



DAVID RODRIGUES FERREIRA

Master/BSc in Name of Previous Degree

A VERY LONG AND IMPRESSIVE THESIS TITLE WITH A FORCED LINE BREAK

SOME THOUGHTS ON THE LIFE, THE UNIVERSE,
AND EVERYTHING ELSE

Dissertation Plan
MASTER IN ELECTRICAL AND COMPUTER ENGINEERING

NOVA University Lisbon

Draft: January 21, 2024



NOVA SCHOOL OF
SCIENCE & TECHNOLOGY

DEPARTMENT OF ELECTRICAL
AND COMPUTER ENGINEERING

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ABSTRACT

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1. What is the problem?
2. Why is this problem interesting/challenging?
3. What is the proposed approach/solution/contribution?
4. What results (implications/consequences) from the solution?

Keywords: One keyword, Another keyword, Yet another keyword, One keyword more, The last keyword

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1. Qual é o problema?
2. Porque é que é um problema interessante/desafiante?
3. Qual é a proposta de abordagem/solução?
4. Quais são as consequências/resultados da solução proposta?

Palavras-chave: Primeira palavra-chave, Outra palavra-chave, Mais uma palavra-chave, A última palavra-chave

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ACRONYMS

`novathesis` NOVAthesis L^AT_EX (*pp. [i](#), [ii](#)*)

INTRODUCTION

1.1 Motivation

In 2011 the term Industrie 4.0 was introduced for the first time during a German conference. This term was later translated into Industry 4.0 and it quickly became synonymous with the fourth industrial revolution. The concept of Cyber-Physical Production Systems, or CPPSs for short, is essentially a Cyber-Physical System that is thought of from a production perspective. A Cyber-Physical System is a physical system that has a digital representation. They both exchange data to maintain coherence and work in tandem to achieve a goal. These CPPSs brought about the digitalization of the manufacturing sector, and despite there being different models, most of them follow these design principles [1]:

- Services offered through an online platform
- Decentralized, enabling autonomous decision-making
- Virtualized, to allow interoperability
- Modular, making them flexible to changes in the system
- Real-time capabilities
- Ability to optimize processes
- Communication is done through secure channels
- Cloud service for data storage and management

This new way of operating a production chain revolutionized the industry, because it brought about the changes needed to make manufacturing processes more flexible, adaptable and re-configurable, bringing with it a solution for the ever increasing complexity needed to address the rapidly changing customer demands. In recent years, multiple companies have made the transition to this model. They have made diversified changes, from the way they analyze and process data to the way they manufacture and distribute

products, with very positive results as we can see in [2].

In light of this, CPPSs became a popular research subject. This research was mainly done on the topic of Multi-Agent Systems (MAS) [3] [4]. These MASs are a coalition of agents, all part of one single system but completely independent of each other. They are intelligent, social and capable of performing tasks on their own, however due to their social capabilities, they can also perform tasks cooperatively, making them powerful tools in goal-oriented networks. Because this system is, by nature, decentralized, these agents can leave and join a coalition of agents as needed, to complete selfish or collective objectives. Evidently, the system is also highly flexible and robust, since agents can be taken out of commission and new agents can be introduced as needed, either because the overall system specifications need to change or simply because an agent has become faulty [5].

MASs have actually been around for decades and as such, a lot of research and standardization already exists, like the Foundation for Intelligent Physical Agents (FIPA) specifications. FIPA as an organization have ceased operations, however their standard are still put to use in MASs nowadays [6]. An MAS in an industrial follows similar requirements as a non-industrial one, although it needs to take into consideration other factors, such as hardware integration, reliability, fault-tolerance and maintenance and management costs.

Despite all the advantages an MAS has for the manufacturing sector, it has not seen much success outside research fields. This could be due to the fact that there is still skepticism surrounding agent-based systems for industrial production [7]. Because it never left the prototyping stages, real-world applications never evolved past their infancy, therefore never gained much momentum in the industry at large [8]. Another consequence of this is the difficulty in designing a robust and scalable interface, that allows, for example, the addition and removal of agents without system reconfiguration.

1.1.1 Problem and Solution

In this work, a new approach to developing an interface for an Industrial MAS is proposed. It consists of a framework that allows the creation of industrial agents through the selection of generic libraries for the interface between agent and device. This would simplify the process of creating new agents for the system, making the addition of new agents way more flexible and less time consuming. It would also allow the creation of more generic agents that could be used in to integrate many types of hardware.

STATE OF THE ART

In this chapter we'll explore how researchers have dealt with the challenges of creating an MAS based CPPS. We'll start by examining the concepts of CPPS and MAS in more detail and by taking a look at the most commonly used designs and tools, as well as the recommended practices for an Industrial MAS. Finally, we'll do a brief analysis on some prototypes that were made to showcase the usefulness of an MAS in an industrial setting.

2.1 Cyber-Physical Production Systems

As stated before, a Cyber-Physical System (CPS) is a system composed of two main entities, the physical system that contains all the hardware and resources and the cyber system that represents the physical system in the digital world. These two systems work together, the physical system sends data from the environment to the digital system and the digital system processes this data and instructs the physical system on what actions to perform. As such, this system works in a loop, constantly exchanging data and instructions to achieve a common goal.

A Cyber-Physical Production System (CPPS) is, as the name implies, a CPS that operates in a manufacturing setting. The physical system is composed of hardware like robot arms, Automated Guided Vehicles (AGVs), conveyor belts and specialized machinery for manufacturing. The cyber system might be as simple as a computer program or as complex as a full-on three dimensional model of the physical system. Vogel-Heuser and Hess [1] proposed that a CPPS should:

- Be service oriented, meaning that it should offer its services through the internet
- Be intelligent, with the ability to make decisions on its own
- Be interoperable, by having the capacity to aggregate and represent human-readable information and by providing a virtualization of the physical system
- Be able to flexibly adapt to changes in requirements and scale

- Have Big Data algorithm capable of processing data in real time
- Be capable of optimizing processes to increase Overall Equipment Effectiveness (OEE)
- Be able to integrate data across multiple disciplines and stages of the products life cycle
- Support secure communications to allow partnerships across companies
- Be capable of storing and accessing data in the Cloud

2.2 Multi-Agent Systems

To understand what should be the best practices in an MAS based CPPS, we first need to understand its base characteristics. A Multi-Agent System is, in essence a system composed by many entities called agents that have their own capabilities. These agents communicate and collaborate together by exchanging data among themselves and acting on that data to achieve a common goal. They are capable of adapting their behavior and of autonomous decision-making to determine the best course of action. This system is by nature decentralized and has no hierarchy, making it highly flexible and modular. At any point an agent can leave or join the system, without significant changes in architecture [5].

Industrial agents inherit all the qualities of software agents, like the intelligence, autonomy and cooperation abilities, but in addition are also designed to operate in industrial settings, and need to satisfy certain industrial requirements such as reliability, scalability, resilience, manageability, maintainability and most important of all, hardware integration [9]. An example of a base architecture for an MAS can be seen in Figure 2.1.

These requirements are generally tough to fulfill, especially so because despite the theoretical potential MAS have shown in supporting them, there aren't a lot of agent-based production systems outside the prototyping phase. This has stopped the growth of Industrial MAS due to the lack of practical knowledge in this field [10]. Another problem seen in Industrial MAS is the lack of models that can represent these systems. One of the key elements of an MAS are the changes made in structure and logic as the system operates. Thanks to the decentralized nature of the system, it is possible to add, remove or reconfigure modules freely to better adjust to the systems needs [10].

Now that we have an idea of the characteristics and requirements for Industrial MAS, we can take a look at the most commonly used architectures, followed by what is recommended by the IEEE Standard.

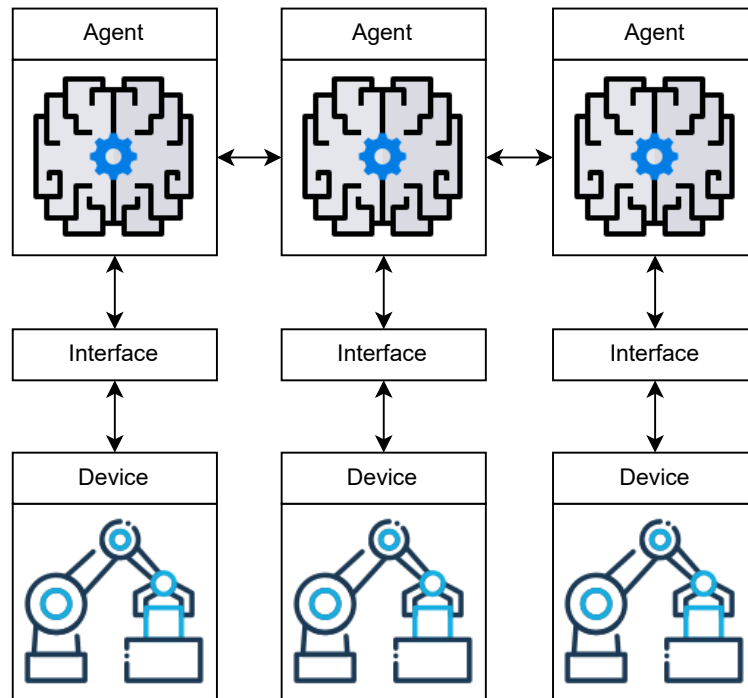


Figure 2.1: Industrial Multi-Agent System

2.2.1 Best Practices and Common Architectures

Leitão et al. [9] analyzed the IEEE 2660.1 Standard for the recommended practices in integrating software agents and low-level automation functions. They described the use of an MAS as a CPPS, where control is decentralized, emerging from the interactions of agents that are part of the system. And as we've discussed before, one of the biggest problems is creating an interface between the agent and the device associated with it. Because of this, the IEEE 2660.1 Standard was created, defining the best practices in designing one an appropriate interface.

As an example, the authors mention three main types of interfaces. An interface for a smart sensor, to acquire measurements. An interface for a Programmable Logic Controller (PLC), to control simple devices like conveyor systems. And finally, an interface for a robot controller to control more complex functions in the CPPS. These three interfaces present different challenges on a development level, because each requires consideration on which architecture to follow, with different consequences to the evolution of the manufacturing plant over time.

The authors then created multiple scenarios, one of them being factory automation. They then proposed that the most valuable criterion was the response time of the system. As a secondary criterion scalability was chosen, but with a lesser importance. From this scenario the authors then concluded that a tightly coupled hybrid Open Platform Communications Unified Architecture (OPC UA) interface was preferable according to the

IEEE 2660.1 standard. This means that the interface should have a client-server approach and be running remotely, a Tightly coupled Hybrid approach. However the authors also mention that this setup has a relatively low score, meaning that many of the other proposed practices are still viable, with testing needed to be done in order to pick the best one based on each specific scenario.

In [10], it is proposed that one of the key requirements in the design of interfaces for MAS is interoperability. This comes with other challenges associated, like re-usability and scalability. In an MAS, the authors identified two main types of interfaces, the interface between agents, which normally is provided through the framework of the agent-based system, and the interface between agent and device.

Leitão et al. [11] analyzed a study performed under the IEEE P2660.1 Working Group [12] and concluded that most approaches followed a two-layer convention. The upper layer contained the agents part of the MAS and the lower layer the hardware associated with the physical production system. These two layers can interact in two ways [11]:

- Tight coupling, where the two layers communicate either through shared memory or through a direct network connection. This communication is synchronous and more direct.
- Loose coupling, where the two layers communicate through a queue or a pub/sub channel. This communication is asynchronous and less direct.

These layers can also be hosted in different setups [11]:

- Hybrid setup, where the two layers run in different devices.
- On-device setup, where the two layers run in the same device.

This means that there can be four different interfaces, Tightly coupled Hybrid, Loosely coupled Hybrid, Tightly coupled On-device and Loosely coupled On-device.

A Tightly coupled Hybrid interface (Fig. 2.2) is characterized by having the upper layer where the agent operates running remotely and accessing the lower layer through an Application Programming Interface (API). This API is responsible for translating the instructions given by the agent into commands the hardware can interpret. It is also responsible for the opposite, translating the hardware output, such as error codes or function results into data the agent can use. This approach is limited by the channel through which both layers communicate since both agent and device operate on two different computing platforms. This channel is affected by the amount of traffic in the network, more connections implies a lesser quality of service, namely in response time [11].

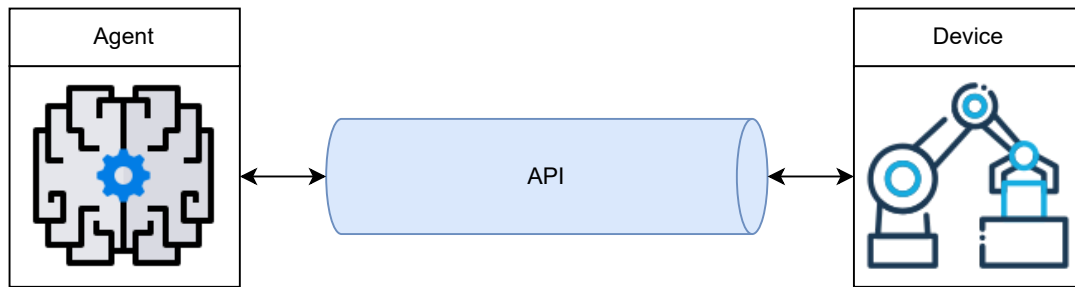


Figure 2.2: Tightly coupled Hybrid interface. Source: Adapted from [11]

A Loosely coupled Hybrid interface (Fig. 2.3) also sees both agent and device running on different computing entities. The difference is that instead of each agent having a direct connection to the corresponding device, they communicate through a message broker. Since the system still runs on two different computers it still suffers from the quality of the connection between layers, making this somewhat inappropriate for systems highly dependent on real time action. However, this approach sees better results in complex systems, where the agent layer needs to publish information to a large amount of devices at once. It also sees good results when it comes to scaling the system, since both layers are very independent of each other [11].

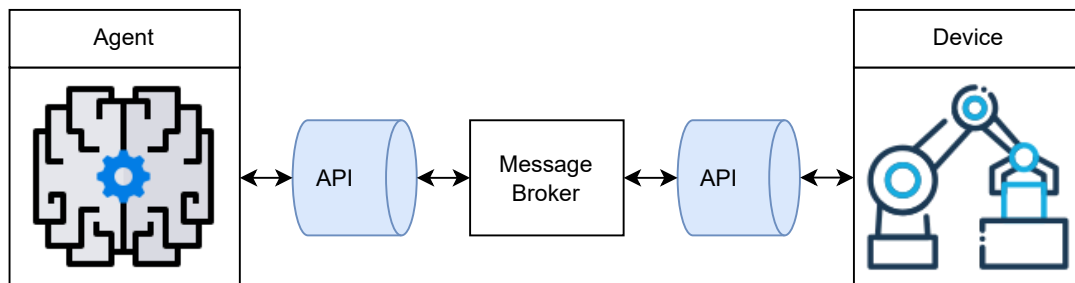


Figure 2.3: Loosely coupled Hybrid interface. Source: Adapted from [11]

A Tightly coupled On-device interface (Fig. 2.4) on the other hand follows an architecture where both devices share the same physical platform and can be done in two different ways. The first one, and far less common, has both agent code and device code compiled into a single binary running in the same computing element. This solution provides far better results in very demanding real time applications, however it also removes some flexibility from the system and is far more complicated to design due to the lack of development tools. The second option has the computational resources shared through a software library, where communication is done through software functions but abstracting some elements. This option still holds good results in real time control, but not as good as the first one [11].

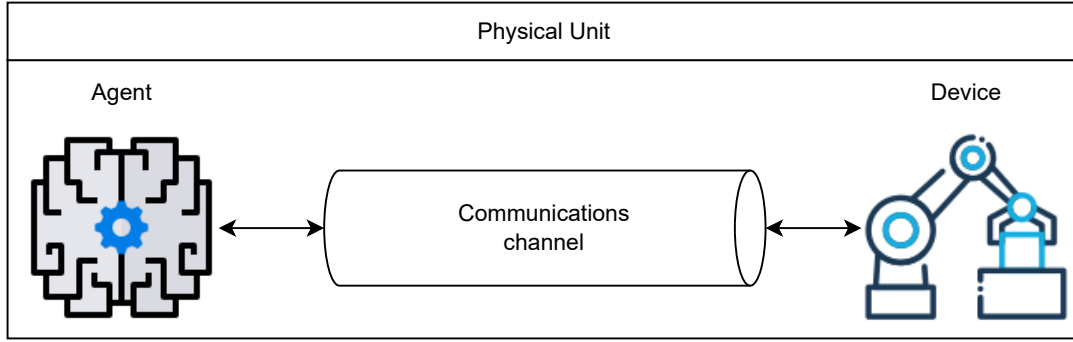


Figure 2.4: Tightly coupled On-device interface. Source: Adapted from [11]

Finally, a Loosely coupled On-device interface (Fig. 2.5) is characterized by having the agent embedded in the device and communication is done through a broker. Both layers share a physical unit but do not share computational resources. The utilization of a broker between the two layers offers some flexibility, since the agent and hardware are less dependent of each other. This comes with the caveat that the real time response of the whole unit is dependent on the performance of the broker [11].

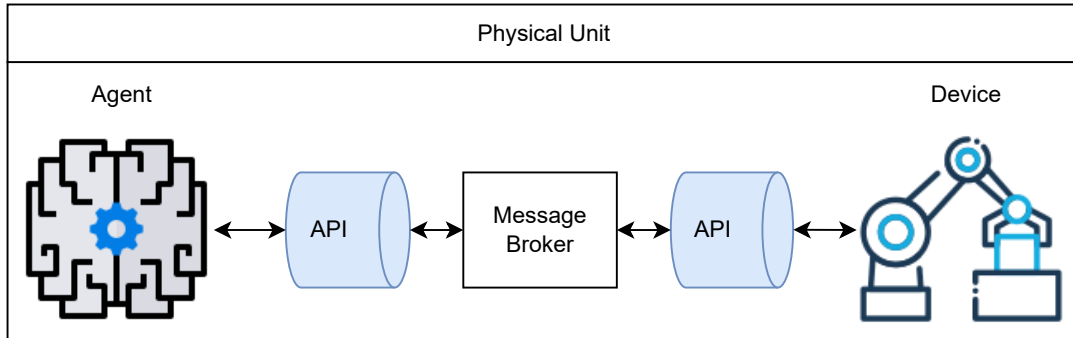


Figure 2.5: Loosely coupled On-device interface. Source: Adapted from [11]

The most common programming language to codify agents is Java, most likely due to JADE, an agent-based framework, followed by C++ [11]. This framework helps developers in the implementation of MASs with the FIPA specifications. It also allows deployment for different machines, due to Java supporting multiple devices [13]. For the device part, preexisting hardware is used in the majority of cases because it can be integrated into an MAS by using protocols such as OPC UA [11], which is a platform independent data exchange standard. It allows for both server-client and publish/subscribe communications as well see in Section 2.2.2 [14].

2.2.2 OPC UA

Another way to integrate hardware into an MAS it through the use of already made libraries. Open Platform Communications Unified Architecture (OPC UA) was created based on Open Platform Communications Classic (OPC Classic), which in turn is based

on the Microsoft Windows Distributed Component Object Model (COM/DCOM) for the exchange of data. OPC UA is an evolution of OPC Classic but with extra functionalities, like added security, extensibility and platform independence. This last feature allowed it to run on many more platforms such as cloud-based servers, micro-controllers and PLCs [14]. As mentioned in Section 2.2.1, it is a recommended way to implement an interface for an MAS. OPC UA can be used with as a tightly coupled or loosely coupled interface, because it supports both direct client-server connections and pub/sub message transmissions, making it a strong option to integrate hardware into an MAS.

Because OPC UA already implements communication protocols and communication infrastructure, as well as an information model, all participants in the network know how to communicate. This means that the MAS can be running on any kind of platform or be programmed in any kind of language, increasing system flexibility. Many modern PLCs already provide an embedded OPC UA server facilitating their integration into a new system [15].

2.2.3 Modbus

Another way to integrate hardware into an MAS is by using Modbus. Modbus is an industrial communications system that has been around since 1979. It follows a master/slave architecture, where the master is usually an application capable of acquiring data and the slave a PLC. This master application can be substituted for an agent from an MAS, integrating it with the slave hardware. Modbus supports standard Transfer Control Protocol - Internet Protocol (TCP-IP) and User Datagram Protocol (UDP). It is simple, has high levels of compatibility and is decentralized due to the use of master/slave communications, making it flexible. Modbus could be integrated with any programming language of choice, by using software libraries to interpret and send commands to the Modbus layer [16].

2.3 Practical Uses

Adoption of industrial oriented MAS has been slow. According to Karnouskos and Leitão [8], the technology was still in its infancy almost two decades ago, with an incremental progress at best being made since then. In [10], Karnouskos et al. claim that agent-based applications in the industry is still limited. This is because despite the potential shown, these systems have not been implemented in real-world applications, where they would have the chance to evolve and leave the prototyping phase as new research is being done to make them more suitable for these applications.

There are, although, many research prototypes of MAS used for an industrial applications, and we'll take a look at some of them in this section.

2.3.1 Bottling Plant

For the design of the bottling plant in [7], a research paper [17] was first done by Marschall, Ochsenkuehn, and Voigt, with the collaboration of multiple partners from different backgrounds. This was done to define the base requirements for the CPPS as well as the associated MAS. These requirements are:

- Easy Scalability and Functional Expansion
- Manufacturer-neutral Resource Representation
- Robust Production Control by the Product
- Lot Size One without Identification

Summarizing, the system needed to be easily scalable and it must be able to expand its functions to handle changes in production, it must be able to represent the resources in the CPPS as a generic and manufacturer independent representation, products must be able to handle their own production steps, handling errors and reacting robustly to those errors and finally be able to produce lot sizes of one without needing an identification system for each individual product.

In consequence of these requirements, Marschall, Ochsenkuehn, and Voigt designed a base solution consisting of two generic agents, a Product Agent (PA) that represents individual products being manufactured, in this case the beverage bottles, and a Resource Agent (RA) that represents the resources used to manufacture the beverage bottles.

The MAS was made using JADE, and as such all agents in this system are FIPA compliant and communicate through the FIPA Contract Net.

Each agent inherits from a generic class called Agent. The RA and PA then inherit from this class, with added functionalities and variable fields. The PA is an agent with the capabilities of performing autonomous and goal-driven behaviors. The RA is an agent capable of controlling the resources by using sensor data and messages with hardware instructions.

A PA differs from the generic Agent by implementing a configuration file `ProductConfig`. This file is in the Extensible Markup Language (XML) format and has information on the product represented by it. It also has a `PlanningModule`, which is essentially a `StateMachine` with all the products processing instructions.

Like the PA, the RA also mirrors the Agent class. It must be generic in order to be implemented on multiple resources, so for each `ResourceType` there exists a `ResourceConfig` file which is loaded onto the RA in order to implement its interface. To give an example, if the resource has the `ResourceType Machine`, the `ResourceConfig` file `MachineConfig` must be read.

The authors identified five different ResourceTypes by classifying many resources from renowned machine manufacturers. RAs not only include robots, transport systems and stations but also database, as a data acquisition method. The authors recognize that not all types of possible resources for a CPPS have been considered. This means that for every new resource type that needs to be added to the system, a new ResourceConfig must be created in order to integrate the new RA into the system. In addition, a new resource that communicates differently from the ones already in the system would need a new interface, and thus a new ResourceConfig would also need to be created, even if the new resource performs similar functions in the manufacturing plant.

In [7], Marschall et al. created the service oriented bottling plant using the industrial agent system designed in [17], with positive results. To allow for extensibility of the system by additional resources a new agent class was created called InterfaceAgent (IntA). The ResourceConfig files for each type of RA now have a new field which contains an InterfaceAgentConfig. This new IntA is instantiated by the RAs following the configurations of their respective InterfaceAgentConfig and it extends either a DBLinkAgent, used to connect to a database, and a PLCLinkAgent, used to connect to a PLC UA client. All the information, like server address, port and authentication, is stored in the InterfaceAgentConfig file. By using OPC UA, the system can now interface with the hardware in the manufacturing plant, through a Loosely Coupled Hybrid interface. The authors recognize that according to the IEEE P2660.1 Working Group the scalability is considered weak, although they claim the flexibility is worth this loss in scalability.

To implement a new IntA, the following must be provided:

- The communications protocol and the address, ports and authentication
- The way the interactions between the agent and resource should proceed
- The rules for the interpretation of internal resource states

Communication between the PLCLinkAgent and the machine is done through the use of simple commands. They specify the command to perform and the program type to use and must also include a unique name, the NodeID which identifies the type of the given input on the OPC UA server, the data type, all possible values, the read/write direction and the description. The program type is chosen based on the size of the bottle and the command has to be one of the five commands already defined:

- NoCommand, in case no action is needed
- ProductInPosition, in case the product is in position and ready
- ExecuteProcess, in case the process should start

- ProductRemoved, in case the product needs to be removed from the machine/station
- PrepareMachine, in case the machine needs to be prepared for a process to start

All commands have a number code associated to them to facilitate communications and at any time the PLCLinkAgent can retrieve the state of a machine by observing a NodeID with the machine state code. In Figure 2.6 we can see the state machine representing the interactions between the PLCLinkAgent and a machine. To handle manufacturer specific machine state codes, the authors mapped said codes onto generic MAS states, with the possibility of having multiple codes mapped to a single state. This was done to make the system capable of handling machines from different manufacturers without sacrificing flexibility in the MAS.

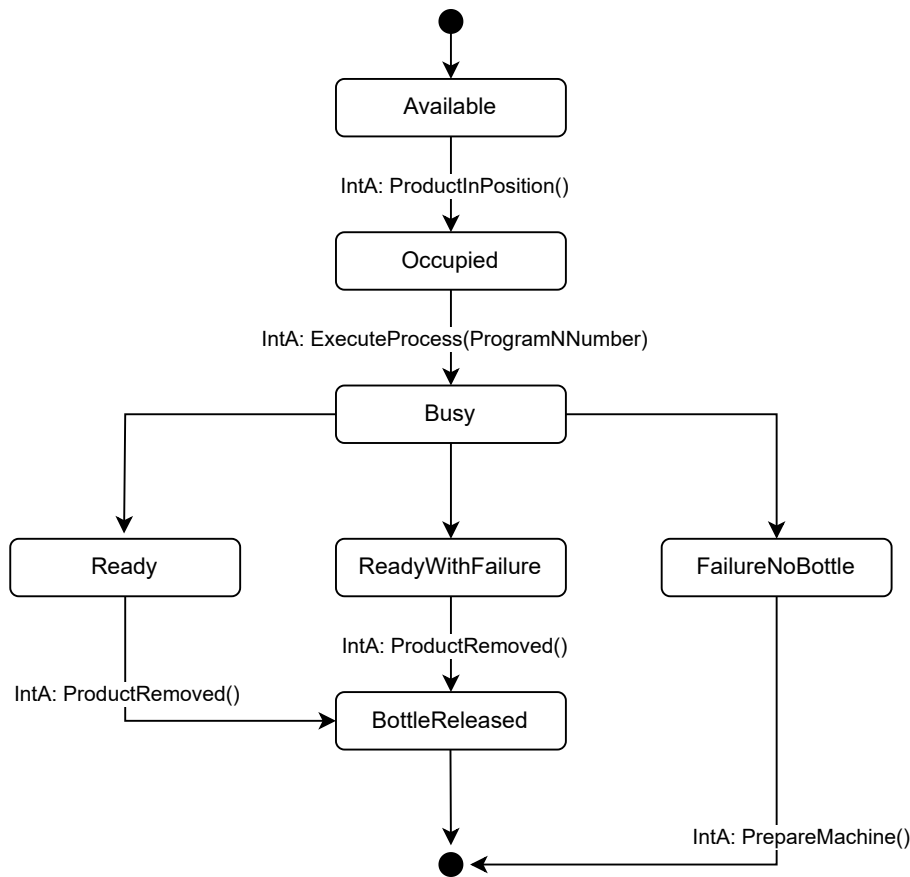


Figure 2.6: Interactions between PLCLinkAgent and PLC. Source: Adapted from [7]

The use of OPC UA makes this system very flexible. New hardware that needs to be implemented in the system can be configured to use these commands through the use of a PLC. The use of generic states make it scalable and configuration files also help fulfilling this necessity. However, it is still dependent on these files, with individual configurations needed for different manufacturers and machine types. The system also needs to be adapted to recognize the machine states from the new implemented hardware.

Nevertheless this system performed as intended, producing customized beverage bottles according the customers specifications. It is a good example of an MAS based CPPS, flexible, scalable, robust, with decentralized control and autonomous intelligent agents.

2.3.2 Agent-based Plug and Produce CPPS

Rocha et al. [18] have created a Plug and Produce CPPS. It is capable of integrating new agents on the fly, as the system operates. First, the authors divided the systme into three layers. The upper layer is where the MAS operates, called the fog layer. The middle layer is where the interface between the MAS and the hardware is, called the edge layer. And finally, the lower layer is where the hardware components of the CPPS are, called the physical layer.

The agents were also categorized into Product Agent (PA) and Resource Agent (RA), performing similar functions to the ones in Section 2.3.1 and in addition the authors also considered Transport Agents (TA) which abstract all resources whose function is to move a product from point A to point B. They also considered a Deployment Agent (DA) tasked with managing the existence of all other agents. This DA should create a new agent or remove an existing one whenever a physical resource is plugged into or unplugged from the system.

To accomplish this the authors considered the grouping of a physical resource with its agent a Module. Modules are the components of the whole production system, and they can be plugged and unplugged at any time. The system need to be able to operate to the best of its abilities at all times. In Figure 2.7 we can see an example of one of these Modules.

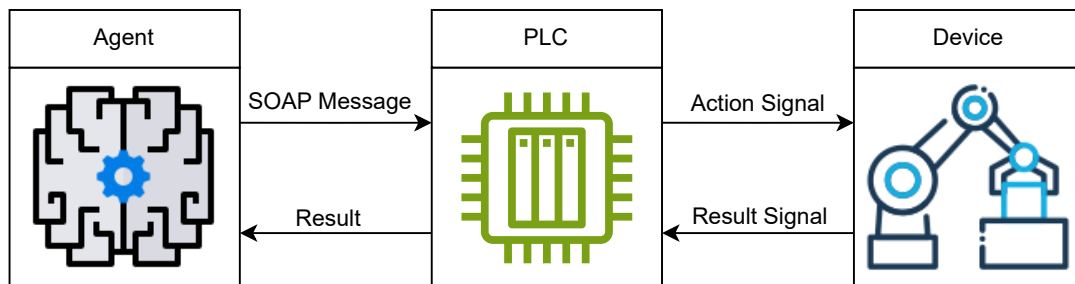


Figure 2.7: Plug and Produce Resource Agent. Source: Adapted from [18]

For the interface between hardware and agent, a PLC was chosen. This PLC is able to communicate using Device Profile Web Services (DWPS). For the DA, a java class was implemented using the Web Services 4 Devices - Java Multi Edition DPWS Stack (WS4D-JMEDS) framework, which searched for the devices in the network and obtained information about them. It was able to detect all devices connected to the network and

add and remove them as needed.

Each resource is able to perform certain procedures on the products, represented in the MAS as skill which RAs perform on to PAs. This is how the authors simulate the system virtually, whenever a product needs a certain procedure to be performed on itself, the corresponding PA asks an RA for that skill. This is relevant because the system may or may not have a resource able to perform a specific skill. In the case of a PA that needs a non-existing skill to be performed, it waits until an RA capable of performing the needed skill to be plugged into the system.

The MAS was developed in the JADE framework, all agents are therefore FIPA compliant and communicate through FIPA Requests and FIPA Contract Net. More precisely, the FIPA Requests are used for PA-TA communications and the FIPA Contract Net used for PA-RA communications. Whenever a new PA enters the system, it asks through the FIPA Contract Net which RAs are can perform the needed skill. After getting responses from all the RAs, the PA picks the best one and receives its location. It then sends a FIPA Request to the TA to transport it to this location. After arriving, it then requests that the skill be performed to the RA.

This system was used to simulate a simple conveyor belt line with brushing capabilities. The authors where able to successfully plug and unplugged Modules from the system during its operation. The DA correctly connected and disconnected hardware components from the system and deployed and kicked agents from the system accordingly. This shows that an MAS built from the ground up with these functionalities is very powerful in its scalability, and although this was only a prototype it shows a lot of promise for a dynamic system.

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