

Modular Arithmetic for PQC Implementations

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Deployment of post-quantum cryptography
IHP Paris 2024

1 Introduction

- Structure in Cryptography
- Kyber

2 Modular Multiplication Algorithms

- Barrett Reduction
- Montgomery Reduction
- Word Size Modular Multiplication

3 Number Systems

- Polynomial Modular Number systems
- Residue Number System

4 Special Moduli

5 Overview and Conclusion

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Construction - Cryptographer

Create a link between:

- ① one **hard** to compute function
- ② one **easy** to compute function

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- ① one **hard** to compute function **RSA : Factorization**
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Find better way to compute
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Optimization - Computer Arithmetician

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Two type of structures

- 1 **Identifiable**: Able to check in efficiently way
- 2 **Hidden**: As hard to identify as to solve security problem

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Example

- Code: Quasicyclic
- Multivariate: Oil/Vinegar Structure
- lattice based: Ideal Lattice — Diagonal Dominant
- LWE: Ring LWE — Module LWE

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Memory - Compact object

Often a **Polynomial Structure** instead of a Matrix

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Speed - Operating on object more efficiently

Polynomial Multiplication **faster** than Vector-Matrix

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Allow to perform new operation

Example: **Ideal Lattice** used by Gentry for first **FHE** Scheme

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Security - Weaker Problem

Often **unstudied problem**

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$$\mathcal{L} = \begin{pmatrix} qId & 0 \\ A & Id \end{pmatrix} \text{ with } q = n^{O(1)}$$

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A composed of Toeplitz matrix
block generated by polynomial

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$$\Phi = (X - \gamma_0) \dots (X - \gamma_n) \bmod q$$

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No Multi Precision Arithmetic

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SPEED

Polynomial Multiplication using NTT in $O(n \log n)$

Complexity

Polynomial Multiplication $A \times B$

- Schoolbook, $O(n^2)$
- Karatsuba, $O(n^{1.585})$
- Toom-Cook $O(n^{1.465}) \dots$
- NTT $O(n \log n)$

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$A \times B \bmod \Phi$

Naturally included with NTT

Φ smooth in F_q

Polynomial Multiplication

- $A \times B \bmod \Phi$
- with $\Phi = (X - \gamma_1)(X - \gamma_2) \dots (X - \gamma_n) \bmod q$

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Polynomial Multiplication using NTT

- 1 $\tilde{A} \leftarrow NTT(A)$ with $\tilde{A} = [A(\gamma_1), A(\gamma_2), \dots, A(\gamma_n)]$
- 2 $\tilde{B} \leftarrow NTT(B)$ with $\tilde{B} = [B(\gamma_1), B(\gamma_2), \dots, B(\gamma_n)]$
- 3 $\tilde{C} \leftarrow \tilde{A} \cdot \tilde{B}$ with $\tilde{C} = [A(\gamma_1)B(\gamma_1), A(\gamma_2)B(\gamma_2), \dots, A(\gamma_n)B(\gamma_n)]$
- 4 $C \leftarrow NTT^{-1}(\tilde{C})$

Φ not friable in F_q

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Find Q

- $Q \geq nq^2$
- $\Phi = (X - \gamma_1)(X - \gamma_2) \dots (X - \gamma_n) \bmod Q$

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- 3 $\tilde{C} \leftarrow A$ with $\tilde{C} = [A(\gamma_1)B(\gamma_1), A(\gamma_2)B(\gamma_2), \dots, A(\gamma_n)B(\gamma_n)]$
- 4 $C \leftarrow NTT^{-1}(\tilde{C})$
- 5 $C \leftarrow C \bmod q$

Conclusion

Gain of Φ Smooth in \mathbb{F}_q

- NTT on \mathbb{F}_q instead of NTT on \mathbb{F}_Q with $Q = nq^2$
- $q \lesssim 12\text{bits}$ and $Q \lesssim 30\text{ bits}$
- For Kyber: need to operate on 64bits instead of 32bits

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Structure

- Structure have an **unknown cost**
- Gain need to be at least **significant**
- With no other solutions

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Modular Multiplication

- Compute

$$AB \bmod P = AB - \left\lfloor \frac{AB}{P} \right\rfloor P.$$

- A, B Input Data
- P Input Data on which **Precomputation** is possible.

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Constraints

- Size of moduli: from 10bits to 10000bits
- Form of Moduli
- Side-Channel resistance
- Speed vs Memory

Compute $AB \bmod P$

- with $0 \leq A, B < P$
- with $P < 2^n$

Compute $AB \bmod P$

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Notation for $2n$ -register

- ① $[A]_k$ the k lowest bit of A i.e.

$$[A]_k = A \bmod 2^k$$

- ② $[A]^k$ the k highest bit of A i.e.

$$[A]^k = \left\lfloor \frac{A}{2^{2n-k}} \right\rfloor$$

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General Methodology

- ① an integer multiplication is performed, followed by
- ② **approximation** of the euclidean division quotient, followed by
- ③ some **final corrections** are performed.

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Barrett Modular Multiplication

Input : $0 \leq A, B < P$ and $R = \lfloor \frac{2^{2n}}{P} \rfloor$

Output: $C = AB \bmod P$

begin

$C \leftarrow AB$

$Q \leftarrow \lfloor [C]^{n-1} R \rfloor$

$C \leftarrow C - QP$

if $C \geq P$ **then** $C \leftarrow C - P$;

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end

Example

- Constant: $P = 8461$
- Precomputation: $R = \left\lfloor \frac{10^8}{8461} \right\rfloor = 11818$
- Input $A = 6932$ $B = 4121$

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Computation

① $C \leftarrow A * B = 28566772$

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Computation

- 1 $C \leftarrow A * B = 28566772$
- 2 $[C]^4 R = 2856 * 11818 = 33752208$

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Computation

- ① $C \leftarrow A * B = 28566772$
- ② $[C]^4 R = 2856 * 11818 = 33752208$
- ③ $C - QP = 28566772 - 3375 * 8461$

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- 3 $C - QP = 28566772 - 3375 * 8461 = 28566772 - 28555875 = 10897$

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- 2 $[C]^4 R = 2856 * 11818 = 33752208$
- 3 $C - QP = 28566772 - 3375 * 8461 = 28566772 - 28555875 = 10897$
- 4 $10897 - 8461 = 2436$

Advantage

- Avoid division
- Only requires cheap precomputation
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Drawback

There is better

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General Methodology

- ① Eliminate **Lower bits** instead of higher bits
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Montgomery Modular Multiplication

Input : $R = (-P^{-1}) \bmod 2^n$

Output: $C = AB2^{-n} \bmod P$

begin

$C \leftarrow AB$

$Q \leftarrow [CR]_n$

$C \leftarrow [C + QP]^n$

if $C \geq P$ **then** $C \leftarrow C - P$;

end

Example

- Constant: $P = 8461$
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Computation

- 1 $C \leftarrow A * B = 28566772$
- 2 $Q = [CR]_4 = [6772 * 2859] = [19361148]_4$

Example

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- Input $A = 6932$ $B = 4121$

Computation

- 1 $C \leftarrow A * B = 28566772$
- 2 $Q = [CR]_4 = [6772 * 2859] = [1936\mathbf{1148}]_4$
- 3 $C + QP = 28566772 + \mathbf{1148} * 8461 = 38280000$

Example

- Constant: $P = 8461$
- Precomputation: $R = -P^{-1} \bmod 10^4 = 2859$
- Input $A = 6932$ $B = 4121$

Computation

- 1 $C \leftarrow A * B = 28566772$
- 2 $Q = [CR]_4 = [6772 * 2859] = [19361148]_4$
- 3 $C + QP = 28566772 + 1148 * 8461 = 38280000$
- 4 $C = 3828$

Montgomery Representation

Shifted output of Montgomery algorithm

- Indeed $AB2^{-n} \bmod P$ instead of $AB \bmod P$
- Return 3828 instead of 2436
- $3828 * 10^4 \bmod P = 38280000 \bmod 8461 = 2436$

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Montgomery representation, $\bar{A} = A2^n \bmod P$

$$\begin{aligned} \text{Mont}(\bar{A}, \bar{B}) &= \bar{A} * \bar{B} * 2^{-n} \bmod P \\ &= (A2^n) * (B2^n) * 2^{-n} \bmod P \\ &= AB2^n \bmod P \\ &= \overline{AB} \end{aligned}$$

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Idea

- Eliminate $2n$ -bits lower bits.
- Compute $AB(-2^{-2n}) \bmod P$ even if $AB < 2^{2n}$

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Word Size Modular Multiplication

Input : $R = P^{-1} \bmod 2^{2n}$

Output: $C = AB(-2^{-2n}) \bmod P$

begin

```
|  $C \leftarrow [([ABR]_{2n})^n + 1)P]^n$   
| if  $C = P$  then  $C \leftarrow 0$ ;
```

end

Example

- Constant: $P = 8461$
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- 2 $[CR]_8 = [28566772 * 40787141]_8 = [1165156957478852]_8$

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- 3 $[CR]_8 = 57478852$ [For Free]

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- 4 $Q = [[CR]_8]^4 + 1 = 5747 + 1 = 5748$

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- 5 $QP = 5748 * 8461 = 48633828$ [No more C]

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- 4 $Q = [[CR]_8]^4 + 1 = 5747 + 1 = 5748$
- 5 $QP = 5748 * 8461 = 48633828$ [No more C]
- 6 $C = 4863$

Montgomery's like Representation

- ① Return $AB(-2^{-2n}) \bmod P$ instead of $AB \bmod P$
- ② $4863 * (-10^8) \bmod 8461 = 2436$
- ③ Same type of representation than Montgomery

Advantage

- ① Small Number of Operation with Register shift only
- ② Minimal Redundancy: No correction/IF result always between $[0, P]$
- ③ One register only

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Faster Multiplication by a constant

- for ABR , compute only one time BR for A_0BR and A_1BR
- Gain for multiplication by “constant”: 2 MUL instead of 3
- Sometime naturally done by compiler

Modular Multiplication for Word Size moduli - 2021

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Word Size

$P \lesssim 0.6180 \times 2^n$ on a $2n$ -processor. Example: 31.3bits on 64bits

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Number systems

Positional number system with radix β

$$X = \sum_{i=0}^{n-1} x_i \beta^i \quad \text{with } x_i \in \{0, \dots, \beta - 1\}$$

Example: $X = (1315)_{10} = (2, 4, 4, 3)_8 = 3 + 4 \times 8 + 4 \times 8^2 + 2 \times 8^3$

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Modular number system **MNS**(p, n, γ, ρ)

$$X = \sum_{i=0}^{n-1} x_i \gamma^i \bmod P \quad \text{with } x_i \in \{0, \dots, \rho - 1\}$$

Example

- $MNS(p = 17, n = 3, \gamma = 7, \rho = 3)$
- $a = \sum_{i=0}^2 x_i 7^i \bmod 17$ with $a_i \in \{0, 1, 2\}$

0	1	2	3	4
5	6	7	8	9
10	11	12	13	14
15	16			

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5	6	7	8	9
		X	X + 1	X + 2
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Example

- $MNS(p = 17, n = 3, \gamma = 7, \rho = 3)$
- $a = \sum_{i=0}^2 x_i 7^i \bmod 17$ with $a_i \in \{0, 1, 2\}$

0	1	2	3	4
0	1	2		
5	6	7	8	9
		X	$X + 1$	$X + 2$
10	11	12	13	14
				$2X$
15	16			
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How find a “good” Modular Number System?

What do we need?

- 1 Compact: A PMNS where ρ is small (about $\rho \sim p^{1/n}$)
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Polynomial MNS

A modular number system (p, n, γ, ρ) with a **nice polynomial** E of degree n such that

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and $A \times B \rightarrow AB \bmod E$ can be done efficiently

Option for E

- a) power of 2 cyclotomic polynomial i.e. $X^{2^k} + 1$,
- b) polynomial of the form $X^n - c$,
- c) polynomial of the form $X^n - aX - b$,
- d) trinomial $X^n \pm X^k \pm 1$ with $k \leq \frac{n}{2}$,
- e) quadrinomial $X^n \pm X^k \pm X^l \pm 1$ with $l < k \leq \frac{n}{2}$.

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Existence Issue

No guarantee, there exists a polynomial both **irreducible** and with a **root** in \mathbb{F}_p for a given p .

Binomial $aX^n - b$

For **any prime** p and $n \in \mathbb{N}_{\geq 2}$, there exists a binomial $E = aX^n - b$ with

- 1) E is irreducible over \mathbb{Q} ,
- 2) E have at least one root, γ , in \mathbb{F}_p ,
- 3) $1 \leq a < b \leq \frac{57}{34}n$

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Example

- $P = 8461$, $n = 4$
- $\frac{57}{34}n \sim 6.71$
- There exist an **irreducible** polynomial $aX^4 - b$ with $1 \leq a < b \leq 6$
 - ① E is irreducible over \mathbb{Q} ,
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Solution

$$3X^4 - 4, X^4 - 5$$

Advantage

- Polynomial **avoid costly carry** management
- Natural **Side-Channel resistance** due too small redundancy
- Polynomial multiplication acceleratation (Karatsuba, Toom-Cook, NTT)

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- Costly Comparison $<$
- Costly Euclidean division

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Chinese Remainder Theorem

- A RNS basis is (m_1, m_2, \dots, m_n) with $M = \prod_{i=1}^n m_i$
- If we consider (x_1, x_2, \dots, x_n) with $0 \leq x_i < m_i$
- Then $\exists! X < M$ with $x_i = |X|_{m_i} = X \bmod m_i$

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Arithmetic

- Addition: $A + B = (a_1 + b_1, a_2 + b_2, \dots, a_n + b_n)$
- Multiplication: $A \times B = (a_1 \times b_1, a_2 \times b_2, \dots, a_n \times b_n)$

Example

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Solution: RNS to RNS conversion

- Modular Multiplication can be done modulo P
- Using **two basis** larger than P
- A second RNS basis: $(29, 35, 37)$ with $M = 37555$

Advantage

- Distribute operations from large number to **small residues**
- Addition and multiplication can be **parallelized**.
- Best case: $X_0 Y_0 + X_1 Y_1 + \dots + X_k Y_k$ in vector-matrix multiplication.

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Drawback

- RNS to RNS Conversion is **Quadratic**
- **Moduli Picking**
- Costly Comparison $<$
- Costly Euclidean division

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Mersenne Modular Reduction - Lehmer 51 (Thanks Markku)

Input : $P = 2^n - 1$

Output: $C = AB \bmod P$

begin

```
|  $C \leftarrow AB$   
|  $C \leftarrow [C]_n + [C]^n$   
| if  $C \geq P$  then  $C \leftarrow C - P$ ;
```

end

Advantage

Cheap Reduction

Advantage

Cheap Reduction

Drawback

Low density for **Prime** Mersenne

$2^n - 1$ is prime for $n =$

2, 3, 5, 7, 13, 17, 19, 31, 61, 89, 107, 127, 521, 607, 1279, 2203, 2281, 3217 ...

Idea

Extend Mersenne Number to grow density to ensure some prime options

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Pseudo Mersenne Modular Reduction

Input : $P = 2^n - c$ and $0 \leq c^2 < 2^n$

Output: $C = AB \bmod P$

begin

$C \leftarrow AB$

$C \leftarrow [C]_n + [C]^n c$

$C \leftarrow [C]_n + [C]^n c$

if $C \geq P$ **then** $C \leftarrow C - P$;

end

Advantage

- Density allows to have some prime. Example: $2^{32} - 5$
- Great for [Random Sampling](#)

Pseudo-Mersenne Moduli: $2^n - c$

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Modular Inversion

- If p is large, **Euclid Algorithm** or its variant
- If p is small, **Modular exponentiation** using Fermat Theorem
- If p is small and Pseudo Mersenne, **Takagi Algorithm**

Pseudo-Mersenne Moduli: $2^n - c$

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Drawback

Reduction **not so cheap** for word size moduli

Mersenne like moduli - Barrett Friendly

- Mersenne Numbers $P = 2^n - 1$
- Pseudo Mersenne $P = 2^n - c$ (Crandall 92)
- Pseudo Mersenne with $c > 2^{n/2}$ (BIP'02)
- Generalized Mersenne $P = 2^n - 2^k \pm 1$ (Solinas 99)
- More Generalized Mersenne (Chung-Hassan'04)

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Some other Special Moduli

- Montgomery-Friendly: $P = c2^k - 1$ (Hamburg'12)
- Moduli adapted to PMNS (BIP'04)
- For RNS, $P = M^2 - 2$ (Bigou-Tisserand'15)
- NFLlib: $2^{n-k} - 2^{n-2k} + 2^{n-3k} < P < 2^{n-k}$

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Conclusion

	Size \sim	Operation	Solutions
RSA *	2000 – 4000	\times	Montgomery, RNS
ECC	200 – 500	$+, \times, x^{-1}$	Gen/Pseudo Mersenne RNS, PMNS
LWE	10 – 30	$+, \times$	Montgomery Friendly, RNS
Lattice	1000	$+, \times$	Pseudo-Mersenne, RNS
Isogeny *	300 – 1000	$+, \times, x^{-1}$	Specific, Montgomery, PMNS
Multivariate	10	$+, \times$	Mersenne, Pseudo Mersenne
Tensor Alternating	10 – 30	$+, \times, \$$	Pseudo Mersenne Word Size Modular Arithmetic

Modular Arithmetic Tools

- 1 There is a **wild range** of options
- 2 Adaptable for different **applications/constraints**

Conclusion

Modular Arithmetic Tools

- ① There is a **wild range** of options
- ② Adaptable for different **applications/constraints**

Structure in Cryptography

- ① Structure have an **unknown cost**
- ② Gain need to be at least **significant**
- ③ With no other solutions