

# **Data Science and Visualisation Techniques applied on Bus Search Requests and its Correlating Booking Data**

Subtitle

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# Abstract

(E.g. “This thesis investigates...”)

# Kurzfassung

(Z.B. "Diese Arbeit untersucht...")

# List of Abbreviations

ARP	Address Resolution Protocol
GPRS	General Packet Radio Service
GSM	Global System for Mobile communication
WLAN	Wireless Local Area Network

# Key Terms

GSM

Mobilfunk

Zugriffsverfahren

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# 1 Introduction

## 2 Available Dataset

This chapter focuses on explaining and analysing the available data. The data is analyzed for Business Intelligence (BI) purposes as well as on metrics that can be used to create predictions. Whereas BI [?] focuses on historical data and aims to support managers to make decisions traditional methods like predictive analytic asses potential future scenarios using advanced statistical methods [?].

### 2.1 Data Origin

The available dataset is gathered from a website that provides a service to find and book buses for individual journeys. This service is currently available in Austria, Germany, Switzerland and Lichtenstein. The buses itself are offered in real time by various different bus companies. Offers can vary in price which is based bus calculations which may vary from operator to operator. The data is stored in a relational database. Since the service also provides the possibility to directly book a bus, booking and corresponding user meta data is available as well.

### 2.2 Data Structure

The service launched in March 2017 therefore booking data is available back to this date. Tracking the search requests was introduced in October 2020. The request table itself keeps track of 40 attributes but not all of them host valuable information that could be analysed therefore only the ones which can be analysed are listed and explained below:

- `task_id` - PK (incremented value)
- `createdAt` - At which time the search request was made.
- `accountId` - Not empty when the user is currently logged in
- `amountSearchResults` - How many buses can be offered
- `containsTripCompany` - If the user wants to stop at a certain company during the trip
- `distanceInMeters` - Distance between departure and destination place
- `durationInSeconds` - Duration of the trip
- `pax` - Amount of passengers
- `taskFrom_address` - Departure address
- `taskFrom_lat` and `lng` - Latitude and Longitude of the departure
- `taskTo_address` - Destination address

## 2 Available Dataset

- taskTo\_lat and lng - Latitude and Longitude of the destination
- taskFrom\_Time - Desired departure time
- taskTo\_Time - Estimated arrival time
- cheapestPrice\_amount - The cheapest price for a bus
- bus\_id - The operator with the cheapest bus
- city - From which city the request was made
- country - From which country the request was made

Whenever a booking is made the correlating data is stored within a booking table. As the booking table contains sensitive data which is not scope of the analysis, so only three attributes are used:

- createdAt - At which time the booking was made.
- company\_id - FK used to identify who received the booking
- task\_id - FK used to link the booking to an search request
- taskTime\_from - Indicates the day of departure
- basePrice\_amount - The price the customer has to pay

## 2.3 Data Cleansing

During this process the available data is investigated for irregularities that cause distortion when applying statistical models.

Search requests are tracked whenever a user opens the service and searches for a certain connection. Given that behaviour it may occur that a user searches for the same connection within a short time window. This behaviour results in the need of de-duplication to avoid bias. To filter out duplicates the attributes ipHash, createdAt, taskFrom\_address and taskTo\_address. A search request is considered as non duplicate whenever the timespan between equal entries is larger than one hour. To pre-process the data the following logic is applied once //todoChange:

```
1  query = '''
2  DELETE t1
3  FROM search_requests_clean t1
4  INNER JOIN search_requests_clean t2
5      ON t1.taskFrom_address = t2.taskFrom_address
6      AND t1.taskTo_address = t2.taskTo_address
7      AND t1.ipHash = t2.ipHash
8      AND t1.createdAt > t2.createdAt
9      AND t1.createdAt - t2.createdAt <= %s
10 '''
11
12 timespan = 3600 # 3600 seconds - 1 hour
13 cursor = connection.cursor()
14 cursor.execute(query, (timespan,))
15 connection.commit()
```

//todo more explanation The logic above compares all entries based on the attributes mentioned above removes equal entries that are within a timespan of 1 hour.

Regarding validation and norming the available data present in both tables no actions are required due to fact that attributes that do not meet their defined data types are not stored in first place.

## 2.4 Data Augmentation

Starting from March 2020 countries like Austria, Germany, Switzerland and Lichtenstein had to put travel restrictions into effect due to the ongoing Covid19 pandemic citeHere. This travel restrictions impacted the gathered booking data as those restrictions forbid travelling.

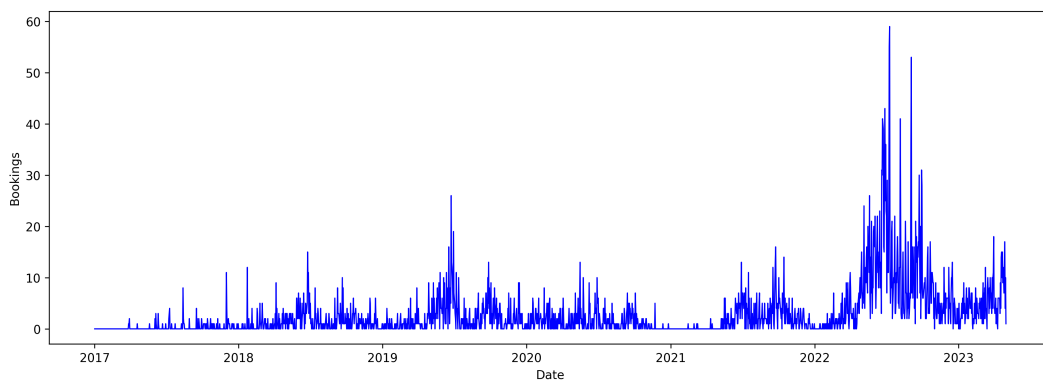


Figure 2.1: Bookings over time - [source:author]

Figure 2.1 highlights the drop of bookings starting from March 2020 until June 2022. The extreme spikes which can be observed during summer 2022 is related to two events taking place in Spielberg in Austria. Both the Formula 1 as well as MotoGP utilized the service to organise the bus shuttles. All buses that were operating as shuttles were booked via busfinder. As busfinder To achieve reliable results when utilizing this data for a time series forecasting ML model this time period needs augmentation. When analysing the chart 2.1 an continuous growth of bookings is visible until 2021. One way to augment the data citehere is calculate the average growth during this time span. To substitute the distorted data the current data is replaced by the value of the previous year. This value is then multiplied by the average growth. Furthermore missing timestamps throughout the whole time series are added with a value of zero. The following logic is applied to the data frame:

```
1 df = db.get_booking_data()
2 average_growth = df['bookings'].pct_change().mean()
3 substitute_corona = pd.date_range(start='2020-03-01', end='2022-05-01', freq='D')
4 df['date(createdAt)'] = pd.to_datetime(df['date(taskTime_from)'])
5 df = (df.set_index('date(taskTime_from)')
6       .reindex(pd.date_range('2018-01-01', '2023-05-01', freq='D'))
7       .rename_axis(['date(taskTime_from)'])
8       .fillna(0)
9       .reset_index())
10
11 df.set_index('date(taskTime_from)', inplace=True)
12
13 for date in substitute_corona:
14     year_ago = str(date - relativedelta(years=1)).split(" ")[0]
```

## 2 Available Dataset

```
15 val = int(math.ceil(df.loc[year_ago]['bookings'] * (1+average_growth)))
16 df.loc[str(date).split(" ")[0]] = val
```

The average growth per anno is around 30%. After applying the logic the data set looks the following:

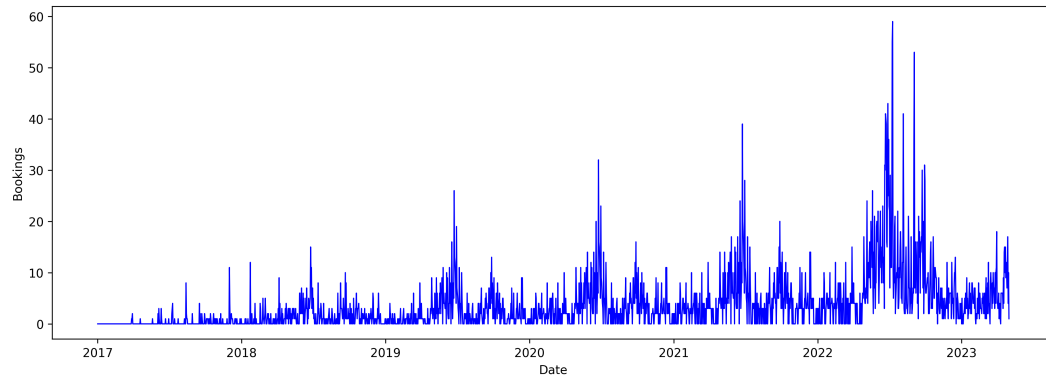


Figure 2.2: Augmented Data Set - [source:author]

## 3 What insights can be gathered?

This section focuses on which potential information from the available dataset can be extracted and utilized for a reporting dashboard. Furthermore the dataset will be analysed for metrics that can support and improve the current yield management.

### 3.1 Improving the Yield Management

In general Yield Management (YM) describes the way how limited resources like hotel rooms, seats within an air plane or available buses are assigned to customers by leveraging the highest possible revenue. American Airlines claims that by utilizing YM they are able to increase their revenue by 500 million dollars per year [1]. Before integrating YM a few considerations about the characteristics of the provided service need to be made otherwise YM might actually cause a decrease in revenue. The following characteristics are suitable for the utilization of YM:[1]

- Storing surplus resources can either be costly or unattainable.
- Whenever future demand is uncertain commitments need to be done.
- It is possible to differentiate between customer segments
- A single unit can be used to provide different services
- The company is not legally limited in their actions of selling a certain service or not.

As YM is already in place at busfinder one of the characteristics mentioned above comes along with a high uncertainty. Although commitments for uncertain future demand are made the ability to predict future bookings would further improve the YM. Being able to predict future bookings during ordinary market conditions (e.g. no travel restrictions in place) those estimates directly can be used to influence factor of capacity management - How many buses are available? This directly influences the pricing strategy because a higher demand results in a higher price.

Both Artificial Neural Networks (ANNs) and their usage for time series forecasting further evolved over the past years. Additionally libraries like tensorflow reduce the complexity of developing ANNs. Hence this method is a suitable solution to predict future bookings. Therefore the basics of ANN and the development of two different models are explained in chapter 4.

### 3.2 Averages

As averages may seem trivial they still can provide valuable information. By comparing averages over time trends in the market become visible. However their usage should always been in combination with additional statistical measures. When analysing the dataset the following attributes could indicate market trends:

- pax

### 3 What insights can be gathered?

- `distanceInMeters`

By looking on the average PAX over a certain time period the metric indicates whether the number of passengers increase, decreases or stalls over time. This information along with additional statistics can assist an operator in their future planning when it comes to their fleet management. As the average in this case indicates the demand for required seats a bus should have. For example the major part of an operator's fleet are buses with 90 seats but his average PAX is around 60 which is decreasing considerations about buying smaller buses can be made. This would improve the cost-efficiency as smaller buses are cheaper, consume less petrol and maintenance costs are lower. Furthermore a decrease or increase of the average travelled distance can be used to decide whether or not electric buses might be an alternative. As the example stated above applying the average on those parameters without any filters in place do not provide any significant information. Therefore the averages are used together with additional characteristics gathered from the data set like the grouping of certain attributes.

## 3.3 Grouping Data

One part of the statistical analysis is data grouping. One reason why data is grouped is to simplify complex data structures. Furthermore it enables the possibility to summarize certain characteristics present within the data set. Another benefit of grouping data is that it might reveal potential relationships. Additionally grouping can be used to improve predictive models as demonstrated in section 4.6. Analysing the given data set reveals the following attributes offer valuable insights when grouping is applied to them:

- `taskFrom_lat/lng` and `taskTo_lat/lng`
- `createdAt`
- `taskFrom_time`

### 3.3.1 Geographical Grouping

As latitude and longitude are numerical described using numerical values their usage for geographical grouping is preferred over string values which are used for attributes like `taskFrom_address` and `taskTo_address`. Furthermore mathematical operations on coordinates like calculate the distance between two starting points allow us to modify how the data is grouped. Therefore the geographical data is utilized to group search requests by their departure and destination location. This provides the bus operator insights about popular routes. As bus operators determine maximal travel distances to departure places depending on their logistical base this data might reveal connections with high frequency. Depending on the additional distance the operator might need and its current utilization an operator might decide to add an exception for this specific region to allocate additional bookings. Furthermore this information could be used to influence the pricing strategy for routes with high demand. Geographical grouping in combination with the attribute `amountSearchResults` can be applied to improve the offered service. Whenever `amountSearchResults` is equal to zero no buses were offered for a certain request. As the grouping might reveal high demand for certain connections bus operators could consider to supply those connections as there are no competitors in this region. This results in a higher utilization of the operators bus fleet.

### 3.3.2 Grouping by Date

Grouping search requests by date reveals valuable information that can be used for marketing purposes. Grouping request on an hourly basis reveals information about daytimes with high or low user frequency. This fact can be utilized to optimize potential ad campaigns. Grouping bookings by departure date reveals dates with high demand. Furthermore this metric is used to train the prediction models described in chapter 4. As this information indicates the availability of buses on the given day. Furthermore seasonal trends become visible.



## 4 Predicting Future Bookings

The knowledge of potential future bookings provide useful insights when it comes to yield management. Yield management in general describes controlling price and capacity control in a simultaneous ways [2]. Therefore those predictions can be used to support bus operators in their pricing strategy. This chapter focuses on creating two prediction models utilizing different techniques based on the data that is available. Both models are implemented using python and the following libraries.

- `matplotlib`<sup>1</sup> - used for plotting
- `pandas`<sup>2</sup> - used for data manipulation
- `tesnorflow`<sup>3</sup> - provides ML models
- `keras`<sup>4</sup> - Neural Network library

As there are various models available a literature review was conducted to figure out which models fit the purpose of time series forecasting. It turns out that the most promising NN that can be utilized for time series prediction are either Convolutional Neural Networks (CNN) or Recurrent Neural Networks (RNN) especially Long Short-Term Memory (LSTM)[3][4][5][6].

### 4.1 Backpropagation

As both of the models LSTM and CNN use back propagation (BP) for its training the basic concepts of the algorithm are explained in this section. To understand the logic of backpropagation a few terms need a detailed explanation:

#### Gradient

The gardient also called gradient descent is an algorithm that is used to optimize the loss function within backpropagation. That means that the gradient descent indicates by how much the weights and biases need to be adjusted in order to reduce the actual error value which is the result of the applied loss function. [7]

#### Bias

The bias is an additional parameter used in each neuron of a NN. It is used to directly influence the activation function to offset the results either to the negative or positive direction. When looking at the sigmoid function without any bias in place where  $x$  correlates to the input value and  $w$  indicates the used weight:

$$\sigma(x) = \frac{1}{1 + e^{-(w*x)}} \quad (4.1)$$

---

<sup>1</sup><https://matplotlib.org/>

<sup>2</sup><https://pandas.pydata.org/>

<sup>3</sup><https://www.tensorflow.org/>

<sup>4</sup><https://keras.io/>

## 4 Predicting Future Bookings

When looking at figure 4.1 the weights only influence the steepness of the function but won't shift it along the x axes.

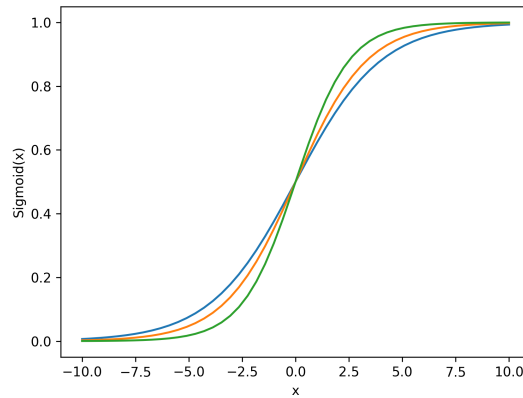


Figure 4.1: Sigmoid function with different weights and no bias - [source:[8]]

To shift the function along the x axes the sigmoid function is adapted with the bias  $b$  value:

$$\sigma(x) = \frac{1}{1 + e^{-(w*x+b)}} \quad (4.2)$$

By adding the bias value as constant to the sigmoid function can be shifted along the x axes as shown in 4.2.

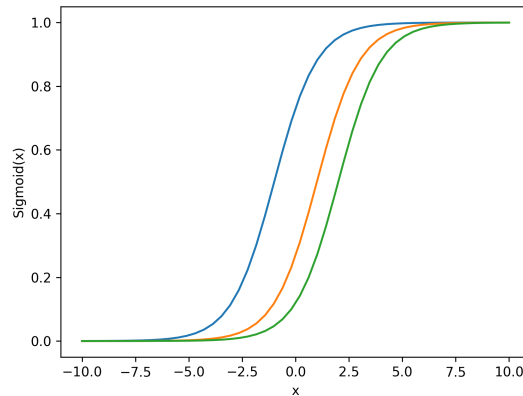


Figure 4.2: Sigmoid function with same weights but different bias - [source:author]

The bias therefore is utilized to directly influence the result of the activation function and whether or not a certain neuron is activated or not.

### Activation Function

Activation functions introduce non-linearity characteristics to NN. This function is applied to the output of a neuron and decides whether or not a neuron is activated or not.[9]. In combination with the descent gradient this function enables the NN to learn complex patterns within a training set. Originally the sigmoid function [7] was used as activation function for NN but as of today multiple other functions like softmax, Tanh, ReLu emerged [9].

### Phases

Backpropagation follows an iterative process. At the beginning there is the feed forward pass. During this phase the input data is passed through all layers. Each hidden layer applies a linear function to create certain weights those outputs then are fed to the activation function. Depending on how many hidden layers are used within the NN the output of the activation function is used as input for the next neuron. At the end the predicted outputs by the model are compared with the actual outputs of the training data. This comparison is evaluated through a loss function. At this step the actual learning process of the model starts by calculating the gradient of the loss function considering the output of the NN. After that the back pass is initiated. Along this phase the gradients of each previous layers are multiplied with a local gradient it's weights which results in a gradient in respect to the layer's inputs. After receiving all gradients based on the network's input data, all weights and biases are updated and optimized to reduce the result of the loss function. The steps forward pass, loss calculation, back pass, and the updates of weight and biases are repeated to improve the network in an iterative way. [7] Figure 4.3 demonstrates the logic of the backpropagation algorithm. Whereas  $w_u$  represents the updated weights after each iteration.

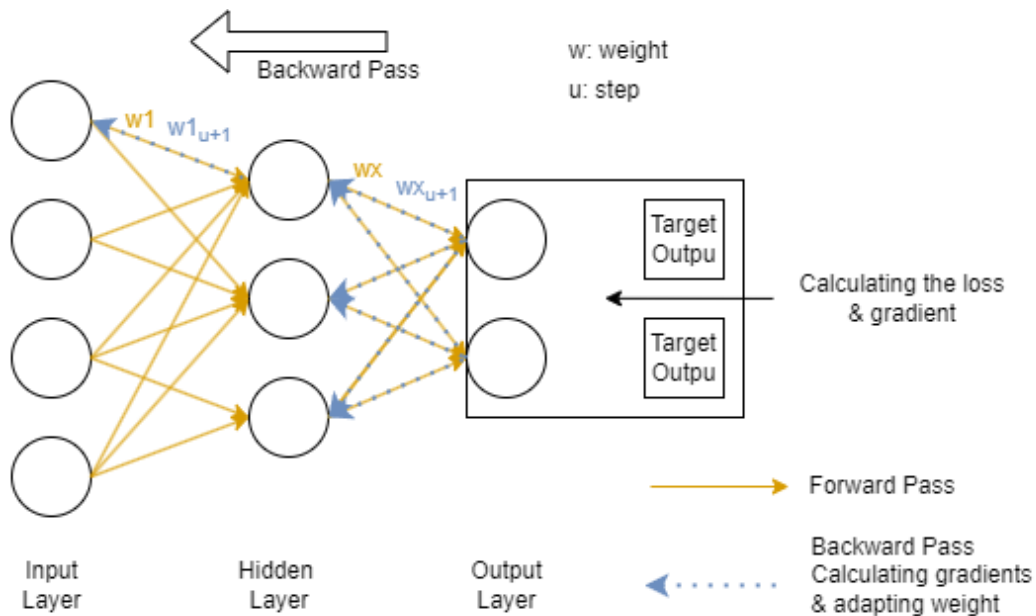


Figure 4.3: Simplified logic of the backpropagation algorithmus - [source:[7]]

## 4.2 The Models

Both models CNN and RNN/LSTM can be used for time series forecasting. To create accurate prediction models a basic knowledge about models functionality is required. Therefore this section explains the components of each NN as well as the approaches those models follow.

### 4.2.1 LSTM

LSTM is an RNN and was invented by [10] in 1997. Until today this NN is widley used for time series forecasting and provides reliable results for short as well as long term predictions [11]. LSTM have so called memory cells which are responsible to store the state of data.

Whenever information arrives at a memory cell its outcome is defined by refreshing the cell state with the newly arrived information. LSTM utilizes gates to control a cells state by either including or excluding information [12]. The gates are called:

- input gate - data selection and storage for upcoming state
- forget gate - data selection and storage which will not be used for the upcoming state
- output gate - sets information within the state that is send to the output

Those gates are created by combining sigmoid functions. The results of this gates are values ranging from zero to one. A result of zero indicates the cell to not pass any infomration whereas values close to one indicates the cell to pass all information. The LSTM Module or Repeating module consists of four NN layers which interact together as shown in Figure 4.4:

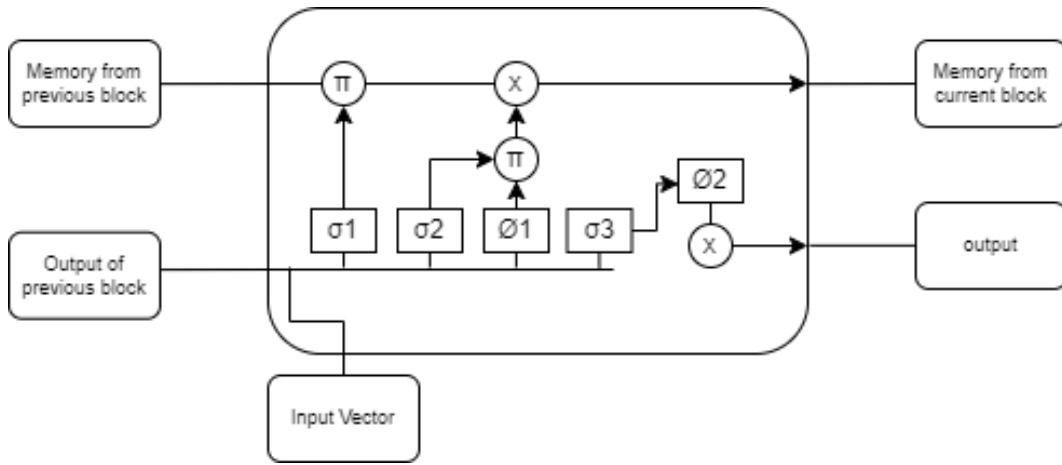


Figure 4.4: Repeating LSTM Module - [source:[8]]

In total the repeating model has 3 gate activation functions which are named  $\sigma_1$ ,  $\sigma_2$ ,  $\sigma_3$  and shown in figure 4.4. Furthermore  $\sigma_1$  and  $\sigma_2$  act as output activation functions too. The cell state is illustrated using a blue line which starts at  $S_{t-1}$  which indicates the previous memory block to  $S_t$  representing the current memory block. The amount of information that is passed is regulated by layer  $\sigma_1$  using the following function:

$$cf_t = \sigma_1(W_{cf} * [O_t - 1, x_t] + b_{cf})[8] \quad (4.3)$$

Furthermore two network layers are used to store new information to the cell state. Therefore sigmoid layer  $\sigma_2$  chooses the values which are updated by utilizing the following formula:

$$l_t = \sigma_2(W_l * [O_t - 1, x_t] + b_l)[8] \quad (4.4)$$

Layer  $\phi_1$  or  $\tanh$  is created by using new candidate values. This layer outputs a vector by utilizing the following formular:

$$\tilde{S}_t = \tanh(W_s * [O_t - 1, X_t] + b_s)[8] \quad (4.5)$$

The last step includes combination of both states 4.4 and 4.5 which is added to the state. Also the state is reconditioned by applying: [8]

$$S_t = cf_t * S_{t-1} + l_t * \tilde{S}_t \quad (4.6)$$

The reason why a LSTM model is used for this purpose is that a standalone RNN is challenging to train due its characteristics. As Back propagation is used for RNN's problems like vanishing-gradient occur. The gradient in general can be understand as a computed value through all time setps which in the end used to update parameters of the RNN. The vanasihing-gratdient over time results in information decay. By implementing an LSTM module this problem can be solved. [13]

### Bidirectional LSTM

Bidirectional LSTMs are able to look in both directions past and future. This is achieved by processing the available data into both directions. Therefore those models make use of bidirectional layers. Those layers split up the used neurons into two directions. [14] This provides more information to the network as the model is now capable if storing the forward state as well as the backward state. Resulting in potentially more accurate results [15].

### 4.2.2 CNN

CNN's follow the concept of NN consisting of multiple layers. The scope of application for this kind of network reaches from computer vision problems to time-series forecast modelling. Whereas data provided for image classification is structured in multi dimensional arrays (matrices), data used for time-series forecasting is provided via one dimensional arrays.[16] A CNN provides different types of layers . Those layer types are called pooling layer (PL), fully connected (FC), Convolution layer (CL) and flatten layer (FL). The connection of those layers are demonstrated in figure 4.5.

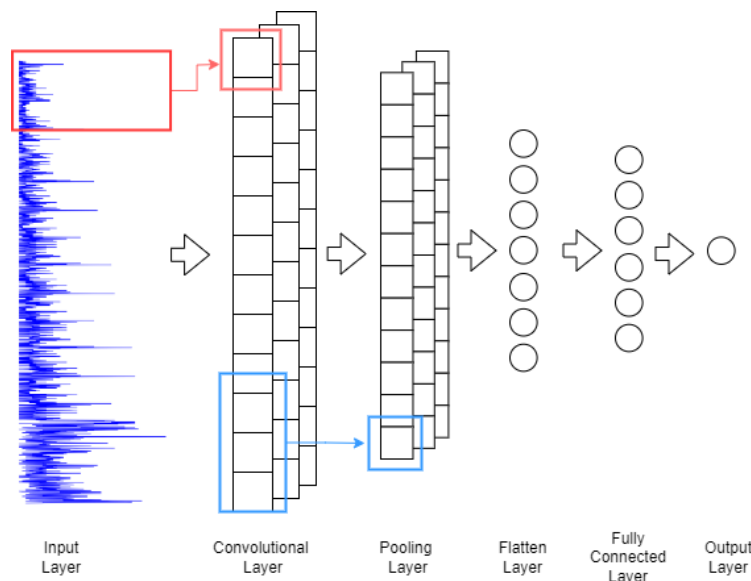


Figure 4.5: One Dimensional CNN Structure - [source:[17]]

CNN is based on convolution which is a linear operation that multiplies input data with convolution filters. Those filters which are also called kernels correlate to a set of weights [17]. The kernel values are created during the learning process and are optimized from the NN utilizing back propagation. Furthermore this layer is utilized to detect features within the given one dimensional array. Those features are stored within a feature map and are calculated by applying convolutions on the input data. One crucial parameter to detect

proper features is the size of the kernel. The kernel size can be understood as a number of weights that are multiplied with the input data. After each multiplication the sequence is shifted along the input data. Each shift during this process produces one output which is stored in the feature map. The following example demonstrates how this process is done.[18]

```
1 Input Data: [4,7,10,43,20,10] e.g. number of bookings per day.  
2 Kernel: [0.5,0.25,0.2] Kernel size = 3  
3 1st Multiplication:  $4*0.5 + 7*0.25 + 10*0.2 = 5.75$   
4 2nd Multiplication:  $7*0.5 + 10*0.25 + 43*0.2 = 14.6$   
5 ...  
6 Output sequence [5.75, 14.6, ...]
```

The second operation that is used within the CNN is called activation function. This non-linear function is utilized to detect complex relationships between variables and are applied onto the feature map. As of today multiple functions like ReLU, Sigmoid and softmax can be used as activation functions [19].

The pooling layer as shown in figure 4.5 is deployed within a NN to diminish the size of feature maps. To reduce the size pooling operations like average pooling, max pooling or sum pooling can be applied. Applying one of those operations results in less computational effort.[20]

The activation function is also part of the fully connected layer. This layer applies the activation function onto the feature map and enables the model in combination with back-propagation to learn complex connections between features. Furthermore this layer operates on the already flattened feature map and outputs a 2d vector.[18]

The flatten layer transforms two dimensional input data into one dimensional input vectors. Its output is used to provide outputs to the fully connected layer. Over-fitting can be caused whenever all features are used in the flatten layer therefore a dropout layer can be set in place. This layer cancels out neurons during the training process of a NN which reduces the model's size.

### 4.3 Overfitting and Underfitting

One problem that can occur when utilizing NN for predictions is over-fitting or under-fitting of the training data. Both scenarios result in a poor performance of the trained model.

#### 4.3.1 Overfitting

Overfitting describes the phenomenon that the model is not able to improve its problem solving capabilities after a certain period of training. There are multiple reasons for the occurrence of overfitting. One reason for example is an inaccurate or unbalanced training set. This leads to the fact that the NN produces wrong connections during its training. Whereas the results for the training set are accurate the problems occur during the validation phase because the model learned wrong characteristics. [21]

#### 4.3.2 Underfitting

On the other hand underfitting arises when the model is not capable of identifying the traits of the training set and therefore struggles to achieve matching its target values. This results in high loss values. Reasons for underfitting are caused by a lack of trainable parameters as well as a NN model with a simple architecture in terms of hidden layers.

In section 2.3 and 2.4 actions were taken to avoid both overfitting and underfitting.

## 4.4 Loss Function

The loss function is one crucial element as they evaluate the accuracy of the produced outputs from a NN. This is achieved by calculating the difference between the predicted value and the actual value provided by the test dataset. Supervised learning deals with two different problems which is either a classification problem i.e. is the animal on the picture a cat or with regression problems which deal with i.e. predicting future bookings. Both of those problems use different loss function.[22] As this section focuses on solving a regression problem a brief overview about available loss functions and their characteristics are given.

Function	Characteristics
Square loss	Sensitive to outliers (Model tends to focus on those outliers whereas accuracy for normal values decline)
Absolute loss Huber	Outliers do not influence the model as severe as compared to square loss Combination of square loss and absolute loss - Outliers do not influence the accuracy of results and learning from smaller errors can still be done in a efficient way
Log-cosh	Similar to Huber when it comes to its characteristics. Does not handle large errors well because the gradient tends to stay constant.
Quantile loss	Extends absolute loss and provides prediction intervals. Utilizing a punishment system for overestimated and underestimated samples.
$\epsilon$ -insensitive	Focuses on samples with large prediction errors

Table 4.1: Loss functions and their characteristics - [source:[22]]

By looking at the characteristics of the augmented data set shown in figure 2.2 it is clear to see that the dataset itself has got outliers repeating themselves every year. To avoid a strong focus on those peaks both models are initial trained utilizing the Adam loss function.

## 4.5 Optimize Function

To optimize a NN's parameters an optimizer function is required. This function updates parameters like weights based on the results provided by the loss function [23]. Since both NN's described in this section make use of backpropagation for their training a literature review was conducted to figure out which optimizer is keen to deliver the most accurate results. By inspecting the advantages and disadvantages proposed by these works [23][?][24] it turns out that the algorithm ADAM is a valid choice. This algorithm is characterized by achieving faster convergence compared to other algorithms. Furthermore Adam provides a decent performance for datasets with meager features.

## 4.6 Implementation

Both models follow the same workflow when it comes to their implementation. The workflow is highlighted in figure 4.6:

## 4 Predicting Future Bookings

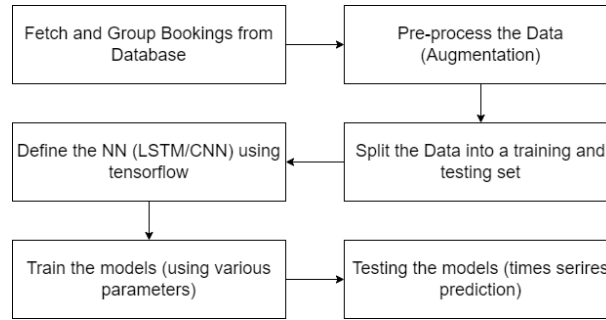


Figure 4.6: Workflow of the implementation - [source:[author]]

As each booking correlates to one entry within the database table the data those entries need to be grouped on a daily basis. Therefore the following sql query is executed:

```
1 import mysql.connector
2 import logging
3 import pandas as pd
4
5 def get_booking_data():
6
7     sql = ("SELECT count(taskFrom_time) as bookings, date(taskFrom_time) "
8           "from bookings_2 "
9           "WHERE date(taskFrom_time) <= DATE('2023-05-01') and date(
10 taskFrom_time) >= DATE('2017-01-01') "
11           "Group By date(taskFrom_time) order by date(taskFrom_time) asc")
12
13     res = pd.read_sql(sql, connection)
14     return res
```

Once the data is retrieved from the database it is directly converted to a pandas dataframe. As mentioned in chapter 2.4 data augmentation is necessary to compensate the lack of data during COVID19 2.4. Corresponding to the workflow described in figure 4.6 the data now needs to be separated into a training and test set. The training set is used to train the model whereas the test set is used to display how the trained model performs. Therefore the trained model is used to predict the values for timestamps used in the test set. By reviewing other scientific works that deal with time series forecasting [18],[17],[16],[13],[8],[12] the most accurate results are achieved by using ranges from 80% to 90% for training and depending on the range for training data a range of 20% to 10% for test data are recommended. The initial split used for both models correlates to 90% training data to 10% test data as visualized in 4.8 . Therefore the following code is applied to split the data:

```
1 training_end = pd.to_datetime('2022-10-31')
2 #total range 2017-01-01 - 2023-05-31 = 2342 days
3 train = df[:training_end]
4 # 2017-01-01 - 2022-10-31 = 2129 days ~ 90%
5 test = df[training_end:]
6 # 2022-10-31 - 2023-05-01 = 213 days ~ 10%
```



## 4 Predicting Future Bookings

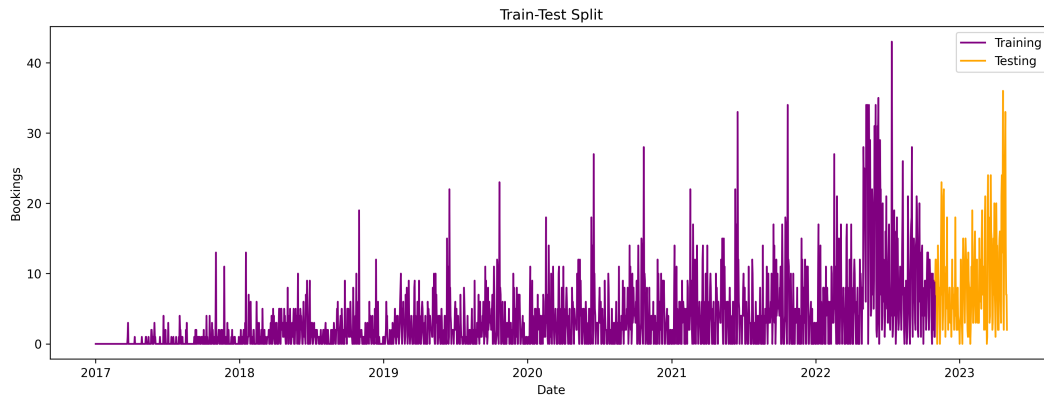


Figure 4.7: Visualized Training-Test split (90%-10%) - [source:[author]]

With the training and test data in place the next step is to implement both models (LSTM, CNN) by utilizing the `tensorflow` library. To reduce computation costs and to increase training efficiency the training data is further processed.

```
1 WINDOW = 20
2 bookings_training_90 = tf.data.Dataset.from_tensor_slices(train.values)
3 bookings_training_90 = bookings_training_90.window(WINDOW + 1, shift=1,
4             drop_remainder=True)
5 bookings_training_90 = bookings_training_90.flat_map(lambda x: x.batch(WINDOW +
6             1))
7 bookings_training_90 = bookings_training_90.map(lambda x: (x[:-1], x[-1]))
```

On line 2 the code above transforms the training data into a `TensorSliceDataset`. This data format grants access to tensorflow's data API which supports the user to manipulate the data further. As this is time series data the model itself is fed with data limited to certain ranges. The constant `WINDOW` indicates a range of 20 days which is used for training. The function `flat.map()` is now used to flatten the dataset. As `from_tensor_slices()` creates a single tensor for each entry in a window `flat.map()` combines those windows to a single tensor holding the windowed data. Line 5 prepares the data and splits each window into features and target values. As the learning process described in section ?? involves multiple inputs that are used to predict one output the `.map()` function prepares each windowed tensor by using the interval from `x` to `x-1` to predict `x-1`. Both models LSTM and CNN are trained with the prepared data explained in during this section.

### 4.6.1 Implementation of LSTM

The code itself required to setup a LSTM model only requires a few input parameters. Therefore it is crucial to understand the meaning behind the input parameters as well as how they can influence the training results of the model itself. The following code is used to initialize the model:

```
1 #define the model
2 lstm_booking_prediction_model = Sequential([
3     Lambda(lambda x: tf.expand_dims(x, axis=-1), input_shape=[WINDOW]),
4     Bidirectional(LSTM(128, activation='tanh', recurrent_activation='sigmoid' )),
5     Dense(units=128, activation='relu'),
6     Dropout(0.4),
7     Dense(1)
8 ])
```

When utilizing LSTM for time series predictions a certain input format for data is needed. Therefore the `Lambda` function on line 3 is required to reshape the dimension of the used input data. `Bidirectional()`<sup>5</sup> actually represents a wrapper for the actual layer used for this model. In this case its holding additional states and is used to created a Bi-LSTM model as described in 4.2.1.

`LSTM()`<sup>5</sup> contains the logic actual logic as described in 4.2.1. Furthermore the parameter `unit=128`<sup>5</sup> can be understand as the number of neurons used within this layer. Furthermore this model offers different kind of activation functions. By default the hyperbolic tangent (`tanh`)[25] is used. Whereas the `recurrent_activation` defines which functions are used for the actual gates within the module as described in 4.2.1. Whenever creating a stacked LSTM model, which means it makes use of at least two LSTM layers the parameter `return_sequences` must be set to `true`. Otherwise the layer's output results in a 2D tensor output which only provides information about the last timestep. This format cannot be passed on to the next LSTM layer.

`Dense()` is used to implement fully connected layers whereas `units` correlate to the amount of neurons used for this layer The last `dense(1)` layer is used to reduce the number of outputs to 1. The way this layer works is explained in section 4.2.2. Additionally the model needs to be compiled. Therefore the following code is required:

```
1 #compile the model
2 lstm_booking_prediction_model.compile(
3     loss=Huber(),
4     optimizer=Adam(),
5     metrics=['mae']
6 )
```

The Parameter `loss` sets the loss function which is utilized to evaluate the models performance. The reason why `Huber` is used is explained in section 4.4. Furthermore the model also requires an optimizing algorithm. This algorithm is assigned by using the `optimizer` parameter. Due to the results of a literature review explained in section 4.5 `Adam` turned out to be the most promising candidate for this purpose. To observe the capability of learning of a given model the metric mean absolute error (mae) can be used.

The next step is to start the training of the model as demonstrated below:

```
1 #start to train the model
2 lstm_history = lstm_booking_prediction_model.fit(
3     bookings_training_90,
4     epochs=100,
5     verbose=1,
6     use_multiprocessing=True
7 )
```

The function `fit()` requires parameters like the data used for training (`bookings_training_90`) as well as how many epochs are processed. One epoch covers the process of iterating through the entire training dataset and performing forward and backward propagation as well as updating the model's parameters based on the chosen optimization algorithm.

When training the model using the initial parameters described during this chapter the following results are achieved:

---

<sup>5</sup>[https://www.tensorflow.org/api\\_docs/python/tf/keras/](https://www.tensorflow.org/api_docs/python/tf/keras/)

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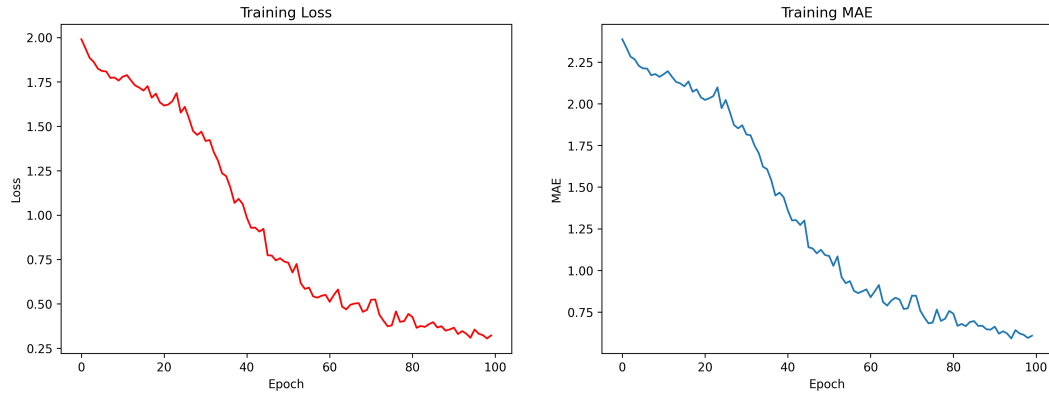


Figure 4.8: Decrease of MAE and Trainig loss for 100 epochs - [source:[author]]

The training loss in general indicates the performance of the model based on the training set. It is generated through the output of the applied loss function. Low values basically mean that the model is able to catch up patterns within the training set but could also indicate overfitting. This causes the model to just memorize the training set rather than learning actual patterns. Furthermore the loss indicates the amount of required epochs for the model to learn. Whenever the loss stalls or is starting to increase again the training should be stopped. The Mean Absolute Error (MAE) is another metric to observe the ability of the model to learn. The MAE is obtained by calculating the mean of actual values minus the predicted values. This result is divided by the number of observations.[26] Similar to the training loss a lower value indicates the performance of the model but the MAE needs to be interpreted in context with the range the predictions are made for. For example a MAE of 1 for predictions made in ranges between 1-2 is interpreted as poor performance, whereas a MAE of 100 for predictions made in a range between 100.000 and 1.000.000 indicates a proper result.

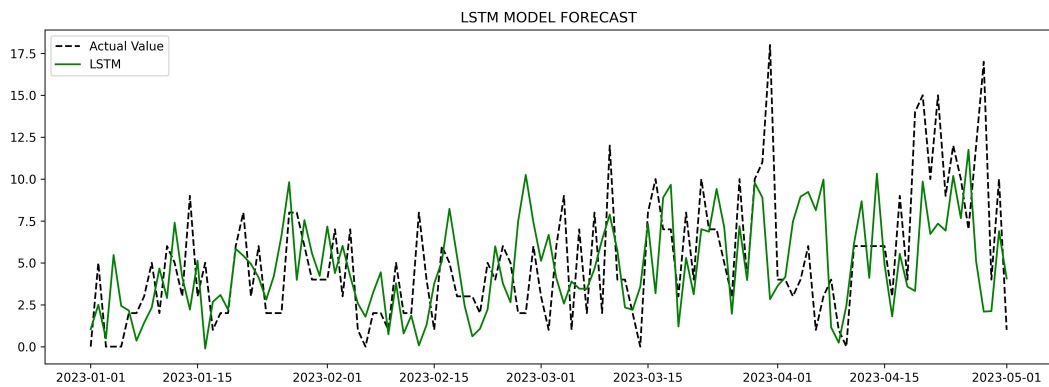


Figure 4.9: Predictions on Test set from 01-01-2023 to 01-05-2023 - [source:[author]]

By looking at figure 4.11 its clear to see that the model's performance in terms of accuracy is insufficient. Investigating the training loss and MAE shows that the final MAE of around 0.5 is acceptable in context of the prediction range ranging from 1 to 17. This indicates that the model tends to overfitting as the final training loss is low as well. Section ?? investigates strageties on how to improve the model's performance.

### 4.6.2 Implementation of CNN

Similar to the implementation of LSTM the implementation of a CNN does not require much code. Furthermore some parts of the code use the same parameters. Those parameters are not explained again. To initialize a CNN model the following code is required:

```

1 #define the model
2 cnn_model = Sequential([
3     Lambda(lambda x: tf.expand_dims(x, axis=-1), input_shape=[WINDOW]),
4     Conv1D(filters=512, kernel_size=3, activation='relu'),
5     Conv1D(filters=512, kernel_size=3, activation='relu'),
6     GlobalAveragePooling1D(),
7     Flatten(),
8     Dropout(0.3),
9     Dense(512, activation='relu'),
10    Dropout(0.4),
11    Dense(1)
12 ])

```

`Conv1D()` is used to add one one dimensional CL to the model. Those Layers work as described in 4.2.2. The `filter` parameter is equivalent to the number of neurons this layer is going to use. Furthermore the kernel's size as explained in 4.2.2 is determined by the parameter `kernel_size`. The only difference left in comparison to the LSTM layer is the FL 4.2.2. This layer is added by utilizing the `Flatten()` parameter. When it comes to compiling and fitting the model the CNN makes use of `Huber()` for its loss function and `Adam()` for its optimization function contrary to the implementation of the LSTM model. Furthermore both models are initially using 100 epochs for their first training.

The first training results produced by the CNN model look the following:

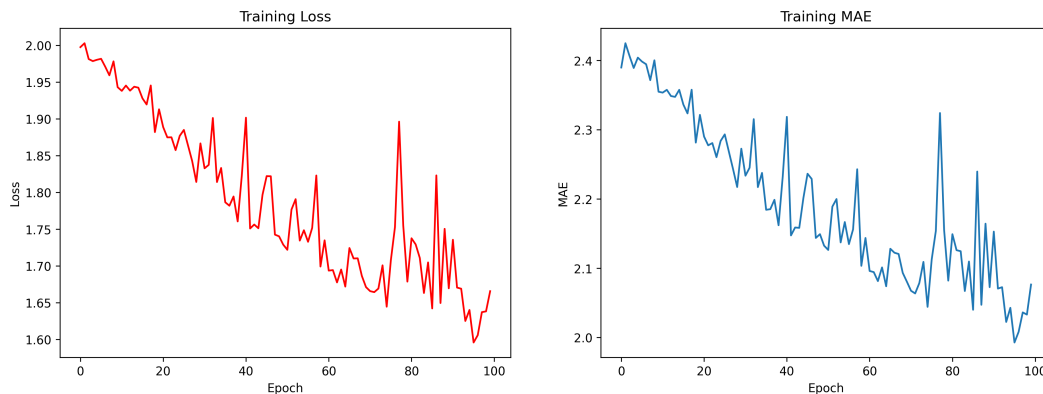


Figure 4.10: Decrease of MAE and Trainig Loss for 100 epochs - [source:[author]]

When analysing the metrics in highlighted in figure 4.10 it is clear to see that both the training loss and the MAE are still very high after 100 epochs. This indicates that the model needs to be trained utilizing more epochs. The results of the training loss as well as the MAE are reflected also in the actual predictions made on the test set.

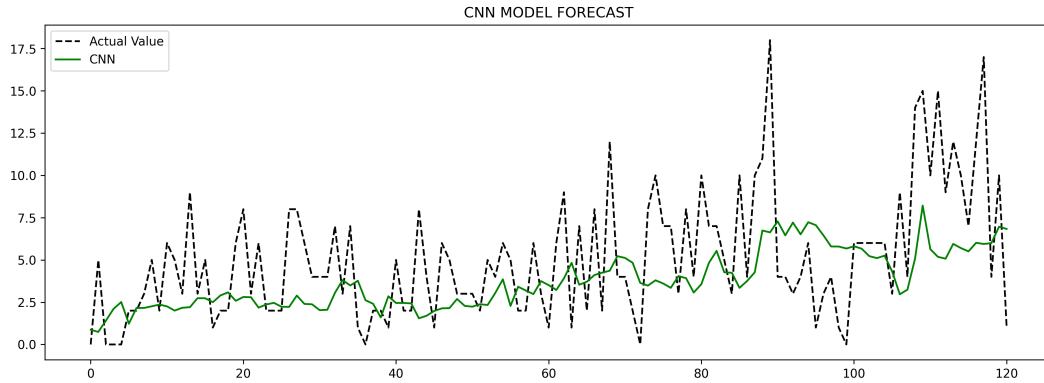


Figure 4.11: Predictions on Test set from 01-01-203 to 01-05-2023 - [source:[author]]

By looking at the results of the predicted values its clear to see that the model’s performance is poor. During it’s training the model was not capable of obtaining the trainings set characteristics. Strategies on how to potentially improve the models accuracy are discussed in refsec:improving

## 4.7 Improving the models

There are several strategies that can be followed in order to improve a models performance. One option is to enhance the data preprocessing. Therefore the available data set is normalized. One approach that can be utilized is Min-Max Normalisation [27]. Following this approach the original data is brought into a range from values between 0 and 1 by keeping the actual ratio of the test and training data. Another approach is called feature engineering [28]. Following this strategy further features are extracted from the available dataset. This process results in a multivariate prediction model [29]. Additional possibilities to enhance a model’s performance is to change its architecture. This implies methods like utilizing multiple Conv1D layers or stacking several LSTM layers. Applying this approach lead to more complex models which might improve a NN ability to find patterns within the available dataset. Similar to this approach the tuning of a model’s parameters also called hyperparameter tuning. This method applies different configurations of parameters to train the model and compares their results. Looking at the implementation of the LSTM and CNN model described in section 4.6 parameters like epochs, window, units(LSTM) or filters(CNN), and Dropout. AS there is no model that fits all purposes those parameters are updated during an iterative process.

One of the approaches to increase the models performance in this section is a combination of changing the architecture of both models as well as changing their hyper parameters.

### 4.7.1 Adapting the Architecture and Hyperparameter tuning

This section proposes various configurations that are applied to both models in order to increase their accuracy. Therefore table ?? lists the various combinations used to train the models.

Type	Model	Epochs	Loss	MAE
LSTM 1	<code>Bidirectional(LSTM(256, return_sequences=True))</code> <code>Bidirectional(LSTM(256))</code> <code>Dense(256, activation='relu')</code> <code>Dropout(0.4)</code> <code>Dense(1)</code>	150	0.25	0.45
LSTM 2	Another code snippet here	X	X	X
LSTM 3	Another code snippet here	X	X	X
CNN 1	<code>Conv1D(filters=256, kernel_size=3, strides=1, padding='causal', activation='relu')</code> <code>Conv1D(filters=256, kernel_size=3, strides=1, padding='causal', activation='relu')</code> <code>GlobalAveragePooling1D()</code> <code>Flatten()</code> <code>Dense(128, activation='relu')</code> <code>Dropout(0.4)</code> <code>Dense(1)</code>	250	0.81	1.19
CNN 2	<code>Conv1D(filters=512, kernel_size=3, strides=1, padding='causal', activation='relu')</code> <code>Conv1D(filters=512, kernel_size=3, strides=1, padding='causal', activation='relu')</code> <code>Conv1D(filters=512, kernel_size=3, strides=1, padding='causal', activation='relu')</code> <code>GlobalAveragePooling1D()</code> <code>Flatten()</code> <code>Dense(128, activation='relu')</code> <code>Dropout(0.4)</code> <code>Dense(1)</code>	350	0.25	0.51
CNN 3	<code>Conv1D(filters=256, kernel_size=3, strides=1, padding='causal', activation='relu')</code> <code>BatchNormalization()</code> <code>Conv1D(filters=256, kernel_size=3, strides=1, padding='causal', activation='relu')</code> <code>BatchNormalization()</code> <code>Conv1D(filters=256, kernel_size=3, strides=1, padding='causal', activation='relu')</code> <code>BatchNormalization()</code> <code>Flatten()</code> <code>Dense(256, activation='relu')</code> <code>Dropout(0.4)</code> <code>Dense(1)</code>	500	0.21	0.43

Table 4.2: Various model combinations including Epochs, final loss and final MAE - [source:[author]]

By observing the results from table 4.2 it is clear to see that by increasing the epochs for approaches using CNN the actual loss as well as the MAE decreased. Never the less as illustrated in figure the model still was not able to catch up the characteristics of the training set. Regarding the LSTM model utilizing 220 epochs the lowest values for loss and MAE where achieved. Neither increasing nor decreasing the models complexities in terms of its architecture supported the model to further improve its accuracy for predictions based on the test set. This behaviour indicates that only one feature might be insufficient for the models to find patterns that increase their ability to predict demand for buses for a certain day. Therefore section 4.7.2 investigates which potential features can be extracted from the current dataset as well as their impact on prediction accuracy.

#### 4.7.2 Feature Engineering

Feature Engineering (FE) is used to create new input values also called features that are used to enhance the capability of learning. [30] The approaches before used the whole timestamp `timestamp` resulting that only one feature is available to train the models. Looking at the structure of a date like 2023-01-01 additional features become visible that are usable to train out models. The structure essentially hosts aspects like day of the month, month, year, quarter of the year as well as week of the year. By extracting those characteristics from every single date more features become available that can be utilized to train the model. As there are certain seasonal patterns that can be observed when looking at the booking data those features provide additional context that can be utilized by the model during training to improve its ability to detect certain patterns. As the LSTM

## 4 Predicting Future Bookings

model in general looks like the more suitable candidate due to the past results it provided during section 4.6 and 4.7.1 the approach of feature engineering is only applied onto the LSTM approach.

In order to extract additional features the following code is applied:

```
1 df['month'] = df.index.month
2 df['day'] = df.index.day
3 df['dayofweek'] = df.index.dayofweek
4 df['quarter'] = df.index.quarter
```

The initial dataframe (df) remains untouched and got the same structure as in section 4.6. As the index of the df is initialized as `pd.to_datetime()` operations like `index.month`, `index.day` etc. become available. Those functions extract the values based on each index available of within the df. For the initial training of the multivariant LSTM model the following architecture and parameters are used.

```
1 lstm_model = Sequential([
2     Bidirectional(LSTM(128, return_sequences=True,
3         input_shape=(X_train.shape[1], X_train.shape[2]))),
4     Bidirectional(LSTM(128,
5         input_shape=(X_train.shape[1], X_train.shape[2]))),
6     Dense(1)
7 ])
8
9 lstm_model.compile(
10     loss=Huber(),
11     optimizer=Adam(),
12     metrics=['mae']
13 )
14
15 lstm_model.fit(
16     X_train,
17     Y_train,
18     epochs=120,
19 )
```

As this model now utilizes multiple features the parameter `input_shape` needs to be set. `X_train.shape[1]` represents the number of time steps whereas `X_train.shape[2]` represents the number of features utilized for each time step. Furthermore `lstm_model.fit` receives now two input values whereas `X_train` indicates the input features and `Y_train` represents the actual output of a certain time step. For previous models only one input was required due to the way the data is structured. The training results of the model are highlighted in figure 4.12 and 4.13

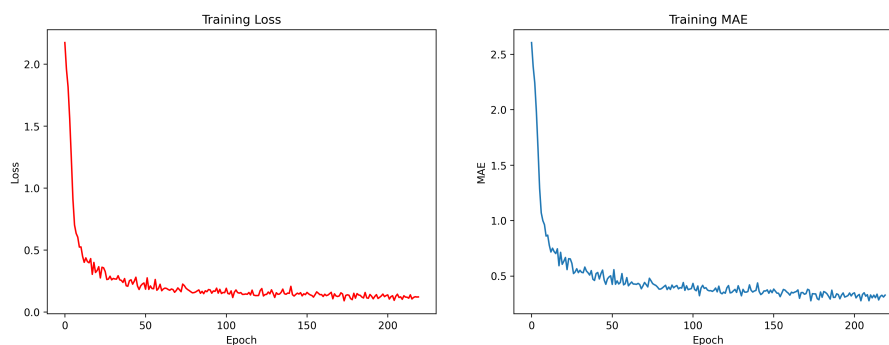


Figure 4.12: Change of Loss and MAE - [source:[author]]

Both the final loss (0.25) and MAE (0.30) are almost identical to the results achieved for the previous models. The major difference is the actual prediction results on the test data highlighted in figure 4.13. It is clear to see that the model's performance increased dramatically. This behaviour indicates that the models were overfitting the data and not being able to create accurate predictions on the test data. By increase the context to the LSTM by extracting additional features the models performance on unseen data is increased as well.

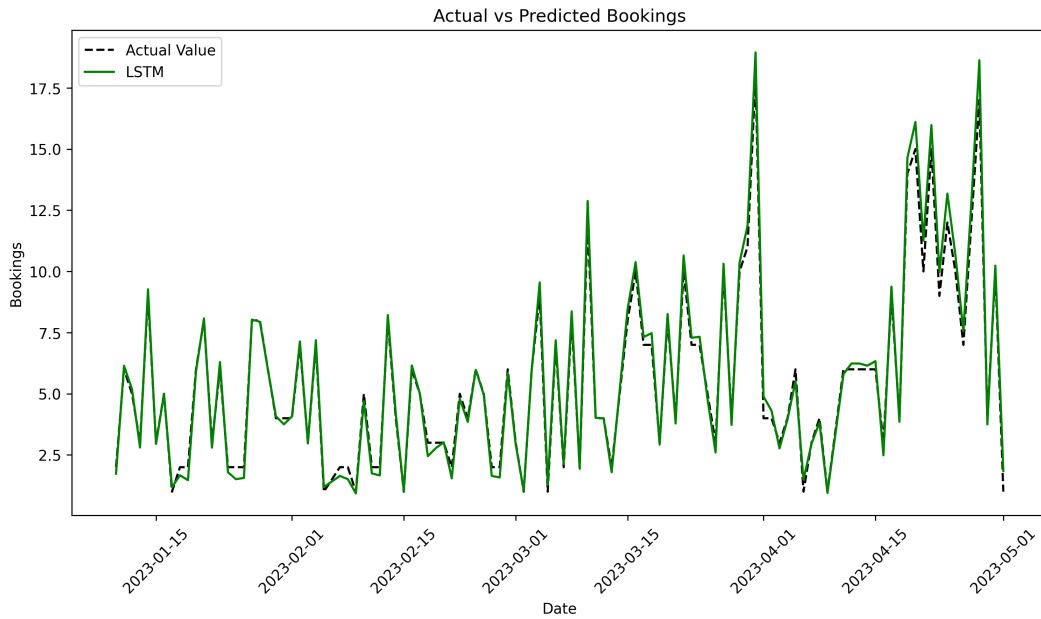


Figure 4.13: Predictions on test set from 01-01-203 to 01-05-2023 - [source:[author]]

Looking at the results in figure 4.13 the enhanced LSTM model is capable of reliably predicting the future bus utilization for the dates ranging from one to five months. Section 4.8 discusses the achieved results and whether or not the model can be used to support the YM.

## 4.8 Predictions And Yield Management

To determine whether or not the model can be utilized to support the YM knowledge about how far in advanced buses are booked is crucial. By computing the median timespan utilizing the attributes `createdAt` and `taskTime_from` this information can be gathered from the available dataset. The median timespan between `createdAt` and `taskFrom_time` is around 38 days. As the model is able to provide adequate results for at least 5 months into the future the model is suitable for the purpose of supporting the YM. More to come -> caution should be taken etc.



## 5 Implementation of Averages and Grouping

This section focuses on the implementation of various groupings as well as their visualization. As the analytical dashboard is web based a short overview about its technical setup is given in section 5.1.

### 5.1 Technical Setup

As those implementations will contribute to an analytical dashboard the visualization of the prepared data are implemented using React. For each grouping a separate React component is created. Communication between the front- and backend is accomplished using a Rest interface.

- React<sup>1</sup> - used to Visualize
- Python<sup>2</sup> - used to implement the grouping logic
- fastapi<sup>3</sup> - Rest interface (Connection between Backend and Frontend)
- geopy<sup>4</sup> - Used for calculations on latitude and longitude

### 5.2 Grouping Implementation

### 5.3 Visualisation techniques

which plots etc (and why) are used to display the gathered information

---

<sup>1</sup><https://react.dev/>

<sup>2</sup><https://www.python.org/>

<sup>3</sup><https://fastapi.tiangolo.com/>

<sup>4</sup><https://geopy.readthedocs.io/en/stable/>

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# Appendix

(Hier können Schaltpläne, Programme usw. eingefügt werden.)