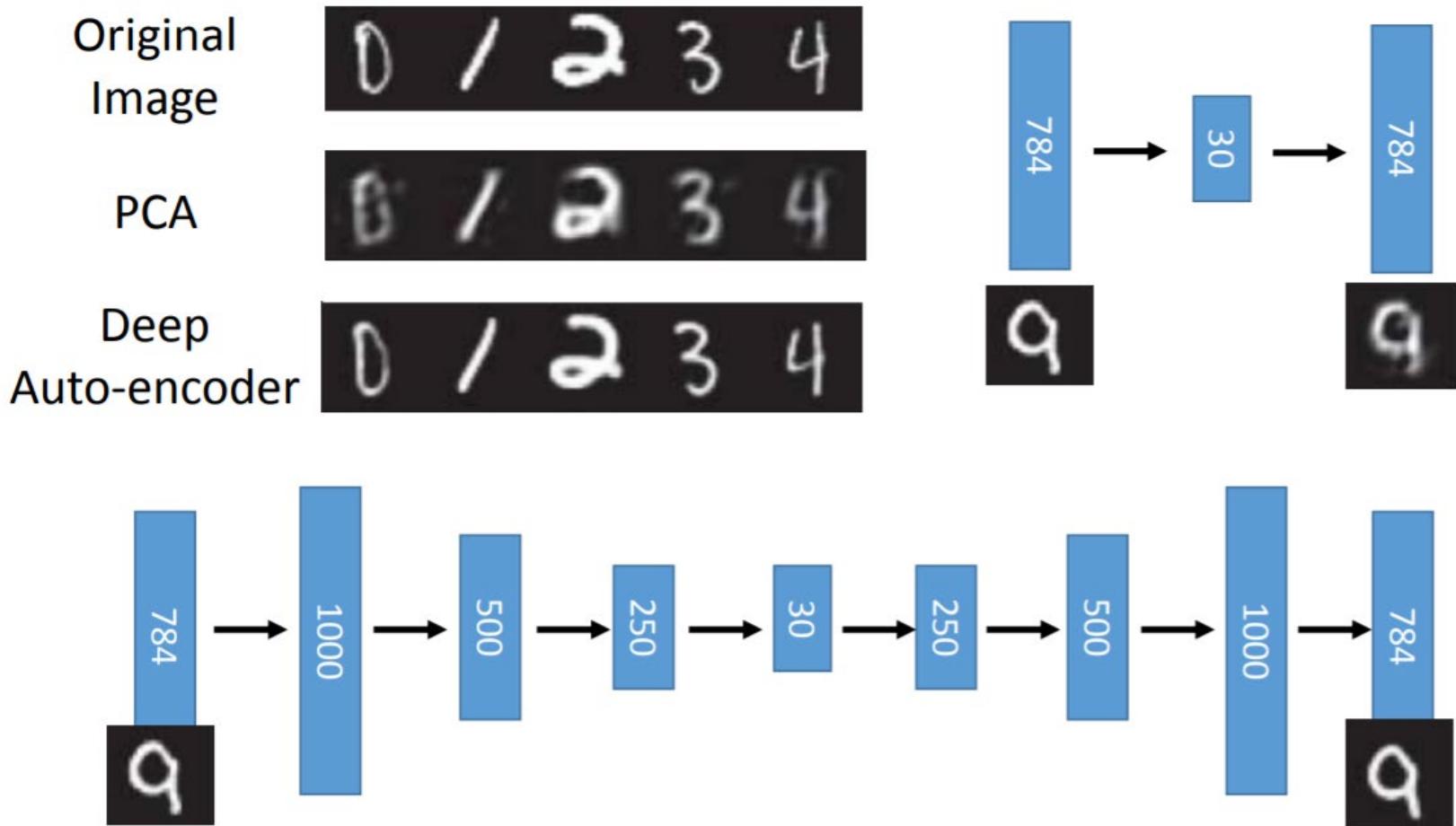


# Auto-encoder

# Autoencoder (AE)



Reference: Hinton, Geoffrey E., and Ruslan R. Salakhutdinov. "Reducing the dimensionality of data with neural networks." *Science* 313.5786 (2006): 504-507

- CNN Image Classifier – Convolution section + MLP classifier
- CAE – Convolution section + Deconvolution section to recover the input image

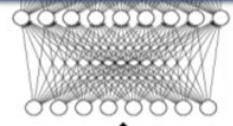
**HW5**  $y = f(x)$

$y=1, \dots, 1000$

cat dog .....

**HW4**

Fully Connected  
Feedforward network



Flatten

$x=244 \times 244 \times 3$



Convolution

Max Pooling

Convolution

Max Pooling

Can repeat  
many times

**HW6**  $x = f(x)$

$y=244 \times 244 \times 3$

Deconvolution

Unpooling

Deconvolution

Unpooling

Deconvolution

As close as  
possible

$x=244 \times 244 \times 3$



Convolution

Pooling

Convolution

Pooling

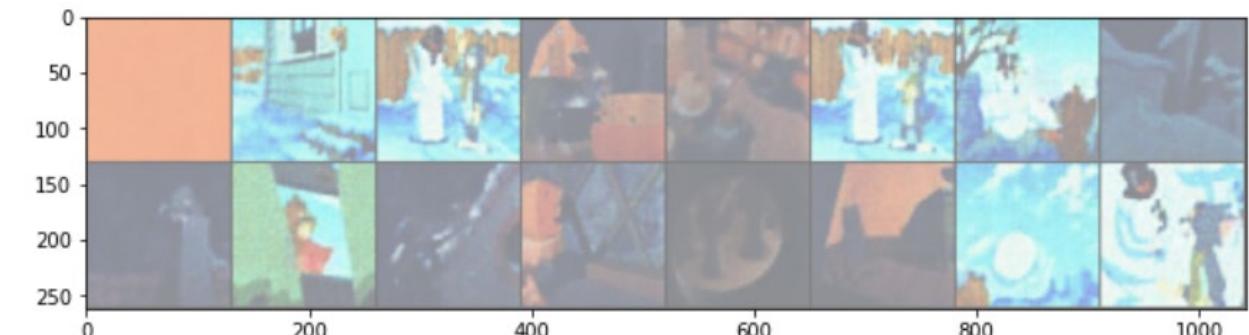
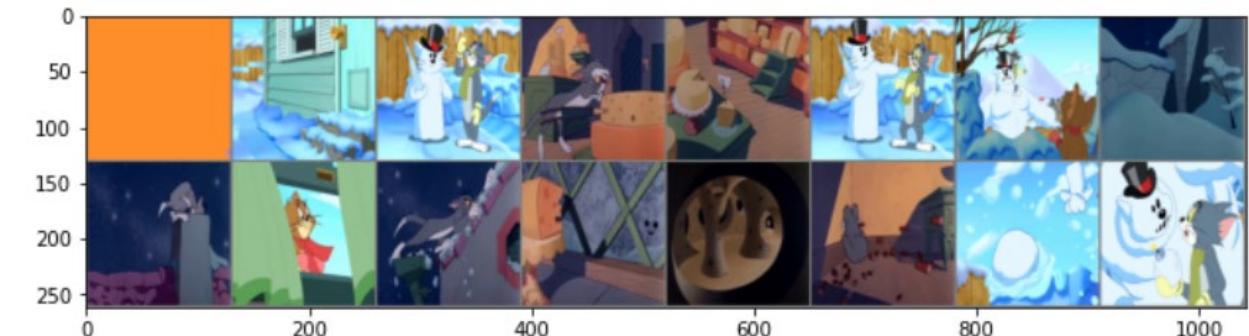
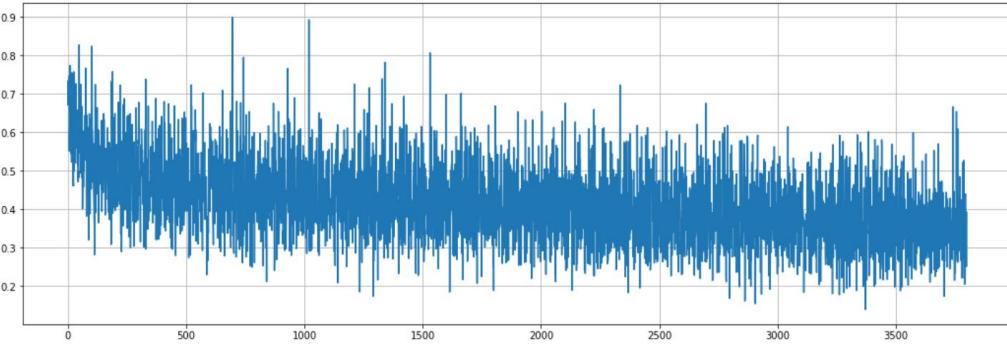
code

# Practice

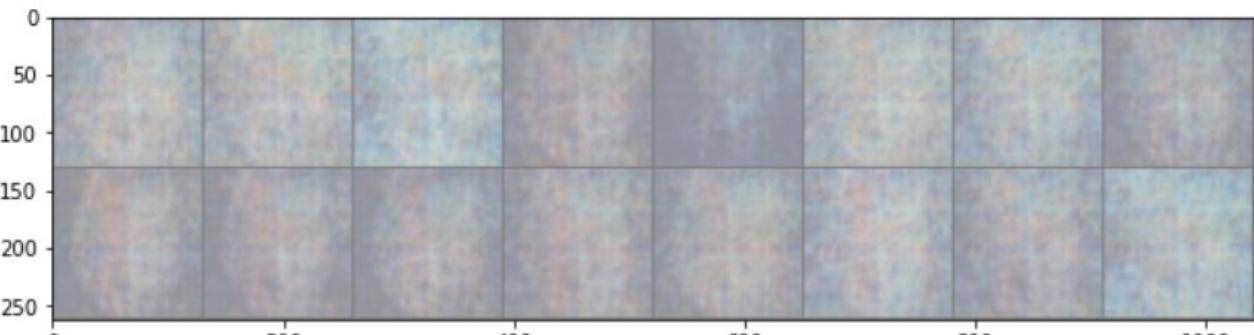
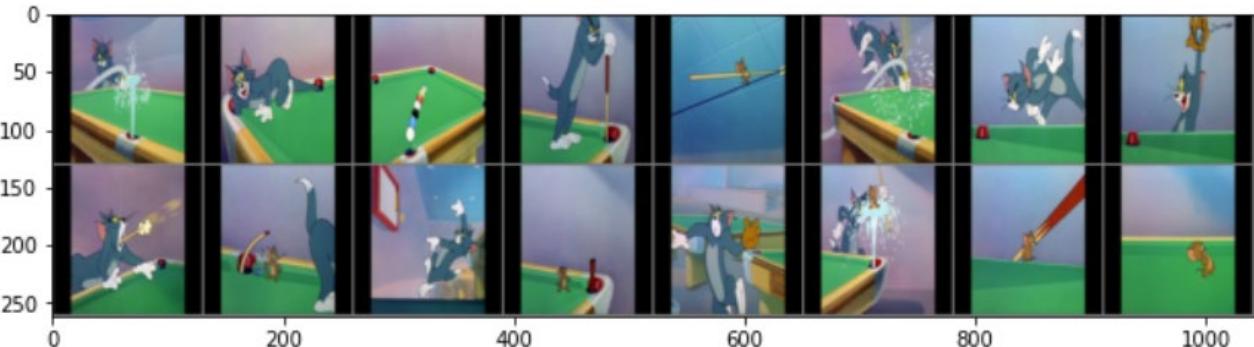
- Run "7.1.Conv\_AE.ipynb"



# Train 200 epochs

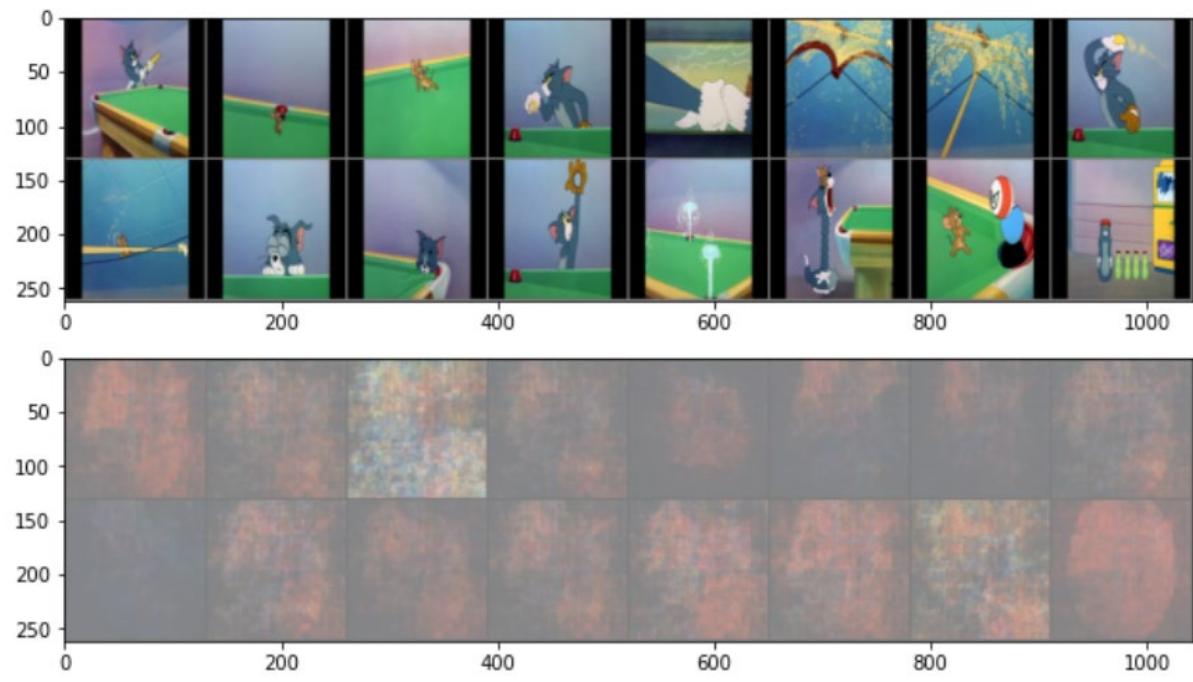
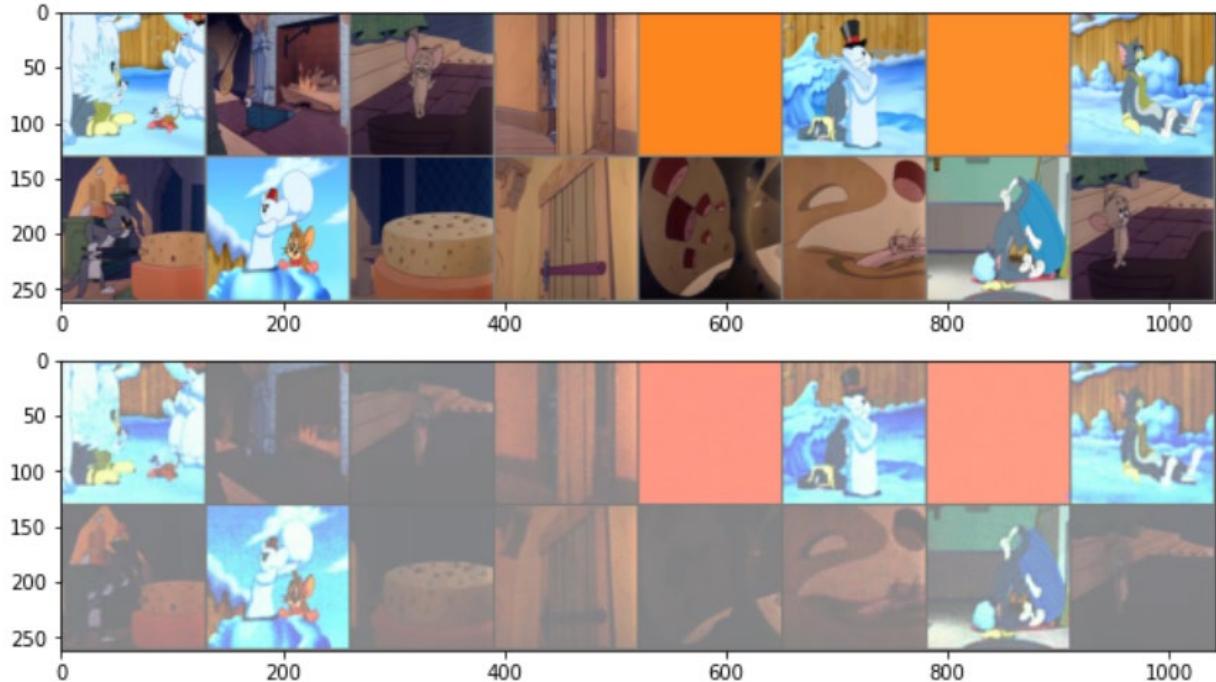
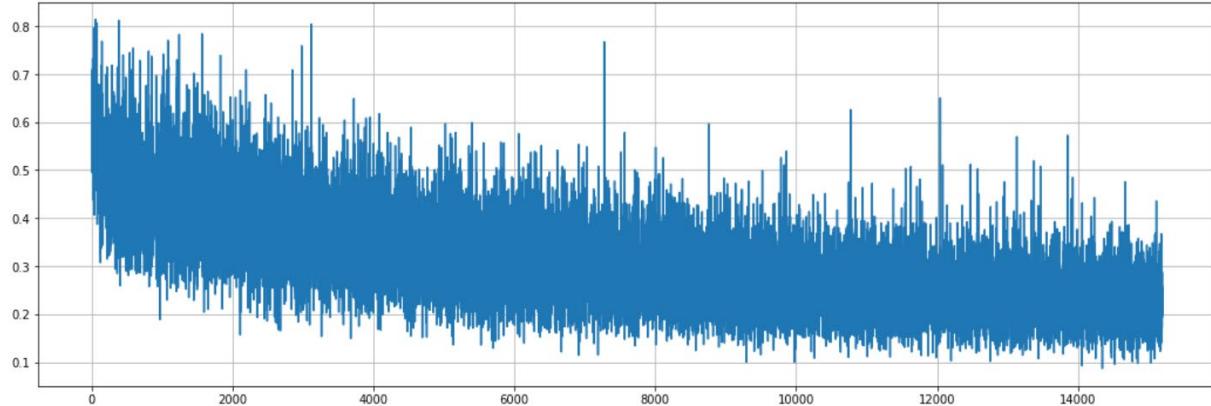


Able to recover the training images



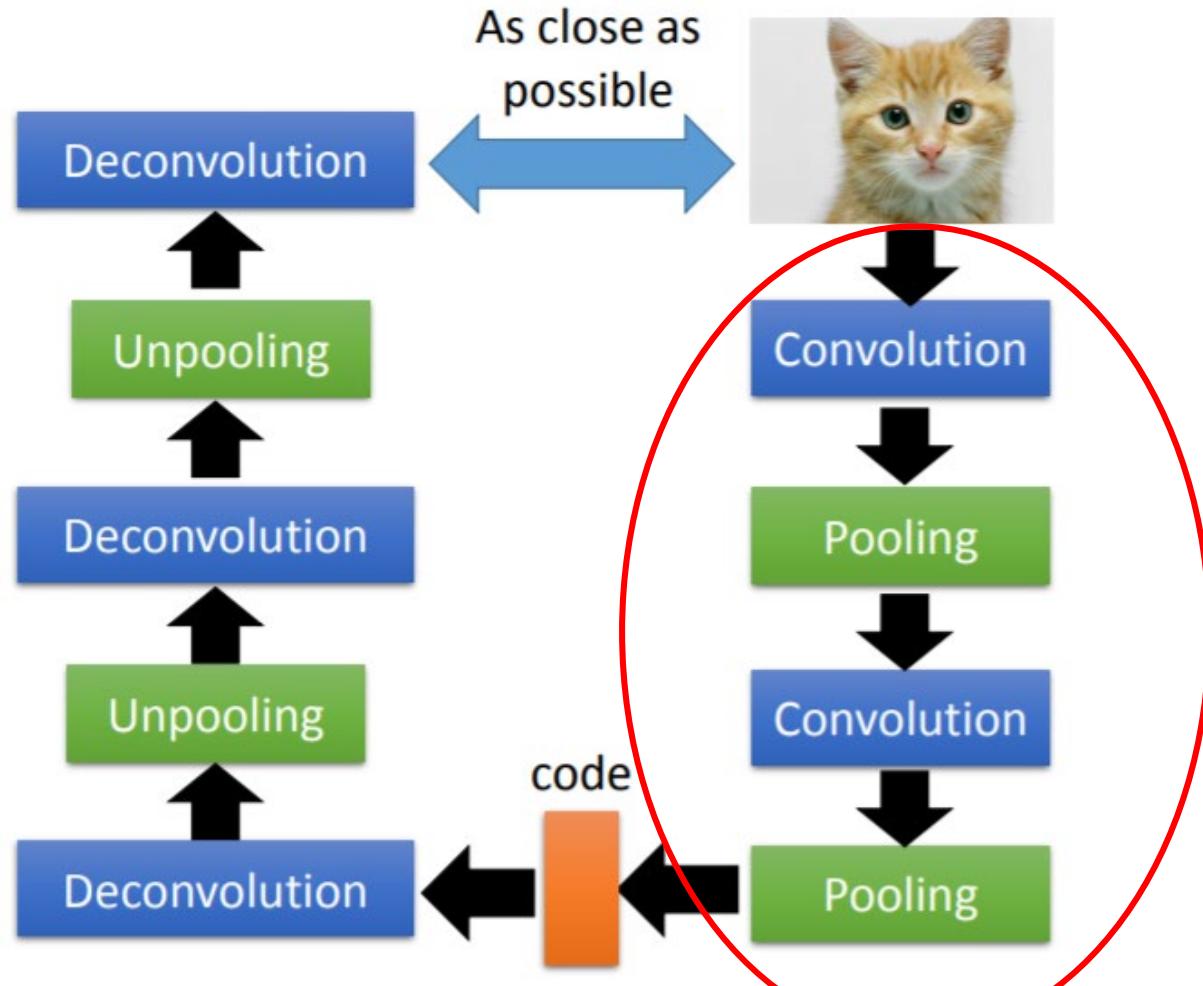
Fails to reconstruct the test images

# Train 800 epochs



Still fails to reconstruct the test images

# Encoder of CAE

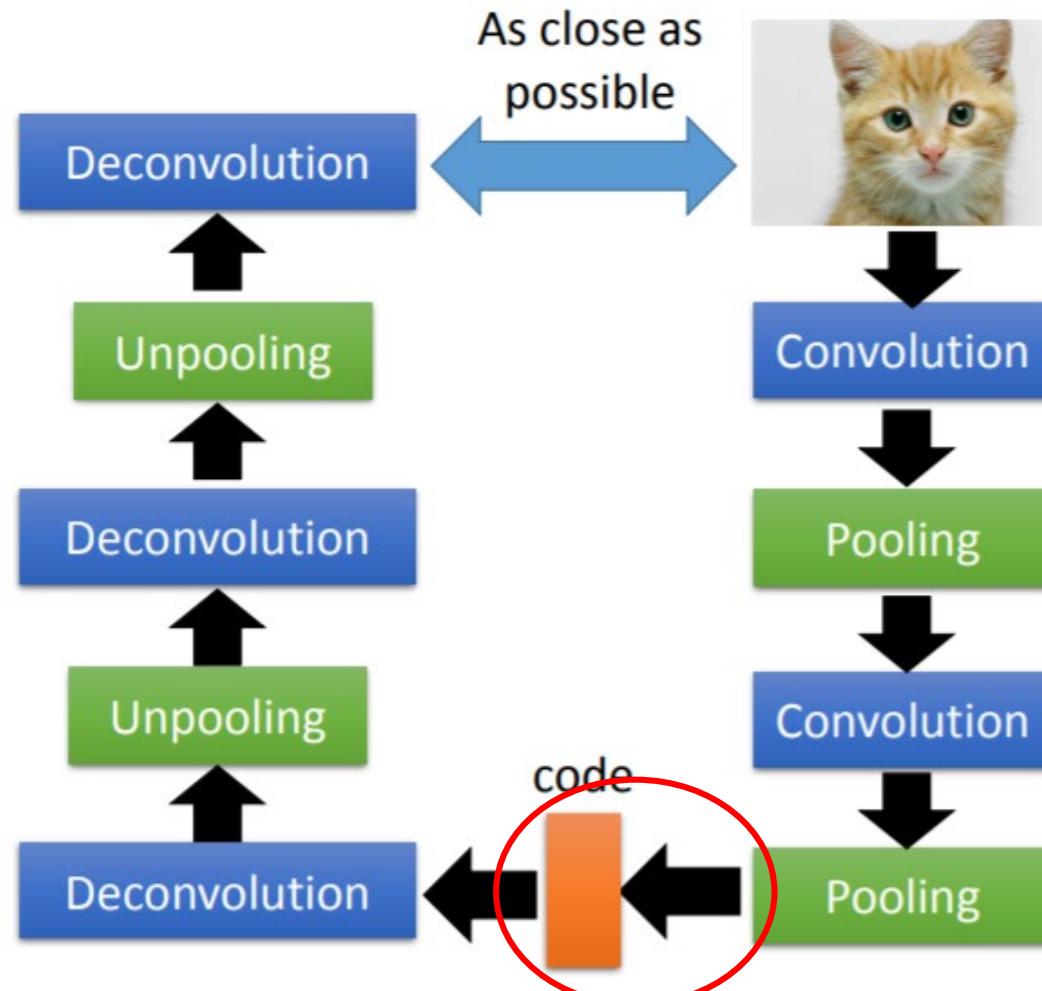


```
self.encoder = nn.Sequential(  
    nn.Conv2d(3, 32, kernel_size=2, stride=2),  
    nn.BatchNorm2d(32, eps=1e-05, momentum=0.1, af  
    nn.ReLU(),  
    nn.Conv2d(32, 64, kernel_size=2, stride=2),  
    nn.BatchNorm2d(64, eps=1e-05, momentum=0.1, af  
    nn.ReLU(),  
    nn.Conv2d(64, 128, kernel_size=2, stride=2),  
    nn.BatchNorm2d(128, eps=1e-05, momentum=0.1, a  
    nn.ReLU(),  
    nn.Conv2d(128, 256, kernel_size=2, stride=2),  
    nn.BatchNorm2d(256, eps=1e-05, momentum=0.1, a  
    nn.ReLU(),  
    nn.Conv2d(256, 512, kernel_size=2, stride=2),  
    nn.BatchNorm2d(512, eps=1e-05, momentum=0.1, a  
    nn.ReLU(),  
    nn.Conv2d(512, 1024, kernel_size=2, stride=2),  
    nn.BatchNorm2d(1024, eps=1e-05, momentum=0.1,  
    nn.ReLU(),  
    nn.Conv2d(1024, 1024, kernel_size=2, stride=2)  
    nn.BatchNorm2d(1024, eps=1e-05, momentum=0.1,  
    nn.ReLU(),  
    Flatten(),  
    nn.Linear(in_features=i, out_features=o),  
)
```

Practice to write down the feature map size  
and the results after flatten

- Let input image =  $224 \times 224 \times 3$
- Draw the feature maps ( $H$ ,  $W$ , depth) after each convolution and max pooling
- What is the number of nodes after flatten?

# Latent vector



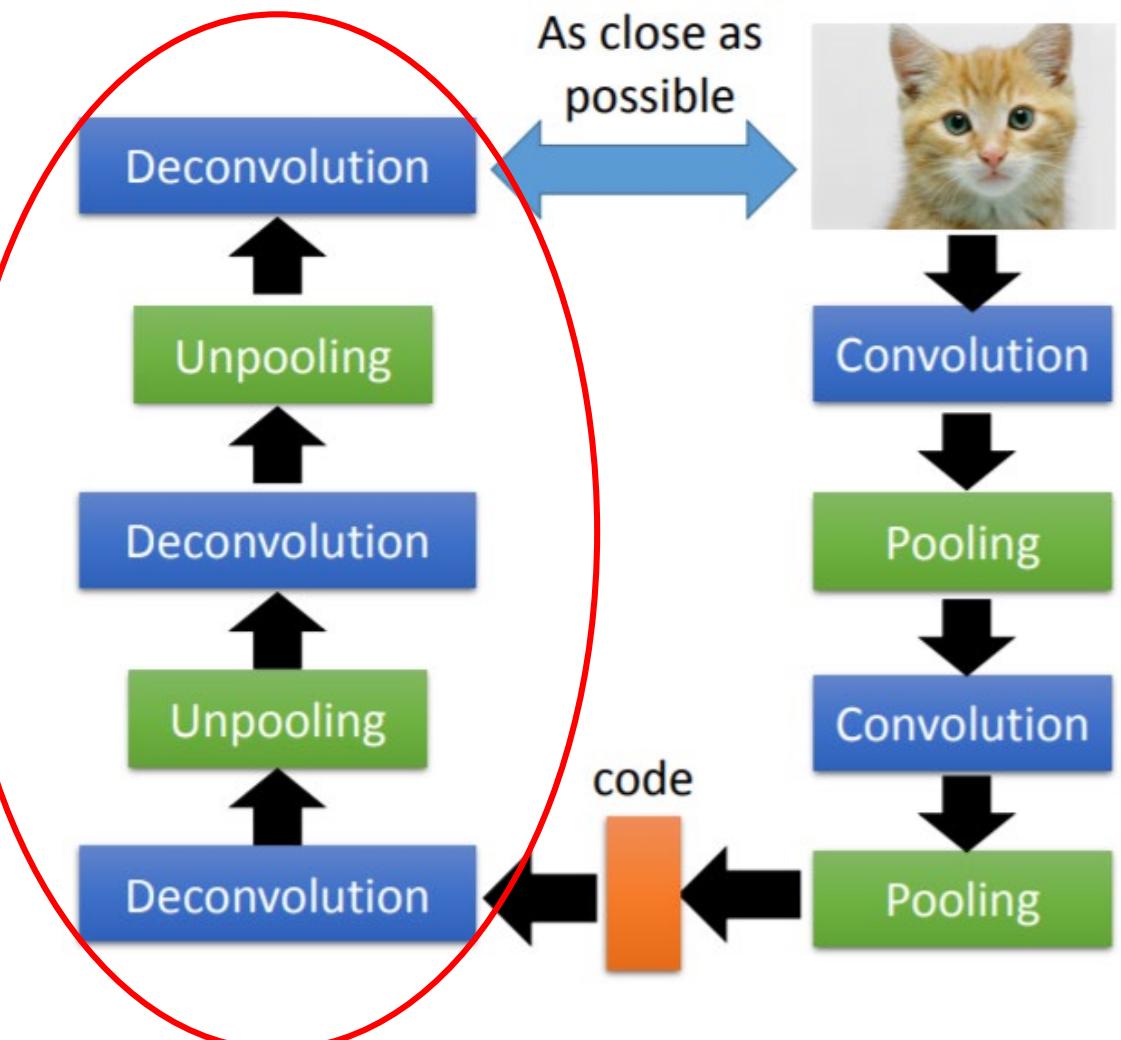
Reference: 李弘毅 ML Lecture 16 <https://youtu.be/Tk5B4seA-AU>

```
class autoencoder(nn.Module):
    def __init__(self,i=1024,o=64):
        super(autoencoder, self).__init__()
        self.encoder = nn.Sequential(
            nn.Conv2d(3, 32, kernel_size=2, stride=2),
            nn.BatchNorm2d(32, eps=1e-05, momentum=0.9),
            nn.ReLU(),
            nn.Conv2d(32, 64, kernel_size=2, stride=2),
            nn.BatchNorm2d(64, eps=1e-05, momentum=0.9),
            nn.ReLU(),
            nn.Conv2d(64, 128, kernel_size=2, stride=2),
            nn.BatchNorm2d(128, eps=1e-05, momentum=0.9),
            nn.ReLU(),
            nn.Conv2d(128, 256, kernel_size=2, stride=2),
            nn.BatchNorm2d(256, eps=1e-05, momentum=0.9),
            nn.ReLU(),
            nn.Conv2d(256, 512, kernel_size=2, stride=2),
            nn.BatchNorm2d(512, eps=1e-05, momentum=0.9),
            nn.ReLU(),
            nn.Conv2d(512, 1024, kernel_size=2, stride=2),
            nn.BatchNorm2d(1024, eps=1e-05, momentum=0.9),
            nn.ReLU(),
            nn.Flatten(),
            nn.Linear(in_features=i, out_features=o),
        )
```

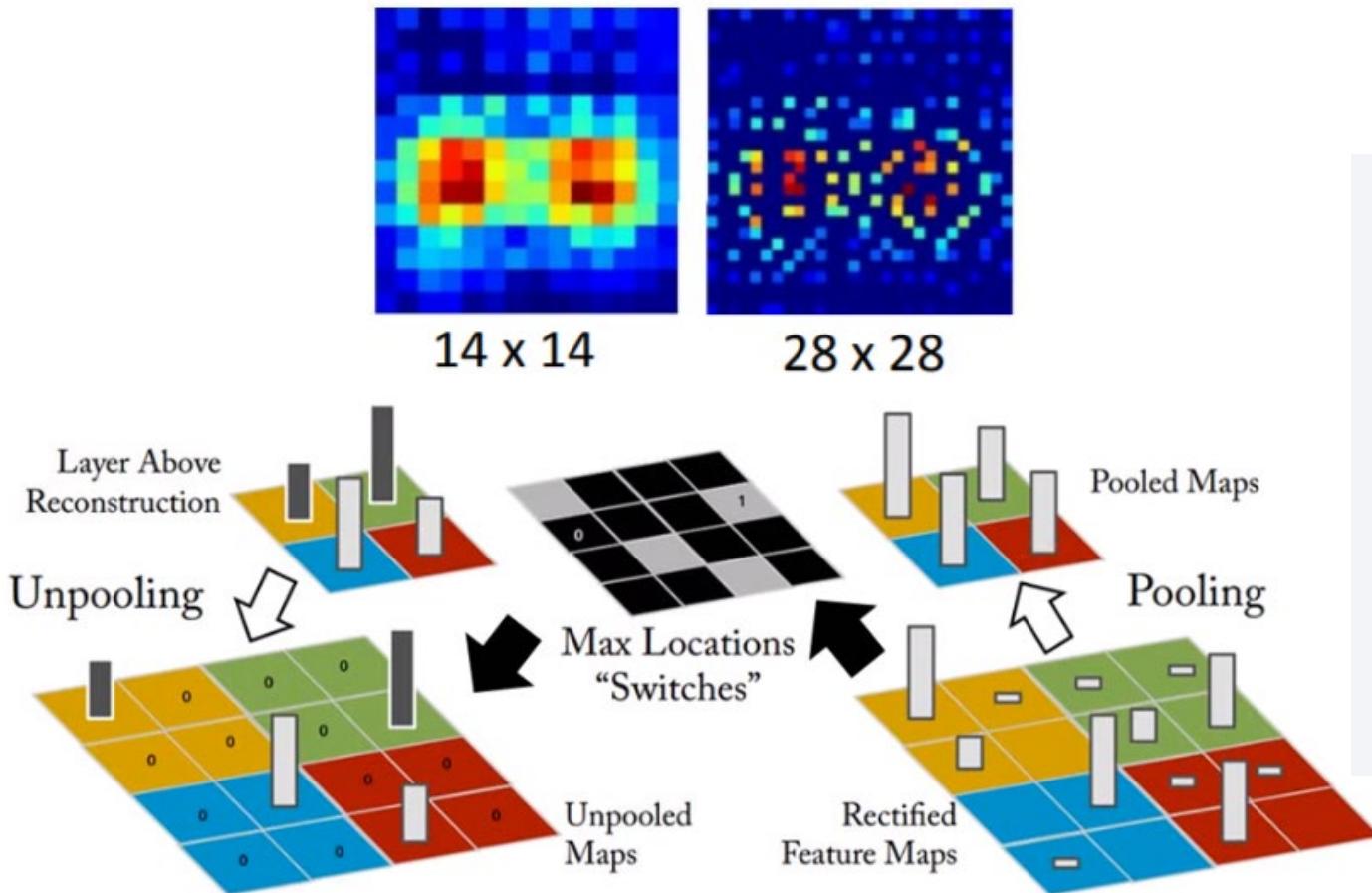
Flatten-22 [-1, 1024]  
Linear-23 [-1, 64]  
Linear-24 [-1, 1024]  
UnFlatten-25 [-1, 1024, 1, 1]

# Decoder of CAE

```
self.decoder = nn.Sequential(  
    nn.Linear(in_features=o, out_features=i),  
    UnFlatten(),  
    nn.ConvTranspose2d(1024, 1024, kernel_size=2, stride=2),  
    nn.BatchNorm2d(1024, eps=1e-05, momentum=0.1, affine=True,  
    nn.ReLU(),  
    nn.ConvTranspose2d(1024, 512, kernel_size=2, stride=2),  
    nn.BatchNorm2d(512, eps=1e-05, momentum=0.1, affine=True,  
    nn.ReLU(),  
    nn.ConvTranspose2d(512, 256, kernel_size=2, stride=2),  
    nn.BatchNorm2d(256, eps=1e-05, momentum=0.1, affine=True,  
    nn.ReLU(),  
    nn.ConvTranspose2d(256, 128, kernel_size=2, stride=2),  
    nn.BatchNorm2d(128, eps=1e-05, momentum=0.1, affine=True,  
    nn.ReLU(),  
    nn.ConvTranspose2d(128, 64, kernel_size=2, stride=2),  
    nn.BatchNorm2d(64, eps=1e-05, momentum=0.1, affine=True,  
    nn.ReLU(),  
    nn.ConvTranspose2d(64, 32, kernel_size=2, stride=2),  
    nn.BatchNorm2d(32, eps=1e-05, momentum=0.1, affine=True,  
    nn.ReLU(),  
    nn.ConvTranspose2d(32, 3, kernel_size=2, stride=2),  
    nn.BatchNorm2d(3, eps=1e-05, momentum=0.1, affine=True,  
    nn.Sigmoid(),  
)
```



# Unpooling

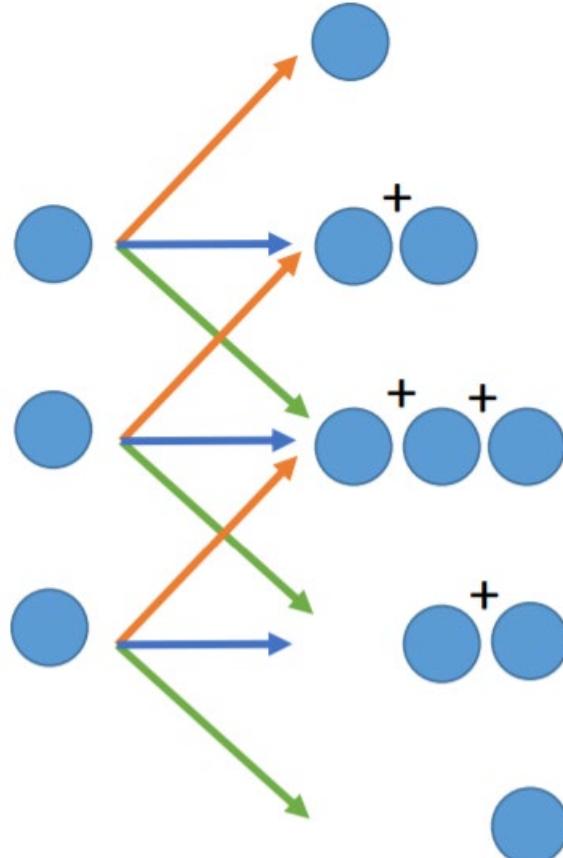
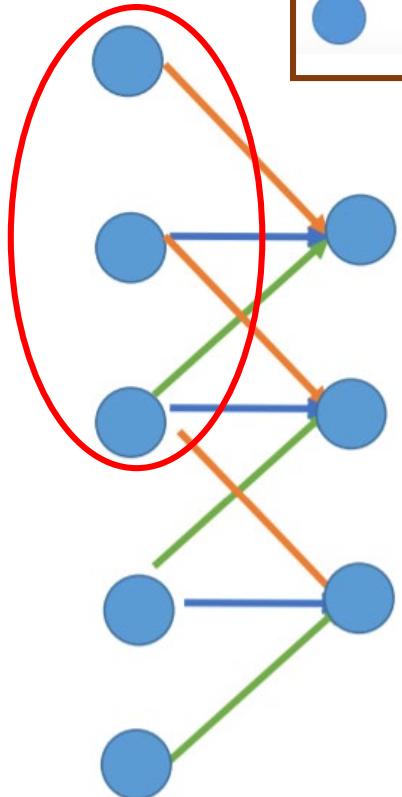
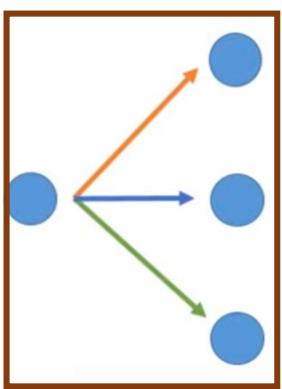
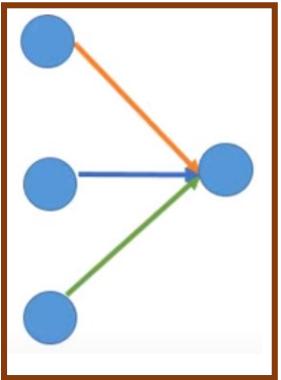


Reference: 李弘毅 ML Lecture 16 <https://youtu.be/Tk5B4seA-AU>

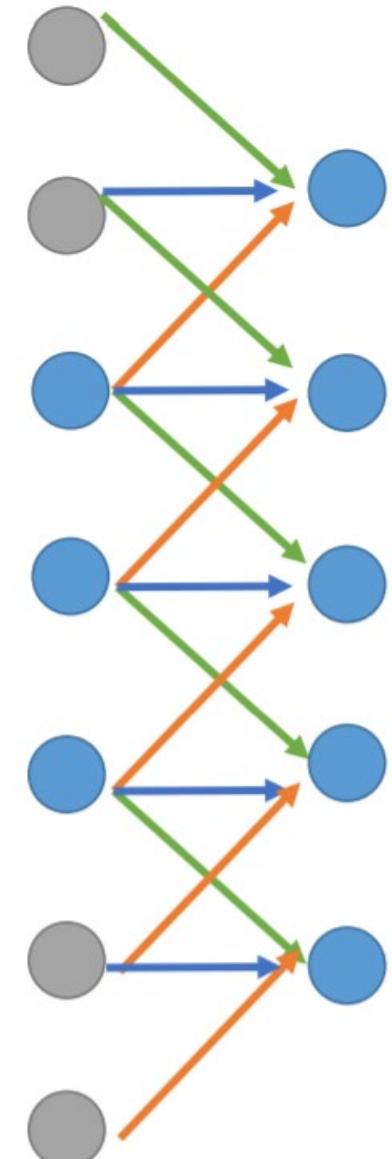
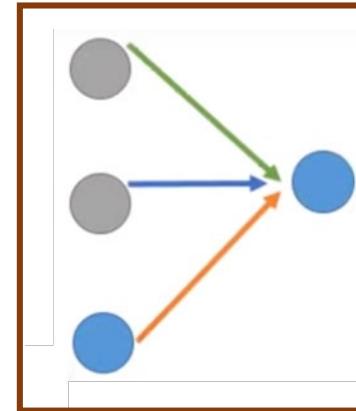
```
>>> pool = nn.MaxPool2d(2, stride=2, return_indices=True)
>>> unpool = nn.MaxUnpool2d(2, stride=2)
>>> input = torch.tensor([[[[ 1.,  2,  3,  4],
   [ 5,  6,  7,  8],
   [ 9, 10, 11, 12],
   [13, 14, 15, 16]]]]])
>>> output, indices = pool(input)
>>> unpool(output, indices)
tensor([[[[ 0.,  0.,  0.,  0.],
   [ 0.,  6.,  0.,  8.],
   [ 0.,  0.,  0.,  0.],
   [ 0., 14.,  0., 16.]]]])
```

# Deconvolution

1D convolution, k=3 1D deconvolution, k=3



1D convolution, k=3



We only use deconvolution for up sampling, un-pooling is not used

```
self.decoder = nn.Sequential(  
    nn.Linear(in_features=o, out_features=i),  
    UnFlatten(),  
    nn.ConvTranspose2d(1024, 1024, kernel_size=2, stride=2),  
    nn.BatchNorm2d(1024, eps=1e-05, momentum=0.1, affine=True,  
    nn.ReLU(),  
    nn.ConvTranspose2d(1024, 512, kernel_size=2, stride=2),  
    nn.BatchNorm2d(512, eps=1e-05, momentum=0.1, affine=True  
    nn.ReLU(),  
    nn.ConvTranspose2d(512, 256, kernel_size=2, stride=2),  
    nn.BatchNorm2d(256, eps=1e-05, momentum=0.1, affine=True  
    nn.ReLU(),  
    nn.ConvTranspose2d(256, 128, kernel_size=2, stride=2),  
    nn.BatchNorm2d(128, eps=1e-05, momentum=0.1, affine=True  
    nn.ReLU(),  
    nn.ConvTranspose2d(128, 64, kernel_size=2, stride=2),  
    nn.BatchNorm2d(64, eps=1e-05, momentum=0.1, affine=True,  
    nn.ReLU(),  
    nn.ConvTranspose2d(64, 32, kernel_size=2, stride=2),  
    nn.BatchNorm2d(32, eps=1e-05, momentum=0.1, affine=True,  
    nn.ReLU(),  
    nn.ConvTranspose2d(32, 3, kernel_size=2, stride=2),  
    nn.BatchNorm2d(3, eps=1e-05, momentum=0.1, affine=True,  
    nn.Sigmoid(),  
)
```

# Practice to write down the feature map size after deconvolution

- Input – the number of nodes after un-flatten
- Draw feature maps (H, W, depth) after each de-convolution and un-max pooling

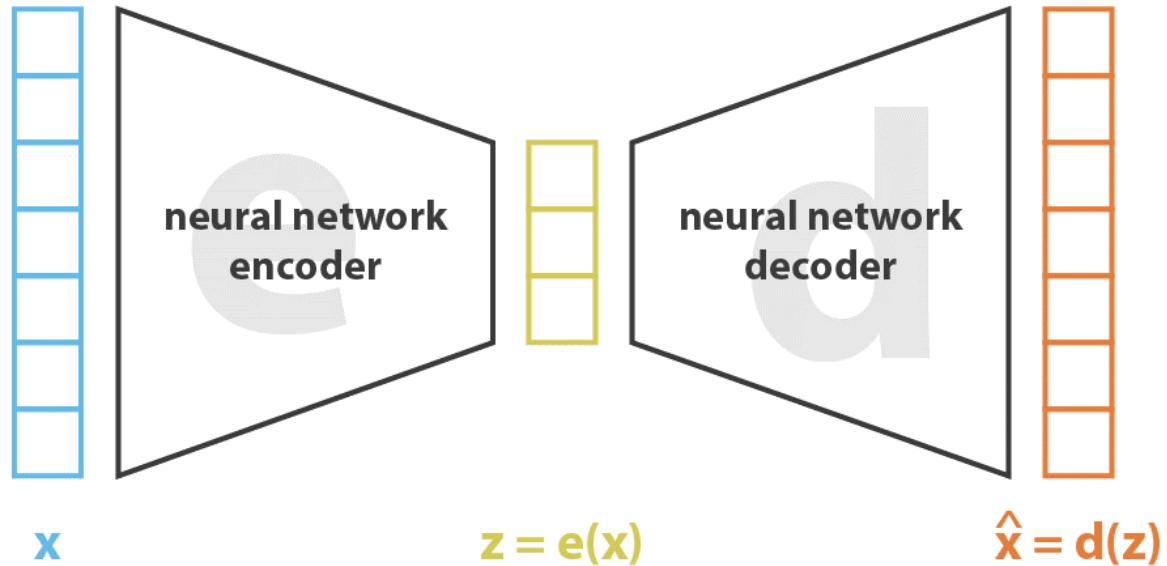


# Feature map size after deconvolution

```
(2): ConvTranspose2d(1024, 1024, kernel_size=(2, 2), stride=(2, 2))
(3): BatchNorm2d(1024, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
(4): ReLU()
(5): ConvTranspose2d(1024, 512, kernel_size=(2, 2), stride=(2, 2))
(6): BatchNorm2d(512, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
(7): ReLU()
(8): ConvTranspose2d(512, 256, kernel_size=(2, 2), stride=(2, 2))
(9): BatchNorm2d(256, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
(10): ReLU()
```

ConvTranspose2d-26	[ -1, 1024, 2, 2]
BatchNorm2d-27	[ -1, 1024, 2, 2]
ReLU-28	[ -1, 1024, 2, 2]
ConvTranspose2d-29	[ -1, 512, 4, 4]
BatchNorm2d-30	[ -1, 512, 4, 4]
ReLU-31	[ -1, 512, 4, 4]
ConvTranspose2d-32	[ -1, 256, 8, 8]
BatchNorm2d-33	[ -1, 256, 8, 8]
ReLU-34	[ -1, 256, 8, 8]
ConvTranspose2d-35	[ -1, 128, 16, 16]
BatchNorm2d-36	[ -1, 128, 16, 16]
ReLU-37	[ -1, 128, 16, 16]
ConvTranspose2d-38	[ -1, 64, 32, 32]
BatchNorm2d-39	[ -1, 64, 32, 32]
ReLU-40	[ -1, 64, 32, 32]

# Loss function



$$\text{loss} = \| \mathbf{x} - \hat{\mathbf{x}} \|^2 = \| \mathbf{x} - \mathbf{d}(\mathbf{z}) \|^2 = \| \mathbf{x} - \mathbf{d}(e(\mathbf{x})) \|^2$$

Source: <https://towardsdatascience.com/understanding-variational-autoencoders-vaes-f70510919f73>

```
[13]: for batchX, _ in loader:  
    break;  
print(batchX.shape)  
torch.Size([16, 3, 128, 128])  
  
[14]: tensorY=model(batchX.to(device))  
print(tensorY.shape)  
torch.Size([16, 3, 128, 128])  
  
[15]: loss = loss_func(tensorY, batchX.to(device))  
print(loss)  
tensor(0.6961, device='cuda:0', grad_fn=<...>
```

# Save and load PyTorch model

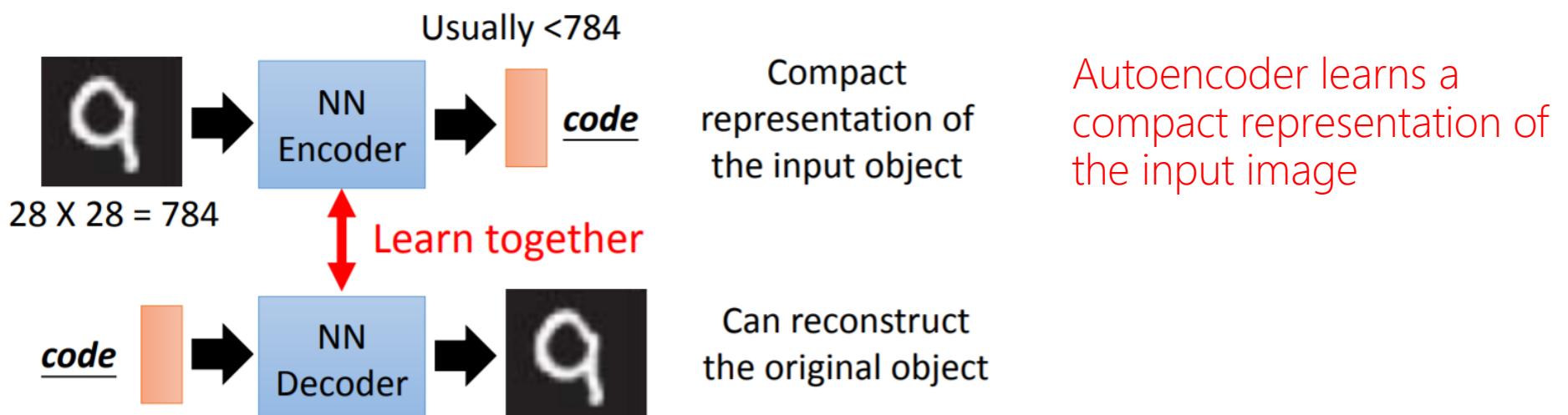
The screenshot shows a Jupyter Notebook interface with the following details:

- Title Bar:** CO 3\_AlexNet\_(1).ipynb
- Menu Bar:** 檔案 編輯 檢視畫面 插入 執行階段 工具 說明 無法儲存變更
- Left Sidebar:** 檔案 (with a folder icon circled in red), 檔案, .., gdrive, sample data, AE800.pt (circled in red), tSNE.csv
- Right Main Area:**
  - Section Header:** Save and load a Pytorch model (II)
  - Code Cell 27:** [27] `torch.save(model.state_dict(), "AE800.pt")` (The file name "AE800.pt" is circled in red)
  - Code Cell 28:** [28] `model=autoencoder() #build NN architecture`  
`model.load_state_dict(torch.load("AE800.pt")) #load`  
`model.to(device)`  
`model.eval()`
  - Code Block 29:** `autoencoder(`  
 `(encoder): Sequential(`  
 `(0): Conv2d(3, 32, kernel_size=(2, 2), stride=1,`  
 `(1): BatchNorm2d(32, eps=1e-05, momentum=0.1, affin`  
 `(2): ReLU()`

# Pass images to AE to get their compact representation (latent vectors)

```
[37]: for step, (batchX, batchY) in enumerate(loader):
    tensorY = model.encoder(batchX.to(device))
    if(step==0):
        arrayX = np.array(tensorY.cpu().detach().numpy())
        arrayY = batchY.cpu().detach().numpy()
    else:
        arrayX = np.concatenate((arrayX, tensorY.cpu().detach().numpy()))
        arrayY = np.concatenate((arrayY, batchY.cpu().detach().numpy()))
    print(arrayX.shape, arrayY.shape)
```

(298, 64) (298,)



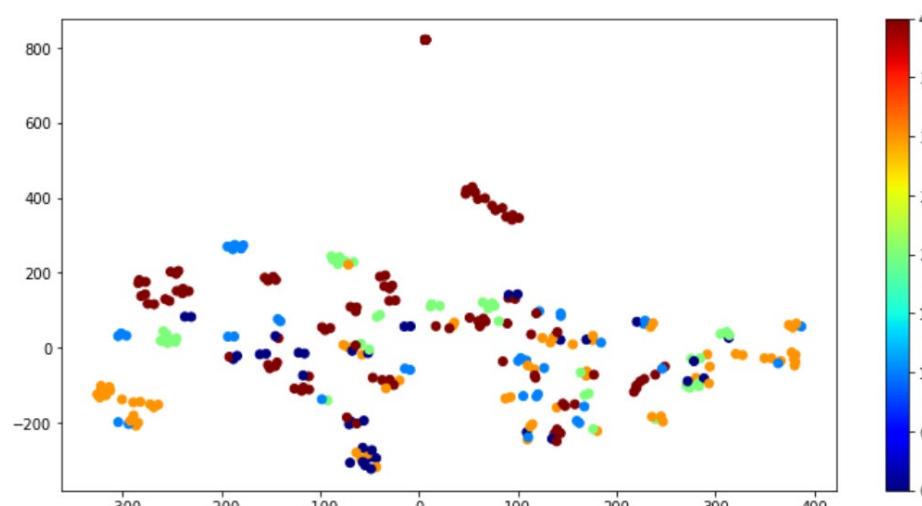
Use  $t$ -SNE to reduce the latent vector dimensions from 64 to 2

```
[38]: from sklearn.manifold import TSNE
tsne = TSNE(perplexity=5, n_components=2, init='pca', n_iter=5000)
# try perplexity = 5, 10, 30, 50
```

```
[39]: x=tsne.fit_transform(arrayX)
print(x.shape)
```

(298, 2)

```
[40]: plt.figure(figsize=(18,9))
plt.scatter(x[:, 0], x[:, 1], c= arrayY)
plt.show()
```



# Save the results to csv file

CO 3\_AlexNet\_(1).ipynb

檔案 編輯 檢視畫面 插入 執行階段 工具 說明 無法儲存變更

+ 檔案 + 程式碼 + 文字 複製到雲端硬碟

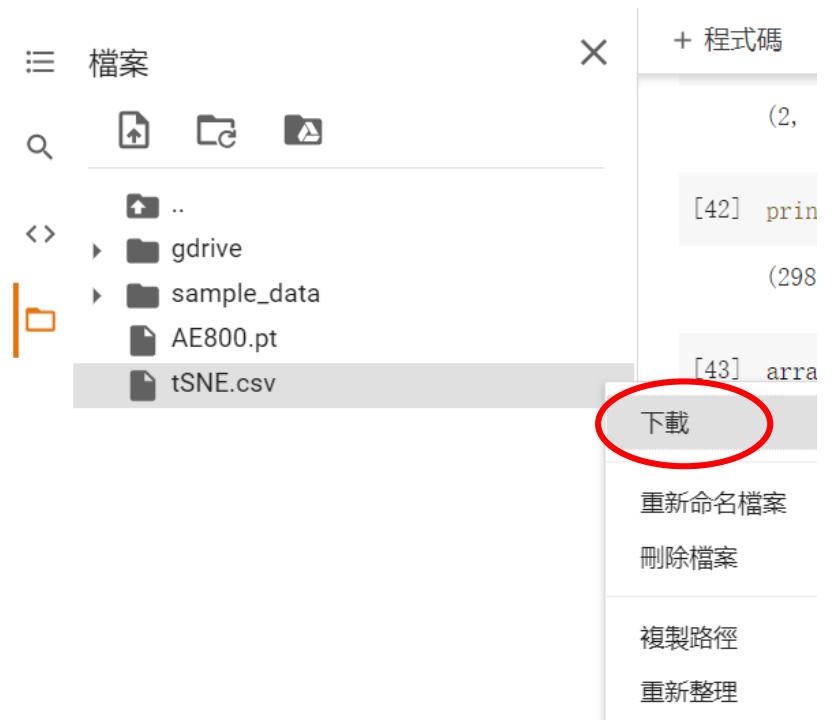
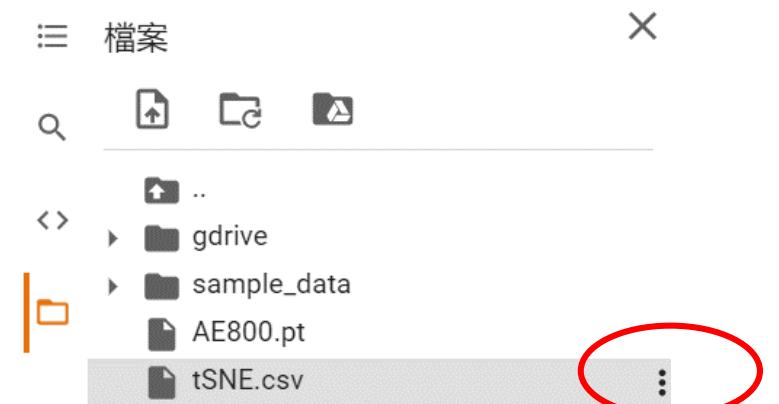
```
(2, 3) (2, 1) (2, 4)

[42] print(x.shape, arrayY.shape)
(298, 2) (298, )

[43] arrayY1 = arrayY.reshape(arrayY.shape[0], 1)
print(arrayY1.shape)
(298, 1)

[44] XYArray = np.hstack((x, arrayY1))
print(XYArray.shape)
(298, 3)

[45] # Save data to excel for further Tableau visual
import pandas as pd
pd.DataFrame(XYArray).to_csv("tSNE.csv")
```

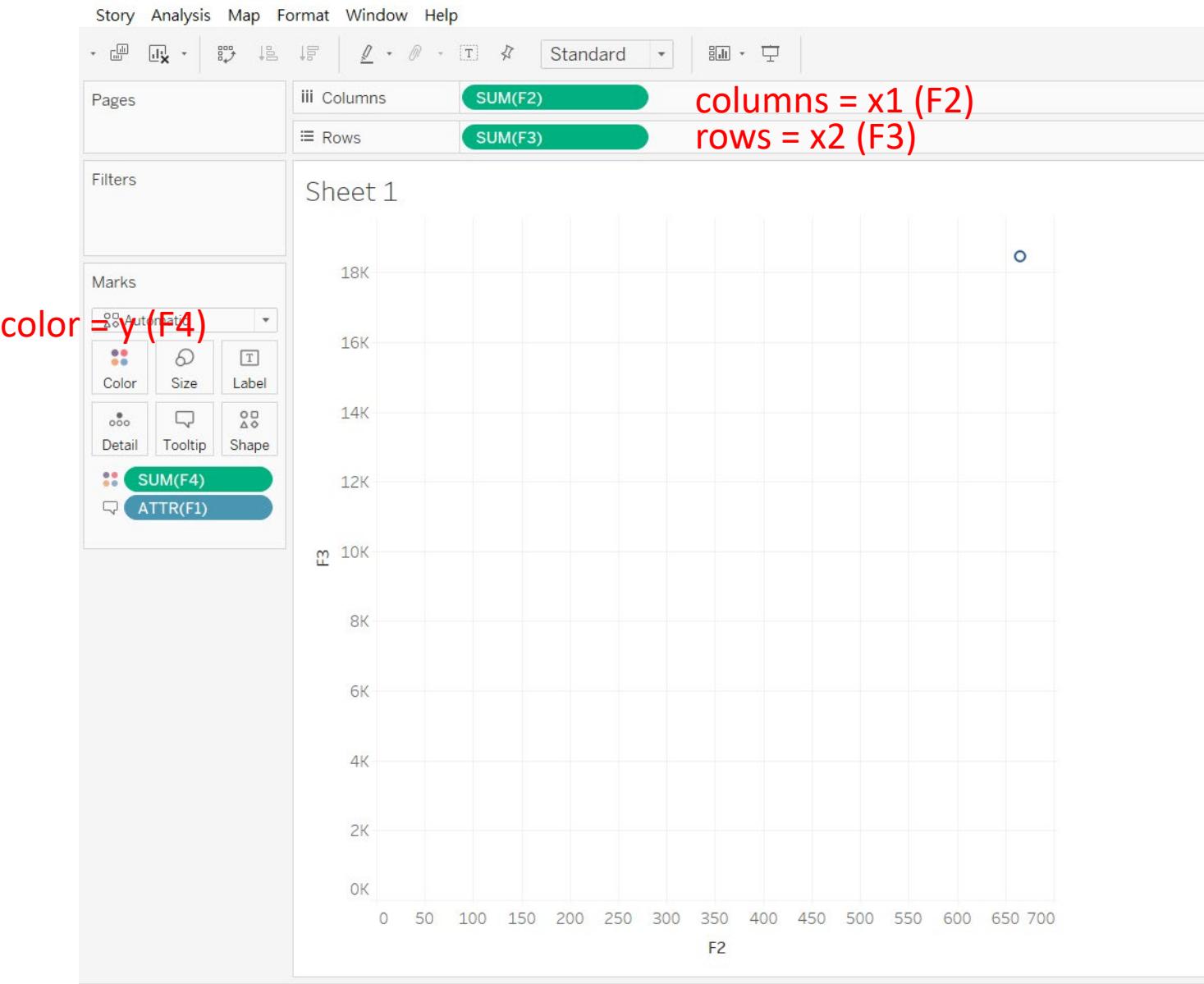


# Visualize the downloaded file in Tableau public

Sort fields Data source order ▾

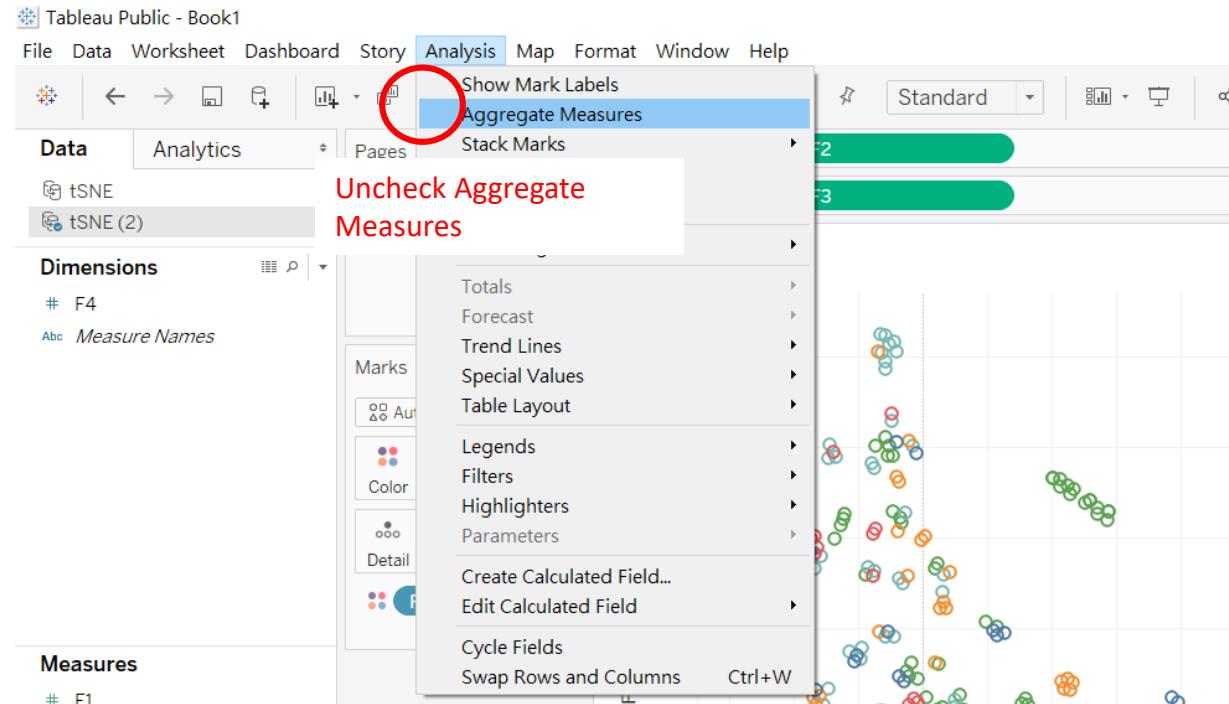
File name	# X1	# X2	# Y
F1	F2	F3	F4
0	1.000	2.00	3.00000
train/happy/frame100.jpg	-137.970	370.06	3.00000
train/happy/frame101.jpg	-100.524	-4.70	1.00000
train/angry/frame231.jpg	-98.654	172.74	2.00000
train/angry/frame150.jpg	166.124	-176.96	1.00000
train/surprised/frame234.jpg	-236.742	-163.08	0.00000
train/sad/frame244.jpg	144.798	97.49	3.00000
train/happy/frame70.jpg	-150.045	361.12	0.00000
train/sad/frame201.jpg	19.564	13.11	2.00000
train/unknown/frame92.jpg	-230.599	-305.38	1.00000
train/sad/frame33.jpg	158.998	-168.24	1.00000

# Visualize the downloaded file in Tableau public

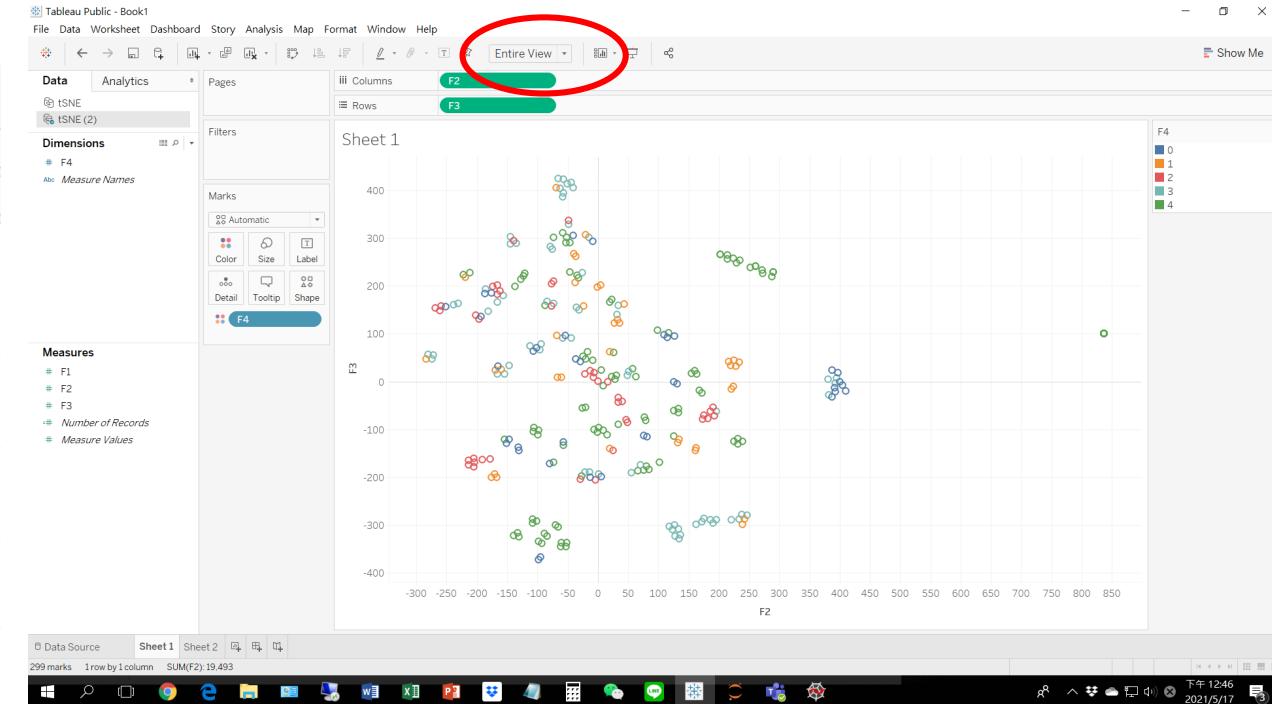


# Visualize the downloaded file in Tableau public

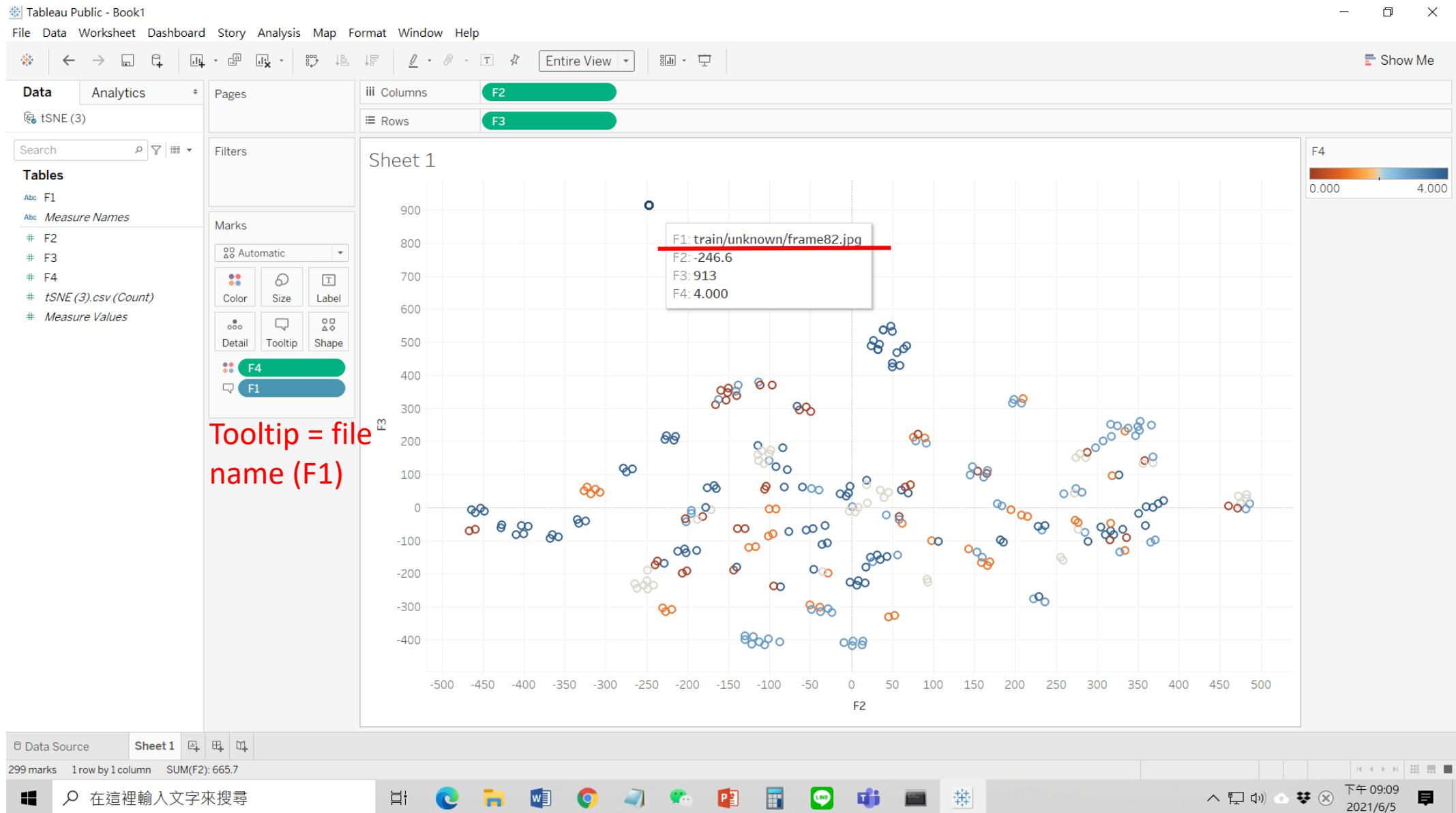
disable Aggregate Measures



Select entire view



# Visualize the downloaded file in Tableau public

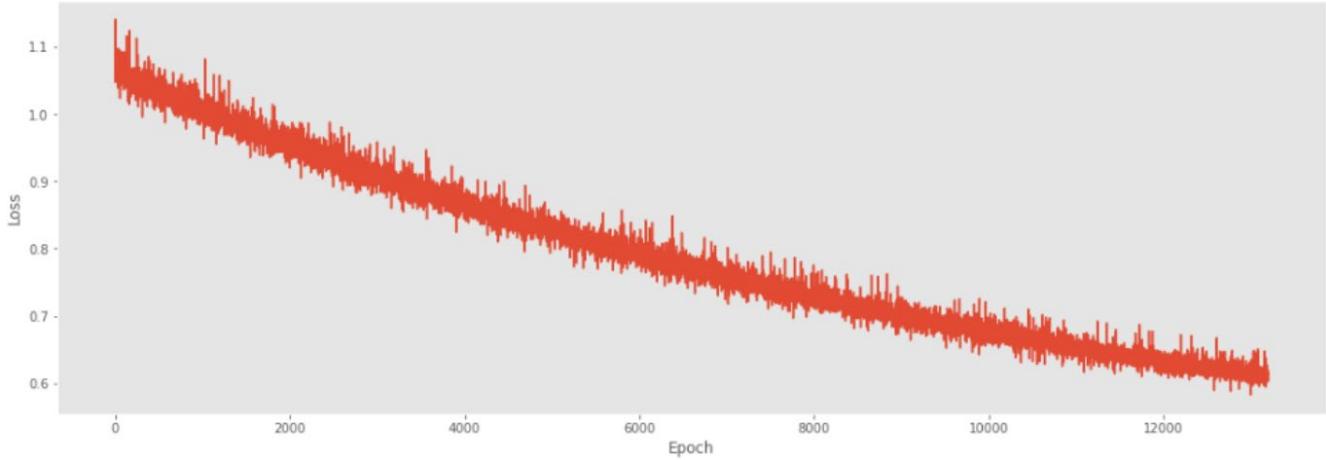


# HW6 (1)

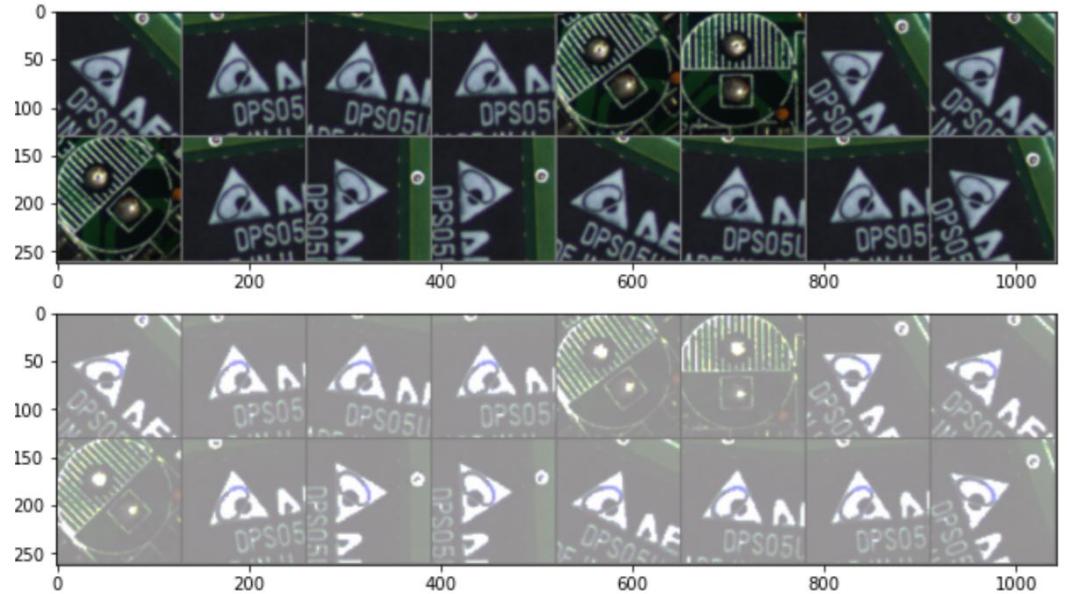
- Train an AE to learn a compact representation (try latent vector of size 20, 30, or 50) of your own images, e.g., facial expression. Test with 10 happy and 10 angry faces.
- Show the recovered image.
- Send the latent vectors to  $t$ -SNE to see whether they form clusters.



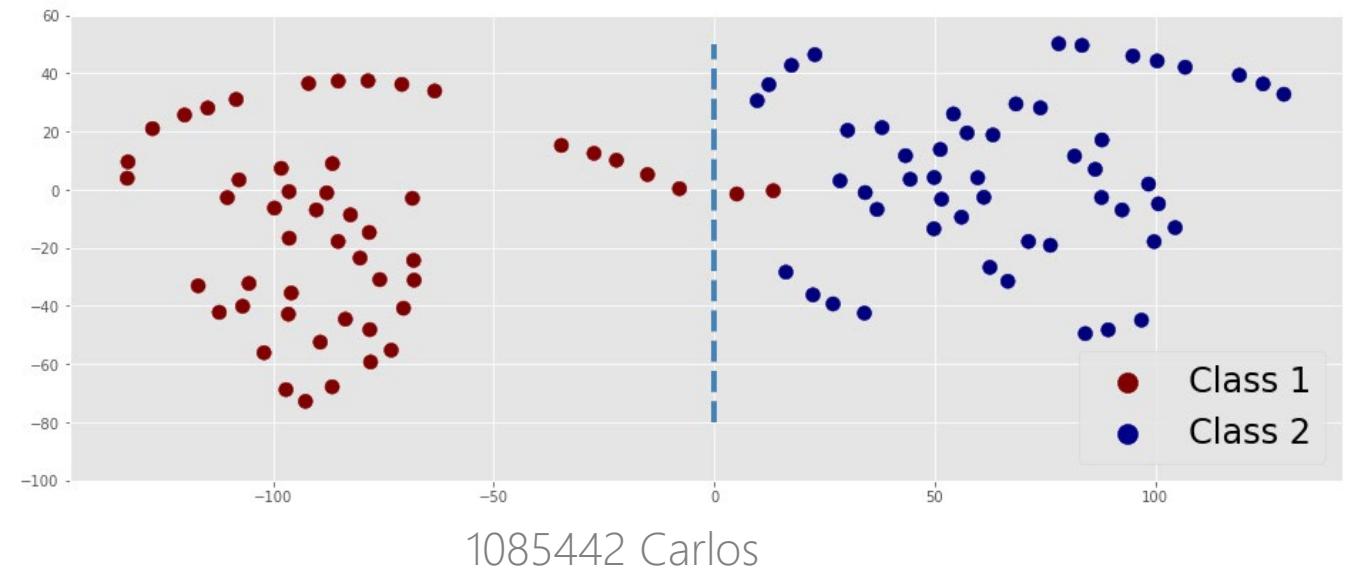
Class 1 = 100, Class 2 = 100, Latent vector size = 64, 2000 epochs, batch=32, Image size = 180x180x3



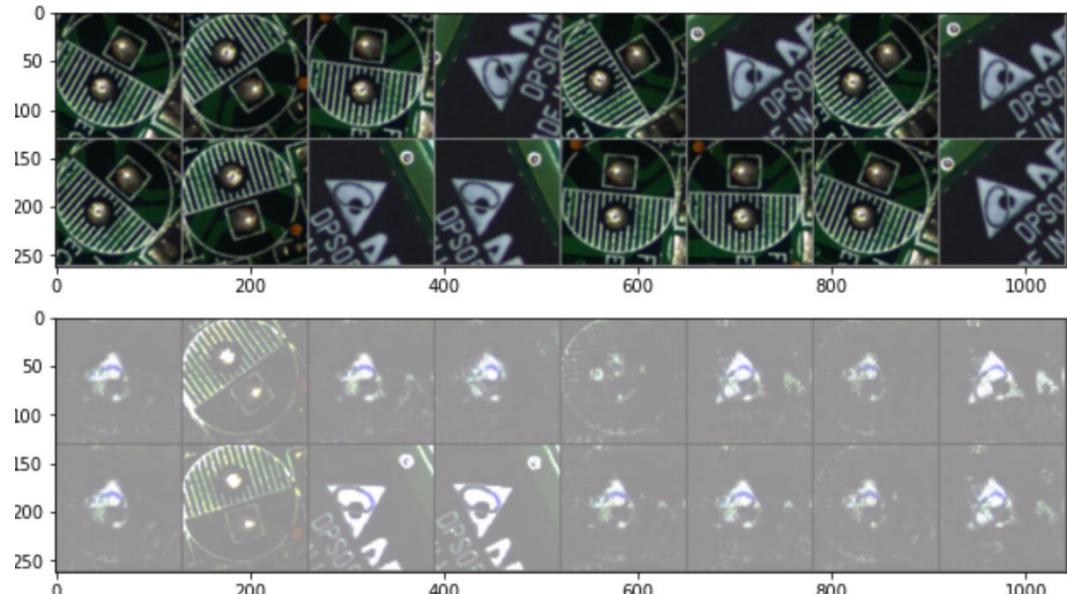
Recovered training images



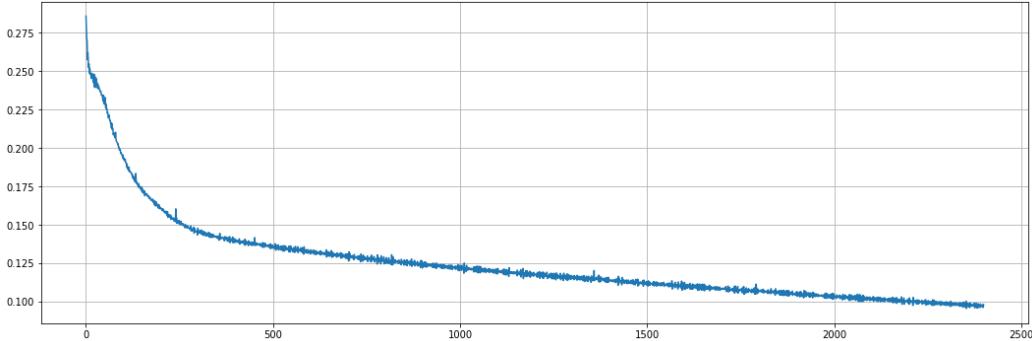
t-SNE (perplexity=10) results of training images



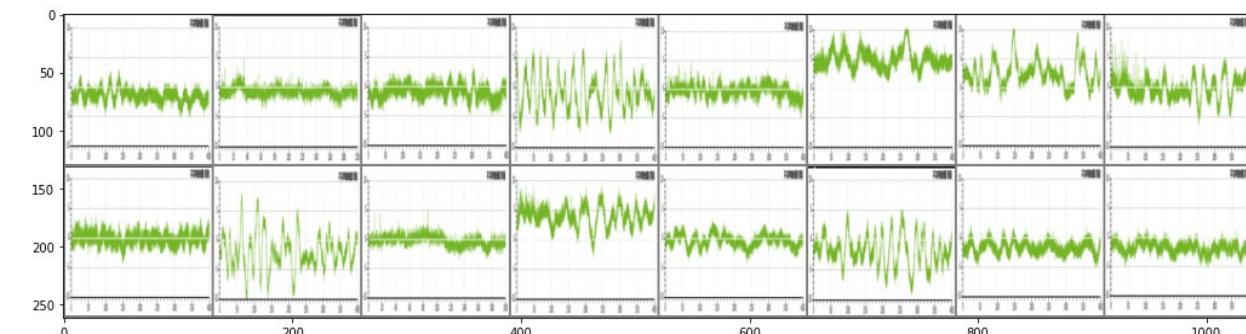
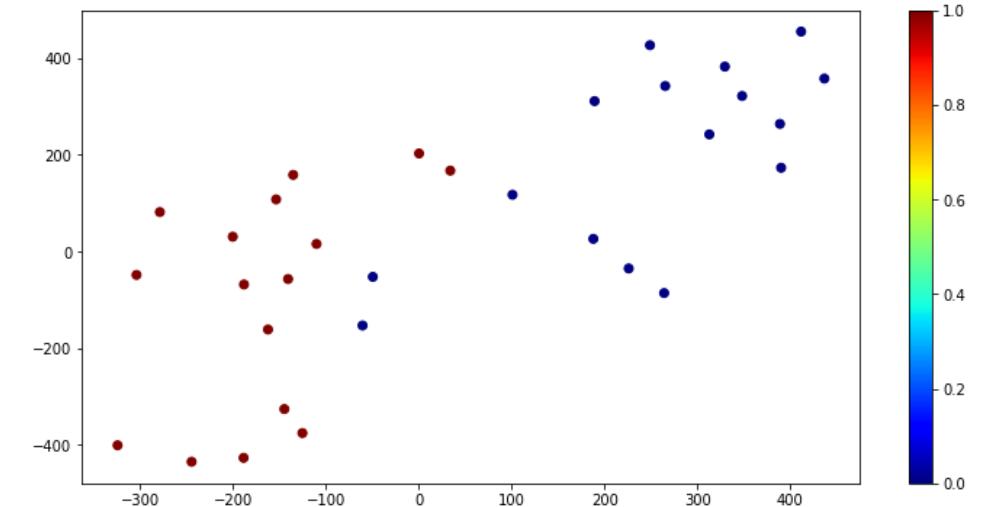
Recovered test images



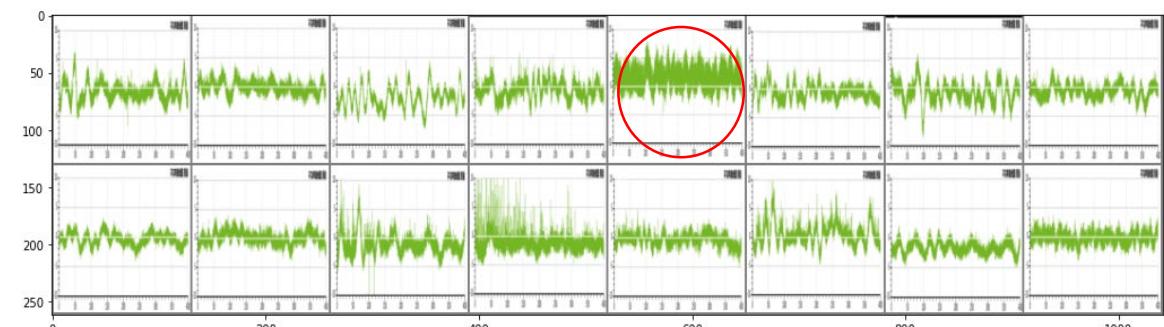
Normal = 16, Abnormal = 16, Latent vector size =  
32, 1200 epochs



t-SNE (perplexity=?) results of training images

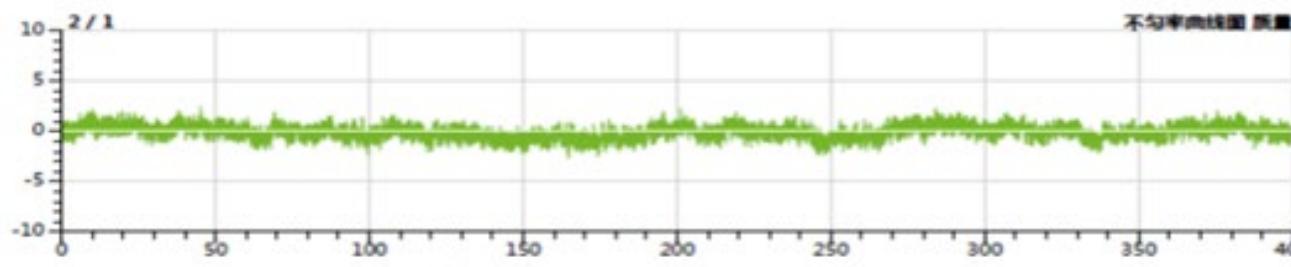
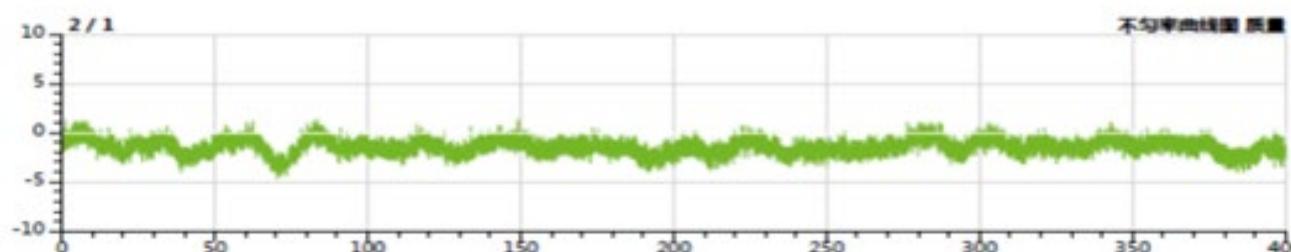
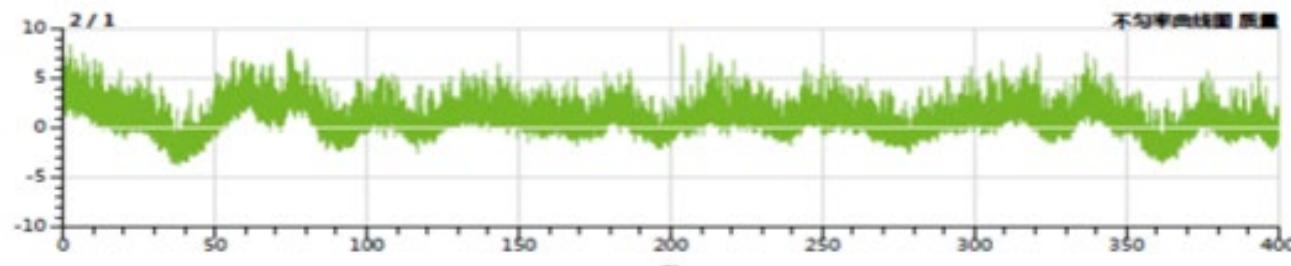
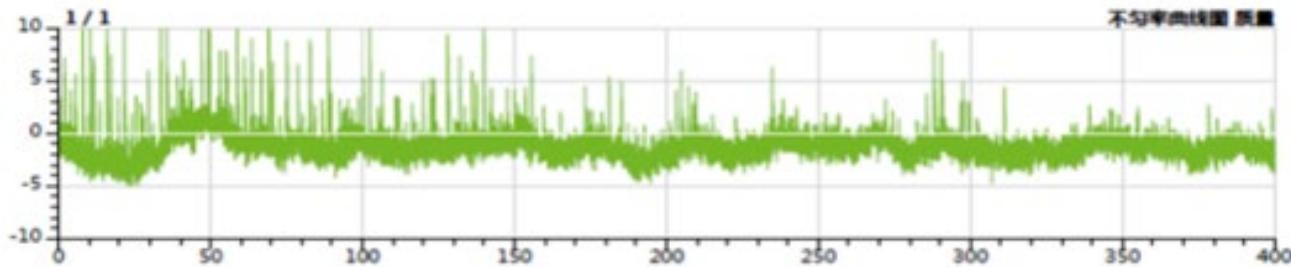


Recovered training images

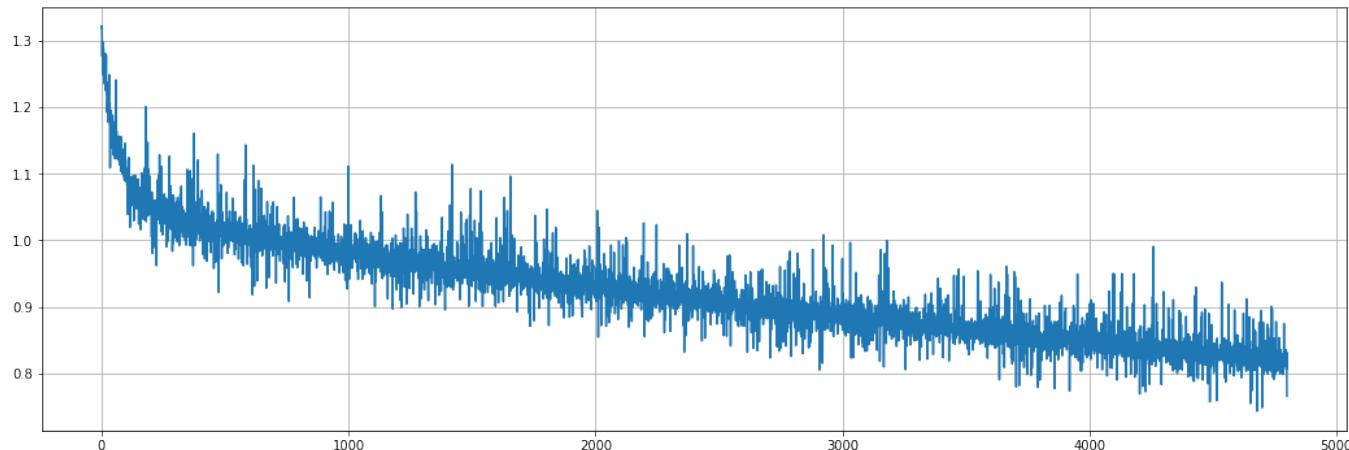


Recovered un-seen test images

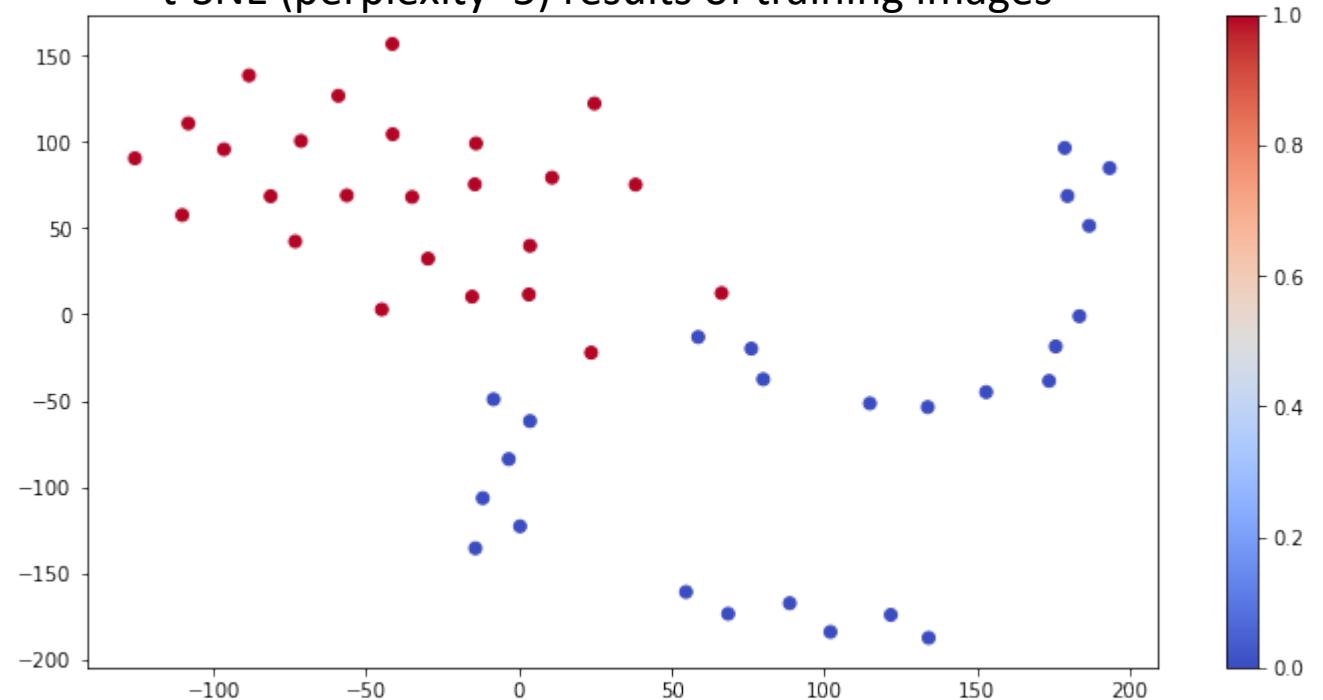
Image size = 128\*128\*3  
Class1 = 16 , Class2 = 16  
Latent vector size = 128  
Batch = 128  
epoch : 20000



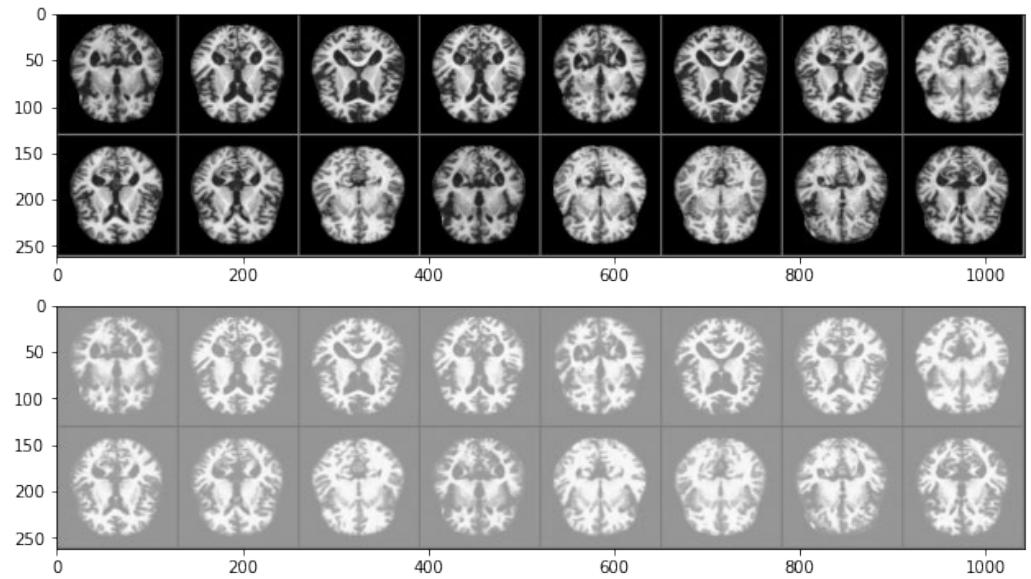
Dementia = 25, health = 25, Latent vector size = 64, 1200 epochs, batch= , Image size = 128x128x3



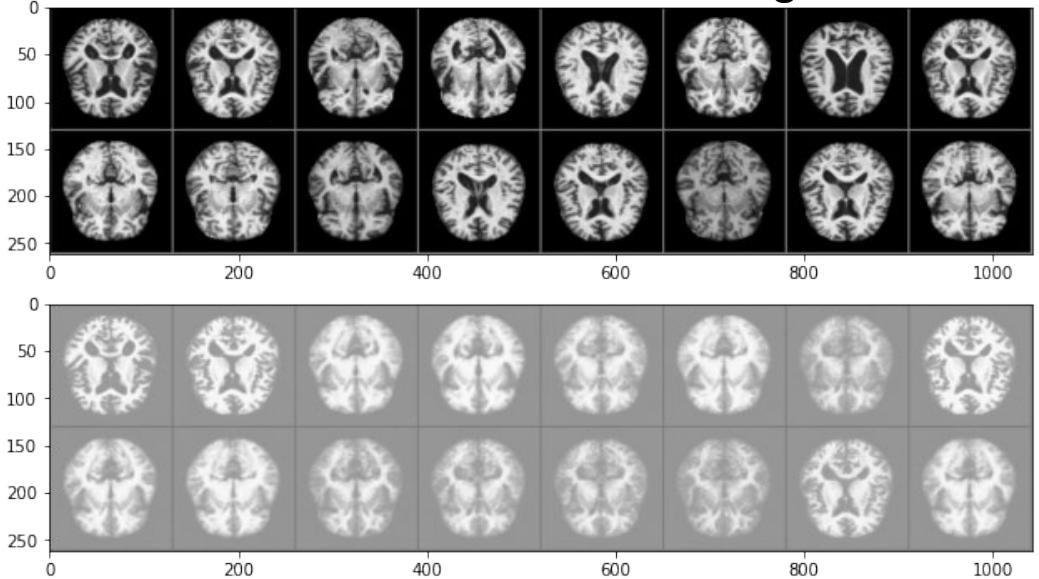
t-SNE (perplexity=5) results of training images



Recovered training images



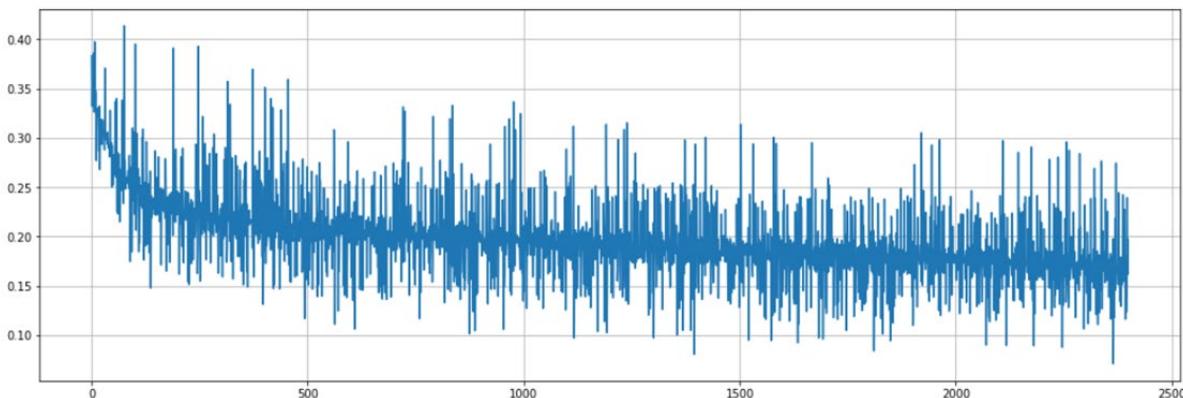
Recovered un-seen test images



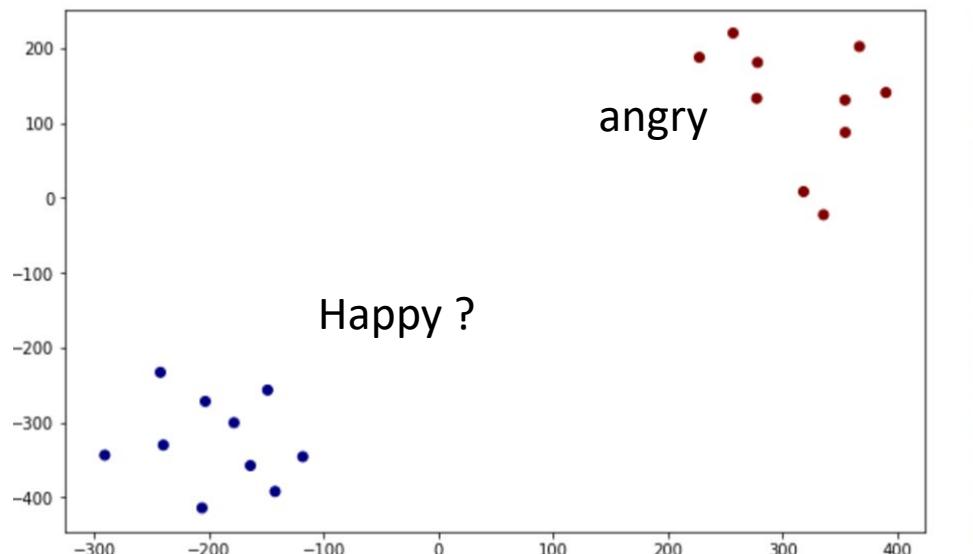
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Happy = 10, Angry = 10, Latent vector size = 64, 1160 epochs,  
batch=16, Image size = 128x128x3

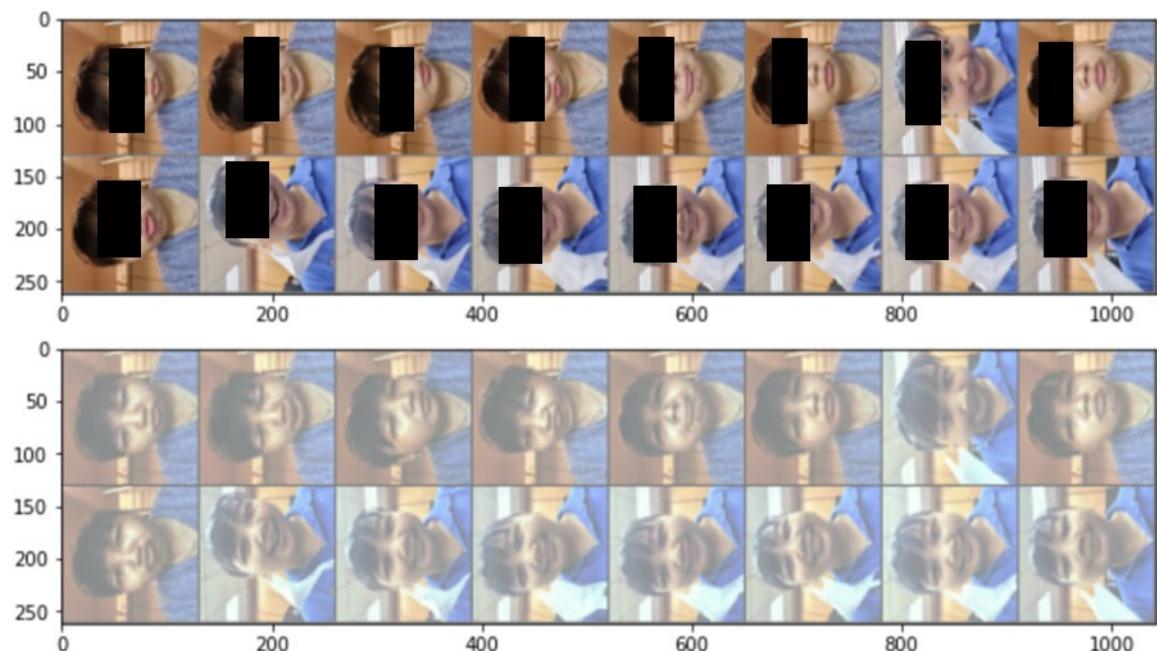


t-SNE (perplexity=5) results of training images

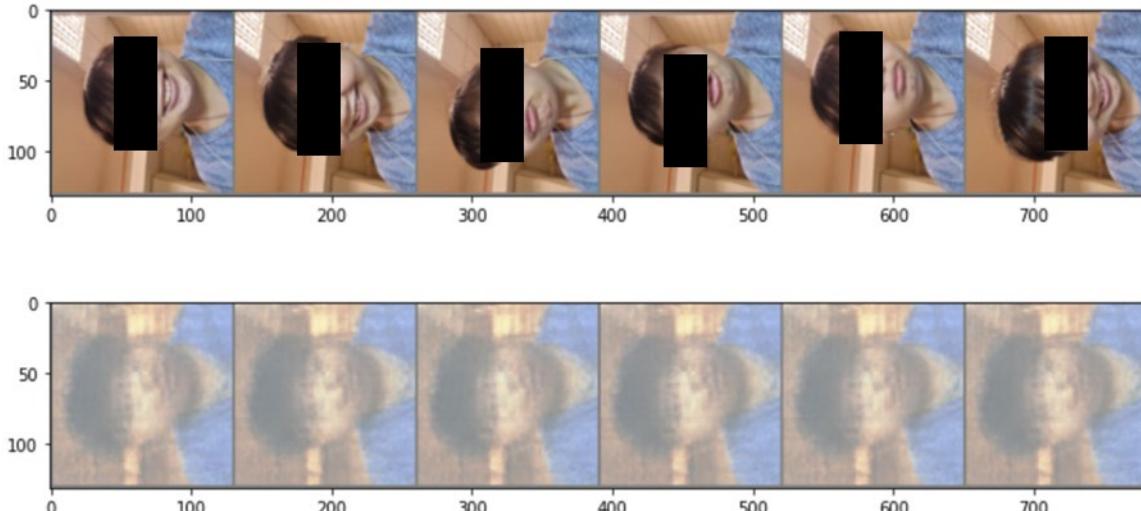


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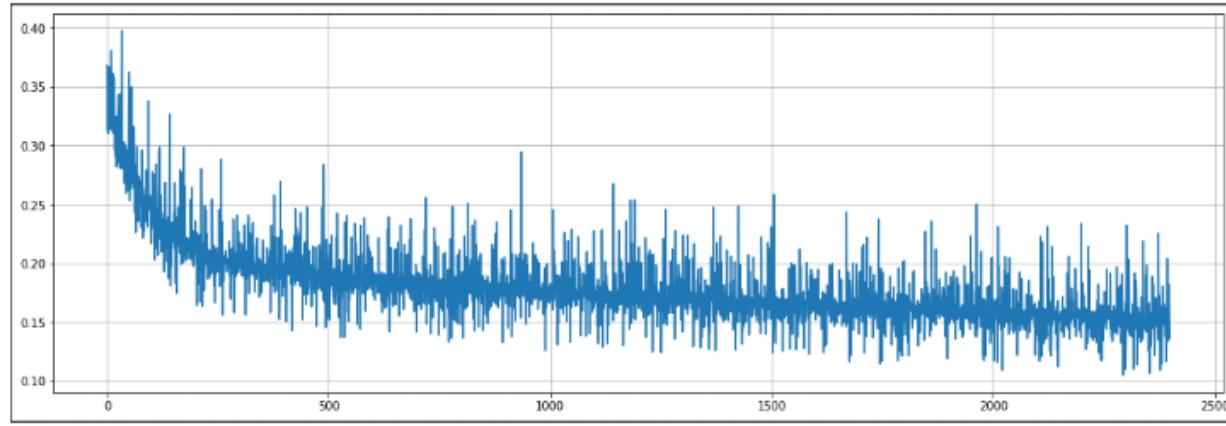
Recovered training images



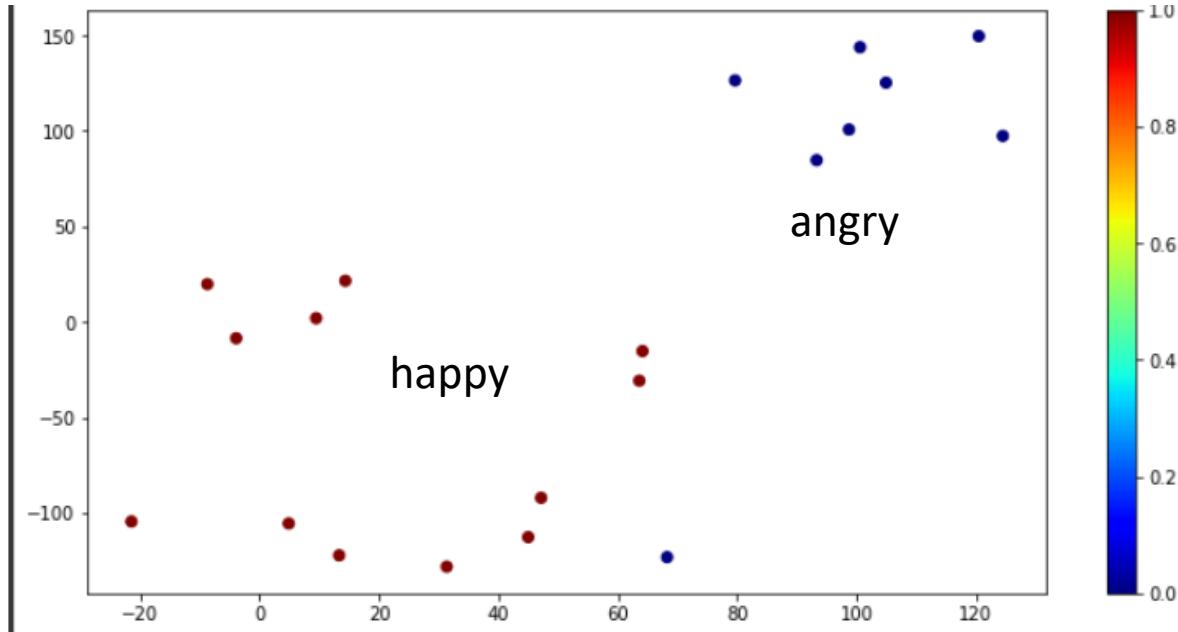
Recovered un-seen test images



Happy = 12, Angry = 8, Latent vector size = 20, 1200 epochs,  
batch=?, Image size = ?x?x3



t-SNE (perplexity=5) results of training images



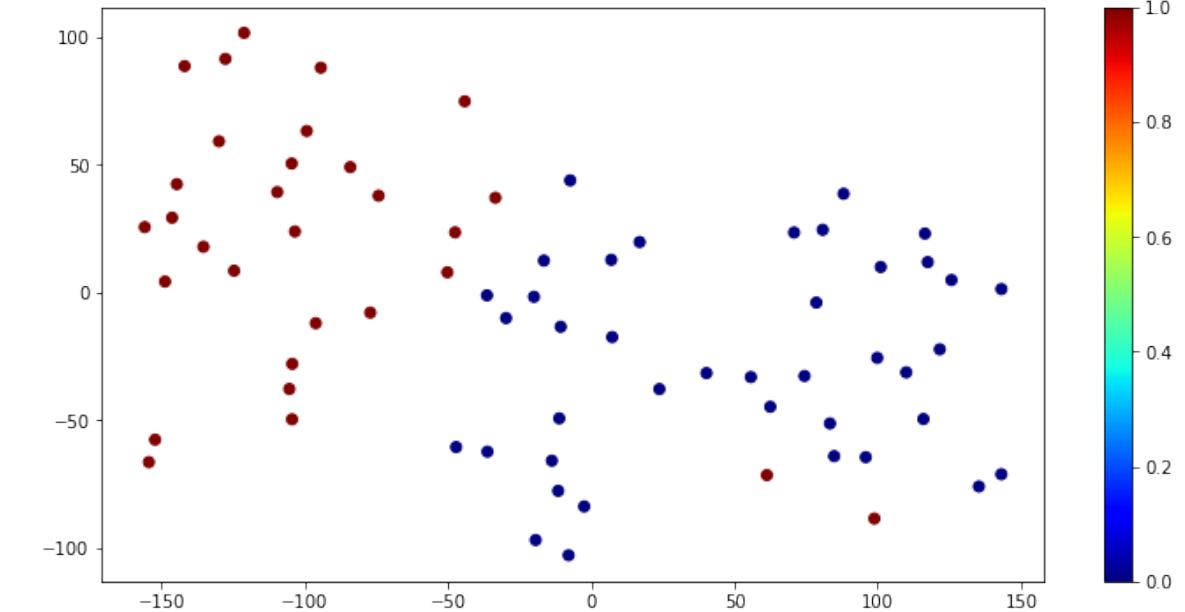
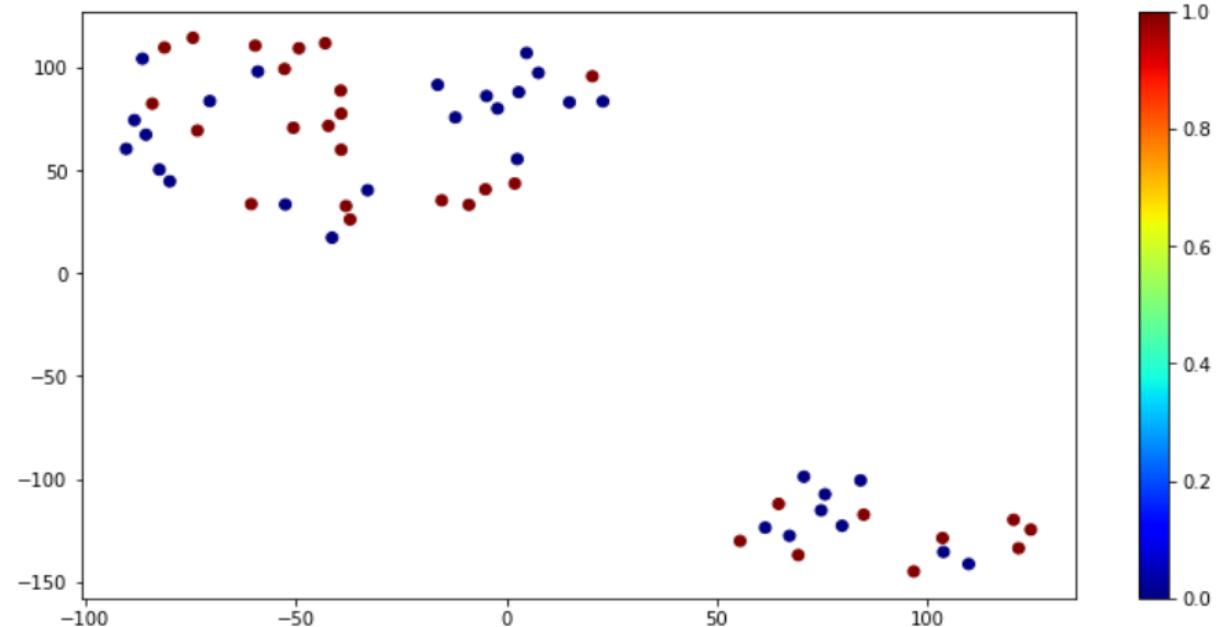
Recovered training images



Recovered un-seen test images



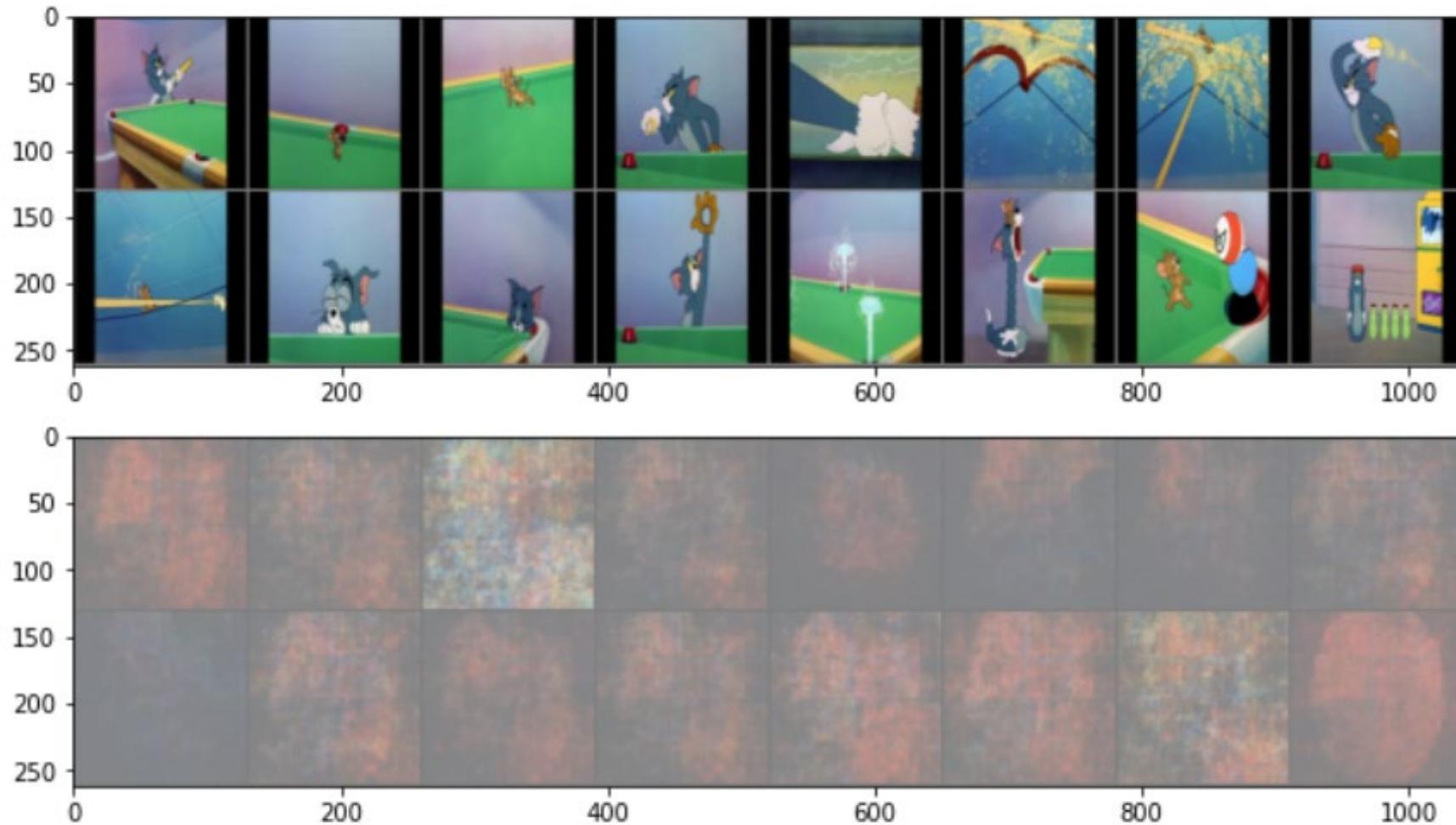
# AE's classification idea is different from human's



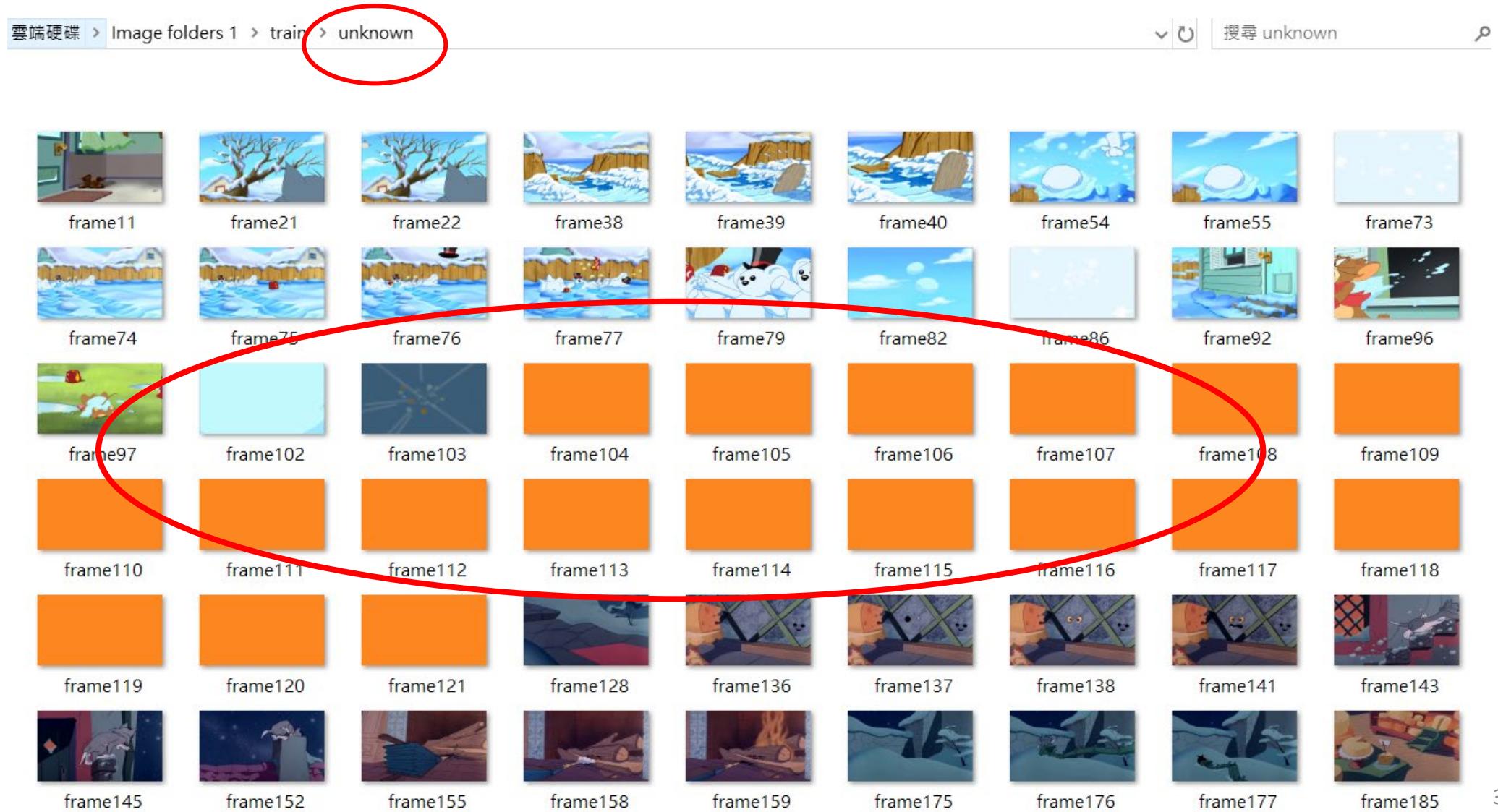
More on using AE on Tom & Jerry images

# Results are still not good after 1200 epochs

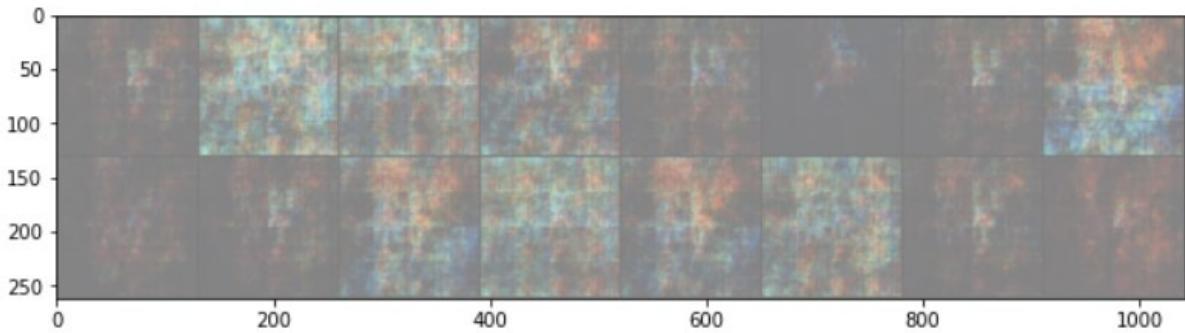
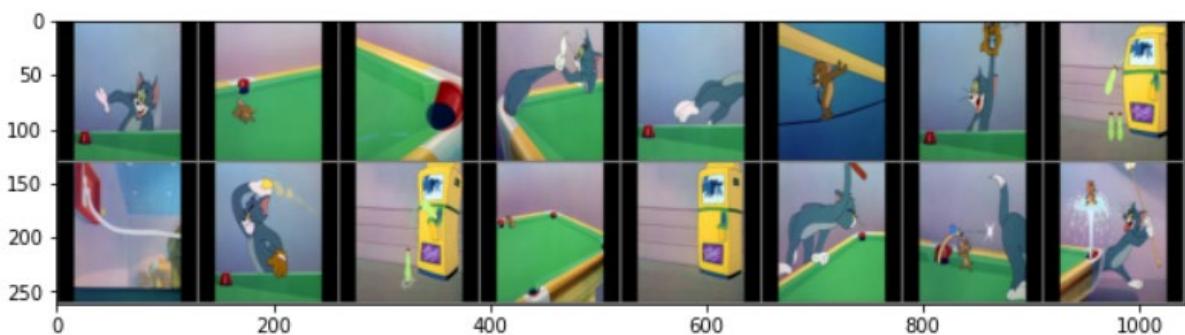
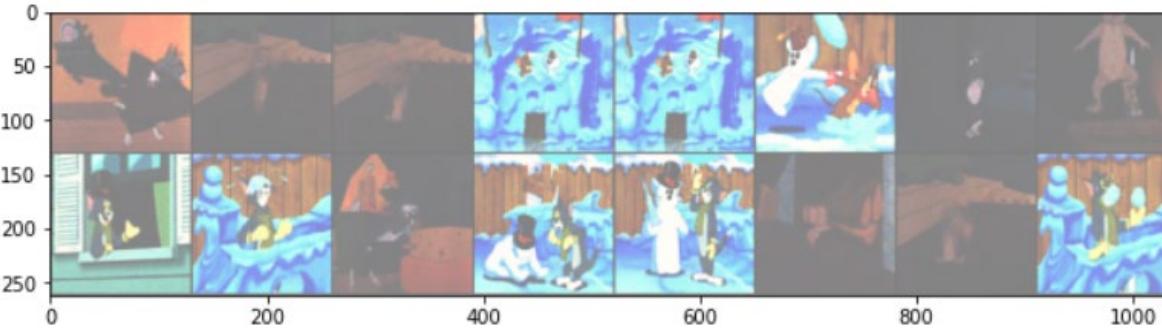
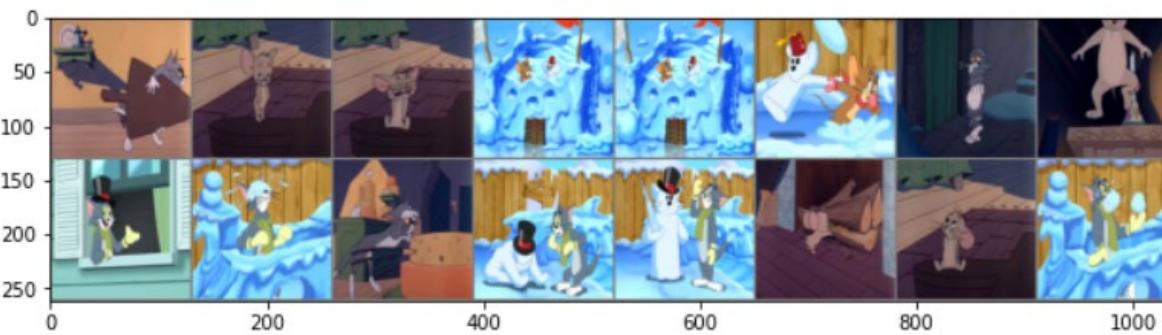
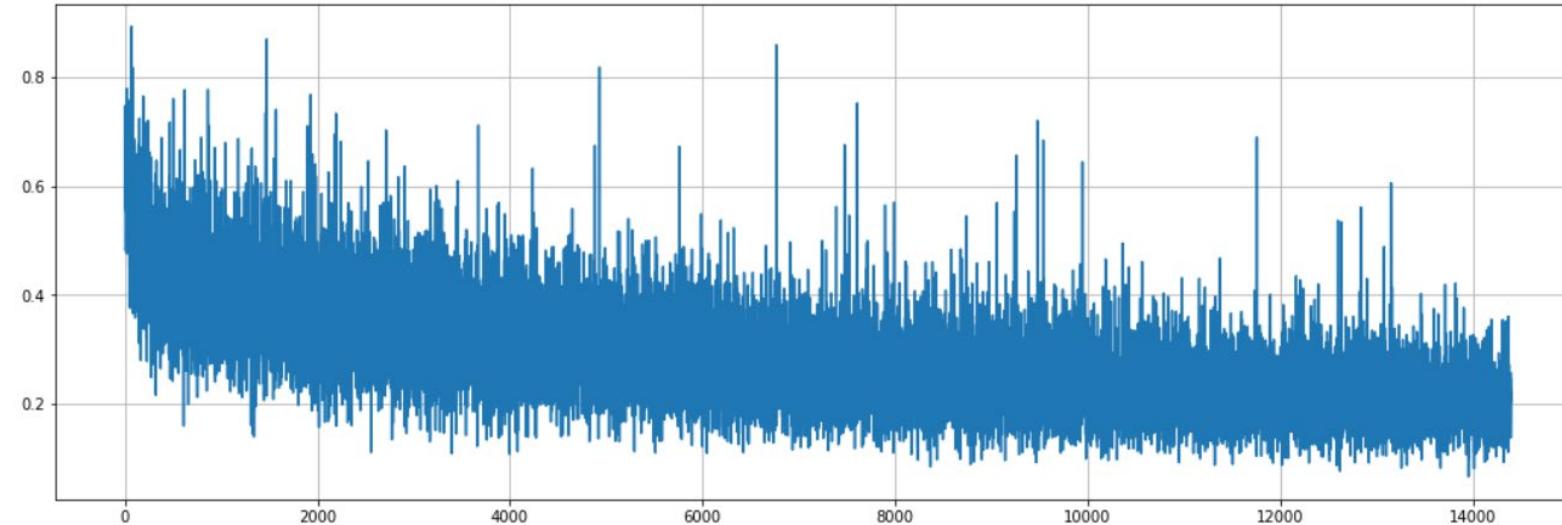
Test on un-seen images – fails to reconstruct the input images



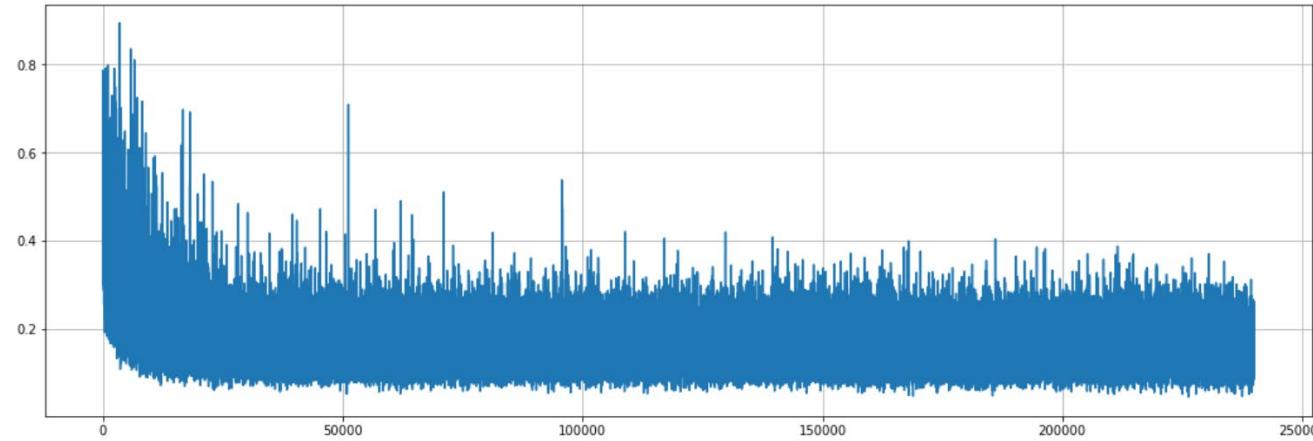
# Remove the "unknown" folder?



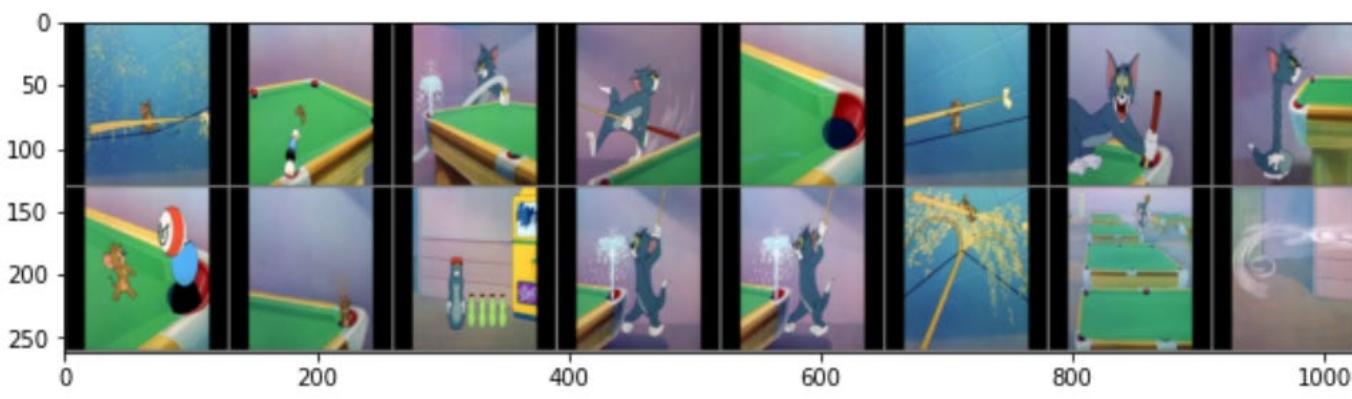
Train 1200 epochs after removing the "unknown" folder



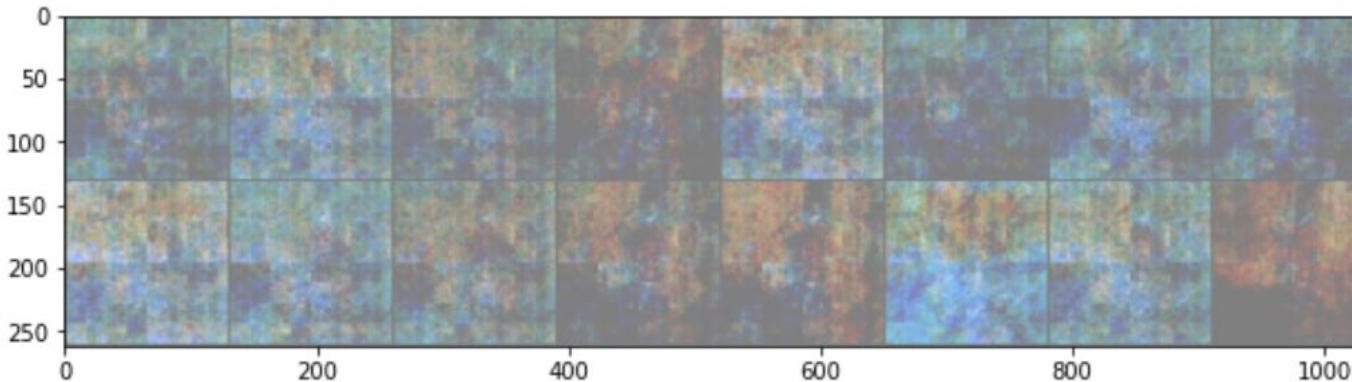
# Train 20,000 epochs



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Still failed to recover  
un-seen images

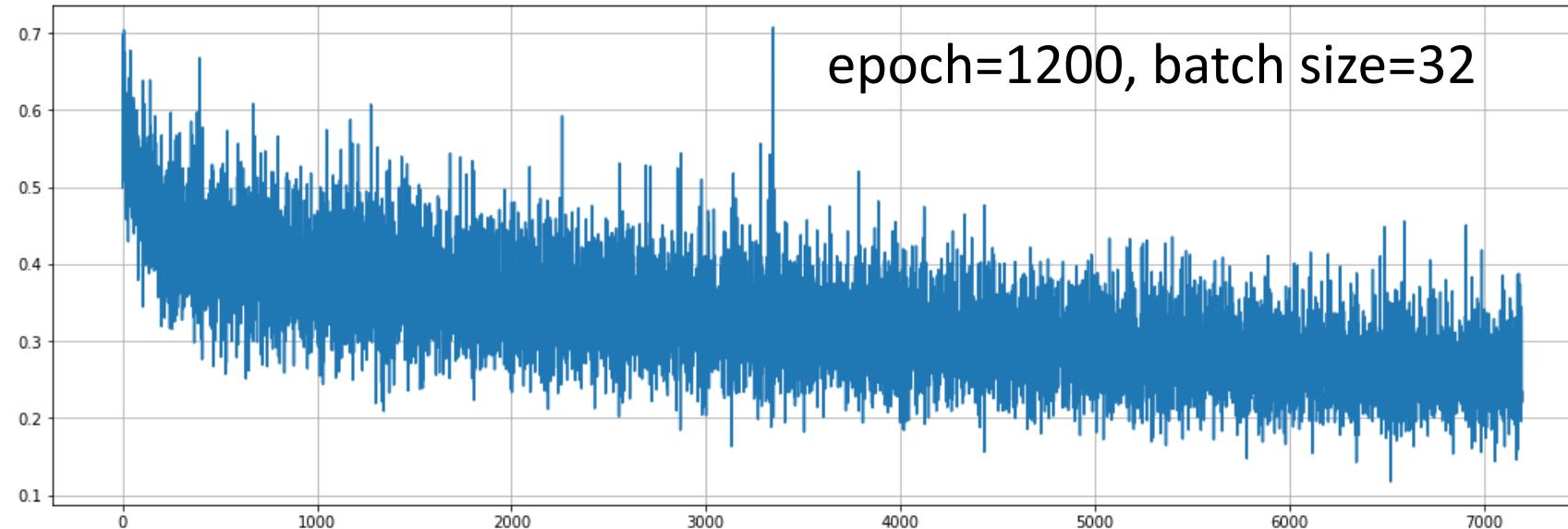
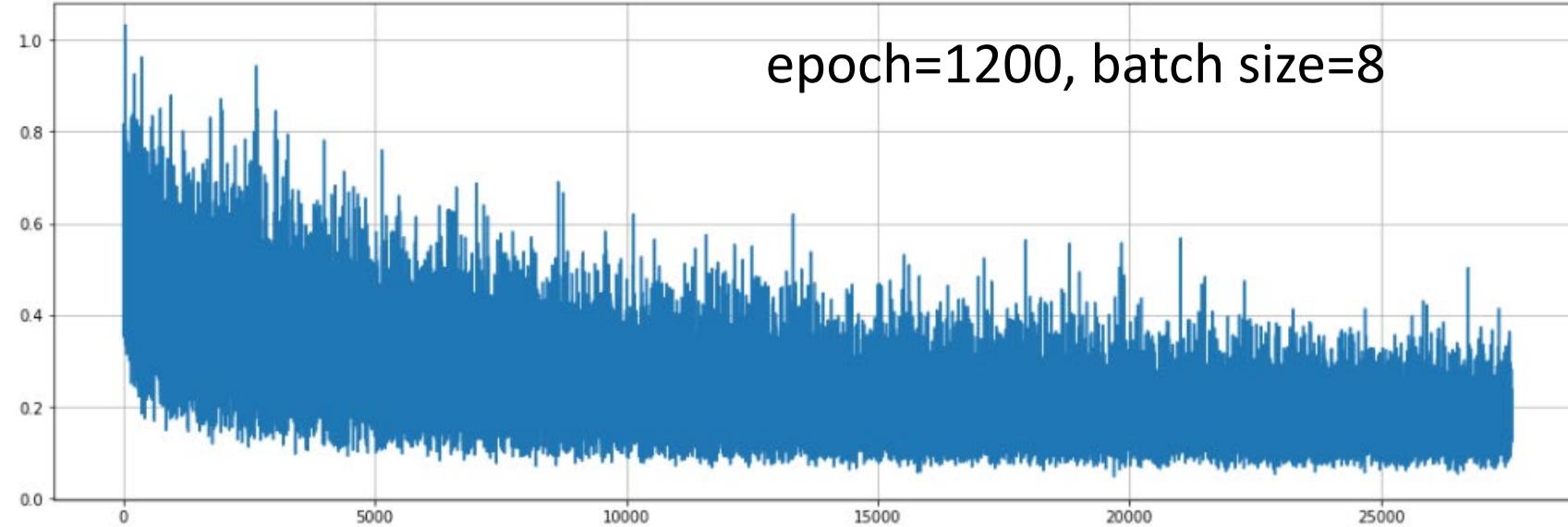


# How about adjusting batch size?

```
self.encoder = nn.Sequential(  
    nn.Conv2d(3, 32, kernel_size=2, stride=2),  
    nn.BatchNorm2d(32, eps=1e-05, momentum=0.1, af  
    nn.ReLU(),  
    nn.Conv2d(32, 64, kernel_size=2, stride=2),  
    nn.BatchNorm2d(64, eps=1e-05, momentum=0.1, af  
    nn.ReLU(),  
    nn.Conv2d(64, 128, kernel_size=2, stride=2),  
    nn.BatchNorm2d(128, eps=1e-05, momentum=0.1, a  
    nn.ReLU(),  
    nn.Conv2d(128, 256, kernel_size=2, stride=2),  
    nn.BatchNorm2d(256, eps=1e-05, momentum=0.1, a  
    nn.ReLU(),  
    nn.Conv2d(256, 512, kernel_size=2, stride=2),  
    nn.BatchNorm2d(512, eps=1e-05, momentum=0.1, a  
    nn.ReLU(),  
    nn.Conv2d(512, 1024, kernel_size=2, stride=2),  
    nn.BatchNorm2d(1024, eps=1e-05, momentum=0.1,  
    nn.ReLU(),  
    nn.Conv2d(1024, 1024, kernel_size=2, stride=2)  
    nn.BatchNorm2d(1024, eps=1e-05, momentum=0.1,  
    nn.ReLU(),  
    Flatten(),  
    nn.Linear(in_features=i, out_features=o),  
)
```

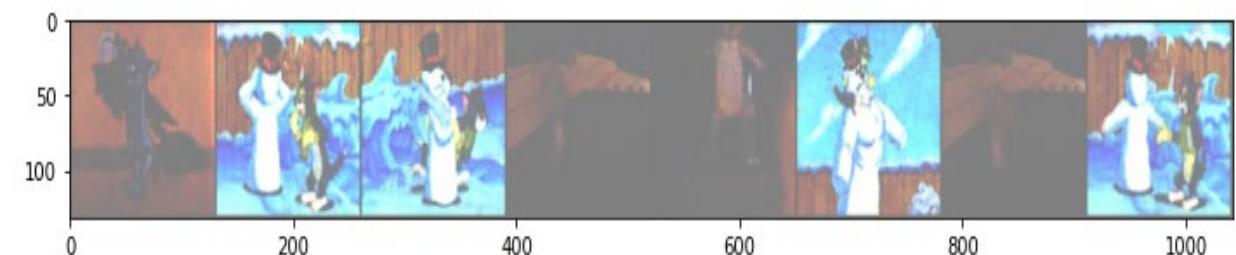
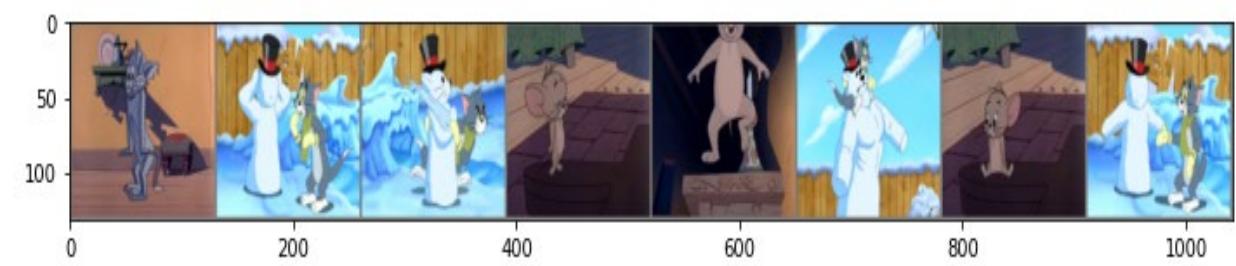
[12]:

```
import torch.utils.data as Data  
loader = Data.DataLoader(  
    dataset=train_dataset,  
    batch_size=16,  
    shuffle=True)
```

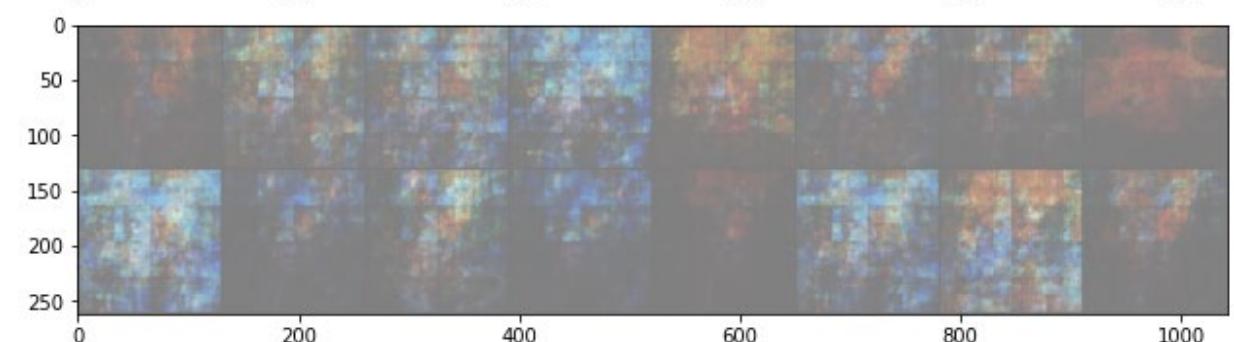
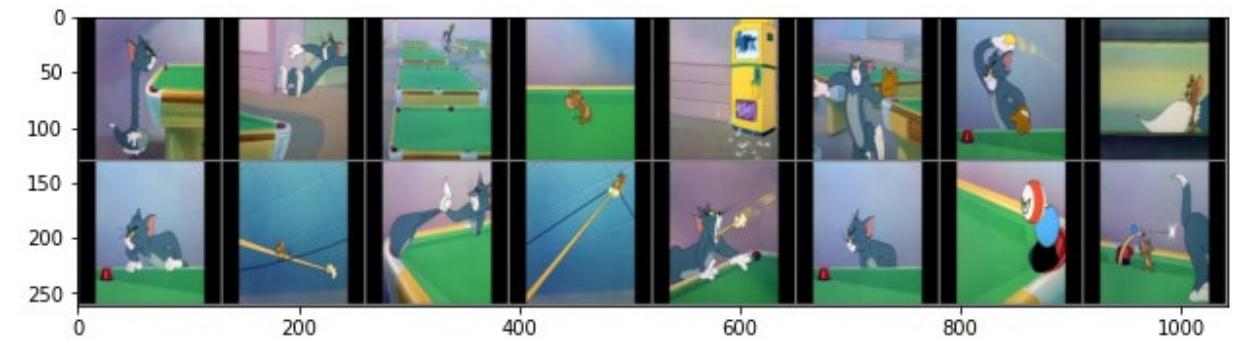


epoch=1200, batch size=8

Train:

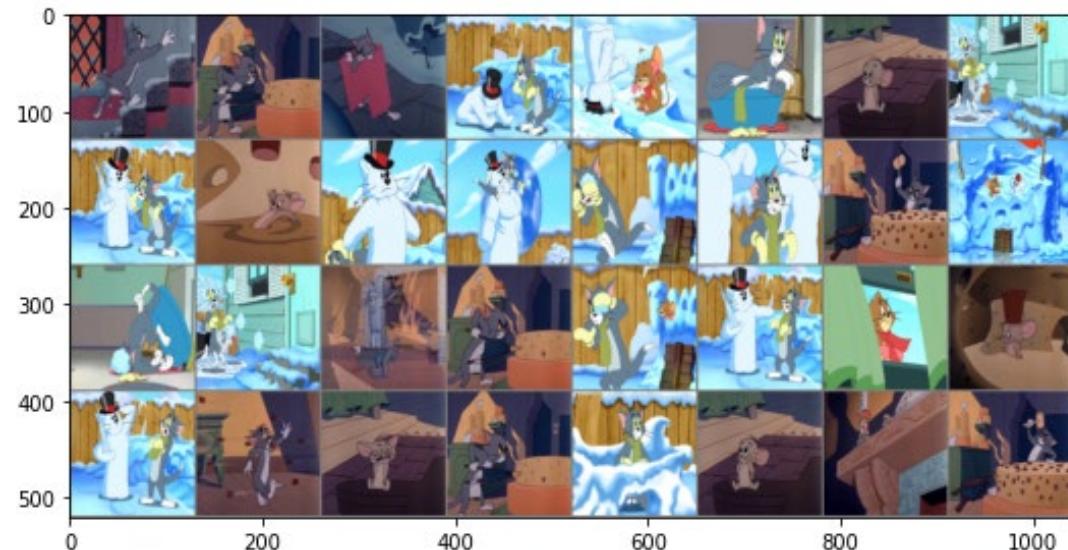


Test:



epoch=1200, batch size=32

Train:



Test:

