

# 1 Homotopy group and CW-complexes

Recall if  $A$  is a top space, and  $f : \sqcup_{i \in I} S^{n-1} \rightarrow A$  then  $X = A \cup_f (\sqcup D^n) = A \sqcup (\sqcup D^n) / \sim$  where  $x \in \partial(\sqcup D^n)$  is identified with  $f(x) \in A$  is said to be obtained from  $A$  by attaching  $n$  cells.

A **relative CW-pair** is a pair  $(X, A)$  s.t.

- (1)  $X$  is a top space.
- (2)  $A$  is a closed subspace.
- (3) There exists a sequence of spaces  $X^{(n)}, n = -1, 0, 1, \dots$  called  $n$ -skeleton s.t.
  - (a)  $X^{(-1)} = A$ .
  - (b)  $X^{(n)}$  is obtained from  $X^{(n-1)}$  by attaching  $n$ -cells.
  - (c)  $X = \bigcup_{i=1}^{\infty} X^{(i)}$ .
  - (d)  $B \subseteq X$  is closed iff  $B \cap X^{(n)}$  closed for all  $n$ .

If  $X^{(n)}$  for some  $n$  then we say  $(X, A)$  is an  **$n$ -dimensional CW-pair**. Otherwise infinite. If  $A = \emptyset$ , then  $X$  is a CW-complex. If  $X$  has a finite number of cells then (d) is automatically ignored.

exercise:  $(X, A)$  a CW-pair then  $X/A$  is a CW complex.

**Example 1.1** (1) A 1-dimensional CW-complex is a graph.

(2) any surface as a 2-dimensional CW-complex. Any  $n$ -manifold is a CW-complex.

(3) If  $X, Y$  are CW-complexes, then so is  $X \times Y$ . Exercise: work out the CW structure on  $X \times Y$  from the CW structure on  $X$  and  $Y$ .

A map  $f : X \rightarrow Y$  between CW-complexes is **cellular** if  $f(X^{(n)}) \subseteq Y^{(n)} \forall n$ .

**Theorem 1.2** (cellular approximation)

If  $f : X \rightarrow Y$  is a map between CW-complexes and  $f$  is cellular on  $A \subseteq X$  a sub CW-complex. Then  $f$  is homotopic rel  $A$  to a map  $g : X \rightarrow Y$  that is cellular on all of  $X$ .

**Proposition 1.3**

$$\pi_k(S^n) = 0 \quad \forall k < n.$$

*Proof.* Given  $f : (S^k, s_0) \rightarrow (S^n, x_0)$  where  $s_0, x_0$  part of 0-skeleton. We can homotop  $f$  to  $g$  s.t.  $g((S^k)^{(k)}) \subseteq (k\text{-skeleton of } S^n) = \{x_0\}$ . So  $f \simeq 0$  in  $\pi_n(S^n)$ .  $\square$

What about  $\pi_k(S^n)$  for  $k > n$ . This is very hard in general.

**Example 1.4**

$\pi_3(S^2) \neq 0$ . To see this let  $f : S^3 \rightarrow S^2$  be the Hopf map. That is, think  $S^3 \subseteq \mathbb{C}^2$ ,  $S^1 \subseteq \mathbb{C}$  the unit spheres.  $S^1$  acts on  $S^3$  by multiplication, i.e.  $\lambda \in S^1$ , then  $\lambda(z_1, z_2) = (\lambda z_1, \lambda z_2) \in S^3$ . In fact  $S^3/S^1 = \mathbb{C}P^1 \cong S^2$ . So the Hopf map is this quotient map. Exercise:  $\mathbb{C}P^2 \cong \mathbb{C}P^1 \cup_f D^4$  (glue a 4-cell to  $S^2$  by the Hopf map).

If  $f \simeq \text{const}$ , then  $\mathbb{C}P^2 \cong S^2 \vee S^4$ . Easy to see generator  $[s^2] \in H^2(S^2 \vee S^4)$ .  $[s^2] \smile [s^2] = 0$  in  $H^4(S^2 \vee S^4)$ . Poincare duality says  $g \in H^2(\mathbb{C}P^2)$  s.t.  $g \smile g \neq 0$  in  $H^4(\mathbb{C}P^2)$ . So  $f$  cannot be trivial in  $\pi_3(S^2)$ .

**Lemma 1.5**

$X$  a CW-complex. Let  $i : X^{(n)} \rightarrow X$  be inclusion then  $i$  induces an isomorphism  $i_* : \pi_k(X^{(n)}) \rightarrow \pi_k(X)$  for  $k < n$  and a surjection for  $k = n$ .

*Proof.*  $i_*$  is surjective for  $k = n$  by similar argument to previous proposition. Given  $[f] \in \pi_n(X)$ , we have  $f : S^n \rightarrow X$ . By cellular approximation theorem, we can homotop  $f$  to a cellular map  $g$  s.t.  $g(S^n) \subseteq X^{(n)}$ . Then viewing  $g$  as a map from  $S^n$  to  $X^{(n)}$ , we see that  $[g] \in \pi_n(X^{(n)})$  is the element that maps to  $[f]$  under  $i_*$ .

If  $k < n$  then  $i_*$  is injective. suppose  $f : S^k \rightarrow X^{(n)}, g : S^k \rightarrow X^{(n)}$  and  $[f] = [g]$  in  $\pi_k(X)$ . By cellular approximation, we can assume  $f, g$  map into  $X^{(k)}$ . Let  $H : S^k \times I \rightarrow X$  be the homotopy. Note:  $H$  is cellular on  $(S^k \times I) \cup (s_0 \times I)$ . Exercise:  $S^k \times I$  has a CW structure of dim  $k + 1$ . Cellular approximation says we can homotop  $H$  and  $S^k \times I$  and  $s_0 \times I$  so its image is in  $X^{(k+1)} \subseteq X^{(n)}$ . Therefore,  $f \simeq g$  in  $X^{(n)}$ .  $\square$

**Lemma 1.6 (Homotopy extension theorem)**

Given a relative CW-complex  $(X, A)$  a map  $f : X \rightarrow Y$  and a homotopy  $H : A \times I \rightarrow Y$  of  $f|_A$ , then there exists an extension of  $H$  to  $G : X \times I \rightarrow Y$  s.t.  $G(x, t) = H(x, t)$  on  $A \times I$  and  $G(x, 0) = f(x)$ .

Exercise: prove theorem 21 and 24 directly using this lemma.

*Proof.* For any  $D^n$  there is a deformation retraction of  $D^n \times I$  to  $D^n \times \{0\} \cup (\partial D^n \times I) =: B$ . To see this,  $D^n \subseteq \mathbb{R}^n = \mathbb{R}^n \times \{0\} \subseteq \mathbb{R}^{n+1}$ . Also  $D^n \times I \subseteq \mathbb{R}^{n+1}$ . Let  $p = (0, \dots, 0, 2)$ . For any  $x \in D^n \times I$ , let  $\ell_x$  be the line through  $p, x$  and it is going to intersect  $B$  at a unique point  $\tilde{r}(x)$ . Then we have a deformation retract  $\tilde{r}_t(x) = t\tilde{r}(x) + (1 - t)x$ .

Now suppose  $X - A$  has one cell  $D^n$ . We know  $\partial D^n \subseteq A$ , by hypothesis of the lemma, we have a map  $\bar{H} : X \times \{0\} \cup (A \times I) =: C \rightarrow Y, (x, 0) \mapsto f(x), (x, t) \mapsto H(x, t)$ . Now let

$$G : X \times I \rightarrow Y, G(x, t) = \begin{cases} \bar{H}(x, t) & x \in C \\ \bar{H} \circ \tilde{r}(x, t) & x \in D^n \times I \end{cases}$$

This is an extension, we can do this cell by cell.  $\square$

**Lemma 1.7**

If  $(X, A)$  a relative CW-complex and  $A$  contractible, then  $X/A \simeq X$ .

*Proof.* Since  $A$  is contractible, we have a homotopy  $f : A \times I \rightarrow A$  s.t.  $f(x, 0) = x, f_1$  is constant,  $f_t(x) := f(x, t)$ . Note that  $f_0 = F_0|_A$  where  $F_0 = \text{id}_X$ . So HET yields a homotopy  $F : X \times I \rightarrow X$  by extending  $f$ . Note that  $F_t(A) \subseteq A$ . Therefore, there are induced maps  $\bar{F}_t : X/A \rightarrow X/A$  since everything in  $A$  gets sent to the same equivalence class, and

everything outside  $A$  is untouched by  $F_t$  so the diagram commutes. Also  $F_1(A) = \text{pt.}$  So  $F_1$  also induces a map  $h : X/A \rightarrow X$ . By commutative diagram,  $h \circ q = F_1$ ,  $q \circ h = \overline{F}_1$ . But  $h \circ q = F_1 \simeq F_0 = \text{id}_X$  and  $q \circ h = \overline{F}_1 \simeq \overline{F}_0 = \text{id}_{X/A}$  so  $h, q$  are homotopy equivalences.  $\square$

**Definition 1.8** — A space  $X$  is  **$k$ -connected** if  $\pi_\ell(X) = 0 \forall \ell \leq k$ .

**Theorem 1.9**

If  $X$  is a  $k$ -connected CW-complex, then  $X \simeq X'$  where  $X'$  is a CW-complex containing a single vertex and no cells of dimension 1 through  $k$ .

*Proof.* Let  $x_0$  be a vertex, and  $v_1, \dots, v_\ell$  be all the vertices. Since  $k > 0$ ,  $\pi_0(X) = 0$  so  $X$  is path-connected, so there exists a path  $\gamma_i$  from  $x_0$  to  $v_i$ . By cellular approximation we can assume  $\text{im } \gamma_i \subseteq X^{(1)}$ . Attach  $D^2$  to  $X$  as follows:

./figures/kconnect\_disk.png

Call result  $\widetilde{X}'$ . Note:  $\widetilde{X}'$  is a CW-complex where for each  $i$  we add a 1-cell and a 2-cell. Also  $\widetilde{X}' \simeq X$  since we can just push the disk down into the boundary. Let  $e = \overline{\widetilde{X}' - X}$ . Note that  $e$  is a contractible subcomplex of  $\widetilde{X}'$  (push down to path and then retract along the paths to  $x_0$ ). Now set  $\widetilde{X} = \widetilde{X}'/e$  then lemma 20 says  $\widetilde{X} \simeq \widetilde{X}'$  since  $e$  is contractible. So  $X \simeq \widetilde{X}$  which has one vertex. More generally, let  $T$  be a tree in  $X^{(1)}$  so  $\widetilde{X} = X/T \simeq X$ .

Assume  $X \simeq \widehat{X}$  where  $\widehat{X}$  is a CW-complex with one vertex and no cells of dim  $1, \dots, \ell$  for  $\ell < k$ . For each  $\ell + 1$  cell,  $e^{\ell+1}$ , the attaching map is  $\partial e^{\ell+1} \xrightarrow{f} X^{(\ell)} = \{e_0\}$ . This attaches a  $\ell + 1$ -sphere to  $\widehat{X}$ . So  $e^{\ell+1}$  is an element of  $\pi_{\ell+1}(\widehat{X}) = 0$ , so there must exist a disk  $\alpha : D^{\ell+2} \rightarrow \widehat{X}$  s.t.  $\alpha(\partial D^{\ell+2}) = e^{\ell+1}$  ??? . We can assume  $\alpha(D^{\ell+2}) \subseteq \widehat{X}^{(\ell+2)}$  by cellular approximation. Now glue  $D^{\ell+3}$  to  $\widehat{X}$  by

./figures/kconnect\_disk2.png

call result  $\widetilde{X} := \widehat{X}$  with a  $\ell + 2$  cell  $e$  and a  $\ell + 3$  cell  $e'$ .

Since  $e'$  is homotopic to  $\overline{\partial e' - e}$  so  $\widetilde{X}' \simeq \widehat{X}$ . Since  $e$  is contractible,  $\widehat{X}' = \widetilde{X}'/e \simeq \widetilde{X}' \simeq \widehat{X}$ . NOW  $\widehat{tX}'$  has one less  $\ell + 1$  cells and we repeat to get rid of all of them.  $\square$

### Corollary 1.10

If  $X$  is a CW-complex with  $\pi_i(X) = 0 \forall i$ , then  $X$  is contractible.

*Proof.* If  $X$  is a finite dimensional CW-complex, then theorem above says  $X \simeq \{\text{pt}\}$ . If  $X$  is infinite, use weak topology.  $\square$

**Corollary 1.11**

If  $X$  is a  $k$ -connected CW-complex, then  $\widetilde{H}_\ell(X) = 0 \ \forall \ell \leq k$ .

That is,  $\pi_\ell(X) = 0$  for all  $\ell \leq k$  implies that  $\widetilde{H}_\ell(X) = 0 \ \forall \ell \leq k$ . Recall that we remove a  $\mathbb{Z}$  from 0th homology to get reduced homology.

*Proof.* Compute  $\widetilde{H}_\ell(X)$  using cellular homology. Recall  $C_\ell^{\text{CW}}(X)$  is the free abelian group generated by the  $\ell$ -cells. We can assume no  $\ell$ -cells for  $\ell = 1, \dots, k$  and for  $\ell = 0$ . So  $H_\ell(X) = 0 \ \forall \ell = 1, \dots, k$ . Also  $H_0(X) = \mathbb{Z}$  since it is path-connected so  $\widetilde{H}_0(X) = 0$ .  $\square$

**Theorem 1.12**

If  $(X, A)$  is a CW pair and  $\pi_n(X, A) = 0 \ \forall n$  then  $X$  deformation retracts to  $A$ , i.e.  $X \simeq A$ .

*Proof.* Exercise. Much like 21 and 22.  $\square$

**Theorem 1.13 (Whitehead)**

If  $X, Y$  are CW complexes, with base points  $x_0 \in X^{(0)}, y_0 \in Y^{(0)}$  with  $Y$  connected, and  $f : (X, x_0) \rightarrow (Y, y_0)$  is a map s.t.  $f_*\pi_k(X, x_0) \rightarrow \pi_k(Y, y_0)$  is an isomorphism for all  $k$ , then  $f : X \rightarrow Y$  is a homotopy equivalence.

**Remark 1.14** (1)  $f$  satisfying the hypothesis is called a **weak homotopy equivalence**. So theorem says for CW-complexes, a weak homotopy equivalence is a homotopy equivalence.

(2) 2 spaces can have isomorphic  $\pi_n \forall n$  but not be homotopy equivalence. We do need this map.

**Example 1.15**

Let  $X = \mathbb{R}P^2 \times S^3$ ,  $Y = S^2 \times \mathbb{R}P^2$ . Note  $S^2 \times S^3$  is the universal cover of  $X$  and  $Y$ , by lemma 18,  $\pi_n(X) \cong \pi_n(S^2 \times S^3) \cong \pi_n(Y) \forall k \geq 2$ . So  $\pi_1(X) = \mathbb{Z}/2 = \pi_1(Y)$ . They are path-connected so they have isomorphic  $\pi_0$ . But  $X$  is not homotopy equivalence to  $Y$ , because  $X$  is not orientable but  $Y$  is so  $H_5(X) = 0, H_5(Y) \cong \mathbb{Z}$ .

(3) If  $X, Y$  are not CW-complexes, then  $f : X \rightarrow Y$  inducing isomorphisms on all homotopy groups, then  $f$  doesn't need to be a homotopy equivalence. Consider topologist's comb and a point at the top of first bar.

*Proof.* Given  $f : X \rightarrow Y$  we can make it cellular, consider the mapping cylinder  $C_f = (X \times I) \sqcup Y / (x, 0) \sim f(x)$ . Exercise:  $C_f$  has the structure of a CW-complex where  $X \times \{1\}$  is a subcomplex. Recall  $C_f \simeq Y$  given by  $j$  which has a homotopy inverse  $i : Y \rightarrow C_f$ . Let  $i_x : X \rightarrow C_f, x \mapsto (x, 1)$ , then  $j \circ i_x \simeq f$ . Since  $f_* : \pi_n(X) \rightarrow \pi_n(Y)$  is an iso for all  $n$ , so is  $(i_x)_*$ . By long exact sequence in lemma 17,

By Theorem 24,  $C_f \simeq X$ . □

Let's go back to computing  $\pi_k$ . Recall by lemma 10,  $\pi_1(X, x_0)$  acts on  $\pi_n(X, x_0)$ . Given  $[\gamma] \in \pi_1(X, x_0), [f] \in \pi_n(X, x_0)$ . Define  $[\gamma].[f]$  by

Exercise: this makes  $\pi_n(X, x_0)$  into a  $\mathbb{Z}[\pi_1(X, x_0)]$ -module (group ring).



### Theorem 1.16

Given  $(X, x_0)$ ,  $f : \partial D^n \rightarrow X$  a map s.t.  $f(y_0) = x_0$ . Let  $\widehat{X} = X \cup_f D^n$ . Let  $i : X \rightarrow \widehat{X}$  be inclusion. Then  $i_* : \pi_k(X, x_0) \rightarrow \pi_k(\widehat{X}, x_0)$  is an isomorphism for  $k < n - 1$  and surjective for  $k = n - 1$  with kernel generated by  $[f]$  and  $[\gamma] \cdot [f]$  for all  $[\gamma] \in \pi_1(X, x_0)$ .

*Proof.* Given  $g : S^k \rightarrow \widehat{X}$  s.t.  $[g] \in \pi_k(\widehat{X})$ , we want to find an element in  $\pi_k(X)$  that maps to it. Consider  $f(D^n)$  this is a smooth open manifold. So  $g^{-1}(f D^n)$  is a smooth open submanifold of  $S^k$  (open subset of smooth manifold). We can homotop  $g|_{g^{-1}(f D^n)}$  to be smooth. Choose a regular value  $p$  of  $g|_{g^{-1}(f D^n)}$  by Sard's Theorem. If  $k < n$  then  $g^{-1}(p) = \emptyset$  by dimension  $< 0$ . Since  $D^n - p$  deformation retracts to  $\partial D^n$ , we can homotop  $g$  to  $\widehat{g}$  s.t.  $\text{im } \widehat{g} \cap f D^n = \emptyset$ . So  $\widehat{g} \in \pi_n(X)$  and  $i_*([\widehat{g}]) = [g]$ . So  $i_*$  is surjective if  $k \leq n - 1$ .

Suppose  $[g_0], [g_1] \in \pi_k(X)$  s.t.  $i_*([g_0]) = i_*([g_1])$ , that is, there exists  $H : S^k \times I \rightarrow \widehat{X}$  between  $g_0$  and  $g_1$ . Note  $S^k \times I$  is a smooth manifold of  $\dim k + 1$ . So if  $k + 1 \leq n - 1$ , then the argument above (for surjectivity) says we can homotop  $H$  to  $\widehat{H}$  s.t.  $\widehat{H} : S^k \times I \rightarrow X$  is a homotop of  $g_0$  to  $g_1$  in  $X$ . So  $i_*$  is injective for  $k \leq n - 2$ .

Now for  $i_* : \pi_{n-1}(X) \rightarrow \pi_{n-1}(\widehat{X})$ , clearly  $[f]$  and  $[\gamma] \cdot [f]$  are in  $\ker i_*$ . So it remains to show  $[g] \in \ker i_*$  is in the subgroup generated by  $[f]$  and  $[\gamma] \cdot [f]$ . We have  $G : D^n \rightarrow \widehat{X}$  s.t.  $G|_{\partial D^n} = g$ . We can assume there exists  $p \in f(D^n)$  (the cell we added to get  $\widehat{X}$ ) s.t.  $G^{-1}(p) = \{p_1, \dots, p_\ell\}$  by codimension. So there exists open balls  $N_i$  around  $p_i$  s.t.  $G|_{N_i}$  embeds  $N_i$  into  $f D^n$ . Note that  $G|_{D^n - \cup N_i}$  misses  $p$  so we can deformation retract to boundary, so homotopic to  $G'$  with image in  $X$  and each boundary component of  $\partial[(D^n - \cup N_i) - \partial D^n]$  has image equal to  $f$ . So there exists  $p_i \in \partial N_i$  s.t.  $G'(p_i) = x_0$ . Let  $\alpha_i : I \rightarrow D^n - \cup N_i$  be a path from  $p'_i$  to  $x_0$ . □

### Theorem 1.17

Any topological space is weakly homotopy equivalent to a CW-complex.

*Proof.* Given a topological space  $X$ , WLOG path-connected with base point  $x_0$ , set  $Y_0 = \{e^0\}$  and  $f_0 : Y_0 \rightarrow X, e^0 \mapsto x_0$ . We see that  $f_0$  is an isomorphism on  $\pi_0$ .

Let  $\alpha_1, \dots, \alpha_k : I \rightarrow X$  generate  $\pi_1(X, x_0)$ . Set  $Y_1 = Y_0 \cup e_1^1 \cup \dots \cup e_k^1$  which is a wedge of circles. Extend  $f_0$  to  $f'_1 : Y'_1 \rightarrow X$  by  $\alpha_i$  on each  $e_i^1$ . Clearly  $f'_1$  is an isomorphism on  $\pi_n$  for  $n < 1$  and surjective on  $\pi_1$ . Let  $\beta_1, \beta_\ell$  generate  $\ker(f'_1)_*$  on  $\pi_1$ , i.e.  $f'_1 \circ \beta_i : I \rightarrow X$  are null-homotopic. So we have  $F_i : D^2 \rightarrow X$  s.t.  $F_i|_{\partial D^2} = f'_1 \circ \beta_i$  ??? Let  $Y_1 = Y'_1 \cup \bigsqcup_{i=1}^\ell \bar{e}_1^2$ , glue  $\bar{e}_i^2$  to  $Y'_1$  by  $\beta_i$ . Extend  $f'_1$  to  $f_1 : Y_1 \rightarrow X$  by  $F_i$  on  $\bar{e}_i^2$ .

Exercise:  $\pi_1(Y_1) \cong \pi_1(Y'_1) / \langle \beta_1, \dots, \beta_\ell \rangle$  so  $f_1$  is an isomorphism on  $\pi_n$  for  $n \leq 1$ .

Now let  $\alpha_1, \dots, \alpha_k : D^2 \rightarrow X$ , generate  $\pi_2(X)$ ,  $Y'_2 = Y_1 \cup e_1^2 \cup \dots \cup e_k^2$  which each 2-cell is attached by the constant map (a wedge of spheres). Extend  $f_1$  on  $Y_1$  to  $f'_2 : Y'_2 \rightarrow X$  by  $\alpha_i$  on each  $e_i^2$ . Clearly  $f'_2$  induces an isomorphism on  $\pi_n$  for  $n \leq 1$  and a surjection on  $\pi_2$ . Let  $\beta_1, \dots, \beta_\ell$  generate  $\ker(f'_2)_*$ . □