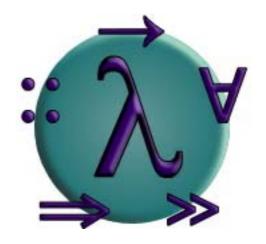
PROGRAMMING IN HASKELL



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Chapter 1

Preface

This book is an introduction to the functional style of computer programming, using the modern functional language Haskell. The functional style is quite different to that promoted by most current programming languages, such as Visual Basic, C, C++ and Java. In particular, most current languages are closely linked to the underlying hardware, in the sense that programming is based upon the execution of instructions that change stored values. In contrast, Haskell promotes a more abstract style of programming, based upon the application of functions to arguments. As we shall see, moving to this higher-level leads to considerably simpler programs, and supports a number of powerful new ways to structure and reason about programs.

The book is primarily aimed at first and second year students studying computing science or mathematics at university level, but may also be of interest to a broader spectrum of readers who would like to learn about programming in Haskell. No previous programming experience is assumed, but some experience with the basic concepts of discrete mathematics — in particular, sets, functions, propositional logic and predicate logic — will be helpful. However, I have tried to make the book largely self-contained.

The version of Haskell used in this book is *Haskell 98*, the recently defined stable version of the language that is the culmination of fifteen years of work by its designers. Haskell itself will continue to evolve, but implementors for the language are committed to supporting Haskell 98 for the foreseeable future. As this is an introductory text, we do not attempt to cover all aspects of Haskell 98 and its associated libraries. Around half of the volume of the text is dedicated to introducing the main features of the language, while the other half comprises examples and case studies of programming of Haskell.

For lecturers interested in teaching students how to program in Haskell using this book, most of the material could be covered in around twenty hours of lectures, supported by a total of around forty hours of private study, practical sessions in a supervised laboratory, and take-home programming courseworks. However, additional time would be required to study some of the later chapters in more detail, along with some of the later case studies.

Acknowledgements

During the last fifteen years, I have been fortunate to have worked in the same research groups as many of the leading designers and practitioners of Haskell, including John Launchbury, Simon Peyton Jones and Philip Wadler in Glasgow, John Hughes in Glasgow and Gothenburg, Erik Meijer in Utrecht, and Mark Jones in Nottingham. All of these people have had a major influence on my own understanding and approach to Haskell, but I would particularly like to thank Richard Bird in Oxford, whose emphasis on clarity and elegance has been a source of inspiration to all functional programmers.

This book is a revised and expanded version of the Haskell course that I currently teach to first-year computing students at the University of Nottingham. Production of the book was commenced during a one semester sabbatical, and I am grateful to the University for providing me with this opportunity.

Finally, I would like to thank the authors of two software packages that were used extensively in the production of this book: Mark Jones for the excellent Hugs interpreter for Haskell, which has played such a fundamental role in the development and promotion of the language, and Ralf Hinze for the easy to use lhs2TeX system for typesetting Haskell.

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Chapter 2

Introduction

In this chapter we "set the stage" for the rest of the book. We start by reviewing the notion of a function, then introduce the concept of functional programming, summarise the main features of Haskell and its history, and conclude with two small examples that give a taste of Haskell.

2.1 Functions

A function is a mapping that takes one or more arguments and produces a single result, and is defined using an equation that gives a name for the function, a name for each of its arguments, and a body that specifies how the result can be calculated in terms of the arguments.

For example, a function *double* that takes a single number x as its argument and produces the result x + x can be defined by the following equation:

$$double x = x + x$$

When a function is *applied* to actual arguments, the result is obtained by substituting these arguments into the body of the function in place of the argument names. This process may immediately produce a result that cannot be further simplified, such as a number. More commonly, however, the result will be an *expression* containing other function applications, which must themselves be processed in the same way to produce the final result.

For example, the result of the application *double* 3 of the function *double* to the number 3 can be determined by the following calculation, in which each step is explained by a short comment in curly parentheses:

$$double 3$$

$$= \{ applying double \}$$

$$3+3$$

$$= \{ applying + \}$$

$$6$$

Similarly, the result of the nested application double (double 2) in which the function double is applied twice can be calculated as follows:

Alternatively, the same result could also be calculated by starting with the outer application of the function *double* rather than the inner:

```
double (double 2)
= \{ applying the outer double \} 
(double 2) + (double 2)
= \{ applying the first double \} 
(2+2) + (double 2)
= \{ applying the first + \} 
4 + (double 2)
= \{ applying double \} 
4 + (2+2)
= \{ applying the second + \} 
4 + 4
= \{ applying + \}
```

However, this calculation requires two more steps than our original version, because the expression double 2 is duplicated in the first step and hence simplified twice. In general, the order in which functions are applied in a calculation does not affect the value of the final result, but it may affect the number of steps required, and may affect whether the calculation process terminates. These issues are explored in more detail in chapter 13.

2.2 Functional programming

What is functional programming? Opinions differ, and it is difficult to give a precise definition. Generally speaking, however, functional programming can be viewed as *style* of programming in which the basic method of computation is the application of functions to arguments. In turn, a functional programming language is one that *supports* and *encourages* the functional style.

To illustrate these ideas, let us consider the task of computing the sum of the integers (whole numbers) between one and some larger number n. In most current programming languages, this would normally be achieved using two variables that store values that can be changed over time, one such variable used to count up to n, and the other used to accumulate the total.

For example, if we use the assignment symbol := to change the value of a variable, and the keywords **repeat** and **until** to repeatedly execute a sequence of instructions until a condition is satisfied, then the following sequence of instructions computes the required sum:

```
egin{aligned} count &:= 0 \ total &:= 0 \ \mathbf{repeat} \ & count &:= count + 1 \ & total &:= total + count \ \mathbf{until} \ & count &= n \end{aligned}
```

That is, we first initialise both the counter and the total to zero, and then repeatedly increment the counter and add this value to the total until the counter reaches n, at which point the computation stops.

In the above program, the basic method of computation is changing stored values, in the sense that executing the program results in a sequence of assignments. For example, the case of n=5 gives the following sequence, in which the final value assigned to the variable total is the required sum:

```
0
count
              0
total
count
        :=
              1
total
              1
              2
count
              3
total
              3
count
        :=
total
        :=
              6
              4
count
              10
total
        :=
count
              5
total
              15
        :=
```

In general, programming languages in which the basic method of computation is changing stored values are called *imperative* languages, because programs in such languages are constructed from imperative instructions that specify precisely how the computation should proceed.

Now let us consider computing the sum of the numbers between one and n using Haskell. This would normally be achieved using two library functions, one called [...] used to produce the list of numbers between one and n, and the other called sum used to produce the sum of this list:

$$sum [1 \dots n]$$

In this program, the basic method of computation is applying functions to arguments, in the sense that executing the program results in a sequence of applications. For example, the case of n=5 gives the following sequence, in which the final result is the required sum:

```
sum [1..5] = { applying [..] } 
sum [1,2,3,4,5] = { applying sum } 
1+2+3+4+5 = { applying + } 
15
```

Most imperative languages support some form of programming with functions, so the Haskell program $sum \ [1..n]$ could be translated into such languages. However, most imperative languages do not encourage programming in the functional style. For example, many languages discourage or prohibit functions from being stored in data structures such as lists, from constructing intermediate structures such as the list of numbers in the above example, from taking functions as arguments or producing functions as results, or from being defined in terms of themselves. In contrast, Haskell imposes no such restrictions on how functions can be used, and provides a range of features to make programming with functions both simple and powerful.

2.3 Features of Haskell

For reference, the main features of Haskell are listed below, along with the particular chapters of this book that give further details.

• Concise programs (chapters 3 and 5)

Due to the high-level nature of the functional style, programs written in Haskell are often much more *concise* than in other languages, as illustrated by the example in the previous section. Moreover, the syntax of Haskell has been designed with concise programs in mind, in particular by having few keywords, and by allowing indentation to be used to indicate the structure of programs. Although it is difficult to make an objective comparison, Haskell programs are often between two and ten times shorter than programs written in other current languages.

• Powerful type system (chapters 4 and 11)

Most modern programming languages include some form of type system to detect incompatibility errors, such as attempting to add a number and a character. Haskell has a type system that requires little type information from the programmer, but allows a large class of incompatibility errors in programs to be automatically detected prior to their execution, using a sophisticated process called "type inference". The Haskell type system is also more powerful than most current languages, by allowing functions to be "polymorphic" and "overloaded".

• List comprehensions (chapter 6)

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One of the most common ways to structure and manipulate data in computing is using *lists*. To this end, Haskell provides lists as a basic concept in the language, together with a simple but powerful *comprehension* notation that constructs new lists by selecting and filtering elements from one or more existing lists. Using the comprehension notation allows many common functions on lists to be defined in a clear and concise manner, without the need for explicit recursion.

• Recursive functions (chapter 7)

Most non-trivial programs involve some form of repetition or looping. In Haskell, the basic mechanism by which looping is achieved is by using *recursive* functions that are defined in terms of themselves. Many computations have a simple and natural definition in terms of recursive functions, particularly when "pattern matching" and "guards" are used to separate different cases into different equations.

• Higher-order functions (chapter 8)

Haskell is a higher-order functional language, which means that functions can freely take functions as arguments and produce functions as results. Using higher-order functions allows common programming patterns, such as composing two functions, to be defined as functions within the language itself. More generally, higher-order functions can be used to define "domain specific languages" within Haskell, such as for list processing, interactive programming, and parsing.

• Monadic effects (chapters 9 and 10)

Functions in Haskell are pure functions that take all their inputs as arguments and produce all their outputs as results. However, most real-life programs require some form of *side effect* that would appear to be at odds with purity, such as reading data from files, interacting with the user, or changing stored values. Haskell provides a uniform framework for handling side effects without compromising the purity of functions, based upon the mathematical notion of a *monad*.

• Lazy evaluation (chapter 13)

Haskell programs are executed using a technique called *lazy evaluation*, which is based upon the idea that no computation should be performed until its result is actually required. As well as avoiding unnecessary computation, lazy evaluation ensures that programs terminate whenever possible, encourages programming in a modular style using intermediate data structures, and even allows data structures with an infinite number of elements, such as an infinite list of numbers.

• Reasoning about programs (chapter 14)

Because programs in Haskell are pure functions, simple equational reasoning can be used to execute programs, to transform programs, to

prove properties of programs, and even to derive programs directly from specifications of their behaviour. Equational reasoning is particularly powerful when combined with the use of "induction" to reason about functions that are defined using recursion.

2.4 Historical background

Many of the features of Haskell are not new, but were first introduced by other languages. To help place Haskell in context, some of the main historical developments related to the language are briefly summarised below.

- In the 1930s, Alonzo Church developed the *lambda calculus*, a simple but powerful mathematical theory of functions.
- In the 1950s, John McCarthy developed *Lisp* ("LISt Processor"), generally regarded as being the first functional programming language. Lisp had some influences from the lambda calculus, but still adopted variable assignments as a central feature of the language.
- In the 1960s, Peter Landin developed *ISWIM* ("If you See What I Mean"), the first purely functional programming language, based strongly on the lambda calculus and having no variable assignments.
- In the 1970s, John Backus developed FP ("Functional Programming"), a functional programming language that particularly emphasised the idea of higher-order functions and reasoning about programs.
- Also in the 1970s, Robin Milner and others developed *ML* ("Meta-Language"), the first of the modern functional programming languages, which introduced the idea of polymorphic types and type inference.
- In the 1970s and 1980s, David Turner developed a number of lazy functional programming languages, culminating in the commercially produced language *Miranda*¹ (meaning "admirable").
- In 1987, an international committee of researchers initiated the development of *Haskell* (named after the logician Haskell Curry), a standard lazy functional programming language.
- In 2003, the committee published the definition of *Haskell 98*, a stable version of Haskell that is the culmination of fifteen years of revisions and extensions to the language by its designers.

It is worthy of note that three of the above researchers — McCarthy, Backus and Milner — have each received the ACM Turing Award, which is generally regarded as being the computing equivalent of a Nobel prize.

¹Miranda is a trademark of Research Software Limited

2.5 A taste of Haskell

We conclude this chapter with two small examples that give a taste of programming in Haskell. First of all, recall the function *sum* used earlier in this chapter, which produces the sum of a list of numbers. In Haskell, this function can be defined using the following two equations:

$$sum [] = 0$$

 $sum (x:xs) = x + sum xs$

The first equation states that the sum of the empty list is zero, while the second states that the sum of any non-empty list comprising a first number x and a remaining list of numbers xs is given by adding x and the sum of xs. For example, the result of sum [1,2,3] can be calculated as follows:

Note that even though the function sum is defined in terms of itself and is hence recursive, it does not loop forever. In particular, each application of sum reduces the length of the argument list by one, until the list eventually becomes empty at which point the recursion stops. Returning zero as the sum of the empty list is appropriate because zero is the *identity* for addition. That is, 0 + x = x and x + 0 = x for any number x.

In Haskell, every function has a type that specifies the nature of its arguments and results, which is automatically inferred from the definition of the function. For example, the function sum has the following type:

$$Num\ a \Rightarrow [a] \rightarrow a$$

This type states that for any type a of numbers, sum is a function that maps a list of such numbers to a single such number. Haskell supports many different types of numbers, including integers, rationals such as $\frac{2}{3}$, and "floating-point" numbers such as 3.14159. Hence, for example, sum could be applied to a list of integers to produce another integer, as in the calculation above, or it could be applied to a list of rationals to produce another rational.

Types provide useful information about the nature of functions, but more importantly, their use allows many errors in programs to be automatically

detected prior to executing the programs themselves. In particular, for every function application in a program, a check is made that the type of the actual arguments is compatible with the type of the function itself. For example, attempting to apply the function sum to a list of characters would be reported as an error, because characters are not a type of numbers.

Now let us consider a more interesting function concerning lists, which illustrates a number of other aspects of Haskell. Suppose that we define a function called *qsort* by the following two equations:

```
qsort [] = []

qsort (x:xs) = qsort smaller ++ [x] ++ qsort larger

\mathbf{where}

smaller = [a \mid a \leftarrow xs, a \leqslant x]

larger = [b \mid b \leftarrow xs, b > x]
```

In this definition, + is an operator that appends two lists together to produce a new list. For example, [3,5,1] + [4,2] = [3,5,1,4,2]. In turn, where is a keyword that introduces local definitions, in this case a list *smaller* that is defined by selecting all elements a from the list xs that are less than or equal to x, together with a list larger that is defined by selecting all elements b from xs that are greater than x. For example, if x = 3 and xs = [5,1,4,2], then smaller = [1,2] and larger = [5,4].

What does *qsort* actually do? First of all, we show that it has no effect on lists with a single element, in the sense that qsort [x] = [x] for any x:

In turn, we now work through the application of *qsort* to an example list, using the above property to simplify the calculation:

```
qsort [3,5,1,4,2]
= { applying qsort }
qsort [1,2] ++ [3] ++ qsort [5,4]
= { applying qsort }
(qsort [] ++ [1] ++ qsort [2]) ++ [3] ++ (qsort [4] ++ [5] ++ qsort [])
= { applying qsort, above property }
([] ++ [1] ++ [2]) ++ [3] ++ ([4] ++ [5] ++ [])
= { applying ++ }
[1,2] ++ [3] ++ [4,5]
= { applying ++ }
[1,2,3,4,5]
```

In summary, qsort has sorted the example list into numerical order. More generally, this function produces a sorted version of any list of numbers. The first equation for qsort states that the empty list is already sorted, while the second states that any non-empty list can be sorted by inserting the first number between the two lists that result from sorting the remaining numbers that are smaller and larger than this number. This method of sorting is called quicksort, and is one of the best such methods known.

The above implementation of quicksort is an excellent example of the power of Haskell, being both clear and concise. Moreover, the function *qsort* is also more general than might be expected, being applicable not just with numbers, but with any type of ordered values. More precisely, the type

$$qsort :: Ord \ a \Rightarrow [a] \rightarrow [a]$$

states that for any type a of ordered values, qsort is a function that maps between lists of such values. Haskell supports many different types of ordered values, including numbers, single characters such as 'a', and strings of characters such as "abcde". Hence, for example, the function qsort could also be used to sort a list of characters, or a list of strings.

2.6 Chapter remarks

The definition of Haskell 98 is freely available on the web from the Haskell home page, www.haskell.org, and has also been published as a book [11]. A more detailed historical account of the development of functional programming languages is given in Hudak's survey article [5].

2.7 Exercises

- 1. Give another possible calculation for the result of double (double 2).
- 2. Show that sum[x] = x for any number x.
- 3. Define a function *product* that produces the product of a list of numbers, and show using your definition that product [2, 3, 4] = 24.
- 4. How should the definition of the function *qsort* be modified so that it produces a *reverse* sorted version of a list?
- 5. What would be the effect of replacing \leq by < in the original definition of *qsort*? Hint: consider the example *qsort* [2, 2, 3, 1, 1].

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Chapter 3

First Steps

In this chapter we take our first proper steps with Haskell. We start by introducing the Hugs system and the standard prelude, then explain the notation for function application, develop our first Haskell script, and conclude by discussing a number of syntactic conventions concerning scripts.

3.1 The Hugs system

As we saw in the previous chapter, small Haskell examples can be executed by hand. In practice, however, we usually require an implementation of Haskell that can execute programs automatically. In this book we use an interactive system called Hugs, which is the most widely used implementation of Haskell 98, the recently defined stable version of the language.

The interactive nature of Hugs makes it well suited for teaching and prototyping purposes, and its performance is sufficient for many applications. However, if greater performance or a stand-alone executable version of a Haskell program is required, a number of optimising compilers for Haskell 98 are available, of which the most widely used is the Glasgow Haskell Compiler.

3.2 The standard prelude

When the Hugs system is started it first loads a library file called *Prelude.hs*, and then displays a > prompt to indicate that the system is waiting for the user to enter an expression to be *evaluated*. For example, the library file defines many familiar functions that operate on integers, including the five main arithmetic operations of addition, subtraction, multiplication, division, and exponentiation, as illustrated below:

$$> 2+3$$
 5
 $> 2-3$
 -1

```
> 2 * 3
6 
> 7 'div' 2
3 
> 2 \uparrow 3
```

Note that the integer division operator is written as 'div', and rounds down to the nearest integer if the result is a proper fraction.

Following normal mathematical convention, exponentiation has higher priority than multiplication and division, which in turn have higher priority than addition and subtraction. For example, $2*3\uparrow 4$ means $2*(3\uparrow 4)$, while 2+3*4 means 2+(3*4). Moreover, exponentiation associates (brackets) to the right, while the other four arithmetic operators associate to the left. For example, $2\uparrow 3\uparrow 4$ means $2\uparrow (3\uparrow 4)$, while 2-3+4 means (2-3)+4. In practice, however, it is often clearer to use explicit parentheses in arithmetic expressions, rather than relying on the above conventions.

In addition to functions on integers, the library file also provides a range of useful functions that operate on lists. In Haskell, the elements of a list are enclosed in square parentheses, and are separated by commas. Some of the most commonly used library functions on lists are illustrated below.

• Select the first element of a non-empty list:

```
> head [1, 2, 3, 4, 5]
```

• Remove the first element from a non-empty list:

$$> tail [1, 2, 3, 4, 5]$$

[2, 3, 4, 5]

• Select the nth element of list (counting from zero):

$$> \ [1,2,3,4,5] \ \rlap{!!} \ 2 \\ 3$$

• Select the first n elements of a list:

$$> take \ 3 \ [1, 2, 3, 4, 5] \ [1, 2, 3]$$

 \bullet Remove the first n elements from a list:

$$> drop \ 3 \ [1, 2, 3, 4, 5]$$
 [4, 5]

• Calculate the length of a list:

$$> length [1, 2, 3, 4, 5]$$
5

• Calculate the sum of a list of numbers:

• Calculate the product of a list of numbers:

$$> product [1, 2, 3, 4, 5]$$

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• Append two lists:

$$> [1,2,3] + [4,5]$$

[1,2,3,4,5]

• Reverse a list:

$$> reverse [1, 2, 3, 4, 5] [5, 4, 3, 2, 1]$$

Some of the functions in the standard prelude may produce an error for certain values of their arguments. For example, attempting to divide by zero or select the first element of an empty list will produce an error:

$$> 1$$
 'div' 0
Error $> head$ [] Error

In practice, when an error occurs the Hugs system also produces a message that provides some information about the cause of the error, but these messages are often rather technical, and are not discussed in this introductory text.

For reference, Appendix A shows how special symbols such as \uparrow and ++ are typed using a normal keyboard, and Appendix B presents some of the most commonly used definitions from the standard prelude.

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3.3 Function application

In mathematics, the application of a function to its arguments is usually denoted by enclosing the arguments in parentheses, while the multiplication of two values is often denoted silently, by writing the two values next to one another. For example, in mathematics the expression

$$f(a,b) + cd$$

means apply the function f to two arguments a and b, and add the result to the product of c and d. Reflecting its primary status in the language, function application in Haskell is denoted silently using spacing, while the multiplication of two values is denoted explicitly using the operator *. For example, the expression above would be written in Haskell as follows:

$$f \ a \ b + c * d$$

Moreover, function application has higher priority than all other operators. For example, f a + b means (f a) + b. The following table gives a few further examples to illustrate the differences between the notation for function application in mathematics and in Haskell:

Mathematics	Haskell
f(x)	f x
f(x,y)	f x y
f(g(x))	f(g x)
f(x,g(y))	f x (g y)
f(x) g(y)	f x * g y

Note that parentheses are still required in the Haskell expression f (g x) above, because f g x on its own would be interpreted as the application of the function f to two arguments g and x, whereas the intention is that f is applied to one argument, namely the result of applying the function g to an argument x. A similar remark holds for the expression f x (g y).

3.4 Haskell scripts

As well as the functions in the standard prelude, it is also possible to define new functions. New functions cannot be defined at the > prompt within Hugs, but must be defined within a Haskell *script*, a text file comprising a sequence of definitions. By convention, Haskell scripts usually have a .hs suffix on their filename to differentiate them from other kinds of files.

3.4.1 My first script

When developing a Haskell script, it is useful to keep two windows open, one running an editor for the script, and the other running Hugs. As an example,

suppose that we start a text editor and type in the following two function definitions, and save the script to a file called *test.hs*:

```
double x = x + x

quadruple x = double (double x)
```

In turn, suppose that we leave the editor open, and in another window start up the Hugs system and instruct it to load the new script:

```
>:load test.hs
```

Now both *Prelude.hs* and *test.hs* are loaded, and functions from both scripts can be freely used. For example:

```
> quadruple 10
40
> take (double 2) [1,2,3,4,5,6]
[1,2,3,4]
```

Now suppose that we leave Hugs open, return to the editor, add the following two function definitions to those already typed in, and then resave the file:

```
factorial \ n = product \ [1..n]

average \ ns = sum \ ns 'div' \ length \ ns
```

We could equally well have defined average $ns = div \ (sum \ ns) \ (length \ ns)$, but writing div between its two arguments is more natural. In general, any such function with two arguments can be written between its arguments by enclosing the name of the function in single back quotes ''.

Hugs does not automatically reload scripts when they are modified, so a reload command must be executed before the new definitions can be used:

```
> :reload
> factorial 10
3628800
> average [1, 2, 3, 4, 5]
3
```

For reference, the table below summarises the meaning of some of the most commonly used Hugs commands. Note that any command can be abbreviated by its first character. For example, :load can be abbreviated by :l. The command :type is explained in more detail in the next chapter.

Command	Meaning
:load name	load script name
: reload	reload current script
$: edit \ name$	edit script name
: edit	edit current script
:type expr	show type of expr
:?	show all commands
: quit	quit Hugs

3.4.2 Naming requirements

When defining a new function, the names of the function and its arguments must begin with a lower-case letter, but can then be followed by zero or more letters (both lower and upper-case), digits, underscores, and forward single quotes. For example, the following are all valid names:

$$myFun$$
 $fun1$ arg_2 x'

The following list of *keywords* have a special meaning in the language, and cannot be used as the names of functions or their arguments:

By convention, list arguments in Haskell usually have the suffix s on their name to indicate that they may contain multiple values. For example, a list of numbers might be named ns, a list of arbitrary values might be named xs, and a list of characters might be named css.

3.4.3 The layout rule

When defining new definitions in a script, each definition must begin in precisely the same column. This *layout rule* makes it possible to determine the grouping of definitions from their indentation. For example, in the script

$$a = b + c$$
where
 $b = 1$
 $c = 2$
 $d = a * 2$

it is clear from the indentation that b and c are local definitions for use within the body of a. If desired, such grouping can be made explicit by enclosing a sequence of definitions in curly parentheses and separating each definition by

a semi-colon. For example, the above script could also be written as:

$$a = b + c$$

$$\mathbf{where}$$

$$\{b = 1;$$

$$c = 2\}$$

$$d = a * 2$$

In general, however, it is usually clearer to rely on the layout rule to determine the grouping of definitions, rather than use explicit syntax.

3.4.4 Comments

In addition to new definitions, scripts can also contain comments that will be ignored by Hugs. Haskell provides two kinds of comments, called *ordinary* and *nested*. Ordinary comments begin with the symbol -- and extend to the end of the current line, as in the following examples:

```
    Factorial of a positive integer:
    factorial n = product [1..n]
    Average of a list of integers:
    average ns = sum ns 'div' length ns
```

Nested comments begin and end with the symbols {- and -}, may span multiple lines, and may be nested in the sense that comments can contain other comments. Nested comments are particularly useful for temporarily removing sections of definitions from a script, as in the following example:

3.5 Chapter remarks

The Hugs system is freely available on the web from the Haskell home page, www.haskell.org, which also contains a wealth of other useful resources.

3.6 Exercises

1. Parenthesise the following arithmetic expressions:

$$2 \uparrow 3 * 4$$

 $2 * 3 + 4 * 5$
 $2 + 3 * 4 \uparrow 5$

- 2. Work through the examples from this chapter using Hugs.
- 3. The script below contains three syntactic errors. Correct these errors and then check that your script works properly using Hugs.

$$N=a$$
 'div' length xs where $a=10$ $xs=[1,2,3,4,5]$

- 4. Show how the library function *last* that selects the last element of a non-empty list could be defined in terms of the library functions introduced in this chapter. Can you think of another possible definition?
- 5. Show how the library function *init* that removes the last element from a non-empty list could similarly be defined in two different ways.

Chapter 4

Types and Classes

In this chapter we introduce types and classes, two of the most fundamental concepts in Haskell. We start by explaining what types are and how they are used in Haskell, then present a number of basic types and ways to build larger types by combining smaller types, discuss function types in more detail, and conclude with the concepts of polymorphic types and type classes.

4.1 Basic concepts

A type is a collection of related values. For example, the type Bool contains the two logical values False and True, while the type Bool oup Bool contains all functions that map arguments from Bool to results from Bool, such as the logical negation function \neg . We use the notation v:T to mean that v is a value in the type T, and say that v "has type" T. For example:

 $\begin{array}{cccc} False & :: & Bool \\ True & :: & Bool \\ \neg & :: & Bool \rightarrow Bool \end{array}$

More generally, the symbol :: can also be used with expressions that have not yet been evaluated, in which case e::T means that evaluation of the expression e will produce a value of type T. For example:

 $\begin{array}{cccc} \neg \ False & :: & Bool \\ \neg \ True & :: & Bool \\ \neg \ (\neg \ False) & :: & Bool \end{array}$

In Haskell, every expression must have a type, which is calculated prior to evaluating the expression by a process called type inference. In particular, there are a set of typing rules that are used to calculate the type of expressions from the types of their components. The key such rule concerns function application, and states that if f is a function that maps arguments of type A to results of type B, and e is an expression of type A, then the application of f to e has type B. That is, we have the following rule:

```
if f :: A \to B and e :: A, then f e :: B
```

For example, the typing \neg False:: Bool can be inferred from this rule using the fact that $\neg::Bool \rightarrow Bool$ and False:: Bool. On the other hand, the expression \neg 3 does not have a type under the above rule for function application, because this would require that 3::Bool, which is not valid because 3 is not a logical value. Expressions such as \neg 3 that do not have a type are said to contain a type error, and are deemed to be invalid expressions.

Because type inference precedes evaluation, Haskell programs are type safe, in the sense that type errors can never occur during evaluation. In practice, type inference detects a very large class of program errors, and is one of the most useful features of Haskell. Note, however, that the use of type inference does not eliminate the possibility that other kinds of error may occur during evaluation. For example, the expression 1 'div' 0 is free from type errors, but produces an error when evaluated because division by zero is undefined.

The downside of type safety is that some expressions that evaluate successfully will be rejected on type grounds. For example, the conditional expression if *True* then 1 else *False* evaluates to the number 1, but contains a type error and is hence deemed invalid. In particular, the typing rule for a conditional expression requires that both possible results have the same type, whereas in this case the first such result, 1, is a number and the second, *False*, is a logical value. In practice, however, programmers quickly learn how to work within the limits of the typing rules and avoid such problems.

In the Hugs system, the type of any expression can be displayed by preceding the expression by the command :type. For example:

```
>: type \neg
\neg :: Bool \rightarrow Bool
>: type \neg False
\neg False :: Bool
>: type \neg 3
Error
```

4.2 Basic types

Haskell provides a number of basic types that are built-in to the language, of which the most commonly used are described below.

Bool - logical values

This type contains the two logical values False and True.

Char - single characters

This type contains all single characters that are available from a normal keyboard, such as 'a', 'A', '3' and '_', as well as a number of *control characters*

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that have a special effect, such as '\n' (move to a new line) and '\t' (move to the next tab stop). As is standard in most programming languages, single characters must be enclosed in single forward quotes '.'.

String - strings of characters

This type contains all sequences of characters, such as "abc", "1+2=3", and the empty string "". As is standard in most programming languages, strings of characters must be enclosed in double quotes " ".

Int - fixed-precision integers

This type contains integers such as -100, 0, and 999, with a fixed amount of computer memory being used for their storage. For example, the Hugs system has values of type Int in the range -2^{31} to $2^{31} - 1$. Going outside this range can give unexpected results. For example, evaluating $2 \uparrow 31 :: Int$ using Hugs (the use of :: forces the result to be a value of type Int rather than some other numeric type) gives a negative number as the result, which is incorrect.

Integer - arbitrary-precision integers

This type contains all integers, with as much memory are necessary being used for their storage, thus avoiding the imposition of lower and upper limits on the range of numbers. For example, evaluating $2 \uparrow 31 :: Integer$ using any Haskell system will produce the correct result.

Apart from the different memory requirements and precision for numbers of type *Int* and *Integer*, the choice between these two types is also one of performance. In particular, most computers have built-in hardware operations for handling fixed-precision integers with great speed, whereas arbitrary-precision integers must be processed using the slower medium of software.

Float - single-precision floating-point numbers

This type contains numbers with a decimal point, such as -12.34, 1.0, and 3.14159, with a fixed amount of memory being used for their storage. The term floating-point comes from the fact that the number of digits permitted after the decimal point depends upon the magnitude of the number. For example, evaluating $sqrt\ 2:: Float$ using Hugs gives the result 1.41421 (the library function sqrt calculates the square root of a number), which has five digits after the point, whereas $sqrt\ 99999:: Float$ gives 316.226, which only has three digits after the point. Programming with floating-point numbers is a specialist topic that requires a careful treatment of rounding errors, and we say little more about such numbers in this introductory text.

We conclude this section by noting a single number may have more than one numeric type. For example, 3::Int, 3::Integer, and 3::Float are all valid typings for the number 3. This raises the interesting question of what

type such numbers should be assigned during type inference, which will be answered later in this chapter when we consider "type classes".

4.3 List types

A *list* is a sequence of *elements* of the same type, with the elements being enclosed in square parentheses and separated by commas. We write [T] for the type of all lists whose elements have type T. For example:

```
[False, True, False] :: [Bool] ['a', 'b', 'c', 'd'] :: [Char] ["One", "Two", "Three"] :: [String]
```

The number of elements in a list is called its *length*. The list [] of length zero is called the *empty list*, while lists of length one, such as such as [False] and ['a'], are called *singleton lists*. Note that [[]] and [] are different lists, the former being a singleton list comprising the empty list as its only element, and the latter being simply the empty list.

There are three further points to note about list types. First of all, the type of a list conveys no information about its length. For example, the lists [False, True] and [False, True, False] both have type [Bool], even though they have different lengths. Secondly, there are no restrictions on the type of the elements of a list. At present we are limited in the range of examples that we can give because the only non-basic type that we have introduced at this point is list types, but we can have lists of lists, such as:

Finally, there is no restriction that a list must have a finite length. In particular, due to the use of lazy evaluation in Haskell, lists with an infinite length are both natural and practical, as we shall see in chapter 13.

4.4 Tuple types

A tuple is a finite sequence of components of possibly different types, with the components being enclosed in round parentheses and separated by commas. We write (T_1, T_2, \ldots, T_n) for the type of all tuples whose *i*th components have type T_i for any *i* in the range 1 to *n*. For example:

```
(False, True) :: (Bool, Bool)

(False, `a`, True) :: (Bool, Char, Bool)

("Yes", True, `a`) :: (String, Bool, Char)
```

The number of components in a tuple is called its *arity*. The tuple () of arity zero is called the *empty tuple*, tuples of arity two are called *pairs*, tuples of arity three are called *triples*, and so on. Tuples of arity one, such as (*False*),

are not permitted because they would conflict with the use of parentheses to make evaluation order explicit, such as in (1+2)*3.

As with list types, there are three further points to note about tuple types. First of all, the type of a tuple conveys its arity. For example, the type (Bool, Char) contains all pairs comprising a first component of type Bool and a second component of type Char. Secondly, there are no restrictions on the types of the components of a tuple. For example, we can now have tuples of tuples, tuples of lists, and lists of tuples:

```
('a', (False, 'b')) \qquad :: \qquad (Char, (Bool, Char))(['a', 'b'], [False, True]) \qquad :: \qquad ([Char], [Bool])[('a', False), ('b', True)] \qquad :: \qquad [(Char, Bool)]
```

Finally, tuples must have a finite arity, in order to ensure that tuple types can always be calculated prior to evaluation.

4.5 Function types

A function is a mapping from arguments of one type to results of another type. We write $T1 \to T2$ for the type of all functions that map arguments of type T1 to results of type T2. For example:

```
\neg \qquad :: \quad Bool \to Bool isDigit \quad :: \quad Char \to Bool
```

(The library function is Digit decides if a character is a numeric digit.) Because there are no restrictions on the types of the arguments and results of a function, the simple notion of a function with a single argument and result is already sufficient to handle multiple arguments and results, by packaging multiple values using lists or tuples. For example, we can define a function add that calculates the sum of a pair of integers, and a function zeroto that returns the list of integers from zero to a given limit, as follows:

```
\begin{array}{cccc} add & :: & (Int, Int) \rightarrow Int \\ add & (x,y) & = & x+y \\ zeroto & :: & Int \rightarrow [Int] \\ zeroto & n & = & [0 \dots n] \end{array}
```

In these examples we have followed the Haskell convention of preceding function definitions by their types, which serves as useful documentation. Any such types provided manually by the user are checked for consistency with the types calculated automatically using type inference.

Note that there is no restriction that functions must be *total* on their argument type, in the sense that there may be some arguments for which the result of a function is not defined. For example, the result of library function *head* that selects the first element of a list is undefined if the list is empty.

4.6 Curried functions

Functions with multiple arguments can also be handled in another, perhaps less obvious way, by exploiting the fact that functions are free to return functions as results. For example, consider the following definition:

$$\begin{array}{ccc} add' & :: & Int \rightarrow (Int \rightarrow Int) \\ add' \; x \; y & = & x + y \end{array}$$

The type states that add' is a function that takes an argument of type Int, and returns a result that is a function of type $Int \to Int$. The definition itself states that add' takes an integer x followed by an integer y and returns the result x + y. More precisely, add' takes an integer x and returns a function, which in turn takes an integer y and returns the result x + y.

Note that the function add' produces the same final result as the function add from the previous section, but whereas add takes its two arguments at the same time packaged as a pair, add' takes its two arguments one at a time, as reflected in the different types of the two functions:

$$\begin{array}{ccc} add & :: & (Int, Int) \rightarrow Int \\ add' & :: & Int \rightarrow (Int \rightarrow Int) \end{array}$$

Functions with more than two arguments can also be handled using the same technique, by returning functions that return functions, and so on. For example, a function *mult* that takes three integers, one at a time, and returns their product, can be defined as follows:

$$\begin{array}{lll} \textit{mult} & :: & \textit{Int} \rightarrow (\textit{Int} \rightarrow (\textit{Int} \rightarrow \textit{Int})) \\ \textit{mult} \ \textit{x} \ \textit{y} \ \textit{z} & = & \textit{x} * \textit{y} * \textit{z} \end{array}$$

This definition states that mult takes an integer x and returns a function, which in turn takes an integer y and returns another function, which finally takes an integer z and returns the result x * y * z.

Functions such as add' and mult that take their arguments one at a time are called curried functions. As well as being interesting in their own right, curried functions are also more flexible than functions on tuples, because useful functions can often be made by partially applying a curried function with less than its full complement of arguments. For example, a function that increments an integer is given by the partial application add' 1:: $Int \rightarrow Int$ of the curried function add' with only one of its two arguments.

To avoid excess parentheses when working with curried functions, two simple conventions are adopted. First of all, the function arrow \rightarrow in types is assumed to associate to the right. For example,

$$Int \rightarrow Int \rightarrow Int \rightarrow Int$$

means

$$Int \rightarrow (Int \rightarrow (Int \rightarrow Int))$$

Consequently, function application, which is denoted silently using spacing, is assumed to associate to the left. For example,

$$mult \ x \ y \ z$$

means

$$((mult\ x)\ y)\ z$$

Unless tupling is explicitly required, all functions in Haskell with multiple arguments are normally defined as curried functions, and the two conventions above are used to reduce the number of parentheses that are required.

4.7 Polymorphic types

The library function *length* calculates the length of any list, irrespective of the type of the elements of the list. For example, it can be used to calculate the length of a list of integers, a list of strings, or even a list of functions:

```
> length [1,3,5,7]
4
> length ["Yes", "No"]
2
> length [isDigit, isLower, isUpper]
```

The idea that the function length can be applied to lists whose elements have any type is made precise in its type by the inclusion of a $type\ variable$. Type variables must begin with a lower-case letter, and are usually simply named a, b, c, and so on. For example, the type of length is as follows:

$$length :: [a] \rightarrow Int$$

That is, for any type a, the function length has type $[a] \to Int$. A type that contains one or more type variables is called polymorphic ("of many forms"), as is an expression with such a type. Hence, $[a] \to Int$ is a polymorphic type and length is a polymorphic function. More generally, many of the functions provided in the standard prelude are polymorphic. For example:

$$\begin{array}{lll} fst & :: & (a,b) \rightarrow a \\ head & :: & [a] \rightarrow a \\ take & :: & Int \rightarrow [a] \rightarrow [a] \\ zip & :: & [a] \rightarrow [b] \rightarrow [(a,b)] \\ id & :: & a \rightarrow a \end{array}$$

4.8 Overloaded types

The arithmetic operator + calculates the sum of any two numbers of the same numeric type. For example, it can be used to calculate the sum of two integers, in which case the result is another integer, or the sum of two floating-point numbers, in which case the result is another floating-point number:

$$> 1 + 2$$
 3
 $> 1.1 + 2.2$
 3.3

The idea that the operator + can be applied to numbers of any numeric type is made precise in its type by the inclusion of a *class constraint*. Class constraints are written in the form C a, where C is the name of a class and a is a type variable. For example, the type of + is as follows:

$$(+)$$
 :: Num $a \Rightarrow a \rightarrow a \rightarrow a$

That is, for any type a that is a *instance* of the class Num of numeric types, the function (+) has type $a \to a \to a$. (Parenthesising an operator converts it into a curried function, and is explained in more detail in the next chapter.) A type that contains one or more class constraints is called *overloaded*, as is an expression with such a type. Hence, $Num\ a \Rightarrow a \to a \to a$ is an overloaded type and (+) is an overloaded function. More generally, most of the numeric functions provided in the standard prelude are overloaded. For example:

Moreover, numbers themselves are also overloaded. For example, $3::Num\ a\Rightarrow a$ means that for any numeric type a, the number 3 has type a.

4.9 Basic classes

Recall that a type is a collection of related values. Building upon this notion, a class is a collection of types that support certain overloaded operations called methods. Haskell provides a number of basic classes that are built-in to the language, of which the most commonly used are described below.

Eq - equality types

This class contains types whose values can be compared for equality and difference using the following two methods:

$$\begin{array}{ll} (==) & :: & a \rightarrow a \rightarrow Bool \\ (\neq) & :: & a \rightarrow a \rightarrow Bool \end{array}$$

All the basic types Bool, Char, String, Int, Integer, and Float are instances of the Eq class, as are list and tuple types, provided that their element and component types are instances of the class. For example:

```
> False == False
True

> 'a' == 'b'
False

> "abc" == "abc"
True

> [1,2] == [1,2,3]
False

> ('a', False) == ('a', False)
True
```

Note that function types are not in general instances of the Eq class, because it is not feasible in general to compare two functions for equality.

Ord - ordered types

This class contains types that are instances of the equality class Eq, but in addition whose values are totally (linearly) ordered, and as such can be compared and processed using the following six methods:

$$(<) :: a \rightarrow a \rightarrow Bool$$

$$(\leqslant) :: a \rightarrow a \rightarrow Bool$$

$$(>) :: a \rightarrow a \rightarrow Bool$$

$$(\geqslant) :: a \rightarrow a \rightarrow Bool$$

$$min :: a \rightarrow a \rightarrow a$$

$$max :: a \rightarrow a \rightarrow a$$

All the basic types *Bool*, *Char*, *String*, *Int*, *Integer*, and *Float* are instances of the *Ord* class, as are list types and tuple types, provided that their element and component types are instances of the class. For example:

```
> False < True
True
```

```
> min 'a' 'b'
'a'

> "elegant" < "elephant"
True

> [1,2,3] < [1,2]
False

> ('a',2) < ('b',1)
True

> ('a',2) < ('a',1)
False</pre>
```

Note that strings, lists and tuples are ordered *lexicographically*, that is, in the same way as words in a dictionary. For example, two pairs of the same type are in order if their first components are in order, in which case their second components are not considered, or if their first components are equal, in which case their second components must be in order.

Show - showable types

This class contains types whose values can be converted into strings of characters using the following method:

$$show :: a \rightarrow String$$

All the basic types *Bool*, *Char*, *String*, *Int*, *Integer*, and *Float* are instances of the *Show* class, as are list types and tuple types, provided that their element and component types are instances of the class. For example:

```
> show False
"False"
> show 'a'
"'a'"
> show 123
"123"
> show [1,2,3]
"[1,2,3]"
> show ('a', False)
"('a', False)"
```

Read - readable types

This class is dual to *Show*, and contains types whose values can be converted from strings of characters using the following method:

```
read :: String \rightarrow a
```

All the basic types *Bool*, *Char*, *String*, *Int*, *Integer*, and *Float* are instances of the *Read* class, as are list types and tuple types, provided that their element and component types are instances of the class. For example:

```
> read "False" :: Bool
False

> read "'a'" :: Char
'a'

> read "123" :: Int
123

> read "[1,2,3]" :: [Int]
[1,2,3]

> read "('a',False)" :: (Char, Bool)
('a', False)
```

The use of :: in these examples resolves the type of the result. In practice, however, the necessary type information can often be inferred automatically from the context. For example, the expression \neg (read "False") requires no explicit type information, because the application of the logical negation function \neg implies that read "False" must have type Bool.

Note that the result of read is undefined if its argument is not syntactically valid. For example, the expression \neg (read "hello") produces an error when evaluated, because "hello" cannot be read as a logical value.

Num - numeric types

This class contains types that are instances of the equality class Eq and showable class Show, but in addition whose values are numeric, and as such can be processed using the following six methods:

(The method negate returns the negation of a number, abs returns the absolute value, while signum returns the sign.) The basic types Int, Integer and Float are instances of the Num class. For example:

```
> 1+2
3
> 1.1+2.2
3.3
> negate 3
-3
> abs (-3)
3
> signum (-3.3)
-1
```

Note that the *Num* class does not provide a division method, but as we shall now see, division is handled separately using two special classes, one for integral numbers and one for fractional numbers.

Integral - integral types

This class contains types that are instances of the numeric class *Num*, but in addition whose values are integers, and as such support the methods of integer division and integer remainder:

$$\begin{array}{ccc} div & :: & a \to a \to a \\ mod & :: & a \to a \to a \end{array}$$

(In practice, these two methods are often written between their two arguments by enclosing their names in single back quotes.) The basic types Int and Integer are instances of the Integral class. For example:

```
> 7 'div' 2
3
> 7 'mod' 2
```

For efficiency reasons, a number of prelude functions that involve both lists and integers (such as *length*, *take* and *drop*) are restricted to the type *Int* of finite-precision integers, rather than being applicable to any instance of the *Integral* class. If required, however, such *generic* versions of these functions are provided as part of an additional library file called *List.hs*.

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Fractional - fractional types

This class contains types that are instances of the numeric class Num, but in addition whose values are non-integral, and as such support the methods of fractional division and fractional reciprocation:

$$(/) \quad :: \quad a \to a \to a$$

$$recip \quad :: \quad a \to a$$

The basic type *Float* is an instance of the *Fractional* class. For example:

$$> 7.0 / 2.0$$

 3.5
 $> recip 2.0$
 0.5

4.10 Chapter remarks

The term *Bool* for the type of logical values celebrates the pioneering work of George Boole on symbolic logic, while the term *curried* for functions that take their arguments one at a time celebrates the work of Haskell Curry (after whom the language Haskell itself is named) on such functions. A more detailed account of the type system is given in the Haskell Report [11], while formal descriptions for specialists can be found in [9, 3].

4.11 Exercises

1. What are the types of the following values?

```
['a', 'b', 'c']
('a', 'b', 'c')
[(False, '0'), (True, '1')]
([False, True], ['0', '1'])
[tail, init, reverse]
```

2. What are the types of the following functions?

```
second xs = head (tail xs)
swap (x, y) = (y, x)
pair x y = (x, y)
double x = x * 2
palindrome xs = reverse xs == xs
twice f x = f (f x)
```

Hine: take care to include the necessary class constraints if the functions are defined using overloaded operators.

- 3. Check your answers to the preceding two questions using Hugs.
- 4. Why is it not feasible in general to make function types instances of the Eq class? When is it feasible? Hint: two functions of the same type are equal if they always return equal results for equal arguments.

Chapter 5

Defining Functions

In this chapter we introduce a range of mechanisms for defining functions in Haskell. We start with conditional expressions and guarded questions, then introduce the simple but powerful idea of pattern matching, and conclude with the concepts of lambda expressions and sections.

5.1 New from old

Perhaps the most straightforward way to define new functions is simply by combining one or more existing functions. For example, a number of library functions that are defined in this way are shown below.

• Decide if a character is a digit:

$$isDigit$$
 :: $Char \rightarrow Bool$
 $isDigit$ $c = c \ge 0, \land c \le 9,$

• Decide if an integer is even:

even :: Integral
$$a \Rightarrow a \rightarrow Bool$$

even $n = n \text{ 'mod' } 2 == 0$

• Split a list at the *n*th element:

$$\begin{array}{lll} splitAt & :: & Int \rightarrow [\,a\,] \rightarrow ([\,a\,],[\,a\,]) \\ splitAt \ n \ xs & = & (take \ n \ xs, drop \ n \ xs) \end{array}$$

• Reciprocation:

$$\begin{array}{ccc} recip & :: & Fractional \ a \Rightarrow a \rightarrow a \\ recip \ n & = & 1 \ / \ n \end{array}$$

Note the use of the class constraints in the types for *even* and *recip* above, which make precise the idea that these functions can be applied to numbers of any integral and fractional types, respectively.

5.2 Conditional expressions

Haskell provides a range of different ways to define functions that choose between a number of possible results. The simplest are *conditional expressions*, which use a logical expression called a *condition* to choose between two results of the same type. If the condition is *True* then the first result is chosen, otherwise the second is chosen. For example, the library function *abs* that returns the absolute value of an integer can be defined as follows:

```
abs :: Int \rightarrow Int

abs n = if n \ge 0 then n else -n
```

Conditional expressions may be nested, in the sense that they can contain other conditional expressions as results. For example, the library function signum that returns the sign of an integer can be defined as follows:

```
signum :: Int \rightarrow Int

signum n = if n < 0 then -1 else

if n == 0 then 0 else 1
```

Note that unlike in some programming languages, conditional expressions in Haskell must always have an **else** branch, which avoids the well-known "dangling else" problem. For example, if **else** branches were optional then the expression **if** *True* **then if** *False* **then** 1 **else** 2 could either return the result 2 or produce an error, depending upon whether the single **else** branch was assumed to be part of the inner or outer conditional expression.

5.3 Guarded equations

As an alternative to using conditional expressions, functions can also be defined using *guarded equations*, in which a sequence of logical expressions called *guards* is used to choose between a sequence of results of the same type. If the first guard is *True* then the first result is chosen, otherwise if the second is *True* then the second result is chosen, and so on. For example, the library function *abs* can also be defined as follows:

$$abs \ n \mid n \geqslant 0 = n$$

 $\mid otherwise = -n$

The symbol | is read as "such that", and the guard *otherwise* is defined in the library file simply by *otherwise* = *True*. Ending a sequence of guards with *otherwise* is not necessary, but provides a convenient way of handling "all other cases", as well as clearly avoiding the possibility that none of the guards in the sequence are *True*, which would result in an error.

The main benefit of guarded equations over conditional expressions is that definitions with multiple guards are easier to read. For example, the library

function *signum* is easier to understand when defined as follows:

$$\begin{array}{rcl} signum \ n \mid n < 0 & = & -1 \\ \mid n == 0 & = & 0 \\ \mid otherwise & = & 1 \end{array}$$

5.4 Pattern matching

Many functions have a particularly simple and intuitive definition using pattern matching, in which a sequence of syntactic expressions called patterns is used to choose between a sequence of results of the same type. If the first pattern is matched then the first result is chosen, otherwise if the second is matched then the second result is chosen, and so on. For example, the library function \neg that returns the negation of a logical value is defined as follows:

Functions with more than one argument can also be defined using pattern matching, in which case the patterns for each argument are matched in order within each equation. For example, the library operator \land that returns the conjunction of two logical values can be defined as follows:

$$\begin{array}{lll} (\wedge) & :: & Bool \to Bool \to Bool \\ True \wedge True & = & True \\ True \wedge False & = & False \\ False \wedge True & = & False \\ False \wedge False & = & False \end{array}$$

However, this definition can be simplified by combining the last three equations into a single equation that returns False independent of the values of the two arguments, using the wildcard pattern $_$ that matches any value:

$$True \wedge True = True$$

 $_ \wedge _ = False$

This version also has the benefit that, under lazy evaluation as discussed in chapter 13, if the first argument is False then the result False is returned without the need to evaluate the second argument. In practice, the library file defines \wedge using equations that have this same property, but make the choice about which equation applies using the value of the first argument only:

$$True \wedge b = b$$

 $False \wedge _ = False$

That is, if the first argument is *True* then the result is the value of the second argument, otherwise if the first argument is *False* then the result is *False*.

Note that for technical reasons, the same name may not be used for more than one argument in a single equation. For example, the following definition for the operator \wedge is based upon the observation that if the two arguments are equal then the result is the same value, otherwise the result is False, but is invalid because of the above naming convention:

$$\begin{array}{rcl} b \wedge b & = & b \\ _ \wedge _ & = & False \end{array}$$

If desired, however, a valid version of this definition can be obtained by using a guard to decide if the two arguments are equal:

$$b \wedge c \mid b == c = b$$

 $\mid otherwise = False$

So far, we have only considered basic patterns that are either values, variables, or the wildcard pattern. In the remainder of this section we introduce three useful ways to build larger patterns by combining smaller patterns.

5.4.1 Tuple patterns

A tuple of patterns is itself a pattern, which matches any tuple of the same arity whose components all match the corresponding patterns in order. For example, the library functions *fst* and *snd* that select the first and second components of a pair are defined as follows:

$$\begin{array}{cccc} fst & & :: & (a,b) \rightarrow a \\ fst \ (x,_) & = & x \\ snd & :: & (a,b) \rightarrow b \\ snd \ (_,y) & = & y \end{array}$$

5.4.2 List patterns

Similarly, a list of patterns is itself a pattern, which matches any list of the same length whose elements all match the corresponding patterns in order. For example, a function test that decides if a list contains precisely three characters beginning with the letter 'a' can be defined as follows:

$$\begin{array}{cccc} test & :: & [\mathit{Char}] \to \mathit{Bool} \\ test \ ['a', _, _] & = & \mathit{True} \\ test \ _ & = & \mathit{False} \end{array}$$

Up to this point we have viewed lists as a primitive notion in Haskell. In fact they are not primitive as such, but are actually constructed one element at a time starting from the empty list [] using an operator : called cons (abbreviating "construct") that produces a new list by prepending a new element to the start of an existing list. For example, the following calculation shows how the list [1,2,3] can be understood in this way:

```
[1,2,3] = { applying cons } 
1:[2,3] = { applying cons } 
1:(2:[3]) = { applying cons } 
1:(2:(3:[]))
```

That is, [1,2,3] is just an abbreviation for 1:(2:(3:[])). To avoid excess parentheses when working with such lists, the cons operator is assumed to associate to the right. For example, 1:2:3:[] means 1:(2:(3:[])).

As well as being used to construct lists, the cons operator can also be used to construct patterns, which match any non-empty list whose first and remaining elements match the corresponding patterns in order. For example, we can now define a more general version of the function *test* that decides if a list containing any number of characters begins with the letter 'a':

$$\begin{array}{cccc} test & & :: & [\mathit{Char}] \to \mathit{Bool} \\ test \; (\texttt{`a'} : _) & = & \mathit{True} \\ test \; _ & = & \mathit{False} \end{array}$$

Similarly, the library functions *null*, *head* and *tail* that decide if a list is empty, select the first element of a non-empty list, and remove the first element of a non-empty list are defined as follows:

$$\begin{array}{lll} null & :: & [a] \rightarrow Bool \\ null \, [] & = & True \\ null \, (_:_) & = & False \\ head & :: & [a] \rightarrow a \\ head \, (x:_) & = & x \\ tail & :: & [a] \rightarrow [a] \\ tail \, (_:xs) & = & xs \end{array}$$

Note that cons patterns must be parenthesised when defining functions, because function application has higher priority than all other operators. For example, the definition $tail \ _: xs = xs$ without parentheses means $(tail \ _) : xs = xs$, which is both the incorrect meaning and an invalid definition.

5.4.3 Integer patterns

As a special case that is sometimes useful, Haskell also allows integer patterns of the form n+k, where n is an integer variable and k>0 is an integer constant. For example, a function pred that maps zero to itself and any strictly positive integer to its predecessor can be defined as follows:

$$\begin{array}{cccc} pred & :: & Int \rightarrow Int \\ pred \ 0 & = & 0 \\ pred \ (n+1) & = & n \end{array}$$

There are two points to note about n + k patterns. First of all, they only match integers $\geq k$. For example, evaluating pred (-1) produces an error, because neither of the two patterns in the definition for pred matches negative integers. Secondly, for the same reason as cons patterns, integer patterns must be parenthesised. For example, the definition pred n + 1 = n without parentheses means $(pred \ n) + 1 = n$, which is an invalid definition.

5.5 Lambda expressions

As an alternative to defining functions using equations, functions can also be constructed using *lambda expressions*, which comprise a pattern for each of the arguments, a body that specifies how the result can be calculated in terms of the arguments, but do not give a name for the function itself. In other words, lambda expressions are nameless functions.

For example, a nameless function that takes a single number x as its argument and produces the result x+x can be constructed as follows:

$$\lambda x \to x + x$$

The symbol λ is the lower-case Greek letter "lambda". Despite the fact they they have no names, functions constructed using lambda expressions can be used in the same way as any other functions. For example:

$$> (\lambda x \to x + x) \ 2$$

As well as being interesting in their own right, lambda expressions have a number of practical applications. First of all, they can be used to formalise the meaning of curried function definitions. For example, the definition

$$add x y = x + y$$

can be understood as meaning

$$add = \lambda x \rightarrow (\lambda y \rightarrow x + y)$$

which makes precise that add is a function that takes a number x and returns a function, which in turn takes a number y and returns the result x + y.

Secondly, lambda expressions are also useful when defining functions that return functions as results by their very nature, rather than as a consequence of currying. For example, the library function *const* that returns a constant function that always produces a given value can be defined as follows:

$$\begin{array}{ccc} const & :: & a \to b \to a \\ const \ x \ _ & = & x \end{array}$$

However, it is more appealing to define *const* in a way that makes explicit that it returns a function as its result, by including parentheses in the type and using a lambda expression in the definition itself:

$$const :: a \to (b \to a)$$
$$const x = \lambda _ \to x$$

Finally, lambda expressions can be used to avoid having to name a function that is only referenced once. For example, a function odds that returns the first n odd integers can be defined as follows:

$$\begin{array}{ccc} odds & :: & Int \rightarrow [Int] \\ odds \; n & = & map \; f \; [0 \mathinner{.\,.} n-1] \\ & & \mathbf{where} \\ & & f \; x = x*2+1 \end{array}$$

(The library function map applies a function to all elements of a list.) However, because the locally defined function f is only referenced once, the definition for odds can be simplified by using a lambda expression:

$$odds \ n = map \ (\lambda x \rightarrow x * 2 + 1) \ [0 \dots n - 1]$$

5.6 Sections

Functions such as + that are written between their two arguments are called operators. As we have already seen, any function with two arguments can be converted into an operator by enclosing the name of the function in single back quotes, as in 7 'div' 2. However, the converse is also possible. In particular, any operator can be converted into a curried function that is written before its arguments by enclosing the name of the operator in parentheses, as in (+) 1 2. Moreover, this convention also allows one of the one of the arguments to be included in the parentheses if desired, as in (1+) 2 and (+2) 1.

In general, if \oplus is an operator then expressions of the form (\oplus) , $(x \oplus)$ and $(\oplus y)$ for arguments x and y are called *sections*, whose meaning as functions can be formalised using lambda expressions as follows:

$$(\oplus) = \lambda x \to (\lambda y \to x \oplus y)$$

$$(x \oplus) = \lambda y \to x \oplus y$$

$$(\oplus y) = \lambda x \to x \oplus y$$

Sections have three main applications. First of all, they can be used to construct a number of simple but useful functions in a particularly compact way, as shown in the following examples:

(+) is the addition function $\lambda x \to (\lambda y \to x + y)$ (1+) is the successor function $\lambda y \to 1 + y$

- (1/) is the reciprocation function $\lambda y \to 1/y$
- (*2) is the doubling function $\lambda x \to x * 2$
- (/2) is the halving function $\lambda x \to x/2$

Secondly, sections are necessary when stating the type of operators, because an operator itself is not a valid expression in Haskell. For example, the type of the logical conjunction operator \wedge is stated as follows:

$$(\land)$$
 :: $Bool \rightarrow Bool \rightarrow Bool$

Finally, sections are also necessary when using operators as arguments to other functions. For example, the library function and that decides if all logical values in a list are True is defined by using the operator \wedge as an argument to the library function foldr, which is itself discussed in chapter 8:

$$and$$
 :: $[Bool] \rightarrow Bool$
 and = $foldr (\land) True$

Note that the definition $and = foldr \wedge True$ without parentheses would mean that and was defined by applying the operator \wedge to the arguments foldr and True, which is both the incorrect meaning and an invalid definition.

5.7 Chapter remarks

A formal meaning for pattern matching by translation using more primitive features is given in the Haskell Report [11]. The Greek letter λ used when defining nameless functions comes from the "lambda calculus", the mathematical theory of functions upon which Haskell is founded.

5.8 Exercises

1. Using library functions, define a function $halve :: [a] \to ([a], [a])$ that splits an even-lengthed list into two halves. For example:

$$> halve [1, 2, 3, 4, 5, 6]$$

([1, 2, 3], [4, 5, 6])

- 2. Consider a function $safetail :: [a] \rightarrow [a]$ that behaves as the library function tail, except that safetail maps the empty list to itself, whereas tail produces an error in this case. Define safetail using:
 - (a) a conditional expression;
 - (b) guarded equations;
 - (c) pattern matching.

Hint: make use of the library function null.

- 3. In a similar way to \wedge , show how the logical disjunction operator \vee can be defined in four different ways using pattern matching.
- 4. Redefine the following version of the conjunction operator using conditional expressions rather than pattern matching:

$$True \wedge True = True$$

 $- \wedge - = False$

5. Do the same for the following version, and note the difference in the number of conditional expressions required:

$$\begin{array}{rcl} \mathit{True} \wedge b & = & b \\ \mathit{False} \wedge _ & = & \mathit{False} \end{array}$$

6. Show how the curried function definition mult x y z = x * y * z can be understood in terms of lambda expressions.

Chapter 6

List Comprehensions

In this chapter we introduce list comprehensions, which allow many functions on lists to be defined in a particularly clear and concise manner. We start by explaining generators and guards, then introduce the library function zip, and conclude with the concept of string comprehensions.

6.1 Generators

In mathematics, the *comprehension* notation can be used to construct new sets from existing sets. For example, the comprehension $\{x^2 \mid x \in \{1..5\}\}$ produces the set $\{1,4,9,16,25\}$ of all numbers x^2 such that x is an element of the set $\{1..5\}$. In Haskell, a similar comprehension notation can be used to construct new lists from existing lists. For example:

$$> [x \uparrow 2 \mid x \leftarrow [1..5]]$$

[1, 4, 9, 16, 25]

The symbols | and \leftarrow are read as "such that" and "is drawn from" respectively, and the expression $x \leftarrow [1..5]$ is called a *generator*.

Generators can also use a pattern when drawing elements from a list, in which case those elements that match the pattern are retained, and those that do not match are discarded. For example, if ps is the list of pairs [(1, True), (2, False), (3, True)], the list of all numbers x such that the pair (x, True) is an element of ps can be produced as follows:

$$> [x \mid (x, True) \leftarrow ps]$$
 [1, 3]

The wildcard pattern $_$ is often useful in generators. For example, the comprehension $[x \mid (x,_) \leftarrow ps]$ produces the list [1,2,3] of all first components from the list of pairs ps above, while the library function length that calculates the length of a list can be defined by first replacing each element of the list by the number one, and then summing the resulting list:

$$\begin{array}{lll} \textit{length} & :: & [a] \rightarrow \textit{Int} \\ \textit{length} \ \textit{xs} & = & \textit{sum} \ [1 \mid _ \leftarrow \textit{xs}] \end{array}$$

In this definition, the generator $_\leftarrow xs$ simply serves as a counter to govern the production of the appropriate number of ones.

List comprehensions can have multiple generators, which are separated by commas. For example, the list of all possible pairings of an element from the list [1,2,3] with an element from [4,5] can be produced as follows:

$$> [(x,y) \mid x \leftarrow [1,2,3], y \leftarrow [4,5]]$$

[(1,4),(1,5),(2,4),(2,5),(3,4),(3,5)]

Changing the order of the two generators in the comprehension produces the same set of pairs, but arranged in a different order:

$$> [(x,y) \mid y \leftarrow [4,5], x \leftarrow [1,2,3]]$$

 $[(1,4),(2,4),(3,4),(1,5),(2,5),(3,5)]$

In particular, whereas in this case the x components of the pairs change more frequently than the y components (1,2,3,1,2,3) versus (4,4,4,5,5,5), in the previous case the y components change more frequently than the x components (4,5,4,5,4,5) versus (4,5,4,5,5,5) versus (4,5,4,5,5) versus (4,5,4,5,5) versus (4,5,4,5,5) versus (4,5,4,5,5) versus (4,5,5,5,5) versus (4,5,5,5,5) versus (4,5,5,5,5) versus (4,5,5,5,5) versus (4,5,5,5,5) versus (4,

Later generators can also depend upon the values of variables from earlier generators. For example, the list of all possible ordered pairings of elements from the list [1..3] can be produced as follows:

$$> [(x,y) \mid x \leftarrow [1..3], y \leftarrow [x..3]]$$

[(1,1),(1,2),(1,3),(2,2),(2,3),(3,3)]

As another example of this idea, the library function *concat* that concatenates a list of lists can be defined by using one generator to select each list in turn, and another to select each element from each list:

$$\begin{array}{lll} concat & :: & [[\,a\,]] \rightarrow [\,a\,] \\ concat \ xss & = & [\,x \mid xs \leftarrow xss, x \leftarrow xs\,] \end{array}$$

6.2 Guards

List comprehensions can also use logical expressions called *guards* to filter the values produced by earlier generators. If a guard is *True* then the current values are retained, otherwise they are discarded. For example, the comprehension $[x \mid x \leftarrow [1..10], even \ x]$ produces the list [2,4,6,8,10] of all even numbers from the list [1..10]. Similarly, a function that maps a positive integer to its list of positive *factors* can be defined as follows:

$$\begin{array}{lll} factors & :: & Int \rightarrow [Int] \\ factors \; n & = & [x \mid x \leftarrow [1 \mathinner{.\,.} n], n \text{ `mod` } x == 0] \end{array}$$

$$> factors 15 [1, 3, 5, 15] $> factors 7 [1, 7]$$$

Recall than an integer greater than one is *prime* if its only positive factors are one and the number itself. Hence, using *factors* a simple function that decides if an integer is prime can be defined as follows:

$$\begin{array}{lll} prime & :: & Int \rightarrow Bool \\ prime \ n & = & factors \ n == [1, n] \end{array}$$

For example:

> prime 15
False
> prime 7
True

Note that deciding that a number such as 15 is not prime does not require the function *prime* to produce all of its factors, because under lazy evaluation the result *False* is returned as soon as any factor other than one or the number itself is produced, which for this example is given by the factor 3.

Returning to list comprehensions, using *prime* we can now define a function that produces the list of all prime numbers up to a given limit:

$$\begin{array}{lll} primes & :: & Int \rightarrow [Int] \\ primes \; n & = & [x \mid x \leftarrow [2 \mathinner{\ldotp\ldotp} n], prime \; x] \end{array}$$

For example:

```
> primes \ 40 [2, 3, 5, 7, 11, 13, 17, 19, 23, 29, 31, 37]
```

In chapter 13 we will present a more efficient program to generate prime numbers using the famous "sieve of Eratosthenes", which has a particularly clear and concise implementation in Haskell.

As a final example concerning guards, suppose that we represent a lookup table by a list of pairs comprising keys and values. Then for any type of keys that is an equality type, a function *find* that returns the list of all values that are associated with a given key in a table can be defined as follows:

$$\begin{array}{lll} \mathit{find} & :: & \mathit{Eq} \ a \Rightarrow a \rightarrow [(a,b)] \rightarrow [b] \\ \mathit{find} \ k \ t & = & [v \mid (k',v) \leftarrow t, k == k'] \end{array}$$

$$> find \ \verb"b" [(\verb"a",1),(\verb"b",2),(\verb"c",3),(\verb"b",4)] \\ [2,4]$$

6.3 The zip function

The library function *zip* produces a new list by pairing successive elements from two existing lists until either or both are exhausted. For example:

$$> zip ['a', 'b', 'c'] [1, 2, 3, 4] [('a', 1), ('b', 2), ('c', 3)]$$

The function *zip* is sometimes useful in list comprehensions. For example, suppose that we define a function *pairs* that returns the list of all pairs of adjacent elements from a list as follows:

$$\begin{array}{lll} \textit{pairs} & :: & [\,a\,] \rightarrow [\,(a,a)\,] \\ \textit{pairs} \; \textit{xs} & = & \textit{zip} \; \textit{xs} \; (\textit{tail} \; \textit{xs}) \end{array}$$

For example:

$$> pairs [1, 2, 3, 4]$$
 [(1, 2), (2, 3), (3, 4)]

Then using *pairs* we can now define a function that decides if a list of elements of any ordered type is *sorted* by simply checking that all pairs of adjacent elements from the list are in the correct order:

$$sorted$$
 :: $Ord \ a \Rightarrow [a] \rightarrow Bool$
 $sorted \ xs = and \ [x \leqslant y \mid (x, y) \leftarrow pairs \ xs]$

For example:

```
> sorted [1,2,3,4]
True > sorted [1,3,2,4]
False
```

Similarly to the function prime, deciding that a list such as [1,3,2,4] is not sorted may not require the function sorted to produce all pairs of adjacent elements, because the result False is returned as soon as any non-ordered pair is produced, which this example is given by the pair (3,2).

Using *zip* we can also define a function that returns the list of all *positions* at which a value occurs in a list, by first pairing each element with its position, and then selecting those positions at which the desired value occurs:

$$\begin{array}{lll} positions & :: & Eq \ a \Rightarrow a \rightarrow [\, a\,] \rightarrow [\, Int\,] \\ positions \ x \ xs & = & [\, i\, |\ (x',i) \leftarrow zip \ xs \ [0 \ldots n\,], x == x'\,] \\ & & \mathbf{where} \ n = length \ xs - 1 \end{array}$$

```
> positions False [True, False, True, False] [1, 3]
```

6.4 String comprehensions

Up to this point we have viewed strings as a primitive notion in Haskell. In fact they are not primitive as such, but are actually constructed as lists of characters. For example, "abc":: String is just an abbreviation for ['a','b','c']:: [Char]. Because strings are just special kinds of lists, any polymorphic function on lists can also be used with strings. For example:

```
> "abcde" !! 2
'c'
> take 3 "abcde"
"abc"
> length "abcde"
5
> zip "abc" [1,2,3]
[('a',1),('b',2),('c',3)]
```

Similarly, list comprehensions can also be used to define functions on strings, such as a function that returns the list of integer *digits* from a string:

```
digits :: String \rightarrow [Int] digits xs = [ord x - ord '0' | x \leftarrow xs, isDigit x]
```

(The library functions *ord* and *isDigit* convert a character to a Unicode number and decide if a character is a digit, respectively.) For example:

```
> digits "1+2*3" [1, 2, 3]
```

6.5 Chapter remarks

The term *comprehension* comes from the "axiom of comprehension" in set theory, which makes precise the idea of constructing a set by selecting all values satisfying a given property. A formal meaning for comprehensions by translation using more primitive features is given in the Haskell Report [11].

6.6 Exercises

- 1. Using a list comprehension, give an expression that calculates the sum $1^2 + 2^2 + \dots 100^2$ of the first one hundred integer squares.
- 2. In a similar way to the function length, show how the library function $replicate :: Int \rightarrow a \rightarrow [a]$ that produces a list of identical elements can be defined using a list comprehension. For example:

```
> replicate 3 True [True, True, True]
```

3. A triple (x, y, z) of positive integers is *pythagorean* if $x^2 + y^2 = z^2$. Using a list comprehension, define a function *pythagoreans* :: $Int \rightarrow [(Int, Int, Int)]$ that returns the list of all pythagorean triples whose components are at most a given limit. For example:

```
> pythagoreans 10 [(3, 4, 5), (4, 3, 5), (6, 8, 10), (8, 6, 10)]
```

4. A positive integer is *perfect* if it equals the sum of its factors, excluding the number itself. Using a list comprehension and the function *factors*, define a function *perfects*:: $Int \rightarrow [Int]$ that returns the list of all perfect numbers up to a given limit. For example:

```
> perfects 500
[6, 28, 496]
```

5. The scalar product of two lists of integers of the same length is the sum of the products of successive numbers from the two lists. Using a list comprehension, define a function scalar product :: $[Int] \rightarrow [Int] \rightarrow Int$ that returns the scalar product of two lists. For example:

```
> scalar product [1, 2, 3] [4, 5, 6] 32
```

Hint: make use of the library function *zip*.

- 6. Redefine the function positions using the function find.
- 7. Show how the single comprehension $[(x,y) \mid x \leftarrow [1,2,3], y \leftarrow [4,5,6]]$ with two generators can be re-expressed using two comprehensions with single generators. Hint: make use of the library function *concat* and nest one comprehension within the other.

Chapter 7

Recursive Functions

In this chapter we introduce recursion, the basic mechanism of repetition in Haskell. We start with recursion on integers, then extend the idea to recursion on lists, consider multiple arguments, multiple recursion, and mutual recursion, and conclude with some advice on defining recursive functions.

7.1 Basic concepts

As we have seen in previous chapters, many functions can naturally be defined in terms of other functions. For example, a function that returns the *factorial* of a non-negative integer can be defined by using library functions to calculate the product of the integers between one and the number itself:

```
\begin{array}{cccc} factorial & :: & Int \rightarrow Int \\ factorial \ n & = & product \ [1 \dots n] \end{array}
```

In Haskell, it is also permissible to define functions in terms of themselves, in which case the functions are called *recursive*. For example, the *factorial* function can be defined in this manner as follows:

$$factorial\ 0 = 1 factorial\ (n+1) = (n+1) * factorial\ n$$

The first equation states that the factorial of zero is one, and is called a *base case*. The second equation states that the factorial of any strictly positive integer is the product of that number and the factorial of its predecessor, and is called a *recursive case*. For example, the following calculation shows how the factorial of three is computed using this definition:

```
factorial 3

= { applying factorial }
    3 * factorial 2

= { applying factorial }
    3 * (2 * factorial 1)

= { applying factorial }
```

```
3*(2*(1*factorial 0))
= { applying factorial }
3*(2*(1*1))
= { applying * }
```

Note that even though the *factorial* function is defined in terms of itself, it does not loop forever. In particular, each application of *factorial* reduces the integer argument by one, until it eventually reaches zero at which point the recursion stops and the multiplications are performed. Returning one as the factorial of zero is appropriate because one is the identity for multiplication. That is, 1 * x = x and x * 1 = x for any integer x.

For the case of the *factorial* function, the original definition using library functions is simpler than the definition using recursion. However, as we shall see in the remainder of this book, many functions have a simple and natural definition using recursion. For example, many of the library functions in Haskell are defined in this way. Moreover, as we shall see in chapter 14, defining functions using recursion also allows properties of those functions to be proved using the powerful mathematical technique of *induction*.

As another example of recursion on integers, consider the multiplication operator * used above. For efficiency reasons, this operator is provided as a primitive in Haskell. However, for non-negative integers it can also be defined using recursion on either of its two arguments, such as the second:

$$\begin{array}{lll} (*) & :: & Int \rightarrow Int \rightarrow Int \\ m*0 & = & 0 \\ m*(n+1) & = & m+(m*n) \end{array}$$

For example:

$$4*3$$
= { applying * }
$$4 + (4*2)$$
= { applying * }
$$4 + (4 + (4*1))$$
= { applying * }
$$4 + (4 + (4 + (4*0)))$$
= { applying * }
$$4 + (4 + (4 + (0)))$$
= { applying + }
$$12$$

That is, the recursive definition for the * operator formalises the idea that multiplication can be reduced to repeated addition.

7.2 Recursion on lists

Recursion is not restricted to functions on integers, but can also be used to define functions on lists. For example, the library function *product* used in the

preceding section can be defined as follows:

```
\begin{array}{lll} product & :: & Num \ a \Rightarrow [a] \rightarrow a \\ product \ [] & = & 1 \\ product \ (n:ns) & = & n*product \ ns \end{array}
```

The first equation states that the product of the empty list is one, which is appropriate because one is the identity for multiplication. The second equation states that the product of any non-empty list is given by multiplying the first number and the product of the remaining list of numbers. For example:

Recall that lists in Haskell are actually constructed one element at a time using the cons operator. Hence, [2,3,4] is just an abbreviation for 2:(3:(4:[])). As another simple example of recursion on lists, the library function length can be defined using the same pattern of recursion as product:

```
\begin{array}{lll} \mathit{length} & :: & [\mathit{a}] \to \mathit{Int} \\ \mathit{length} [] & = & 0 \\ \mathit{length} (\_: \mathit{xs}) & = & 1 + \mathit{length} \; \mathit{xs} \end{array}
```

That is, the length of the empty list is zero, and the length of any non-empty list is the successor of the length of its tail. Note the use of the wildcard pattern _ in the recursive case, which reflects the fact that the length of a list does not depend upon the value of its elements.

Now let us consider the library function that reverses a list. This function can be defined using recursion as follows:

```
 \begin{array}{lll} reverse & :: & [a] \rightarrow [a] \\ reverse \ [] & = & [] \\ reverse \ (x:xs) & = & reverse \ xs + [x] \\ \end{array}
```

That is, the reverse of the empty list is simply the empty list, and the reverse of any non-empty list is given by appending the reverse of its tail to a singleton list comprising the head of the list. For example:

```
reverse [1, 2, 3]
```

```
= { applying reverse }
reverse [2,3] # [1]
= { applying reverse }
(reverse [3] # [2]) # [1]
= { applying reverse }
((reverse [] # [3]) # [2]) # [1]
= { applying reverse }
(([] # [3]) # [2]) # [1]
= { applying # }
[3,2,1]
```

In turn, the append operator + used in the above definition of *reverse* can itself be defined using recursion on its first argument:

$$\begin{array}{lll} (++) & & :: & [a] \rightarrow [a] \rightarrow [a] \\ [] ++ys & = & ys \\ (x:xs) ++ys & = & x:(xs+ys) \end{array}$$

For example:

```
[1,2,3] + [4,5,6]
=\begin{array}{l} \{ \text{applying } \pm \} \\ 1 : ([2,3] + [4,5,6]) \\ = \quad \{ \text{applying } \pm \} \\ 1 : (2 : ([3] + [4,5,6])) \\ = \quad \{ \text{applying } \pm \} \\ 1 : (2 : (3 : ([] + [4,5,6]))) \\ = \quad \{ \text{applying } \pm \} \\ 1 : (2 : (3 : [4,5,6])) \\ = \quad \{ \text{list notation } \} \\ [1,2,3,4,5,6] \end{array}
```

That is, the recursive definition for #+ formalises the idea that two lists can be appended by copying elements from the first list until it is exhausted, at which point the second list is joined on at the end.

We conclude this section with two examples of recursion on sorted lists. First of all, a function that inserts a new element of any ordered type into a sorted list to give another sorted list can be defined as follows:

```
\begin{array}{lll} insert & :: & Ord \ a \Rightarrow a \rightarrow [\, a\,] \rightarrow [\, a\,] \\ insert \ x \ (\, y : ys\,) \mid x \leqslant y & = & x : y : ys \\ \mid otherwise & = & y : insert \ x \ ys \end{array}
```

That is, inserting a new element into the empty list gives a singleton list, while for a non-empty list the result depends upon the ordering of the new element x and the head of the list y. In particular, if $x \leq y$ then the new element x is simply prepended to the start of the list, otherwise the head y becomes the first element of the resulting list, and we then proceed to insert the new element into the tail of the given list. For example:

```
insert \ 3 \ [1,2,4,5]
= { applying insert \ \}
1:insert \ 3 \ [2,4,5]
= { applying insert \ \}
1:2:insert \ 3 \ [4,5]
= { applying insert \ \}
1:2:3:[4,5]
= { list notation }
[1,2,3,4,5]
```

Using *insert* we can now define a function that implements *insertion sort*, in which the empty the empty list is already sorted, and any non-empty list is sorted by inserting its head into the list that results from sorting its tail:

```
isort :: Ord \ a \Rightarrow [a] \rightarrow [a]

isort \ [] = []

isort \ (x : xs) = insert \ x \ (isort \ xs)
```

For example:

7.3 Multiple arguments

Functions with multiple arguments can also be defined using recursion on more than one argument at the same time. For example, the library function zip that takes two lists and produces a list of pairs is defined as follows:

$$\begin{array}{llll} zip & & :: & [a] \rightarrow [b] \rightarrow [(a,b)] \\ zip \ [] \ - & = & [] \\ zip \ - [] & = & [] \\ zip \ (x:xs) \ (y:ys) & = & (x,y) : zip \ xs \ ys \\ \end{array}$$

$$\begin{array}{ll} & zip \; [\, \verb"`a", "b", "c"] \; [1, 2, 3, 4] \\ = & \{ \; \mathrm{applying} \; zip \; \} \end{array}$$

```
 \begin{array}{ll} & (\ {\tt `a',1}) : zip \ [\ {\tt `b',`c'} \ [2,3,4] \\ & & \{ \ {\tt applying} \ zip \ \} \\ & (\ {\tt `a',1}) : (\ {\tt `b',2}) : zip \ [\ {\tt `c'} \ [3,4] \\ & = & \{ \ {\tt applying} \ zip \ \} \\ & (\ {\tt `a',1}) : (\ {\tt `b',2}) : (\ {\tt `c',3}) : zip \ [] \ [4] \\ & = & \{ \ {\tt applying} \ zip \ \} \\ & (\ {\tt `a',1}) : (\ {\tt `b',2}) : (\ {\tt `c',3}) : [] \\ & = & \{ \ {\tt list} \ {\tt notation} \ \} \\ & [(\ {\tt `a',1}),(\ {\tt `b',2}),(\ {\tt `c',3})] \end{array}
```

Note that two base cases are required in the definition of zip, because either of the two argument lists may be empty. As another example of recursion on multiple arguments, the library function drop that removes a given number of elements from the start of a list is defined as follows:

```
\begin{array}{llll} drop & :: & Int \rightarrow [\,a\,] \rightarrow [\,a\,] \\ drop \ 0 \ xs & = & xs \\ drop \ (n+1) \ [\,] & = & [\,] \\ drop \ (n+1) \ (\_: xs) & = & drop \ n \ xs \end{array}
```

Again, two base cases are required, one for removing zero elements, and one for attempting to remove one or more elements from the empty list.

7.4 Multiple recursion

Functions can also be defined using multiple recursion, in which a function is applied more than once in its own definition. For example, recall the Fibonacci sequence $0, 1, 1, 2, 3, 5, 8, 13, \ldots$, in which the first two numbers are 0 and 1, and each subsequent number is given by adding the preceding two numbers in the sequence. In Haskell, a function that calculates the nth Fibonacci number for any integer $n \ge 0$ can be defined using double recursion as follows:

```
\begin{array}{lll} \textit{fibonacci} & :: & \textit{Int} \rightarrow \textit{Int} \\ \textit{fibonacci} \ 0 & = & 0 \\ \textit{fibonacci} \ 1 & = & 1 \\ \textit{fibonacci} \ (n+2) & = & \textit{fibonacci} \ (n+fibonacci \ (n+1) \\ \end{array}
```

As another example, in chapter 2 we showed how to implement another well-known method of sorting a list, called *quicksort*:

```
\begin{array}{lll} qsort & :: & Ord \ a \Rightarrow [\ a\ ] \rightarrow [\ a\ ] \\ qsort \ [\ ] & = & [\ ] \\ qsort \ (x:xs) & = & qsort \ smaller + [\ x\ ] + qsort \ larger \\ & \mathbf{where} \\ & smaller = [\ a\ |\ a \leftarrow xs, \ a \leqslant x\ ] \\ larger = [\ b\ |\ b \leftarrow xs, \ b > x\ ] \end{array}
```

That is, the empty list is already sorted, and any non-empty list can be sorted by placing its head between the two lists that result from sorting those elements of its tail that are *smaller* and *larger* than the head.

7.5 Mutual recursion

Functions can also be defined using $mutual\ recursion$, in which two or more functions are all defined in terms of each other. For example, consider the library functions even and odd. For efficiency, these functions are normally defined using the remainder after dividing by two. However, for non-negative integers they can also be defined using mutual recursion:

```
\begin{array}{llll} even & :: & Int \rightarrow Bool \\ even \ 0 & = & True \\ even \ (n+1) & = & odd \ n \\ odd & :: & Int \rightarrow Bool \\ odd \ 0 & = & False \\ odd \ (n+1) & = & even \ n \end{array}
```

That is, zero is even but not odd, and any strictly positive integer is even if its predecessor is odd, and odd if its predecessor is even. For example:

```
even 4
= { applying even }
odd 3
= { applying odd }
even 2
= { applying even }
odd 1
= { applying odd }
even 0
= { applying even }
True
```

Similarly, functions that select the elements from a list at all even and odd positions (counting from zero) can be defined as follows:

```
\begin{array}{llll} evens & :: & [a] \rightarrow [a] \\ evens [] & = & [] \\ evens (x:xs) & = & x:odds \ xs \\ odds & :: & [a] \rightarrow [a] \\ odds [] & = & [] \\ odds (\_:xs) & = & evens \ xs \end{array}
```

```
evens "abcde"

= { applying evens }

'a': odds "bcde"

= { applying odds }

'a': evens "cde"
```

Recall that strings in Haskell are actually constructed as lists of characters. Hence, "abcde" is just an abbreviation for ['a', 'b', 'c', 'd', 'e'].

7.6 Advice on recursion

Defining recursive functions is like riding a bicycle: it looks easy when someone else is doing it, may seem impossible when you first try to do it yourself, but becomes simple and natural with practice. In this section we offer some advice for defining functions in general, and recursive functions in particular, using a five step process that we introduce by means of examples.

Example - product

As a simple first example, we show how the definition given earlier in this chapter for the library function that calculates the *product* of a list of numbers can be constructed in a stepwise manner.

Step 1: define the type

Thinking about types is very helpful when defining functions, so it is good practice to define the type of a function prior to starting to define the function itself. In this case, we begin with the type

$$product :: [Int] \rightarrow Int$$

that states that *product* takes a list of integers and produces a single integer. As in this example, it is often useful to begin with a simple type, which can be refined or generalised later on as appropriate.

Step 2: enumerate the cases

For most types of argument, there are a number of standard cases to consider. For lists, the standard cases are the empty list and non-empty lists, so we can write down to the following *skeleton* definition using pattern matching:

$$product [] = product (n : ns) =$$

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For non-negative integers, the standard cases are 0 and n+1, for logical values they are False and True, and so on. As with the type, we may need to refine the cases later on, but it is useful to begin with the standard cases.

Step 3: define the simple cases

By definition, the product of zero integers is one, because one is the identity for multiplication. Hence it is straightforward to define the empty list case:

$$product [] = 1$$

 $product (n:ns) =$

As in this example, the simple cases often become base cases.

Step 4: define the other cases

How can we calculate the product of a non-empty list of integers? For this step, it is useful to first consider the *ingredients* that can be used, such as the function itself (product), the arguments (n and ns), and library functions of relevant types (+, -, *, and so on.) In this case, we simply multiply the first integer and the product of the remaining list of integers:

$$product [] = 1$$

 $product (n : ns) = n * product ns$

As in this example, the other cases often become recursive cases.

Step 5: generalise and simplify

Once a function has been defined using the above process, it often becomes clear that it can be generalised and simplified. For example, the function *product* does not depend on the precise kind of numbers to which it is applied, so its type can be generalised from integers to any numeric type:

$$product :: Num \ a \Rightarrow [a] \rightarrow a$$

In terms of simplification, we will see in chapter 8 that the pattern of recursion used in product is encapsulated by a library function called foldr, using which product can be redefined by a single equation:

$$product = foldr(*) 1$$

In conclusion, our final definition for *product* is as follows:

$$\begin{array}{ll} product & :: & Num \ a \Rightarrow [\ a\] \rightarrow a \\ product & = & foldr \ (*) \ 1 \end{array}$$

This is precisely the definition from the standard prelude in Appendix B, except that for efficiency reasons the use of foldr is replaced by the related library function foldl, which is discussed in chapter 8.

Example - drop

As a more substantial example, we now show how the definition given earlier for the library function *drop* that removes a given number of elements from the start of a list can be constructed using the five step process.

Step 1: define the type

Let us begin with a type that states that drop takes an integer and a list of values of some type a, and produces another list of such values:

$$drop$$
 :: $Int \rightarrow [a] \rightarrow [a]$

Note that we have made four decisions in defining this type: using integers rather than a more general numeric type, for simplicity; using currying rather than taking the arguments as a pair, for flexibility (see section 4.6); supplying the integer argument before the list argument, for readability ($drop \ n \ xs$ can be read as "drop n elements from xs"); and finally, making the function polymorphic in the type of the list elements, for generality.

Step 2: enumerate the cases

As there are two standard cases for the integer argument (0 and n + 1) and two for the list argument ([] and x : xs), writing down a skeleton definition using pattern matching requires four cases in total:

$$drop \ 0 \ [] = drop \ 0 \ (x : xs) = drop \ (n+1) \ [] = drop \ (n+1) \ (x : xs) =$$

Step 3: define the simple cases

By definition, removing zero elements from the start of any list gives the same list, so it is straightforward to define the first two cases:

$$drop \ 0 \ []$$
 = []
 $drop \ 0 \ (x : xs)$ = $x : xs$
 $drop \ (n + 1) \ []$ = $drop \ (n + 1) \ (x : xs)$ =

Attempting to removing one or more elements from the empty list is invalid, so the third case could be omitted, which would result in an error being produced if this situation arises. In practice, however, we choose the avoid the production of an error by returning the empty list in this case:

Step 4: define the other cases

How can we remove one or more elements from a non-empty list? By simply removing one less element from the tail of the list:

Step 5: generalise and simplify

Because the function *drop* does not depend on the precise kind of integers to which it is applied, its type can be generalised to any integral type, of which *Int* and *Integer* are the standard instances:

$$drop$$
 :: $Integral\ b \Rightarrow b \rightarrow [a] \rightarrow [a]$

For efficiency reasons, however, this generalisation is not in fact made in the standard prelude, as already mentioned in section 4.9. In terms of simplification, the first two equations for drop can be combined into a single equation that states that removing zero elements from any list gives the same list:

$$drop \ 0 \ xs = xs$$

 $drop \ (n+1) \ [] = []$
 $drop \ (n+1) \ (x : xs) = drop \ n \ xs$

Moreover, the variable x in the last equation can be replaced by the wildcard pattern $_$, because this variable is not used in the body of the equation:

$$\begin{array}{llll} drop \ 0 \ xs & = & xs \\ drop \ (n+1) \ [] & = & [] \\ drop \ (n+1) \ (\underline{} \colon xs) & = & drop \ n \ xs \end{array}$$

We might similarly expect n in the second equation to be replaced by $_$, but this would make the definition invalid, because patterns of the form n+k require that n is a variable. This constraint could be avoided by replacing the entire pattern n+1 in the second equation by $_$, but this would change the behaviour of the function. For example, evaluating $drop\ (-1)\ []$ would then produce the empty list whereas it currently produces an error, because $_$ can match any integer whereas n+1 only matches integers $\geqslant 1$.

In conclusion, our final definition for *drop* is as follows, which is precisely the definition from the standard prelude in Appendix B:

$$\begin{array}{llll} drop & :: & Int \rightarrow [\,a\,] \rightarrow [\,a\,] \\ drop \ 0 \ xs & = & xs \\ drop \ (n+1) \ [\,] & = & [\,] \\ drop \ (n+1) \ (_: xs) & = & drop \ n \ xs \end{array}$$

Example - init

As a final example, let us consider how the definition for library function *init* that removes the last element from a non-empty list can be constructed.

Step 1: define the type

We begin with a type that states that init takes a list of values of some type a, and produces another list of such values:

init ::
$$[a] \rightarrow [a]$$

Step 2: enumerate the cases

As the empty list is not a valid argument for *init*, writing down a skeleton definition using pattern matching requires just one case:

$$init(x:xs) =$$

Step 3: define the simple cases

Whereas in the previous two examples this step was straightforward, a little more thought is required for the function *init*. By definition, however, removing the last element from a list with one element gives the empty list, so we can introduce a guard to handle this simple case:

$$init (x : xs) \mid null \ xs = []$$

 $\mid otherwise = []$

Recall that the library function *null* decides if a list is empty or not.

Step 4: define the other cases

How can we remove the last element from a list with at least two elements? By simply retaining the head and removing the last element from the tail:

$$init (x : xs) \mid null \ xs = []$$

 $\mid otherwise = x : init \ xs$

Step 5: generalise and simplify

The type for *init* is already as general as possible, but the definition itself can now be simplified by using pattern matching rather than guards, and by using a wildcard pattern in the first equation rather than a variable:

$$init$$
 :: $[a] \rightarrow [a]$
 $init [_]$ = $[]$
 $init (x:xs)$ = $x:init xs$

Again, this is precisely the definition from the standard prelude.

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7.7 Chapter remarks

The recursive definitions presented in this chapter emphasise clarity, but many can be improved in terms of efficiency, as discussed in chapter ??. The five step process for defining functions is based upon [4].

7.8 Exercises

- 1. Define the exponentiation operator \uparrow for non-negative integers using the same pattern of recursion as the multiplication operator *, and show how $2 \uparrow 3$ is evaluated using your definition.
- 2. Using the definitions given in this chapter, show how length [1,2,3], drop 3[1,2,3,4,5], and init [1,2,3] are evaluated.
- 3. Without looking at the definitions from the standard prelude in Appendix B, define the following library functions using recursion:
 - Decide if all logical values in a list are *True*:

and ::
$$[Bool] \rightarrow Bool$$

• Concatenate a list of lists:

$$concat$$
 :: $[[a]] \rightarrow [a]$

• Produce a list with n identical elements:

$$replicate :: Int \rightarrow a \rightarrow [a]$$

• Select the *n*th element of a list:

$$(!!)$$
 :: $[a] \rightarrow Int \rightarrow a$

• Decide if a value is an element of a list:

$$elem :: Eq \ a \Rightarrow a \rightarrow [a] \rightarrow Bool$$

Note: most of these functions are in fact defined in the prelude using other library functions, rather than using explicit recursion.

4. Define a recursive function $merge :: Ord \ a \Rightarrow [a] \rightarrow [a] \rightarrow [a]$ that merges two sorted lists to give a single sorted list. For example:

Note: your definition should not use other functions on sorted lists such as *insert* or *isort*, but should be defined using explicit recursion.

- 5. Using merge, define a recursive function $msort :: Ord \ a \Rightarrow [a] \rightarrow [a]$ that implements $merge\ sort$, in which the empty list and lists with one element are already sorted, and any other list is sorted by merging together the two lists that result from sorting the two halves of the list separately.
 - Hint: first define a function $halve :: [a] \to [([a], [a])]$ that splits a list into two halves whose length differs by at most one.
- 6. Using the five step process, define the library functions that calculate the *sum* of a list of numbers, *take* a given number of elements from the start of a list, and select the *last* element of a non-empty list.

Chapter 8

Higher-Order Functions

In preparation.

Chapter 9

Interactive Programs

In preparation.

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Chapter 10

Functional Parsers

In this chapter we show how Haskell can be used to program simple parsers. We start by explaining what parsers are and why they are useful, show how parsers can naturally be viewed as functions, define a number of basic parsers and ways to build larger parsers by combining smaller parsers, and conclude by developing a parser for arithmetic expressions.

10.1 Parsers

A parser is a program that takes a string of characters, and produces some form of tree that makes the syntactic structure of the string explicit. For example, given the string 2*3+4, a parser for arithmetic expressions might produce a tree of the following form, in which the digits appear at the leaves of the tree, and the operators appear at the branches:



The structure of this tree makes explicit that + and * are operators with two arguments, and that * has higher priority than +.

Parsers are an important topic in computing, because most real-life programs use a parser to pre-process their input. For example, a calculator program parses numeric expressions prior to evaluating them, the Hugs system parses Haskell programs prior to executing them, and a web browser parses hypertext documents prior to displaying them. In each case, making the structure of the input explicit considerably simplifies its further processing. For example, once a numeric expression has been parsed into a tree structure such as in the example above, evaluating the expression is straightforward.

10.2 The type of parsers

In Haskell, a parser can naturally be viewed directly as a function that takes a string and produces a tree. Hence, given a suitable type Tree of trees, the notion of a parser can be represented as a function of type $String \rightarrow Tree$, which we abbreviate as Parser using the following definition:

$$\mathbf{type}\; Parser \;\; = \;\; String \rightarrow \mathit{Tree}$$

In general, however, a parser might not always consume its entire argument string. For example, a parser for numbers might be applied to a string comprising a number followed by a word. For this reason, we generalise our type for parsers to also return any unconsumed part of the argument string:

$$\mathbf{type} \; \textit{Parser} \;\; = \;\; \textit{String} \rightarrow (\textit{Tree}, \textit{String})$$

Similarly, a parser might not always succeed. For example, a parser for numbers might be applied to a string comprising a word. To handle this, we further generalise our type for parsers to return a list of results, with the convention that the empty list denotes failure, and a singleton list denotes success:

type
$$Parser = String \rightarrow [(Tree, String)]$$

Returning a list also opens up the possibility of returning more than one result if the argument string can be parsed in more than one way. For simplicity, however, we only consider parsers that return at most one result.

Finally, different parsers will likely return different kinds of trees, or more generally, any kind of value. For example, a parser for numbers might return an integer value. Hence, it is useful to abstract from the specific type *Tree* of result values, and make this into a parameter of the *Parser* type:

type
$$Parser\ a = String \rightarrow [(a, String)]$$

In summary, this definition states that a parser of type a is a function that takes an input string and produces a list of results, each of which is a pair comprising a result value of type a and an output string. The **type** mechanism for defining new types is explored in further detail in chapter 11.

10.3 Basic parsers

We now define three basic parsers that will be used as the building blocks for all other parsers. First of all, the parser $return\ v$ always succeeds with the result value v, without consuming any of the input string:

$$\begin{array}{ccc} return & :: & a \rightarrow Parser \ a \\ return \ v & = & \lambda inp \rightarrow [(v, inp)] \end{array}$$

This function could equally well be defined by $result\ v\ inp = [(v, inp)]$. However, we prefer the above definition in which the second argument inp

is shunted to the body of the definition using a lambda abstraction, because it makes explicit that return is a function that takes a single argument and returns a parser, as expressed by the type $a \to Parser$ a.

Whereas $return\ v$ always succeeds, the dual parser failure always fails, regardless of the contents of the input string:

```
\begin{array}{lll} \textit{failure} & :: & \textit{Parser a} \\ \textit{failure} & = & \lambda \textit{inp} \rightarrow [\,] \end{array}
```

Our final basic parser is *item*, which fails if the input string is empty, and succeeds with the first character as the result value otherwise:

```
 \begin{array}{lll} item & :: & Parser \ Char \\ item & = & \lambda inp \rightarrow \mathbf{case} \ inp \ \mathbf{of} \\ & & [] \rightarrow [] \\ & & (x:xs) \rightarrow [(x,xs)] \end{array}
```

The **case** mechanism of Haskell used in this definition allows pattern matching to be used in the body of a definition, in this example by matching the string *inp* against two patterns to choose between two possible results. The **case** mechanism is not used much in this book, but as in this example can sometimes useful when defining functions using lambda abstractions.

Because parsers are functions, they could be applied to a string using normal function application, but we prefer to abstract from the representation of parsers by defining our own application function:

```
\begin{array}{lll} parse & :: & Parser \ a \rightarrow String \rightarrow [(a, String)] \\ parse \ p \ inp & = & p \ inp \end{array}
```

Using *parse*, we conclude this section with some examples that illustrate the behaviour of the three basic parsers defined above:

```
> parse (return 1) "hello"
[(1, "hello")]
> parse failure "hello"
[]
> parse item ""
[]
> parse item "hello"
[('h', "ello")]
```

Note that for technical reasons, the second example actually produces an error, but this does not occur when *failure* is used in non-trivial examples.

10.4 Sequencing

Perhaps the simplest way of combining two parsers is to apply one after the other in sequence, with the output string returned by the first parser becoming the input string to the second. But how should the result values from the two parsers be handled? One approach would be to combine the two values as a pair, using a sequencing operator for parsers with the following type:

$$Parser \ a \rightarrow Parser \ b \rightarrow Parser \ (a,b)$$

In practice, however, it turns out to be more convenient to integrate the sequencing of parsers with the processing of their result values, by means of a sequencing operator \gg defined as follows:

$$(\gg) :: Parser \ a \to (a \to Parser \ b) \to Parser \ b$$

$$p \gg f = \lambda inp \to \mathbf{case} \ parse \ p \ inp \ \mathbf{of}$$

$$[] \to []$$

$$[(v, out)] \to parse \ (f \ v) \ out$$

That is, the parser $p \gg f$ fails if the application of the parser p to the input string fails, and otherwise applies the function f to the result value to give a second parser, which is then applied to the output string to give the final result. In this manner, the result value produced by the first parser is made directly available for processing by the second.

A typical parser built using \gg has the following structure:

```
p1 \gg \lambda v1 \rightarrow
p2 \gg \lambda v2 \rightarrow
\vdots
pn \gg \lambda vn \rightarrow
return (f v1 v2 ... vn)
```

That is, apply the parser p1 and call its result value v1; then apply the parser p2 and call its result value v2; ...; then apply the parser pn and call its result value vn; and finally, combine all the results into a single value by applying the function f. Haskell provides a special syntax for such parsers, allowing them to be expressed in the following, more appealing, form:

```
\begin{array}{l} \mathbf{do} \ v1 \leftarrow p1 \\ v2 \leftarrow p2 \\ \vdots \\ vn \leftarrow pn \\ return \ (f \ v1 \ v2 \dots vn) \end{array}
```

As with list comprehensions, the expressions $vi \leftarrow pi$ are called *generators*. If the result value produced by a generator $vi \leftarrow pi$ is not required, the generator can be abbreviated simply by pi. Note also that the layout rule applies to

the **do** notation for sequencing parsers, in the sense that each parser in the sequence must begin in precisely the same column.

For example, a parser that consumes three characters, discards the second, and returns the first and third as a pair can now be defined as follows:

```
p :: Parser(Char, Char)

p = \mathbf{do} x \leftarrow item

item

y \leftarrow item

return(x, y)
```

Note that p only succeeds if every parser in its defining sequence succeeds, which requires at least three characters in the input string:

```
> parse p "abcdef"
[(('a', 'c'), "def")]
> parse p "ab"
```

10.5 Choice

Another natural way of combining two parsers is to apply the first parser to the input string, and if this fails then apply the second instead. Such a choice operator +++ for parsers can be defined as follows:

```
(+++) \quad :: \quad Parser \ a \rightarrow Parser \ a \rightarrow Parser \ a
p ++++ \ q \quad = \quad \lambda inp \rightarrow \mathbf{case} \ parse \ p \ inp \ \mathbf{of}
[] \rightarrow parse \ q \ inp
[(v, out)] \rightarrow [(v, out)]
```

For example:

```
> parse (item +++ return 'a') "hello"
[('h', "ello")]
> parse (failure +++ return 'a') "hello"
[('a', "hello")]
> parse (failure +++ failure) "hello"
[]
```

10.6 Derived primitives

Using the three basic parsers together with sequencing and choice, we can now define a number of other useful parsing primitives. First of all, we define a

parser $sat\ p$ for single characters that satisfy the predicate p, where a predicate (or property) is a function that returns a logical value:

```
sat :: (Char \rightarrow Bool) \rightarrow Parser\ Char

sat\ p = \mathbf{do}\ x \leftarrow item

if p\ x then return\ x else failure
```

Using *sat* and appropriate predicates from the standard prelude, we can define parsers for single digits, lower-case letters, upper-case letters, arbitrary letters, alphanumeric characters, and specific characters:

```
digit
           :: Parser Char
digit
           = sat isDigit
lower
           :: Parser Char
lower
           = sat isLower
upper
           :: Parser Char
           = sat is Upper
upper
letter
           :: Parser Char
letter
           = sat isAlpha
alphanum :: Parser Char
alphanum = sat isAlphaNum
char
              Char \rightarrow Parser\ Char
char x
              sat (== x)
```

For example:

```
> parse digit "123"
[('1',"23")]

> parse digit "abc"
[]

> parse (char 'a') "abc"
[('a',"bc")]

> parse (char 'a') "123"
[]
```

In turn, using char we can define a parser string xs for the string of characters xs, with the string itself returned as the result value:

```
\begin{array}{lll} string & :: & String \rightarrow Parser \; String \\ string \; [] & = & return \; [] \\ string \; (x:xs) & = & \mathbf{do} \; char \; x \\ & & string \; xs \\ & & return \; (x:xs) \end{array}
```

Note that *string* is defined using recursion, and only succeeds if the entire target string is consumed. The base case states that the empty string can always be parsed. The recursive case states that a non-empty string can be parsed by parsing the first character, parsing the remaining characters, and returning the entire string as the result value. For example:

```
> parse (string "abc") "abcdef"
[("abc", "def")]

> parse (string "abc") "ab1234"
[]
```

Our next two parsers, $many\ p$ and $many1\ p$, apply a parser p as many times as possible until it fails, with the result values from by each successful application of p being combined as a list. The difference between these two repetition primitives is that many permits zero or more applications of p, whereas many1 requires at least one successful application:

```
many :: Parser a \rightarrow Parser [a]

many p = many1 p +++ return []

many1 :: Parser a \rightarrow Parser [a]

many1 p = do v \leftarrow p

vs \leftarrow many p

return (v : vs)
```

Note that many and many1 are defined using mutual recursion, as introduced in section 7.5. In particular, the definition for many p states that p can either be applied at least once or not at all, while the definition for many1 p states that p can be applied once and then zero or more times. For example:

```
> parse (many digit) "123abc"
[("123", "abc")]
> parse (many digit) "abcdef"
[("", "abcdef")]
> parse (many1 digit) "abcdef"
[]
```

Using many and many1 we can define parsers for identifiers (or names) comprising a lower-case letter followed by zero or more alphanumeric characters, natural numbers comprising one or more digits, and spacing comprising zero

or more spaces, tabs, and newline characters:

```
ident
        :: Parser String
ident
             \mathbf{do} \ x \leftarrow lower
                  xs \leftarrow many \ alphanum
                  return (x : xs)
             Parser Int
nat
             \mathbf{do} \ xs \leftarrow many1 \ digit
nat
                  return (read xs)
space
        ::
              Parser ()
             do many (sat isSpace)
space =
                  return ()
```

For example:

```
> parse\ ident "hello_{\sqcup}there" [("hello", "_{\sqcup}there")] 

> parse\ nat "123_{\sqcup}pounds" [(123, "_{\sqcup}pounds")] 

> parse\ space "_{\sqcup\sqcup\sqcup}hello" [((), "hello")]
```

Note that *space* returns the empty tuple () as a dummy result value, reflecting the fact that the details of the spacing consumed are not important.

10.7 Ignoring spacing

```
*** Still to be revised beyond this point ***
```

A *token* is a string of characters that forms a single syntactic entity, such as a word or a number. Most real-life parsers ignore spacing around tokens in their input string. A complete parser can easily be modified to ignore such spacing by using two new primitives, $apply \ p$ and $token \ p$, which respectively ignore spacing before and after applying a parser p:

```
\begin{array}{rcl} apply & :: & Parser \ a \rightarrow Parser \ a \\ apply \ p & = & parse \ (\mathbf{do} \ \{space; p\}) \ inp \\ token & :: & Parser \ a \rightarrow Parser \ a \\ token \ p & = & \mathbf{do} \ v \leftarrow p \\ & space \\ & return \ v \end{array}
```

The idea is that *apply* should be applied to the complete parser, ensuring that its input string begins at a significant character, and *token* should be applied

to each component parser for a token, thereby ensuring that the input string always remains at a significant character. For example, we define:

```
\begin{array}{lll} symbol & :: & String \rightarrow Parser \; String \\ symbol \; xs & = & token \; (string \; xs) \\ identifier & :: & String \rightarrow Parser \; String \\ identifier & = & token \; ident \\ natural & :: & Parser \; Int \\ natural & = & token \; int \\ \end{array}
```

For example, a parser for a non-empty list of natural numbers that ignores spacing between tokens can be defined as follows:

```
p :: Parser [Int]
p = \mathbf{do} \ symbol \ "["]
n \leftarrow natural
ns \leftarrow many \ (\mathbf{do} \ symbol \ ", "]
symbol \ "] \ "
return \ (n : ns)
```

This definition states that such a list begins with an opening square bracket and a natural number, followed by zero or more commas and natural numbers, and concludes with a closing square bracket. Note that p only succeeds if a complete list in precisely this format is consumed. For example:

```
> apply \ p \ " \ [ \ [ \ ] \ ] \ [ \ [ \ [ [1,22,333],"") ] \ ]
> apply \ p \ " \ [1,0,-1] \ " \ [ \ ]
```

10.8 Arithmetic expressions

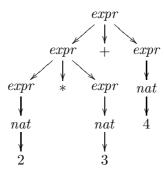
We conclude this chapter with an extended example. Consider a simple form of arithmetic expressions built up from natural numbers using addition, multiplication and parentheses. We assume that addition and multiplication associate to the right, and that multiplication has higher priority than addition. For example, 2 + 3 + 4 means 2 + (3 + 4), while 2 * 3 + 4 means (2 * 3) + 4.

The syntactic structure of a language can be formalised using the mathematical notion of a *grammar*, which is a set of rules that describes how strings of the language can be constructed. For example, a grammar for our language of arithmetic expressions can be defined by the following two rules:

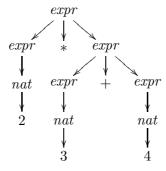
```
expr ::= expr + expr \mid expr * expr \mid (expr) \mid nat

nat ::= 0 \mid 1 \mid 2 \mid \cdots
```

This rule states that an expression is either the addition of two expressions, the multiplication of two expressions, a parenthesised expression, or a natural number. In turn, the second rule states that a natural number is either a zero, a one, a two, etc. For example, using this grammar the construction of the expression 2*3+4 can be represented by the following parse tree, in which the characters in the expression appear at the leaves, and the grammatical rules applied to construct the expression give rise to the branching structure:



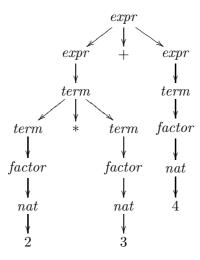
The structure of this tree makes explicit that 2*3+4 can be constructed from the addition of two expressions, the first given by the multiplication of two further expressions which are in turn given by the numbers two and three, and the second expression given by the number four. However, this is not the only possible interpretation of 2*3+4 using our grammar. In particular, this expression is also represented by the following parse tree, which corresponds to the erroneous interpretation of the expression as 2*(3+4):



The problem is that our grammar for expressions does not take account of the fact that multiplication has higher priority than addition. However, this can easily be fixed by modifying the grammar to have a separate rule for each level of priority, with addition at the lowest level of priority, multiplication at the middle level, and parentheses at the highest level:

$$\begin{array}{lll} expr & ::= & expr + expr \mid term \\ term & ::= & term * term \mid factor \\ factor & ::= & (expr) \mid nat \\ nat & ::= & 0 \mid 1 \mid 2 \mid \cdots \end{array}$$

Using this new grammar, 2*3+4 indeed has a single parse tree, which corresponds to the correct interpretation of the expression as (2*3)+4:

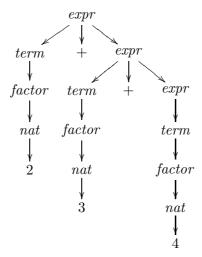


We have now dealt with the issue of priority, but our grammar does not yet take account of the fact that addition and multiplication associate to the right. For example, the expression 2+3+4 currently has two possible parse trees, corresponding to (2+3)+4 and 2+(3+4). However, this can also easily be fixed by modifying the grammatical rules for addition and multiplication to be recursive in their right argument only, rather than both arguments:

$$expr ::= term + expr \mid term$$

 $term ::= factor * term \mid factor$

Using these new rules, 2+3+4 now has a single parse tree, which corresponds to the correct interpretation of the expression as 2+(3+4):



In fact, our grammar for expressions is now *unambiguous*, in the sense that any valid expression has precisely one parse tree.

However, the grammar itself can be simplified. Consider the rule $expr:=term+expr\mid term$, which states that an expression is either the addition of a term and an expression, or a term. In other words, an expression always begins with a term, which can then be followed by the addition of an expression or by nothing. Similarly, the rule $term:=factor*term\mid factor$ states that a term always begins with a factor, which can then be followed by the multiplication of a term or by nothing. Using these observations the rules for expr and expressions, in which the symbol expressions denotes the empty string:

```
\begin{array}{lll} expr & ::= & term \ (+ \ expr \mid \epsilon) \\ term & ::= & factor \ (* \ term \mid \epsilon) \\ factor & ::= & (expr) \mid nat \\ nat & ::= & 0 \mid 1 \mid 2 \mid \cdots \end{array}
```

It is now straightforward to build a parser based upon this grammar, by simply rewriting the grammar using the parsing primitives introduced in this chapter. In fact, we choose to have our parser evaluate the expression to its integer value during parsing, rather than returning some form of tree:

```
Parser Int
expr
          ::
              do t \leftarrow term
expr
                  \mathbf{do}\ symbol "+"
                       e \leftarrow expr
                       return (t + e)
                    +++ return t
             Parser Int
term
              \mathbf{do} \ f \leftarrow factor
term
                  do symbol "*"
                      t \leftarrow term
                       return (f * t)
                    +++ return f
factor
        :: Parser Int
factor =
              do symbol "("
                   e \leftarrow expr
                  symbol ")"
                   return e
                +++ natural
```

Finally, here are some examples (*** to be explained ***):

```
\begin{array}{lll} eval & :: & String \rightarrow Int \\ eval \ xs & = & \mathbf{case} \ apply \ expr \ xs \ \mathbf{of} \\ & & [(n,[])] \rightarrow n \\ & & [(\_,out)] \rightarrow error \ ("unparsed_{\sqcup}" \ ++ \ out) \\ & & [] \rightarrow error \ "no_{\sqcup}parse" \end{array}
```

```
> eval "2*3+4"
10

> eval "2*(3+4)"
14

> eval "2□*□(3□+□4)"
14

> eval "2*3-4"
ERROR: unparsed - 4

> eval "-1"
ERROR: no parse
```

10.9 Chapter remarks

The parsing primitives defined in this chapter are included in a library file that is available on the web. For technical reasons concerning the connection between parsers, the **do** notation, and the mathematical notion of a monad, a few definitions in the library are slightly different to those given here. This chapter is based upon [7, 8], which explores these and other issues in further detail. The notation used for specifying grammars is called BNF (abbreviating Backus-Naur Form, after the two pioneers of the notation) [12].

10.10 Exercises

- 1. The parsing library also defines a parser *int* :: Parser Int for an integer. Without looking at this definition, define *int*. Hint: an integer is either a minus symbol followed by a natural number, or a natural number.
- 2. Define a parser *comment* :: Parser () for a ordinary Haskell comments that begin with the symbol -- and extend to the end of the current line, which is represented by the character ' \n '.
- 3. Using our second grammar for arithmetic expressions, draw the two possible parse trees for the expression 2 + 3 + 4.
- 4. Using the final grammar for arithmetic expressions, draw the parse trees for the expressions 2+3, 2*3*4 and (2+3)+4.
- 5. Extend the parser for arithmetic expressions to support subtraction and division, based upon the following extensions to the grammar:

```
expr ::= term (+ expr | - expr | \epsilon)

term ::= factor (* term | / term | \epsilon)
```

6. Further extend the grammar and parser for arithmetic expressions to support exponentiation, which is assumed to associate to the right and have higher priority than multiplication and division, but lower priority than parentheses. For example, $2 \uparrow 3*4$ means $(2 \uparrow 3)*4$. Hint: the new level of priority requires a new rule in the grammar.

Chapter 11

Defining Types and Classes

In preparation.

Chapter 12

The Countdown Problem

In this chapter we show how Haskell can be used to solve the countdown problem, a numbers game in which the aim is to construct numeric expressions satisfying certain constraints. We start by formalising the rules of the problem in Haskell, and then present a simple but inefficient program that solves the problem, whose efficiency is then improved in two stages.

12.1 Introduction

Countdown is a popular quiz programme that has been running on British television since 1982, and includes a numbers game that we shall refer to as the *countdown problem*. The essence of the problem is as follows:

Given a sequence of numbers and a target number, attempt to construct an expression whose value is the target, by combining one or more numbers from the sequence using addition, subtraction, multiplication, division and parentheses.

Each number in the sequence can only be used at most once in the expression, and all of the numbers involved, including intermediate values, must be integers greater than zero. In particular, the use of negative numbers, zero, and proper fractions such as $2 \div 3$, is not permitted.

For example, suppose that we are given the sequence 1, 3, 7, 10, 25, 50 and the target 765. Then one possible solution is given by the expression (1+50)*(25-10), as shown by the following simple calculation:

$$(1+50) * (25-10)$$
= { applying + }
$$51 * (25-10)$$
= { applying - }
$$51 * 15$$
= { applying * }
$$765$$

In fact, for this example it can be shown that there are 780 different solutions! On the other hand, keeping the same sequence but changing the target to 831 gives an example that can be shown to have no solutions.

In the television version of the countdown problem, a number of additional rules are adopted to make the problem suitable for human players on a quiz programme. In particular, there are always six numbers selected from the sequence 1..10, 1..10, 25, 50, 75, 100, the target is always in the range 100..999, and there is a time limit of 30 seconds. It is natural to abstract from such constraints when developing computer players, so none of the programs that we develop in this chapter enforces or depends upon these extra rules. Note, however, that we do not abstract from the integers greater than zero to a richer numeric domain such as the integers or rationals, as this would fundamentally change the computational complexity of the problem.

12.2 Formalising the problem

We start by defining a type Op of numeric operators, together with a function valid that decides if the application of an operator to two integers that are greater than zero gives an integer greater than zero, and a function apply that actually performs such a valid application:

```
data Op
                  = Add \mid Sub \mid Mul \mid Div
                       deriving Show
                  :: Op \rightarrow Int \rightarrow Int \rightarrow Bool
valid
                  = True
valid Add _ _ _
valid Sub x y
                  = x > y
valid Mul _ _
                  = True
valid Div x y
                  = x 'mod' y == 0
                  :: Op \rightarrow Int \rightarrow Int \rightarrow Int
apply Add x y = x + y
apply Sub x y
                  = x - y
apply \ Mul \ x \ y = x * y
apply \ Div \ x \ y = x \ 'div' \ y
```

For example, $Sub\ 2\ 3$ is invalid because 2-3 is negative, while $Div\ 2\ 3$ is invalid because $2\div 3$ is rational. Note the use of the derived instance in the definition for Op, which ensures that values of this type can be converted into strings and hence displayed by Haskell system.

We now define a type *Expr* of numeric expressions, which can either be an integer value or the application of an operator to two expressions, together with a function *values* that returns the list of values in an expression, and a function *eval* that returns the overall value of an expression, provided that

this value is an integer that is greater than zero:

Note that the possibility of failure within eval is handled by returning a list of results, with the convention that a singleton list denotes success, and the empty list denotes failure. For example, for 2+3 and 2-3 we have:

```
> eval (App Add (Val 2) (Val 3))
[5]
> eval (App Sub (Val 2) (Val 3))
[]
```

Failure within *eval* could also be handled by using the *Maybe* type, but we prefer to use the list type in this case because the comprehension notation then provides a convenient way to define the *eval* function.

We now define a number of useful *combinatorial* functions that return all possible lists satisfying certain properties. The function *subs* returns all subsequences of a list, which are given by all possible combinations of excluding or including each element, *interleave* returns all possible ways of inserting a new element into a list, and *perms* returns all permutations of a list, which are given by all possible reorderings of the elements:

```
\begin{array}{ll} :: & [a] \rightarrow [[a]] \\ = & [[]] \end{array}
subs
subs[]
                           = yss + map(x:) yss
subs(x:xs)
                                where yss = subs \ xs
                           :: a \rightarrow [a] \rightarrow [[a]]
interleave
interleave x[]
                           = [[x]]
interleave \ x \ (y:ys) = (x:y:ys): map \ (y:) \ (interleave \ x \ ys)
                           :: [a] \rightarrow [[a]]
perms
perms []
                           = concat (map (interleave x) (perms xs))
perms(x:xs)
```

For example:

```
> subs [1,2,3] [[],[3],[2],[2,3],[1],[1,3],[1,2],[1,2,3]]
```

```
> interleave 1 [2, 3, 4]

[[1, 2, 3, 4], [2, 1, 3, 4], [2, 3, 1, 4], [2, 3, 4, 1]]

> perms [1, 2, 3]

[[1, 2, 3], [2, 1, 3], [2, 3, 1], [1, 3, 2], [3, 1, 2], [3, 2, 1]]
```

In turn, a function that returns all *subbags* of a list, which are given by all possible ways of selecting zero or more elements in any order, can be defined simply by considering all permutations of all subsequences:

```
subbags :: [a] \rightarrow [[a]]

subbags xs = concat (map\ perms\ (subs\ xs))
```

For example:

```
> subbags [1,2,3] [[], [3], [2], [2,3], [3,2], [1], [1,3], [3,1], [1,2], [2,1], [1,2,3], [2,1,3], [2,3,1], [1,3,2], [3,1,2], [3,2,1]]
```

Finally, we can now define a function *solution* that formalises what it means to solve an instance of the countdown problem:

```
solution :: Expr \rightarrow [Int] \rightarrow Int \rightarrow Bool
solution e ns n = elem (values e) (subbags ns) \land eval e == [n]
```

That is, an expression is a solution for a given list of numbers and a target if the list of values in the expression is a subbag of the list of numbers, and the expression successfully evaluates to give the target. For example, if e :: Expr corresponds to the expression (1 + 50) * (25 - 10), then we have:

```
> solution e [1,3,7,10,25,50] 765
True
```

Note that the efficiency of *solution* could be improved by using a function *subbag* that decides if one list is a subbag of another directly, rather than doing so indirectly using the function *subbags* that returns all possible subbags of a list. However, efficiency is not important at this stage, and *subbags* itself is used to define a number of other functions in this chapter.

12.3 Brute force solution

Our first approach to solving the countdown problem is by brute force, using the idea of generating all possible expressions over the given list of numbers. We start by defining a function *split* that returns all possible ways of splitting a list into two non-empty lists that append to give the original list:

```
\begin{array}{lll} split & :: & [a] \rightarrow [([a],[a])] \\ split \ [] & = & [] \\ split \ [\_] & = & [] \\ split \ (x:xs) & = & ([x],xs):[(x:ls,rs) \mid (ls,rs) \leftarrow split \ xs] \end{array}
```

For example:

```
> split [1,2,3,4]  [([1],[2,3,4]),([1,2],[3,4]),([1,2,3],[4])]
```

Using *split* we can now define the key function *exprs*, which returns all possible expressions whose list of values is precisely a given list:

```
\begin{array}{lll} exprs & :: & [Int] \rightarrow [Expr] \\ exprs \, [] & = & [] \\ exprs \, [n] & = & [Val \, \, n] \\ exprs \, ns & = & [e \mid (ls, rs) \leftarrow split \, ns, \\ & \quad l \leftarrow exprs \, ls, \\ & \quad r \leftarrow exprs \, rs, \\ & \quad e \leftarrow combine \, l \, r] \end{array}
```

That is, for the empty list of numbers there are no possible expressions, while for a single number there is a single expression comprising that number. Otherwise, for a list of two or more numbers we first produce all splittings of the list, then recursively calculate all possible expressions for each of these lists, and finally combine each pair of expressions using each of the four numeric operators, using an auxiliary function defined as follows:

```
 \begin{array}{lll} combine & :: & Expr \rightarrow Expr \rightarrow [Expr] \\ combine \ l \ r & = & [App \ o \ l \ r \mid o \leftarrow ops] \\ ops & :: & [Op] \\ ops & = & [Add, Sub, Mul, Div] \\ \end{array}
```

Finally, we can now define a function *solutions* that returns all possible expressions that solve an instance of the countdown problem, by first generating all expressions over each subbag of the given list of numbers, and then selecting those expressions that successfully evaluate to give the target:

solutions ::
$$[Int] \rightarrow Int \rightarrow [Expr]$$

solutions ns n = $[e \mid ns' \leftarrow subbags \ ns,$
 $e \leftarrow exprs \ ns',$
 $eval \ e == [n]]$

For the purposes of testing our programs in this chapter, the performance of Hugs is somewhat limited, so instead we use the Glasgow Haskell Compiler (GHC). For example, using GHC (version 5.04.1) on a 1.5GHz Pentium 4 processor, solutions [1,3,7,10,25,50] 765 returns the first solution in 0.62 seconds, and all 780 solutions in 74.08 seconds, while if the target is changed to 831 then the empty list of solutions is returned in 69.52 seconds.

12.4 Combining generation and evaluation

The function *solutions* generates all possible expressions over the given numbers, but in practice many of these expressions will fail to evaluate, due to the fact that subtraction and division are not always valid for integers greater than zero. For example, it can be shown that there are 33,665,406 possible expressions over the numbers 1,3,7,10,25,50, but only 4,672,540 of these expressions evaluate successfully, which is just under 14%.

Based upon this observation, our second approach to solving the countdown problem is to improve our brute force program by combining the generation of expressions with their evaluation, such that both tasks are performed simultaneously. In this way, expressions that fail to evaluate are rejected at an earlier stage, and more importantly, are not used to generate further such expressions. We start by defining a type *Result* of expressions that evaluate successfully paired with their overall values:

type
$$Result = (Expr, Int)$$

Using this type, we now define a function *results* that returns all possible results comprising expressions whose list of values is precisely a given list:

```
 \begin{array}{lll} results & :: & [Int] \rightarrow [Result] \\ results [] & = & [] \\ results [n] & = & [(Val \ n, n) \mid n > 0] \\ results \ ns & = & [res \mid (ls, rs) \leftarrow split \ ns, \\ & lx \leftarrow results \ ls, \\ & ry \leftarrow results \ rs, \\ & res \leftarrow combine' \ lx \ ry] \\ \end{array}
```

That is, for the empty list there are no possible results, while for a single number there is a single result formed from that number, provided that the number itself is greater than zero. Otherwise, for two or more numbers we first produce all splittings of the list, then recursively calculate all possible results for each of these lists, and finally combine each pair of results using each of the four numeric operators that are valid:

```
\begin{array}{lll} combine' & :: & Result \rightarrow Result \rightarrow [Result] \\ combine' \ (l,x) \ (r,y) & = & [(App \ o \ l \ r, apply \ o \ x \ y) \mid o \leftarrow ops, valid \ o \ x \ y] \end{array}
```

Using results we can now define a new function solutions' that returns all possible expressions that solve an instance of the countdown problem, by first generating all results over each subbag of the given numbers, and then selecting those expressions whose value is the target:

```
\begin{array}{lll} solutions' & :: & [Int] \rightarrow Int \rightarrow [Expr] \\ solutions' \ ns \ n & = & [e \mid ns' \leftarrow subbags \ ns, \\ & (e,m) \leftarrow results \ ns', \\ & m == n] \end{array}
```

In terms of performance, solutions' [1,3,7,10,25,50] 765 returns the first solution in 0.06 seconds (10 times faster than solutions) and all solutions in 7.52 seconds (almost 10 times faster), while if the target is changed to 831 the empty list is returned in 5.46 seconds (almost 13 times faster).

12.5 Exploiting algebraic properties

The function solutions' generates all possible expressions over the given numbers whose evaluation is successful, but in practice many of these expressions will be essentially the same, due to the fact that the numeric operators have algebraic properties. For example, the expressions 2+3 and 3+2 are essentially the same because the result of an addition does not depend upon the order of the two arguments, while $2 \div 1$ and 2 are essentially the same because dividing any number by one has no effect on that number.

Based upon this observation, our final approach to solving the countdown problem is to improve our second program by exploiting such properties to reduce the number of generated expressions. In particular, we exploit the following five *commutativity* and *identity* properties:

```
\begin{array}{rclcrcl} x + y & = & y + x \\ x * y & = & y * x \\ x * 1 & = & x \\ 1 * y & = & y \\ x \div 1 & = & x \end{array}
```

We start by recalling the function *valid* that decides if the application of an operator to two integers that are greater than zero gives another such:

```
\begin{array}{cccc} valid & :: & Op \rightarrow Int \rightarrow Int \rightarrow Bool \\ valid & Add \_\_ & = & True \\ valid & Sub & x & y & = & x > y \\ valid & Mul \_\_ & = & True \\ valid & Div & x & y & = & x `mod` y == 0 \end{array}
```

This definition can be modified to exploit the commutativity of addition and multiplication simply by requiring that their arguments are in numeric order $(x \leq y)$, and the identity properties of multiplication and division simply by requiring that the appropriate arguments are non-unitary $(\neq 1)$:

```
\begin{array}{lcl} valid \ Add \ x \ y &=& x \leqslant y \\ valid \ Sub \ x \ y &=& x > y \\ valid \ Mul \ x \ y &=& x \neq 1 \land y \neq 1 \land x \leqslant y \\ valid \ Div \ x \ y &=& y \neq 1 \land x \ `mod` \ y == 0 \end{array}
```

For example, using this new definition $Add\ 3\ 2$ is now invalid because it is essentially the same as $Add\ 2\ 3$ using the commutativity of addition, while

Div 2 1 is now invalid because it is essentially the same as the number 2 on its own using the identity property for division.

Using the new version of valid gives a new version of our function solutions' that solves the countdown problem, which we write as solutions". Using this new function can considerable reduce the number of generated expressions and the number of solutions. For example, solutions'' [1,3,7,10,25,50] 765 only generates 245,644 expressions, of which just 49 are solutions, which is just over 5% and 6% respectively of the numbers using solutions'.

As regards performance, solutions" [1,3,7,10,25,50] 765 now returns the first solution in 0.03 seconds (twice as fast as solutions') and all solutions in 0.80 seconds (9 times faster), while for the target number 831 the empty list is returned in 0.66 seconds (8 times faster). More generally, given any numbers from the television version of the countdown problem, our final program solutions" typically returns all solutions in under one second.

12.6 Chapter remarks

Countdown is based upon an original version on French television called "Des Chiffres et des Lettres", while the countdown problem itself is related to the childrens arithmetic games called "krypto" and "four fours". This chapter is based upon [6], which also includes proofs of correctness of the three programs produced. A number of more advanced approaches to solving the countdown problem are explored by Bird and Mu [1]. The definitions for the functions subs, interleave and perms are due to Bird and Wadler [2].

12.7 Exercises

- 1. Redefine the combinatorial function subbags using a list comprehension rather than the library functions concat and map.
- 2. Define a recursive function $subbag :: Eq \ a \Rightarrow [a] \rightarrow [a] \rightarrow Bool$ that decides if one list is a subbag of another, without using the combinatorial functions perms and subs. Hint: start by defining a function that removes the first occurrence of a value from a list.
- 3. What effect would generalising the function *split* to also return pairs containing the empty list have on the behaviour of *solutions*?
- 4. Using *subbags*, *exprs* and *eval*, verify that there are 33,665,406 possible expressions over the numbers 1, 3, 7, 10, 25, 50, and that only 4,672,540 of these expressions evaluate successfully.
- 5. Similarly, verify that the number of expressions that evaluate successfully increases to 10, 839, 369 if the numeric domain is generalised to arbitrary integers. Hint: modify the definition of *valid*.
- 6. Modify the final program to:

- (a) allow the use of exponentiation in expressions;
- (b) produce the nearest solutions if no exact solution is possible;
- (c) order the solutions using a suitable measure of simplicity.

Chapter 13

Lazy Evaluation

In preparation.

Chapter 14

Reasoning About Programs

In preparation.

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Appendix A

Symbol Table

In this appendix we present a table that summarises the meaning of each of the special Haskell symbols that are used in this book, and shows how each of the symbols is typed using a normal keyboard.

Symbol	Meaning	Typed
\rightarrow	maps to	->
\Rightarrow	constrains	=>
\geqslant	at least	>=
\leq	at most	<=
\neq	inequality	/=
\wedge	conjunction	&&
V	disjunction	11
\neg	negation	not
↑	exponentiation	^
0	composition	
λ	abstraction	\
#	append	++
←	drawn from	<-
>=	bind	>>=
+++	choice	+++

Appendix B

Haskell Standard Prelude

In this appendix we present some of the most commonly used definitions from the standard prelude. For clarity, a number of the definitions have been simplified or modified from those given in the Haskell Report [11]. Other standard libraries are described in the Haskell Library Report [10].

B.1 Classes

Equality types:

class
$$Eq\ a$$
 where $(==), (\neq)$ $:: a \to a \to Bool$ $x \neq y$ $= \neg (x == y)$

Ordered types:

class
$$Eq \ a \Rightarrow Ord \ a \ where$$
 $(<), (\leqslant), (>), (\geqslant)$:: $a \rightarrow a \rightarrow Bool$
 min, max :: $a \rightarrow a \rightarrow a$
 $min \ x \ y \mid x \leqslant y = x$
 $| \ otherwise = y$
 $| \ otherwise = y$
 $| \ otherwise = x$

Showable types:

class
$$Show \ a \ where$$

$$show :: a \rightarrow String$$

Readable types:

class
$$Read\ a$$
 where $read$:: $String \rightarrow a$

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Numeric types:

class
$$(Eq\ a, Show\ a) \Rightarrow Num\ a\ where$$

 $(+), (-), (*)$:: $a \rightarrow a \rightarrow a$
 $negate, abs, signum$:: $a \rightarrow a$

Integral types:

class Num
$$a \Rightarrow Integral \ a \ where$$

 div, mod :: $a \rightarrow a \rightarrow a$

Fractional types:

class Num
$$a \Rightarrow$$
 Fractional a where

(/)

recip
:: $a \rightarrow a \rightarrow a$

recip $n \Rightarrow a \Rightarrow a$

B.2 Booleans

Type declaration:

$$\mathbf{data} \ Bool = False \mid True \\ \mathbf{deriving} \ (Eq, Ord, Show, Read)$$

Logical conjunction:

$$\begin{array}{lll} (\wedge) & & :: & Bool \rightarrow Bool \rightarrow Bool \\ False \wedge _ & & = & False \\ True \wedge b & & = & b \end{array}$$

Logical disjunction:

$$\begin{array}{lll} (\vee) & & :: & Bool \rightarrow Bool \rightarrow Bool \\ False \vee b & & = & b \\ True \vee _ & & = & True \\ \end{array}$$

Logical negation:

Guard that always succeeds:

```
otherwise :: Bool otherwise = True
```

B.3 Characters and strings

Type declarations:

data $Char = \cdots$

deriving (Eq, Ord, Show, Read)

 $\mathbf{type} \ \mathit{String} \qquad \qquad = \ [\mathit{Char}]$

Decide if a character is a lower-case letter:

isLower :: $Char \rightarrow Bool$ isLower c = $c \geqslant `a` \land c \leqslant `z`$

Decide if a character is an upper-case letter:

 $\begin{array}{lll} is Upper & & :: & Char \rightarrow Bool \\ is Upper & c & = & c \geqslant \text{`A'} \land c \leqslant \text{`Z'} \end{array}$

Decide if a character is alphabetic:

isAlpha :: $Char \rightarrow Bool$

 $isAlpha\ c = isLower\ c \lor isUpper\ c$

Decide if a character is a digit:

isDigit :: $Char \rightarrow Bool$

 $isDigit \ c = c \geqslant 0, \land c \leqslant 9,$

Decide if a character alpha-numeric:

isAlphaNum :: $Char \rightarrow Bool$

 $isAlphaNum\ c = isAlpha\ c \lor isDigit\ c$

Decide if a character is a spacing character:

isSpace :: $Char \rightarrow Bool$ isSpace c = elem c " $_{\sqcup}\t\n$ "

Convert a character to a Unicode number:

ord :: $Char \rightarrow Int$

 $ord = \cdots$

Convert a Unicode number to a character:

chr :: $Int \rightarrow Char$

chr = \cdots

Convert a digit to an integer:

digitToInt :: $Char \rightarrow Int$ $digitToInt \ c \mid isDigit \ c = ord \ c - ord$ 'O'

Convert an integer to a digit:

$$intToDigit$$
 :: $Int \rightarrow Char$
 $intToDigit$ n
 $\mid n \geqslant 0 \land n \leqslant 9$ = $chr (ord `0' + n)$

Convert a letter to lower-case:

$$\begin{array}{lll} toLower & :: & Char \rightarrow Char \\ toLower & c \mid isUpper & c & = & chr (ord & c - ord \ `A' + ord \ `a') \\ & \mid otherwise & = & c \end{array}$$

Convert a letter to upper-case:

$$\begin{array}{lll} to Upper & & :: & Char \rightarrow Char \\ to Upper \ c \mid isLower \ c & = & chr \ (ord \ c-ord \ \verb"a" + ord \ \verb"A") \\ \mid otherwise & = & c \end{array}$$

B.4 Numbers

Type declarations:

data $Int = \cdots$

deriving (Eq, Ord, Show, Read, Num, Integral)

data Integer = \cdots

deriving (Eq, Ord, Show, Read, Num, Integral)

data Float = \cdots

 $\begin{array}{c} \textbf{deriving} \; (\textit{Eq}, \textit{Ord}, \textit{Show}, \textit{Read}, \\ \textit{Num}, \textit{Fractional}) \end{array}$

Decide if an integer is even:

 $\begin{array}{lll} even & & :: & Integral \ a \Rightarrow a \rightarrow Bool \\ even \ n & & = n \ `mod ` 2 == 0 \end{array}$

Decide if an integer is odd:

 $\begin{array}{ccc} odd & & :: & Integral \ a \Rightarrow a \rightarrow Bool \\ odd & & = \neg \circ even \end{array}$

Exponentiation:

$$\begin{array}{ll} (\uparrow) & \qquad \qquad :: \ (\textit{Num a}, \textit{Integral b}) \Rightarrow a \rightarrow b \rightarrow a \\ -\uparrow 0 & \qquad = 1 \\ x \uparrow (n+1) & \qquad = x * (x \uparrow n) \end{array}$$

B.5 Tuples

Type declarations:

```
\begin{array}{ll} \mathbf{data}\:() & = & \cdots \\ & \mathbf{deriving}\:(Eq,\mathit{Ord},\mathit{Show},\mathit{Read}) \\ \mathbf{data}\:(a,b) & = & \cdots \\ & \mathbf{deriving}\:(Eq,\mathit{Ord},\mathit{Show},\mathit{Read}) \\ \mathbf{data}\:(a,b,c) & = & \cdots \\ & \mathbf{deriving}\:(Eq,\mathit{Ord},\mathit{Show},\mathit{Read}) \\ \vdots & \vdots & \vdots & \vdots \\ \end{array}
```

Select the first component of a pair:

$$\begin{array}{ll} fst & :: (a,b) \to a \\ fst (x,_) & = x \end{array}$$

Select the second component of a pair:

$$\begin{array}{ll} snd & & :: & (a,b) \rightarrow b \\ snd & (_,y) & & = & y \end{array}$$

B.6 Lists

Type declaration:

$$\begin{array}{ll} \mathbf{data} \ [\ a \] \\ & = \ [\] \ | \ a : [\ a \] \\ & \mathbf{deriving} \ (Eq, Ord, Show, Read) \\ \end{array}$$

Decide if a list is empty:

$$null$$
 :: $[a] \rightarrow Bool$
 $null$ $[]$ = $True$
 $null$ $(_:_)$ = $False$

Decide if a value is an element of a list:

$$elem$$
 :: $Eq \ a \Rightarrow a \rightarrow [a] \rightarrow Bool$
 $elem \ x \ xs$ = $any \ (==x) \ xs$

Decide if all logical values in a list are *True*:

$$\begin{array}{ccc} and & & :: & [Bool] \rightarrow Bool \\ and & & = & foldr \; (\land) \; True \end{array}$$

Decide if any logical value in a list is False:

$$egin{array}{ll} or & :: & [Bool]
ightarrow Bool \\ or & = & foldr \ (\lor) \ False \end{array}$$

Decide if all elements of a list satisfy a predicate:

$$\begin{array}{ll} all & \qquad :: \quad (a \to Bool) \to [\, a\,] \to Bool \\ all \ p & \qquad = \quad and \circ map \ p \end{array}$$

Decide if any element of a list satisfies a predicate:

$$\begin{array}{ll} any & \qquad & :: \quad (a \to Bool) \to [a] \to Bool \\ any \ p & \qquad & = \quad or \circ map \ p \end{array}$$

Select the first element of a non-empty list:

$$\begin{array}{lll} head & & & \vdots & [\,a\,] \rightarrow a \\ head & (x:_) & & = & x \\ \end{array}$$

Select the last element of a non-empty list:

$$\begin{array}{lll} last & & :: & [a] \rightarrow a \\ last & [x] & = & x \\ last & (_: xs) & = & last & xs \end{array}$$

Select the *n*th element of a list:

$$\begin{array}{lll} (!!) & & :: & [a] \to \mathit{Int} \to a \\ (x:_) !! \, 0 & & = & x \\ (_:xs) \, !! \, (n+1) & & = & xs \, !! \, n \\ \end{array}$$

Select the first n elements of a list:

take ::
$$Int \rightarrow [a] \rightarrow [a]$$

take $0 \perp$ = []
take $(n+1)$ [] = []
take $(n+1)$ $(x:xs)$ = $x:take \ n \ xs$

Select all elements of a list that satisfy a predicate:

$$\begin{array}{ll} \textit{filter} & :: & (a \rightarrow Bool) \rightarrow [\, a\,] \rightarrow [\, a\,] \\ \textit{filter} \ p \ \textit{xs} & = \ [\, x \mid x \leftarrow \textit{xs}, p \ x\,] \end{array}$$

Select elements of a list while they satisfy a predicate:

$$\begin{array}{lll} \textit{take While} & & \text{::} & (a \rightarrow \textit{Bool}) \rightarrow [a] \rightarrow [a] \\ \textit{take While} \ _[] & & = \ [] \\ \textit{take While} \ p \ (x : xs) & & \\ \mid p \ x & & = \ x : \textit{take While} \ p \ xs \\ \mid \textit{otherwise} & & = \ [] \\ \end{array}$$

Remove the first element from a non-empty list:

$$\begin{array}{ll} tail & :: [a] \rightarrow [a] \\ tail (_: xs) & = xs \end{array}$$

Remove the last element from a non-empty list:

```
 \begin{array}{lll} init & & :: & [a] \rightarrow [a] \\ init & [\_] & & = & [] \\ init & (x:xs) & & = & x:init \ xs \\ \end{array}
```

Remove the first n elements from a list:

```
\begin{array}{lll} drop & & :: & Int \rightarrow [a] \rightarrow [a] \\ drop \ 0 \ xs & = & xs \\ drop \ (n+1) \ [] & = \ [] \\ drop \ (n+1) \ (\_: xs) & = & drop \ n \ xs \end{array}
```

Remove elements from a list while they satisfy a predicate:

```
\begin{array}{lll} drop \, While & & :: & (a \rightarrow Bool) \rightarrow [\, a\,] \rightarrow [\, a\,] \\ drop \, While \, \_[\,] & & = \, [\,] \\ drop \, While \, p \, (x:xs) & & = \, drop \, While \, p \, xs \\ | \, otherwise & = \, x:xs \end{array}
```

Split a list at the nth element:

```
splitAt :: Int \rightarrow [a] \rightarrow ([a], [a])

splitAt \ n \ xs :: take \ n \ xs, drop \ n \ xs)
```

Split a list using a predicate:

```
\begin{array}{ll} span & :: & (a \rightarrow Bool) \rightarrow [a] \rightarrow ([a], [a]) \\ span \ p \ xs & = & (takeWhile \ p \ xs, dropWhile \ p \ xs) \end{array}
```

Process a list using a right-bracketing function:

Process a non-empty list using a right-bracketing function:

Process a list using a left-bracketing function:

Process a non-empty list using a left-bracketing function:

Produce an infinite list of identical elements:

$$\begin{array}{lll} repeat & & :: & a \rightarrow [\,a\,] \\ repeat \; x & & = \; xs \; \mathbf{where} \; xs = x : xs \end{array}$$

Produce a list with n identical elements:

$$\begin{array}{lll} replicate & :: & Int \rightarrow a \rightarrow [\, a\,] \\ replicate & n & = & take \; n \circ repeat \end{array}$$

Produce an infinite list by iterating a function over a value:

Produce a list of pairs from a pair of lists:

$$\begin{array}{lll} zip & & & & \vdots & [\,a\,] \rightarrow [\,b\,] \rightarrow [\,(a,b)\,] \\ zip \,\,[\,] & & & = \,\,[\,] \\ zip \,\,(x:xs) \,\,(y:ys) & & = \,\,(x,y) : zip \,\,xs \,\,ys \end{array}$$

Calculate the length of a list:

$$\begin{array}{ll} length & :: [a] \rightarrow Int \\ length [] & = 0 \\ length (_: xs) & = 1 + length \ xs \end{array}$$

Calculate the sum of a list of numbers:

$$\begin{array}{lll} sum & & :: & Num \ a \Rightarrow [a] \rightarrow a \\ sum & & = & foldl \ (+) \ 0 \end{array}$$

Calculate the product of a list of numbers:

$$\begin{array}{ll} product & :: Num \ a \Rightarrow [a] \rightarrow a \\ product & = foldl \ (*) \ 1 \end{array}$$

Calculate the minimum of a non-empty list:

$$minimum$$
 :: $Ord \ a \Rightarrow [a] \rightarrow a$ $minimum$ = $foldl1 \ min$

Calculate the maximum of a non-empty list:

$$\begin{array}{ll} \textit{maximum} & :: \textit{Ord } a \Rightarrow [a] \rightarrow a \\ \textit{maximum} & = \textit{foldl1 max} \end{array}$$

Append two lists:

$$\begin{array}{lll} (\boxplus) & & \text{ :: } [a] \rightarrow [a] \rightarrow [a] \\ [] \# ys & = ys \\ (x:xs) \# ys & = x:(xs \# ys) \\ \end{array}$$

Concatenate a list of lists:

$$\begin{array}{ccc} concat & & :: & [[\,a\,]] \rightarrow [\,a\,] \\ concat & & = & foldr \; (\#) \; [\,] \\ \end{array}$$

Reverse a list:

reverse ::
$$[a] \rightarrow [a]$$

reverse :: $[a] \rightarrow [a]$
= foldl $(\lambda xs \ x \rightarrow x : xs)$ []

Apply a function to all elements of a list:

$$\begin{array}{ll} \mathit{map} & :: & (a \to b) \to [\, a\,] \to [\, b\,] \\ \mathit{map} \ f \ \mathit{xs} & = & [f \ x \mid x \leftarrow \mathit{xs}\,] \end{array}$$

B.7 Functions

Type declaration:

$$\mathbf{data}\ a \to b \qquad \qquad =\ \cdots$$

Identity function:

$$\begin{array}{ccc} id & & :: & a \to a \\ id & & = & \lambda x \to x \end{array}$$

Function composition:

$$\begin{array}{ll} (\circ) & :: & (b \to c) \to (a \to b) \to (a \to c) \\ f \circ g & = & \lambda x \to f \ (g \ x) \end{array}$$

Constant functions:

$$const const x :: a \to (b \to a) = \lambda_- \to x$$

Convert a function on pairs to a curried function:

$$\begin{array}{ll} \textit{curry} & :: & ((a,b) \rightarrow c) \rightarrow (a \rightarrow b \rightarrow c) \\ \textit{curry } f & = & \lambda x \; y \rightarrow f \; (x,y) \end{array}$$

Convert a curried function to a function on pairs:

$$\begin{array}{ll} \textit{uncurry} & :: & (a \rightarrow b \rightarrow c) \rightarrow ((a,b) \rightarrow c) \\ \textit{uncurry } f & :: & \lambda(x,y) \rightarrow f \ x \ y \end{array}$$

B.8 Actions

Type declaration:

data
$$IO a = \cdots$$

Read a character from the keyboard:

Read a string from the keyboard:

Read a value from the keyboard:

```
readLn :: Read\ a \Rightarrow IO\ a readLn = \mathbf{do}\ xs \leftarrow getLine return\ (read\ xs)
```

Write a character to the screen:

```
\begin{array}{ccc} putChar & & :: & Char \rightarrow IO \ () \\ putChar & & = & \cdots \end{array}
```

Write a string to the screen:

```
\begin{array}{lll} putStr & :: & String \rightarrow IO \ () \\ putStr "" & = & return \ () \\ putStr \ (x:xs) & = & \mathbf{do} \ putChar \ x \\ & & putStr \ xs \end{array}
```

Write a string to the screen and move to a new line:

```
\begin{array}{lll} putStrLn & :: & String \rightarrow IO \ () \\ putStrLn \ xs & = & \mathbf{do} \ putStr \ xs \\ & putChar \ `\n' \end{array}
```

Write a value to the screen:

```
\begin{array}{ll} print & :: & Show \ a \Rightarrow a \rightarrow IO \ () \\ print & = & putStrLn \circ show \end{array}
```

Display an error message and terminate evaluation:

```
\begin{array}{ccc} \textit{error} & & :: & \textit{String} \rightarrow a \\ \textit{error} & & = & \cdots \end{array}
```