

# 1 Introduction to Genetic Algorithms

## 2 Theory

### 2.1 Basic Components

The following are the most basic components that are present in most genetic algorithms:

1. Fitness function for optimization.
2. Population of chromosomes.
3. Selection of chromosomes for reproduction.
4. Crossover to produce the following generation of chromosomes.
5. Random mutation of chromosomes in the following generation.

#### 2.1.1 Fitness Function

Fitness is a term from biology which defines the extent to which a certain type of organism is able to pass itself onto the next generation, influenced by how well a given organism does the job it was evolved to do. Say one beaver has bigger teeth than the other, making it faster in cutting down trees. Based on this, the beaver with the bigger teeth has the better 'fit', making it more likely it will pass down its genes.

In the context of genetic algorithms, the fitness function is responsible for making the algorithm able to achieve the purpose it was made for. The fitness function determines how well the evolving chromosomes fit to the goal of the objective over a range of scores. The direction in which the population evolves is based on these scores.

The fitness function is also known as an **objective function**.

#### 2.1.2 Chromosomes

Usually, the goal of a genetic algorithm is to solve a problem by making units learn what the goal value is. Chromosomes can thus be described as the values an individual unit contains, that are used to define the learning values for individual units. It is a way to represent the learning values.

For each individual unit, their corresponding chromosomes are defined in an array that contains parameters. The representation of the values that the parameters define is dependent on the problem that the creator is trying to solve. A chromosome can be defined as following:

$$\text{chromosome} = [p_1 \dots p_N]$$

Where  $N$  is the number of dimensions of the problem and  $p$  corresponds to the given parameters.  $p$  can be expressed in, for example, binary, real numbers and so forth.

#### 2.1.3 Selection Operator

When producing the next generation of the population, their attributes will need to change to see improvement after reproduction. As chromosomes were discussed, they contain the properties individuals in a population hold. These chromosomes need to be slightly changed, while taking the fitness score into consideration, to see improvement in the next generation of the population. This is also called mutation, where the values of chromosomes are changed, be it slightly or radically. The methods at which selection operators work will be discussed in the following section.

## 3 Weighing Methods

For this section, the sequence of and underlying methods for building a genetic algorithm will be discussed.

### 3.1 Initialization

To start off, the representation of the first generation needs to be determined. Often, the properties of the first population will be randomly generated. This makes it possible for the algorithm to find solutions over a wide range within the search space (search space defines the set of all possible points within the search space). However, when presumptions can be made beforehand about the possible solutions, the properties can be seeded with areas in which an optimal solution is likely to be found.

### 3.2 Parent Selection

For this section, a couple of methods will be discussed for selecting parents from the population that can reproduce. Each method will have their respective pros and cons.

#### 3.2.1 Roulette Wheel

The parents are chosen with a probability proportional to their fitness. Usually when someone spins a roulette wheel, the outcome will be entirely random, since each slot in the wheel will have the same probability of being chosen. However, when implementing a roulette wheel for parent selection in a genetic algorithm, the probability can be adjusted based on the fitness of the parents. With this, it is ensured that only the better performing parents are chosen for reproduction. This, however, does not guarantee that worse performing parents can be selected, since any parent still has a chance of being chosen. The probability increase in relation to the fitness value can ofcourse be defined in the program.

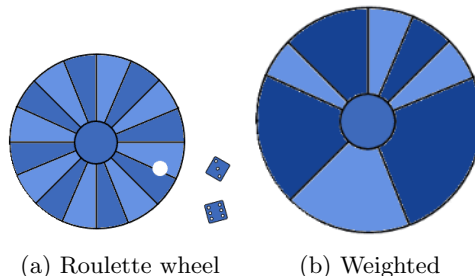


Figure 1: Comparison between a regular roulette wheel and a weighted roulette wheel

#### 3.2.2 Tournament Selection

A subset is randomly chosen, and the best is selected. Say you have a population of  $x$  agents. When using tournament selection before crossover, the population will be divided into subsets.  $tournament\_count = x/tournament\_size$  Inside each tournament the best performing agent will be chosen for reproduction.

#### 3.2.3 Elitism

The best individuals automatically move to the next generation.

### 3.3 Crossover

Genetic operator to combine information of parents or use cloning. Child can be subject to mutation, see next subsection. Typically, the genetic structure consists of data structures, like arrays of bits, vectors and arrays.

Several methods for crossover function:

### **3.3.1 Order Crossover**

### **3.3.2 Partially Mapped Crossover**

## **3.4 Mutation**

### **3.4.1 Swap Mutation**

### **3.4.2 Random Resetting**

Problems: - Genetic drift

## 4 Conclusion

## 5 Bibliography

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