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Advanced Machine Learning

(COMP 5328)

Learning with Noisy Data II: Label Noise

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Announcements

- Assignment 2 is online now
 - Assignment 2 due on 03/11/2022, 11:59pm
 - Group-based (2-3 students per group). Find your teammates by yourselves.



Assignment 2

Summary

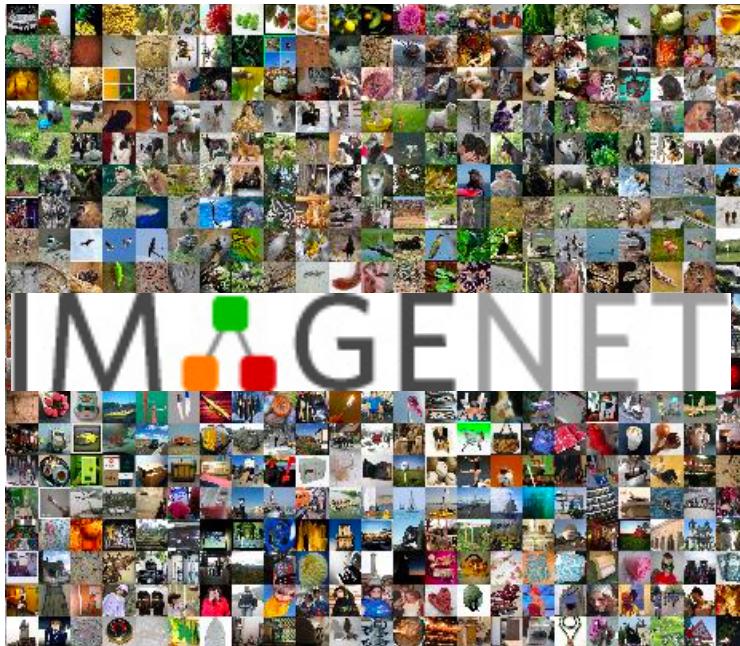
The objective of this assignment is to design algorithms that are robust to label noise. Three input datasets are given. For each dataset, the training and validation data contains class-conditional random label noise, whereas the test data is clean. You need to build at least two different classifiers trained and validated on the noisy data, that can have a good classification accuracy on the clean test data.

The big data era

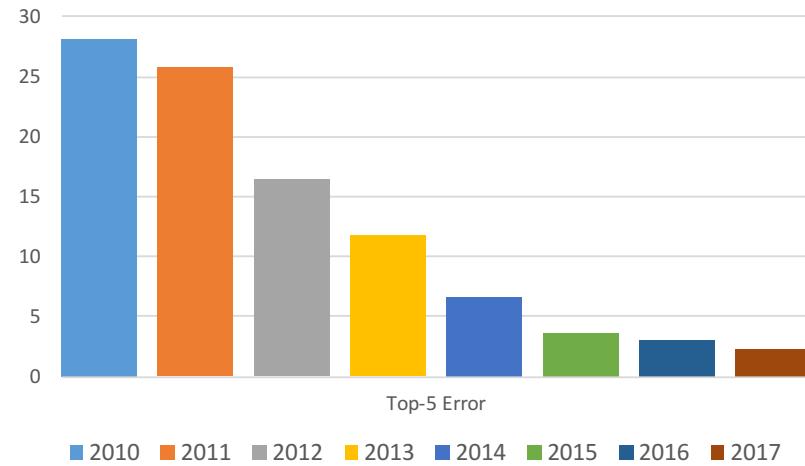


<https://www.zdnet.com/article/big-data-2018-cloud-storage-becomes-the-de-facto-data-lake/>

The big data era

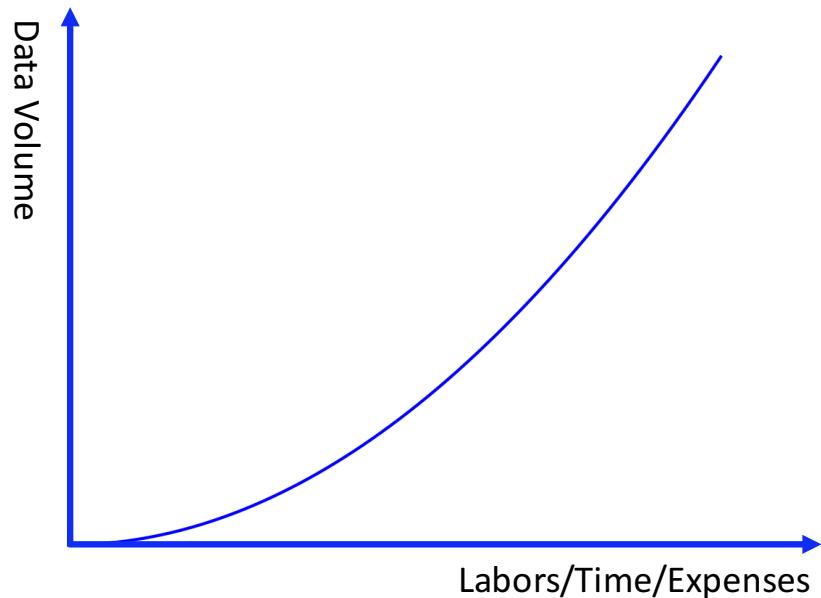


ImageNet Classification Error



Data credit: <http://www.image-net.org/>

The big data era



Data credit: <http://www.image-net.org/>

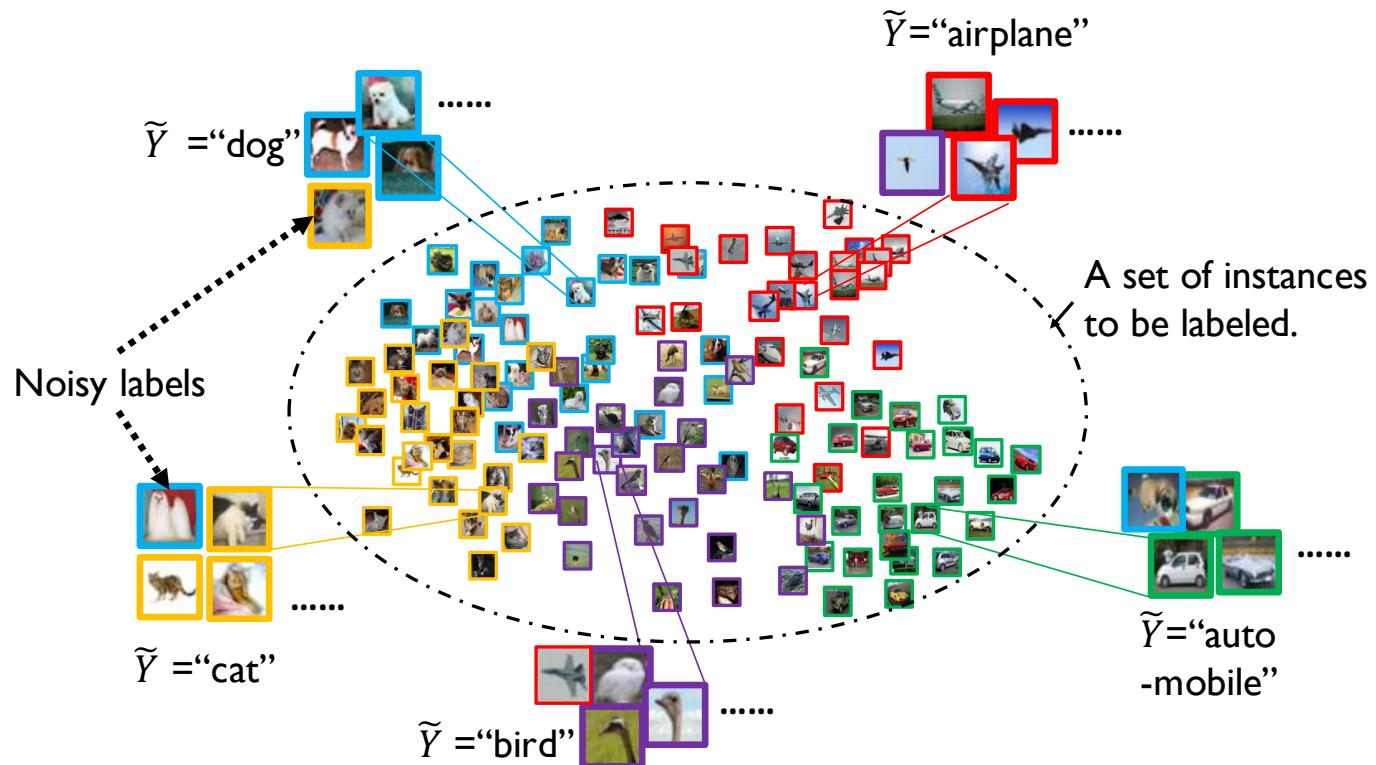
Labelling Costs



Labelling Costs



What is label noise?



What is label noise?

Label noise widely exists even for small data because:

- Labels are provided by **non-expert labellers**, such as those in the Amazon Mechanical Turk.
- The labelling task is **subjective**, especially in tasks such as image captioning.
- **Insufficient discriminative information** for assigning reliable labels. Collecting reliable labels is time-consuming and costly.

Label noise widely exists

	MNIST	CIFAR-10	CIFAR-100	Caltech-256	ImageNet	QuickDraw
correctable						
	given: 5 corrected: 3	given: cat corrected: frog	given: lobster corrected: crab	given: ewer corrected: teapot	given: white stork corrected: black stork	given: tiger corrected: eye
multi-label	(N/A)	(N/A)				
			given: hamster also: cup	given: fried egg also: frying pan	given: mantis also: fence	given: hat also: flying saucer
neither						
	given: 6 alt: 1	given: deer alt: bird	given: rose alt: apple	given: porcupine alt: hot tub	given: polar bear alt: elephant	given: pineapple alt: raccoon
non-agreement						
	given: 4 alt: 9	given: deer alt: frog	given: spider alt: cockroach	given: minotaur alt: coin	given: eel alt: flatworm	given: bandage alt: roller coaster

Image credit: Northcutt et al. "Pervasive label errors in test sets destabilize machine learning benchmarks." arXiv preprint arXiv:2103.14749 (2021).

Real-world problems

- ImageNet dataset has noisy labels.
- The WebVision database and the extremely large scale JFT-300M database have about 20% of images which are believed to be incorrectly labelled.

[1] Li, W., Wang, L., Li, W., Agustsson, E., Berent, J., Gupta, A., Sukthankar, R. and Van Gool, L., 2017. WebVision challenge: visual learning and understanding with web data. arXiv preprint arXiv:1705.05640.

[2] Sun, C., Shrivastava, A., Singh, S. and Gupta, A., 2017. Revisiting Unreasonable Effectiveness of Data in Deep Learning Era. arXiv preprint arXiv:1707.02968.



Classification

- Expected 0-1 Risk:

$$R_D(f) = P(\text{sign}(f(X)) \neq Y) = \mathbb{E}_{(X,Y) \sim D} [\mathbf{1}(\text{sign}(f(X)) \neq Y)].$$

clean data.

- Expected L -Risk:

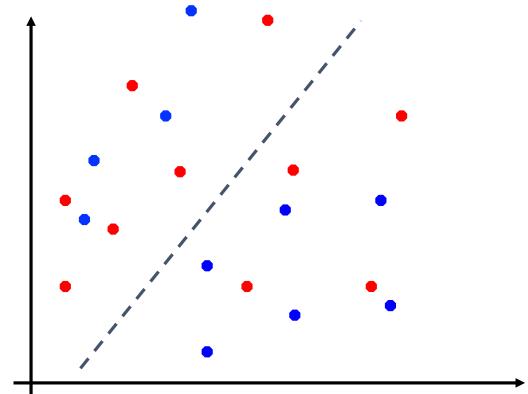
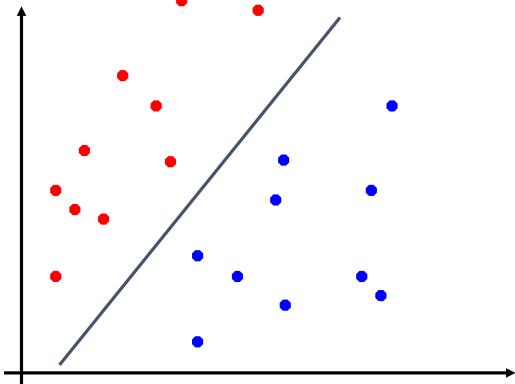
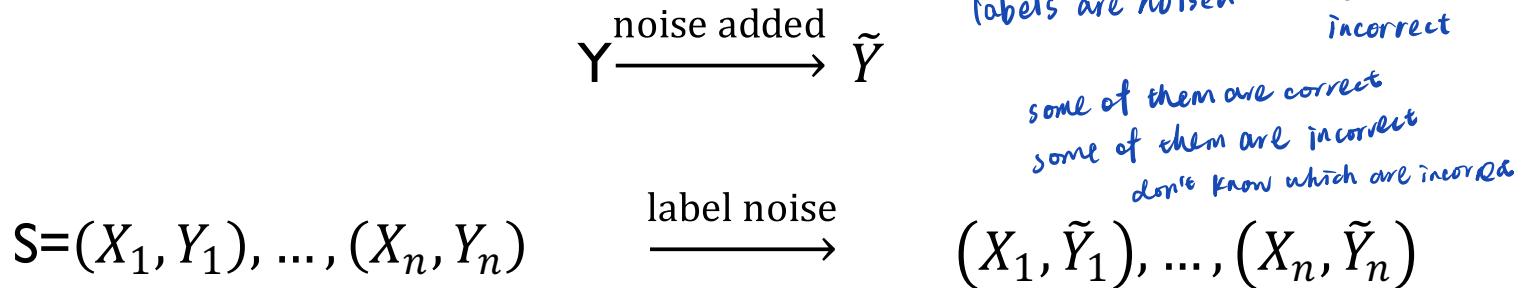
$$R_{D,L}(f) = \mathbb{E}_{(X,Y) \sim D} [L(f(X), Y)].$$

- Empirical risk:

$$R_{D,L,n}(f) = \frac{1}{n} \sum_{i=1}^n L(f(X_i), Y_i).$$

*loss function no L means original loss, L means surrogate loss
↑ ↑ sample
distribution*

Learning with noisy labels





Learning with noisy labels

Problem Setup

- Observation: $X \in \mathcal{X} \subset \mathbb{R}^d$.
- Clean but unobservable label: $Y \in \mathcal{Y} = \{-1, +1\}$.
- Observable but noisy label: $\tilde{Y} \in \mathcal{Y}$.
- Clean distribution: $D(X, Y)$; Noisy distribution: $D_\rho(X, \tilde{Y})$.



Learning with noisy labels

Problem Setup

- Given the training examples $\{(X_i, \tilde{Y}_i)\}_{1 \leq i \leq n} \sim D_\rho(X, \tilde{Y})^n$.
- The target is to learn a discriminant function $f_n: \mathcal{X} \rightarrow \mathbb{R}$ such that the classifier predicts the correct label y given an observation x .

but also best classifier



Model Label Noise

A probabilistic model:

$$\rho_Y(X) = P(\tilde{Y}|Y, X),$$

where X is the feature, Y is the unobservable true label, and \tilde{Y} is the observed noisy label.

$$\rho_{+1}(X) = P(\tilde{Y} = -1|Y = 1, X); \rho_{-1}(X) = P(\tilde{Y} = 1|Y = -1, X).$$

Note that if there is no label noise, we have

$$P(\tilde{Y} = 1|Y = 1, X) = P(\tilde{Y} = -1|Y = -1, X) = 1$$

otherwise

$$P(\tilde{Y} = 1|Y = -1, X), P(\tilde{Y} = -1|Y = 1, X) \in (0,1).$$



Model Label Noise

(1) Random Classification Noise (RCN):

$$\rho_Y(X) = P(\tilde{Y}|Y, X) = P(\tilde{Y}|Y); \rho_{+1}(X) = \rho_{-1}(X) = \rho.$$

assume ② flipping probability is independent of Y

assume ① flipping probability is independent of X

$$\rho_{+1}(X) = P(\tilde{Y} = -1|Y = 1, X); \rho_{-1}(X) = P(\tilde{Y} = 1|Y = -1, X).$$

(2) Class-Dependent Noise (CCN):

$$\rho_Y(X) = P(\tilde{Y}|Y, X) = P(\tilde{Y}|Y); \rho_{+1}(X) = \rho_{+1}, \rho_{-1}(X) = \rho_{-1}.$$

↑
flip from +1 to -1

↑
flip from -1 to +1

(3) Instance- and Label-Dependent Noise (ILN):

$$\rho_Y(X) = P(\tilde{Y}|Y, X).$$



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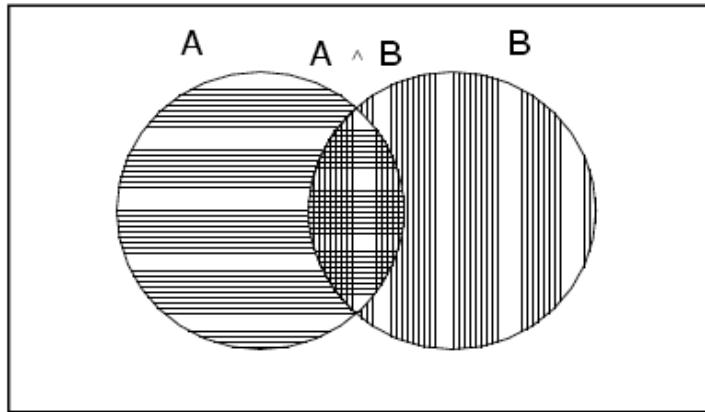
Basics in Probability Theory



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Axioms of probability

True



$$P(A \cup B) = P(A) + P(B) - P(A \cap B)$$

$$P(A \cap B) \equiv P(AB) \equiv P(A, B)$$

$$P(A^c) = 1 - P(A)$$



Rules of Probability

- Sum Rule

$$p(X) = \sum_Y p(X, Y)$$

- Product Rule

$$p(X, Y) = p(Y|X)p(X)$$
$$= p(X|Y)p(Y)$$



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Random Classification Noise



Random Classification Noise (RCN)

The Impact of Label Noise

Under RCN, minimisation of *any convex potential* over a **linear function class** can result in classification performance equivalent to **random guessing**.

loss function

Convex potential: any loss function $\ell: (h(X), Y) \mapsto \phi(Yh(X))$ where $\phi: \mathbb{R} \rightarrow \mathbb{R}$ is convex, non-increasing, differentiable with $\phi'(0) < 0$, and $\phi(+\infty) = 0$.

linear function class: $\mathcal{F}_{lin} = \{x \mapsto \omega^\top x | \omega \in \mathbb{R}^d\}$.

* input data (with noise)
* pre-defined hypothesis class

Long, Philip M., and Rocco A. Servedio. "Random classification noise defeats all convex potential boosters." Machine learning 78.3 (2010): 287-304.



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Random Classification Noise (RCN)

How to Reduce the Effects of RCN?

Symmetric loss function is robust to RCN when the function class \mathcal{F}_{lin} is extended to the universal function space, which means the function in it can be of any form.



Random Classification Noise (RCN)

How to Reduce the Effects of RCN?

0-1 loss *sigmoid loss*
unhinged loss → *only convex & symmetric*

Symmetric loss function is robust to RCN when the function class \mathcal{F}_{lin} is extended to the universal function space, which means the function in it can be of any form.

f : hypothesis $f(x)$: prediction

Theorem I. The losses satisfying the following symmetric criterion are robust to RCN:

$$L(f(X), +1) + L(f(X), -1) = C, \quad \text{eg 0-1 loss function}$$

where C is a constant. That is

$$\underset{f}{\text{robust}} \rightarrow \arg \min_f R_{D,L}(f) = \arg \min_f R_{D_{\rho},L}(f).$$

minimiser with clean data
||
minimiser with noise data

Van Rooyen, Brendan, Aditya Menon, and Robert C. Williamson. "Learning with symmetric label noise: The importance of being unhinged." Advances in Neural Information Processing Systems. 2015.



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Random Classification Noise (RCN)

We will prove that

$$R_{D_\rho, L}(f) = \mathbb{E}_{(X, \tilde{Y}) \sim D_\rho} [L(f(X), \tilde{Y})] = (1 - 2\rho) R_{D, L}(f) + \rho C.$$





Random Classification Noise (RCN)

Proof

$$P(\tilde{Y} = 1|X)$$

sum rule

$$= P(\tilde{Y} = 1, Y = 1|X) + P(\tilde{Y} = 1, Y = -1|X)$$

product rule

$$= P(\tilde{Y} = 1|Y = 1, X)P(Y = 1|X) + P(\tilde{Y} = 1|Y = -1, X)P(Y = -1|X)$$

$$= (1 - \rho_{+1}(X))P(Y = 1|X) + \rho_{-1}(X)P(Y = -1|X)$$

$$\stackrel{\text{flip prob}}{=} (1 - \rho_{+1}(X) - \rho_{-1}(X))P(Y = 1|X) + \rho_{-1}(X).$$

$$= (1 - \rho_{+1}(X) - \rho_{-1}(X))P(Y = 1|X) + \rho_{-1}(X).$$



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Random Classification Noise (RCN)

Proof Cont'd

$$P(\tilde{Y} = -1|X) = (1 - \rho_{+1}(X) - \rho_{-1}(X))P(Y = -1|X) + \rho_{+1}(X)$$

Under RCN

$$\rho_{+1}(x) = \rho_{-1}(x) = \rho$$

*expect risk w.r.t noisy data
= expect risk w.r.t clean data*

$$P(\tilde{Y} = 1|X) = (1 - 2\rho)P(Y = 1|X) + \rho$$

$$P(\tilde{Y} = -1|X) = (1 - 2\rho)P(Y = -1|X) + \rho$$



Random Classification Noise (RCN)

Proof Cont'd

$$\begin{aligned} R_{D_\rho, L}(f) &= \mathbb{E}_{(X, \tilde{Y}) \sim D_\rho}[L(f(X), \tilde{Y})] \\ &\stackrel{\text{def}}{=} \int \left(P(\tilde{Y} = 1 | X) L(f(X), 1) + P(\tilde{Y} = -1 | X) L(f(X), -1) \right) dX \\ &= \int \left(P(\tilde{Y} = 1 | X) P(X) L(f(X), 1) + P(\tilde{Y} = -1 | X) P(X) L(f(X), -1) \right) dX \\ &= \int P(X) [(1 - 2\rho) P(Y = 1 | X) L(f(X), 1) + \rho L(f(X), 1)] dX \\ &\quad + \int P(X) [(1 - 2\rho) P(Y = -1 | X) L(f(X), -1) + \rho L(f(X), -1)] dX \\ &\stackrel{\text{clean data}}{=} (1 - 2\rho) \int \left(P(Y = 1 | X) L(f(X), 1) + P(Y = -1 | X) L(f(X), -1) \right) dX \\ &\quad + \rho \int P(X) [\ell(h(X), +1) + \ell(h(X), -1)] dX \end{aligned}$$

if loss function is symmetric func
then constant



Random Classification Noise (RCN)

Proof Cont'd

$$\begin{aligned} R_{D_\rho, L}(f) &= \mathbb{E}_{(X, \tilde{Y}) \sim D_\rho} [L(f(X), \tilde{Y})] \\ &= (1 - 2\rho) \int \left(P(Y = 1, X) L(f(X), 1) + P(Y = -1, X) L(f(X), -1) \right) dX \\ &\quad + \rho \int P(X) [\ell(h(X), +1) + \ell(h(X), -1)] dX \\ &= (1 - 2\rho) \mathbb{E}_{(X, Y) \sim D} [L(f(X), Y)] + \rho C \\ &= (1 - 2\rho) R_{D, L}(f) + \rho C. \end{aligned}$$

Completed!

normally $\rho \approx 0.5$
we believe the majority label are correct.

if data size is large
empirical risk
→ close to expected risk



Random Classification Noise (RCN)

RCN-Robust Losses

The symmetric losses are robust to RCN:

(1) 0-1 Loss: $L(f(X), Y) = \mathbf{1}(\text{sign}(f(X)) \neq Y);$

(2) Unhinged Loss: $L(f(X), Y) = 1 - Yf(X);$ *Note hinge loss* $\max\{0, 1 - Yf(x)\}$

(3) Sigmoid Loss: $L(f(X), Y) = \frac{1}{1+e^{Yf(X)}};$ $L(f(x), 1) = \frac{1}{1+e^{f(x)}}$ $L(f(x), -1) = \frac{1}{1+e^{-f(x)}} = \frac{e^{-f(x)}}{e^{-f(x)}+1} > \Sigma = 1$

(4) Ramp Loss: $L(f(X), Y) = \frac{1}{2} \max(0, \min(2, 1 - Yf(X))) \dots$

Ghosh, Aritra, Naresh Manwani, and P. S. Sastry. "Making risk minimization tolerant to label noise." Neurocomputing 160 (2015): 93-107.



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Class-dependent Label Noise: Binary

Class-dependent Label Noise

(2) Class-Dependent Noise (CCN):

$$\rho_Y(X) = P(\tilde{Y}|Y, X) = P(\tilde{Y}|Y); \rho_{+1}(X) = \rho_{+1}, \rho_{-1}(X) = \rho_{-1}.$$



Class-dependent Label Noise

Modifying the loss function L to \tilde{L} such that

$$\arg \min_{f \in \mathcal{F}} R_{D,L}(f) = \arg \min_{f \in \mathcal{F}} R_{D_\rho, \tilde{L}}(f).$$

Methods: **Importance reweighting**, unbiased estimator, cost-sensitive loss, rank pruning.....

Liu, Tongliang, and Dacheng Tao. "Classification with noisy labels by importance reweighting." IEEE Transactions on pattern analysis and machine intelligence 38.3 (2016): 447-461.

Natarajan, Nagarajan, et al. "Learning with noisy labels." Advances in neural information processing systems. 2013.



Class-dependent Label Noise

Viewing the noisy data and clean data are sampled from two domains, importance reweighting can be applied.

$$\begin{aligned} R_{D,L}(f) &= \mathbb{E}_{(X,Y) \sim D} [L(f(X), Y)] = \int P_D(X, Y) L(f(X), Y) dXdY \\ &= \int P_{D_\rho}(X, Y) \frac{P_D(X, Y)}{P_{D_\rho}(X, Y)} L(f(X), Y) dXdY \\ &= \mathbb{E}_{(X,Y) \sim D_\rho} \left[\frac{P_D(X, Y)}{P_{D_\rho}(X, Y)} L(f(X), Y) \right] \\ &= \mathbb{E}_{(X,Y) \sim D_\rho} [\beta(X, Y) L(f(X), Y)] \quad \text{where } \beta(x, y) = \frac{P_D(X=x, Y=y)}{P_{D_\rho}(X=x, \tilde{Y}=y)}. \end{aligned}$$

Liu, Tongliang, and Dacheng Tao. "Classification with noisy labels by importance reweighting." IEEE Transactions on pattern analysis and machine intelligence 38.3 (2016): 447-461.



Class-dependent Label Noise

Viewing the noisy data and clean data are sampled from two domains, importance reweighting can be applied.

Recall that

$$P_{D_\rho}(\tilde{Y} = y | X = \mathbf{x}) = (1 - \rho_{+1} - \rho_{-1})P_D(Y = y | X = \mathbf{x}) + \rho_{-y}$$

Then

$$\beta(\mathbf{x}, y) = \frac{P_D(X = \mathbf{x}, Y = y)}{P_{D_\rho}(X = \mathbf{x}, \tilde{Y} = y)} = \frac{P_D(Y = y | X = \mathbf{x})}{P_{D_\rho}(\tilde{Y} = y | X = \mathbf{x})} = \frac{P_{D_\rho}(\tilde{Y} = y | X = \mathbf{x}) - \rho_{-y}}{(1 - \rho_{+1} - \rho_{-1})P_{D_\rho}(\tilde{Y} = y | X = \mathbf{x})}.$$

instances are clean
 \uparrow

Liu, Tongliang, and Dacheng Tao. "Classification with noisy labels by importance reweighting." IEEE Transactions on pattern analysis and machine intelligence 38.3 (2016): 447-461.



Class-dependent Label Noise

Noise rate estimation

Recall that

$$P(\tilde{Y} = -1 | X = \mathbf{x}_{+1}) = (1 - \rho_{+1} - \rho_{-1})P(Y = -1 | X = \mathbf{x}_{+1}) + \rho_{+1}$$

$$P(\tilde{Y} = +1 | X = \mathbf{x}_{-1}) = (1 - \rho_{+1} - \rho_{-1})P(Y = +1 | X = \mathbf{x}_{-1}) + \rho_{-1}$$

equal when $P(Y = -1 | X = \mathbf{x}_{+1}) = 0$
the instance is always +1

We also assume that the flip rate is small such that

$$\rho_{+1} + \rho_{-1} \leq 1. \quad \rightarrow \text{so factor } (1 - \rho_{+1} - \rho_{-1}) > 0.$$

We have

$$P(\tilde{Y} = -1 | X = \mathbf{x}) \geq \rho_{+1}$$

$$P(\tilde{Y} = +1 | X = \mathbf{x}) \geq \rho_{-1}$$

Liu, Tongliang, and Dacheng Tao. "Classification with noisy labels by importance reweighting." IEEE Transactions on pattern analysis and machine intelligence 38.3 (2016): 447-461.



Class-dependent Label Noise

Noise rate estimation

To estimate flip rate,
find instances \Rightarrow assume specific
instance exists,
then

$$P(\tilde{Y} = -1 | X = \mathbf{x}_{+1}) = (1 - \rho_{+1} - \rho_{-1}) P(Y = -1 | X = \mathbf{x}_{+1}) + \rho_{+1}$$

$$P(\tilde{Y} = +1 | X = \mathbf{x}_{-1}) = (1 - \rho_{+1} - \rho_{-1}) P(Y = +1 | X = \mathbf{x}_{-1}) + \rho_{-1}$$

0

$$P(\tilde{Y} = -1 | X = \mathbf{x}_{+1}) = \rho_{+1}$$

$$P(\tilde{Y} = -1 | X = \mathbf{x}) \geq \rho_{+1}$$

$$P(\tilde{Y} = +1 | X = \mathbf{x}_{-1}) = \rho_{-1}$$

$$P(\tilde{Y} = +1 | X = \mathbf{x}) \geq \rho_{-1}$$

We designed the following estimator: $\rho_{-y} = \min_{X \in \mathcal{X}} P(\tilde{Y} = y | X)$



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Class-dependent Label Noise

$$R_{D,L}(f) = \mathbb{E}_{(X,Y) \sim D_\rho} [\beta(X, Y) L(f(X), Y)]$$

$$\text{where } \beta(x, y) = \frac{P_D(X=x, Y=y)}{P_{D_\rho}(X=x, \tilde{Y}=y)} = \frac{P_{D_\rho}(\tilde{Y}=y|X=x) - \rho - y}{(1-\rho+1-\rho-1)P_{D_\rho}(\tilde{Y}=y|X=x)}.$$

$$\approx \frac{1}{n} \sum_{i=1}^n \beta(x_i, \tilde{y}_i) \downarrow (f(x_i), \tilde{y}_i)$$

Liu, Tongliang, and Dacheng Tao. "Classification with noisy labels by importance reweighting." IEEE Transactions on pattern analysis and machine intelligence 38.3 (2016): 447-461.



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Class-dependent Label Noise: Multi-class

Transition matrix

We can obtain the following by using the product rule and the sum rule:

$$\begin{bmatrix} P(\tilde{Y} = 1|x) \\ \vdots \\ P(\tilde{Y} = C|x) \end{bmatrix} = \boxed{\begin{bmatrix} P(\tilde{Y} = 1|Y = 1, x) & \cdots & P(\tilde{Y} = 1|Y = C, x) \\ \vdots & \ddots & \vdots \\ P(\tilde{Y} = C|Y = 1, x) & \cdots & P(\tilde{Y} = C|Y = C, x) \end{bmatrix}} \begin{bmatrix} P(Y = 1|x) \\ \vdots \\ P(Y = C|x) \end{bmatrix}$$

law of total prob

C² prob to flip if C classes

noisy posterior

*↑
clean posterior
to learn*

Learning with noisy labels

Let T be the following flip matrix (also called transition matrix), e.g.,

$$T = \begin{bmatrix} P(\tilde{Y} = 1|Y = 1) & P(\tilde{Y} = 1|Y = 2) & \dots & P(\tilde{Y} = 1|Y = C) \\ P(\tilde{Y} = 2|Y = 1) & P(\tilde{Y} = 2|Y = 2) & \dots & P(\tilde{Y} = 2|Y = C) \\ \vdots & \vdots & \vdots & \vdots \\ P(\tilde{Y} = C|Y = 1) & P(\tilde{Y} = C|Y = 2) & \dots & P(\tilde{Y} = C|Y = C) \end{bmatrix}.$$

If we assume that given the clean label, the noisy label is independent with the instance, we have that $P(\tilde{Y}|Y) = P(\tilde{Y}|Y, X)$, and that

Forward

$$[P(\tilde{Y} = 1|X), \dots, P(\tilde{Y} = C|X)]^\top = T [P(Y = 1|X), \dots, P(Y = C|X)]^\top,$$

or $[P(Y = 1|X), \dots, P(Y = C|X)]^\top = T^{-1} [P(\tilde{Y} = 1|X), \dots, P(\tilde{Y} = C|X)]^\top$.

Backward

① inverse is hard

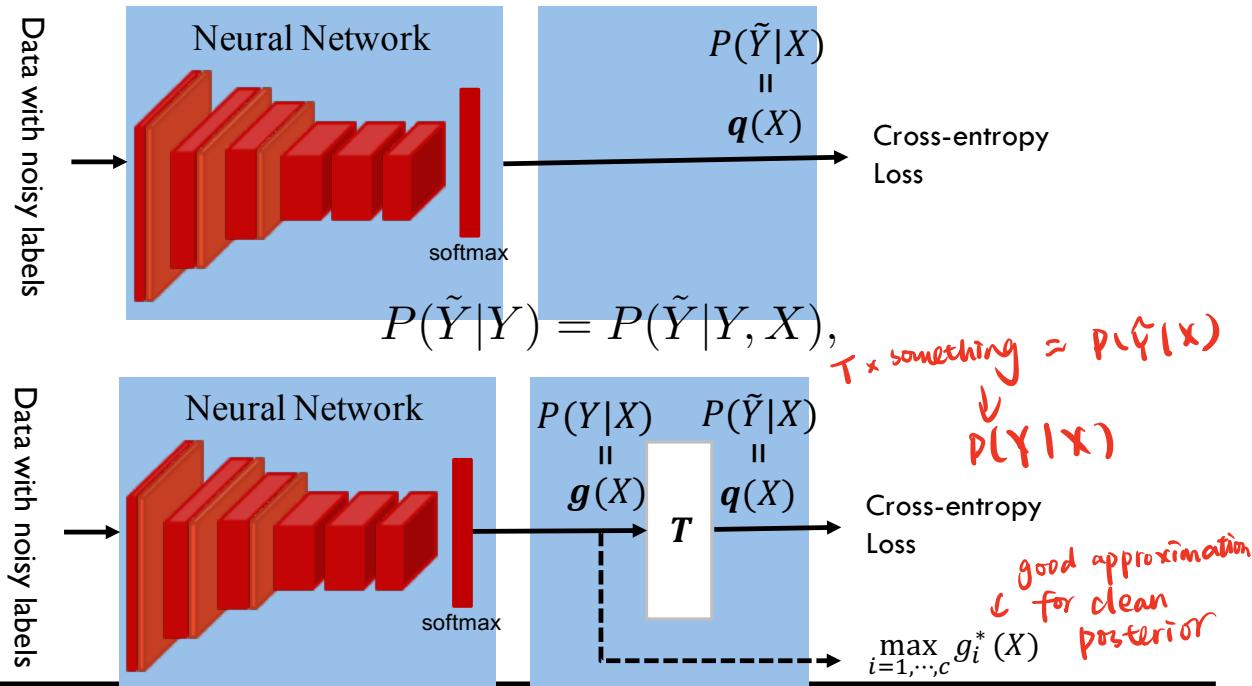
The above means that we can infer the clean class posterior by employing the noisy class posterior and the inverse transition matrix.

Learning with noisy labels

known $(x_i, \tilde{y}_i)_{i=1}^n$

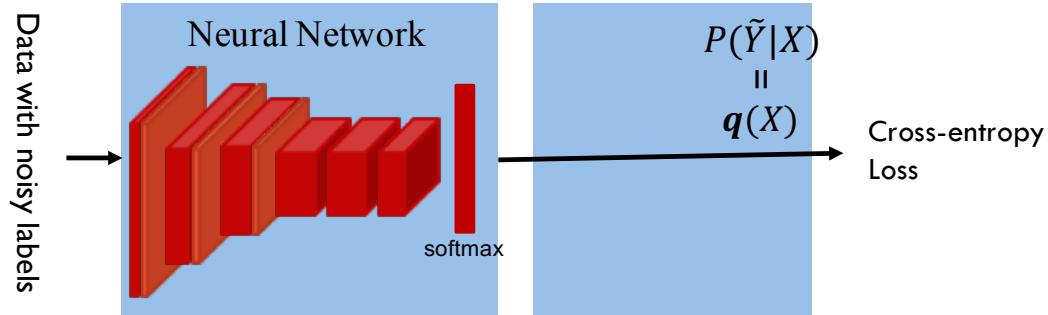
Forward learning:

$$[P(\tilde{Y} = 1|X), \dots, P(\tilde{Y} = C|X)]^\top = T [P(Y = 1|X), \dots, P(Y = C|X)]^\top.$$



Learning with noisy labels

Backward learning:



We have that

$$P(Y|X) = \mathbf{g}(X) = T^{-1}q(X)$$

because $[P(Y = 1|X), \dots, P(Y = C|X)]^\top = T^{-1}[P(\tilde{Y} = 1|X), \dots, P(\tilde{Y} = C|X)]^\top$.



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Instance- and Class-dependent Label Noise

(3) Instance- and Label-Dependent Noise (ILN):

$$\rho_Y(X) = P(\tilde{Y}|Y, X).$$



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Relationship: noisy data, flip rates, and clean data

$$P(\tilde{Y} = 1|X)$$

$$= P(\tilde{Y} = 1, Y = 1|X) + P(\tilde{Y} = 1, Y = -1|X)$$

$$= P(\tilde{Y} = 1|Y = 1, X)P(Y = 1|X) + P(\tilde{Y} = 1|Y = -1, X)P(Y = -1|X)$$

$$= (1 - \rho_{+1}(X))P(Y = 1|X) + \rho_{-1}(X)P(Y = -1|X)$$

$$= (1 - \rho_{+1}(X) - \rho_{-1}(X))P(Y = 1|X) + \rho_{-1}(X).$$



Instance- and Class-dependent Label Noise

Estimating flip rate for instance- and class-dependent label noise is ill-posed, e.g., if we assume

$$P(\tilde{Y} = 1|X) = 0.8,$$

① noisy posterior large
② label noise rate small
↓ infer
clean posterior $P(Y=1|X) > 0.5$

We may have different possible solutions for $P(Y = 1|X)$ and $\rho(X)$, e.g.,

flip rate is small

$$P(Y = 1|X) = 1; \rho_{-1}(X) = \rho_{+1}(X) = 0.2.$$

$$P(Y = 1|X) = 0.875; \rho_{-1}(X) = \rho_{+1}(X) = 0.1.$$



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Instance- and Class-dependent Label Noise

Estimating flip rate for instance- and class-dependent label noise is ill-posed. → *no exact transition matrix*

Open problem: Can we make some reasonable assumptions such that the flip rate is identifiable?