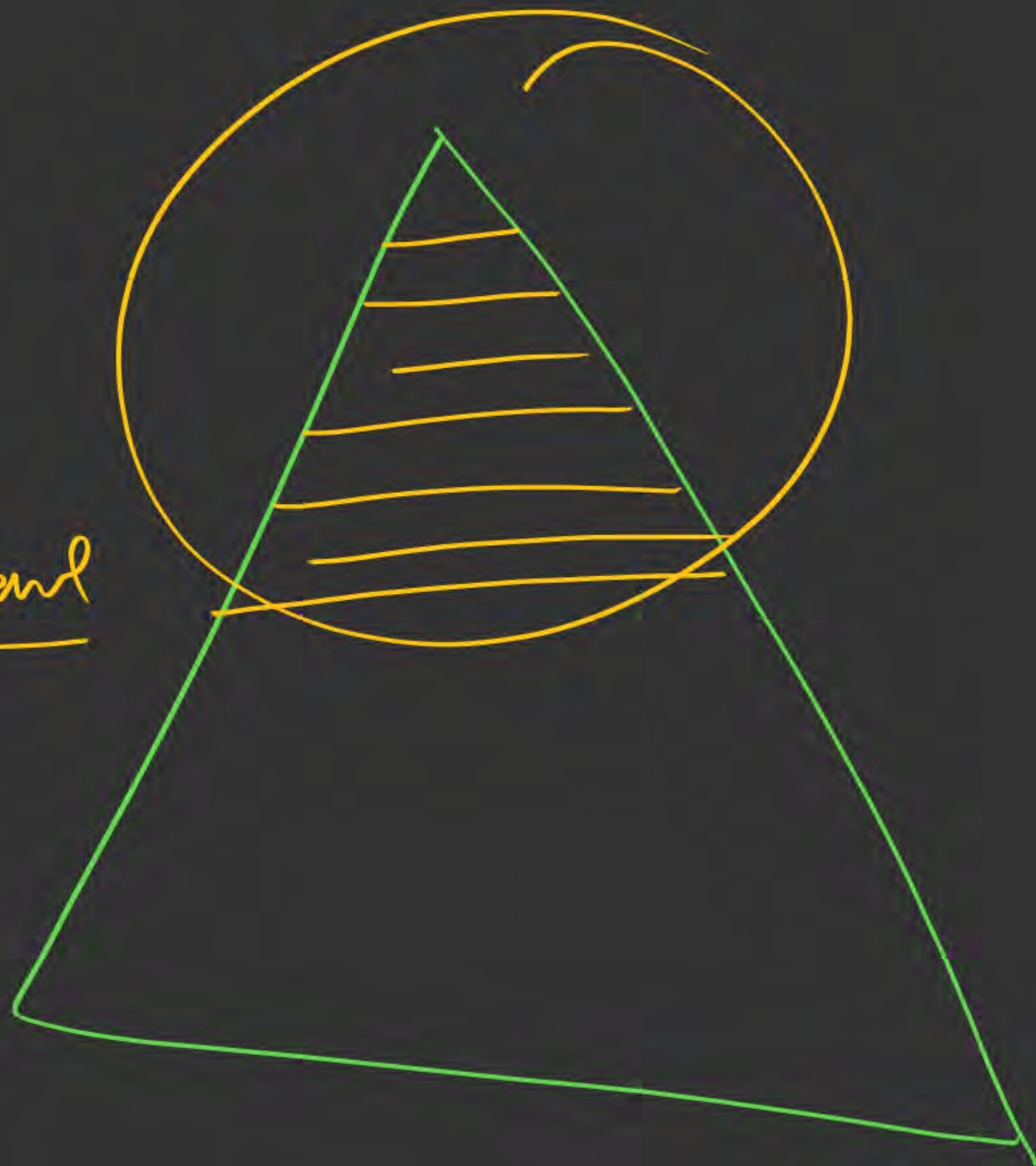


max-depth

1st min elem → 1st level
2nd min elem → 2nd level
3rd min elem → ?

7th level

in elem



1st level $\longrightarrow 2^0 = 1$ elem.

2nd level $\longrightarrow 2^1 = 2$ elems

3rd level $\longrightarrow 2^2 = 4$ elems

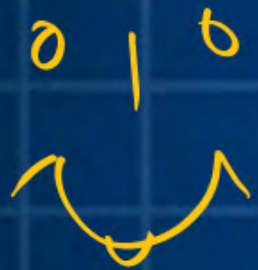
4th level $\longrightarrow 2^3 = 8$ elems

i^{th} level $\longrightarrow 2^{(i-1)}$ elems

Total elems within Top 7 levels.

$$= (2^0 + 2^1 + \dots + 2^6)$$

$$\underline{\underline{2^7(2^7 - 1)}} \quad \text{, 'indepent of } n'$$



Thank
THANK



Keep Hustling!