## COMP9318 (16S2) ASSIGNMENT 2

DUE ON 23:59 1 NOV, 2016 (TUE)

Q1. (35 marks)

(1) Consider the following training dataset.

A	В	C	Class
0	0	0	+
0	0	1	+
0	1	0	+
0	1	1	_
1	0	0	+
1	0	0	+
1	1	0	_
1	0	1	+
1	1	0	_
1	1	0	_

Illustrate the decision tree constructed by the ID3 algorithm. You need to show your steps.

- (2) What is the precision of the constructed decision tree on the training dataset?
- (3) What is the precision of the constructed decision tree on the following testing dataset?

A	В	C	Class
0	0	0	+
0	1	1	+
1	1	0	+
1	$\begin{vmatrix} 1 \\ 0 \end{vmatrix}$	1	_
1	0	0	+

- (4) Consider the ID3 decision tree induction algorithm. Show that the entropy of the input data never increases after splitting it using any of its attribute.
- (5) Consider a Logistic Regression classifier with the parameter

$$\boldsymbol{w}^{ op} = \begin{bmatrix} 0.2 & 0.3 & -0.1 & 0.4 \end{bmatrix}.$$

Compute the log likelihood of the training data under this classifier.

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Hint I. A function f(x) is convex iff \forall x_1, x_2, \forall \lambda_1, \lambda_2 \in [0, 1] such that \lambda_1 + \lambda_2 = 1, f(\lambda_1 x_1 + \lambda_2 x_2) \leq \lambda_1 f(x_1) + \lambda_2 f(x_2). This can be generalized to more than two variables (and is generally known as the Jensen's inequality).
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Q2. (35 marks)
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## **Algorithm 1:** k-means(D, k)

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Data: D is a dataset of n d-dimensional points; k is the number of clusters.

1 Initialize k centers C = [c_1, c_2, \dots, c_k];

2 canStop \leftarrow \mathbf{false};

3 while canStop = \mathbf{false} do

4 Initialize k empty clusters G = [g_1, g_2, \dots, g_k];

5 for each data point p \in D do

6 c_x \leftarrow \mathsf{NearestCenter}(p, C);

7 g_{c_x}.\mathsf{append}(p);

8 for each group g \in G do

9 c_i \leftarrow \mathsf{ComputeCenter}(g);
```

Consider the (slightly incomplete) k-means clustering algorithm as depicted in Algorithm 1.

- (1) Assume that the stopping criterion is till the algorithm converges to the final k clusters. Can you insert several lines of pseudo-code to the algorithm to implement this logic? You are **not** allowed to change the first 7 lines though.
- (2) The cost of k clusters is just the total cost of each group  $g_i$ , or formally

$$cost(g_1, g_2, \dots, g_k) = \sum_{i=1}^k cost(g_i)$$

 $cost(g_i)$  is the sum of squared distances of all its constituent points to the center  $c_i$ , or

$$cost(g_i) = \sum_{p \in g_i} dist^2(p, c_i)$$

dist() is the Euclidean distance. Now show that the cost of k clusters as evaluated at the end of each iteration (i.e., after Line 9 in the current algorithm) never increases. (You may assume d=2)

(3) Prove that the cost of clusters obtained by k-means algorithm always converges to a local minima. You can make use of the previous conclusion even if you have not proved it.

Hint 2. In fact, the two loops (Lines 5-7 and Lines 8-9) never increases the cost.

Consider binary classification where the class attribute y takes two values: 0 or 1. Let the feature vector for a test instance be a d-dimension column vector x. A linear classifier with the model parameter w (which is a d-dimension column vector) is the following function:

$$y = \begin{cases} 1 & \text{, if } \boldsymbol{w}^{\top} \boldsymbol{x} > 0 \\ 0 & \text{, otherwise.} \end{cases}$$

We make additional simplifying assumptions:  $\boldsymbol{x}$  is a binary vector (i.e., each dimension of  $\boldsymbol{x}$  take only two values: 0 or 1).

- Prove that if the feature vectors are d-dimension, then a Naïve Bayes classifier is a linear classifier in a d + 1-dimension space. You need to explicitly write out the vector  $\boldsymbol{w}$  that the Naïve Bayes classifier learns.
- It is obvious that the Logistic Regression classifier learned on the same training dataset as the Naïve Bayes is also a linear classifier in the same d + 1-dimension space. Let the parameter  $\boldsymbol{w}$  learned by the two classifiers be  $\boldsymbol{w}_{LR}$  and  $\boldsymbol{w}_{NB}$ , respectively. Briefly explain why learning  $\boldsymbol{w}_{NB}$  is much easier than learning  $\boldsymbol{w}_{LR}$ .

Hint 3. It is a common trick in ML (e.g., c.f., Linear Regression) to enhance the feature vector by a dummy dimension  $x_0$  and set  $x_0=1$ .

## SUBMISSION

Please write down your answers in a file named ass2.pdf. You must write down your name and student ID on the first page.

You can submit your file by

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**Late Penalty.** -10% per day for the first two days, and -30% for each of the following days.