## 计算机操作系统

**Operating Systems** 

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March, 2014

# 第2章 进程管理

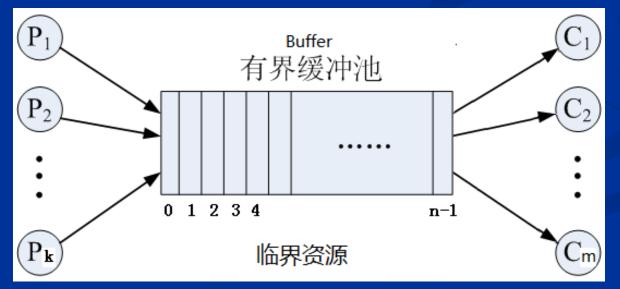
## 2.4 经典进程同步问题

### 2.4.1 生产者-消费者问题

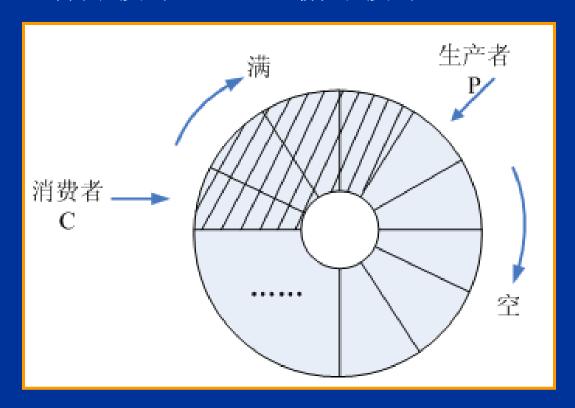
(1) 生产者消费者问题

多个生产者、多个消费者通过共享含n个缓冲区的缓冲池Buffer协作。其中生产者负责生产数据并投入缓冲池,消费者从缓冲池中取数据消费,生产者和消费者,每次生产/消费1个数据,要求每个数据必须且只被消费一次。缓冲池为临界资源。

请用记录型信号量进行同步。

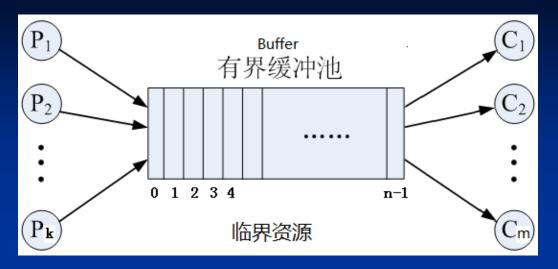


#### ■有界缓冲池 --> 循环缓冲区



- ■循环队列
- □队列数据结构
- □入队操作
- □出队操作

#### ■进程资源共享关系和同步关系分析



- ■生产者进程: 空缓冲区资源
- ■消费者进程:满缓冲区资源

```
VAR
     in,out: integer := 0, 0;
     Buffer: array [0..n-1] of tem;
Parbegin
  Producer:
                                  数据结构
  begin
       repeat
         produce an item in nextp;
         Buffer(in) := nextp;
         in := (in + 1) \mod n
      until false
                                     入队
  end
Consumer:
                                     出队
begin
    repeat
      nextc = Buffer(out);
      out := (out + 1) \mod n;
      consume the item nextc;
    until flase
end
Parend
```

```
VAR empty, full: semaphore := n, 0;
     in, out: integer := 0, 0;
     Buffer: array [0..n-1] of item;
Parbegin
  Producer:
  begin
       repeat
          produce an item in nextp;
          Buffer(in) := nextp ;
          in := \overline{(in + 1) \mod n};
      until false
  end
Consumer:
begin
    repeat
      nextc = Buffer(out);
      out := (out + 1) \mod n;
       consume the item nextc;
    until flase
end
Parend
```

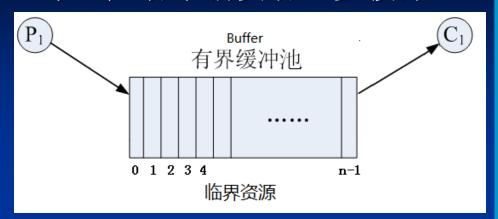
```
VAR mutex, empty, full: semaphore := 1, n, 0;
     in, out: integer := 0, 0;
     Buffer: array [0..n-1] of item;
Parbegin
  Producer:
  begin
       repeat
         produce an item in nextp;
         Buffer(in) := nextp;
         in := (in + 1) \mod n;
      until false
  end
Consumer:
begin
    repeat
      nextc = Buffer(out);
      out := (out + 1) \mod n;
      consume the item nextc;
    until false
end
```

**Parend** 

#### (2) 问题思考

- 单生产者单消费者、多缓冲时
- ■多生产者多消费者、单缓冲时
- 单生产者单消费者、单缓冲时
- 允许生产者写时,消费者可读
- 当缓冲区无限大;
  - ■每个消息都要每个消费者消费1次
- 调整生产者wait顺序;
- 调整消费者wait顺序;
- 调整signal顺序;

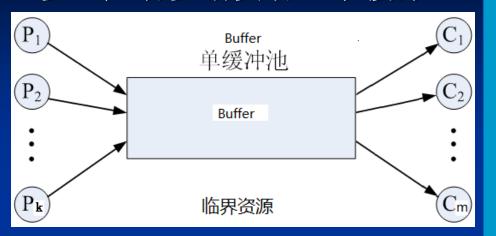
单生产者单消费者、多缓冲



#### ■生产者-消费者标准程序

```
VAR mutex, empty, full: semaphore := 1, n, 0;
     in, out: integer := 0, 0;
     Buffer: array [0..n-1] of item;
Parbegin
 Producer:
 begin
   repeat
      produce an item in nextp;
      Buffer(in) := nextp ;
       in := (in + 1) \mod n;
   until false
 end
 Consumer:
 begin
    repeat
      nextc = Buffer(out);
      out := (out + 1) \mod n;
      consume the item nextc;
    until flase
 end
Parend
```

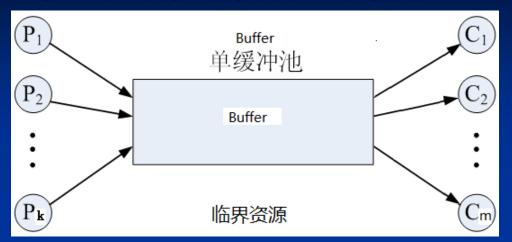
■多生产者多消费者、单缓冲



#### 生产者-消费者标准程序

```
VAR mutex, empty, full: semaphore := 1, n, 0;
     in, out: integer := 0, 0;
     Buffer: array [0..n-1] of item;
Parbegin
 Producer:
 begin
   repeat
      produce an item in nextp;
      Buffer(in) := nextp;
      in := (in + 1) \mod n;
   until false
 end
 Consumer:
 begin
    repeat
      nextc = Buffer(out);
      out := (out + 1) \mod n;
      consume the item nextc;
    until flase
 end
Parend
```

多生产者多消费者、单缓冲



```
VAR empty, full: semaphore := 1, 0;
     in, out: integer := 0, 0;
     Buffer: array [0..n-1] of item;
Parbegin
  Producer:
  begin
       repeat
         produce an item in nextp;
         Buffer(in) := nextp ;
         in := \overline{(in + 1) \mod n};
      until false
  end
Consumer:
begin
    repeat
      nextc = Buffer(out);
      out := (out + 1) \mod n;
      consume the item nextc;
    until flase
end
Parend
```

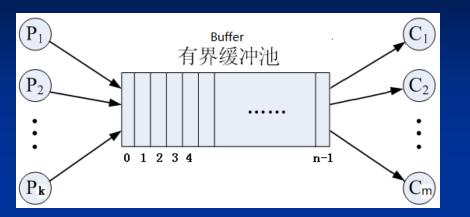
単生产者单消费者、单缓冲



#### ■ 同例3

```
VAR empty, full: semaphore := 1, 0;
     in, out: integer := 0, 0;
     Buffer: array [0..n-1] of item;
Parbegin
  Producer:
  begin
       repeat
         produce an item in nextp;
        Buffer(in) := nextp;
         in := (in + 1) \mod n;
      until false
  end
Consumer:
begin
    repeat
      nextc = Buffer(out);
      out := (out + 1) \mod n;
      consume the item nextc;
    until flase
end
Parend
```

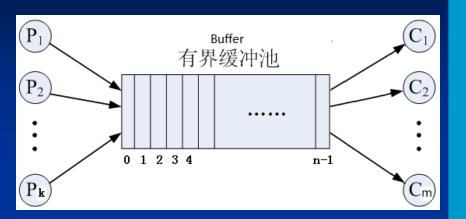
□ 允许生产者写时,消费者可读



#### 生产者-消费者标准程序

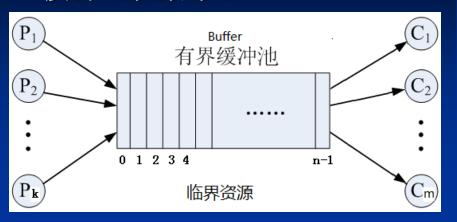
```
VAR mutex, empty, full: semaphore := 1, n, 0;
     in, out: integer := 0, 0;
     Buffer: array [0..n-1] of item;
Parbegin
 Producer:
 begin
   repeat
      produce an item in nextp;
      Buffer(in) := nextp;
       in := \overline{(in + 1) \mod n};
   until false
 end
 Consumer:
 begin
    repeat
      nextc = Buffer(out);
      out := (out + 1) \mod n;
      consume the item nextc;
    until flase
 end
Parend
```

允许生产者写时,消费者可读



```
VAR mutexC, mutexP, empty, full: semaphore := 1,1, n, 0;
     in, out: integer := 0, 0;
     Buffer: array [0..n-1] of item;
Parbegin
  Producer:
  begin
       repeat
         produce an item in nextp;
         Buffer(in) := nextp ;
         in := (in + 1) \mod n;
      until false
  end
Consumer:
begin
    repeat
      nextc = Buffer(out);
      out := (out + 1) \mod n;
      consume the item nextc;
    until false
end
Parend
```

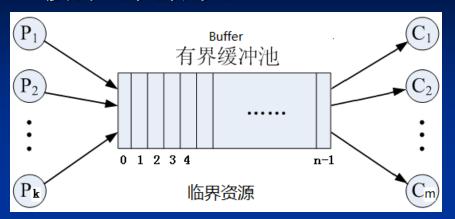
#### ■缓冲池无限大



#### |生产者-消费者标准程序

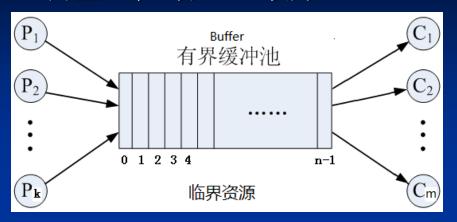
```
VAR mutex, empty, full: semaphore := 1, n, 0;
     in, out: integer := 0, 0;
     Buffer: array [0..n-1] of item;
Parbegin
 Producer:
 begin
   repeat
      produce an item in nextp;
      Buffer(in) := nextp ;
      in := (in + 1) \mod n;
   until false
end
 Consumer:
 begin
    repeat
      nextc = Buffer(out);
      out := (out + 1) \mod n;
      consume the item nextc;
    until flase
 end
Parend
```

#### ■ 缓冲池无限大



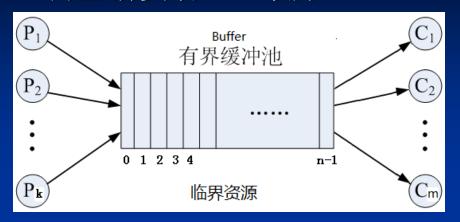
```
VAR mutex, full: semaphore := 1, 0;
     in, out: integer := 0, 0;
     Buffer: array [0..n-1] of item;
Parbegin
  Producer:
  begin
    repeat
     produce an item in nextp;
     Buffer(in) := nextp;
     in := (in + 1) \mod n;
    until false
  end
  Consumer:
  begin
    repeat
      nextc = Buffer(out);
      out := (out + 1) \mod n;
      consume the item nextc;
    until flase
  end
Parend
```

### ■ 调整生产者wait顺序



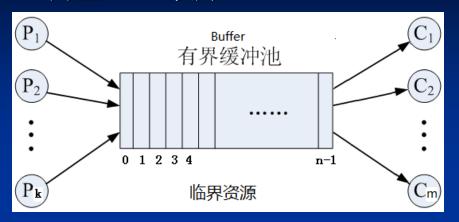
```
VAR mutex, empty, full: semaphore := 1, n, 0;
     in, out: integer := 0, 0;
     Buffer: array [0..n-1] of item;
Parbegin
  Producer:
  begin
   repeat
      produce an item in nextp;
      wait( mutex );
      wait( empty );
      Buffer(in) := nextp ;
       in := (in + 1) \mod n;
    until false
  end
  Consumer:
  begin
    repeat
      nextc = Buffer(out);
      out := (out + 1) \mod n;
      consume the item nextc;
    until false
  end
Parend
```

#### ■ 调整消费者wait顺序



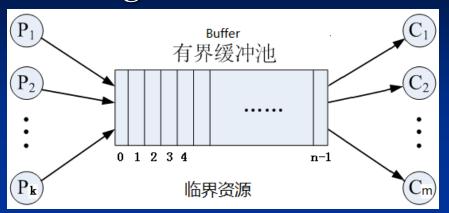
```
VAR mutex, empty, full: semaphore := 1, n, 0;
     in, out: integer := 0, 0;
     Buffer: array [0..n-1] of item;
Parbegin
 Producer:
 begin
  repeat
    produce an item in nextp;
     Buffer(in) := nextp;
     in := (in + 1) \mod n;
   until false
 end
 Consumer:
 begin
    repeat
      wait(full);
      nextc = Buffer(out);
      out := (out + 1) \mod n;
      consume the item nextc;
    until flase
 end
Parend
```

# (2) 问题思考 ■ 调整wait顺序



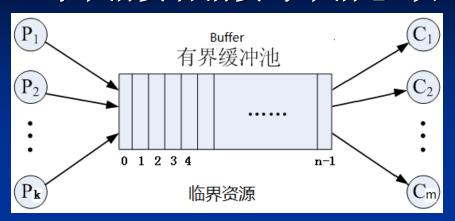
```
VAR mutex, empty, full: semaphore := 1, n, 0;
     in, out: integer := 0, 0;
     Buffer: array [0..n-1] of item;
Parbegin
 Producer:
 begin
   repeat
     produce an item in nextp;
      Buffer(in) := nextp;
      in := (in + 1) \mod n;
    until false
 end
 Consumer:
 begin
    repeat
      nextc = Buffer(out);
      out := (out + 1) \mod n;
      consume the item nextc;
    until flase
 end
Parend
```

# (2) 问题思考 ■ 调整signal顺序



```
VAR mutex, empty, full: semaphore := 1, n, 0;
     in, out: integer := 0, 0;
     Buffer: array [0..n-1] of item;
Parbegin
 Producer:
 begin
    repeat
      produce an item in nextp;
       Buffer(in) := nextp ;
       in := (in + 1) \mod n;
    until false
  end
  Consumer:
  begin
    repeat
       nextc = Buffer(out);
       out := (out + 1) \mod n;
       consume the item nextc;
    until false
   end
Parend
```

■ 每个消费者消费每个消息1次



■ 如何实现? 留给大家课后解决

#### ■生产者-消费者标准程序

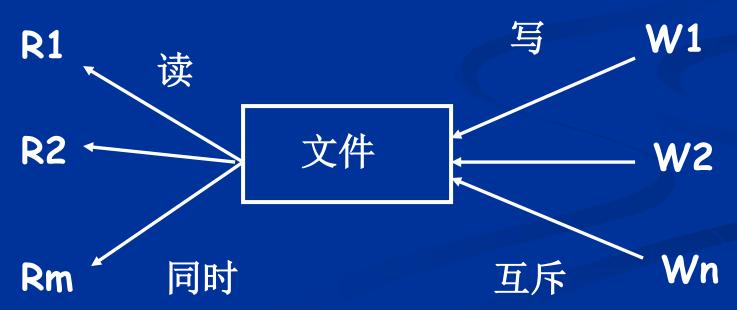
```
VAR mutex, empty, full: semaphore := 1, n, 0;
     in, out: integer := 0, 0;
     Buffer: array [0..n-1] of item;
Parbegin
 Producer:
 begin
   repeat
      produce an item in nextp;
      Buffer(in) := nextp;
      in := (in + 1) \mod n;
   until false
 end
 Consumer:
 begin
    repeat
      nextc = Buffer(out);
      out := (out + 1) \mod n;
      consume the item nextc;
    until flase
 end
Parend
```

## 2.4 经典进程同步问题

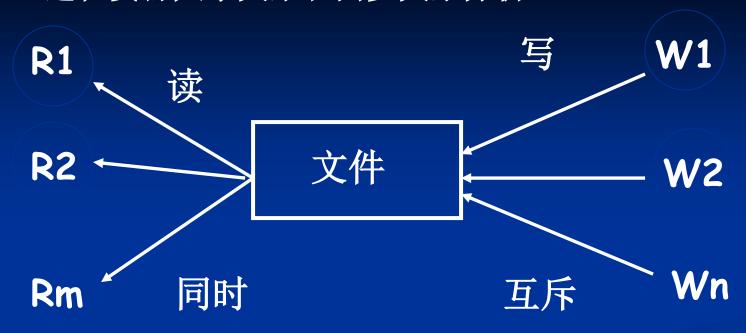
### 2.4.2 读者-写者问题

#### (1) 读者写者问题

多个读者、多个写者共享资源(例如文件、数据等),允许多个读者同时访问资源,写者写时不允许其他进程读或写(互斥访问资源)。请用记录型信号量进行同步。



#### ■进程资源共享关系和同步关系分析



- ■读者进程:不控制
- ■写者进程: 互斥
- ■读者写者顺序:无

#### ■读者-写者并发程序实现

```
VAR wmutex: semaphore := 1;
Parbegin
 Reader:
begin
   repeat
      perform read operation;
    until false
 end
 Writer:
 begin
    repeat
      perform write operation;
    until false
 end
Parend
```

#### ■ 什么问题?

#### ■读者-写者并发程序实现

```
VAR wmutex: semaphore := 1;
     readcount: integer := 0;
Parbegin
 Reader:
 begin
   repeat
      perform read operation;
    until false
end
 Writer:
 begin
    repeat
      perform write operation;
    until flase
 end
Parend
```

#### ■ 什么问题?

#### ■读者-写者并发程序实现

```
VAR wmutex: semaphore := 1;
     readcount: integer := 0;
Parbegin
 Reader:
 begin
   repeat
      perform read operation;
    until false
 end
 Writer:
 begin
   repeat
      perform write operation;
   until flase
 end
Parend
```

#### 读者-写者标准程序

```
VAR rmutex, wmutex: semaphore := 1, 1;
     readcount: integer := 0;
Parbegin
 Reader:
 begin
   repeat
      perform read operation;
    until false
 end
 Writer:
 begin
    repeat
      perform write operation;
    until flase
 end
Parend
```

#### 读者-写者标准程序

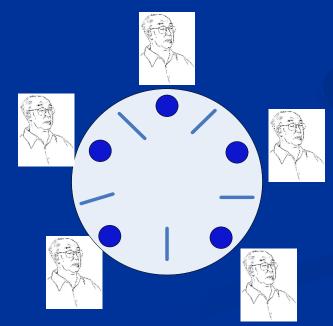
```
VAR rmutex, wmutex: semaphore := 1, 1;
     readcount: integer := 0;
Parbegin
 Reader:
 begin
   repeat
      perform read operation;
    until false
 end
 Writer:
 begin
    repeat
      perform write operation;
    until false
 end
Parend
```

- (2) 标准程序的2个问题
- □执行wait和signal的进程
- □临界区设置

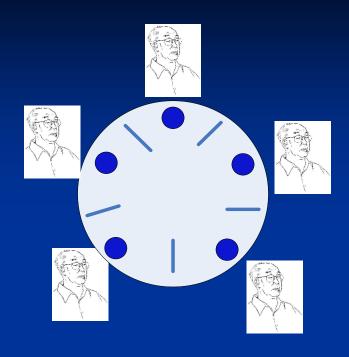
# 2.4 经典进程同步问题 2.4.3 哲学家进餐问题

#### (1) 哲学家进餐问题

5位哲学家围绕圆桌而坐,反复思考和进餐。但是只有5只碗和筷 子,放置如图所示,只有当哲学家同时拿起碗边的2只筷子时, 才能进餐。请用记录型信号量进行同步。

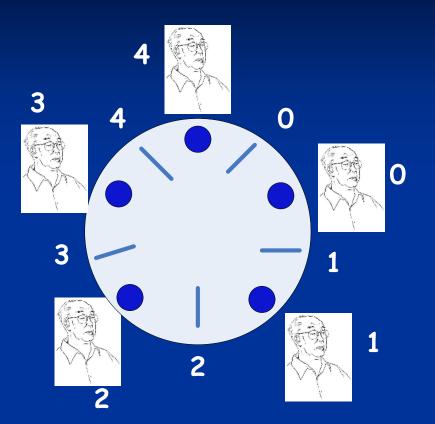


■进程资源共享关系和同步关系分析



■哲学家进程: 互斥(筷子)

#### ■哲学家进餐问题实现

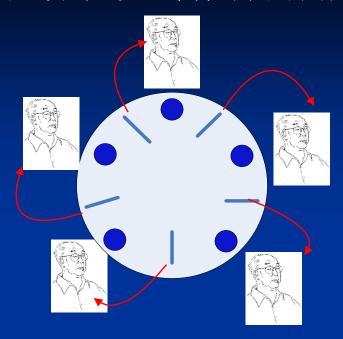


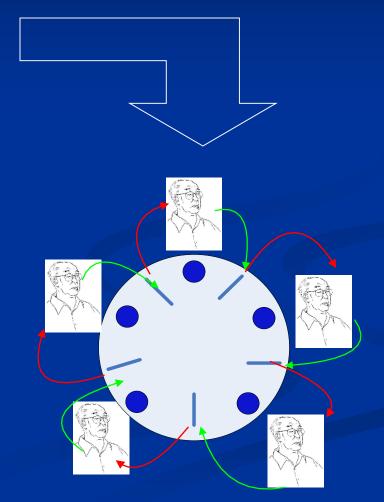
```
VAR chopsticks: array [0..4] of semaphore := 1,1,1,1,1;

Parbegin
philosopheri:
begin
repeat
wait(chopsticks[i]);
wait(chopsticks[i]);
eat;
signal(chopsticks[i]);
signal(chopsticks[i]);
think
until false
end
Parend
```

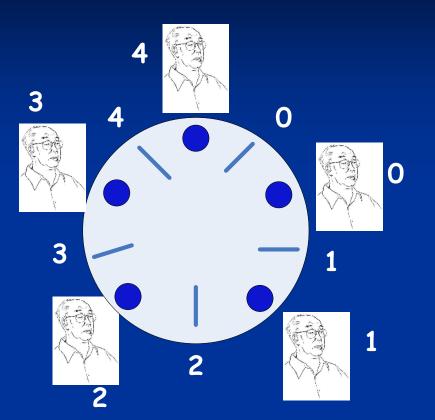
■问题:正确吗?

### (2) 哲学家进餐程序的问题





#### ■哲学家进餐标准程序



```
VAR chopsticks: array [0..4] of semaphore := 1,1,1,1,1;
Parbegin
  philosopher 0-3:
  begin
     repeat
        eat;
       think
     until false
  end
   philosopher4:
  begin
     repeat
       eat;
       think
     until false
  end
Parend
```

# 

#### (1) 睡眠理发师问题

理发店有一位理发师,一把理发椅和n把用来等候理发的椅子。如果没有顾客,则理发师便在理发椅上睡觉,顾客到来时,如理发师闲则理发,否则如有空椅则坐等,没有空椅则离开,编写程序实现理发师和顾客程序,实现进程控制。



# 2.4 经典进程同步问题 2.4.5 信号量集机制

- (1) AND型信号量集机制
- 基本思想:
  - 口将进程在整个运行过程中需要的所有资源,一次性全 部地分配给进程,待进程使用完后再一起释放。
  - □对若干个临界资源的分配,采取原子操作方式要么全 部分配到进程,要么一个也不分配。
  - 口为此,在wait操作中,增加了一个"AND"条件,故称为AND同步。

- (1) AND型信号量集机制
- 实现:

```
type semaphore = record
   value: integer;
                      L: list of process; // 阻塞进程队列
end
function Swait (var S<sub>1</sub>,S<sub>2</sub>,..,S<sub>n</sub> : Semaphore )
Begin
  if (S_1 >= 1 \text{ and } ... \text{ and } S_n >= 1)
     for i := 1 to n do
        S_i := S_i - 1;
  else
     Place the process in the queue associated with the first Si found with S_i < 1, and
     goto the beginning of Swait.
end
function Ssignal(var S<sub>1</sub>, S<sub>2</sub>, .., S<sub>n</sub> : Semaphore )
Begin
  for i:=1 to n do
    S_i := S_i + 1
     Remove all process waiting in the queue associated with S<sub>i</sub> into the ready queue.
end
```

- (1) AND型信号量集机制
- 应用示例1: 生产者消费者问题

```
VAR mutex, empty, full: semaphore := 1, n, 0;
     in, out: integer := 0, 0;
     Buffer: array [0..n-1] of item;
Parbegin
Producer:
 begin
  repeat
    produce an item in nextp;
    Buffer(in) := nextp ;
    in := \overline{(in + 1) \mod n};
   until false
 end
 Consumer:
 begin
    repeat
      nextc = Buffer(out);
      out := (out + 1) \mod n;
      consume the item nextc;
    until false
 end
Parend
```

- (1) AND型信号量集机制
- 应用示例2: 哲学家进餐问题

```
VAR chopsticks: array [0..4] of semaphore := 1,1,1,1,1;

Parbegin
philosopheri:
begin
repeat
Swait(chopsticks[i], chopsticks[(i+1) mod 5]);
eat;
Ssignal(chopsticks[i], chopsticks[(i+1) mod 5]);
think
until false
end
Parend
```

# 2.4 经典进程同步问题 2.4.5 信号量集机制

- - (2) 一般信号量集机制
  - 基本思想:
    - 口在AND型信号量基础上,一次可申请多个单位资源。

- (2) 一般信号量集机制
- 实现:

```
type semaphore = record
   value: integer;
                        L: list of process; // 阻塞进程队列
end
function Swait (var S<sub>1</sub>,t<sub>1</sub>,d<sub>1</sub>,S<sub>2</sub>,t<sub>2</sub>,d<sub>2</sub>..,S<sub>n</sub>,t<sub>n</sub>,d<sub>n</sub> : Semaphore )
Begin
  if (S_1 >= t_1 \text{ and } ... \text{ and } S_n >= t_n)
     for i := 1 to n do
        S_i := S_i - d_i;
   else
      Place the process in the queue associated with the first S_i found with S_i < t_i, and
      goto the beginning of Swait.
end
function Ssignal(var S_1, d_1, S_2, d_2, ..., S_n, d_n: Semaphore)
Begin
   for i:=1 to n do
     S_i := S_i + d_i
     Remove all process waiting in the queue associated with S<sub>i</sub> into the ready queue.
end
```

- (2) 一般信号量集机制
- 应用实例: 读者写者问题

RN: 允许读者进程上限

```
VAR RN: integer;
     L,mutex: semaphore := RN, 1;
Parbegin
 Reader:
 begin
   repeat
      perform read operation;
    until false
 end
 Writer:
 begin
   repeat
      perform write operation;
   until false
 end
Parend
```

□问题分析 可否再简化程序?