

### Exercise 1 Paths and cycles

$4 \times 2$  pts

Consider the following five problems. Assuming that problem (a) is NP-hard, show that the other four are also NP-hard, by finding suitable polynomial-time reductions.

(a) DIRECTED HAMILTONIAN CYCLE

Input: a directed graph  $G$ .

Output: *yes* if  $G$  has a Hamiltonian cycle, *no* otherwise.

(b) DIRECTED LONG PATH

Input: a directed graph  $G$ , vertices  $s$  and  $t$ , integer  $k$ .

Output: *yes* if  $G$  has a simple path from  $s$  to  $t$  of length at least  $k$ , *no* otherwise.

(c) UNDIRECTED HAMILTONIAN CYCLE

Input: an undirected graph  $G$ .

Output: *yes* if  $G$  has a Hamiltonian cycle (a simple cycle visiting all vertices), *no* otherwise.

(d) TRAVELING SALESPERSON

Input:  $n$  cities and pairwise distances  $d_{ij}$  (arbitrary non-negative integers), value  $N$ .

Output: *yes* if there is a tour visiting each city exactly once, with total distance at most  $N$ , *no* otherwise.

(e) SHORTEST SIMPLE PATH

Input: graph  $G$  with edge weights (possibly negative; negative cycles also allowed), vertices  $s$  and  $t$ , and a value  $N$ .

Output: *yes* if there is an  $s$ - $t$  path of length at most  $N$ , visiting each vertex *at most once*, *no* otherwise.

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### Exercise 2 Variations

(a) (3 pts) The  $k$ SAT problems ask, given a boolean CNF formula  $\Phi$  with each clause of size exactly  $k$ , whether there is a variable-assignment so that  $\Phi$  evaluates to true.

Assuming that 3SAT is NP-complete, argue that 4SAT, 5SAT, etc. are also NP-complete.

- (b) (3 pts) The  $k$ COLORING problems ask, given an undirected graph  $G$ , whether we can assign each vertex a unique color from a set of  $k$  colors, so that no two adjacent vertices have the same color.

Assuming that 3COLORING is NP-complete, argue that 4COLORING, 5COLORING, etc. are also NP-complete.

- (c) (3 pts) We have 2COLORING  $\in P$ , and 2SAT  $\in P$ . Show one of these claims.

### Exercise 3 Vertex cover

Consider the following problem:

#### VERTEX COVER

Input: an undirected directed graph  $G = (V, E)$ , a natural number  $k$ .

Output: *yes* if there is a subset  $V' \subseteq V$  of size at most  $k$ , such that every edge in  $E$  has at least one endpoint in  $V'$ .

- (a) (2 pts) Argue that if  $T \subseteq V$  is an *independent set*, then  $V \setminus T$  hits all edges.
- (b) (3 pts) Using this observation, show that VERTEX COVER is NP-complete.
- (c) (Extra 3 pts) Show that VERTEX COVER in bipartite graphs is solvable in polynomial-time.
- (d) (Extra 4 pts) Show that VERTEX COVER remains NP-complete in planar graphs.

Total: 22 points. Have fun with the solutions!

From: Yumeng Li and Thore Brehmer

Consider the following five problems. Assuming that problem (a) is NP-hard, show that the other four are also NP-hard, by finding suitable polynomial-time reductions.

## (a) DIRECTED HAMILTONIAN CYCLE

Input: a directed graph  $G$ .

Output: yes if  $G$  has a Hamiltonian cycle, no otherwise.

side Reduction

$L_1 \quad L_2$

Ham cycle  $\leq_p$  Ham path

Input graph  $G(E, V)$

$f$ : pick one vertex  $s \in V$

- delete the edge from  $s$  to  $t \in V$

- ~~( $s, t$ ) input vertices~~ Ham.path does not take input vertices, only the graph

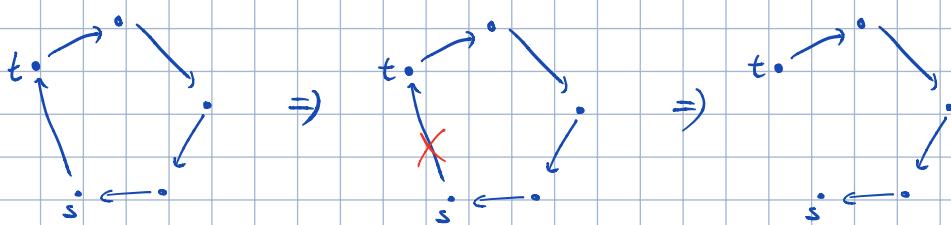
$w \in L_1 \stackrel{?}{\Rightarrow} f(w) \in L_2$

works, because a Ham cycle has a  $|V|$  long simple path if we remove one random edge ~~incorrect~~

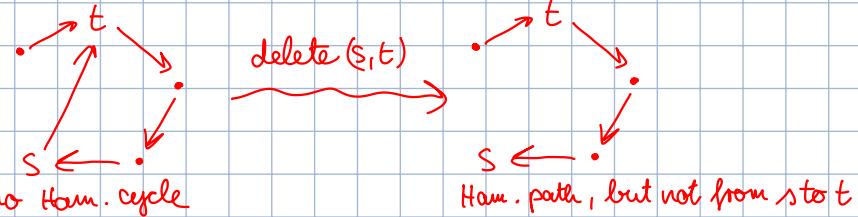
$w \in L_1 \Leftarrow f(w) \in L_2$

works, because if we have a Ham path from  $s$  to  $t$ , we have a Ham cycle with the edge  $(s, t)$

e.g. is there a Ham Cycle?  $\Leftrightarrow$  is there a Ham path?



Counterexample:



(b) DIRECTED LONG PATH

Input: a directed graph  $G$ , vertices  $s$  and  $t$ , integer  $k$ .

Output: yes if  $G$  has a simple path from  $s$  to  $t$  of length at least  $k$ , no otherwise.

$L_1$

$L_2$

Ham Path  $\Leftrightarrow$  Long Path

Input Graph  $G(E, V)$ ,  ~~$s, t$~~   $s$  and  $t$  to be specified in  $f$

$f$ :

- change  $k$  to  $|V|$

$w \in L_1 \stackrel{?}{\Rightarrow} f(w) \in L_2$

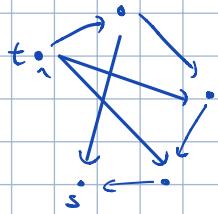
works, because a Ham Path ~~has~~ <sup>is</sup> a  $|V|$  long simple path

$w \in L_1 \Leftarrow f(w) \in L_2$

works, because if we have a  $|V|$  long simple path from  $s$  to  $t$ , we have a Ham

e.g. is there a Ham Path?  $\Leftrightarrow$  is there a  $k(|V|)$  long path?

1. yes-instant



=>

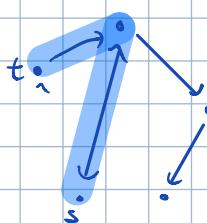


$|V|$  long Path  
 $\Rightarrow$  yes

2. No-instant



=>



2 long simple Path

$$2 \leq |V|$$

$\Rightarrow$  no

(c) UNDIRECTED HAMILTONIAN CYCLE

Input: an undirected graph  $G$ .

Output: *yes* if  $G$  has a Hamiltonian cycle (a simple cycle visiting all vertices), *no* otherwise.

$L_1$

$L_2$

Ham Cycle  $\leq_p$  undirected Ham Cycle

Input Graph  $G(E, V)$

$f$ : for every vertex which has one incoming and one outgoing edge:

- change the outgoing edge to an undirected edge  
what about the remaining edges?

All edges in the resulting graph have to be undirected

$w \in L_1 \stackrel{?}{\Rightarrow} f(w) \in L_2$

works, because each vertex in a Ham Cycle has exactly one incoming and one outgoing edge.

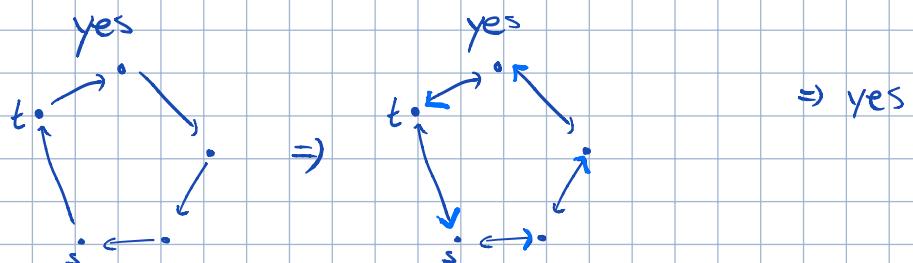
$\Rightarrow$  after  $f$  each edge will be undirected incorrect

$w \in L_1 \Leftarrow f(w) \in L_2$

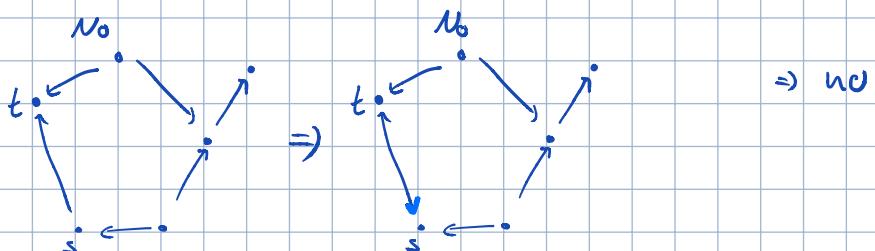
works, because if we have a undirected Ham Cycle we had an directed Ham Cycle, before we used  $f$ .  
(else not all edges would be undirected) not according to your f

e.g. is there a Ham Cycle?  $\Leftrightarrow$  is there a undirected Ham Cycle

1. yes-instant



2. no-instant



(d) TRAVELING SALESPERSON

Input:  $n$  cities and pairwise distances  $d_{ij}$  (arbitrary non-negative integers), value  $N$ .

Output: yes if there is a tour visiting each city exactly once, with total distance at most  $N$ , no otherwise.

$L_1$

$L_2$

Ham Cycle  $\leq_p$  Traveling Salesperson

Input Graph  $G(E, V)$

$f:$

- create a full graph  $G_2(E_2, V_2)$  with distances  $= \begin{cases} 1 & e \in E \\ 2 & e \notin E \end{cases}$
- $n = |V_2|$ ,  $d_{ij} = E_2$ ,  $N = |V_2|$

$w \in L_1 \stackrel{?}{\Rightarrow} f(w) \in L_2$

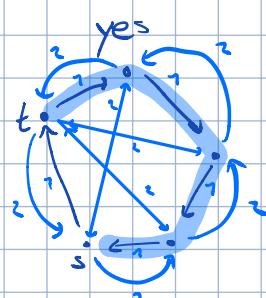
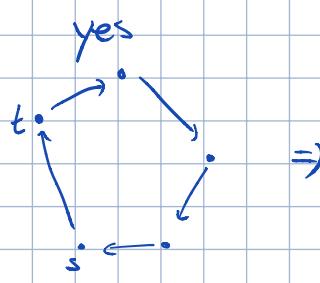
works, because if there is a Ham Cycle, there is a path through all cities with each edge cost 1. (total  $N$ )

$w \in L_1 \Leftarrow f(w) \in L_2$

works, because if we have a tour visiting each city exactly once with total distance  $N$ , exactly that tour is our Ham cycle (only the last not used 1 distance edge is missing.)

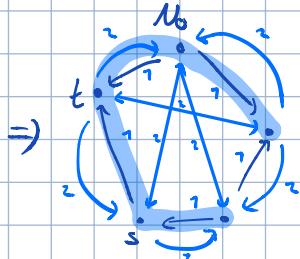
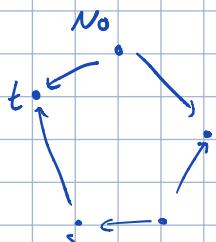
e.g. is there a Ham Cycle?  $\Leftrightarrow$  is there a Traveling Salesperson

1. Yes-instant



shortest path  
= 4 = cities  
 $\Rightarrow$  yes

2. No-instant



shortest path  
= 5  $\neq$  cities  
 $\Rightarrow$  no

(e) SHORTEST SIMPLE PATH

Input: graph  $G$  with edge weights (possibly negative; negative cycles also allowed), vertices  $s$  and  $t$ , and a value  $N$ .

Output: yes if there is an  $s-t$  path of length at most  $N$ , visiting each vertex at most once, no otherwise.

$$L_1 \quad L_2$$

Ham path  $\leq_p$  Shortest simple path

Input: Graph  $G(E, V)$ ,  $s, t$  you need to choose  $s$  and  $t$  in  $f$

$f$ :

- create a full graph  $G_2(E_2, V_2)$  with distances  $= \begin{cases} -1 & e \in E \\ \infty & e \notin E \end{cases}$  ✓
- $N = -|V_2|$  ✓

$w \in L_1 \stackrel{?}{\Rightarrow} f(w) \in L_2$

works, because a Ham path has a  $|V_1|$  long simple path and  $f$  changes the edge weight of that path to a total  $-|V_2|$  ✓

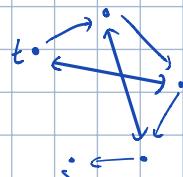
$w \in L_1 \Leftarrow f(w) \in L_2$

works, because if we have a shortest simple path from  $s$  to  $t$  with value  $-|V_2|$ . We took exactly  $|V_1|$  many edges from  $s$  to  $t$  (with each vertex exactly once) which is our Ham path ✓

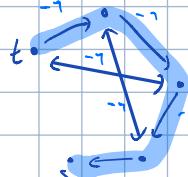
- There cannot be a path with value  $\leq N$  ( $-|V_2|$ ) as there are only  $|V_1|$  many  $-1$  edges
- $\geq N$  ( $-|V_2|$ ) paths are not allowed by def. ✓

e.g. is there a Ham Path?  $\Leftrightarrow$  is there a shortest simple path?

1. Yes-instant

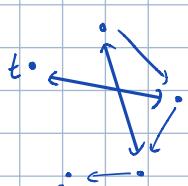


$\Rightarrow$

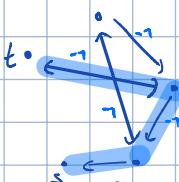


SSP of value  $= -|V_2|$   
⇒ Yes

2. No-instant



$\Rightarrow$



SSP of value  $\geq -|V_2|$   
⇒ No

(2)

NO.  
DATE

Ex2: (a). 3SAT NP-complete  $\Rightarrow$  4SAT NP-complete.

Firstly, 4SAT is NP problem since all SAT problems are.  
WTS 4SAT is NP hard.

Let  $K_1 \wedge K_2 \wedge \dots \wedge K_m$  be an input of 4SAT  
where  $K_i = l_{i1} \vee l_{i2} \vee l_{i3} \vee l_{i4}$ .

$$\begin{aligned} K_1 \wedge K_2 \wedge \dots \wedge K_m &= (l_{11} \vee l_{12} \vee l_{13} \vee l_{14}) \wedge (l_{21} \vee l_{22} \vee l_{23} \vee l_{24}) \\ &= [(l_{11} \vee l_{12} \vee l_{13}) \wedge (l_{21} \vee l_{22} \vee l_{23})] \wedge (l_{14} \vee l_{24}) \\ &\quad \wedge [(l_{11} \vee l_{12} \vee l_{13}) \wedge (l_{14} \vee l_{24})] \wedge (l_{21} \vee l_{22} \vee l_{23}) \\ &\quad \wedge [(l_{11} \vee l_{12} \vee l_{13}) \wedge (l_{14} \vee l_{24})] \end{aligned}$$

$$K_1 = (l_{11} \vee l_{12} \vee l_{13}) \wedge (l_{14} \vee l_{24}).$$

Then ~~is~~  $\exists f$  function of polynomial time w.r.t. m.

$f: 3SAT \rightarrow 4SAT$ . this is a reduction from 4SAT to 3SAT

so 4SAT is NP hard since 3SAT is. ( $4SAT \leq_p 3SAT$ )

$\Rightarrow$  4SAT is NP complete. you needed the other direction

(b) 3 Color  $\wedge \Rightarrow$  4 Color. NP complete. 2  
(NP comple).

Firstly, 4 Color problem is NP.

certificate: 4 colors and its assignment to vertices

verifier: check if # colors  $\leq 4$

and verify every node having different color with its neighbors

WTS 4 Color is NP-hard

$f: G \rightarrow G'$

↑  
3-colorable graph.

graph  
 $G \vee \{*\}$

(is  $G$  with an additional node  $\{*\}$ )  
connecting to all nodes of  $G$ .)

if  $G$  is 3-colorable  $\Leftrightarrow G'$  is four colorable w/  $\{*\}$  the ~~the~~  
fourth color. and  $f$  is of polynomial time

$\Rightarrow$  4 Color is NP-complete.

3

Ex2 (c) Let  $K = k_1 \wedge k_2 \wedge \dots \wedge k_m$  be an input of 2SAT  
 where  $k_i = (a_i \vee b_i)$ .

~~$i=1, \dots, m$~~

~~assign  $a_i = 1$~~  search  $\bar{a}_i$  in  $K$   
 if  $\bar{a}_i$  doesn't exist in  $K$ , continue to  $i \leftarrow i+1$ .  
 else say  $k_{j_1}, \dots, k_{j_l}$  contain  $\bar{a}_i$   
 if any of  $b_{j_1}, \dots, b_{j_l}$  was assigned and is 0.  
 assign  $a_i = 0$ .  
 else assign and do (\*).

$i=1, \dots, m$ .

If  $a_i$  hasn't been assigned

assign  $a_i = 1$ .

search  $\bar{a}_i$  in  $K$   
 if  $\bar{a}_i$  doesn't exist in  $K$ , continue to  $i \leftarrow i+1$ .  
 else say  $k_{j_1}, \dots, k_{j_l}$  contain  $\bar{a}_i$   
 If any of  $b_{j_1}, \dots, b_{j_l}$  was assigned and is 0.

assign  $a_i = 0$ . and do (\*1)

if any of  $b_{j_1}, \dots, b_{j_l} = 0$   
 return not satisfiable.

else continue

else  $b_{j_1} = \dots = b_{j_l} = 1$ .

what if  $b_{j_i}$  were all unassigned, but  $b_{j_c} = \bar{b}_{j_d}$   
 for some  $i < c < d < l$ ?

return value of  $a_i$  and  $b_i$  for all  $i$ .

This is polynomial time since for  $i=1, \dots, m$ , the running time  
 is  $O(m)$ .  $\Rightarrow O(m^2)$  in total.

2

(3)

Let  $K = k_1 \wedge k_2 \wedge \dots \wedge k_m$  be an input of 2SAT.  
where  $k_i = (a_i \vee b_i)$

~~assign  $a_i = 1$ , then search in  $K$  if  $\bar{a}_i$  in  $K$ .  
 $i = 1, \dots, m.$~~

~~assign  $a_i = 1$ , search  $\bar{a}_i$  in  $K$ .~~

~~if  $\bar{a}_i$  doesn't exist, continue to  $i=i+1$~~

~~else say  $k_{j_1}, \dots, k_{j_l}$  contains  $\bar{a}_i$ , then assign  $b_{j_1}, \dots, b_{j_l} = 1$ .~~

Ex 3 (a) If  $T \subseteq V$  is an independent set, then  $V \setminus T$  hits all edges.

Suppose  $V \setminus T$  doesn't hit all edges. Say  $e \in E$  an edge hasn't been hit by  $V \setminus T$ . It means the 2 ends of  $e$  are both not in  $V \setminus T$ , so both of the ends are in  $T$ . Then two nodes in  $T$  have an edge  $e$  connecting them. Contradictory to  $T$  being independent set. 2

(b) Firstly VC (vertex cover problem) is NP

Certificate:  $V' \subseteq V$

Verify:  $V \setminus V'$  independent set or not.

So VC is NP

WTS VC is NP-hard.

For each node  $v^{(i)}$  of graph  $G$ , let  $x_i$  be a variable associating to  $v^{(i)}$ . For each edge  $(v, w)$ , let it associate to clause  $x_v \vee x_w$ .

A vertex cover of size  $k$  corresponds to  $x_1 + \dots + x_n \leq k$ .

and CNF is  $K = \bigwedge_{e \in E} (x_{e_1} \vee x_{e_2})$ . This would be 2SAT  $\in P$

If  $K$  is satisfiable, VC of size  $k$  exists. But SAT doesn't care how many variables are set to 1

Since SAT is NP hard, VC is also NP-hard.

2