



CS 329P : Practical Machine Learning (2021 Fall)

Lecture 14 - Fairness

Qingqing Huang, Mu Li, Alex Smola

<https://c.d2l.ai/stanford-cs329p>

Outline



- **Examples**
- **Law**
- **Algorithmic Fairness**
 - Evaluating estimators
 - Fairness criteria
 - Impossibility results
- **In Practice**
 - Human evaluation
 - Poison needle in the haystack
 - Decisions, risk and estimates

Simson's Paradox



UC Berkeley Student Admission Rates (1973)

| | All | | Men | | Women | |
|-------|------------|----------|------------|----------|------------|----------|
| | Applicants | Admitted | Applicants | Admitted | Applicants | Admitted |
| Total | 12,763 | 41% | 8,442 | 44% | 4,321 | 35% |

Per Department

| Department | All | | Men | | Women | |
|------------|------------|----------|------------|------------|------------|------------|
| | Applicants | Admitted | Applicants | Admitted | Applicants | Admitted |
| A | 933 | 64% | 825 | 62% | 108 | 82% |
| B | 585 | 63% | 560 | 63% | 25 | 68% |
| C | 918 | 35% | 325 | 37% | 593 | 34% |
| D | 792 | 34% | 417 | 33% | 375 | 35% |
| E | 584 | 25% | 191 | 28% | 393 | 24% |
| F | 714 | 6% | 373 | 6% | 341 | 7% |
| Total | 4526 | 39% | 2691 | 45% | 1835 | 30% |



Bernard Parker, left, was rated high risk; Dylan Fugett was rated low risk. (Josh Ritchie for ProPublica)

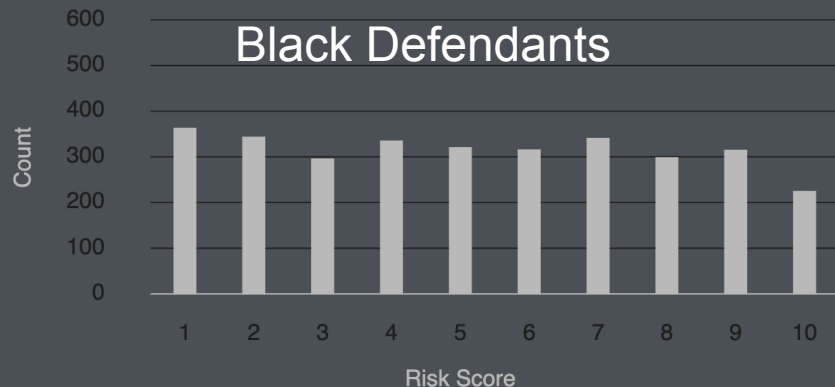
Machine Bias

There's software used across the country to predict future criminals. And it's biased against blacks.

by Julia Angwin, Jeff Larson, Surya Mattu and Lauren Kirchner, ProPublica

May 23, 2016

COMPAS Study (Pro Publica, 2016)



Prediction Fails Differently for Black Defendants

| | WHITE | AFRICAN AMERICAN |
|---|-------|------------------|
| Labeled Higher Risk, But Didn't Re-Offend | 23.5% | 44.9% |
| Labeled Lower Risk, Yet Did Re-Offend | 47.7% | 28.0% |

Overall, Northpointe's assessment tool correctly predicts recidivism 61 percent of the time. But blacks are almost twice as likely as whites to be labeled a higher risk but not actually re-offend. It makes the opposite mistake among whites: They are much more likely than blacks to be labeled lower risk but go on to commit other crimes. (Source: ProPublica analysis of data from Broward County, Fla.)

Bias in Online Advertising?



Lambrecht & Tucker ([ftc.gov](https://www.ftc.gov))

Key idea - show ad and check for male/female bias

Table: Raw Data Reported as an Average per Country



STEM Careers
Information about STEM Careers

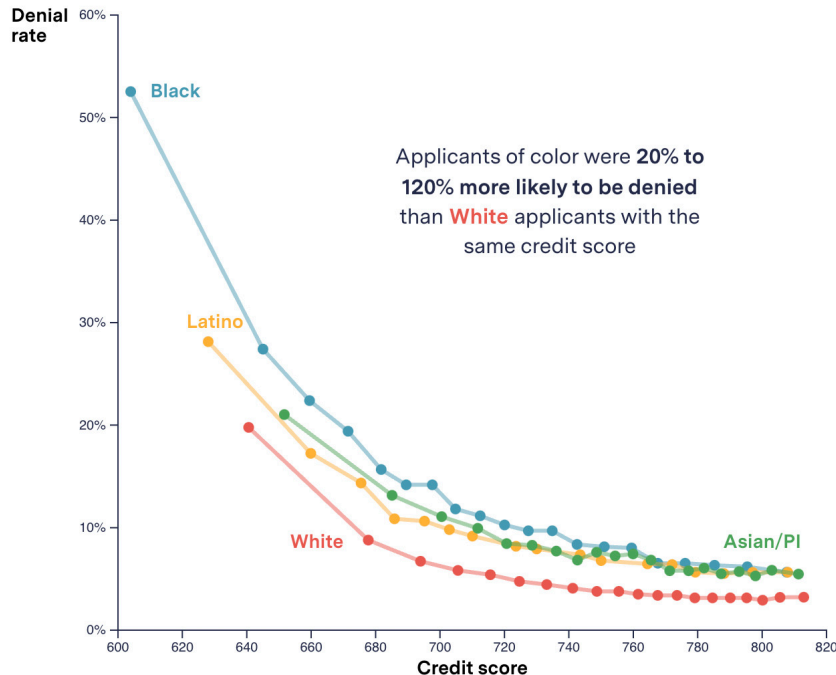
| Age Group | Male Impr. | Female Impr. | Male Clicks | Female Clicks |
|-----------|------------|--------------|-------------|---------------|
| Age18-24 | 3909 | 3401 | 6 | 6 |
| Age25-34 | 3471 | 2597 | 5 | 4 |
| Age35-44 | 2159 | 1485 | 3 | 3 |
| Age45-54 | 1611 | 1177 | 2 | 2 |
| Age55-64 | 1097 | 924 | 2 | 2 |
| Age 65+ | 1007 | 808 | 2 | 2 |

Really?!?

Really, this paper doesn't need any complex analysis

Bias in Lending?

Martinez & Kirchner, 2021

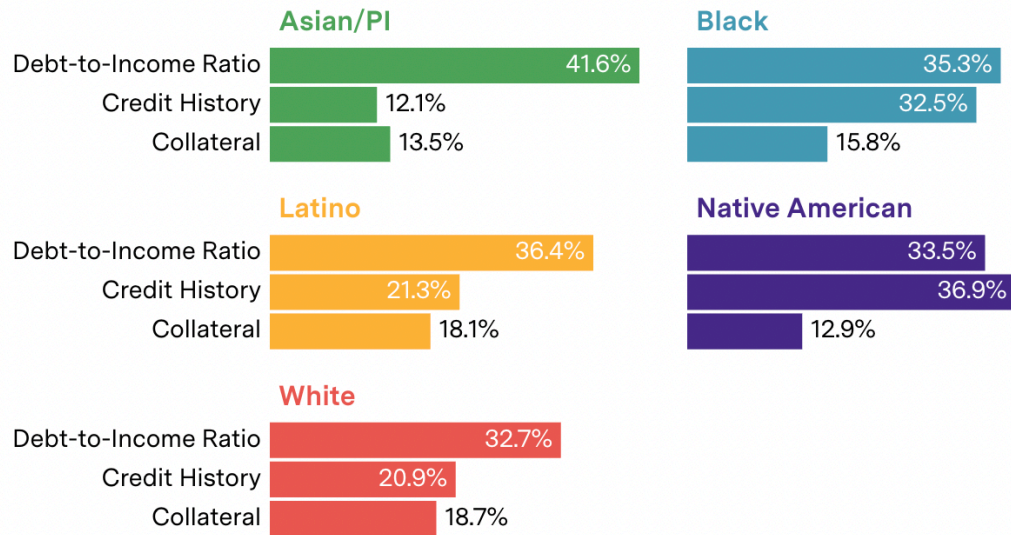


Applicants of color denied at higher rates

To illustrate the odds of denial that our analysis revealed, we calculated how many people of each race/ethnic group would likely be denied if 100 similarly qualified

Debt ratio was the most common reason for denial

Percent of loan denials by race/ethnicity and reason



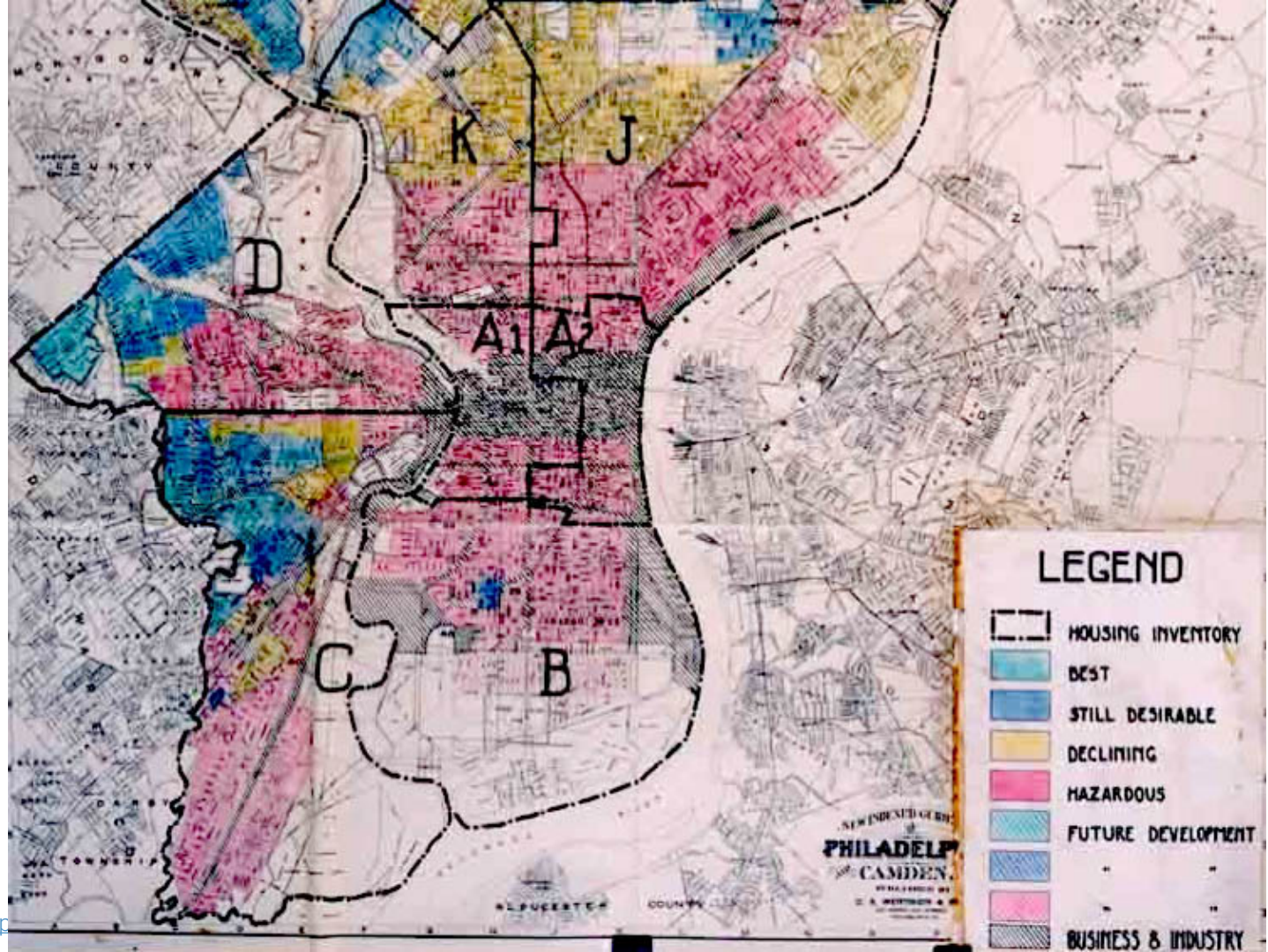
Source: 2019 HMDA data

<https://themarkup.org/denied/2021/08/25/the-secret-bias-hidden-in-mortgage-approval-algorithms>

Redlining

Location based
credit approval
in the 1930s

Discrimination
against
minorities



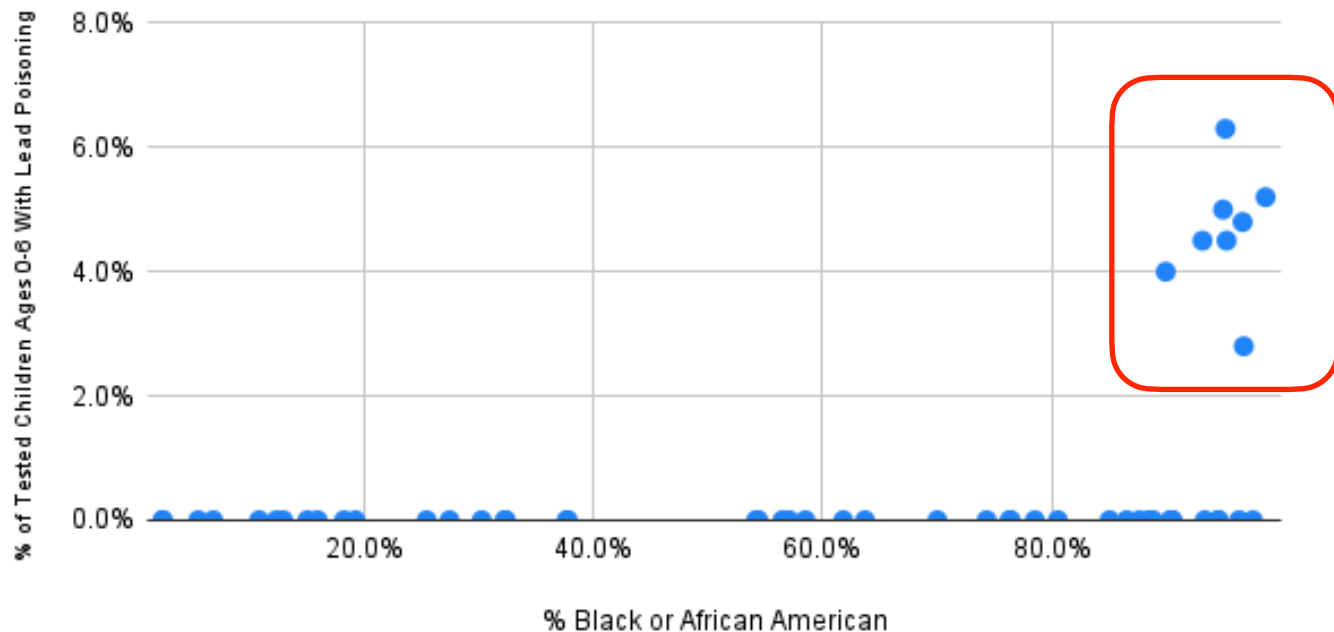
Redlining effects 90 years later



Location based
credit approval
in the 1930s

Discrimination
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minorities

Lead Poisoning and Black or African American Population for
Community Statistical Area in 2017 (Baltimore City Health Department)





Bias and lack of fairness
can harm people for a century!

We owe it to everyone to be mindful!

Reading Material



- Corbett Davis & Goel - ICML 2019 tutorial
<https://policylab.stanford.edu/projects/defining-and-designing-fair-algorithms.html>
- Hutchinson & Mitchell, 2018 (50 years fairness review)
<https://arxiv.org/abs/1811.10104>
- Fazelpour & Lipton, 2020 (Non-ideal perspective)
<https://arxiv.org/abs/2001.09773>
- Wachter, Mittelstadt & Russell, 2020 (Why fairness cannot be automated)
<https://arxiv.org/abs/2005.05906>