# Week 06: Graph Data Structures and Search

## **Graph Definitions**

Graphs 2/83

Many applications require

- a collection of *items* (i.e. a set)
- relationships/connections between items

### Examples:

- maps: items are cities, connections are roads
- web: items are pages, connections are hyperlinks

Collection types you're familiar with

- lists ... linear sequence of items (week 4; COMP9021)
- trees ... branched hierarchy of items (COMP9021)

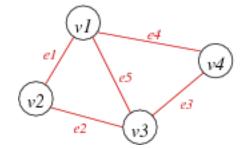
Graphs are more general ... allow arbitrary connections

... **Graphs** 

A graph G = (V,E)

- *V* is a set of *vertices*
- E is a set of edges (subset of  $V \times V$ )

### Example:



$$V = \{v1, v2, v3, v4\}$$

$$E = \{e1, e2, e3, e4, e5\}$$

... **Graphs** 

A real example: Australian road distances

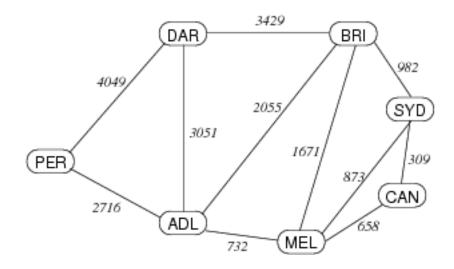
Distance	Adelaide	Brisbane	Canberra	Darwin	Melbourne	Perth	Sydney
Adelaide	-	2055	1390	3051	732	2716	1605

Brisbane	2055	-	1291	3429	1671	4771	982
Canberra	1390	1291	-	4441	658	4106	309
Darwin	3051	3429	4441	-	3783	4049	4411
Melbourne	732	1671	658	3783	-	3448	873
Perth	2716	4771	4106	4049	3448	-	3972
Sydney	1605	982	309	4411	873	3972	-

Notes: vertices are cities, edges are distance between cities, symmetric

... **Graphs** 

Alternative representation of above:



... **Graphs** 

Questions we might ask about a graph:

- is there a way to get from item A to item B?
- what is the best way to get from A to B?
- which items are connected?

Graph algorithms are generally more complex than tree/list ones:

- no implicit order of items
- graphs may contain cycles
- concrete representation is less obvious
- algorithm complexity depends on connection complexity

# **Properties of Graphs**

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Terminology: |V| and |E| (cardinality) normally written just as V and E.

A graph with V vertices has at most V(V-1)/2 edges.

The ratio E:V can vary considerably.

- if E is closer to  $V^2$ , the graph is dense
- if E is closer to V, the graph is sparse
  - Example: web pages and hyperlinks

Knowing whether a graph is sparse or dense is important

- may affect choice of data structures to represent graph
- may affect choice of algorithms to process graph

### **Exercise #1: Number of Edges**

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The edges in a graph represent pairs of connected vertices. A graph with V has  $V^2$  such pairs.

Consider  $V = \{1,2,3,4,5\}$  with all possible pairs:

$$E = \{ (1,1), (1,2), (1,3), (1,4), (1,5), (2,1), (2,2), ..., (4,5), (5,5) \}$$

Why do we say that the maximum #edges is V(V-1)/2?

... because

- (v,w) and (w,v) denote the same edge (in an undirected graph)
- we do not consider loops (v,v)

# **Graph Terminology**

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For an edge e that connects vertices v and w

- *v* and *w* are *adjacent* (neighbours)
- e is incident on both v and w

Degree of a vertex v

• number of edges incident on e

Synonyms:

• vertex = node, edge = arc = link (Note: some people use arc for *directed* edges)

## ... Graph Terminology

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Path: a sequence of vertices where

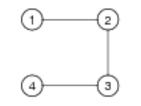
• each vertex has an edge to its predecessor

Cycle: a path where

• last vertex in path is same as first vertex in path

Length of path or cycle:

• #edges



4 3

Path: 1-2, 2-3, 3-4

Cycle: 1-2, 2-3, 3-4, 4-1

## ... Graph Terminology

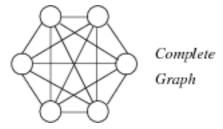
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### Connected graph

- there is a *path* from each vertex to every other vertex
- if a graph is not connected, it has  $\geq 2$  connected components

### Complete graph $K_V$

- there is an *edge* from each vertex to every other vertex
- in a complete graph, E = V(V-1)/2



## ... Graph Terminology

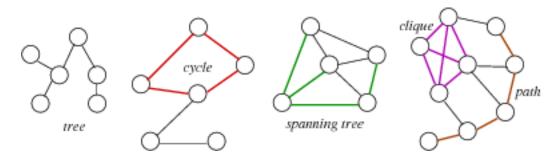
13/83

Tree: connected (sub)graph with no cycles

Spanning tree: tree containing all vertices

Clique: complete subgraph

Consider the following single graph:



This graph has 26 vertices, 32 edges, and 4 connected components

Note: The entire graph has no spanning tree; what is shown in green is a spanning tree of the third connected component

## ... Graph Terminology

A spanning tree of connected graph G = (V,E)

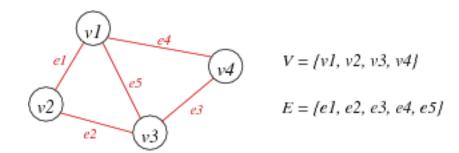
- is a subgraph of G containing all of V
- and is a single tree (connected, no cycles)

A spanning forest of non-connected graph G = (V,E)

- is a subgraph of G containing all of V
- and is a set of trees (not connected, no cycles),
  - with one tree for each connected component

### **Exercise #2: Graph Terminology**

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- 1. How many edges to remove to obtain a spanning tree?
- 2. How many different spanning trees?
- 1. 2
  2.  $\frac{5 \cdot 4}{2} 2 = 8$  spanning trees (no spanning tree if we remove  $\{e1,e2\}$  or  $\{e3,e4\}$ )

# ... Graph Terminology

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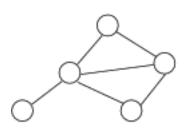
Undirected graph

• edge(u,v) = edge(v,u), no self-loops (i.e. no edge(v,v))

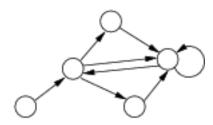
Directed graph

•  $edge(u,v) \neq edge(v,u)$ , can have self-loops (i.e. edge(v,v))

### Examples:



Undirected graph



Directed graph

Other types of graphs ...

Weighted graph

- each edge has an associated value (weight)
- e.g. road map (weights on edges are distances between cities)

Multi-graph

- allow multiple edges between two vertices
- e.g. function call graph (f() calls g() in several places)

## **Graph Data Structures**

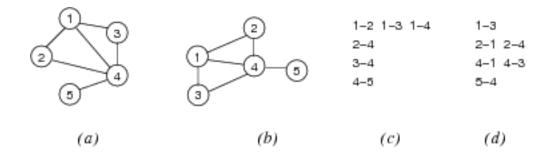
## **Graph Representations**

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Defining graphs:

- need some way of identifying vertices
- could give diagram showing edges and vertices
- could give a list of edges

E.g. four representations of the same graph:



### ... Graph Representations

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We will discuss three different graph data structures:

- 1. Array of edges
- 2. Adjacency matrix
- 3. Adjacency list

# **Array-of-edges Representation**

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Edges are represented as an array of Edge values (= pairs of vertices)

• space efficient representation

- adding and deleting edges is slightly complex
- undirected: order of vertices in an Edge doesn't matter
- directed: order of vertices in an Edge encodes direction



For simplicity, we always assume vertices to be numbered 0..V-1

### ... Array-of-edges Representation

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Graph initialisation

How much is enough? ... No more than V(V-1)/2 ... Much less in practice (sparse graph)

## ... Array-of-edges Representation

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Edge insertion

## ... Array-of-edges Representation

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Edge removal

```
g.nE=g.nE-1
```

**Cost Analysis** 

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Storage cost: O(E)

Cost of operations:

- initialisation: O(1)
- insert edge: O(1) (assuming edge array has space)
- find/delete edge: O(E) (need to find edge in edge array)

If array is full on insert

• allocate space for a bigger array, copy edges across  $\Rightarrow O(E)$ 

If we maintain edges in order

• use binary search to insert/find edge  $\Rightarrow O(\log E)$ 

## **Exercise #3: Array-of-edges Representation**

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Assuming an array-of-edges representation ...

Write an algorithm to output all edges of the graph

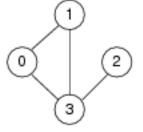
```
show(g):
    Input graph g
    for all i=0 to g.nE-1 do
        print g.edges[i]
    end for
```

Time complexity: O(E)

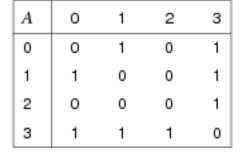
## **Adjacency Matrix Representation**

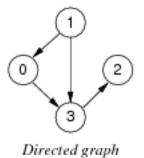
29/83

Edges represented by a  $V \times V$  matrix



Undirected graph





A	0	1	2	3
0	0	0	0	1
1	1	0	0	1
2	0	0	0	0
3	0	0	1	0

## ... Adjacency Matrix Representation

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### Advantages

- easily implemented as 2-dimensional array
- can represent graphs, digraphs and weighted graphs
  - graphs: symmetric boolean matrix
  - digraphs: non-symmetric boolean matrix
  - weighted: non-symmetric matrix of weight values

### Disadvantages:

• if few edges (sparse) ⇒ memory-inefficient

## ... Adjacency Matrix Representation

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### Graph initialisation

## ... Adjacency Matrix Representation

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Edge insertion

```
insertEdge(g,(v,w)):
```

```
Input graph g, edge (v,w)
   if g.edges[v][w]=0 then // (v,w) not in graph
                                 // set to true
       g.edges[v][w]=1
       g.edges[w][v]=1
       g.nE=g.nE+1
   end if
                                                                                 33/83
... Adjacency Matrix Representation
Edge removal
removeEdge(g,(v,w)):
   Input graph g, edge (v,w)
   if g.edges[v][w]\neq 0 then // (v,w) in graph
                                 // set to false
       g.edges[v][w]=0
       g.edges[w][v]=0
       q.nE=q.nE-1
   end if
                                                                                 34/83
Exercise #4: Show Graph
Assuming an adjacency matrix representation ...
Write an algorithm to output all edges of the graph (no duplicates!)
                                                                                 35/83
... Adjacency Matrix Representation
show(g):
   Input graph g
   for all i=0 to g.nV-2 do
       for all j=i+1 to g.nV-1 do
          if g.edges[i][j] then
              print i"-"j
          end if
       end for
   end for
Time complexity: O(V^2)
                                                                                 36/83
Exercise #5:
Analyse storage cost and time complexity of adjacency matrix representation
Storage cost: O(V^2)
```

If the graph is sparse, most storage is wasted.

### Cost of operations:

• initialisation:  $O(V^2)$  (initialise  $V \times V$  matrix)

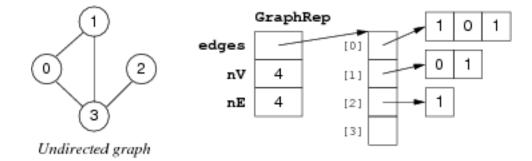
• insert edge: O(1) (set two cells in matrix)

• delete edge: O(1) (unset two cells in matrix)

### ... Adjacency Matrix Representation

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A storage optimisation: store only top-right part of matrix.



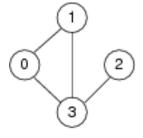
New storage cost: V-1 int ptrs + V(V+1)/2 ints (but still  $O(V^2)$ )

Requires us to always use edges (v, w) such that v < w.

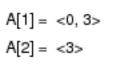
# **Adjacency List Representation**

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For each vertex, store linked list of adjacent vertices:

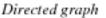


Undirected graph



A[3] = <0, 1, 2>

A[0] = <1, 3>



2

0

### ... Adjacency List Representation

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## Advantages

- relatively easy to implement in languages like C
- can represent graphs and digraphs

• memory efficient if *E:V* relatively small

Disadvantages:

 one graph has many possible representations (unless lists are ordered by same criterion e.g. ascending)

```
... Adjacency List Representation
```

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Graph initialisation

```
newGraph(V):
   Input
         number of nodes V
   Output new empty graph
   g.nV = V // #vertices (numbered 0..V-1)
   g.nE = 0 // #edges
   allocate memory for g.edges[]
   for all i=0..V-1 do
      g.edges[i]=NULL // empty list
   end for
   return q
```

## ... Adjacency List Representation

Edge insertion:

```
insertEdge(g,(v,w)):
   Input graph g, edge (v,w)
   insertLL(g.edges[v],w)
   insertLL(g.edges[w],v)
   g.nE=g.nE+1
```

### ... Adjacency List Representation

Edge removal:

```
removeEdge(g,(v,w)):
   Input graph g, edge (v,w)
   deleteLL(g.edges[v],w)
   deleteLL(g.edges[w],v)
   g.nE=g.nE-1
```

### Exercise #6:

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Analyse storage cost and time complexity of adjacency list representation

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Storage cost: O(V+E) (V list pointers, total of  $2 \cdot E$  list elements)

### Cost of operations:

- initialisation: O(V) (initialise V lists)
- insert edge: O(1) (insert one vertex into list)
  - if you don't check for duplicates
- find/delete edge: O(V) (need to find vertex in list)

#### If vertex lists are sorted

- insert requires search of list  $\Rightarrow O(V)$
- delete always requires a search, regardless of list order

# **Comparison of Graph Representations**

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	array of edges	adjacency matrix	adjacency list
space usage	E	$V^2$	V+E
initialise	1	$V^2$	V
insert edge	1	1	1
find/delete edge	E	1	V

### Other operations:

	array of edges	adjacency matrix	adjacency list
disconnected(v)?	E	V	1
isPath(x,y)?	E·log V	$V^2$	V+E
copy graph	E	$V^2$	E
destroy graph	1	V	E

# **Graph Abstract Data Type**

## **Graph ADT**

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#### Data:

• set of edges, set of vertices

#### Operations:

• building: create graph, add edge

- deleting: remove edge, drop whole graph
- scanning: check if graph contains a given edge

#### Things to note:

- set of vertices is fixed when graph initialised
- we treat vertices as ints, but could be arbitrary Items

```
... Graph ADT
```

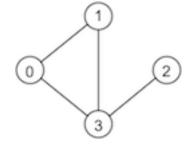
```
Graph ADT interface graph.h
// graph representation is hidden
typedef struct GraphRep *Graph;
// vertices denoted by integers 0..N-1
typedef int Vertex;
// edges are pairs of vertices (end-points)
typedef struct Edge { Vertex v; Vertex w; } Edge;
// operations on graphs
Graph newGraph(int V);
                                        // new graph with V vertices
void insertEdge(Graph, Edge);
void
     removeEdge(Graph, Edge);
      adjacent(Graph, Vertex, Vertex); /* is there an edge
bool
                                           between two vertices */
void
      freeGraph(Graph);
```

### **Exercise #7: Graph ADT Client**

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Write a program that uses the graph ADT to

- build the graph depicted below
- print all the nodes that are incident to vertex 1 in ascending order



```
#include <stdio.h>
#include "Graph.h"

#define NODES 4
#define NODE_OF_INTEREST 1

int main(void) {
```

```
Graph g = newGraph(NODES);

Edge e;
e.v = 0; e.w = 1; insertEdge(g,e);
e.v = 0; e.w = 3; insertEdge(g,e);
e.v = 1; e.w = 3; insertEdge(g,e);
e.v = 3; e.w = 2; insertEdge(g,e);

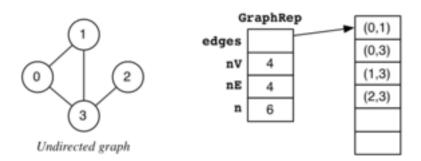
int v;
for (v = 0; v < NODES; v++) {
   if (adjacent(g, v, NODE_OF_INTEREST))
      printf("%d\n", v);
}

freeGraph(g);
return 0;</pre>
```

# **Graph ADT (Array of Edges)**

Implementation of GraphRep (array-of-edges representation)

```
typedef struct GraphRep {
   Edge *edges; // array of edges
   int nV; // #vertices (numbered 0..nV-1)
   int nE; // #edges
   int n; // size of edge array
} GraphRep;
```



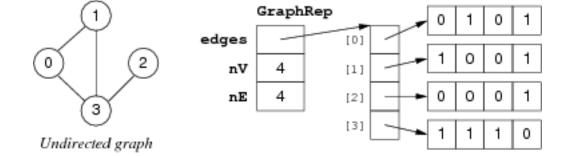
# **Graph ADT (Adjacency Matrix)**

Implementation of GraphRep (adjacency-matrix representation)

```
typedef struct GraphRep {
  int **edges; // adjacency matrix
  int nV; // #vertices
  int nE; // #edges
} GraphRep;
```

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### ... Graph ADT (Adjacency Matrix)

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Implementation of graph initialisation (adjacency-matrix representation)

standard library function calloc(size\_t nelems, size\_t nbytes)

- allocates a memory block of size nelems\*nbytes
- and sets all bytes in that block to zero

### ... Graph ADT (Adjacency Matrix)

55/83

Implementation of edge insertion/removal (adjacency-matrix representation)

```
// check if vertex is valid in a graph
bool validV(Graph g, Vertex v) {
   return (g != NULL && v >= 0 && v < g->nV);
}

void insertEdge(Graph g, Edge e) {
   assert(g != NULL && validV(g,e.v) && validV(g,e.w));

if (!g->edges[e.v][e.w]) { // edge e not in graph
   g->edges[e.v][e.w] = 1;
   g->nE++;
   }
}

void removeEdge(Graph g, Edge e) {
   assert(g != NULL && validV(g,e.v) && validV(g,e.w));
```

### **Exercise #8: Checking Neighbours (i)**

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Assuming an adjacency-matrix representation ...

Implement a function to check whether two vertices are directly connected by an edge

```
bool adjacent(Graph g, Vertex x, Vertex y) { ... }
```

```
bool adjacent(Graph g, Vertex x, Vertex y) {
   assert(g != NULL && validV(g,x) && validV(g,y));

return (g->edges[x][y] != 0);
}
```

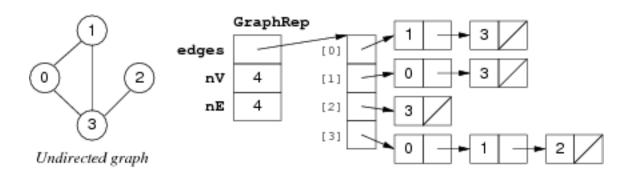
## **Graph ADT (Adjacency List)**

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Implementation of GraphRep (adjacency-list representation)

```
typedef struct GraphRep {
   Node **edges; // array of lists
   int nV; // #vertices
   int nE; // #edges
} GraphRep;

typedef struct Node {
   Vertex v;
   struct Node *next;
} Node;
```



### **Exercise #9: Checking Neighbours (ii)**

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Assuming an adjacency list representation ...

Implement a function to check whether two vertices are directly connected by an edge

```
bool adjacent(Graph g, Vertex x, Vertex y) { ... }
```

```
bool adjacent(Graph g, Vertex x, Vertex y) {
   assert(g != NULL && validV(g,x));

   return inLL(g->edges[x], y);
}
```

inLL() checks if linked list contains an element

## **Graph Traversal**

## Finding a Path

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Questions on paths:

- is there a path between two given vertices (src,dest)?
- what is the sequence of vertices from *src* to *dest*?

Approach to solving problem:

- examine vertices adjacent to src
- if any of them is *dest*, then done
- otherwise try vertices two edges from *src*
- repeat looking further and further from src

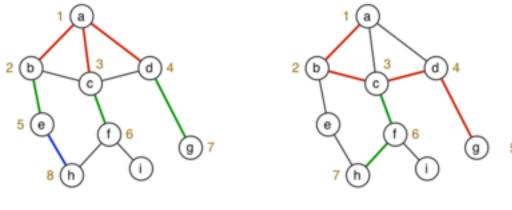
Two strategies for graph traversal/search: depth-first, breadth-first

- DFS follows one path to completion before considering others
- BFS "fans-out" from the starting vertex ("spreading" subgraph)

## ... Finding a Path

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Comparison of BFS/DFS search for checking if there is a path from a to h ...



Breadth-first Search

Depth-first Search

Both approaches ignore some edges by remembering previously visited vertices.

```
Depth-first Search
```

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Depth-first search can be described recursively as

```
depthFirst(G,v):
```

- 1. mark v as visited
- 2. for each (v,w)∈edges(G) do if w has not been visited then depthFirst(w)

The recursion induces backtracking

```
... Depth-first Search
```

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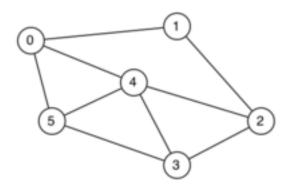
Recursive DFS path checking

```
hasPath(G, src, dest):
   Input
          graph G, vertices src, dest
   Output true if there is a path from src to dest in G,
          false otherwise
   return dfsPathCheck(G,src,dest)
dfsPathCheck(G,v,dest):
  mark v as visited
   if v=dest then
                         // found dest
      return true
   else
      for all (v,w)∈edges(G) do
         if w has not been visited then
            return dfsPathCheck(G,w,dest) // found path via w to dest
         end if
      end for
   end if
                         // no path from v to dest
   return false
```

### Exercise #10: Depth-first Traversal (i)

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Trace the execution of dfsPathCheck(G, 0, 5) on:



Consider neighbours in ascending order

Answer:

0 - 1 - 2 - 3 - 4 - 5

## ... Depth-first Search

Cost analysis:

- each vertex visited at most once  $\Rightarrow$  cost = O(V)
- visit all edges incident on visited vertices  $\Rightarrow$  cost = O(E)
  - assuming an adjacency list representation

Time complexity of DFS: O(V+E) (adjacency list representation)

### ... Depth-first Search

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Note how different graph data structures affect cost:

- array-of-edges representation
  - visit all edges incident on visited vertices  $\Rightarrow$  cost =  $O(E^2)$
  - cost of DFS:  $O(V+E^2)$
- adjacency-matrix representation
  - visit all edges incident on visited vertices  $\Rightarrow$  cost =  $O(V^2)$
  - $\circ$  cost of DFS:  $O(V^2)$

For dense graphs ...  $E \cong V^2 \Rightarrow O(V+E) = O(V^2)$ For sparse graphs ...  $E \cong V \Rightarrow O(V+E) = O(E)$ 

## ... Depth-first Search

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Knowing whether a path exists can be useful

Knowing what the path is even more useful

⇒ record the previously visited node as we search through the graph (so that we can then trace path through graph)

Make use of global variable:

• visited[] ... array to store previously visited node, for each node being visited

### ... Depth-first Search

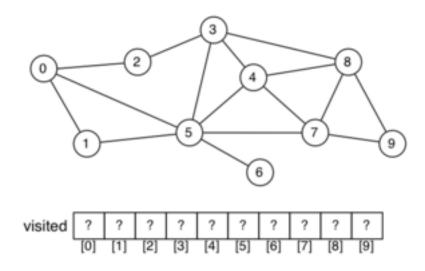
```
findPath(G,src,dest):
   Input graph G, vertices src, dest
   for all vertices v∈G do
      visited[v]=-1
   end for
   visited[src]=src
                                     // starting node of the path
   if dfsPathCheck(G,src,dest) then // show path in dest..src order
      v=dest
      while v≠src do
         print v"-"
         v=visited[v]
      end while
      print src
   end if
dfsPathCheck(G, v, dest):
   if v=dest then
                                 // found edge from v to dest
      return true
   else
      for all (v,w)∈edges(G) do
         if visited[w]=-1 then
            visited[w]=v
            if dfsPathCheck(G,w,dest) then
                                // found path via w to dest
               return true
            end if
         end if
      end for
   end if
   return false
                                 // no path from v to dest
```

visited[] // store previously visited node, for each vertex 0..nV-1

### Exercise #11: Depth-first Traversal (ii)

72/83

Show the DFS order in which we visit vertices in this graph when searching for a path from 0 to 6:



Consider neighbours in ascending order

0	0	3	5	3	1	5	4	7	8
[0]	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]	[9]

Path: 6-5-1-0

### ... Depth-first Search

74/83

DFS can also be described non-recursively (via a *stack*):

```
hasPath(G, src, dest):
         graph G, vertices src, dest
   Input
   Output true if there is a path from src to dest in G,
          false otherwise
   push src onto new stack s
   found=false
   while not found and s is not empty do
      pop v from s
      mark v as visited
      if v=dest then
         found=true
      else
         for each (v,w)∈edges(G) such that w has not been visited
            push w onto s
         end for
      end if
   end while
   return found
```

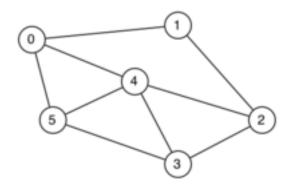
Uses standard stack operations (push, pop, check if empty)

Time complexity is the same: O(V+E) (each vertex added to stack once, each element in vertex's adjacency list visited once)

### Exercise #12: Depth-first Traversal (iii)

75/83

Show how the stack evolves when executing findPathDFS(g,0,5) on:



Push neighbours in *descending* order ... so they get popped in ascending order

```
4
                                                                         5
                                                             5
                                                                         5
                                                  3
                                                                                    5
                            1
                                       2
                                                  4
                                                             4
                                                                         4
                                                                                    4
                                       4
                           4
                                                  4
                                                             4
                                                                         4
                                                                                    4
                                       5
                                                  5
                                                             5
                0
                           5
                                                                         5
                                                                                    5
(empty)
```

## **Breadth-first Search**

77/83

Basic approach to breadth-first search (BFS):

- visit and mark current vertex
- visit all neighbours of current vertex
- then consider neighbours of neighbours

#### Notes:

- tricky to describe recursively
- a minor variation on non-recursive DFS search works
  - $\Rightarrow$  switch the *stack* for a *queue*

### ... Breadth-first Search

78/83

```
BFS algorithm (records visiting order, marks vertices as visited when put on queue):
visited[] // array of visiting orders, indexed by vertex 0..nV-1
findPathBFS(G,src,dest):
   Input
          graph G, vertices src, dest
   for all vertices v∈G do
      visited[v]=-1
   end for
   enqueue src into new queue q
   visited[src]=src
   found=false
   while not found and q is not empty do
      dequeue v from q
      if v=dest then
         found=true
      else
          for each (v,w)∈edges(G) such that visited[w]=-1 do
             enqueue w into q
             visited[w]=v
         end for
      end if
   end while
   if found then
```

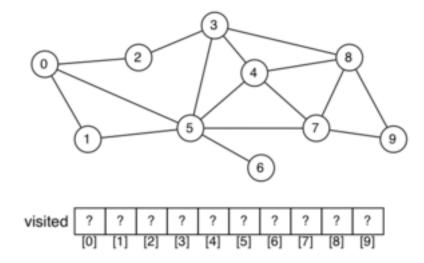
display path in dest..src order
end if

Uses standard queue operations (enqueue, dequeue, check if empty)

### **Exercise #13: Breadth-first Traversal**

79/83

Show the BFS order in which we visit vertices in this graph when searching for a path from 0 to 6:



Consider neighbours in ascending order

0	0	0	2	5	0	5	4	3	-1
[0]	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]	[9]

Path: 6-5-0

### ... Breadth-first Search

81/83

Time complexity of BFS: O(V+E) (adjacency list representation, same as DFS)

BFS finds a "shortest" path

- based on minimum # edges between *src* and *dest*.
- stops with first-found path, if there are multiple ones

In many applications, edges are weighted and we want path

• based on minimum sum-of-weights along path src .. dest

We discuss weighted/directed graphs later.

# **Tips for Week 6 Problem Set**

82/83

Main theme: Graphs

- Test your understanding of basic graph properties
- Exercise 2: Write a graph ADT client
- Compare the efficiency of different graph representations
- Exercise 5: Check your understanding of BFS and DFS
- Challenge exercise: find a solution, need not be efficient

# **Summary**

83/83

- Graph terminology
  - vertices, edges, vertex degree, connected graph, tree
  - o path, cycle, clique, spanning tree, spanning forest
- Graph representations
  - array of edges
  - adjacency matrix
  - adjacency lists
- Graph traversal
  - depth-first search (DFS)
  - breadth-first search (BFS)
- Suggested reading (Sedgewick):
  - graph representations ... Ch.17.1-17.5
  - o graph search ... Ch.18.1-18.3,18.7

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