

DS & AI

# Database Management System



Super 1500+

Lecture No. 07



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# Recap of Previous Lecture



✓  
**Topic**

Structure Query Language (SQL)





# Topics to be Covered



Topic

File organization and Indexing





✓ #Q.36 Consider a file of 10,00 records, and disk blocks of 1000 bytes. There are two alternate options to create a single level index file:

✓ Option 1: Index file is created on an unordered key field where key field is 12 bytes long. Secondary index

✓ Option 2: Index file is created on an ordered nonkey field where non-key field is 20 bytes long. Clustering index

Block pointer size is 10 bytes long and unspanned organization is used.

Let 'X' is the blocking factor of index block using option 1 and 'Y' is the blocking factor of index block using option 2 then  $|X - Y|$  is 12.

$$Y = \frac{\text{Block size}}{(20 + 10)} = \left\lfloor \frac{1000}{30} \right\rfloor = 33$$

$$X = \frac{\text{Block size}}{(12 + 10)} = \left\lfloor \frac{1000}{22} \right\rfloor = 45$$

Ans



#Q.37 Consider an unordered file of 10,0000 records with a record size of 100 bytes stored on blocks of 1KB with an unspanned record organization. We will assume that no system related information is stored within a block. How many blocks would be needed to store this file? \_\_\_\_\_

$$\begin{aligned}
 \text{No. of blocks required} &= \frac{\text{Total no. of records}}{(\text{No. of records per block})} = \frac{10,0000}{\left\lfloor \frac{\text{B.S.}}{\text{R.S.}} \right\rfloor} = \left\lfloor \frac{1024}{100} \right\rfloor \\
 &= \frac{10,0000}{10} \\
 &= \underline{10,000}
 \end{aligned}$$

~~$\left\lfloor \frac{10,0000 \times 100}{1024} \right\rfloor$~~

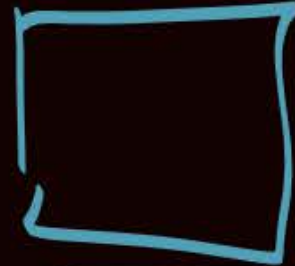


#Q.38 Which of the following is/are true for a multi-level index on a database file?

- ☒ **A** It is possible to construct more than one sparse first level indexes on different keys. ordered
- ☒ **B** It is possible to construct more than one dense first level indexes on different keys. Unordered
- ☒ **C** First level index can be sparse or dense.
- ☒ **D** There is no benefit of using a dense second level index.

2nd level index  
(Dense)

key	Ptr



-

...



1st level index { sparse or Dense }

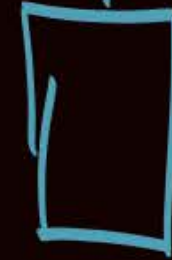
key	Ptr

} 500



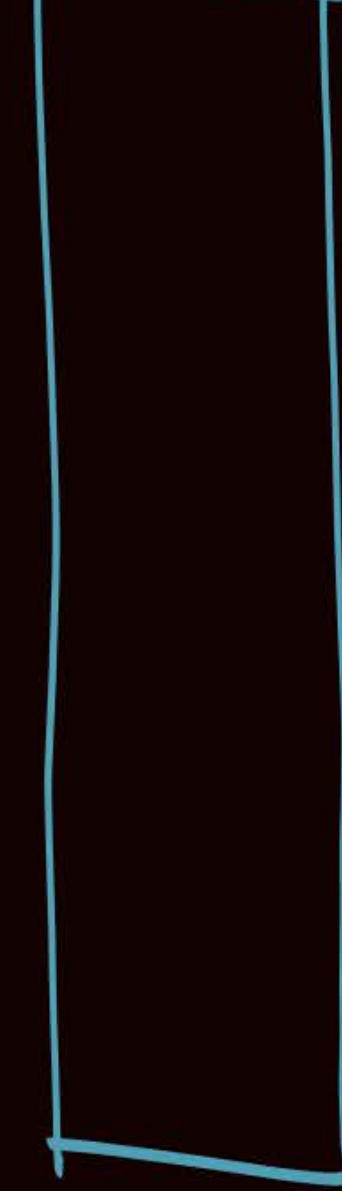
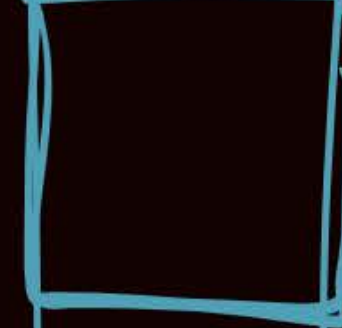
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...



File

A<sub>1</sub> A<sub>2</sub> A<sub>3</sub> A<sub>4</sub> A<sub>5</sub>





#Q.39 Consider a disk with block size  $B = 512$  bytes. A block pointer is  $PB = 6$  bytes long, and a record pointer is  $PR = 7$  bytes long. A file has 30,000 = Total no. of records EMPLOYEE records of fixed length. Each record has the following fields: Ename (30 bytes), Eid (9 bytes), Did (9 bytes), Location (40 bytes), Contact (9 bytes), DOB (8 bytes), Gender (1 byte), Role (4 bytes), and Salary (4 bytes). Other than the specified fields, an additional byte is used as a deletion marker in each record. Suppose the file is ordered by the key field Eid and we want to construct a primary index on Eid.

The record size of the file is \_\_\_\_\_ bytes

$$= (30 + 9 + 9 + 40 + 9 + 8 + 1 + 4 + 4) + 1$$
$$= (115)$$



Record size = 115 bytes



#Q.40 Consider a disk with block size  $B = 512$  bytes. A block pointer is  $PB = 6$  bytes long, and a record pointer is  $PR = 7$  bytes long. A file has 30,000 EMPLOYEE records of fixed length. Each record has the following fields: Ename (30 bytes), Eid (9 bytes), Did(9 bytes), Location (40 bytes), Contact (9 bytes), DOB(8 bytes), Gender (1 byte), Role(4 bytes), and Salary (4 bytes). Other than the specified fields, an additional byte is used as a deletion marker in each record. Suppose the file is ordered by the key field Eid and we want to construct a primary index on Eid.

The number of disk blocks required to store the file assuming an unspanned organization is used \_\_\_\_\_

$$= \frac{\text{No. of records}}{\text{No. of records per block}} = \frac{30,000}{\left\lfloor \frac{512}{115} \right\rfloor} = \frac{30,000}{4} = \underline{\underline{7500}}$$



# Blocks to store file = 7500

, R.S = 115

, B.F of database block = 4



#Q.41 Consider a disk with block size  $B = 512$  bytes. A block pointer is  $PB = 6$  bytes long, and a record pointer is  $PR = 7$  bytes long. A file has 30,000 EMPLOYEE records of fixed length. Each record has the following fields: Ename (30 bytes), Eid (9 bytes), Did (9 bytes), Location (40 bytes), Contact (9 bytes), DOB (8 bytes), Gender (1 byte), Role (4 bytes), and Salary (4 bytes). Other than the specified fields, an additional byte is used as a deletion marker in each record. Suppose the file is ordered by the key field Eid and we want to construct a primary index on Eid.

The blocking factor of index block is \_\_\_\_\_

$$\left\lfloor \frac{512}{9+6} \right\rfloor = 34$$



#Q.42 Consider a disk with block size  $B = 512$  bytes. A block pointer is  $PB = 6$  bytes long, and a record pointer is  $PR = 7$  bytes long. A file has 30,000 EMPLOYEE records of fixed length. Each record has the following fields: Ename (30 bytes), Eid (9 bytes), Did(9 bytes), Location (40 bytes), Contact (9 bytes), DOB(8 bytes), Gender (1 byte), Role(4 bytes), and Salary (4 bytes). Other than the specified fields, an additional byte is used as a deletion marker in each record. Suppose the file is ordered by the key field Eid and we want to construct a primary index on Eid.

Total number of entries in first level index is  $= \frac{\text{No. of blocks to store DB file}}{PB} = 7500$

Primary index is always sparse in general 1 entry per block of database file



#Q.43 Consider a disk with block size  $B = 512$  bytes. A block pointer is  $PB = 6$  bytes long, and a record pointer is  $PR = 7$  bytes long. A file has 30,000 EMPLOYEE records of fixed length. Each record has the following fields: Ename (30 bytes), Eid (9 bytes), Did(9 bytes), Location (40 bytes), Contact (9 bytes), DOB(8 bytes), Gender (1 byte), Role(4 bytes), and Salary (4 bytes). Other than the specified fields, an additional byte is used as a deletion marker in each record. Suppose the file is ordered by the key field Eid and we want to construct a primary index on Eid.

Total number of disk blocks required to store first level index is \_\_\_\_\_

$$\frac{\text{\# Entries needs to be stored}}{\text{No. of entries per block}} = \frac{7500}{34} = 220.58 \approx 221$$





#Q.44 Consider a disk with block size  $B = 512$  bytes. A block pointer is  $PB = 6$  bytes long, and a record pointer is  $PR = 7$  bytes long. A file has 30,000 EMPLOYEE records of fixed length. Each record has the following fields: Ename (30 bytes), Eid (9 bytes), Did(9 bytes), Location (40 bytes), Contact (9 bytes), DOB(8 bytes), Gender (1 byte), Role(4 bytes), and Salary (4 bytes) Other than the specified fields, an additional byte is used as a deletion marker in each record. Suppose the file is ordered by the key field Eid and we want to construct a primary index on Eid.

Total number of disk blocks required to store first level index is\_\_\_\_\_

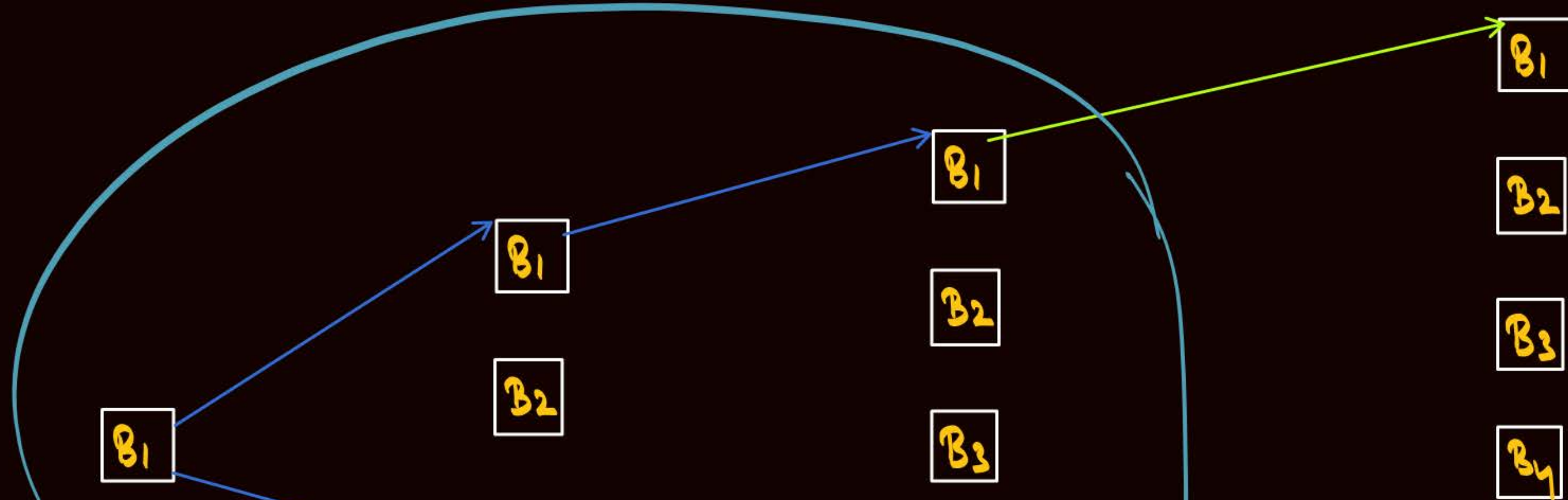


#Q.45 Consider a disk with block size  $B = 512$  bytes. A block pointer is  $PB = 6$  bytes long, and a record pointer is  $PR = 7$  bytes long. A file has 30,000 EMPLOYEE records of fixed length. Each record has the following fields: Ename (30 bytes), Eid (9 bytes), Did(9 bytes), Location (40 bytes), Contact (9 bytes), DOB(8 bytes), Gender (1 byte), Role(4 bytes), and Salary (4 bytes). Other than the specified fields, an additional byte is used as a deletion marker in each record. Suppose the file is ordered by the key field Eid and we want to construct a primary index on Eid.

✦ If we decide to create a multi-level index, then total number of levels needed in multi-level index is 3

$$\text{IO Cost using Multi-level index} = (\text{No. of levels} + 1) = 3 + 1 = 4$$





3<sup>rd</sup> level index

$$\# \text{ Blocks} = \left\lceil \frac{7}{34} \right\rceil = 1$$

Only '1' Block  
 $\therefore$  last level

2<sup>nd</sup> level index

$$\# \text{ Blocks} = \left\lceil \frac{221}{34} \right\rceil = 7$$

(Sparse)

2<sup>nd</sup> level onwards  
 indices are always sparse

1<sup>st</sup> level index  
 is primary index  
 $\therefore$  sparse

B7500  
 DB file



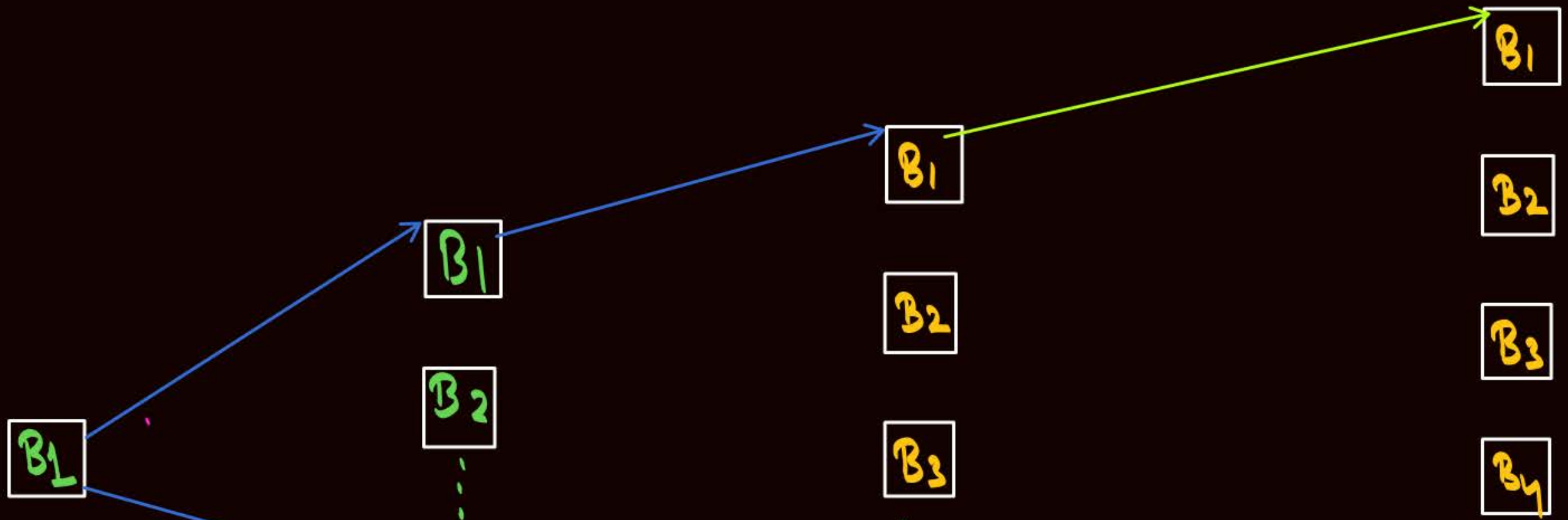
#Q.45 Consider a disk with block size  $B = 512$  bytes. A block pointer is  $PB = 6$  bytes long, and a record pointer is  $PR = 7$  bytes long. A file has 30,000 EMPLOYEE records of fixed length. Each record has the following fields: Ename (30 bytes), Eid (9 bytes), Did(9 bytes), Location (40 bytes), Contact (9 bytes), DOB(8 bytes), Gender (1 byte), Role(4 bytes), and Salary (4 bytes). Other than the specified fields, an additional byte is used as a deletion marker in each record. Suppose the file is ordered by the key field Eid and we want to construct a primary index on Eid.

★ If we decide to create a multi-level index, then total number of levels needed in multi-level index is 3

(given first level index is dense index)

$$\text{IO Cost using multi-level index} = (\text{No. of levels} + 1) = 3 + 1 = 4$$





3<sup>rd</sup> level index  
(Sparse)

$$\# \text{ Blocks} = \left\lceil \frac{26}{34} \right\rceil = 1$$

'1' ... last level

2<sup>nd</sup> level index  
Sparse

$$\# \text{ Block} = \left\lceil \frac{883}{34} \right\rceil = 26$$

1<sup>st</sup> level  
Dense index

$$\# \text{ Blocks} = \left\lceil \frac{\# \text{ Entries}}{\text{B.f}} \right\rceil = \left\lceil \frac{30,000}{34} \right\rceil$$

= 883

B7500  
DB file



#Q.46 Consider a disk with block size  $B = 512$  bytes. A block pointer is  $PB = 6$  bytes long, and a record pointer is  $PR = 7$  bytes long. A file has 30,000 EMPLOYEE records of fixed length. Each record has the following fields: Ename (30 bytes), Eid (9 bytes), Did(9 bytes), Location (40 bytes), Contact (9 bytes), DOB(8 bytes), Gender (1 byte), Role(4 bytes), and Salary (4 bytes). Other than the specified fields, an additional byte is used as a deletion marker in each record. Suppose the file is ordered by the key field Eid and we want to construct a primary index on Eid.

If we decide to create a multi-level index, then total number disk blocks required to store multi-level index is \_\_\_\_\_

$$\left\{ \begin{array}{l} \text{When first level index} \\ \text{18 primary index} \end{array} \right\} = 221 + 7 + 1 = 229$$



#Q.46 Consider a disk with block size  $B = 512$  bytes. A block pointer is  $PB = 6$  bytes long, and a record pointer is  $PR = 7$  bytes long. A file has 30,000 EMPLOYEE records of fixed length. Each record has the following fields: Ename (30 bytes), Eid (9 bytes), Did(9 bytes), Location (40 bytes), Contact (9 bytes), DOB(8 bytes), Gender (1 byte), Role(4 bytes), and Salary (4 bytes). Other than the specified fields, an additional byte is used as a deletion marker in each record. Suppose the file is ordered by the key field Eid and we want to construct a primary index on Eid.

If we decide to create a multi-level index, then total number disk blocks required to store multi-level index is \_\_\_\_\_

$$\left\{ \begin{array}{l} \text{When first level index} \\ \text{1\& secondary index} \end{array} \right\} = 883 + 26 + 1 = 910$$



#Q.47 Consider a B tree in which the maximum numbers of keys in a node is '9',  
 The minimum numbers of keys a non-root may have is Ans = 4

If order of a node of B tree is 'p'  
 then Maximum no. of keys a node can have =  $p - 1 = 9$   
 $\Rightarrow p = 10$   
Ans

Minimum No. of keys a non-root node must have is  $\lceil \frac{p}{2} \rceil - 1$   
 $= \lceil \frac{10}{2} \rceil - 1 = 5 - 1 = 4$



#Q.48 Let 'X' denotes the order of an internal node of B+tree, and 'Y' denotes order of a leaf node of B+tree.

If disk block size is 1024 bytes, search key is 15 bytes long, block pointer is 10 bytes long and record pointer is 10 byte long then maximum

value of X+Y is (41+40) = 81  
= Ans

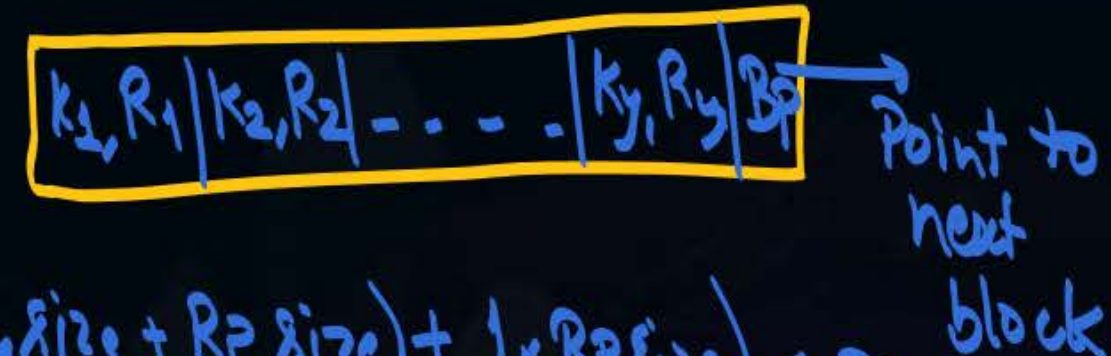


$$(X \times \text{Bp size} + (X-1) \times \text{key size}) \leq \text{Block size}$$

$$(X \times 10 + (X-1) \times 15) \leq 1024$$

$$25X \leq 1024 + 15$$

$$X \leq 41.56 \Rightarrow \boxed{X_{\max} = 41}$$



$$(Y \times (\text{key size} + \text{Rp size}) + 1 \times \text{Bp size}) \leq \text{Block size}$$

$$Y \times (15 + 10) + 10 \leq 1024$$

$$25Y \leq 1014 \Rightarrow Y \leq 40.56 \Rightarrow \boxed{Y_{\max} = 40}$$



#Q.49 Let 'X' denotes the order of an internal node of B-tree, and 'Y' denotes order of a leaf node of B-tree.

If disk block size is 1024 bytes, search key is 15 bytes long, block pointer is 10 bytes long and record pointer is 10 byte long then maximum value of X+Y is 29+29 = 58 Ans

• For a B tree, order of internal node is same as order of leaf node  
i.e.  $X=Y$



$$35X \leq 1024 + 25$$

$$35X \leq 1049$$

$$X \leq 29.97$$

$$X_{\max} = 29, Y_{\max} = 29$$

$$(X \times \text{Bp size}) + (X-1)(\text{key size} + \text{R.p size}) \leq \text{Block size}$$

$$X \times 10 + (X-1)(15+10) \leq 1024$$





2 mins Summary



✓  
Topic

File organization and Indexing

Slide





**THANK - YOU**