

# GATE

## CRASH COURSE

**DS & AI**

**Database Management System**

**ER Model**

**&**

**Relational Model  
(Part-3)**

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✓  
Lec No. 10





# Topics *to be covered*

- 1 Functional dependency ✓
- 2 Closure of an attribute set ✓
- 3 Super key and candidate key ✓
- 4 Relationship between two FD sets ✓





## Topic : Functional dependency (FD)

- Functional dependency defines the relationship between two sets of attributes in a relational table.

$R(A, B, C, D, E)$

let  $X = \{A, B\}$

$Y = \{C, E\}$

if  $X \rightarrow Y$

i.e., if  $\{A, B\} \rightarrow \{C, E\}$

then if we know values of A & B,  
then we can determine values  
of "C & E"



if  $X \rightarrow Y$  exists in  $rel^n R$ .

{ then whenever values w.r.t. attribute set 'X' are same in any pair of tuples, then values w.r.t. attribute set Y will also be equal in those tuple

→ if two tuples  $t_1, t_2 \in R$  s.t.  
 $t_1.X = t_2.X$ , but  $t_1.Y \neq t_2.Y$ , then we can conclude that " $X \rightarrow Y$ " does not hold in  $rel^n R$



#Q. From the following instance of a relation schema  $R(A, B, C)$ , we can conclude

that:  $A \rightarrow B$  *can not conclude* *may hold in rel<sup>n</sup> R*

A	B	C
1	1	1
1	1	0
2	3	2
2	3	2

" $B \rightarrow C$ " does not hold in rel<sup>n</sup> R

Student

Sid	Sname
1	A
2	B
3	A
4	C

Student

Sid	Sname
1	A
2	B
3	C
4	D

~~(A)~~ A functionally determines B, and B functionally determines C

~~(B)~~ A functionally determines B, and (B does not functionally determine C)

~~(C)~~ B does not functionally determine C

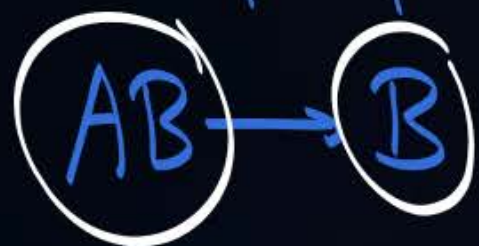
~~(D)~~ A does not functionally determine B, and (B does not functionally determine C)





## Topic : Types of Functional Dependency

A	B	C
1	2	1
1	3	3
1	4	5
1	4	7



① Trivial FD : if  $X \supseteq Y$ , then FD  $X \rightarrow Y$  is called a trivial FD.  
eg:  $AB \rightarrow B$  → Every trivial functional dep. will always hold in  $Rel^h$

② Non-trivial FD : if  $X \rightarrow Y$  holds in  $rel^h R$ , and  $X \cap Y = \emptyset$ , then  $X \rightarrow Y$  is a non-trivial FD.  
 $AB \rightarrow CD$

③ Semi-non-trivial FD : if  $X \rightarrow Y$  holds in  $rel^h R$  and (i)  $X \not\supseteq Y$  and (ii)  $X \cap Y \neq \emptyset$ , then  $X \rightarrow Y$  is called a semi-non-trivial FD.  
 $AB \rightarrow BC = \begin{matrix} AB \rightarrow B \\ AB \rightarrow C \end{matrix}$



Q:- Let  $R(A, B, C)$  be a relation, how many different non-trivial FDs can be defined w.r.t. attributes of rel<sup>n</sup> R.

$$A \rightarrow B$$

$$A \rightarrow C$$

$$B \rightarrow A$$

$$B \rightarrow C$$

$$C \rightarrow A$$

$$C \rightarrow B$$

$$A \rightarrow BC$$

$$B \rightarrow AC$$

$$C \rightarrow AB$$

$$AB \rightarrow C$$

$$AC \rightarrow B$$

$$BC \rightarrow A$$

$$Ans = 12$$

$$X \rightarrow Y$$

$$3C_1 * (2C_1 + 2C_2) = 3 * 3 = 9$$

$$3C_2 * (1C_1) = 3 * 1 = 3$$

eg:  $R(A, B, C, D, E)$

$$|X|=1$$

$$5C_1 * (4C_1 + 4C_2 + 4C_3 + 4C_4)$$

$$|X|=2$$

$$5C_2 * (3C_1 + 3C_2 + 3C_3)$$

$$|X|=3$$

$$5C_3 * (2C_1 + 2C_2)$$

$$|X|=4$$

$$5C_4 * (1C_1)$$





## Topic : Properties of Functional Dependencies

- ① Reflexivity:- In FD  $X \rightarrow Y$ , if  $X \supseteq Y$  then  $X \rightarrow Y$  is reflexive FD, and Every reflexive FD always holds true in  $rel^h$ .
- ② Augmentation:- If  $X \rightarrow Y$  exists in the relation then  $XZ \rightarrow YZ$  will also exist in the  $rel^h$ .
- ③ Transitivity:- If  $X \rightarrow Y$  and  $Y \rightarrow Z$  exist in the  $rel^h$  then  $X \rightarrow Z$  will also exist in  $Rel^h$ .

Armstrong's Axioms





## Topic : Properties of Functional Dependencies

④ Decomposition :  
(Splitting Rule)

If  $X \rightarrow YZ$  exists in the relation  
then  $X \rightarrow Y$  and  $X \rightarrow Z$  will also hold in  $Rel^h$   
but if  $XY \rightarrow Z$  exists in  $Rel^h$   
then  $(X \rightarrow Z \ \& \ Y \rightarrow Z)$  need not hold in relation

⑤ Composition :

if  $X \rightarrow Y$  &  $P \rightarrow Q$  exists in  $Rel^h$   
then  $XP \rightarrow YQ$  will also exist in  $Rel^h$

⑥ Union :-

if  $X \rightarrow Y$  &  $X \rightarrow Z$  exists in  $rel^h$   
then  $X \rightarrow YZ$  will also exist in the  $rel^h$



## ⑦ Pseudo transitivity :-

If  $AB \rightarrow C$  &  $BC \rightarrow D$  exists in the rel<sup>n</sup>  
then  $AB \rightarrow CD$  will also exist in Rel<sup>n</sup>

$$(AB)^+ = \{A, B, C, D\}$$

$$AB \rightarrow \underbrace{AB}_{\text{trivial}} CD \Rightarrow \boxed{AB \rightarrow CD}$$





## Topic : Closure of an attribute set

Closure of an attribute set  $X$  (i.e.,  $X^+$ ) can be defined as set of all the attributes which can be functionally determined from attribute of set  $X$ .



#e.g. Consider the following FD set

$F = \{ AB \rightarrow CD, AF \rightarrow D, DE \rightarrow F, C \rightarrow G, F \rightarrow E, G \rightarrow A \}$

find the closure of following set of attributes.

$$(i) \{C, F\}^+ = \{C, F, G, E, A, D\}$$

$$(ii) \{B, G\}^+ = \{B, G, A, C, D\}$$

$$(iii) \{A, F\}^+ = \{A, F, D, E\}$$

$$(iv) \{A, B\}^+ = \{A, B, C, D, G\}$$



Key Concept :-

A set of attribute that can determine all the attributes of a relation is called a key of that rel<sup>n</sup>.

\*  $R(A, B, C, D, E)$

$$(ABCDE)^+ = \{A, B, C, D, E\}$$

$\therefore (ABCDE)$  is a key of rel<sup>n</sup> R





## Topic : Super key



Let  $R$  be the relational schema, and let  $X$  be some attribute set over relation  $R$ . If  $X^+$  determines all attributes of relation  $R$ , then  $X$  is called super key of relation  $R$ .



#e.g. Assume a relation  $R(A, B, C, D)$  that has the following functional dependencies:

- $A \rightarrow B$
- $B \rightarrow C$
- $C \rightarrow D$

Find all superkeys of relation  $R$

$$(A)^+ = \{A, B, C, D\}$$

$$(AB)^+ = \{A, B, C, D\}$$

$$(AC)^+ = \{A, B, C, D\}$$

$$(AD)^+ = \{A, B, C, D\}$$

$$(ABC)^+ = \{A, B, C, D\}$$

$$(ABD)^+ = \{A, B, C, D\}$$

$$(ACD)^+ = \{A, B, C, D\}$$

$$(ABCD)^+ = \{A, B, C, D\}$$

All of them are S.K.

$$(B)^+ = \{B, C, D\}$$

$$(BC)^+ = \{B, C, D\}$$

$$(BD)^+ = \{B, C, D\}$$

$$(BCD)^+ = \{B, C, D\}$$

$$(C)^+ = \{C, D\}$$

$$(CD)^+ = \{C, D\}$$

$$(D)^+ = \{D\}$$

Not all attributes

None of them are S.K.





## Topic : Candidate key (Minimal Super key)

No attribute can be removed

without destroying its property of being a Key

Let  $R$  be the relational schema, and let  $X$  be the super key of relation  $R$ .

If no proper subset of  $X$  is a super key, then  $X$  is minimal super key i.e.,  $X$  is Candidate key

$R(A, B, C, D, E)$

Proper Subsets of  $\{A, B, C\}$

$(ABC)^+ = \{A, B, C, D, E\}$   
All attributes  
 $\therefore (ABC)$  is S.K.

if,

$\{A\}^+ = \dots$   
 $\{B\}^+ = \dots$   
 $\{C\}^+ = \dots$   
 $\{A, B\}^+ = \dots$   
 $\{A, C\}^+ = \dots$   
 $\{B, C\}^+ = \dots$

Not all attribute

None of them are S.K.  
 $\Downarrow$   
 $\therefore (ABC)$  is Minimal S.K.  
i.e.,  $(ABC)$  is C.K.



- ① A relation may have more than one C.K.
- ② Attributes that belongs to any of the C.K. are called Prime attributes, and attributes that does not belong to any of the C.K. are called Non-prime attributes
- ③ A Superkey with a single attribute is always a C.K.
- ④ Every C.K. is a S.K., but Every S.K. need not be a C.K.
- ⑤ A C.K. which is formed of a single attribute is called a Simple C.K., if C.K. is formed by combining two or more attributes, then it is called Composite C.K.



#Q. Assume a relation R (A, B, C, D, E) that has the following functional dependencies:

$\underline{AB} \rightarrow C,$   
 $\underline{B} \rightarrow E,$   
 $C \rightarrow D$

Find the Candidate key of R.

A & B are not present in R.H.S. of any FD,  
 $\therefore$  A & B are essential attributes  
 $\therefore$  Every essential attribute must be present in Every key.

$(ABD)$  is C.K

$(AB)^+ = \{A, B, C, E, D\}$   
 all attributes

$\therefore (AB)$  is S.K

Proper subsets of {A, B}

$\{A\}^+ = \{A\}$   
 Not a S.K

$\{B\}^+ = \{B, E\}$   
 Not a S.K

No proper subset of AB is a S.K.  
 Hence  $(AB)$  is C.K

C.K = (AB)

$\therefore$  Prime attributes = {A, B}  
 None of the P.A is Present in R.H.S. of any FD  
 $\therefore$  Relation will have Only one C.K i.e. (AB)  
 Hence {C, D, E} are non-prime attributes

Note:-

Let  $R$  be the relational schema  
and a non-trivial FD  $X \rightarrow Y$  exists in rel<sup>n</sup>  $R$   
such that, " $Y$ " is a prime attribute,  
then relation  $R$  will have more than one C.K.



#Q. Assume a relation  $R(A, B, C, D)$  that has the following functional dependencies:

$$\underline{AB} \rightarrow CD, \quad \begin{matrix} AB \rightarrow C \\ AB \rightarrow D \end{matrix}$$

$$D \rightarrow A$$

Find all the Candidate keys of  $R$ .

$$(AB)^+ = \{A, B, C, D\}$$

$\therefore (AB)$  is a S.K

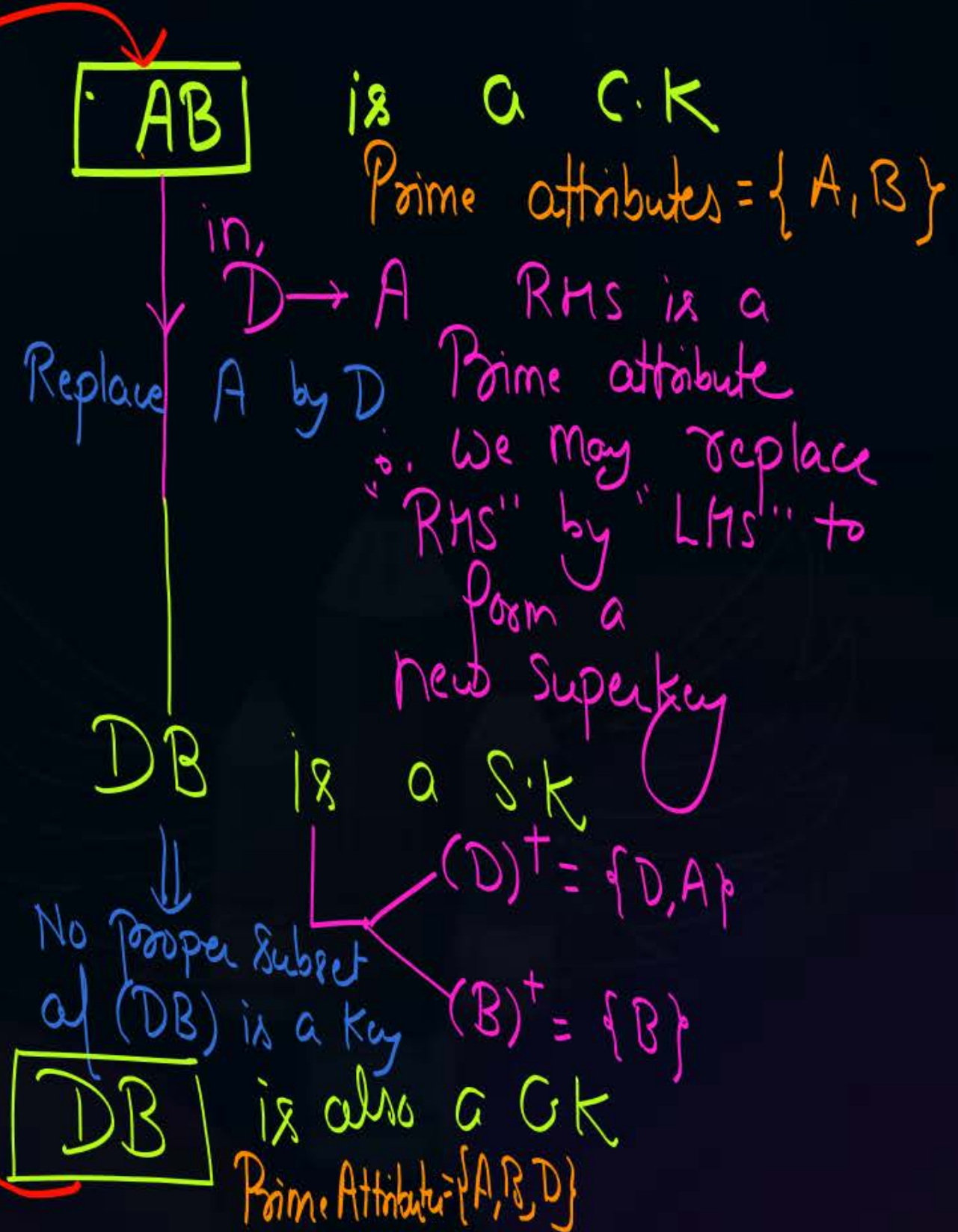
$$\begin{matrix} (A)^+ = \{A\} \\ (B)^+ = \{B\} \end{matrix} \left\{ \begin{array}{l} \text{No proper subset} \\ \text{of } (AB) \text{ is a S.K} \end{array} \right.$$

$\therefore (AB)$  is a C.K

Ans:-

Two Candidate Keys for  $R$  are  $(AB)$  &  $(DB)$   
Prime Attributes =  $\{A, B, D\}$ , Non-prime Attribute =  $\{C\}$

$AB \rightarrow D$   
 $\therefore$  we can replace 'D' by 'AB'







## Topic : Membership test

- Membership test is used to check whether a given FD is a member of given FD set or not.
- To check whether  $X \rightarrow Y$  is a member of FD set  $F$  or not (i.e.,  $F \models X \rightarrow Y$  or not)

We first obtain  $X^+$  (closure of  $X$ ) w.r.t. FD set  $F$ .

If  $Y \in X^+$ , then  $X \rightarrow Y$  is a member of FD set  $F$   
otherwise not a member of FD set  $F$



#Q. Let FD set  $F = \{ AB \rightarrow C, BC \rightarrow D \}$

Check whether  $AB \rightarrow D$  is a member of  $F$  or not?

#Q. Let FD set  $F = \{ AB \rightarrow C, C \rightarrow A \}$

Check whether  $C \rightarrow B$  is a member of  $F$  or not?





## Topic : Relationship between two FD sets

- Let  $F$  and  $G$  are any two FD sets.
- If all the FDs of FD set  $F$  are member of FD set  $G$ , then  $F \subseteq G$
- If all the FDs of FD set  $G$  are member of FD set  $F$ , then  $G \subseteq F$
- If both  $F \subseteq G$  and  $G \subseteq F$  are true, then  $F = G$

#Q. Consider the following FD sets

$F1 = \{A \rightarrow B, B \rightarrow C, AB \rightarrow D\}$  and  $F2 = \{A \rightarrow B, B \rightarrow C, A \rightarrow C, A \rightarrow D\}$

Find the relationship between FD sets  $F1$  and  $F2$



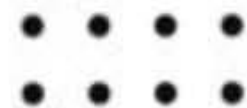
#Q. Consider the following FD sets

$F1 = \{A \rightarrow B, B \rightarrow C, A \rightarrow C\}$  and  $F2 = \{A \rightarrow B, B \rightarrow C, A \rightarrow D\}$

Find the relationship between FD sets  $F1$  and  $F2$

The word 'Thank' is written in a large, yellow, cursive script. A yellow arrow starts at the top of the 'T', extends horizontally to the right, and then curves downwards to point at the end of the word.

THANK



**Keep Hustling!**