

# Improving CNN Draughts Evaluators using Genetic Algorithms

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## *Abstract —*

### **Background**

Presently, competitive Draughts AI players are currently designed to play at a fixed ability. While it has produced very competitive and intelligent players, they require manual modifications in order to improve its performance. This is due to their dependency on pre-defined move databases, where optimal moves are pre-calculated, and recalled when necessary. By combining Neural Networks and Genetic Algorithms, this issue could possibly be solved by creating a player that can grow in ability over time, without the dependency on move-banks.

### **Aims**

The purpose of this project is to explore approaches to tackle the game of English Draughts via the use of machine learning techniques. First, we study previous historical successes in the field, and look at the components that helped build their systems. Then, we look at contemporary methods of computer science that could be used to evolve the historical systems. The project will establish whether this approach provides an effective performance on the game.

### **Method**

The initial population will consist of randomly generated AI players, which will play each other to determine the best player out of the population. The performance of championing AI players at every generation of the genetic algorithm are measured against previous champions. Appropriate algorithms are implemented to detect the overall development of the system's ability to play Checkers.

### **Proposed Solution.**

The proposed solution starts with designing a neural network that evaluates the probability of a particular side winning, given a given state of a checkerboard. This is then used in a algorithm that evaluates future moves to predict the best move at a given position. This, alongside a set of weights for the neural network, creates a player that can evaluate potential moves. Finally, the player is then used on an existing Draughts framework that will provide the player with the ability to play Draughts.

**Keywords —** AI, Neural Networks, Genetic Algorithms, MiniMax, Alpha Beta Pruning, Draughts

## **I INTRODUCTION**

The intention of this project is to explore the effectiveness of genetic algorithms to improve the evaluation of a neural network's probability to determine the performance of two players in a game of checkers. We attempt to use various crossover and mutation strategies to manipulate the weights of the network, and compare their performance relative to the overall performance of the system.

## ***Draughts***

English Draughts (or Checkers) is a popular 2-player boardgame played on an 8x8 chess-board. Players begin with 12 pieces each, and they are placed on light-coloured squares. Each player takes a turn to move a piece diagonally in one square. They also have the option to capture their opponents piece by moving two consecutive diagonal squares, where the opponents piece is placed immediately opposite the players piece. Pieces can be captured consecutively in a single turn if the moves afford the scenario. In the event that a piece reaches the opposite side of the board from where the piece started with, they are promoted to a 'King' piece. King pieces have the ability to traverse backwards in the same diagonal motion as pawns.

## ***Genetic Algorithms***

Genetic algorithms (GAs) are a group of search techniques used to find exact or approximate solutions to optimisation and search problems. It borrows techniques from Charles Darwin's evolutionism theory; individuals are created by the crossover of the genetic information of their parents. Genetic algorithms are a subset of evolutionary algorithms, where the larger group is also formed of similar strategies not including Evolutionary Programming [Fogel, 1993][McDonnell, 1993], and genetic programming [Koza, 1991].

## ***Neural Networks***

Neural Networks are non-linear statistical data-modelling tools, linking inputs and outputs adaptively in a learning process similar to how the human brain operates. Networks consist of units, described as neurons, joined by a set of rules and weights. The units are defined with characteristics, and appear in layers. The first layer is defined as the input layer, and the last layer being the output. Layers between the two aforementioned are described as hidden layers. Data is analysed by processing them through the layers.

Learning takes place in the form of the manipulation of the weights connecting the units in the layers. This allows it to model complex relationships between inputs and output, and it can also find patterns in the data.

## ***Motivation***

Whilst the use of evolutionary algorithms and neural networks have been explored to create draughts players, my intention is to explore a subset of evolutionary algorithms to determine their viability. Can we produce a similarly performant draughts evaluator by using separate classifiers for the different stages of the game? Can the use of genetic algorithms and neural networks (GANNs) make a competent Draughts player?

## ***Deliverables***

### **Minimum**

- Implement a CNN
- Implement a Checkers Game Interface

- Implement a genetic algorithm with an evaluation function that consists of a round robin tournament against the population of CNN Evaluators.
- Implement a mini-max algorithm that chooses moves.

### **Intermediate**

- A user-friendly interface to play against the AI
- A monte-carlo search of the move space.
- Analysis of Crossover methods (within Genetic Algorithms)
- Analysis of Mutation methods (within Genetic Algorithms)

### **Advanced**

- Convolutional Neural Network Layer analysis
- The resulting AI can play to an ELO of at least 1200.

### ***Related Work***

## **II DESIGN**

### ***Requirements***

A checkers gameboard is created  
 Agents are able to select a legal move  
 Agents are able to harness neural networks to assist in their move decision  
 Champions are able to create offspring.  
 The weights and biases of the Agent's neural network are saved to a form of storage.  
 Agents only choose valid, legal moves  
 Agents always return a valid, legal move  
 Agents make maximum use of the turn time where appropriate

### ***Choice of Programming Language***

The project is to be written in Python 3.6 due to my familiarity, and the support of popular scientific packages including NumPy and other machine learning tools. Python is also portable with a very wide compatability; for instance it is pre-installed on all popular UNIX machines and also has support from the university machines.

Object Oriented approaches are taken for the majority of the components of the system, ranging from the neural network library to the tournament system. Data structures are implemented using their own classes and methods where applicable.

Players weights (for their neural networks) are stored in two forms, one of which is to be stored on an MongoDB NoSQL instance, and another local copy in JSON. This allows the individual agents to be played against humans.

## Tools

The resulting program will be simulated on Durham's MIRA (128-core Intel) distributed system for 4-ply heavy loads, and debugging will occur on a lighter machine (4-core Intel i5 6200u). In order to keep simulations running on MIRA, MOSH is used to maintain a consistent UDP SSH connection to MIRA. The end champion is then hosted online on a Heroku instance as an API.

## Architecture

Should include a diagram of the algorithm workflow

## Algorithms and Data Structures

### .1 Neural Network

In order to evaluate the board, we use a feed-forward neural network in the form of the following node layers 91,40,10,1 where the input layer consists of 32 nodes, with the output node having 1. Our sigmoidal function of choice is the hyperbolic tangent. The input layer is the board, preprocessed to cover all possible subsquares of the checkerboard, ranging from a 3x3 kernel to a 8x8. And here we see figure 1.

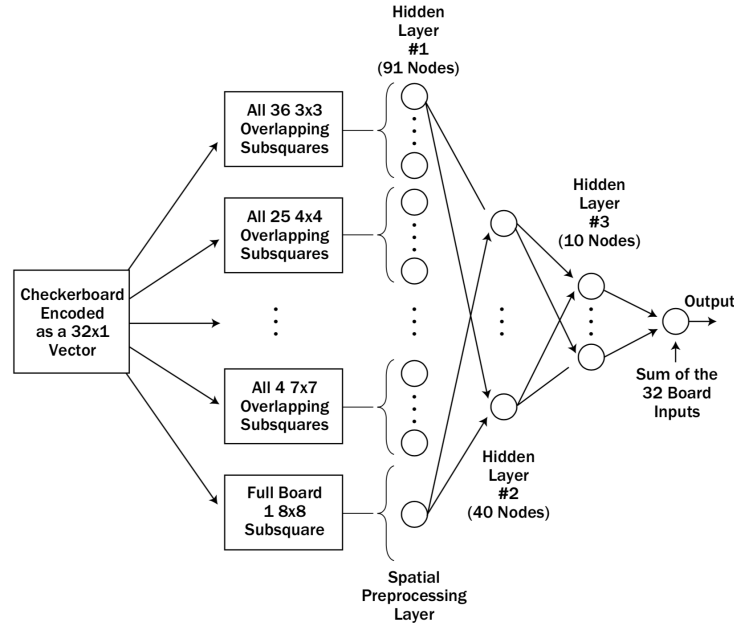


Figure 1: The chosen neural network model. Note that the checkerboard is preprocessed.

### .2 MiniMax Decision Making

The minimax algorithm revolves around the use of miniMax algorithm to determine the best move to make from a given position. The use of alpha-beta pruning will help to prune unnecessary calls.

The minimax method will have a search depth of 4ply (where the agent will search two moves ahead.) This will allow the agents to have a basic strategy where they can plan their moves in advance. There are inherent tradeoffs with having a higher ply count; where the asymptotic complexity is exponential; it being  $O(x^y)$  with  $x$  being the branching factor, and  $y$  being the depth. The branching factor will consist of the moves from each agent in a given game.

Once the initial system is ready, we can migrate to a hybrid Minimax and Monte-Carlo Tree Search proposed by Lorentz, which has shown to dramatically improve performance; especially in the case for games with especially large branching factors.

### .3 Tournament Method

The tournament algorithm follows the following pseudocode in Algorithm 1.

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#### Algorithm 1 My algorithm

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```

1: procedure MYPROCEDURE
2:    $stringlen \leftarrow \text{length of } string$ 
3:    $i \leftarrow patlen$ 
4: top:
5:   if  $i > stringlen$  then return false
6:    $j \leftarrow patlen$ 
7: loop:
8:   if  $string(i) = path(j)$  then
9:      $j \leftarrow j - 1.$ 
10:     $i \leftarrow i - 1.$ 
11:    goto loop.
12:  close;
13:   $i \leftarrow i + \max(delta_1(string(i)), delta_2(j)).$ 
14:  goto top.
```

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### .4 Genetic Algorithm

The genetic algorithm is the heart of the learning strategy of the system.

### .5 Population Generation

The initial population will consist of randomly generated weights and biases of the neural network, with values from -1,1 inclusive. For a population size of 15, the next generation is created using this strategy:

The top five agents from the generation (at the end of the tournament round) are selected for crossover. They will continue to play in the next generation. The next 8 players are generated in the following strategy:

The weights of the 1st and 2nd place agents are used as input to the crossover strategy and will generate 4 offsprings. Two are reciprocal crossover representations from the crossover, and the other two being directly mutated from the parents themselves. Another four children will be created using the same strategy, with the 2nd and 3rd agent's weights.

The remaining two will be direct mutations of the 4th and 5th place agents.

## .6 Coefficient Mutation

Each weight of the neural network will be incremented by a random value that is created using the following formula, where  $WeightP$  is the current weight, and  $K$  represents the number of weights and biases in the neural network:

$$WeightN = WeightP + \frac{1}{\sqrt{2 * \sqrt{K}}}$$

The weights, as explained earlier will have a hard cap of [-1, 1]. This would consequently mean that the mutation is not controlled, and dependent on the number of weights in the system; The more weights in the network implies a less significant mutation.

## .7 Crossover Strategy

Two offsprings are created per a pair of parents, with each offspring being the reciprocal crossover of each other. The weights of both parents (now each treated as a 1D array of coefficients), are divided contingent on the number of weights and biases for a given layer. Each layer should be treated separately to reduce the potential dependency on a purely randomly generated neural network. For each set of weights in a given layer, the following algorithm represents the crossover process:

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### Algorithm 2 Crossover Strategy

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```

1: procedure CROSSOVER
2:    $stringlen \leftarrow \text{length of } string$ 
3:    $i \leftarrow patlen$ 
4: top:
5:   if  $i > stringlen$  then return false
6:    $j \leftarrow patlen$ 
7: loop:
8:   if  $string(i) = path(j)$  then
9:      $j \leftarrow j - 1.$ 
10:     $i \leftarrow i - 1.$ 
11:   goto loop.
12:   close;
13:    $i \leftarrow i + \max(delta_1(string(i)), delta_2(j)).$ 
14:   goto top.

```

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## Testing and Evaluation

The combined GANN (Genetic Algorithm/Neural Networks) are evaluated under competition style conditions. At each generation, each agent plays 5 games, with their opponent being from randomly chosen from the generation pool. Point scores are measured by 2,0,-1 where 2 is

a win, 0 is a draw, and -1 is a loss. There is a hard cap of 50 moves, where the game is considered a draw if after the game hasn't ended after 50 moves from each player.

At the end of a given generation, we measure growth of performance using the champion of the generation. Presently we will use the mean of means approach. When a new champion is generated, it is played against the previous 5 champions from earlier generations. 6 games are played for each previous champion, with 3 being as Black, and 3 being White. A mean score is calculated from those 6 games. The overall performance of the current champion is the mean of the 5 sets of games. A positive improvement is when the mean of means are greater than 0.

Point Score for the champion games are measured by 1,0,-1 where a Win counts as 1 point and -1 for a loss. The weights are scaled differently to the regular tournament in order to accurately portray the difference between previous champions.

At the end of the generation run, the end player will be used to compete against human players on various online multiplayer checkers websites in order to determine an accurate ELO rating of the system.

## A *Figures and Tables*

In general, figures and tables should not appear before they are cited. Place figure captions below the figures; place table titles above the tables. If your figure has two parts, for example, include the labels “(a)” and “(b)” as part of the artwork. Please verify that figures and tables you mention in the text actually exist. make sure that all tables and figures are numbered as shown in Table 1 and Figure 1.

Table 1: UNITS FOR MAGNETIC PROPERTIES

Symbol	Quantity	Conversion from Gaussian
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## B *References*

The list of cited references should appear at the end of the report, ordered alphabetically by the surnames of the first authors. The default style for references cited in the main text is the Harvard (author, date) format. When citing a section in a book, please give the relevant page numbers, as in (Budgen 2003, p293). When citing, where there are either one or two authors, use the names, but if there are more than two, give the first one and use “et al.” as in , except where this would be ambiguous, in which case use all author names.

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