

***The lecture will be started at 5:05PM sharply!***

**COMP5046**

# **Natural Language Processing**

*Lecture 7: Dependency Parsing*

**Dr. Caren Han**

Semester 1, 2022

School of Computer Science,  
University of Sydney



# 0 The course topics

## What will you learn in this course?

- Week 1: Introduction to Natural Language Processing (NLP)
- Week 2: Word Embeddings (Word Vector for Meaning)
- Week 3: Word Classification with Machine Learning I
- Week 4: Word Classification with Machine Learning II

NLP and  
Machine  
Learning

- Week 5: Language Fundamental
- Week 6: Part of Speech Tagging
- Week 7: Dependency Parsing**
- Week 8: Language Model and Natural Language Generation

NLP  
Techniques

- Week 9: Information Extraction: Named Entity Recognition
- Week 10: Advanced NLP: Attention and Reading Comprehension
- Week 11: Advanced NLP: Transformer and Machine Translation
- Week 12: Advanced NLP: Pretrained Model in NLP

Advanced  
Topic

- Week 13: Future of NLP and Exam Review

# 0 LECTURE PLAN

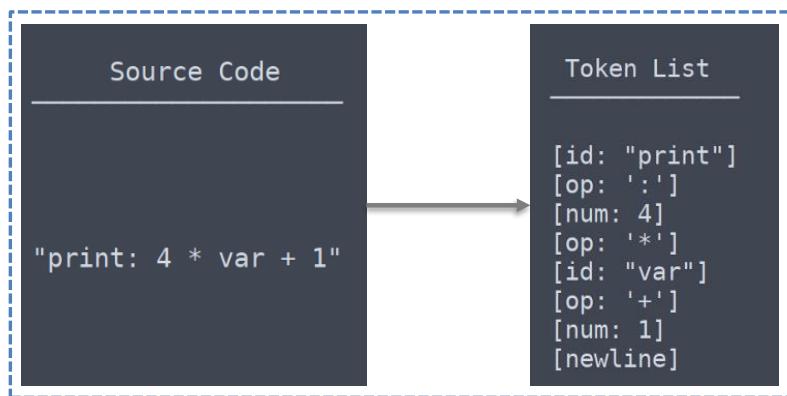
## Lecture 7: Parsing

1. Linguistic Structure
2. Dependency Structure
3. Dependency Parsing Algorithms
4. Transition-based Dependency Parsing
5. Deep Learning-based Dependency Parsing

# 1 Linguistic Structure

## Computer Language

tokenization of a function

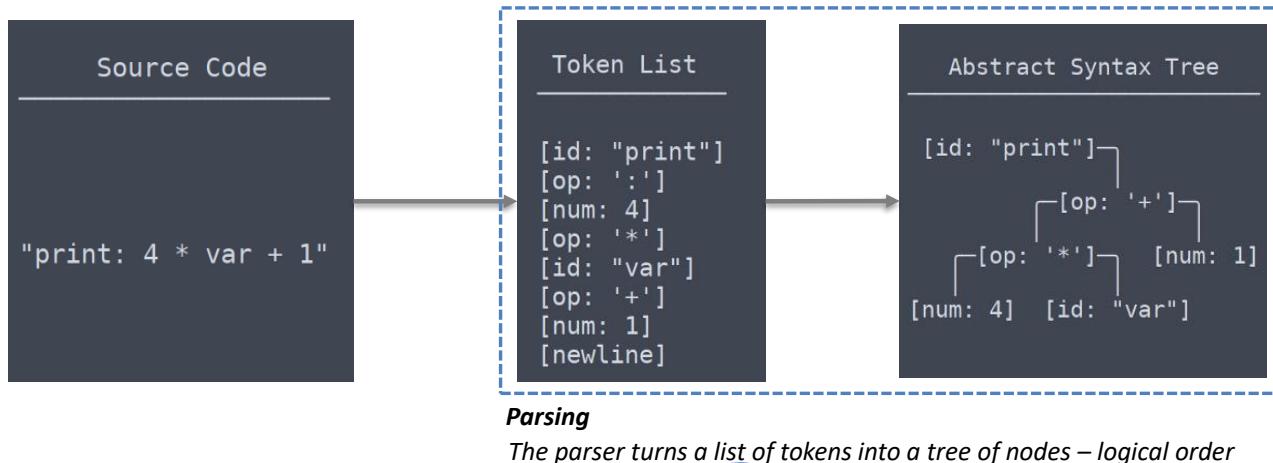


### ***Tokenisation***

A token can be a variable or function name, an operator or a number.

# 1 Linguistic Structure

## Parsing Computer Language



*Can we apply this in a human (natural) language?*

# 1 Linguistic Structure

## Parsing Natural Language (Human Language)

*Q: Can we apply this in a human (natural) language?*

*A: Possible! But it is much more difficult than parsing computer language!*

*Why?*

- *No types for words*
- *No brackets around phrases*
- *Ambiguity!*

*eg I love NLP*

*we don't naturally do this!*

*I noun*

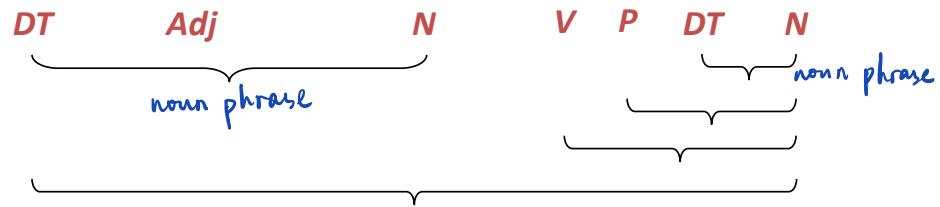
*love verb*

# 1 Linguistic Structure

## Natural Language: Linguistic Structure

*Let's try to categorise the given words (Part of Speech Tags)*

*The expensive computer is on the table*



However, Language is **more than just a “bag of words”**.

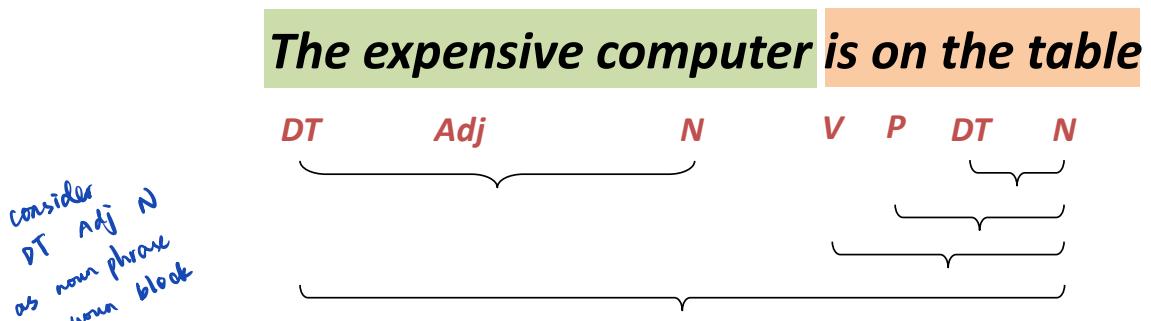
Grammatical rules apply to combine:

- words **into phrases**
- phrases into bigger phrases

# 1 Linguistic Structure

## Natural Language: Linguistic Structure

*Let's try to categorise the given words (Part of Speech Tags)*



However, Language is **more than just a “bag of words”**.

Grammatical rules apply to combine:

- words **into phrases**
- phrases into bigger phrases

例句

**Example:** a sentence includes **a subject** and **a predicate**.

The **subject** is noun phrase and the **predicate** is a verb phrases.

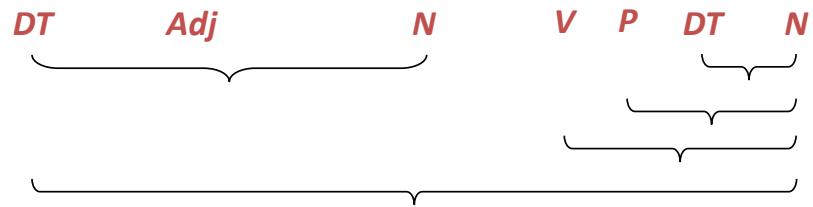
# 1 Linguistic Structure

## Natural Language: Linguistic Structure

**Phrase Structure Grammar = Context-free Grammar (CFG)**

group word into phrase  
different type of phrase will come with structure  
not care about context or meaning

The expensive computer is on the table



However, Language is **more than just a “bag of words”**.

Grammatical rules apply to combine:

- words **into phrases**
- phrases into bigger phrases

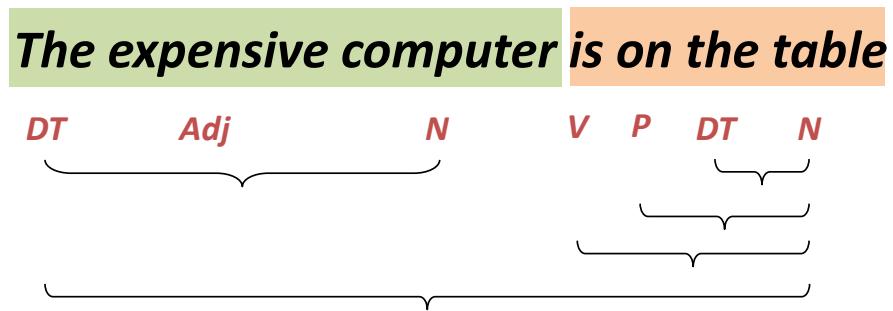
**Example:** a sentence includes **a subject** and **a predicate**.

The **subject** is noun phrase and the **predicate** is a verb phrases.

# 1 Linguistic Structure

## Natural Language: Linguistic Structure

*Phrase Structure Grammar = Context-free Grammar (CFG)*



However, Language is **more than just a “bag of words”**.

Grammatical rules apply to combine:

- words **into phrases**
- phrases into bigger phrases

### Parsing

- Associating tree structures to a sentence, given a grammar  
(Context Free Grammar or Dependency Grammar)  
*will talk about this soon!*

# 1 Linguistic Structure

## Parsing Natural Language (Human Language)

*Q: Can we apply this in a human (natural) language?*

*A: Possible! But it is much more difficult than parsing computer language!*

*Why?*

- *No **types** for words*
- *No **brackets** around phrases*
- **Ambiguity!**

# 1 Linguistic Structure

## Syntactic Ambiguities

***Grammars are declarative***

- *They don't specify how the parse tree will be constructed*

***Ambiguity***

1. *Prepositional Phrase (PP) attachment ambiguity*
2. *Coordination Scope*
3. *Gaps*
4. *Particles or Prepositions*
5. *Gerund or adjective*

*There are many more ambiguities...*

# 1 Linguistic Structure

## Syntactic Ambiguities – PP attachment Ambiguity

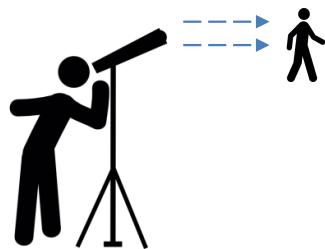
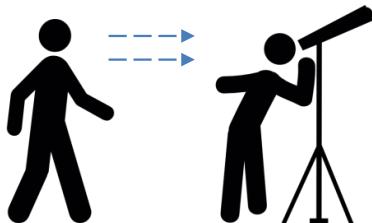
where is this part belonging to ?

*I saw the man with the telescope*

I - with the telescope  
or  
man - with the telescope

*I saw the man with the telescope*

*I saw the man with the telescope*



# 1 Linguistic Structure

## Syntactic Ambiguities – PP attachment Ambiguity Multiply

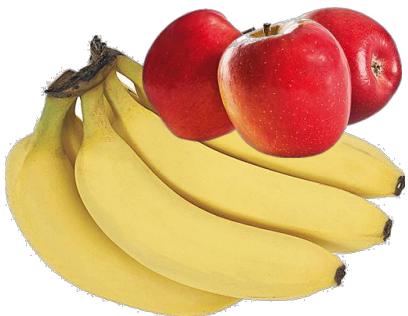
- A key parsing decision is how we ‘attach’ various constituents
  - *PPs, adverbial or participial phrases, infinitives, coordinations*



# 1 Linguistic Structure

## Syntactic Ambiguities – Coordination Scope Ambiguity

*I ate red apples and bananas*



red apple only?

red apple & red bananas. ?

- best solution?  
① context  
② common sense  
domain knowledge



# 1 Linguistic Structure

## Syntactic Ambiguities - Gaps

subject - she?  
dog?

*She never saw a dog and did not smile*



# 1 Linguistic Structure

## Syntactic Ambiguities – Particles or Prepositions

- Some verbs are followed by adverb particles.  
(e.g. *put on*, *take off*, *give away*, *bring up*, *call in*)

*She ran up a large bill*  
*adverb particle*

*She ran up a large hill*

*Difference between an **adverb particle** and a **preposition**.*

- the **particle** is closely tied to its **verb** to form idiomatic expressions.*
- the **preposition** is closely tied to the **noun** or pronoun it modifies.*

# 1 Linguistic Structure

语法项目

## Syntactic Ambiguities – Gerund or Adjective

Dancing shoes can provide nice experience



*Gerund*

*the shoe is dancing*



*Adjective*

# 1 Linguistic Structure

## When and Where do we use Parsing?

句法分析

**Syntactic Analysis** checks whether the generated tokens form a meaningful expression

- Humans communicate complex ideas by composing words together into bigger units to convey complex meanings
  - We need to understand sentence structure in order to be able to interpret language correctly
- 
- Grammar Checking
  - Question Answering
  - Machine Translation
  - Information Extraction
  - Text Classification
  - Chatbot
  - ... and many others

# 1 Linguistic Structure

## Two main views of linguistic structure

try to use phrase (context-free)

### **Constituency Grammar** (a.k.a context-free grammar, phrase structure grammar)

- Noam Chomsky (1928 -) syntactic.
- Immediate constituent analysis
- Insists on classification and distribution

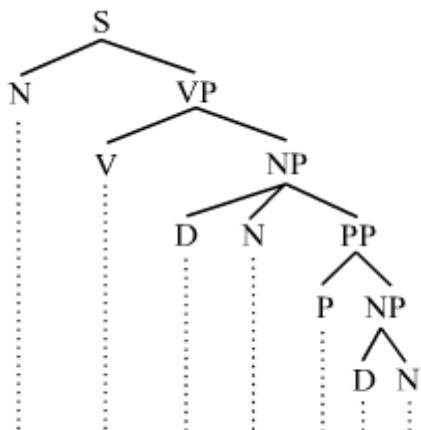
### **Dependency Grammar**

- Lucien Tesnière (1893 – 1954)
- Functional dependency relations semantic.
- Emphasises the relations between syntactic units, thus adding meaningful links (semantics)

# 1 Linguistic Structure

## Two main views of linguistic structure

### Constituency Parsing

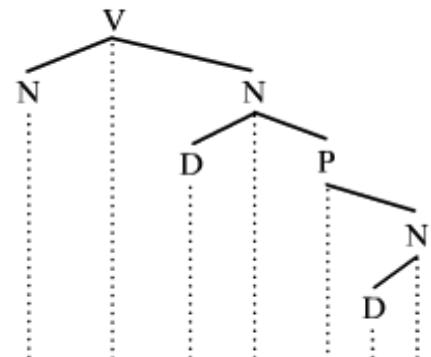


a. They killed the man with a gun.

### Constituency grammars

*One-to-one-or-more correspondence.* For every word in a sentence, there is at least one node in the syntactic structure that corresponds to that word.

### Dependency Parsing



b. They killed the man with a gun.

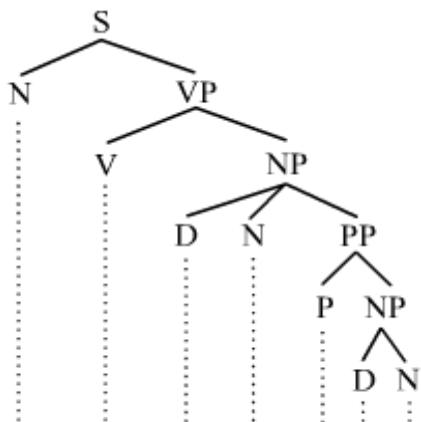
### Dependency grammars

*one-to-one relation;* for every word in the sentence, there is exactly one node in the syntactic structure that corresponds to that word

# 1 Linguistic Structure

## Two main views of linguistic structure

### Constituency Parsing

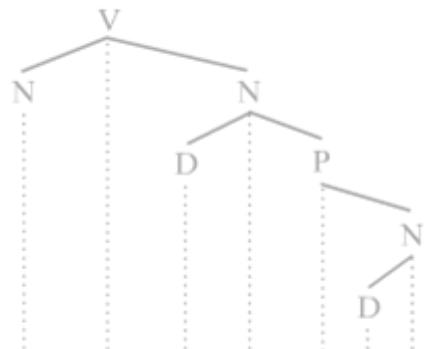


a. They killed the man with a gun.

### Constituency grammars

*One-to-one-or-more correspondence. For every word in a sentence, there is at least one node in the syntactic structure that corresponds to that word.*

### Dependency Parsing



b. They killed the man with a gun.

### Dependency grammars

*one-to-one relation; for every word in the sentence, there is exactly one node in the syntactic structure that corresponds to that word*

# 1 Linguistic Structure

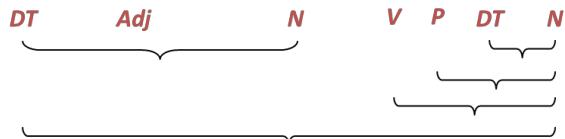
## Constituency Grammar

- A **basic observation about syntactic structure** is that groups of words can act as single units
- Such groups of words are called **constituents** *句法成分*
- Constituents tend to have **similar internal structure**, and behave similarly with respect to other units

### Examples

- noun phrases (NP)
  - she, the house, Robin Hood and his merry men, etc.
- verb phrases (VP)
  - blushed, loves Mary, was told to sit down and be quiet, lived happily ever after
- prepositional phrases (PP)
  - on it, with the telescope, through the foggy dew, etc.

*The expensive computer is on the table*



# 1 Linguistic Structure

## A sample context-free grammar

*I prefer a morning flight*

① <sup>tokenize</sup>  
+ pos tagging.

1. Starting unit: words are given a category (part-of-speech)
2. Combining words into phrases with categories
3. Combining phrases into bigger phrases recursively

# 1 Linguistic Structure

## A sample context-free grammar

1. Starting unit: words are *given a category (part-of-speech)*
2. Combining words into phrases with categories
3. Combining phrases into bigger phrases recursively

*I, prefer, a, morning, flight*

*PRP      VBP      DT      NN      NN*

# 1 Linguistic Structure

## A sample context-free grammar

*I, prefer, a, morning, flight*

*with category  
combine words together  
rule based table*

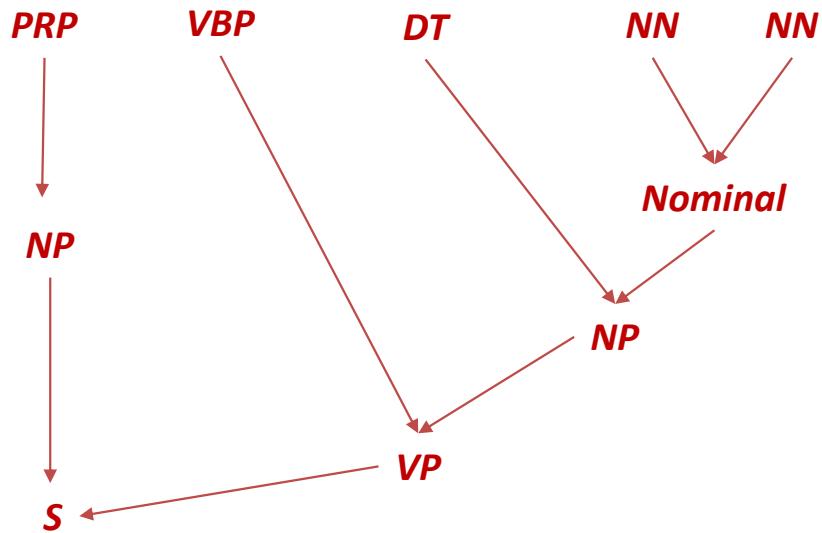
PRP	VBP	DT	NN	NN
Grammar rule				Example
$S \rightarrow NP VP$				I + want a morning flight
$NP \rightarrow \text{Pronoun}$				I
$NP \rightarrow \text{Proper-Noun}$				Sydney
$NP \rightarrow \text{Det Nominal}$				a flight
$\text{Nominal} \rightarrow \text{Nominal Noun}$				morning flight
$\text{Nominal} \rightarrow \text{Noun}$				flights
$VP \rightarrow \text{Verb}$				do
$VP \rightarrow \text{Verb } NP$				want + a flight
$VP \rightarrow \text{Verb } NPPP$				leave + Melbourne + in the morning
$VP \rightarrow \text{VerbPP}$				leaving + on Thursday
$PP \rightarrow \text{Preposition } NP$				from + Sydney

# 1 Linguistic Structure

# A sample context-free grammar

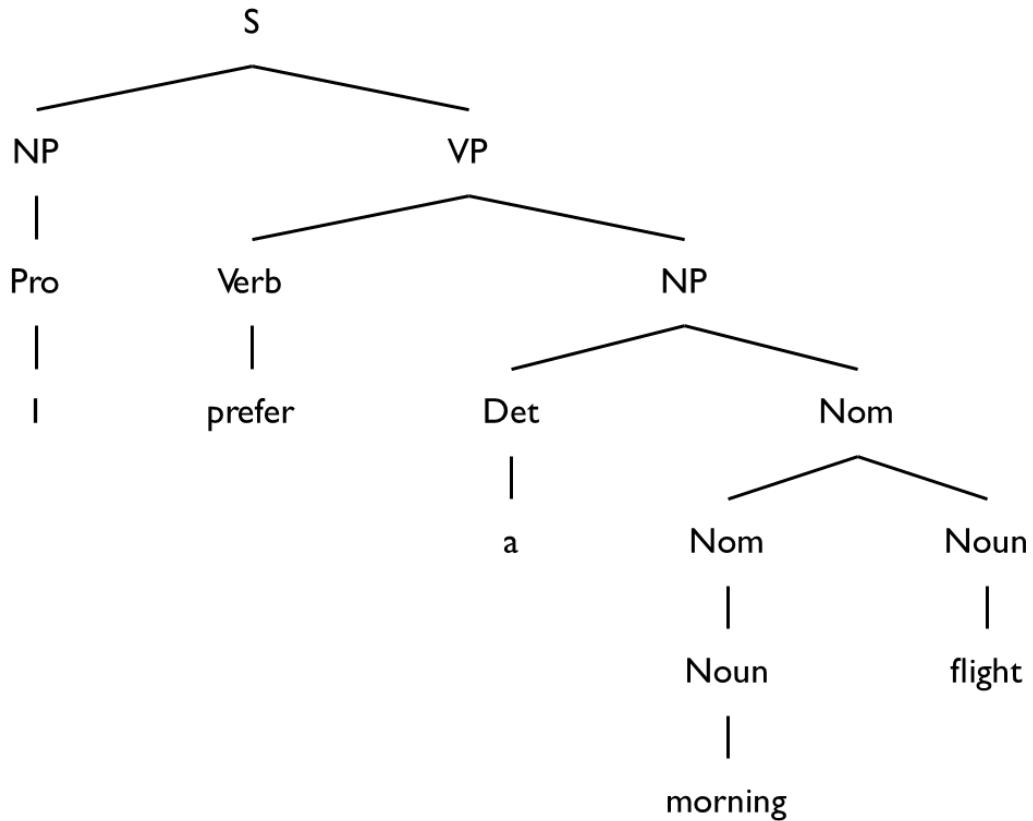
1. Starting unit: words are given a category (part-of-speech)
  2. Combining words into **phrases with categories**
  3. Combining phrases into **bigger phrases recursively**

*I, prefer, a, morning, flight*



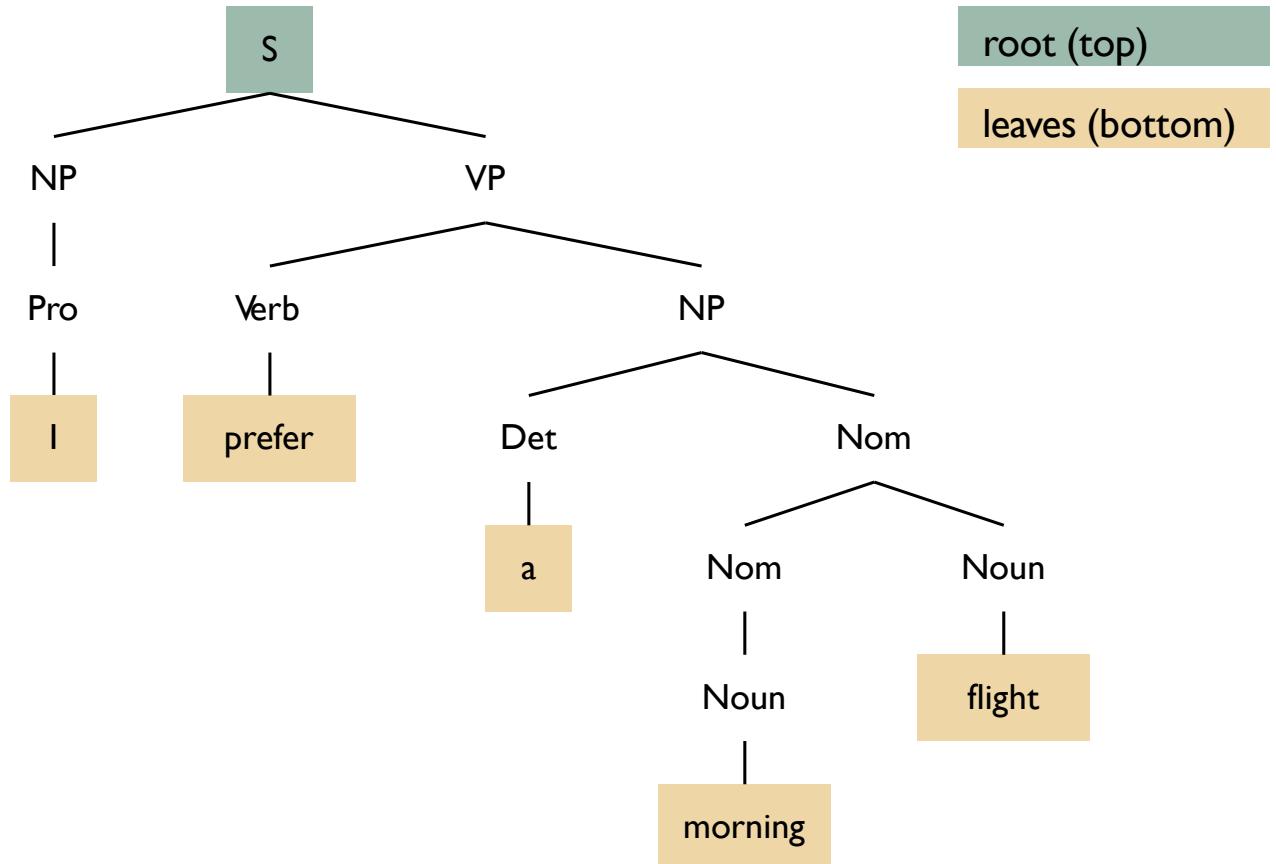
# 1 Linguistic Structure

## A sample context-free grammar



# 1 Linguistic Structure

## A sample context-free grammar



# 1 Linguistic Structure

## Treebanks

*Corpora where each sentence is annotated with a parse tree*

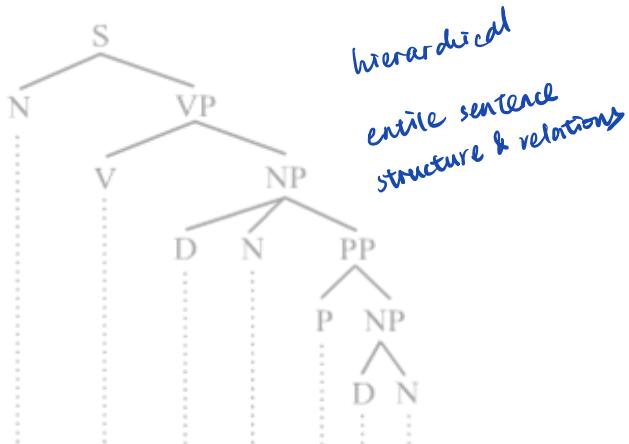
- Treebanks are generally created by
  - parsing texts with an existing parser
  - having human annotators correct the result
- This requires detailed annotation guidelines for annotating different grammatical constructions
- **Penn Treebank** is a popular treebank for English (Wall Street Journal section)

```
( (S
  (NP-SBJ
    (NP (NNP Pierre) (NNP Vinken) )
    (, ,)
    (ADJP
      (NP (CD 61) (NNS years) )
      (JJ old) )
    (, ,) )
  (VP (MD will)
    (VP (VB join)
      (NP (DT the) (NN board) )
      (PP-CLR (IN as)
        (NP (DT a) (JJ nonexecutive) (NN director) ))
      (NP-TMP (NNP Nov.) (CD 29) )))
    (. .) ))
```

# 1 Linguistic Structure

## Two main views of linguistic structure

### Constituency Parsing



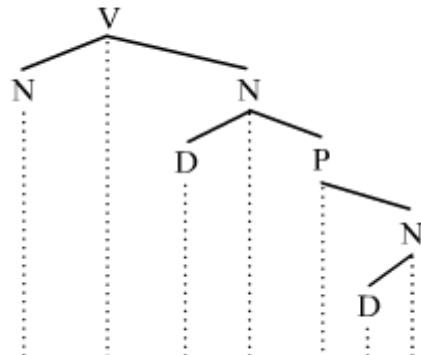
a. They killed the man with a gun.

### Constituency grammars

*One-to-one-or-more correspondence. For every word in a sentence, there is at least one node in the syntactic structure that corresponds to that word.*

### Dependency Parsing

*semantic meaning*



b. They killed the man with a gun.

### Dependency grammars

*one-to-one relation; for every word in the sentence, there is exactly one node in the syntactic structure that corresponds to that word*

# 0 LECTURE PLAN

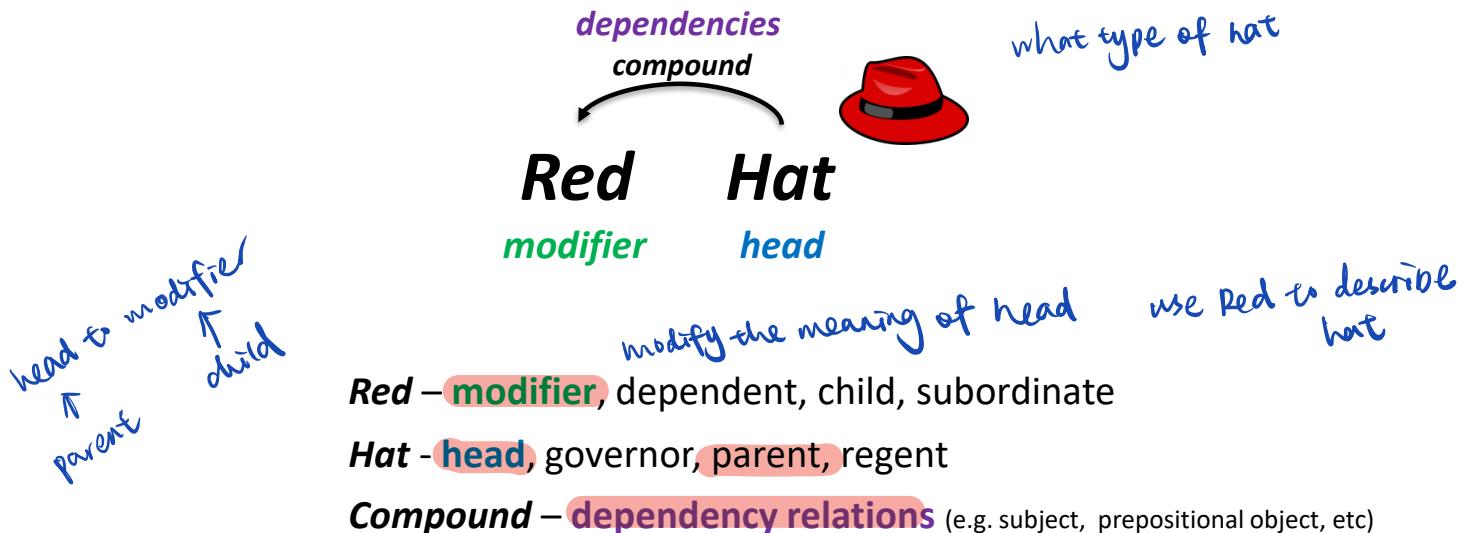
## Lecture 7: Parsing

1. Linguistic Structure
2. **Dependency Structure**
3. Dependency Parsing Algorithms
4. Transition-based Dependency Parsing
5. Deep Learning-based Dependency Parsing

## 2 Dependency Structure

### Dependency Structure

**Syntactic structure:** lexical items linked by binary asymmetrical relations (“arrows”) called **dependencies**



\***Head** determines the syntactic/semantic **category** of the construct

\*The arrows are commonly typed with the name of **grammatical relations**

## 2 Dependency Structure

### Dependency Parsing

Represents Lexical/syntactic dependencies between words

- A sentence is parsed by choosing for each word what other word (including ROOT) is it a dependent of

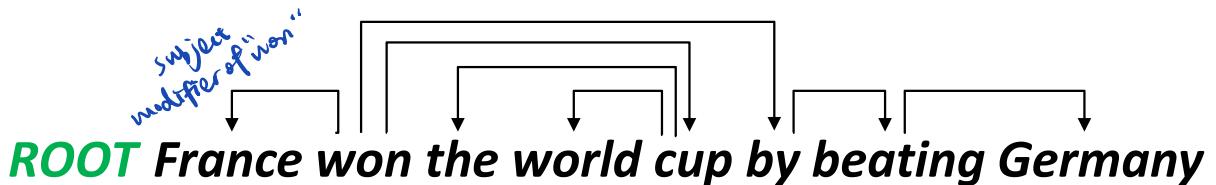
*Dependencies form a tree (connected, acyclic, single-head)*

- How to make the dependencies a tree - Constraints*

Only one word is a dependent of ROOT (the main predicate of a sentence)

- Don't want cycles  $A \rightarrow B, B \rightarrow A$

main verb ?



## 2 Dependency Structure

### Dependency Grammar/Parsing History

**Panini's grammar** (4th century BCE)

*The notion of dependencies between grammatical units*

**Ibn Maṭā'** (12th century)

*The first grammarian to use the term dependency in the grammatical sense*

**Sámuel Brassai, Franz Kern, Heimann Hariton Tiktin** (1800 - 1930)

*The dependency seems to have coexisted side by side with that of phrase structure*

**Lucien Tesnière** (1959)

*Was dominant approach in “East” in 20th Century (Russia, China, ...)*

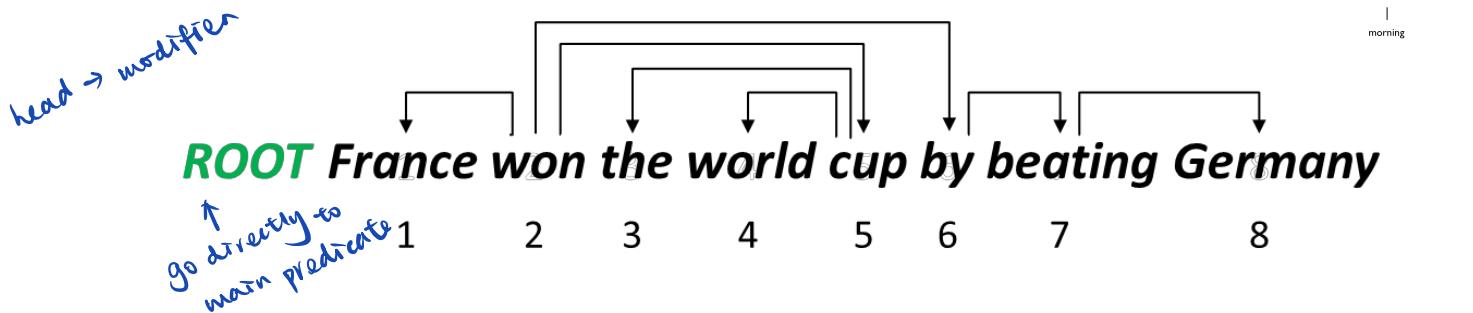
*Good for free-er word order languages*

**David Hays** (1962)

*The great development surrounding dependency-based theories has come from computational linguistics*

## 2 Dependency Structure

### Dependency Grammar/Parsing



Some people draw the arrows one way; some the other way!

- Tesnière had them point from **head to dependent**..

Usually add a fake **ROOT** so every word is a dependent of precisely 1 other node

### Projectivity vs Non-Projectivity

- There are **no crossing dependency arcs** when the words are laid out in their linear order, with all arcs above the words
- Dependencies parallel to a CFG tree must be projective
  - Forming dependencies by taking 1 child of each category as head
- But dependency theory normally does allow non-projective structures to account for displaced constituents

## 2 Dependency Structure

### Dependency Grammar/Parsing

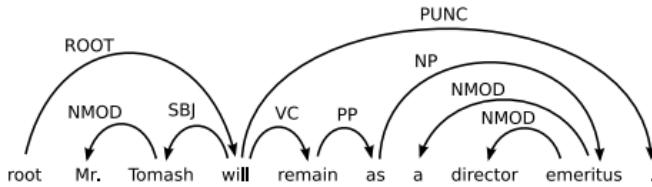


Figure 1: A projective dependency graph.

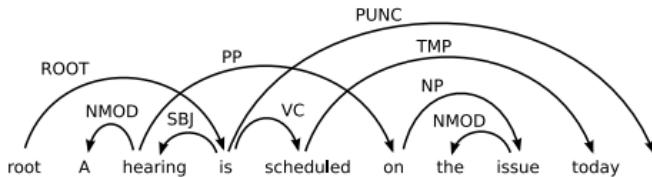


Figure 2: Non-projective dependency graph.

#### ***Projectivity vs Non-Projectivity***

- There are no crossing dependency arcs when the words are laid out in their linear order, with all arcs above the words
- Dependencies parallel to a CFG tree must be projective
  - Forming dependencies by taking 1 child of each category as head
- But dependency theory normally does allow non-projective structures to account for displaced constituents

## 2 Dependency Structure

### Dependency Relations

- *The following list shows the 37 universal **syntactic relations** used in Universal Dependencies v2.*

- `acl`: clausal modifier of noun (adjectival clause)
- `advcl`: adverbial clause modifier
- `advmod`: adverbial modifier
- `amod`: adjectival modifier
- `appos`: appositional modifier
- `aux`: auxiliary
- `case`: case marking
- `cc`: coordinating conjunction
- `ccomp`: clausal complement
- `clf`: classifier
- `compound`: compound
- `conj`: conjunct
- `cop`: copula
- `csubj`: clausal subject
- `dep`: unspecified dependency
- `det`: determiner
- `discourse`: discourse element
- `dislocated`: dislocated elements
- `expl`: expletive
- `fixed`: fixed multiword expression
- `flat`: flat multiword expression
- `goeswith`: goes with
- `iobj`: indirect object
- `list`: list
- `mark`: marker
- `nmod`: nominal modifier
- `nsubj`: nominal subject
- `nummod`: numeric modifier
- `obj`: object
- `obl`: oblique nominal
- `orphan`: orphan
- `parataxis`: parataxis
- `punct`: punctuation
- `reparandum`: overridden disfluency
- `root`: root
- `vocative`: vocative
- `xcomp`: open clausal complement

## 2 Dependency Structure

### Dependency Relations with annotations

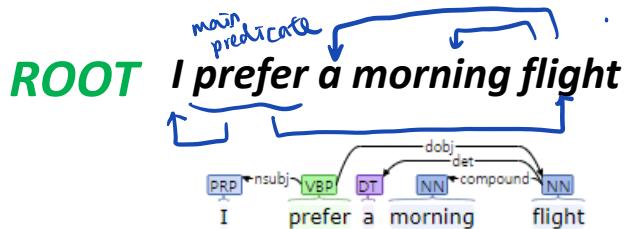
- The idea of dependency structure goes back a long way
- [*Universal Dependencies: <http://universaldependencies.org/>*; cf. Marcus et al. 1993, *The Penn Treebank, Computational Linguistics*]
- Starting off, building a treebank seems a lot slower and less useful than building a grammar
- But a treebank gives us many things
  - Reusability of the labor
    - Many parsers, part-of-speech taggers, etc. can be built on it
    - Valuable resource for linguistics
  - Broad coverage, not just a few intuitions
  - Frequencies and distributional information
  - A way to evaluate systems

## 2 Dependency Structure

### Dependency Parsing

**Exercise – Let's do it together!**

- Simpler to parse than context-free grammars



Unionized workers are usually better paid than their non-union counterparts.

1      2      3      4      5      6      7      8      9      10

# 0 LECTURE PLAN

## Lecture 7: Parsing

1. Linguistic Structure
2. Dependency Structure
- 3. Dependency Parsing Algorithms**
4. Transition-based Dependency Parsing
5. Deep Learning-based Dependency Parsing

# 3 Dependency Parsing Approaches

## Methods of Dependency Parsing

- Dynamic programming  
Extension of the CYK algorithm to dependency parsing (Eisner, 1996)
- Constraint Satisfaction (Karlsson, 1990)  
 $word(pos(x)) = DET \rightarrow (label(X)=NMOD, word(mod(x))=NN, pos(x) < mod(x))$   
*A determiner (DET) modifies a noun (NN) on the right with the label NMOD.*
- ***Graph-based Dependency Parsing***  
Create a ***Maximum Spanning Tree*** for a sentence  
McDonald et al.'s (2005) MSTParser scores dependencies independently  
using an Machine Learning classifier
- ***Transition-based Dependency Parsing (Nivre 2008)***
- ***Neural Dependency Parsing***

### 3 Dependency Parsing Approaches

#### Graph-based dependency parsers

MST Parser (McDonald et al., 2005)

- Projectivity
  - English dependency trees are mostly projective (can be drawn without crossing dependencies)
  - Other languages are not
- Idea
  - Dependency parsing is equivalent to search for a maximum spanning tree in a directed graph
  - Chu and Liu (1965) and Edmonds (1967) give an efficient algorithm for finding MST for directed graphs

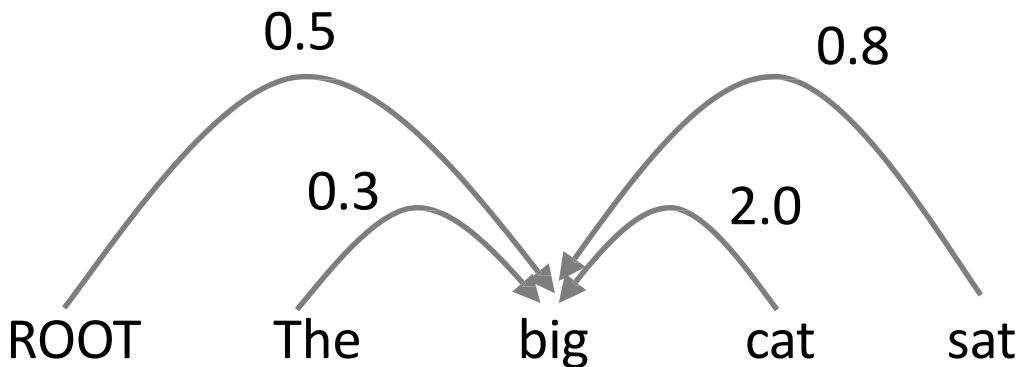
## 3

# Dependency Parsing Approaches

## Graph-based dependency parsers

- Compute a score for every possible dependency for each edge

maximum score

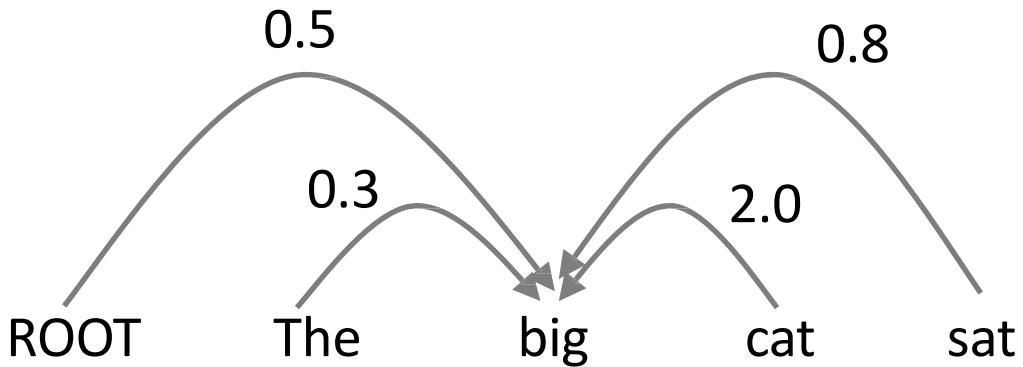


e.g., picking the head for “big”

### 3 Dependency Parsing Approaches

#### Graph-based dependency parsers

- Compute a score for every possible dependency for each edge
  - Then add an edge from each word to its highest-scoring candidate head
  - And repeat the same process for each other word

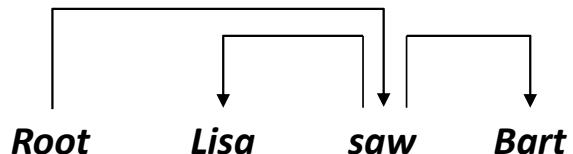
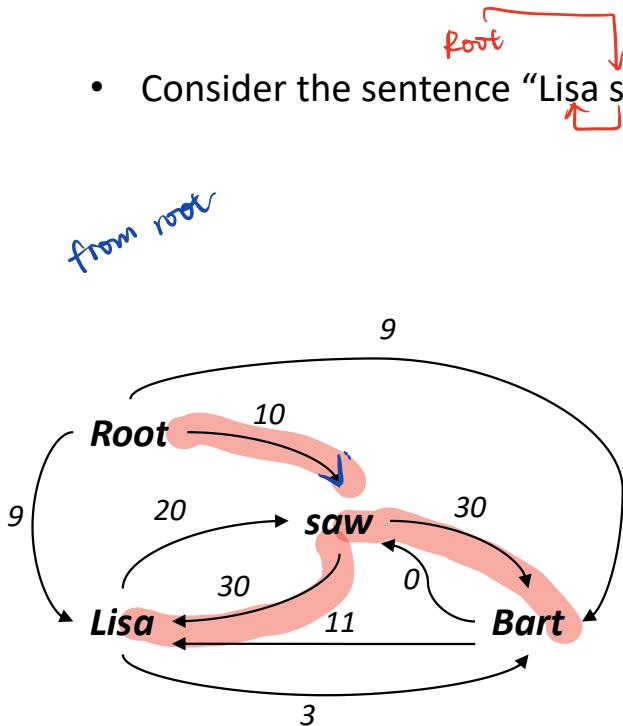


e.g., picking the head for “big”

# 3 Dependency Parsing Approaches

## Graph-based dependency parsers

- Consider the sentence “Lisa saw Bart”



# 3 Dependency Parsing Approaches

## Methods of Dependency Parsing

### *Graph-based Dependency Parsing*

- Build a complete graph with directed/weighted edges
- Find the highest scoring tree from a complete dependency graph

### *Transition-based Dependency Parsing*

- Build a tree by applying a sequence of transition actions
- Find the highest scoring action sequence that builds a legal tree

*greedy algorithm*

# 0 LECTURE PLAN

## Lecture 7: Parsing

1. Linguistic Structure
2. Dependency Structure
3. Dependency Parsing Algorithms
4. **Transition-based Dependency Parsing**
5. Deep Learning-based Dependency Parsing

## 4 Transition-based Parsing

greedy search build one tree

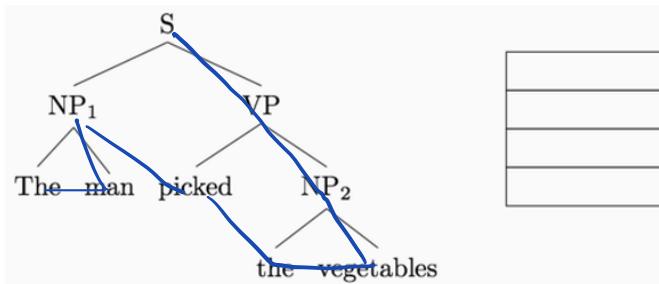
### Greedy transition-based parsing (Nivre 2008)

- A simple form of greedy discriminative dependency parser
- **Transition:** an operation that searches for a dependency relation between each pair of words (e.g. Left-Arc, Shift, etc.)
- Design a dumber but really fast algorithm and let the machine learning(deep learning) do the rest.
- Eisner's algorithm (Dynamic Programming-based Dependency Parsing) searches over many different dependency trees at the same time.
- A transition-based dependency parser only builds one tree, in one left-to-right sweep over the input

## 4 Transition-based Parsing

### Transition-based parsing – The arc-standard algorithm

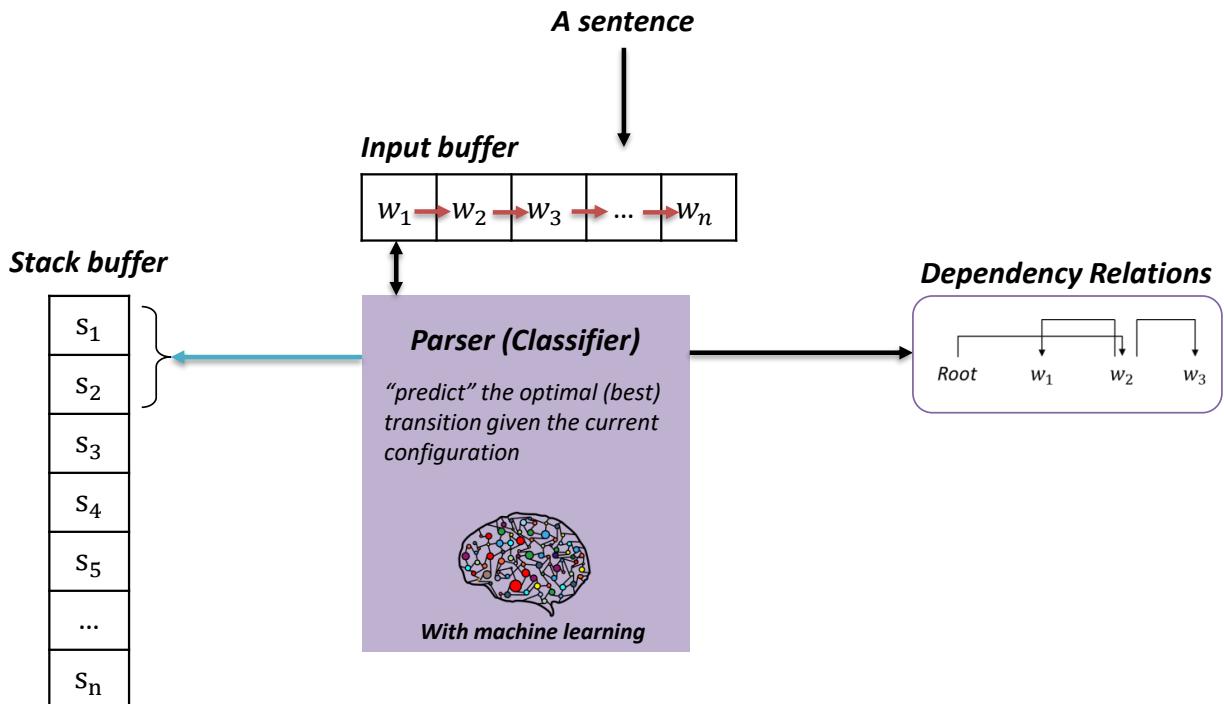
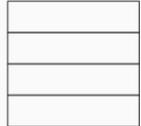
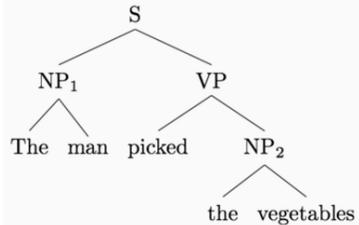
- A sequence of bottom up actions
  - Roughly like “shift” or “reduce” in a shift-reduce parser, but the “reduce” actions are specialized to create dependencies with head on left or right



- It is implemented in most practical transition-based dependency parsers, including **MaltParser**. The arc-standard algorithm is a simple algorithm for transition-based dependency parsing.

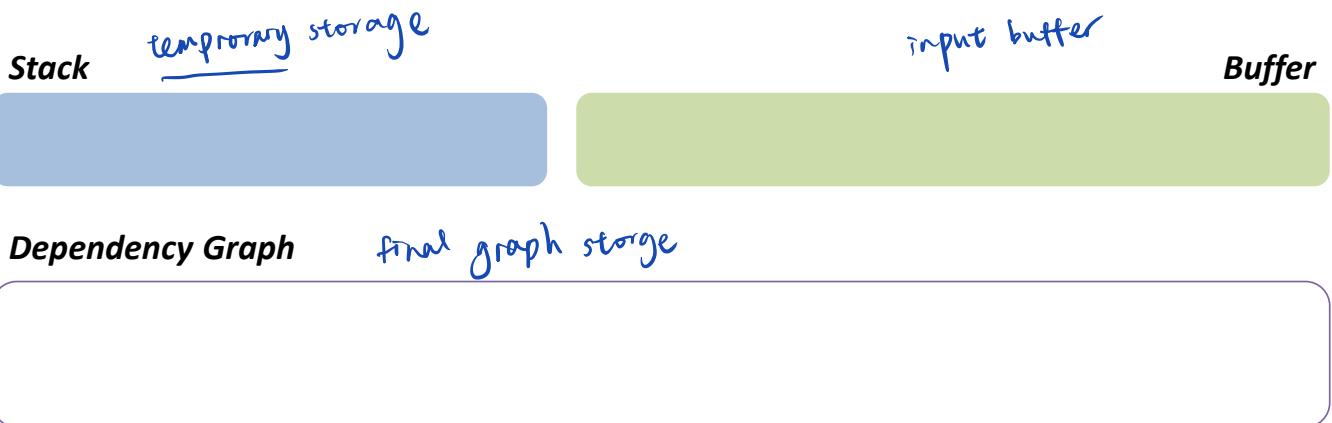
# 4 Transition-based Parsing

## Transition-based parsing



## 4 Transition-based Parsing

## Transition-based parsing – The arc-standard algorithm



## 4 Transition-based Parsing

## Transition-based parsing – The arc-standard algorithm

*Stack*

ROOT

*Buffer*

book

me

a

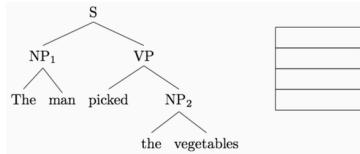
morning

flight

*Dependency Graph*• *Initial configuration:*

- All words are in the buffer.
- The stack is empty or starts with the ROOT symbol
- The dependency graph is empty.

# 4 Transition-based Parsing



## Transition-based parsing – The arc-standard algorithm

*Stack*

ROOT

*Buffer*

book

me

a

morning

flight

*Dependency Graph*



### Possible Transition

Shift

- Push the next word in buffer onto the stack

Left-Arc

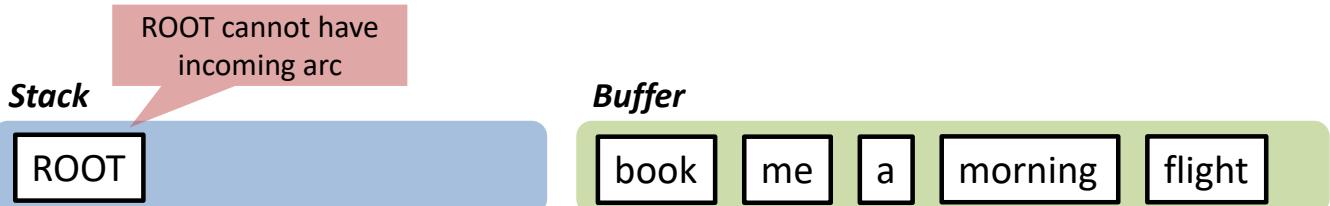
- Add an arc from the topmost word to the 2<sup>nd</sup>-topmost word on the stack
- Remove 2<sup>nd</sup> word from stack

Right-Arc

- Add an arc from the 2<sup>nd</sup>-topmost word to the topmost word on the stack
- Remove the topmost word from stack

# 4 Transition-based Parsing

## Transition-based parsing – The arc-standard algorithm



*Dependency Graph*



### Possible Transition

Shift

- Push the next word in buffer onto the stack

Left-Arc

- Add an arc from the topmost word to the 2<sup>nd</sup>-topmost word on the stack
- Remove 2<sup>nd</sup> word from stack

Right-Arc

Left Arc and Right Arc require 2 elements in stack to be applied

- Add an arc from the 2<sup>nd</sup>-topmost word to the topmost word on the stack
- Remove the topmost word from stack

# 4 Transition-based Parsing

## Transition-based parsing – The arc-standard algorithm

*Stack*

ROOT

*Buffer*

book

me

a

morning

flight

*Dependency Graph*



### Possible Transition

Shift

Left-Arc

Right-Arc

- **Shift** the next word in buffer onto the stack
- Add an arc **from the topmost word to the 2<sup>nd</sup>-topmost word** on the stack
- Remove 2<sup>nd</sup> word from stack
- Add an arc **from the 2<sup>nd</sup>-topmost word to the topmost word** on the stack
- Remove the topmost word from stack

# 4 Transition-based Parsing

## Transition-based parsing – The arc-standard algorithm



*Dependency Graph*



### Possible Transition

Shift

- Push the next word in buffer onto the stack

Left-Arc

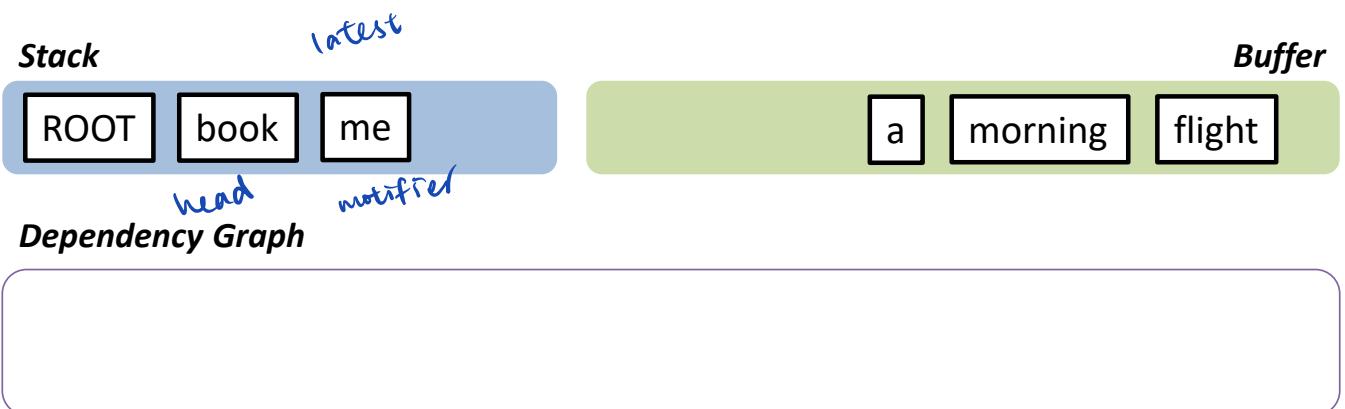
- Add an arc from the topmost word to the 2<sup>nd</sup>-topmost word on the stack
- Remove 2<sup>nd</sup> word from stack

Right-Arc

- Add an arc from the 2<sup>nd</sup>-topmost word to the topmost word on the stack
- Remove the topmost word from stack

# 4 Transition-based Parsing

## Transition-based parsing – The arc-standard algorithm



### Possible Transition

Shift

- Push the next word in buffer onto the stack

Left-Arc

- Add an arc from the topmost word to the 2<sup>nd</sup>-topmost word on the stack
- Remove 2<sup>nd</sup> word from stack

Right-Arc

- Add an arc from the 2<sup>nd</sup>-topmost word to the topmost word on the stack
- Remove the topmost word from stack

# 4 Transition-based Parsing

## Transition-based parsing – The arc-standard algorithm

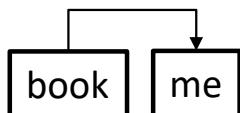
*Stack*

ROOT book ~~me~~

*Buffer*

a morning flight

*Dependency Graph*



*>nd-topmost top most*

### Possible Transition

Shift

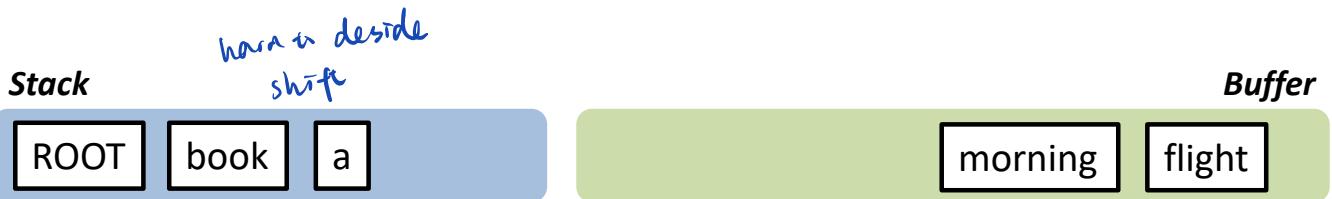
Left-Arc

Right-Arc

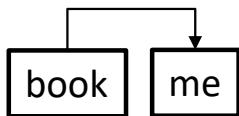
- Push the next word in buffer onto the stack
- Add an arc from the topmost word to the 2<sup>nd</sup>-topmost word on the stack
- Remove 2<sup>nd</sup> word from stack
- Add an arc from the 2<sup>nd</sup>-topmost word to the topmost word on the stack
- Remove the topmost word from stack

# 4 Transition-based Parsing

## Transition-based parsing – The arc-standard algorithm



*Dependency Graph*



### Possible Transition

Shift

- Push the next word in buffer onto the stack

Left-Arc

- Add an arc from the topmost word to the 2<sup>nd</sup>-topmost word on the stack
- Remove 2<sup>nd</sup> word from stack

Right-Arc

- Add an arc from the 2<sup>nd</sup>-topmost word to the topmost word on the stack
- Remove the topmost word from stack

# 4 Transition-based Parsing

## Transition-based parsing – The arc-standard algorithm

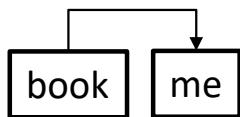
*Stack*



*Buffer*

flight

*Dependency Graph*



### Possible Transition

Shift

- Push the next word in buffer onto the stack

Left-Arc

- Add an arc from the topmost word to the 2<sup>nd</sup>-topmost word on the stack
- Remove 2<sup>nd</sup> word from stack

Right-Arc

- Add an arc from the 2<sup>nd</sup>-topmost word to the topmost word on the stack
- Remove the topmost word from stack

# 4 Transition-based Parsing

## Transition-based parsing – The arc-standard algorithm

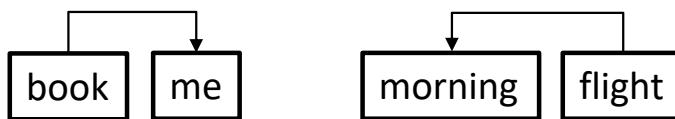
*Stack*



*Buffer*



*Dependency Graph*



only consider  
the relation between  
topmost and 2nd topmost word

### Possible Transition

#### Shift

- Push the next word in buffer onto the stack

#### Left-Arc

- Add an arc from the topmost word to the 2<sup>nd</sup>-topmost word on the stack
- Remove 2<sup>nd</sup> word from stack

#### Right-Arc

- Add an arc from the 2<sup>nd</sup>-topmost word to the topmost word on the stack
- Remove the topmost word from stack

# 4 Transition-based Parsing

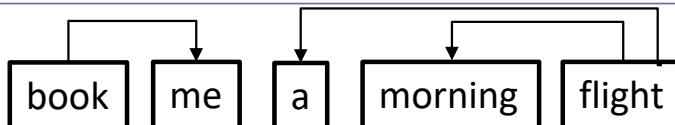
## Transition-based parsing – The arc-standard algorithm

*Stack*

ROOT book a flight

*Buffer*

*Dependency Graph*



### Possible Transition

Shift

Left-Arc

Right-Arc

- Push the next word in buffer onto the stack
- Add an arc from the topmost word to the 2<sup>nd</sup>-topmost word on the stack
- Remove 2<sup>nd</sup> word from stack
- Add an arc from the 2<sup>nd</sup>-topmost word to the topmost word on the stack
- Remove the topmost word from stack

# 4 Transition-based Parsing

## Transition-based parsing – The arc-standard algorithm

*Stack*

ROOT

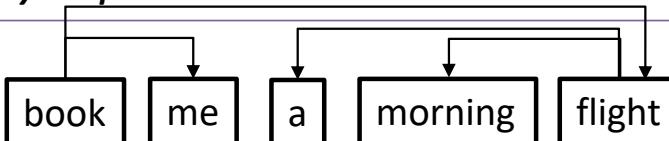
book

flight

*Buffer*



*Dependency Graph*



### Possible Transition

Shift

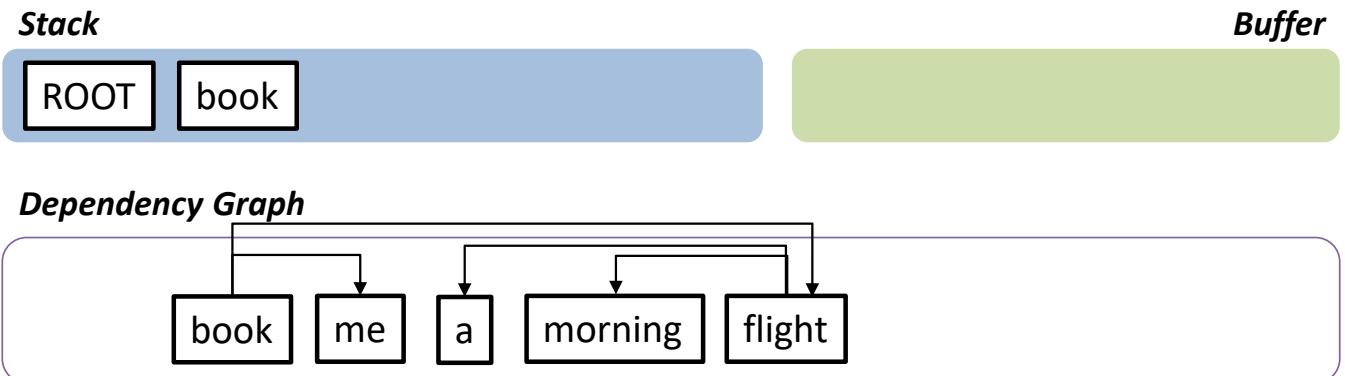
Left-Arc

Right-Arc

- Push the next word in buffer onto the stack
- Add an arc from the topmost word to the 2<sup>nd</sup>-topmost word on the stack
- Remove 2<sup>nd</sup> word from stack
- Add an arc from the 2<sup>nd</sup>-topmost word to the topmost word on the stack
- Remove the topmost word from stack

# 4 Transition-based Parsing

## Transition-based parsing – The arc-standard algorithm



### Possible Transition

Shift

- Push the next word in buffer onto the stack

Left-Arc

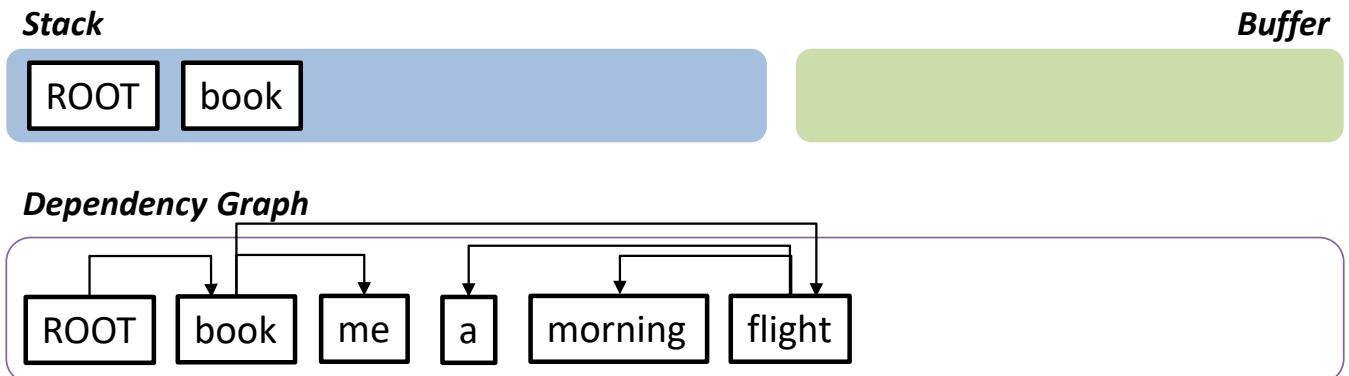
- Add an arc from the topmost word to the 2<sup>nd</sup>-topmost word on the stack
- Remove 2<sup>nd</sup> word from stack

Right-Arc

- Add an arc from the 2<sup>nd</sup>-topmost word to the topmost word on the stack
- Remove the topmost word from stack

# 4 Transition-based Parsing

## Transition-based parsing – The arc-standard algorithm



### Possible Transition

Shift

- Push the next word in buffer onto the stack

Left-Arc

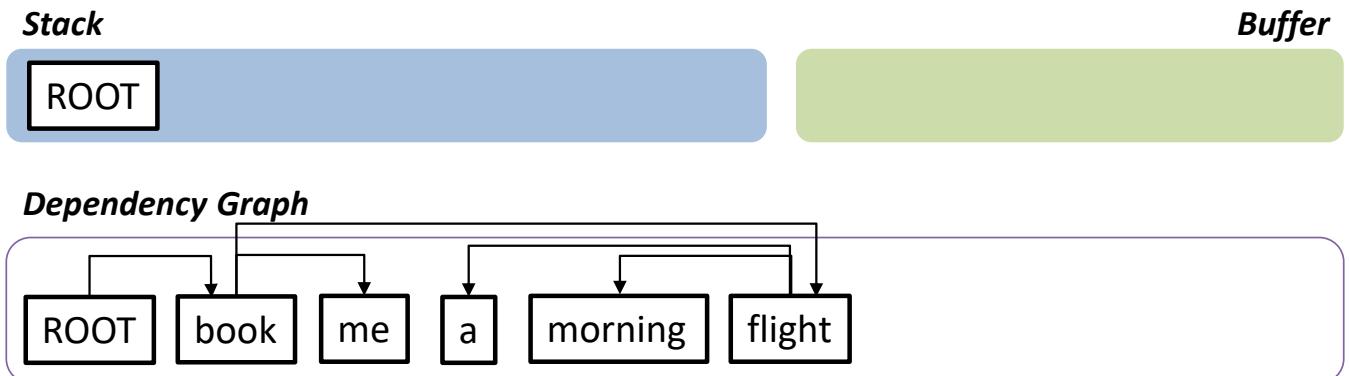
- Add an arc from the topmost word to the 2<sup>nd</sup>-topmost word on the stack
- Remove 2<sup>nd</sup> word from stack

Right-Arc

- Add an arc from the 2<sup>nd</sup>-topmost word to the topmost word on the stack
- Remove the topmost word from stack

# 4 Transition-based Parsing

## Transition-based parsing – The arc-standard algorithm



- ***Terminal configuration:***
  - The buffer is empty.
  - The stack contains a single word.

## 4 Transition-based Parsing

## Transition-based parsing



- (a) Arc-standard: *is* and *example* are eligible for arcs.



- (b) Arc-eager: *example* and *with* are eligible for arcs.



- (c) Easy-first: All unreduced tokens are active (bolded).

## 4 Transition-based Parsing

## Transition-based parsing – The arc-standard algorithm

Start:  $\sigma = [\text{ROOT}], \beta = w_1, \dots, w_n, A = \emptyset$

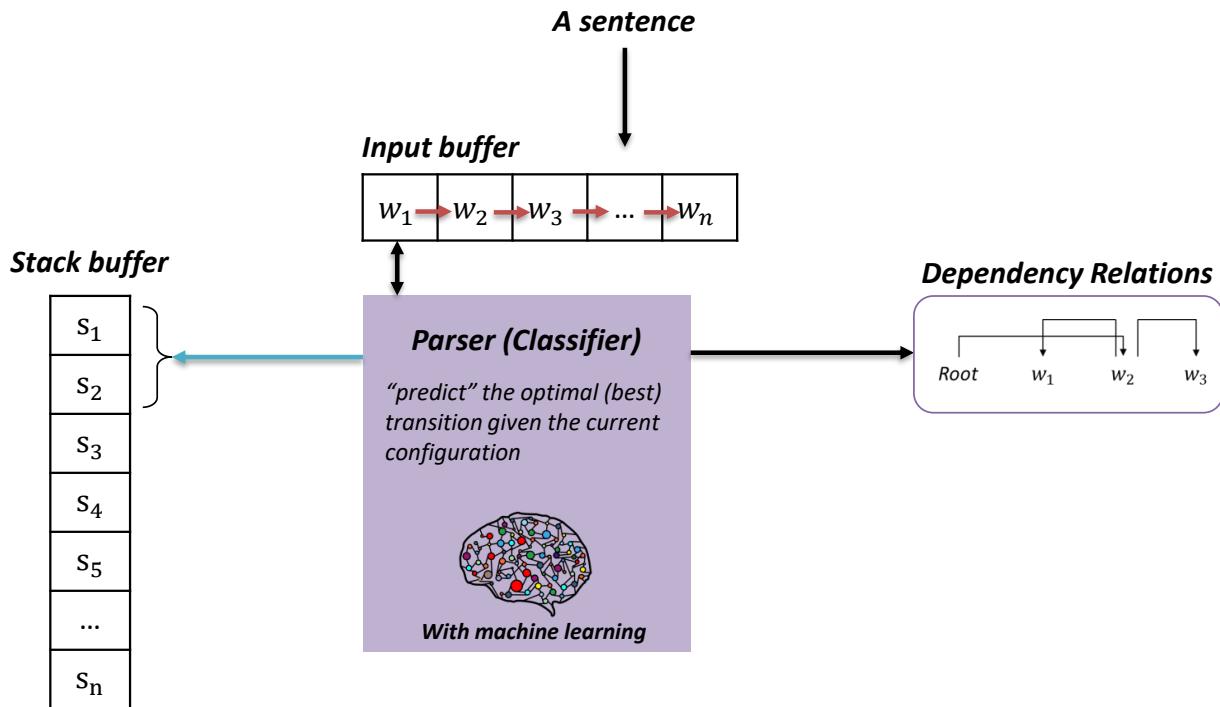
1. Shift  $\sigma, w_i | \beta, A \rightarrow \sigma | w_i, \beta, A$
2. Left-Arc<sub>r</sub>  $\sigma | w_i | w_j, \beta, A \rightarrow \sigma | w_j, \beta, A \cup \{r(w_j, w_i)\}$
3. Right-Arc<sub>r</sub>  $\sigma | w_i | w_j, \beta, A \rightarrow \sigma | w_i, \beta, A \cup \{r(w_i, w_j)\}$

Finish:  $\sigma = [w], \beta = \emptyset$

***How to choose the next action?***

# 4 Transition-based Parsing

## Transition-based parsing



## 4 Transition-based Parsing

### How to choose the next action?

**Stand back, You know machine learning!**

**Goal:** Predict the next transition (class), given the current configuration.

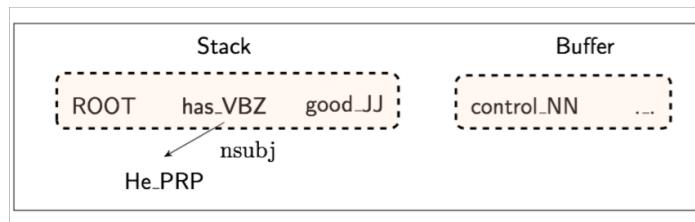
- We let the parser run on gold-standard trees.
- Every time there is a choice to make, we simply look into the tree and do ‘the right thing’.
- We collect all (configuration, transition) pairs and train a classifier on them.
- When parsing unseen sentences, we use the trained classifier as a guide.

***What if the number of pairs is far too large?***

## 4 Transition-based Parsing

### Feature Representation

- Define a set of features of configurations that you consider to be relevant for the task of predicting the next transition.  
Example: word forms of the topmost two words on the stack and the next two words in the buffer
- Describe every configuration in terms of a feature vector.



- In practical systems, we have thousands of features and hundreds of transitions.
- There are several machine-learning paradigms that can be used to train a guide for such a task
- Examples: perceptron, decision trees, support-vector machines, memory-based learning

## 4 Transition-based Parsing

### Evaluation of Dependency Parsing

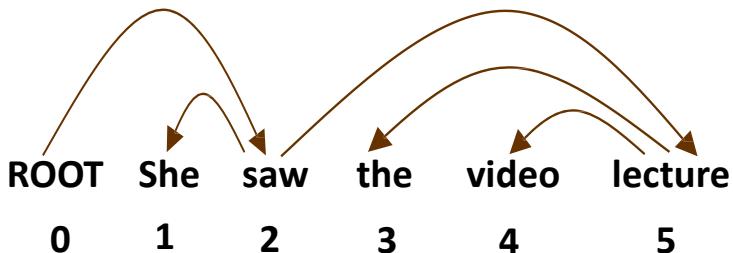
$$Accuracy = \frac{\# \text{ correct deps}}{\# \text{ of deps}}$$

**Unlabeled attachment score (UAS) = head**

**Labeled attachment score (LAS) = head and label**

# 4 Transition-based Parsing

## Evaluation of Dependency Parsing



*Gold Standard*

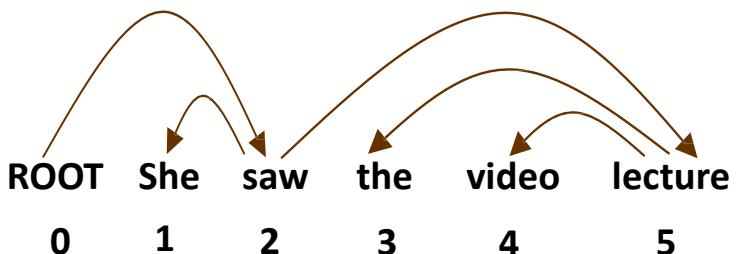
1	2	she	nsubj
2	0	saw	root
3	5	the	det
4	5	video	nn
5	2	lecture	obj

*Parsed (assume this is what you classified)*

1	2	she	nsubj
2	0	saw	root
3	4	the	det
4	5	video	nsubj
5	2	lecture	ccomp

# 4 Transition-based Parsing

## Evaluation of Dependency Parsing



*Gold Standard*

1	2	she	nsubj
2	0	saw	root
3	5	the	det
4	5	video	nn
5	2	lecture	obj

*Parsed (assume this is what you classified)*

1	2 ✓	she	nsubj	✓
2	0 ✓	saw	root	✓
3	4 ✗	the	det	✗ gold standard 3 ← 5
4	5 ✓	video	nn	✗
5	2 ✓	lecture	ccomp	✗

*Unlabeled attachment score (UAS) = 4 / 5 = 80%*

*Labeled attachment score (LAS) = 2 / 5 = 40%*

# 0 LECTURE PLAN

## Lecture 7: Parsing

1. Linguistic Structure
2. Dependency Structure
3. Dependency Parsing Algorithms
4. Transition-based Dependency Parsing
5. Deep Learning-based Dependency Parsing

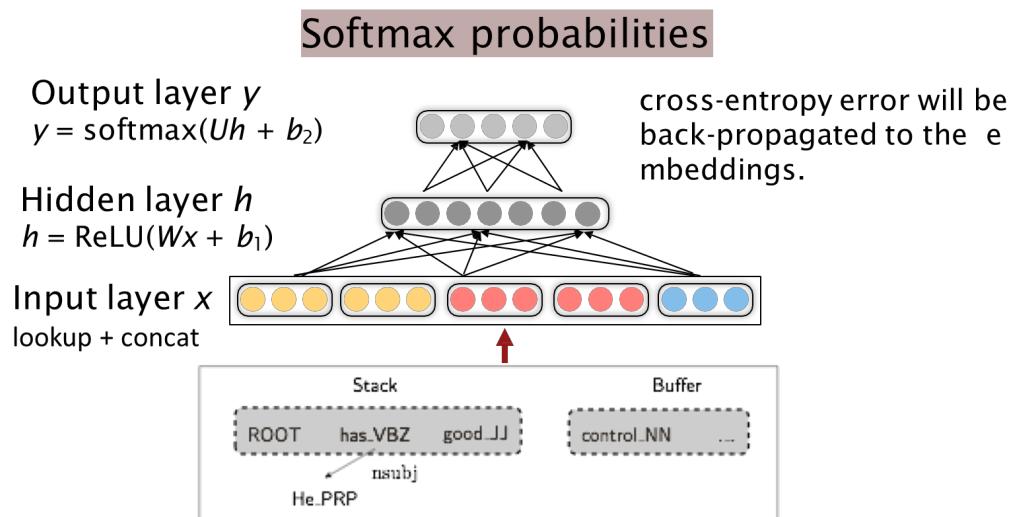
## 5 Deep Learning-based Parsing

### Distributed Representations

- Represent each word as a d-dimensional dense vector (i.e., word embedding)
  - Similar words are expected to have close vectors.
  - NNS (plural noun) should be close to NN (singular noun).
- Meanwhile, part-of-speech tags (POS) and dependency labels are also represented as d-dimensional vectors.
- The smaller discrete sets also exhibit many semantical similarities

# 5 Deep Learning-based Parsing

## Neural Dependency Parsing (Chen & Manning, 2014)



# 5 Deep Learning-based Parsing

## Neural Dependency Parsing

*Accuracy and parsing speed  
on PTB + Stanford dependencies.*

Parser	Dev		Test		Speed (sent/s)
	UAS	LAS	UAS	LAS	
standard	90.2	87.8	89.4	87.3	26
eager	89.8	87.4	89.6	87.4	34
Malt:sp	89.8	87.2	89.3	86.9	469
Malt:eager	89.6	86.9	89.4	86.8	448
MSTParser	91.4	88.1	90.7	87.6	10
Our parser	<b>92.0</b>	<b>89.7</b>	<b>91.8</b>	<b>89.6</b>	<b>654</b>

*Accuracy and parsing speed on CTB*

Parser	Dev		Test		Speed (sent/s)
	UAS	LAS	UAS	LAS	
standard	82.4	80.9	82.7	81.2	72
eager	81.1	79.7	80.3	78.7	80
Malt:sp	82.4	80.5	82.4	80.6	420
Malt:eager	81.2	79.3	80.2	78.4	393
MSTParser	<b>84.0</b>	82.1	83.0	81.2	6
Our parser	<b>84.0</b>	<b>82.4</b>	<b>83.9</b>	<b>82.4</b>	<b>936</b>

Chen, D., & Manning, C. (2014). A fast and accurate dependency parser using neural networks. In Proceedings of the 2014 conference on empirical methods in natural language processing (EMNLP) (pp. 740-750).

- PTB: English Penn Treebank
- CTB: Chinese Penn Treebank

# 5 Deep Learning-based Parsing

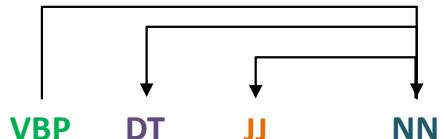
## Dependency Parsing Trends – Penn Treebank

RANK	MODEL	LAS ↑	UAS	POS	PAPER	CODE	RESULT	YEAR
1	Label Attention Layer + HPSG + XLNet	96.26	97.42	97.3	Rethinking Self-Attention: Towards Interpretability in Neural Parsing			2019
2	Deep Biaffine + RoBERTa	95.83	97.29		Deep Biaffine Attention for Neural Dependency Parsing			2016
3	HPSG Parser (Joint)	95.72	97.20	97.3	Head-Driven Phrase Structure Grammar Parsing on Penn Treebank			2019
4	ACE	95.7	97.2		Automated Concatenation of Embeddings for Structured Prediction			2020
5	MFVI	95.34	96.91		Second-Order Neural Dependency Parsing with Message Passing and End-to-End Training			2020
6	CVT + Multi-Task + Large	95.02	96.61		Semi-Supervised Sequence Modeling with Cross-View Training			2018
7	CVT + Multi-Task	94.83	96.44		Semi-Supervised Sequence Modeling with Cross-View Training			2018
8	SpanRel	94.7			Generalizing Natural Language Analysis through Span-relation Representations			2019
9	CRFPar	94.49	96.14		Efficient Second-Order TreeCRF for Neural Dependency Parsing			2020
10	Left-to-Right Pointer Network	94.43	96.04	97.3	Left-to-Right Dependency Parsing with Pointer Networks			2019

# / Final Exercise



*Thank you!*



*Have a great day!*

# / Reference

## Reference for this lecture

- Deng, L., & Liu, Y. (Eds.). (2018). Deep Learning in Natural Language Processing. Springer.
- Rao, D., & McMahan, B. (2019). Natural Language Processing with PyTorch: Build Intelligent Language Applications Using Deep Learning. " O'Reilly Media, Inc.".
- Manning, C. D., Manning, C. D., & Schütze, H. (1999). Foundations of statistical natural language processing. MIT press.
- Nivre, J (2016). Transition-based dependency parsing, lecture notes, Uppsala Universitet
- Manning, C 2017, Natural Language Processing with Deep Learning, lecture notes, Stanford University
- Chen, D., & Manning, C. (2014). A fast and accurate dependency parser using neural networks. In Proceedings of the 2014 conference on empirical methods in natural language processing (EMNLP) (pp. 740-750).
- Eisner, J. M. (1996, August). Three new probabilistic models for dependency parsing: An exploration. In Proceedings of the 16th conference on Computational linguistics-Volume 1 (pp. 340-345). Association for Computational Linguistics.