# Second-order Church-Rosser Modulo, Without Normalization 

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Consequence The proposition isPermutation $\left(l_{1}++l_{2}, l_{2}++l_{1}\right)$ is ill-typed

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In type theory, we can cast $l_{2}++l_{1}: \operatorname{List}\left(n_{2}+n_{1}\right)$ using the proof $p$ to obtain

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A better solution Make $n_{2}+n_{1}$ and $n_{1}+n_{2}$ convertible
So that isPermutation $\left(l_{1}++l_{2}, l_{2}++l_{1}\right)$ is well-typed

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Goal Church-Rosser rewrite system for the above (second-order) equational theory which can be shown terminating over typed terms

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In this setting, we now want to show Church-Rosser modulo (or CR modulo):

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where $\equiv$ is $(\longrightarrow \cup \longleftarrow \cup \simeq)^{*}$ and

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- Strong ${ }^{1}$ CR modulo: $\xrightarrow{\sim}$ is $\longrightarrow$ (Huet)

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- Strong ${ }^{1}$ CR modulo: $\xrightarrow{\sim}$ is $\longrightarrow$ (Huet)
- Weak CR modulo: $\xrightarrow{\sim}$ between $\longrightarrow$ and $\simeq \circ \longrightarrow$ (Stickel, Jouannaud) Needed when $\simeq$ can block $\longrightarrow$, implementation usually requires matching modulo $\mathcal{E}$

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Each criterion proves $C R$ modulo for a variant of our example

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Example If $\operatorname{arity}(@)=(0,0)$ and $\operatorname{arity}(\lambda)=(1)$ then $@(\lambda(x \cdot x), y) \in \mathcal{T}(\mathcal{F})$

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Rewrite system modulo Pair $(\mathcal{R}, \mathcal{E})$ with $\mathcal{R}$ rewrite system and $\mathcal{E}$ equational system

The 1st Criterion

Back to (a variant of) the example

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@(\lambda(x . t\{x\}), u) \longmapsto t\{u\} & \mathbb{N}_{\text {rec }}(0, p, x y \cdot q\{x, y\}) \longmapsto p \\
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t+0 \approx t & t+S(u) \approx S(t+u) \\
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- Symbol in $\mathcal{E}$ matched by rule not maximally exposed

$$
\mathbb{N}_{\text {rec }}(x+\mathrm{S}(y), p, x y \cdot q) \quad \text { where } x+\mathrm{S}(y) \simeq \mathrm{S}(x+y)
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But do we need matching modulo?

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Proposition Suppose that $>$ satisfies the diamond property ${ }^{2}$ and that we have an unblocking subset $\mathcal{U} \subseteq \mathcal{A}$. Then $a\left(>\cup\langle U \sim)^{*} b\right.$ implies $a \triangleright_{\mathcal{U}}^{*} \circ \sim \sim_{\mathcal{U}}^{*} \triangleleft b$

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- $E \simeq f\left(\vec{x}_{1} \cdot t_{1} \ldots \vec{x}_{k} \cdot t_{k}\right)$ and $f \in \mathcal{F}_{\mathcal{E}} \cap \mathcal{F}_{\mathcal{R}}$ implies $E=f\left(\vec{x}_{1} \cdot u_{1} \ldots \vec{x}_{k} \cdot u_{k}\right)$ and $t_{i} \simeq u_{i}$


## The 1st Criterion

Criterion 1 Let $(\mathcal{R}, \mathcal{E})$ be a second-order rewrite system modulo st

1. Equations $t_{1} \approx t_{2} \in \mathcal{E}$ are linear, and we have $\operatorname{mv}\left(t_{1}\right)=\operatorname{mv}\left(t_{2}\right)$
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Criterion 1 Let $(\mathcal{R}, \mathcal{E})$ be a second-order rewrite system modulo st

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Then $t \equiv u$ implies $t \xrightarrow{\sim} 0 \simeq 0^{*} \stackrel{\sim}{\longleftarrow} u$
where $\xrightarrow{\sim}$ defined by: $t \xrightarrow{\sim} u$ iff $t \simeq t^{\prime} \longrightarrow u$ for some unblocked $t^{\prime}$

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1. Equations $t_{1} \approx t_{2} \in \mathcal{E}$ are linear, and we have $\operatorname{mv}\left(t_{1}\right)=\operatorname{mv}\left(t_{2}\right)$
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3. For every context $E \in \mathcal{T}\left(\mathcal{F}_{\mathcal{E}}\right)$, there is unblocked $E^{\prime} \in \mathcal{T}\left(\mathcal{F}_{\mathcal{E}}\right)$ with $E \simeq E^{\prime}$
4. $\mathcal{R}$ is left-linear and no left-hand side is headed by a symbol in $\mathcal{F}_{\mathcal{E}}$
5. Orthogonal/simultaneous/multi-step rewriting $\Longrightarrow$ with $\mathcal{R}$ satisfies diamond prop.

Then $t \equiv u$ implies $t \xrightarrow{\sim} 0 \simeq 0^{*} \stackrel{\sim}{\longleftarrow} u$
where $\xrightarrow{\sim}$ defined by: $t \xrightarrow{\sim} u$ iff $t \simeq t^{\prime} \longrightarrow u$ for some unblocked $t^{\prime}$
Proof We show that the set of unblocked terms is an unblocking subset for $(\Longrightarrow, \simeq)$
We do some small adjustments and we obtain the result

The 2nd Criterion

## The 2nd Criterion

Criterion 2 Let $(\mathcal{R} \cup \mathcal{S}, \mathcal{E})$ be a second-order rewrite system modulo st

1. $\mathcal{R} \cup \mathcal{S}$ is left-linear
2. For all $t \approx u \in \mathcal{E}$, we have $t, u$ linear, headed by symbols, and $\operatorname{mv}(t)=\operatorname{mv}(u)$
3. $\mathcal{R}$ is confluent
4. $(\mathcal{S}, \mathcal{E})$ is strong CR modulo
5. No critical pairs between $\mathcal{R}$ and $\mathcal{S} \cup \mathcal{E}^{ \pm}$ Where $\mathcal{E}^{ \pm}:=\mathcal{E} \cup \mathcal{E}^{-1}$

## The 2nd Criterion

$$
\begin{gathered}
\mathcal{R}=\begin{array}{cc}
@(\lambda(x . t\{x\}), \mathrm{u}) & \longmapsto \mathrm{t}\{\mathrm{u}\}
\end{array} \quad \mathbb{N}_{\text {rec }}(0, \mathrm{p}, x y \cdot \mathrm{q}\{x, y\}) \longmapsto \mathrm{p} \\
\mathcal{S}=\quad \mathrm{N} \text { rec }(\mathrm{S}(\mathrm{n}), \mathrm{p}, x y \cdot \mathrm{q}\{x, y\}) \longmapsto \mathrm{q}\left\{\mathrm{n}, \mathbb{N}_{\text {rec }}(\mathrm{n}, \mathrm{p}, x y \cdot \mathrm{q}\{x, y\})\right\} \\
\mathrm{t}+0 \longmapsto \mathrm{t} \quad \mathrm{t}+\mathrm{S}(\mathrm{u}) \longmapsto \mathrm{S}(\mathrm{t}+\mathrm{u}) \\
\mathcal{E}=0+\mathrm{t} \longmapsto \mathrm{t} \\
\mathrm{t}+\mathrm{u} \approx \mathrm{u}+\mathrm{t}
\end{gathered}
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\end{gathered}
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1. $\mathcal{R} \cup \mathcal{S}$ is left-linear $\checkmark$

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1. $\mathcal{R} \cup \mathcal{S}$ is left-linear $\checkmark$
2. For all $t \approx u \in \mathcal{E}$, we have $t, u$ linear, headed by symbols, and $\operatorname{mv}(t)=\operatorname{mv}(u)^{3}$
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$$

$$
\begin{array}{cccc}
\mathcal{S}= & \mathrm{t}+0 \longmapsto \mathrm{t} & \mathrm{t}+\mathrm{S}(\mathrm{u}) \longmapsto \mathrm{S}(\mathrm{t}+\mathrm{u}) & 0+\mathrm{t} \longmapsto \mathrm{t} \\
\mathcal{E}= & \mathrm{t}+\mathrm{u} \approx \mathrm{u}+\mathrm{t} & \mathrm{~S}(\mathrm{u})+\mathrm{t} \longmapsto \mathrm{~S}(\mathrm{t}+\mathrm{u}) \\
& & (\mathrm{t}+\mathrm{u})+\mathrm{v} \approx \mathrm{t}+(\mathrm{u}+\mathrm{v})
\end{array}
$$

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3. $\mathcal{R}$ is confluent
[^5]
## The 2nd Criterion

$$
\mathcal{S}=\quad t+0 \longmapsto t \quad t+S(u) \longmapsto S(t+u) \quad 0+t \longmapsto t \quad S(u)+t \longmapsto S(t+u)
$$

$$
\mathcal{E}=\quad t+u \approx u+t \quad(t+u)+v \approx t+(u+v)
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1. $\mathcal{R} \cup \mathcal{S}$ is left-linear $\checkmark$
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3. $\mathcal{R}$ is confluent $\checkmark$

Because $\mathcal{R}$ is orthogonal

[^6]\[

$$
\begin{aligned}
& \mathcal{R}=\quad @(\lambda(x . \mathrm{t}\{x\}), \mathrm{u}) \longmapsto \mathrm{t}\{\mathrm{u}\} \quad \mathbb{N}_{\text {rec }}(0, \mathrm{p}, x y \cdot \mathrm{q}\{x, y\}) \longmapsto \mathrm{p} \\
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S=\quad t+0 \longmapsto t \quad t+S(u) \longmapsto S(t+u) \quad 0+t \longmapsto t \quad S(u)+t \longmapsto S(t+u)
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4. $(\mathcal{S}, \mathcal{E})$ is strong CR modulo

[^7]
## The 2nd Criterion

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\begin{gathered}
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Follows by a criterion by Nipkow, because $\simeq 0 \longrightarrow s$ is SN and all critical pairs close modulo $\simeq$

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[^10]\[

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## The 2nd Criterion

Criterion 2 Let $(\mathcal{R} \cup \mathcal{S}, \mathcal{E})$ be a second-order rewrite system modulo st

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Where $\mathcal{E}^{ \pm}:=\mathcal{E} \cup \mathcal{E}^{-1}$
Then $(\mathcal{R} \cup \mathcal{S}, \mathcal{E})$ is strong CR modulo
Therefore, we still need to show the subsystem $(\mathcal{S}, \mathcal{E})$ to be strong CR modulo
The point is that $(\mathcal{S}, \mathcal{E})$ might be terminating, allowing application of other criteria

## The proof idea

The main tool for proving the criterion is the following well-known result:
Proposition If $\mathcal{R}$ and $\mathcal{S}$ are left-linear Pattern Rewrite Systems (PRSs) with no critical pairs between them, then they commute

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Proof idea for Criterion 2 We know that that $(\mathcal{S}, \mathcal{E})$ is strong CR modulo $\mathcal{R}$ commutes with $\mathcal{E}^{ \pm}$and $\mathcal{S}$ by the above result, and with itself by confluence We conclude with some easy diagram manipulations

Conclusion

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We have seen two criteria for CR modulo:

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Both address our initial motivation: How to show CR modulo for dependent type theories?
Main limitations Linearity and left-linearity, cannot have $t \sqcup t \approx t$
Unclear how to do without in second-order rewriting, because of Klop's countexample

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## Future work

- Dedukti with rewriting modulo


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> Thank you for your attention!


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