BinArm: Scalable and Efficient Detection of Vulnerabilities in Firmware Images of Intelligent Electronic Devices

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Abstract. There is a widespread adoption of intelligent electronic devices (IEDs) in modern-day smart grid deployments. Consequently, any vulnerabilities in IED firmware might greatly affect the security and functionality of the smart grid. Although general-purpose techniques exist for vulnerability detection in firmware, they usually cannot meet the specific needs, e.g., they lack the domain knowledge specific to IED vulnerabilities, and they are often not efficient enough for handling larger firmware of IEDs. In this paper, we present BinArm, a scalable approach to detecting vulnerable functions in smart grid IED firmware mainly based on the ARM architecture. To this end, we build comprehensive databases of vulnerabilities and firmware that are both specific to smart grid IEDs. Then, we propose a multi-stage detection engine to minimize the computational cost of function matching and to address the scalability issue in handling large IED firmware. Specifically, the proposed engine takes a coarse-to-fine grained multi-stage function matching approach by (i) first filtering out dissimilar functions based on a group of heterogeneous features; (ii) further filtering out dissimilar functions based on their execution paths; and (iii) finally identifying candidate functions based on fuzzy graph matching. Our experiments show that BinArm accurately identifies vulnerable functions with an average accuracy of 0.92. The experimental results also show that our detection engine can speed up the existing fuzzy matching approach by three orders of magnitude. Finally, as a practical framework, BinArm successfully detects 93 real-world CVE vulnerability entries, the majority of which have been confirmed, and the detection takes as little as 0.09 s per function on average.

1 Introduction

Intelligent electronic devices (IEDs) play an important role in typical smart grids by supporting SCADA communications, condition-based monitoring, and polling for event-specific data in the substations. The firmware (software) running on

C. Giuffrida et al. (Eds.): DIMVA 2018, LNCS 10885, pp. 114–138, 2018.
https://doi.org/10.1007/978-3-319-93411-2_6

IEDs is subject to a wide range of software vulnerabilities, and consequently security attacks exploiting such vulnerabilities may have debilitating repercussions on national economic security and national safety [6]. In fact, a startling increase in the number of attacks against industrial control systems (ICS) equipment has been observed (e.g., a 110% increase when comparing 2016 to 2015 [10]). A prime example of such an attack is *Industroyer* [2] targeting Ukraine's power grid, which is capable of directly controlling substation switches and circuit breakers. As other examples, the *Black Energy* [40] APT took control of operators' control stations, and utilized them to cause a blackout; and *Stuxnet* [24,36] targeted Siemens ICS equipment in order to infiltrate Iranian nuclear facilities. In addition to those real-world attacks, industrial analysis demonstrates similar threats in other countries, e.g., with 50 power generators taken over by attackers, as many as 93 million US residents may be left without power [47]. These real-world attacks or hypothetical scenarios indicate a clear potential and serious consequences for future attacks against critical infrastructures including smart grids.

Identifying security-critical vulnerabilities in firmware images running on IEDs is essential to assess the security of a smart grid. However, this task is especially challenging since the source code of firmware is usually not available. In the literature, general-purpose techniques have been developed to automatically identify vulnerabilities in embedded firmware based on dynamic analysis (e.g., [14,19,49,55]) or static analysis (e.g., [17,23,25,44,54]). To the best of our knowledge, none of the existing approaches focuses on the smart grid context. Although such general purpose techniques are also applicable to the firmware of smart grid IEDs, they share some common limitations as follows. (i) *Applicability:* They lack sufficient domain knowledge specific to smart grids and IEDs, such as a database of known vulnerabilities in such devices and that of the IED firmware. Therefore (a) no prior knowledge about the scope is required; (b) no additional effort to gather and analyse the relevant IED firmware images is needed. They can easily crawl and download any firmware from the wild; and (c) no study on the used libraries in the IED firmware images is performed; it is highly likely that most relevant libraries are not included in their vulnerability dataset, which might result in higher false negative rates. (ii) *Scalability:* Those approaches typically rely on expensive operations, such as semantic hashing [44], and they typically lack effective filtering steps to speed up the function matching. Consequently, those techniques are usually not efficient enough to handle the much larger sizes of IED firmware (e.g., compared to that of network routers) and not scalable enough for a large scale application to real-world smart grids. (iii) *Adaptability:* Handling the presence of a new CVE and efficiently indexing it poses another challenge to some existing works (e.g., [54]).

In this paper, we present BinArm, a scalable approach to detecting vulnerable functions in smart grid IED firmware based on the ARM architecture. To this end, we first build a large-scale vulnerability database consisting of common vulnerabilities in IED firmware images. The design of our vulnerability database is highly influenced and guided by the prominent libraries used in the

IED firmware images. To identify these IEDs and obtaining the corresponding firmware images significant efforts is required to: (i) identify relevant manufacturers; (ii) collect and analyze the corresponding IED firmware images; (iii) identify the used libraries in these images; and (iv) compile the list of CVE vulnerabilities.

Second, to ensure BinArm is efficient and scalable enough to handle IED firmware images, we design a detection engine that employs three increasingly complex stages in order to speed up the process by filtering out mismatched candidates as early as possible. Third, BinArm does not only provide a similarity score as prior efforts, such as [23,54], but also presents in-depth (at instruction, basic block and function levels) details to justify the results of the matching and to assist reverse engineers for further investigation. We conduct extensive experiments with a large number of real-world smart grid IED firmware from various vendors in order to evaluate the effectiveness and performance of BinArm.

Contributions. Our main contributions are as follows:

- To the best of our knowledge, we develop the first large-scale vulnerability database specifically for IEDs firmware covering most of the major vendors. In addition, we build the first IED firmware database, which gives an overview of the state of the industry. Such effort can be leveraged for future research on smart grid IEDs, and can be beneficial to IED vendors as well as utilities to assess the security of elaborated and deployed IED firmware.
- We propose a multi-stage detection engine to efficiently identify vulnerable functions in IED firmware, while maintaining the accuracy. The experiments demonstrate this engine is three orders of magnitude faster than the existing fuzzy matching approach [31].
- Our experimental results ascertain the accuracy of the proposed system, with an average total accuracy of 0.92. In addition, the real-world applicability of BinArm is confirmed in our study, which successfully detects 93 potential CVEs among real-world IED firmware within 0.09 s per function on average, the majority of which have been confirmed by our manual analysis.

2 Approach Overview

An overview of our approach is depicted in Fig. 1, which consists of two major phases: *offline preparation* and *online search*. The *offline preparation* phase consists in the creation of two comprehensive databases; one containing a set of IED firmware and the other known vulnerabilities specific to IEDs. To this end, we:

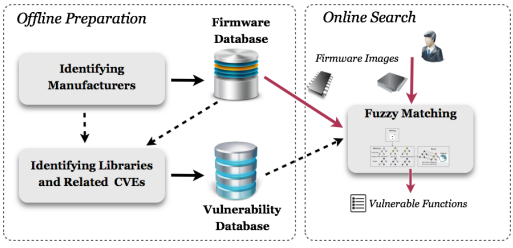


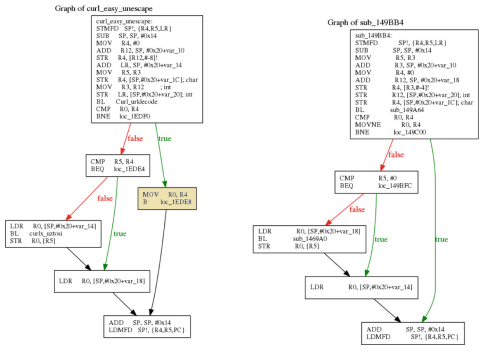
Fig. 1. BinArm overview

– Identify a set of manufacturers that provides equipment for smart grids.
– Collect relevant IED firmware produced by the identified manufacturers, and store the images in the *Firmware Database*.

Such information further provides insight about which libraries might be utilized by each manufacturer in their released firmware, which enables us to build our vulnerability database. For this purpose, we:

– Determine reused libraries in the IED firmware from manufacturers' websites or available documentations.
– Collect the identified open-source and vulnerable libraries, and cross-compile them for the ARM processor in order to build the *Vulnerability Database*.

We demonstrate how the aforementioned process works by applying it to the following motivating example. Suppose a fictitious utility company would like to deploy several phasor measurement units (PMUs) and is concerned about potential vulnerabilities inside those units. Following our methodology depicted in Fig. 1, we would first identify the manufacturer, e.g., given by the utility as National Instruments (NI) in this particular example. Second, we would collect the IED firmware, which is given by the utility as NI PMU1_0_11 firmware image [8]. Third, we would identify the reused libraries in this firmware, e.g., the `libcurl` v7.50.2 library. Fourth, we would identify vulnerable functions inside each library, e.g., a vulnerable function inside the `libcurl` v7.50.2 library as depicted in Fig. 2a. Finally, we employ our detection engine to find matching functions in the provided firmware image, e.g., a matched function shown in Fig. 2b. As shown, the two functions have a high degree of similarity; indeed, the only difference is the presence of an additional basic block consisting of two instructions (highlighted in Fig. 2a) in the `curl_easy_unescape` function. This similarity implies that the function in Fig. 2b may also have the CVE-2016-7167 vulnerability, which provides useful information for the utility to take corresponding actions.



(a) `curl_easy_unescape` CFG in `libcurl` v7.50.2 with CVE-2016-7167 (b) `sub_149BB4` CFG in `NI PMU1_0_11.libws_repl`

Fig. 2. An example of function reuse in IED firmware (Color figure online)

We note that, although this particular example may make it seem relatively straightforward to detect vulnerable functions in a firmware, this is usually not the case in practice due to two main challenges. First, the needed information about manufacturer, libraries, and vulnerabilities may not be readily available from the utility company as in this example. For this reason, we will build our

vulnerability and firmware databases in Sect. 3. Second, the function matching process may be too expensive for utility companies, since they may be dealing with the constant deployment or upgrade of thousands of IEDs from different manufacturers. Cross checking such a large number of firmware images[1] with an even larger number of library functions (e.g., 5,103 vulnerable functions) can take significant effort. To address this challenge, we will propose our efficient multi-stage detection engine in Sect. 4.

3 Building IED Firmware and Vulnerability Databases

Identifying the IEDs and obtaining their corresponding firmware can help vendors and utilities in assessing the security of elaborated or deployed IEDs firmware. However, this process requires significantly more effort than simply acquiring firmware from any consumer devices by crawling and downloading from the wild. In this section, we provide the background of smart grid IEDs, and then elaborate on the creation and content of our firmware and vulnerability databases.

3.1 Intelligent Electronic Devices in the Smart Grid

A power grid is a complex and critical system to provide generated power to a diverse set of end users. It is composed of three main sectors: generation, transmission and distribution. The role of a distribution substation is to transform received high voltage electricity to a lower more suitable voltage for distribution to customers. With the introduction of IEC 61850 [1] standard technologies such as Ethernet, high speed wide area networks (WANs), and powerful but cheap computers are leverage in order to define a modern architecture for communication within a substation [39]. Consequently, a vast set of devices labelled as intelligent electronic devices (IEDs) are emerged, which are coupled with traditional ICS and power equipment which enables their integration into the network.

There exists three non-exclusive categories of IEDs: (i) *Control:* send and receive commands to control the system behaviour remotely, such as load-shedding, circuit breaker, and switch; (ii) *Monitoring and relay:* convert received analog input (e.g., currents, voltages, power values) from primary equipment into a digital format that can be used throughout the network, such as phasor measurement units (PMUs), and phasor data concentrators (PDCs); and (iii) *Protection:* detect faults that need to be isolated from the network in a specific and timely manner, such as busbar, generator, line distance and breaker.

[1] *Linksys WRT32X* with 39 kb size contains 47,025 functions, whereas *NI PMU1_0_11* firmware comprises 226,496 functions and is 256 kb large.

3.2 Manufacturer Identification

In order to identify a set of relevant manufacturers and market dynamics, we study the categorization of smart grid vendor ecosystem by using different sources, such as *GTM Research* [28] and *Cleantech Group* [41] reports. This information provides the necessary insights in order to identify top smart grid manufacturers, as listed in Table 1. Such knowledge becomes the foundation to further determine relevant libraries, vulnerabilities and IED firmware images.

Table 1. Identified major smart grid manufacturers and their supported components

Relevant component	Manufacturer													
	ABB	Aclara	Cisco	EI	Elster	GE	Honeywell	Itron	L + G	NI	SE	SEL	Sensus	Siemens
Automation hardware	•									•	•	•		•
Smart meters		•		•	•	•		•	•				•	
Automation software			•		•				•					
Communication			•		•			•	•				•	
Demand response							•							

(ABB): ABB Schweiz AG. (EI): Electro Industries. (GE): General Electric. (L+G): Landis+Gear. (NI): National Instruments. (SE): Schneider Electric. (SEL): Schweitzer Engineering Laboratories.

Heterogeneous hardware architectures are used in firmware images, however, many ICSs are based on the ARM architecture [13,35,58]. Additionally, as reported in Fig. 3, most of our collected IED firmware images are identified as targeting the ARM architecture (82%), followed by PowerPC (9%). On the other hand, Linux is the most encountered operating system in our firmware dataset, with 90% of frequency amongst others, such as Windows. Therefore, this work mainly focuses on the ARM-based and Linux-based firmware images.

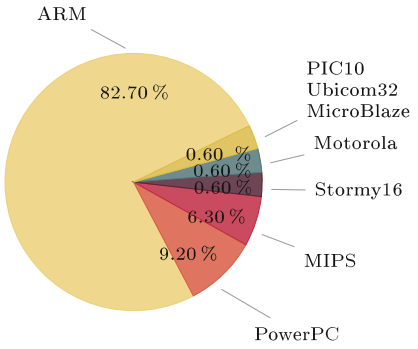


Fig. 3. Distribution of hardware architectures among collected IED firmware

3.3 Vulnerability Database

Our study shows that many of the listed manufacturers reuse existing open-source software in their product implementations. This generally entails the legal obligation of publishing documents containing the licenses of all utilized open-source software. By investigating several sources of information pertaining to these manufacturers, such as corporate websites, product documentations, and FTP search tools, we extract large amounts of open-source usage declarations

that are related to the current smart grid scope, such as simple network management protocol (SNMP), and network time protocol (NTP).

The top 25 relevant, vulnerable, and popular open-source libraries are illustrated in Table 2, which are ordered by their relative significance considering which ones are more frequently used in the recognized manufacturers. We download the source code of reused libraries with different versions, and cross-compile them for the ARM architecture using GCC compiler with four optimization flags ($O0 - O3$). We utilize the CVE database to identify the number of known CVEs for each of these libraries. It is worth mentioning that all the functions of each library are stored in our *Vulnerability Database*, and the vulnerable functions are labelled by their corresponding identified CVEs. Our *Vulnerability Database* consists of 3,270,165 functions, 5,103 of which are marked as vulnerable. This results in a total of 235 unique vulnerabilities after discarding the duplicates that are created due to the use of different compilers and optimization flags.

Table 2. Vulnerable open-source libraries in identified manufacturers

Library	#CVEs	Manufacturers	Library	#CVEs	Manufacturers
php	601	Cisco, Honeywell, Siemens	qemu	225	Cisco
imagemagick	402	Cisco, GE, Honeywell	libxml2	44	ABB, Cisco, GE, Honeywell, Siemens
openssl	189	ABB, Cisco, GE, Honeywell, SE, Siemens	bind	102	Cisco, Siemens
mysql	564	Cisco	binutils	97	Cisco, Siemens
tcpdump	162	Cisco, GE, Siemens	libcurl	34	ABB, Cisco, Honeywell, SE, Siemens
openssh	87	ABB, Cisco, GE, Honeywell, Siemens	freetype	83	Cisco, Siemens
ntp	79	Cisco, GE, Honeywell, SE, Siemens	libpng	47	Cisco, Honeywell, Siemens
libtiff	149	Cisco, GE	samba	124	Honeywell
postgresql	98	Cisco, Honeywell, Siemens	utillinux	15	ABB, Cisco, GE, Honeywell, SE, Siemens
ffmpeg	274	Siemens	cups	88	Cisco
pcre	49	ABB, Cisco, GE, Honeywell, Siemens	lighttpd	28	ABB, Cisco, Honeywell
python	81	Cisco, Honeywell, Siemens	netsnmp	21	Cisco, GE, SE, Siemens
glibc	81	Cisco, Honeywell, Siemens			

Note: (GE): General Electric. (SE): Schneider Electric.

It has not escaped our notice that the acquired firmware images contain various kinds of binaries, such as kernel, application-level, open-source as well as proprietary libraries. Consequently, based on the CVE database [5], we have identified 4,344 CVE vulnerabilities in kernel-level, 5,581 CVEs in application-level, and CVEs 2,336 in open-source libraries amongst the identified manufacturers, considering the fact that some of the open-source libraries are reused in applications. Additionally, we have prepared an initial list of IED-specific proprietary libraries (e.g., NI). However, our list of such proprietary libraries is not yet comprehensive. Further effort would also be required in order to verify identified vulnerabilities, since the source code of such proprietary libraries is not publicly available. This task remains the subject of our future work.

3.4 Firmware Database

The proposed methodology is not necessarily specific to smart grid IEDs and therefore could be applied to any ARM-based firmware, such as IoT devices, routers, and IEDs. However, since the goal of this work is to assess the security of IEDs in the smart grid, we shift the focus to IED-specific firmware. We first utilize popular FTP search engines to leverage publicly accessible corporate FTP servers. We then create a simple website scraper and apply it to specific parts of each manufacturers' website. Finally, we perform a manual inspection for dynamically generated websites, which mostly applies to each manufacturers' download centre. All retrieved images are filtered based on the relevance to the smart grid context, and 2,628 firmware packages are extracted.

Firmware Analysis Challenges. Performing firmware analysis with the objective of complete disassembly is a challenging task [17]. This is partially due to a large requirement of time, domain specific knowledge and research [34]. Furthermore, binaries are often stored in proprietary formats, obfuscated or encrypted for protection. These processes effectively make it extremely difficult (e.g. obfuscation [34]), or even impossible (e.g. uncrackable encryption [51], indecipherable formats [37]) to directly access the contents of a given blob. Encrypted binaries can sometimes be identified by their use of specific headers. For instance, a file encrypted with `openssl` starts with the first 8-byte signature of ``Salted__''. In order to process all acquired firmware, we follow well-known procedures such as the ones presented in [51,56]. This process has several main steps: (i) unpacking and extraction, which consists of removing all files from another compressed file; (ii) firmware identification, that can be located amongst or within the extracted files; (iii) hardware architecture identification and scanning for op-code signatures to be identified as ARM; (iv) image base identification in order to know where the binary should be loaded; and (v) disassembling using IDA Pro [7], where using the properly identified architecture and entry point is required. A given binary blob can contain several entry points [49], and it may not be possible for tools such as IDA Pro to automatically identify them. In these cases entry point discovery should be performed [34,49], which is one of the most challenging parts of this entire procedure and required leveraging various techniques (e.g., [58]).

4 Multi-stage Detection Engine

We propose an efficient multi-stage detection engine to identify vulnerable functions in firmware images, which conducts from a coarse to granular detection stages. To this end, our key idea is to start with light-weight feature extraction and function matching operations, and to perform the most expensive operations in the end for selected candidates. More specifically, (i) function shape-based detector extracts the simplest and more distinguishable features that quickly eliminates dissimilar candidates with less computational overhead. (ii) branch-based detector performs more expensive matching operations, however, still not

as expensive as graph matching. It specifically extracts execution paths, including the instruction-set and turns them into hash values, and simply employs a binary search. (iii) fuzzy matching-based detector performs the most expensive operations, which mainly include careful examination of basic blocks, their neighbours, and graph matching for a selected and relatively smaller number of candidates.

The details of each stage are explained in the following.

4.1 Function Shape-Based Detection

The function shape-based detection is performed based on a collection of heterogeneous features extracted at different levels of a function, namely, function *shape* [48], as explained in the following.

Table 3. An excerpt of function shape features

Feature category	Examples
Instruction-level	#instructions, #arguments, #strings, #mnemonics, #callees, #constants
Structural	#nodes, #edges, cyclomatic complexity, average_path_length, graph_energy, link_density
Statistical	skewness, kurtosis, Z-score, standard deviation, mean, variance

Feature Extraction. To capture the topology of a function and to extract the *structural* features, we employ a set of graph metrics [27]. However, some functions might have the same structural shape, while being semantically different. As a result, we consider additional features in order to also include semantic information. The *instruction-level* features carry the syntax and semantic information of a function [11]. For instance, the strings frequencies have been used to classify malware based on their behaviour [46]. Finally, *statistical features* are used in order to capture the semantics of a function [45], such as skewness and kurtosis [3], which are extracted as $S_k = (\frac{\sqrt{N(N-1)}}{N-1})(\frac{\sum_{i=1}^{N}(Y_i-\overline{Y})^3/N}{s^3})$, and $K_z = \frac{\sum_{i=1}^{N}(Y_i-\overline{Y})^4/N}{s^4} - 3$ respectively, where N is the number of data points, Y_i is the frequency of each instruction, $\overline{Y}$ represents the *mean*, and s is *standard deviation*. An excerpt of the extracted features is listed in Table 3. For the sake of space, the details of other features are omitted and can be found in [48].

Normalization. In the ARM instruction set, each assembly instruction consists of a mnemonic and a sequence of up to five operands. Two fragments of code might be identical both structurally and syntactically, but differ in terms of memory references or registers. Hence, it is essential to normalize the instruction sets prior to comparison. For this purpose, we normalize the operands according to the mapping sets provided by IDA Pro. We further categorize the 'general' registers based on their types.

Feature Selection. In order to identify the most differentiable features, mutual information (MI) [43] is leveraged to measure the dependency degree between the aforementioned features and the functions in *Vulnerability Database*. Based on the results, we choose three top-ranked features, `graph_energy`, `skewness` (`sk`), and `kurtosis` (`kz`), as a 3-tuple feature for each function. It is worth mentioning that there is a dependency between the next two top-ranked features (e.g., `rich_club_metric` and `link_density`) and `graph_energy`. Additionally, since our goal is to perform coarse detection at this stage, and extracting more features would affect the time complexity, we choose the first three top-ranked features. Our experiments confirm the effectiveness of three chosen features (Sect. 5.6).

Function Matching. All functions that surpass a predetermined threshold distance, λ, from a given target function are deemed dissimilar in shape-based detection stage. Euclidean distance of $d(p,q) = \sqrt{\sum_{i=1}^{n}(q_i - p_i)^2}$ is used to calculate the similarity between two functions, where $p = (p_1, p_2, p_3)$ and $q = (q_1, q_2, q_3)$ are 3-tuple associated with each function consisting of `graph_energy`, `sk`, and `kz` features. In order to calculate the threshold distance, we employ K-Means clustering on the extracted features and, based on the distance between the clusters, the final threshold value of $\lambda = 26.45$ is obtained as the following.

Threshold Selection. We acquire the threshold value by leveraging K-Means clustering. K-Means clustering algorithm partitions n observations into k clusters, $C_1, \ldots, C_k$, such that the total within-cluster sum of square $WSS = \sum_{i=1}^{k}\sum_{p \in C_i} dist(p, c_i)^2$ [29] is minimized, where p represents a given observation; c_i is the centroid of cluster C_i, and *dist* is the Euclidean distance. To identify the optimal number of clusters, we employ the elbow method [20], where the goal is to get a small WSS while minimizing k. The K-means clustering is applied to our data points for each value of k starting from one to 100, and the WSS is calculated. The optimal value for k is at the location of the knee which is equal to 11. To achieve the threshold value of λ, first we calculate the average Euclidean distances of all 3-tuple points in each cluster separately to measure the distances between similar functions. Then, according to *Vulnerability Database*, the average of eleven obtained distances is considered as threshold value of $\lambda = 26.45$.

4.2 Branch-Based Detection

In the next stage, BinArm incorporates a branch-based detection to reduce the graph comparison effort during the final detection stage. The idea behind branch-based detector is that similar functions have similar execution paths. In addition, analyzing the execution paths has been used to identify function vulnerabilities as well as stealthy program attacks [50,53].

Weighted Normalized Tree Distance (WNTD). The normalized tree distance (NTD) [57] is proposed for comparing phylogenetic trees with

the same topology and same set of N taxonomic groups, as depicted in Fig. 4. Consider two trees A and B with the same topology and same set of taxa denoted by $A = \{a_1, a_2, \ldots, a_N\}$ and $B = \{b_1, b_2, \ldots, b_N\}$, where N is equal to path lengths. In order to compare trees A and B, the distance is measured as $NTD = \frac{1}{2}(\sum_{i=1}^{N} |\frac{a_i}{\sum_{j=1}^{N} a_j} - \frac{b_i}{\sum_{j=1}^{N} b_j}|)$ [57], where a_i and b_i are the lengths of path i from trees A and B, respectively. Such a dissimilarity metric scales from 0 (identical trees) to 1 (distinct trees). However, NTD is originally designed for two trees with the same topology (the same number of paths). Additionally, NTD does not consider the contents of nodes.

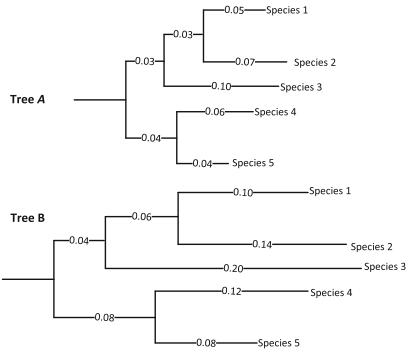


Fig. 4. An example of two trees with the same topology

Therefore, we propose a weighted normalized tree distance (WNTD) metric to measure dissimilarity between two functions W and V. First, we represent the CFGs as a directed acyclic graph, and then all possible paths are extracted from the two CFGs using breadth first search. Based on the contents of basic blocks along the path and their neighbours, a weight is calculated (will be discussed later) and assigned to each path. The dissimilarity between $W = \{w_1, w_2, \ldots, w_N\}$ and $V = \{v_1, v_2, \ldots, v_M\}$ functions, containing N and M ($N \leq M$) number of weights representative of each path (which is called "weighted paths"), is measured as the following:

$$WNTD = \frac{1}{2}(\sum_{i=1}^{N} |\frac{w_i}{\sum_{j=1}^{N}(w_j)} - \frac{v_{BM}}{\sum_{j=1}^{M}(v_j)}|)$$

where w_i and v_i are the weighted paths in functions W and V, respectively; and v_{BM} is the best match for weighted path w_i amongst the other weighted paths in function V as the following:

$$v_{BM} = \begin{cases} exactMatch(w_i, \boldsymbol{V}) & , \text{ if there is any exact match} \\ inexactMatch(w_i, \boldsymbol{V}, \delta) & , \text{ if there is any inexact match } \leqslant \delta \\ 0 & , \text{ else} \end{cases} \quad (1)$$

WNTD considers a weight for each node (basic block) and finally a single weight for each path of a function. Moreover, even if the two CFGs do not have the same number of paths, it can still find a match for that path as either the best match or zero. Once the WNTD comparison is performed, the functions with a distance less than γ are preserved for the final detection step. Performed experiments (Sect. 5.7) suggest 50% cut off is the best.

Mnemonic Instructions Grouping. Instruction mnemonics carry information about the semantics of a function, for instance, cryptographic functions perform more logical and mathematical operations compared to a function which opens a file. However, due to different factors, such as compiler effects, various mnemonics might be used interchangeably. Therefore, we identify the list of ARM instruction sets [4], and group them based on their functionality, e.g., arithmetic instructions. As a result, we obtain seventeen groups of mnemonics and then the mutual information (MI) is leveraged to measure the dependency degree between mnemonic group frequencies and functions in our *Vulnerability dataset*. Accordingly, we choose the 7-top-ranked mnemonic groups as the final features to be extracted from each basic block in a path.

Algorithm 1. Weight Assignment

Input: $Path_a$: A path extracted from the CFG.
Output: w : Branch weight.
Initialization
$f[] \leftarrow 0; nn$ PDF of top-ranked instruction groups;
$weights[] \leftarrow 0; nn$ Feature vector of the weights;
$w \leftarrow 0; nn$ Initialize the path weight to zero;
begin
  foreach $node[i] \in Path_a$ **do**
    $f \leftarrow node[i].getPDF(); U[] \leftarrow 0;$
    $J[] \leftarrow f;$
    while *(node[i].hasParents())* **do**
      $J \leftarrow J \cap node[i][].getParent().getPDF();$
    end
    while *(node[i].hasChildren())* **do**
      $U \leftarrow U \cup node[i][].getChild().getPDF();$
    end
    $f \leftarrow J + U;\ weights[i] \leftarrow TLSH(f);$
  end
  foreach $wt[i] \in weights$ **do**
    $w \leftarrow w + wt[i];$
  end
  return w;
end

Algorithm 2. WNTD

Input: $W[]$: Branch weights of function W stored in a linked list.
Input: $BTree_V$: Branch weights of function V stored in a B$^+$tree.
Output: $WNTD$: Dissimilary score between functions W and V.
Function WNTD(W,$BTree_V$)
  $sum \leftarrow 0$; $sum_W \leftarrow \sum_{j=1}^{N}(w[j])$;
  $sum_V \leftarrow \sum_{j=1}^{M}(v[j])$;
  foreach $w[i] \in W$ **do**
    v_{BM} = exactMatch($BTree_V$, w_i) ;
    if v_{BM} *!= -1* **then**
      $sum+ = |\frac{w[i]}{sum_W} - \frac{v_{BM}}{sum_V}|$; ;
      $W.remove(w[i])$;
    end
  end
  $v_{BM} \leftarrow 0$;
  foreach $w[i] \in W$ **do**
    v_{BM} =inexactMatch($BTree_V$, w_i, δ);
    $sum+ = |\frac{w[i]}{sum_W} - \frac{v_{BM}}{sum_V}|$
  end
  return $WNTD = sum/2$;
end

Weight Assignments. To condense all the information of a node and its neighbours into a single hash value, a graph kernel with linear time complexity is proposed in [26,30]. Inspired by this approach, we calculate the accumulated weights of each node along the path and assign a single hash value to each path. The weight assigned to each node is calculated based on the top-ranked instruction groups of the node itself and its neighbours (parents and children) that could be out of the current path. For this purpose, we first extract the top-ranked instruction groups and create a feature vector of their probability density function (PDF) for each node and its neighbours. We further distinguish between the in-degrees (parents) and out-degrees (children) by calculating the joint and the union of the PDFs, respectively. Finally, TLSH [42] is applied on the obtained feature vector and a weight is assigned to each node. This process is performed on all the nodes in a given path, and the final weighted path is obtained by the summation of all hash values along the path. The details are presented in Algorithm 1.

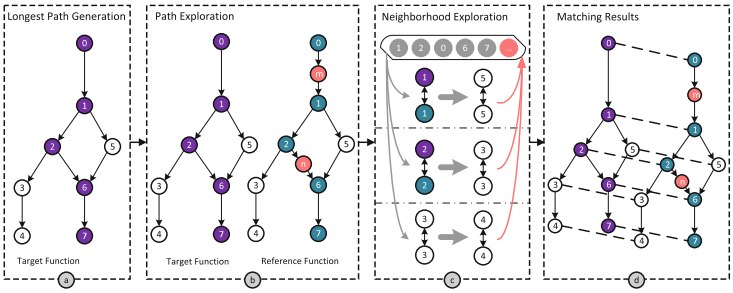


Fig. 5. Fuzzy matching

Finding the Best Match. In order to find the best match for each path, we pre-calculate all the weights of all paths for both reference and target functions foremost, and then store the obtained weighted paths of the larger function V in a B$^+$tree. Afterwards, we perform exact and inexact matching to acquire the best match for weighted paths. First, we search in the B$^+$tree to find the exact match for each weight in function W, and then remove it from the B$^+$tree. Second, we perform inexact matching by considering *backward* and *forward* sibling pointers to each leaf node, which points to the previous and next leaf nodes, respectively. The number of neighbours is obtained by a user-defined distance δ (Eq. 1). If there is not any match for a given path, the best match would be zero. The details of calculating the WNTD is presented in Algorithm 2. The time complexity to find the best match is $O(n \log m)$.

4.3 Fuzzy Matching-Based Detection

The results of the branch-based detection stage, which are a relatively small set of candidate functions, are passed to the final detection stage. In order to compare a given target function with the reference functions in the candidate set, inspired by [31], we perform fuzzy matching on each pair of functions and obtain the similarity score. Functions with the highest similarity scores are returned as the final matching pairs. The details are described in the following.

Path and Neighbourhood Exploration. The fuzzy matching approach is composed of three main phases: (i) longest path extraction; (ii) path exploration; and (iii) neighbourhood exploration, which is illustrated with an example in Fig. 5. First, we unroll all the loops and employ depth first search on the CFG of target function to extract the longest path (as depicted in Fig. 5 part *a*). A path represents one complete particular execution, where its functionality is the result of executing all its basic blocks. Therefore, retrieving two equivalent paths is an initiation to further match their nodes. The longer the path is, the more matching pairs would be acquired.

Second, the reference function is explored to find the best match for the longest path in the target function. Inspired by [31,38], a breadth-first search

combined with longest common subsequence (LCS) method of dynamic programming [16] is executed. In order to satisfy the requirements of the LCS algorithm, since any path is a sequence of basic blocks, each basic block is treated as a letter. Two basic blocks are compared based on their instructions, and a similarity score (which will be discussed later) is returned. Therefore, all the possible paths in the reference function are explored and the one with the highest similarity score is returned as the best matched path (including basic blocks pairs) [31]. Additionally, we put all the obtained matching basic blocks pairs in a priority queue. As an example, the best match for the given longest path with a reference function is highlighted in Fig. 5 part *b*.

Finally, we further perform neighbourhood exploration and leverage Hungarian algorithm in both the target and reference functions to improve and extend the mapping. Since all the mapping basic block pairs are obtained as the result of path exploration, we explore the neighbours of the most similar basic block pairs (priority queue shown in Fig. 5 part *c*) to initiate the search and find more matched pairs for their successors and predecessors by considering the in-degrees and out-degrees and leveraging Hungarian algorithm. If there is a new match, we put the paired match in the priority queue to explore their neighbours later on. We continue the same algorithm for the rest of nodes until the priority queue is empty. The outcome of neighbourhood exploration is the basic block matching pairs in the control flow graph (Fig. 5 part *d*) and the corresponding similarity scores. To obtain the final similarity score between the f_T and f_r functions with n_T and n_r number of basic blocks, respectively, we apply the following formula:

$$similarity\ (f_T, f_r) = \frac{2 \times \sum_{i=1}^{k} WJ(S,T)}{n_T + n_r} \tag{2}$$

where k is the number of matched basic blocks between functions f_T and f_r, and $WJ(S,T)$ returns the similarity score between the matching basic block pairs. Moreover, BinArm provides all the differences between two functions at instruction level, basic blocks level and function level.

Basic Block Matching. For basic block matching, we could adopt the LCS method of dynamic programming on the instructions of two basic blocks as in [31]. However, the accuracy of this approach might be affected by *instruction reordering* and *instruction substitutions* [31]. Moreover, the time complexity of the LCS algorithm is $O(mn)$, where m and n represent the number of instructions in the two basic blocks. Consequently, to accurately and efficiently perform basic block matching, we use the weighted Jaccard similarity [32] between the two basic blocks. Let two sets of S and T contain the mnemonic frequencies of the two basic blocks, with n and m number of elements in each blocks. The weighted Jaccard similarity (WJ) between the two vectors is calculated as follows:

$$WJ(S,T) = \frac{\sum_{k=1}^{N} \min(S_k \cap T_k)}{\sum_{k=1}^{N} \max(S_k \cup T_k)},\ N = \max\{m,n\}$$

The usage of WJ similarity together with instruction grouping could overcome *instruction reordering* and some *instruction substitutions*. Moreover, the time complexity of the WJ similarity is of order $O(N)$.

5 Evaluation

This section details our experiments and analysis.

5.1 Experimental Setup

All of our experiments are conducted on machines running Windows 7 and Ubuntu 15.04 with Intel Xenon *E*5 2.4 GHz CPU and 16 GB RAM. BinArm is written in C++ and utilizes a *Cassandra* database to store all the functions along with their features. *Vagrant* is used to create a specialized environment used for firmware reverse engineering as well as library cross compilation for the *ARM* architecture. The utilized cross compiler is *gcc-arm-linux-gnueabi* version 4.7.3 using the debug flag, the static flag , and all compatible optimization flags (*O0-O3*). The symbol names are preserved during the compilation process for metric validation. A custom python script is used in tandem with IDA Pro to extract function CFGs in the desired JSON format. *Docker* is used to create a containerized version of the CVE database and its associated search tools.

Dataset. The experiments are performed on different datasets, which are explicitly indicated in each section. In order to evaluate the scalability of BinArm, a large quantity of IED and non-IED firmware images are collected from the wild, 5,756 of which were successfully disassembled to construct our *General Dataset*.

Evaluation Metrics. To evaluate the accuracy of BinArm, we use the total accuracy $TA = \frac{TP+TN}{TP+TN+FP+FN}$ measure, where TP is the number of relevant functions retrieved correctly; FP represents the number of irrelevant functions that are incorrectly detected; and FN indicates the number of relevant functions that are not detected, and TN is the number of not-detected irrelevant functions.

Time Measurement. The execution time for function indexing is measured by adding the time required for each step, including feature extraction and function indexing. The search time includes time required for feature extraction and function discovery. The time taken to disassemble the binaries using IDA Pro is excluded, where it takes on the order of seconds on average to disassemble a binary file and can be distributed over all functions in a binary file.

5.2 Function Identification Accuracy

We evaluate the accuracy of BinArm by examining a randomly selected set of binaries from our *Vulnerability Database*, where the source code and the symbol names are provided in order to validate the results. We randomly select 10% of libraries from *Vulnerability Database* as targets, and match them against the remaining 90% of libraries in our repository. We repeat this process various times. The average accuracy results are summarized in Table 4. As can be seen, the average of total accuracy is 0.92. According to our experiments, the results are affected due to different versions and the degree of changes in the new versions. Since the libraries are randomly selected, in some cases the differences between versions are relatively high that cause a drop in the accuracy.

Table 4. Average accuracy results

Project	`glibc`	`libcurl`	`libxml2`	`lighttpd`	`ntp`	`openssh`	`openssl`	`postgresql`	`zlib`	Average
Total accuracy	0.96	0.93	0.89	0.92	0.87	0.89	0.93	0.98	0.89	0.92

5.3 Efficiency

In this section, we conduct experiments to measure the efficiency of BinArm for function matching. To this end, we test the 5,103 vulnerable functions against all functions in our *Vulnerability Database* and Netgear ReadyNAS v6.1.6 firmware separately, and measure the search time for each function.

Table 5. Baseline comparison on indexing time of `ReadyNAS v6.1.6`

	Multi-MH [44]	Genius [25]	BinArm	discovRE [23]
Time (minutes)	5,475	89.7	78.65	54.1
Hardware specification	Intel Core $i7-2640M$ at 2.8 GHz 8 GB DDR3-RAM	24 Cores at 2.8 GHz 65 GB RAM	Intel Xenon $E5-2630v3$ at 2.4 GHz 16 GB RAM	Intel Core $i7-2720QM$ at 2.20 GHz 8 GB DDR3 RAM

The obtained results are reported in Fig. 6, where the x-axis represents the percentage of number of functions, and the y-axis shows the cumulative distribution function (CDFs) of search time. The average searching time per function for each scenario are 0.01 s and 0.008 s, respectively. Note that the search time of BinArm is firmly related to the CFG complexity of target function. If the target function has a large value of

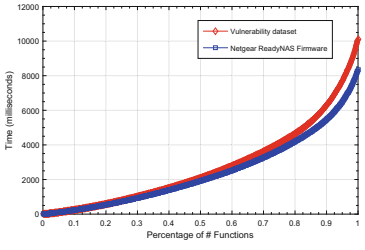


Fig. 6. CDF of vulnerable function search time

`graph_energy`, the search time would be higher. However, search time of a small function against a very complex CFG would not be costly, since the complex functions are deemed dissimilar in the shape-based detection stage and filtered out, and no heavy graph matching would be performed.

5.4 Comparison

Indexing Time Comparison. In order to compare the indexing time of BinArm with the state-of-the-art discovRE [23], Genius [25], and Multi-MH [44] approaches, we choose the Netgear ReadyNAS v6.1.6 [9] firmware image. The reasons of this choice are threefold: (i) the firmware is publicly available and is based on the ARM architecture; (ii) all the aforesaid works have measured the indexing time of Netgear ReadyNAS based on their techniques; and (iii) the hardware specifications of the machines of conducted experiments are provided. Altogether these facilitate comparison. We index ReadyNAS in our database and record the indexing time. Table 5 illustrates the preparation time along with the hardware specifications that are reported by the aforementioned approaches, as well as those of BinArm. As taking machines computational capacity into account, BinArm is more efficient with respect to indexing time when compared to aforesaid approaches with the exception of discovRE. The reason is that discovRE only considers CFG extraction time, while BinArm extracts additional features, such as the weighted paths. Nevertheless, the evaluation performed by [25] demonstrate discovRE's inaccuracy in large scale setup.

Search Time Comparison. We further compare the search time of our prototype system with that of BinSequence [31]. The reason for this comparison is to verify the efficiency of the first two stages of detection prior to the third stage of fuzzy matching, as BinSequence employs fuzzy matching approach after a pre-filtering process. In this experiment, we compare three different versions of `zlib` library (v1.2.5, v1.2.6, v1.2.7) with their next version using BinArm with the same setup performed in BinSequence. For instance, we test `zlib` v1.2.5 against its successive version `zlib` v1.2.6 together with two million noise functions in the database. We collect the search time for each scenario, and obtain the average time of 0.0002 s per function as reported in Table 6. On the other hand, the average of optimal search times for these three scenarios provided by BinSequence [31] is 0.909 s per function. These results confirm that BinArm is three orders of magnitude faster than BinSequence.

Table 6. Baseline comparison on search time (seconds) per function

zlib Version	BinArm	BinSequence [31]
1.2.5	0.00057	0.897
1.2.6	0.00016	0.913
1.2.7	0.00009	0.918
Average	0.00027	0.909

Qualitative Comparison with Gemini. Gemini [54] is one of the latest iterations in code similarity detection in binaries, which extracts attributed control flow graphs and feeds them into a siamese neural network. Since the tool

is not publicly available in order to perform a direct comparison, a qualitative comparison is performed as follows. (i) The required training time of Gemini, which is performed on a powerful server with two CPUs and one GPU card, is significant compared to BinArm. (ii) The time required to constantly retrain the neural network and re-generate the embeddings is a major disadvantage in a real-world scenario. As such, BinArm greatly outperforms Gemini with respect to the indexing of new vulnerable functions. (iii) Gemini has a total of 154 vulnerable functions and presents a use case that employs two of them. In contrast, BinArm's *Vulnerability Database* contains 235 vulnerable functions, all of which are used for vulnerability identification. (iv) Gemini solely relies on a few basic features and the use of a siamese neural network to perform the comparison. Such feature choices are reflected through the reported vulnerability identification accuracy of about 82% [54], whereas BinArm's much richer collection of features and the rigorous feature selection process help to obtain a 92% accuracy. This is partially due to the fact that BinArm takes into account a much broader scope of information relative to a given function.

5.5 Detecting Vulnerabilities in Real Firmware

In this section, we demonstrate BinArm's capability to facilitate the vulnerability identification process in real-world IED firmware. We randomly select five firmware images from our *Firmware Database* and compare them to all vulnerable functions in our *Vulnerability Database*. Each resulting function pair is ranked by similarity score. We consider a candidate as a potential match, if the matching score is higher than 80%. We successfully identify 93 potential CVEs, the majority of which are confirmed by our manual analysis.

Table 7. Identifying CVEs in real-world firmware images

Firmware	CVE	Score	Firmware	CVE	Score
NI PMU1_0_11	CVE-2016-6303	1.00	Schneider Link150	CVE-2015-0208	0.68
	CVE-2014-8176	1.00	Schneider M251	CVE-2014-2669	0.65
	CVE-2014-6040	0.92	ReadyNAS v6.1.6	CVE-2015-7497	0.98
	CVE-2016-7167	0.91		CVE-2014-2669	0.97
	CVE-2015-0288	0.91		CVE-2015-7941	0.95
Honeywell.RTUR150	CVE-2016-0701	1.00		CVE-2014-6040	0.93
	CVE-2016-2105	0.99		CVE-2010-1633	0.93
	CVE-2010-1633	0.94		CVE-2014-0160	0.92
	CVE-2016-6303	0.94		CVE-2015-0288	0.91
	CVE-2015-0287	0.92		CVE-2014-3566	0.76

Due to lack of space, a subset of obtained results are presented in Table 7. As shown, BinArm is able to successfully identify different vulnerabilities in the NI PMU1_0_11, Honeywell.RTUR150, and ReadyNAS v6.1.6 firmware images. For instance, a critical heap-based buffer overflow vulnerability (CVE-2016-7167) with 0.91 similarity score is identified in NI PMU1_0_11 firmware. The obtained matching results

of vulnerable function `X509_to_X509_REQ` (CVE-2015-0288) in NI PMU1_0_11 firmware are depicted in Fig. 7, which illustrates BinARM's capability for providing in-depth mapping results for the verification purposes. Additionally, our experiments demonstrate that BinARM can identify CVE-2014-0160 (Heartbleed vulnerability) and CVE-2014-3566 (POODLE vulnerability) in ReadyNAS firmware (as also demonstrated by the state-of-the-art approaches [23,25]) in less than 0.5 ms. The results confirm the capability of BinARM to be applied in real-world scenarios in order to perform vulnerability analysis on the IED firmware embedded in the smart grid.

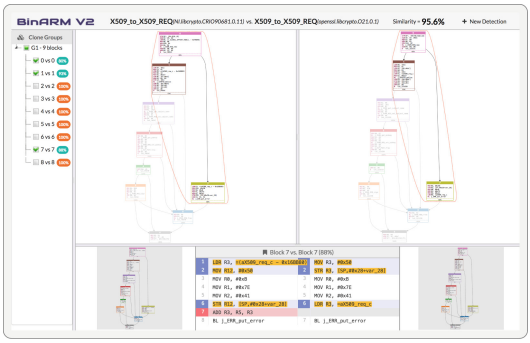


Fig. 7. A snapshot of BinARM's in-depth results for bug search in `NI PMU1_0_11` firmware

5.6 Impact of Multiple Detection Stages

In order to study the impact of proposed multi-stage detection engine, we employ four experiments by enabling and disabling shape-based and branch-based detectors (we always keep the fuzzy matching-based detector enabled), and measure both the accuracy and efficiency of BinARM on *Vulnerability Database*. To this end, we perform the tests on randomly selected projects with different versions and optimization settings. As shown in Table 8, the total accuracy remains the same as it is not affected by any of the prior detection stages. On the other hand, the proposed multi-stage detection improves the efficiency of BinARM.

Table 8. Impact of detection stages

Shape-based	Branch-based	Accuracy	Time (s)
True	True	0.929	626.72
True	False	0.928	3649.80
False	True	0.925	44823.34
False	False	0.924	50671.66

Note: The Fuzzy-based detector is always enabled.

5.7 Impact of Parameters

In this subsection, we further study the impact of λ and γ parameters on BinARM accuracy. We perform experiments by (i) disabling the branch-based detector, and incrementing the value of λ by 5 starting from 5; (ii) disabling the shape-based detector and incrementing the value of γ by 5 each time, starting from 25%. We randomly select 10% of libraries from our *Vulnerability Database* as the test set, and perform the matching against remaining libraries in our dataset. We repeat this process multiple times and record the accuracy. The experimental results illustrated in Figs. 8 and 9 demonstrate that the values of $\lambda = 26.45$ and $\gamma = 50\%$ return the highest accuracy among other values.

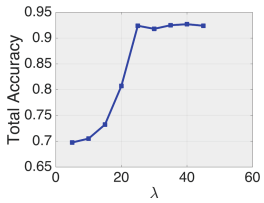


Fig. 8. Impact of λ

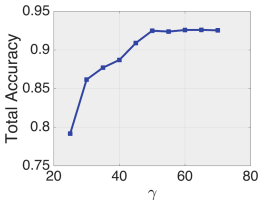


Fig. 9. Impact of γ

5.8 Scalability Study

We further investigate the time required for both indexing and retrieving matched functions to demonstrate BinArm capability to handle firmware analysis at a large scale. To this end, we randomly index one million functions from *General Dataset*, and collect the indexing time per function. Figure 10 depicts the CDF of the preparation time for the randomly selected functions, and most of the functions are indexed in less than 0.1 s, where the median indexing time is 0.008 s, and it takes 0.02 s on average to index a function.

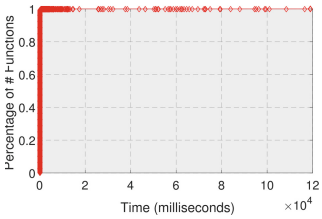


Fig. 10. CDF of indexing time

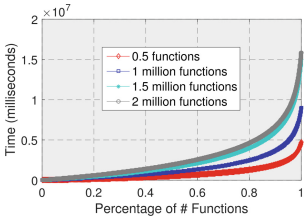


Fig. 11. CDF of search time

Moreover, we perform several scalability benchmarks, each utilizes a randomly selected set of 10,000 target functions. For each evaluation, we employ a randomly selected set of reference functions, where its size increases in increments of 0.5 up to 2 million, as plotted in Fig. 11.

6 Related Work

We briefly describe most recent existing works to identify known vulnerable functions in program binaries. BinDiff [21] performs graph isomorphism on function pairs of two binaries in the cross architecture setting. However, it is not designed to be applied on large scale datasets. Rendezvous [33] performs function matching based on different features. Nevertheless, it is sensitive to structural changes and instruction reordering. TRACY [18] employs LCS algorithm to align two tracelets obtained from decomposed CFGs. However, this approach is suitable

for functions with more than 100 basic blocks [18]. BinSequence [31] compares two functions using LCS and neighbourhood exploration. However, its accuracy drops due to the effects of code transformation [31]. Moreover, the proposed MinHash-based filtering is not efficient for large and complex functions.

Some cross-architecture bug search approaches have been proposed. For instance, Multi-MH [44] finds similar code by capturing the input and output variables at basic block level. However, finding semantic similarities is performed by MinHash, which is slow to be applicable to large code base. discovRE [23] applies maximum common subgraph isomorphism for function matching, whereas the utilized pre-filtering to speed up the subgraph isomorphism causes significant reduction in accuracy [25]. Genius [25] generates attributed control flow graphs, where each basic block is labelled with statistical and structural features, and then converts them into embeddings using LSH. However, graph embedding and distance matrix is expensive [54], and changes in the CFG structure affect its accuracy [25]. Most recently, a neural network-based approach called Gemini [54] computes numeric vectors based on the CFGs and addresses the efficiency issue of Genius. We compare BinArm with the aforementioned proposals in Table 9.

Table 9. Comparing existing solutions with BinArm

PROPOSALS	Feature				Feature Level			Architecture			Compiler			
	Syntactic	Semantic	Structural	Statistical	Instruction	Basic Block	Function	x86-64	ARM	MIPS	VS	GCC	ICC	Clang
BinDiff [21]		●	●			●	●	●			●	●		
Rendezvous [33]	●	●	●		●		●	●				●		
TRACY [18]		●	●			●	●	●				●		
BinSequence [31]	●	●	●		●	●	●	●				●		
Multi-MH [44]		●				●		●	●	●		●		●
discovRE [23]			●	●	●	●		●	●	●	●			
Genius [25]			●	●	●	●		●	●	●		●		●
BinShape [48]	●	●	●	●			●	●			●	●		
Gemini [54]			●	●	●	●		●	●	●		●		●
BinArm	●	●	●	●	●	●	●		●			●		

Note: Symbol (●) indicates that system supports the corresponding feature, otherwise it is empty.

All of the aforesaid approaches employ static analysis, while some dynamic analysis techniques have been proposed. For instance, BLEX [22] executes functions for several calling contexts and deems functions with the same side effects as similar. However, dynamic analysis approaches are often computationally expensive, and are difficult for firmware images [23].

7 Conclusion

In this paper, we presented BinArm, a scalable and efficient vulnerability detection technique for smart grid IED firmware. We proposed two substantial

databases of smart grid firmware and relevant vulnerabilities. We then introduced a multi-stage detection engine that leveraged this data and identified vulnerable functions in IED firmware accurately and efficiently. This was further ramified by its evaluation on real-world IED firmware images which resulted in the identification of 93 potentially vulnerable functions. However, BinArm has the following limitations: (i) We do not currently support function inlining. However, this problem can be circumvented by leveraging data flow analysis. (ii) Our system deals only with the ARM architecture, since most of IEDs firmware leverage these processors. An intermediate representation could be utilized to support multiple architectures. (iii) We do not consider type inference in our features, which is important to mitigate some types of vulnerabilities [12,52]. (iv) The proposed detection approach fails to detect runtime data-oriented exploits, due to the lack of runtime execution semantics checking [15]. Proposing a hybrid approach including dynamic analysis could overcomes this limitation.

Acknowledgement. We would like to thank our shepherd, Dr. Yan Shoshitaishvili, and the anonymous reviewers for the invaluable comments. This research is the result of a fruitful collaboration between members of the Security Research Centre (SRC) of Concordia University, Hydro-Québec, and Thales Canada under the *NSERC/Hydro-Québec/Thales Senior Industrial Research Chair in Smart Grid Security: Detection, Prevention, Mitigation and Recovery from Cyber-Physical Attacks.*

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