

# Measuring inconsistency with constraints for propositional knowledge bases

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## ABSTRACT

Measuring inconsistency has been considered as a necessary starting point to understand the nature of inconsistency in a knowledge base better. For practical applications, however, we often have to face some constraints on resolving inconsistency. In this paper, we propose a graph-based approach to measuring the inconsistency for a propositional knowledge base with one or both of two typical types of constraints on modifying formulas. Here the first type of constraint, called the hard constraint, describes a pair of sets of formulas such that all the formulas in the first set should be protected from being modified on the condition that all the formulas in the second set must be modified in order to restore the consistency of that base, while the second type, called the soft constraint, describes a set of pairs of formulas that are not allowed to be modified together. At first, we use a bipartite graph to represent the relation between formulas and minimal inconsistent subsets of a knowledge base. Then we show that such a graph-based representation allows us to characterize the inconsistency with constraints in a concise way. Based on this characterization, we thus propose measures for the degree of inconsistency and for the responsibility of each formula for the inconsistency of a knowledge base with constraints, respectively. Finally, we show that these measures can be well explained based on Halpern and Pearl's causal model and Chockler and Halpern's notion of responsibility.

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## 1. Introduction

Inconsistency is one of the important issues in knowledge and information systems. Techniques for inconsistency handling have been given much attention in the community of artificial intelligence and its application domains. Recently, measuring inconsistency has been considered as a useful way of better understanding the nature of inconsistency, and then provides a promising starting point to promote the process of inconsistency handling in knowledge and information systems in many applications such as requirements engineering [22,23], network security and intrusion detection [18,19], and medical experts systems [29]. A growing number of inconsistency measures have been proposed so far. Hunter et al. classified these inconsistency measures into two categories, i.e., base-level measures and formula-level ones [8]. Roughly speaking, the base-level measures focus on describing how inconsistent a knowledge base is, while the formula-level ones aim to grasp the responsibility (or contribution) of each formula of a knowledge base for the inconsistency in that base.

In particular, minimal inconsistent subsets of a knowledge base are attractive to measuring inconsistency in applications of syntax-based inconsistency handling [7]. Here a minimal inconsistent subset (MIS for short) refers to an inconsistent

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subset without an inconsistent proper subset. Please note that minimal inconsistent subsets of a knowledge base may be considered as a natural characterization of inconsistency in that base, since we only need to remove one formula from each minimal inconsistent subset in order to restore the consistency of that base [30]. In this sense, inconsistency measures built upon minimal inconsistent subsets may help us link measuring inconsistency with resolving inconsistency in a natural way. Along this line, minimal inconsistent subsets have been used to develop base-level inconsistency measures [7,8,24,26,9] as well as formula-level measures [7,8,21,9].

Theoretically, removing any formula of a minimal inconsistent subset can break the minimal inconsistent subset. Then removing a minimal part that contains at least one formula for each minimal inconsistent subset may be considered as a potential proposal for resolving the inconsistency. However, not all of such proposals for resolving inconsistency are interesting to a given practical application. For example, in requirements engineering, changing different sets of software requirements may involve different stakeholders with their own demands and benefit expectations, then a final proposal is often a trade-off between different stakeholders [22]. Generally, domain experts and users often have a good sense of which proposals are more appropriate for resolving inconsistency in that application. They may also have a sense of “conditions” for acceptable proposals for the application domain, which would rule out the proposals that they know would not be of interest. Thus, a good heuristic is to specify such intuition or expectations on resolving inconsistency as *constraints* to facilitate inconsistency handling in practical applications. For example, the integrity constraints in merging an inconsistent multiset of information from different sources are used to characterize the behavior that any expected merging operator has to obey [15]. In requirements engineering, essential requirements are not allowed to be involved in any feasible proposal for resolving contradictions between requirements in general case [27].

Besides that such constraints can help us to select proposals we want, they may be pushed deep into the process of inconsistency handling to improve the effectiveness of related activities involved in resolving inconsistency. In particular, incorporating such constraints in measuring inconsistency can help us establish more practical relations between measuring inconsistency and resolving inconsistency.

In this paper, we focus on two typical kinds of constraints on modifying formulas of a knowledge base. A constraint of the first type is a pair of sets of formulas such that all the formulas in the first set should be protected from being modified, on the condition that all the formulas in the second must be changed in resolving inconsistency. We call such a constraint a *hard constraint*. Generally, a hard constraint represents some partial compromise on resolving inconsistency in practical applications. In contrast, a constraint of the second type is given as a set of pairs of formulas that are not allowed to be modified together in resolving inconsistency. We call such a constraint a *soft constraint*.

As mentioned above, minimal inconsistent subsets can be considered as a promising starting point to connect inconsistency measures and syntax-based inconsistency handling. However, selecting formulas that have to be modified to break minimal inconsistent subsets from their own respective perspectives does not necessarily lead to an effective proposal for resolving inconsistency. Intuitively, overlaps between minimal inconsistent subsets are often of interest to breaking all the minimal inconsistent subsets by removing as few formulas as possible. Then such two overlapping minimal inconsistent subsets should be associated with each other when we want to break them. Along this line, given a minimal inconsistent subset, any two minimal inconsistent subsets that have their own respective overlaps with the minimal inconsistent subset will be also associated with each other even if the two subsets have no overlap. More generally, two minimal inconsistent subsets  $M$  and  $M'$  are associated with each other if there is a chain of minimal inconsistent subsets  $M_1, M_2, \dots, M_n$  with  $M_1 = M$  and  $M_n = M'$  such that  $M_{i+1}$  and  $M_i$  overlap each other for all  $i = 1, 2, \dots, n-1$ . Evidently, these associations bring a partition of the set of minimal inconsistent subsets such that only minimal inconsistent subsets in the same part (called a cluster in this paper) are associated with one another (but not necessarily overlap), moreover, they should be broken as a whole instead of from their own respective perspectives. Then we need to know how the minimal inconsistent subsets in a cluster are associated with each other in order to break them together. That is, we need to capture both the interconnection relation between minimal inconsistent subsets and formulas that play important roles in the interconnection so as to help us understand the role of each formula in causing the inconsistency from a perspective of causality. To address this, we construct a bipartite graph for a knowledge base, which represents both the inner structure of each minimal inconsistent subset and the interconnection between minimal inconsistent subsets due to their overlaps. Then we show that such a graph-based representation allows us to incorporate the two types of constraints in characterizing inconsistency in a concise way. Based on this incorporation, we propose approaches to measuring inconsistency with one or both of the two types of constraints, respectively. In particular, both our base-level and formula-level measures can be reduced to their respective corresponding measures presented in [21] when there is no constraint. Some intuitive logical properties and complexity issues for these inconsistency measures are also discussed, respectively.

On the other hand, it is often expected that an inconsistency measure under development will be interpretable. That is, inconsistency measures may need to be tied in with some specific interpretations that can help us gain an intuitive insight into the inconsistency. Generally, causality plays an important role in analyzing and resolving inconsistency in practical applications. Formulas identified as causes of the inconsistency of a knowledge base are of interest when we take some actions for restoring the consistency of that base. Thus causality-based explanations for inconsistency measures can help us establish a significant linkage between inconsistency measuring and inconsistency resolving. However, causality is a subtle topic in itself. The *counterfactual dependence* is considered as a common ground of many attempts presented to define causality from Hume to the present [2]. Informally speaking, A counterfactually depends on B if it is the case that if B had not happened, then A would not have happened. Recently, Halpern and Pearl's structural causal model [5], one of

the influential causal models in the computer science community, uses the notion of *counterfactual dependence under some contingency* instead of just *counterfactual dependence*, i.e., this model considers that A is a cause of B if B counterfactually depends on A under some contingency. Furthermore, Chockler and Halpern defined the degree of responsibility of A for B based on the counterfactual dependence of B on A under some contingency [2]. Essentially, the introduction of contingency makes these models more powerful to capture the subtlety of causality. Interestingly, we show that these measures for inconsistency with constraints can be well explained in the framework of Halpern and Pearl's causal model and Chockler and Halpern's notion of responsibility.

The rest of this paper is organized as follows. In Section 2, we introduce some necessary notions about the inconsistency in knowledge bases, the notion of bipartite graph, Halpern and Pearl's causal model and Chockler and Halpern's notion of responsibility, respectively. In Section 3, we construct a bipartite graph, termed the MIS-graph, for a knowledge base to represent the relation between formulas and minimal inconsistent subsets, and show that this graph fully grasps the nature of inconsistency of a knowledge base. In Section 4, we incorporate the hard and soft constraints in the MIS-graph for a knowledge base, respectively. Then we propose a family of inconsistency measures with constraints based on the incorporation in Section 5. In Section 6, we provide causality-based explanations for these inconsistency measures. In Section 7, we discuss logical properties and complexity issues for these inconsistency measures. We compare our approach with some closely related work in Section 8. In Section 9, we present an example to illustrate the application of the measures in the domain of requirements engineering. Finally, we conclude this paper in Section 10.

## 2. Preliminaries

We provide some basic notions about the inconsistency in knowledge bases firstly. Then we introduce some necessary notions about the bipartite graph. Finally, we give introductions to Halpern and Pearl's causal model [5] and Chockler and Halpern's notion of responsibility [2], respectively.

### 2.1. Knowledge bases and inconsistency

We use a finite propositional language in this paper. Let  $\mathcal{P}$  be a finite set of propositional symbols (atoms or variables) and  $\mathcal{L}$  a propositional language built from  $\mathcal{P}$  under connectives  $\{\neg, \wedge, \vee, \rightarrow\}$ . We use  $a, b, c, \dots$  to denote the propositional atoms, and  $\alpha, \beta, \gamma, \dots$  to denote the propositional formulas.

A *knowledge base*  $K$  is a finite set of propositional formulas. Just for simplicity, we assume that each knowledge base is non-empty. For example, both  $\{a\}$  and  $\{a, \neg a \vee b, c\}$  are knowledge bases.

$K$  is *inconsistent* if there is a formula  $\alpha$  such that  $K \vdash \alpha$  and  $K \vdash \neg \alpha$ , where  $\vdash$  is the classical consequence relation. We abbreviate  $\alpha \wedge \neg \alpha$  as  $\perp$  when there is no confusion. Then we use  $K \vdash \perp$  (resp.  $K \not\vdash \perp$ ) to denote that a knowledge base  $K$  is inconsistent (resp. consistent).

An inconsistent subset  $K'$  of  $K$  is called a *minimal inconsistent subset* (or *minimal unsatisfiable subset*) of  $K$  if no proper subset of  $K'$  is inconsistent. We use  $\text{MI}(K)$  to denote the set of all the minimal inconsistent subsets of  $K$ , i.e.,

$$\text{MI}(K) = \{K' \subseteq K \mid K' \vdash \perp \text{ and } \forall K'' \subset K', K'' \not\vdash \perp\}.$$

In syntax-based application domains,  $\text{MI}(K)$  could be considered as a characterization of the inconsistency in  $K$  in the sense that one needs to remove only one formula from each minimal inconsistent subset to resolve the inconsistency [30].

Let  $\text{Sub}(K)$  be a set of some subsets of  $K$ , we abbreviate  $\bigcup_{K' \in \text{Sub}(K)} K'$  as  $\bigcup \text{Sub}(K)$ . For example,  $\bigcup \text{MI}(K)$  is the abbreviation of  $\bigcup_{M \in \text{MI}(K)} M$ . It denotes the set of formulas involved in minimal inconsistent subsets.

A formula in  $K$  is called a *free formula* if this formula does not belong to any minimal inconsistent subset of  $K$  [6]. That is, free formulas have nothing to do with any minimal inconsistent subset of  $K$ . We use  $\text{FREE}(K)$  to denote the set of free formulas of  $K$ , i.e.,

$$\text{FREE}(K) = \{\alpha \in K \mid \forall M \in \text{MI}(K), \alpha \notin M\}.$$

Evidently,  $K = (\bigcup \text{MI}(K)) \cup \text{FREE}(K)$ .

Given a knowledge base, each of its minimal inconsistent subsets describes a minimal set of formulas needed to cause the inconsistency in that base. On the other hand, we are also interested in identifying a minimal set of formulas that need to be changed to restore the consistency of that base. Such a minimal set is referred to as a *minimal correction subset*.

Given an inconsistent knowledge base  $K$ , a subset  $R$  of  $K$  is called a *correction subset* of  $K$  if  $K \setminus R \not\vdash \perp$ . A correction subset  $R$  of  $K$  is called a *minimal correction subset* of  $K$  if for any  $R' \subset R$ ,  $K \setminus R' \vdash \perp$ . Please note that  $R$  is a minimal correction subset of  $K$  if and only if  $K \setminus R$  is a maximal consistent subset (a consistent subset without a consistent proper superset) of  $K$ . We use  $\text{MC}(K)$  to denote the set of all the minimal correction subsets of  $K$  i.e.,

$$\text{MC}(K) = \{R \subseteq K \mid K \setminus R \not\vdash \perp \text{ and } \forall R' \subset R, K \setminus R' \vdash \perp\}.$$

The following relation between minimal inconsistent subsets and minimal correction subsets has been addressed in computing minimal inconsistent subsets [17]:

$$\bigcup \text{MI}(K) = \bigcup \text{MC}(K).$$

It implies that formulas involved in the minimal inconsistent subsets are exactly ones involved in the minimal correction subsets.

Now we use the following example to illustrate these notions.

**Example 2.1.** Consider  $K = \{a, \neg a, \neg a \vee b, \neg b, c, d, \neg d\}$ . Then

$$\text{MI}(K) = \{M_1, M_2, M_3\}, \quad \text{FREE}(K) = \{c\},$$

$$\text{MC}(K) = \{R_1, R_2, R_3, R_4, R_5, R_6\},$$

where

$$\begin{aligned} M_1 &= \{a, \neg a\}, & M_2 &= \{a, \neg a \vee b, \neg b\}, & M_3 &= \{d, \neg d\}, \\ R_1 &= \{a, d\}, & R_2 &= \{\neg a, \neg a \vee b, d\}, & R_3 &= \{\neg a, \neg b, d\}, \\ R_4 &= \{a, \neg d\}, & R_5 &= \{\neg a, \neg a \vee b, \neg d\}, & R_6 &= \{\neg a, \neg b, \neg d\}. \end{aligned}$$

## 2.2. The bipartite graph and Its $Y$ -dominating sets

Here we introduce some necessary notions about the bipartite graph. A *graph* is an ordered pair  $G = (V, E)$  comprising a nonempty finite set  $V$  together with a set  $E$  of 2-element subsets of  $V$ .<sup>1</sup> The elements of  $V$  are called *vertices* and the elements of  $E$  are called *edges*. If  $e = \{u, v\} \in E$ , we say that the edge  $e$  *joins*  $u$  and  $v$  and that  $u$  and  $v$  are *adjacent*. Also we say that  $e$  is *incident* with  $u$ . Just for simplicity of discussion, we abuse the notation and use  $(u, v)$  instead of  $\{u, v\}$  to denote an edge joining  $u$  and  $v$  from now on. The number of edges incident with a vertex  $u$  is called *the degree of  $u$*  and is denoted as  $\deg_G(u)$ . In particular,  $u$  is called *an isolated vertex* if  $\deg_G(u) = 0$ .

Let  $G = (V, E)$  be a graph and  $u, v \in V$  two (not necessarily distinct) vertices. A *path* from  $u$  to  $v$  is an alternating sequence

$$v_1, e_1, v_2, e_2, v_3, \dots, v_n, e_n, v_{n+1}$$

of vertices and edges, where  $v_1 = u$ ,  $v_{n+1} = v$ , and  $e_i = (v_i, v_{i+1})$  for  $i = 1, 2, \dots, n$ . Moreover, the number of edges listed in this sequence is called *the length* of this path. Further, if the path has no repeated vertices, then it is called a *simple path*. The smallest length of simple paths from  $u$  to  $v$  is called *the distance* from  $u$  to  $v$  and is denoted as  $\text{Dist}_G(u, v)$ .

A graph is called *connected* if there is a path between every pair of vertices. Given a graph  $G = (V, E)$ , a graph  $G' = (V', E')$  is called a *subgraph* of  $G$  if  $V' \subseteq V$  and  $E' \subseteq E$ . A *connected component* (or just *component*) of  $G$  is a maximal connected subgraph of  $G$ .

A graph  $G = (V, E)$  is *isomorphic* to a graph  $G' = (V', E')$  if there is a one-to-one mapping  $f$  from  $V$  to  $V'$  such that the vertices  $u$  and  $v$  are adjacent in  $G$  if and only if the vertices  $f(u)$  and  $f(v)$  are adjacent in  $G'$ .

Let  $G$  be a graph and  $V(G)$  and  $E(G)$  the set of vertices and the set of edges of  $G$ , respectively. A subset  $V'$  of  $V(G)$  is called *an independent set* of  $G$  if  $\forall u, v \in V', (u, v) \notin E(G)$  holds. Furthermore, we call  $V'$  a *maximal independent set* of  $G$  if no proper superset of  $V'$  is an independent set.

Let  $G$  be a graph and  $V' \subseteq V(G)$ , then  $G - V'$  is defined as the graph  $G'$  such that  $V(G') = V(G) \setminus V'$  and  $E(G') = E(G) \setminus E'$ , where  $E' = \{(u, v) \in E(G) | u \in V' \text{ or } v \in V'\}$ .

A *bipartite graph* is a graph whose vertices can be divided into two disjoint nonempty sets  $X$  and  $Y$  such that every edge in  $E$  joins a vertex in  $X$  to one in  $Y$ . In this paper, we use  $G = (X, Y, E)$  to denote a bipartite graph. Given a bipartite graph  $G$ , we use  $X(G)$ ,  $Y(G)$ , and  $E(G)$  to denote the first set of vertices, the second set of vertices, and the set of edges of  $G$ , respectively.

Let  $G_1 = (X_1, Y_1, E_1)$  and  $G_2 = (X_2, Y_2, E_2)$  be two components of  $G = (X, Y, E)$  such that  $X_i \subseteq X$  and  $Y_i \subseteq Y$  for  $i = 1, 2$ , then we use  $G_1 \cup G_2$  to denote the bipartite graph  $(X_1 \cup X_2, Y_1 \cup Y_2, E_1 \cup E_2)$ . Obviously,  $G_1 \cup G_2$  is also a subgraph of  $G$ .

Given a bipartite graph  $G = (X, Y, E)$  and two vertices  $v_1, v_2 \in Y$ , we say that  $v_1$  and  $v_2$  are  *$Y$ -adjacent* if  $\exists u \in X$  such that  $(v_1, u) \in E$  and  $(v_2, u) \in E$ , otherwise  $v_1$  and  $v_2$  are  *$Y$ -independent* [16].

For a bipartite graph  $G = (X, Y, E)$  without isolated vertices in  $Y$ , a subset  $D$  of  $X$  is a  *$Y$ -dominating set* for  $G$  if  $\forall v \in Y$ ,  $\exists u \in D$  such that  $(u, v) \in E$  [16]. Further, a  $Y$ -dominating set  $D$  is called a *minimal  $Y$ -dominating set* for  $G$  if no proper subsets of  $D$  is a  $Y$ -dominating set. The  *$Y$ -domination number* of  $G$ , denoted  $\gamma_Y(G)$ , is defined as the cardinality of the smallest minimal  $Y$ -dominating sets of  $G$  [16]. In addition, we use  $L_Y(G)$  to denote the largest cardinality of minimal

<sup>1</sup> Just for convenience of discussion, we call  $G = (\emptyset, \emptyset)$  an empty graph, and abbreviate it as  $G = \emptyset$ .

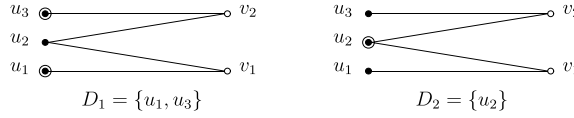


Fig. 1. The minimal  $Y$ -dominating sets of  $G_1$ .

$Y$ -dominating sets of  $G$ . In particular,  $\emptyset$  is considered as the minimal  $Y$ -dominating set of an empty set, and then both  $\gamma_Y(G)$  and  $L_Y(G)$  are defined as 0 if  $G$  is an empty graph.

We use the following example to illustrate the notion of minimal  $Y$ -dominating set.

**Example 2.2.** Consider a bipartite graph  $G_1 = (X, Y, E)$  illustrated by Fig. 1, where

$$X = \{u_1, u_2, u_3\}, \quad Y = \{v_1, v_2\},$$

and

$$E = \{(u_1, v_1), (u_2, v_1), (u_2, v_2), (u_3, v_2)\}.$$

From now on, we use circles and solid circles to denote vertices in  $Y$  and in  $X$ , respectively. In addition, we use a solid circle nested in a circle to denote a vertex in  $X$  involved in a minimal  $Y$ -dominating set.

Evidently, both  $D_1 = \{u_3, u_1\}$  and  $D_2 = \{u_2\}$  are minimal  $Y$ -dominating sets. So,

$$\gamma_Y(G_1) = 1, \text{ and } L_Y(G_1) = 2.$$

We propose a variant of the minimal  $Y$ -dominating set of  $G$  as follows:

**Definition 2.1.** Let  $G = (X, Y, E)$  be a bipartite graph and  $U$  be a subset of  $X$ . Let  $D$  be a minimal  $Y$ -dominating set of  $G$ . We call  $D$  a minimal  $Y|_U$ -dominating set of  $G$  if  $U \subseteq D$ .

We call a subset  $U$  of  $X$  a *quasi- $Y$ -dominating set* if there exists at least one minimal  $Y|_U$ -dominating set of  $G$ . Essentially, quasi- $Y$ -dominating sets are exactly subsets that can be extended to minimal  $Y$ -dominating sets.

**Definition 2.2.** Let  $G = (X, Y, E)$  be a bipartite graph and  $U$  a quasi- $Y$ -dominating set. Then the  $Y|_U$ -domination number of  $G$ , denoted  $\gamma_{Y|_U}(G)$ , is defined as the cardinality of a smallest minimal  $Y|_U$ -dominating set of  $G$ .

Obviously,  $\gamma_{Y|\emptyset}(G) = \gamma_Y(G)$ . Just for simplicity of discussion, the  $Y|_U$ -domination number  $\gamma_{Y|_U}(G)$  of  $G$  is defined as 0 if  $U$  is not a quasi- $Y$ -dominating set.

**Example 2.3.** Consider  $G_1$  again. Then

$$\gamma_{Y|_{\{u_1\}}}(G_1) = \gamma_{Y|_{\{u_3\}}}(G_1) = 2, \quad \gamma_{Y|_{\{u_2\}}}(G_1) = \gamma_Y(G_1) = 1.$$

### 2.3. Causality and responsibility

Here we give introductions to Halpern and Pearl's causal model [5] and Chockler and Halpern's notion of responsibility [2], respectively. This subsection is essentially identical to the corresponding introduction in [21]. Both are largely based on notions, definitions and explanations taken from Section 2 and Section 3 in [2].

The variables involved in a causal model introduced by Halpern and Pearl can be classified into two kinds, the exogenous variables, whose values are determined by factors outside the model, and the endogenous variables, whose values are ultimately determined by the exogenous variables [5].

A signature is a tuple  $S = \langle \mathcal{U}, \mathcal{V}, \mathcal{R} \rangle$ , where  $\mathcal{U}$  is a finite set of exogenous variables,  $\mathcal{V}$  is a finite set of endogenous variables, and  $\mathcal{R}$  associates with every variable  $Y \in \mathcal{U} \cup \mathcal{V}$  a finite nonempty set  $\mathcal{R}(Y)$  of possible values for  $Y$  [2,5].

A causal model over signature  $S$  is a tuple  $M = \langle S, \mathcal{F} \rangle$ , where  $\mathcal{F}$  associates with every endogenous variable  $X \in \mathcal{V}$  a function  $F_X$  such that  $F_X : ((\times_{U \in \mathcal{U}} \mathcal{R}(U)) \times (\times_{Y \in \mathcal{V} \setminus \{X\}} \mathcal{R}(Y))) \rightarrow \mathcal{R}(X)$  [2,5]. In particular,  $M$  is called a *binary causal model* if  $\mathcal{R}(Y)$  contains only two values for each  $Y \in \mathcal{U} \cup \mathcal{V}$  [2,5].

As explained in [2,5],  $F_X$  describes how the value of the endogenous variable  $X$  is determined by the values of all other variables in  $\mathcal{U} \cup \mathcal{V}$ . Then the equations determined by all functions of endogenous variables describe mechanisms for assigning values to variables in  $M$ . These equations also provide counterfactual information given a setting for exogenous variables. Here we use the following example taken from [2,5] to illustrate these explanations. Suppose that  $F_X(Y, Z, U) = U + Y$  ( $X = U + Y$  for short) and  $U$  is the exogenous variable, then if  $U = 2$  and  $Y = 3$ , then  $X = 5$ . On the other hand, if

the value of  $Y$  were forced to be 4 given  $U = 2$ , then the value of  $X$  would be 6, regardless of what values  $X$ ,  $Y$  and  $Z$  actually take in the real world.

Given a causal model  $M$ , its causal network is a directed graph with vertices corresponding to the endogenous variables and an edge from a vertex labeled  $X$  to one labeled  $Y$  if  $F_Y$  depends on the value of  $X$  [2,5]. If the associated causal network of  $M$  is a directed acyclic graph, then we call  $M$  a *recursive model* [2,5]. It has been stated in [2] that if  $M$  is a recursive causal model, then there is always a unique solution to the equations in  $M$ , given a setting for the variables in  $\mathcal{U}$ . We are more interested in *binary recursive causal models* in this paper.

Let  $\vec{X}$  and  $\vec{x}$  be (possibly empty) vectors of variables in  $\mathcal{V}$  and values for the variables in  $\vec{X}$ , respectively. We use  $\vec{X} \leftarrow \vec{x}$  to denote the case of setting the values of the variables in  $\vec{X}$  to  $\vec{x}$ . In particular, a setting for the variables in  $\mathcal{U}$ , denoted  $\vec{u}$ , is called a *context* [2,5]. Roughly speaking, a context gives some background information [5].

Given  $\vec{X} \leftarrow \vec{x}$ , a new causal model denoted  $M_{\vec{X} \leftarrow \vec{x}}$  over the signature  $\mathcal{S}_{\vec{X} \leftarrow \vec{x}} = \langle \mathcal{U}, \mathcal{V} - \vec{X}, \mathcal{R}|_{\mathcal{V} - \vec{X}} \rangle$ , is defined as  $M_{\vec{X} \leftarrow \vec{x}} = \langle \mathcal{S}_{\vec{X} \leftarrow \vec{x}}, \mathcal{F}^{\vec{X} \leftarrow \vec{x}} \rangle$ , where  $F_Y^{\vec{X} \leftarrow \vec{x}}$  is obtained from  $F_Y$  by setting the values of the variables in  $\vec{X}$  to  $\vec{x}$  [2,5]. For example, suppose that  $F_Y(X, Z, U) = X + Z + U$ , then  $F_Y^{X \leftarrow 3} = 3 + Z + U$ .

Given a signature  $S = \langle \mathcal{U}, \mathcal{V}, \mathcal{R} \rangle$ , a primitive event is a formula of the form  $X = x$ , where  $X \in \mathcal{V}$  and  $x \in \mathcal{R}(X)$  [2,5]. In general, for  $\vec{X} = (X_1, X_2, \dots, X_n)$  and  $\vec{x} = (x_1, x_2, \dots, x_n)$ , we abbreviate  $(X_1 = x_1) \wedge (X_2 = x_2) \wedge \dots \wedge (X_n = x_n)$  as  $\vec{X} = \vec{x}$ .

A basic causal formula defined in [2,5] is in the form of

$$[Y_1 \leftarrow y_1, \dots, Y_k \leftarrow y_k]\varphi,$$

where

- $\varphi$  is a Boolean combination of primitive events;
- $Y_1, \dots, Y_k$  are distinct variables in  $\mathcal{V}$ ; and
- $y_i \in \mathcal{R}(Y_i)$ .

As explained in [2,5],  $[Y_1 \leftarrow y_1, \dots, Y_k \leftarrow y_k]\varphi$  (abbreviated as  $[\vec{Y} \leftarrow \vec{y}]\varphi$ ) means that  $\varphi$  holds in the counterfactual world that would arise if  $Y_i$  is set to  $y_i$ ,  $i = 1, 2, \dots, k$  [2,5].

A causal formula is a Boolean combination of basic causal formulas [2,5]. We use  $(M, \vec{u}) \models \varphi$  to denote that a causal formula  $\varphi$  is true in causal model  $M$  given a context  $\vec{u}$ . Given a recursive model  $M$ ,  $(M, \vec{u}) \models [\vec{Y} \leftarrow \vec{y}](X = x)$  if the value of  $X$  is  $x$  in the unique vector of values for the endogenous variables that simultaneously satisfies all equations  $F_Z^{\vec{Y} \leftarrow \vec{y}}$ ,  $Z \in \mathcal{V} - Y$  under the setting  $\vec{u}$  of  $\mathcal{U}$  [2,5]. As pointed out in [2,5], this definition can be extended to arbitrary causal formulas in the usual way.

**Definition 2.3** (Cause [5]). We say that  $\vec{X} = \vec{x}$  is a cause of  $\varphi$  in  $(M, \vec{u})$  if the following three conditions hold:

- AC1.  $(M, \vec{u}) \models (\vec{X} = \vec{x}) \wedge \varphi$ .
- AC2. There exists a partition  $(\vec{Z}, \vec{W})$  of  $\mathcal{V}$  with  $\vec{X} \subseteq \vec{Z}$  and some setting  $(\vec{x}', \vec{w}')$  of the variables in  $(\vec{X}, \vec{W})$  such that if  $(M, \vec{u}) \models Z = z^*$  for  $Z \in \vec{Z}$ , then
  - (a)  $(M, \vec{u}) \models [\vec{X} \leftarrow \vec{x}', \vec{W} \leftarrow \vec{w}'] \neg \varphi$ . That is, changing  $(\vec{X}, \vec{W})$  from  $(\vec{x}, \vec{w})$  to  $(\vec{x}', \vec{w}')$  changes  $\varphi$  from true to false.
  - (b)  $(M, \vec{u}) \models [\vec{X} \leftarrow \vec{x}, \vec{W} \leftarrow \vec{w}', \vec{Z}' \leftarrow \vec{z}^*] \varphi$  for all subsets  $\vec{Z}'$  of  $\vec{Z} - \vec{X}$ . That is, setting  $\vec{W}$  to  $\vec{w}'$  should have no effect on  $\varphi$  as long as  $\vec{X}$  has the value  $\vec{x}$ , even if all the variables in an arbitrary subset of  $\vec{Z}$  are set to their original values in the context  $\vec{u}$ .
- AC3.  $(\vec{X} = \vec{x})$  is minimal, that is, no subset of  $\vec{X}$  satisfies AC2.

As explained in [2,5], AC1 is used to capture the intuition that  $A$  cannot be a cause of  $B$  unless both  $A$  and  $B$  are true. As the core of the definition of cause, AC2 emphasizes the important role of the contingency, which makes a distinction between the definition and the traditional counterfactual ones. As pointed out in [5], AC3 is a minimality condition ensuring that only the elements of the conjunction  $\vec{X} = \vec{x}$  that are essential for changing  $\varphi$  in AC2(a) are considered part of a cause; inessential elements are pruned [5]. In particular, if there is no variable in  $\vec{W}$ , then we call  $\vec{X} = \vec{x}$  a *counterfactual cause* of  $\varphi$  in  $(M, \vec{u})$  [20].

**Definition 2.4** (Degree of responsibility [2]). The degree of responsibility of  $X = x$  for  $\varphi$  in  $(M, \vec{u})$ , denoted  $dr((M, \vec{u}), (X = x), \varphi)$ , is 0 if  $X = x$  is not a cause of  $\varphi$  in  $(M, \vec{u})$ ; it is  $\frac{1}{k+1}$  if  $X = x$  is a cause of  $\varphi$  in  $(M, \vec{u})$  and there exists a partition  $(\vec{Z}, \vec{W})$  and setting  $\vec{x}', \vec{w}'$  for which AC2 holds such that (a)  $k$  variables in  $\vec{W}$  have different values in  $\vec{w}'$  than they do in the context  $\vec{u}$  and (b) there is no partition  $(\vec{Z}', \vec{W}')$  and setting  $\vec{x}'', \vec{w}''$  satisfying AC2 such that only  $k' < k$  variables have different values in  $\vec{w}''$  than they do in the context  $\vec{u}$ .

As stated in [2], the degree of responsibility of  $X = x$  for  $\varphi$  in  $(M, \vec{u})$  captures the minimal number of changes that have to be made in  $\vec{u}$  in order to make  $\varphi$  counterfactually depend on  $X$ .

We use the following example taken from [2] to illustrate the notion of degree of responsibility.



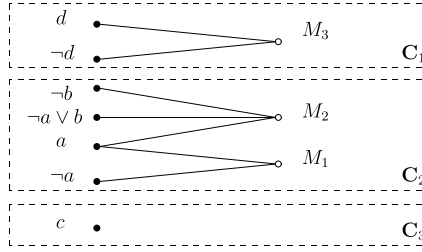


Fig. 2. The general MIS-graph of  $K$ .

**Example 2.4** (Example 3.3 in [2]). Consider a causal model on Mr. B winning an election with 11 voters against Mr. G. Voter  $i$  is represented by a binary variable  $X_i$ ,  $i = 1, 2, \dots, 11$ , which is 1 if voter  $i$  votes for Mr. B and 0 if voter  $i$  votes for Mr. G; the outcome is represented by the variable  $O$ , which is 1 if Mr. B wins and 0 if Mr. G wins. If the vote is 11-0, then each voter is a cause of Mr. B winning (that is,  $X_i = 1$  is a cause of  $O = 1$  for each  $i$ ). The degree of responsibility of  $X_i = 1$  for  $O = 1$  is  $\frac{1}{6}$ , because at least five other voters must change their votes before changing  $X_i$  to 0 results in  $O = 0$ . But if the vote is 6-5, then each voter who votes for Mr. B is a counterfactual cause of Mr. B winning. The degree of responsibility of each of these voters for  $O = 1$  is 1. This means that each voter who votes for Mr. B is crucial.

### 3. The MIS-graph

The process of resolving the inconsistency of a knowledge base is exactly a process of breaking all the minimal inconsistent subsets of that base from a perspective of syntax-based inconsistency handling. As mentioned earlier, the set of minimal inconsistent subsets can be grouped into several separate clusters such that each cluster should be considered as a whole to be broken. Moreover, we need to look inside each cluster to understand the role of each formula in causing the inconsistency so as to identify formulas that have to be changed, especially in the presence of constraints. Here we use a bipartite graph to represent the relation between formulas and minimal inconsistent subsets of a knowledge base, in which each non-isolated component corresponds to such a cluster.

**Definition 3.1.** Let  $K$  be a knowledge base and  $\text{MI}(K)$  the set of minimal inconsistent subsets of  $K$ . The general MIS-graph of  $K$ , denoted  $G_K^0$ , is defined as follows:

- $X(G_K^0) = K$ , and  $Y(G_K^0) = \text{MI}(K)$ ;
- $(\alpha, M) \in E(G_K^0)$  if and only if  $\alpha \in M$ .

The two sets of vertices of the general MIS-graph of a knowledge base are exactly the knowledge base itself and the set of minimal inconsistent subset of the knowledge base, respectively. The general MIS-graph of a knowledge base provides a hierarchical representation for the inconsistency of that base:

- Formula-level: all the connections between a formula and minimal inconsistent subsets grasp which minimal inconsistent subsets the formula is involved in.
- MIS-level: a minimal inconsistent subset only joins each of its formulas in the graph. Then all the connections between a minimal inconsistent subset and formulas grasp the inner structure of that minimal inconsistent subset. That is, this graph allows us to look inside minimal inconsistent subsets from their own perspectives.
- Inter-MIS-level: any two minimal inconsistent subsets overlap each other if and only if they are  $Y$ -adjacent in  $G_K^0$ . Furthermore, any two minimal inconsistent subsets are associated with each other if and only if there is a path between them in the graph, i.e., they are in the same component, moreover, the chain of formulas involved in such a path provides an intuitive explanation of the association. That is, this graph also allows us to look inside these separate clusters of minimal inconsistent subsets.

The inter-MIS-level representation shows that the interconnected structure of the set of minimal inconsistent subsets can be captured by this graph.

We use the following example to illustrate the notion of general MIS-graph.

**Example 3.1.** Consider  $K = \{a, \neg a, \neg a \vee b, \neg b, c, d, \neg d\}$  again. Then the general MIS-graph of  $K$  is illustrated by Fig. 2. Obviously,  $G_K^0$  has three components including  $C_1$ ,  $C_2$  and  $C_3$ . In particular,  $C_3$  consists of only one isolated vertex corresponding to the free formula  $c$ . In addition, we can find that  $M_3$  is  $Y$ -independent of both  $M_1$  and  $M_2$ , while  $M_1$  and  $M_2$  are  $Y$ -adjacent.

Evidently, we have the following observations about  $G_K^0$  for a knowledge base  $K$ :

- (O1)  $|Y(G_K^0)| = |\text{MI}(K)|$ ;
- (O2)  $\deg_{G_K^0}(M) = |M|$  for each  $M \in \text{MI}(K)$ ;
- (O3)  $\deg_{G_K^0}(\alpha) = |\{M \in \text{MI}(K) \text{ s.t. } \alpha \in M\}|$  for each  $\alpha \in K$ ;
- (O4)  $\deg_{G_K^0}(\alpha) = 0$  if and only if  $\alpha \in \text{FREE}(K)$ .

The first three observations show that the number of minimal inconsistent subsets, the size of each minimal inconsistent subset, and the number of minimal inconsistent subset containing a given formula are all represented by the general MIS-graph. The last one states that isolated vertices in  $G_K^0$  exactly correspond to the free formulas of  $K$ . Allowing for this, we define a simplified graph as follows:

**Definition 3.2.** Let  $K$  be a knowledge base and  $\text{MI}(K)$  the set of minimal inconsistent subsets of  $K$ . The MIS-graph of  $K$ , denoted  $G_K$ , is defined as follows:

- $X(G_K) = K \setminus \text{FREE}(K)$ , and  $Y(G_K) = \text{MI}(K)$ ;
- $(\alpha, M) \in E(G_K)$  if and only if  $\alpha \in M$ .

Essentially, the MIS-graph is the maximum subgraph of the general MIS-graph that has no isolated vertex. Please note that  $X(G_K) = \bigcup \text{MI}(K)$ , thus it only describes the relation between minimal inconsistent subsets and formulas involved in minimal inconsistent subsets. Moreover, each component of the MIS-graph corresponds to a cluster of minimal inconsistent subsets associated with one another.

The following proposition shows that the MIS-graph of a consistent knowledge base is an empty graph.

**Proposition 3.1.** Let  $K$  be a knowledge base and  $G_K$  the MIS-graph of  $K$ . Then  $G_K = \emptyset$  if and only if  $K$  is consistent.

This proposition implies that the MIS-graph cannot make a distinction between consistent knowledge bases. From now on, we consider MIS-graphs for inconsistent knowledge bases. The following proposition shows that minimal correction subsets can also be represented by the MIS-graph.

**Proposition 3.2.** Let  $K$  be an inconsistent knowledge base and  $G_K$  the MIS-graph of  $K$ . Then a subset  $R$  of  $K$  is a minimal correction subset of  $K$  if and only if  $R$  is a minimal  $Y$ -dominating set of  $G_K$ .

**Proof.** Let  $K$  be an inconsistent knowledge base and  $G_K$  the MIS-graph of  $K$ .

- *Necessity.* Let  $R$  be a minimal correction subset of  $K$ , then  $R \subseteq \bigcup \text{MI}(K) = X(G_K)$  and  $K \setminus R \not\models \perp$ . Moreover, we show that
  - (c1)  $\forall M \in Y(G_K), \exists \alpha \in R \text{ s.t. } (\alpha, M) \in E(G_K)$ . On the contrary, suppose that there exists  $M \in \text{MI}(K)$ , s.t.  $(\alpha, M) \notin E(G_K)$  for all  $\alpha \in R$ . Then  $M \subseteq K \setminus R$ . So,  $K \setminus R \vdash \perp$ . This contradicts that  $K \setminus R \not\models \perp$ .
  - (c2) The minimality of  $R$  ensures that no proper subset of  $R$  satisfies (c1). Therefore,  $R$  is a minimal  $Y$ -dominating set of  $G_K$ .
- *Sufficiency.* Let  $R$  be a minimal  $Y$ -dominating set, then  $\forall M \in \text{MI}(K), \exists \alpha \in R \text{ s.t. } (\alpha, M) \in E(G_K)$  (i.e.,  $\alpha \in M$ ). So,  $K \setminus R \not\models \perp$ . Therefore,  $R$  is a correction subset of  $K$ . Furthermore,  $\forall R' \subset R$ ,  $R'$  is not a correction subset of  $K$ . On the contrary, suppose that  $R'$  is a correction subset of  $K$ , then  $\forall M \in Y(G_K), \exists \beta \in R' \text{ s.t. } \beta \in M$ , i.e.,  $(\beta, M) \in E(G_K)$ . Then  $R'$  is also a  $Y$ -dominating set of  $G_K$ . This contradicts that  $R$  is a minimal  $Y$ -dominating set. Hence,  $R$  is a minimal correction subset of  $K$ .  $\square$

In particular, the following corollary shows that each minimal  $Y|_C$ -dominating set of  $G_K$  is exactly a minimal correction subset of  $K$  that subsumes  $C$ .

**Corollary 3.1.** Let  $K$  be an inconsistent knowledge base and  $G_K$  the MIS-graph of  $K$ . Let  $C$  be a subset of  $K$ , then a subset  $R$  of  $K$  is a minimal correction subset of  $K$  such that  $C \subseteq R$  if and only if  $R$  is a minimal  $Y|_C$ -dominating set of  $G_K$ .

**Proof.** This is a direct consequence of Proposition 3.2.  $\square$

The following lemma shows that any connected component of  $G_K$  is also a MIS-graph.

**Lemma 3.1.** Let  $K$  be an inconsistent knowledge base and  $G_K$  the MIS-graph of  $K$ . Let  $G$  be a connected component of  $G_K$ , then  $G$  is the MIS-graph of  $X(G)$ .



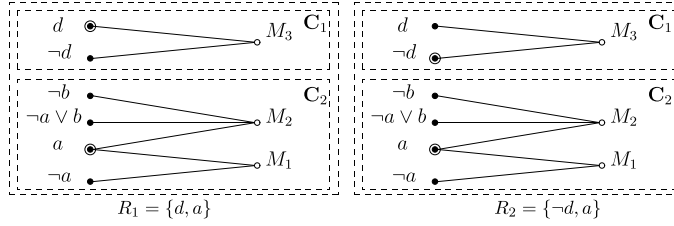


Fig. 3. The connected components of  $G_K$ .

**Proof.** It is a direct consequence of the definition of MIS-graph.  $\square$

Essentially, this lemma shows that a component of the MIS-graph fully represents a separate cluster of minimal inconsistent subsets. That is, both the inner structure of each minimal inconsistent subset of the cluster and all the associations with these minimal inconsistent subsets are represented by the component. From now on we call the set of formulas involved in a component of  $G_K$  a *MIS-component* of  $K$  if there is no confusion. The following lemma shows that the union of minimal correction subsets of two distinct MIS-components is exactly a minimal correction subset of the combination of the two MIS-components.

**Lemma 3.2.** Let  $G_1$  and  $G_2$  be two distinct components of  $G_K$ . Suppose that  $R_1$  and  $R_2$  are minimal  $Y$ -dominating sets of  $G_1$  and  $G_2$ , respectively, then  $R_1 \cup R_2$  is a minimal  $Y$ -dominating set of  $G_1 \cup G_2$ .

**Proof.** Let  $G_1$  and  $G_2$  be two distinct components of  $G_K$ , then  $X(G_1) \cap X(G_2) = \emptyset$  and  $Y(G_1) \cap Y(G_2) = \emptyset$ . Suppose that  $R_1$  and  $R_2$  are minimal  $Y$ -dominating sets of  $G_1$  and  $G_2$ , respectively. Then  $\forall M \in Y(G_1) \cup Y(G_2)$ ,

- if  $M \in Y(G_1)$ ,  $\exists \alpha \in R_1$  s.t.  $(\alpha, M) \in E(G_1)$ ;
- else  $\exists \beta \in R_2$  s.t.  $(\beta, M) \in E(G_2)$ .

So,  $R_1 \cup R_2$  is a  $Y$ -dominating set of  $G_1 \cup G_2$ .

Recall that  $X(G_1) \cap X(G_2) = \emptyset$  and  $Y(G_1) \cap Y(G_2) = \emptyset$ , then  $R_1 \cup R_2$  must be minimal. Otherwise,  $\forall \alpha \in (R_1 \cup R_2)$ , consider  $(R_1 \cup R_2) \setminus \{\alpha\}$ , then

- if  $\alpha \in R_1$ ,  $\exists M \in Y(G_1)$  s.t.  $\forall \beta \in (R_1 \cup R_2) \setminus \{\alpha\}$ ,  $(\beta, M) \notin E(G_1)$ ;
- else  $\exists M \in Y(G_2)$  s.t.  $\forall \beta \in (R_1 \cup R_2) \setminus \{\alpha\}$ ,  $(\beta, M) \notin E(G_2)$ .

So,  $(R_1 \cup R_2) \setminus \{\alpha\}$  is not a  $Y$ -dominating set of  $G_1 \cup G_2$ .  $\square$

**Proposition 3.3.** Let  $K$  be an inconsistent knowledge base and  $G_K$  the MIS-graph of  $K$ . Let  $\{G_1, G_2, \dots, G_m\}$  be the set of components of  $G_K$  and  $R \subseteq K$ . Then

- $R$  is a minimal  $Y$ -dominating set of  $G_K$  if and only if  $R \cap X(G_i)$  is a minimal  $Y$ -dominating set of  $G_i$  for each  $i = 1, 2, \dots, m$ .
- $R$  is a minimal correction subset of  $K$  if and only if  $R \cap X(G_i)$  is a minimal correction subset of  $X(G_i)$  for each  $i = 1, 2, \dots, m$ .

**Proof.** It is a direct consequence of Proposition 3.2, Lemma 3.1 and Lemma 3.2.  $\square$

The first item of this proposition shows that a minimal  $Y$ -dominating set of  $G_K$  is exactly a combination of minimal  $Y$ -dominating sets of all its components. The second item shows that a minimal correction subset of  $K$  is exactly a combination of minimal corrections subsets of all MIS-components of  $K$ .

**Example 3.2.** Consider  $K = \{a, \neg a, \neg a \vee b, \neg b, c, d, \neg d\}$  again. As illustrated by Fig. 3, there are two connected components  $C_1$  and  $C_2$  of the MIS-graph of  $K$ . For  $C_1$ , the corresponding cluster and MIS-components are  $\{M_3\}$  and  $\{d, \neg d\}$ , respectively. For  $C_2$ , the corresponding cluster and MIS-component are  $\{M_1, M_2\}$  and  $\{\neg a, a, \neg a \vee b, \neg b\}$ , respectively.

Note that both  $\{d\}$  and  $\{\neg d\}$  are the smallest minimal  $Y$ -dominating sets of  $C_1$ , and  $\{a\}$  is an unique smallest minimal  $Y$ -dominating set of  $C_2$ . Then both  $R_1 = \{d, a\}$  and  $R_2 = \{\neg d, a\}$  are the smallest minimal  $Y$ -dominating sets of  $G_K$ .

Next we define the MIS-equivalence relation between knowledge bases as follows:

**Definition 3.3 (MIS-equivalence).** Let  $K_1$  and  $K_2$  be two knowledge bases. Let  $G_{K_1}$  and  $G_{K_2}$  be the MIS-graphs of  $K_1$  and  $K_2$ , respectively. If there is a one-to-one mapping  $f$  from  $X(G_{K_1}) \cup Y(G_{K_1})$  to  $X(G_{K_2}) \cup Y(G_{K_2})$  such that

- (1)  $\forall \alpha \in X(G_{K_1}), f(\alpha) \in X(G_{K_2});$
- (2)  $\forall M \in Y(G_{K_1}), f(M) \in Y(G_{K_2});$
- (3)  $\forall \alpha \in X(G_{K_1}), \forall M \in Y(G_{K_1}), (\alpha, M) \in E(G_{K_1})$  iff  $(f(\alpha), f(M)) \in E(G_{K_2}),$

then we say that  $K_1$  and  $K_2$  are MIS-equivalent to each other.

Roughly speaking, the MIS-equivalence relation describes the case that two knowledge bases have the same (inner and interconnected) structure of minimal inconsistent subsets. Obviously, if  $K_1$  is MIS-equivalent to  $K_2$ , then

- $|MI(K_1)| = |MI(K_2)|$ , and  $|\bigcup MI(K_1)| = |\bigcup MI(K_2)|$ ,
- $G_{K_1}$  is isomorphic to  $G_{K_2}$ ,
- $\gamma_Y(G_{K_1}) = \gamma_Y(G_{K_2})$ .

In summary, given an inconsistent knowledge base, the MIS-graph provides a representation of the inconsistency in that base from multiple perspectives, including the inner structure of each minimal inconsistent subset, the separate clusters of minimal inconsistent subsets, the inner structure of each cluster, the MIS-components of the base, and the minimal correction subsets of that base.

#### 4. Representing inconsistency with constraints

In this section, we incorporate hard constraints and soft constraints on modifying formulas in the MIS-graph, respectively. Generally,  $\bigcup MI(K)$  is considered as the set of candidates of formulas that have to be modified to restore the consistency of  $K$ . Then both the hard and the soft constraints are given based on  $\bigcup MI(K)$  in this paper.

##### 4.1. Hard constraints

Given a knowledge base  $K$ , a *hard constraint* on modifying formulas is a pair  $\mathcal{H} = (P, Q)$  of  $P, Q \subseteq \bigcup MI(K)$  such that all the formulas in  $P$  must be protected from being modified on the condition that each of formulas in  $Q$  must be excluded from any consistent revision of that knowledge base obtained by removing as few formulas as possible. Especially, we say that a minimal correction subset  $R$  of  $K$  is *compatible with*  $\mathcal{H} = (P, Q)$  if  $R \cap P = \emptyset$  and  $R \supseteq Q$ . Essentially, a compatible minimal correction subset  $R$  protects  $P$  from being modified by removing formulas in  $Q$  together with other formulas in  $R$ . Furthermore, if there exists at least one minimal correction subset compatible with  $(P, Q)$ , we say that  $(P, Q)$  is a *valid hard constraint*. Evidently, if  $\mathcal{H} = (P, Q)$  is valid, then  $P \cap Q = \emptyset$  and  $P \cup \text{FREE}(K) \not\models \perp$ . Moreover, the validness of  $\mathcal{H} = (P, Q)$  also implies that there exists at least one consistent subset  $K'$  of  $K$  such that  $P \subseteq K'$  and  $K' \cap Q = \emptyset$  if  $P \neq \emptyset$ . Note that for any minimal correction subset  $R$  of an inconsistent knowledge base  $K$ ,  $(P, Q)$  is a valid hard constraint for all  $P \subseteq (K \setminus R) \cap (\bigcup MI(K))$  and  $Q \subseteq R$ . This implies that valid hard constraints always exist for an inconsistent knowledge base. In this paper, we focus on valid hard constraints.

At first, we consider a special kind of hard constraint  $\mathcal{H} = (P, \emptyset)$ , where  $P$  is a consistent proper subset of  $\bigcup MI(K)$ . We call such a hard constraint a *protected-formulas constraint*, and abbreviate  $(P, \emptyset)$  as  $P_N$ . We adapt the MIS-graph to the case with a *protected-formulas constraint*.

**Definition 4.1.** Let  $K$  be a knowledge base with a protected-formulas constraint  $P_N$ . The MIS-graph with constraint  $P_N$  of  $K$ , denoted  $G_{K|P_N}$ , is defined as follows:

$$G_{K|P_N} = G_K - P.$$

From the definition of the operation  $G_K - P$  introduced in Section 2.2,  $V(G_{K|P_N}) = V(G_K) \setminus P$  and  $E(G_{K|P_N}) = E(G_K) \setminus \{(\alpha, M) \in E(G_K) | \alpha \in P\}$ . Please note that  $P \not\models \perp$ , then  $\forall M \in MI(K), \exists \beta \in M$  such that  $\beta \notin P$ . Therefore, every minimal inconsistent subset connects to at least one formula in  $G_{K|P_N}$ . Evidently, we have the following observations:

- $X(G_{K|P_N}) = X(G_K) \setminus P$ , and  $Y(G_{K|P_N}) = Y(G_K)$ ;
- $\deg_{G_{K|P_N}}(\alpha) = \deg_{G_K}(\alpha)$  for all  $\alpha \in X(G_{K|P_N})$ ;
- $\deg_{G_{K|P_N}}(M) = \deg_{G_K}(M) - |M \cap P|$  for all  $M \in Y(G_K)$ .

Compared to  $G_K$ ,  $G_{K|P_N}$  focuses on characterizing both the inner structure of each minimal inconsistent subset and the interconnected structure of these minimal inconsistent subsets in the presence of constraint  $P_N$ . To be more precise, the inner structure of each minimal inconsistent subset only describes which formulas not in  $P$  belong to the minimal inconsistent subset, and only the  $Y$ -adjacency relation between minimal inconsistent subsets not depending on  $P$  are represented by  $G_{K|P_N}$ .

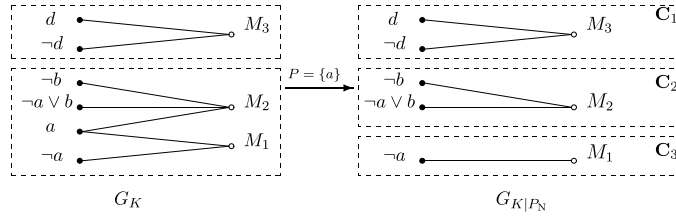


Fig. 4. The MIS-graph with constraint  $\{a\}_N$ .

**Example 4.1.** Consider  $K = \{a, \neg a, \neg a \vee b, \neg b, c, d, \neg d\}$  again. Suppose that  $P = \{a\}$ . Then the MIS-graph with constraint  $\{a\}_N$  of  $K$  is illustrated by Fig. 4. There are three connected components  $C_1$ ,  $C_2$ , and  $C_3$  of  $G_{K|P_N}$ .

Please note that the  $Y$ -adjacency between  $M_1$  and  $M_2$  in  $G_K$  is fully based on the shared formula  $a$ . However, this  $Y$ -adjacency is destroyed by the constraint  $\{a\}_N$ . So,  $M_1$  and  $M_2$  are  $Y$ -independent of each other in  $G_{K|P_N}$ .

The following proposition shows that the MIS-graph with constraint  $P_N$  grasps the impact of  $P_N$  on inconsistency resolving.

**Proposition 4.1.** Let  $K$  be a knowledge base with a protected-formulas constraint  $P_N$ . Then a subset  $R$  of  $K$  is a minimal  $Y$ -dominating set of  $G_K$  such that  $R \cap P = \emptyset$  if and only if  $R$  is a minimal  $Y$ -dominating set of  $G_{K|P_N}$ .

**Proof.** This is a direct consequence of the definition of  $G_{K|P_N}$ .  $\square$

Next we consider how to incorporate the condition  $Q$  of a hard constraint  $\mathcal{H} = (P, Q)$  in the MIS-graph.

**Proposition 4.2.** Let  $K$  be a knowledge base and  $\mathcal{H} = (P, Q)$  a hard constraint. Then  $R$  is a minimal correction subset of  $K$  such that  $Q \subseteq R$  if and only if  $R$  is a minimal  $Y|_Q$ -dominating set of  $G_K$ .

**Proof.** This is a direct consequence of Proposition 3.2.  $\square$

Now we are ready to adapt the MIS-graph to the case with a hard constraint  $\mathcal{H}$  as follows:

**Definition 4.2.** Let  $K$  be a knowledge base and  $\mathcal{H} = (P, Q)$  a hard constraint. The MIS-graph with constraint  $\mathcal{H}$  of  $K$ , denoted  $G_{K|\mathcal{H}}$ , is defined as

$$G_{K|\mathcal{H}} = G_{K|P_N} = G_K - P.$$

Now we are more interested in minimal  $Y|_Q$ -dominating sets rather than just minimal  $Y$ -dominating sets of  $G_{K|\mathcal{H}}$  when we consider the role of  $Q$  in the hard constraint  $\mathcal{H}$ . The following proposition shows that the MIS-graph with constraint  $\mathcal{H}$  captures the nature of inconsistency in the presence of  $\mathcal{H}$ .

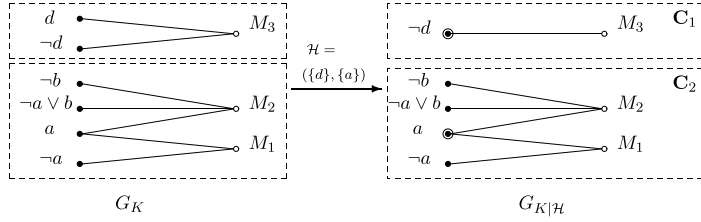
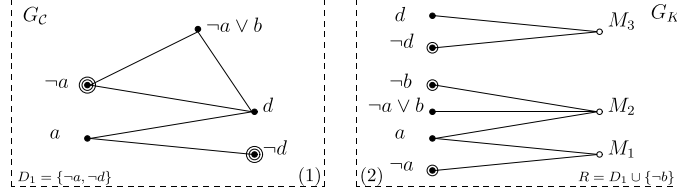
**Proposition 4.3.** Let  $K$  be an inconsistent knowledge base and  $\mathcal{H} = (P, Q)$  a hard constraint. Then a subset  $R$  of  $K$  is a minimal correction subset of  $K$  such that  $P \cap R = \emptyset$  and  $Q \subseteq R$  if and only if  $R$  is a minimal  $Y|_Q$ -dominating set of  $G_{K|\mathcal{H}}$ .

**Proof.** This is a direct consequence of Proposition 4.1 and 4.2.  $\square$

**Example 4.2.** Consider  $K = \{a, \neg a, \neg a \vee b, \neg b, c, d, \neg d\}$  again. Suppose that  $\mathcal{H} = (\{d\}, \{a\})$ . Then the MIS-graph with constraint  $\mathcal{H}$  of  $K$  is illustrated by Fig. 5. There are two connected components  $C_1$  and  $C_2$  of the MIS-graph with constraint  $\mathcal{H}$  of  $K$ . Evidently,  $\{\neg d, a\}$  is a unique minimal  $Y|_{\{a\}}$ -dominating set of  $G_{K|\mathcal{H}}$ .

#### 4.2. Soft constraints

In this subsection, we consider the soft constraint on modifying formulas of a knowledge base, which describes a special relation of exclusiveness on modifying formulas of that knowledge base. To be more precise, let  $\mathcal{C}$  be a set of 2-element subsets of a subset  $\Gamma$  of  $\bigcup \text{MI}(K)$  such that  $\alpha$  and  $\beta$  are not allowed to be modified together if  $\{\alpha, \beta\} \in \mathcal{C}$ , then we call  $\mathcal{C}$  a *soft constraint* on modifying formulas. Here we abuse the notation and write  $\{\alpha, \beta\}$  as  $(\alpha, \beta)$  in a soft constraint. If we use the graph  $G_{\mathcal{C}} = (\Gamma, \mathcal{C})$  to represent the soft constraint  $\mathcal{C}$ , then a maximal independent set of  $G_{\mathcal{C}}$  is exactly a maximal set of formulas in  $\Gamma$  that are allowed to be removed together from  $K$  in the presence of  $\mathcal{C}$ .

Fig. 5. The MIS-graph with constraint  $\mathcal{H}$ .Fig. 6.  $G_C$  and  $G_K$ .

**Definition 4.3.** Let  $K$  be a knowledge base with a soft constraint  $\mathcal{C}$ . A minimal  $Y$ -dominating set  $R$  of  $G_K$  is compatible with  $\mathcal{C}$  if for all  $\alpha, \beta \in R$ ,  $(\alpha, \beta) \notin \mathcal{C}$ . Further, we call a minimal  $Y$ -dominating set  $R$  a minimal  $Y^{[\mathcal{C}]}$ -dominating set if  $R$  is compatible with  $\mathcal{C}$ .

**Proposition 4.4.** Let  $K$  be a knowledge base and  $\mathcal{C}$  a soft constraint. Then  $R$  is a minimal  $Y^{[\mathcal{C}]}$ -dominating set of  $G_K$  if and only if  $R$  is a minimal correction subset of  $K$  such that  $(\alpha, \beta) \notin \mathcal{C}$  for all  $\alpha, \beta \in R$ .

**Proof.** It is a direct consequence of Proposition 3.2.  $\square$

We say that a minimal correction subset  $R$  of  $K$  is compatible with a soft constraint  $\mathcal{C}$  if for all  $\alpha, \beta \in R$ ,  $(\alpha, \beta) \notin \mathcal{C}$ . We say that  $\mathcal{C}$  is *satisfiable* if there exists at least one minimal correction subset of  $K$  compatible with  $\mathcal{C}$ . In this paper, we only focus on *satisfiable* soft constraints.

**Example 4.3.** Consider  $K = \{a, \neg a, \neg a \vee b, \neg b, c, d, \neg d\}$  again. Suppose that  $\Gamma = \{a, \neg a, d, \neg d, \neg a \vee b\}$  and  $\mathcal{C} = \{(a, d), (a, \neg d), (\neg a, d), (\neg a, \neg a \vee b), (\neg a \vee b, d)\}$ . Then  $G_C$  and  $G_K$  are illustrated by (1) and (2) of Fig. 6, respectively. Here we use a solid circle nested in double circles to denote a vertex involved in a maximal independent set. Please note that all of  $D_1 = \{\neg a, \neg d\}$ ,  $D_2 = \{a, \neg a\}$ ,  $D_3 = \{a, \neg a \vee b\}$ ,  $D_4 = \{d, \neg d\}$ , and  $D_5 = \{\neg d, \neg a \vee b\}$  are the maximal independent sets of  $G_C$ . However, only  $D_1$  can be extended to a minimal  $Y$ -dominating set  $R = D_1 \cup \{\neg b\} = \{\neg a, \neg b, \neg d\}$ . Actually,  $R$  is a unique minimal  $Y^{[\mathcal{C}]}$ -dominating set of  $G_K$ .

Furthermore, we call a minimal  $Y|_U$ -dominating set  $R$  of  $G_K$  a minimal  $Y|_U^{[\mathcal{C}]}$ -dominating set if  $R$  is compatible with  $\mathcal{C}$  for a given quasi- $Y$ -dominating set  $U$ . Moreover, we use  $\gamma_{Y|_U^{[\mathcal{C}]}}(G_K)$  to denote the cardinality of a smallest minimal  $Y|_U^{[\mathcal{C}]}$ -dominating set of  $G_K$ . Just for simplicity of discussion, we define  $\gamma_{Y|_U^{[\mathcal{C}]}}(G_K)$  as 0 if there is no minimal  $Y|_U^{[\mathcal{C}]}$ -dominating set.

## 5. Measuring inconsistency with constraints

In this section, we propose both base-level and formula-level inconsistency measures for knowledge bases in the presence of constraints.

### 5.1. The base-level measure for inconsistency with constraints

The size of a minimal correction subset of a knowledge base may be considered as an evaluation of effort to resolve the inconsistency by removing the minimal correction subset from that base from a syntax-based perspective. However, Konieczny et al. have argued that the cost of some actions (tests) needed to render a knowledge base classically consistent can be used to quantify the degree of inconsistency [13]. Inspired by this, given a knowledge base with a (hard or soft) constraint, the smallest size of its minimal correction subsets compatible with the constraint can be considered as an inconsistency measure for the base in the presence of the constraint. On the other hand, as shown previously, given a knowledge base with a constraint on modifying formulas, each minimal correction subset compatible with the constraint is

exactly a minimal  $Y$ -dominating set for the MIS-graph with constraint. Allowing for this, we define the following base-level measure for inconsistency in the presence of a hard constraint firstly.

**Definition 5.1.** Let  $K$  be a knowledge base with a hard constraint  $\mathcal{H} = (P, Q)$ . Then the degree of inconsistency of  $K$  with constraint  $\mathcal{H}$ , denoted  $I_{dr}(K|\mathcal{H})$ , is defined as

$$I_{dr}(K|\mathcal{H}) = \gamma_{Y|Q}(G_{K|\mathcal{H}}),$$

where  $G_{K|\mathcal{H}}$  is the MIS-graph with constraint  $\mathcal{H}$  of  $K$ .

Note that  $\gamma_{Y|Q}(G_{K|\mathcal{H}}) - |Q|$  is exactly the minimal number of formulas that have to be removed together with  $Q$  from  $K$  to break all the minimal inconsistent subsets of  $K$  when all the formulas of  $P$  must be protected from being modified. Then  $I_{dr}(K|\mathcal{H})$  grasps the minimal number of formulas that have to be removed from  $K$  to break all the minimal inconsistent subsets of  $K$  in the presence of  $\mathcal{H}$ .

In particular, if there is no constraint, then

$$I_{dr}(K|(\emptyset, \emptyset)) = \gamma_Y(G_K) = \min_{R \in MC(K)} |R|.$$

Generally, we abbreviate  $I_{dr}(K|(\emptyset, \emptyset))$  as  $I_{dr}(K)$ , which can be considered as a measure for the inconsistency of  $K$  [21].

**Example 5.1.** Consider  $K = \{a, \neg a, \neg a \vee b, \neg b, c, d, \neg d\}$  again. Suppose that  $\mathcal{H}_1 = (\{d\}, \{a\})$  and  $\mathcal{H}_2 = (\{a\}, \{d\})$ , then

$$I_{dr}(K) = 2, \quad I_{dr}(K|\mathcal{H}_1) = 2, \quad \text{and} \quad I_{dr}(K|\mathcal{H}_2) = 3.$$

If there is only a protected-formulas constraint  $P$ , then the degree of inconsistency of  $K$  in the presence of this constraint, denoted  $I_{dr}(K|(P, \emptyset))$ , is exactly the  $Y$ -domination number of  $G_{K|P_N}$ , i.e.,

$$I_{dr}(K|(P, \emptyset)) = \gamma_Y(G_{K|P_N}).$$

By Proposition 4.1,  $\gamma_Y(G_{K|P_N})$  is the minimal number of formulas not in  $P$  that have to be removed from  $K$  to break all the minimal inconsistent subsets of  $K$ . From now on we abbreviate  $I_{dr}(K|(P, \emptyset))$  as  $I_{dr}(K|P_N)$ .

**Example 5.2.** Consider  $K = \{a, \neg a, \neg a \vee b, \neg b, c, d, \neg d\}$  again. Suppose that  $P_1 = \{a\}$  and  $P_2 = \{\neg a\}$ . Then

$$I_{dr}(K|P_{1N}) = 3, \quad \text{and} \quad I_{dr}(K|P_{2N}) = 2.$$

Now we consider the case with a soft constraint.

**Definition 5.2.** Let  $K$  be a knowledge base with a soft constraint  $\mathcal{C}$ . Then the degree of inconsistency of  $K$  in the presence of  $\mathcal{C}$ , denoted  $I_{dr}(K|\mathcal{C})$ , is defined as

$$I_{dr}(K|\mathcal{C}) = \gamma_{Y|\mathcal{C}}(G_K).$$

Essentially,  $I_{dr}(K|\mathcal{C})$  is the minimal number of formulas that have to be removed from  $K$  to break all the minimal inconsistent subsets of  $K$  in the presence of constraint  $\mathcal{C}$ .

**Example 5.3.** Consider  $K = \{a, \neg a, \neg a \vee b, \neg b, c, d, \neg d\}$  again. Suppose that  $\mathcal{C} = \{(a, d), (a, \neg d), (\neg a, d), (\neg a, \neg a \vee b), (\neg a \vee b, d)\}$ . Then

$$I_{dr}(K|\mathcal{C}) = 3.$$

Lastly, we consider the case with a mixture of hard and soft constraints. We call a pair  $(\mathcal{H}, \mathcal{C})$  of a hard constraint  $\mathcal{H} = (P, Q)$  and a soft constraint  $\mathcal{C}$  of  $K$  a *mixed constraint*. We say that  $(\mathcal{H}, \mathcal{C})$  is satisfiable if there exists at least one minimal correction subset of  $K$  compatible with both  $\mathcal{H}$  and  $\mathcal{C}$ . Here we only consider satisfiable mixed constraints.

**Definition 5.3.** Let  $K$  be a knowledge base with a mixed constraint  $(\mathcal{H}, \mathcal{C})$ , where  $\mathcal{H} = (P, Q)$ . Then the degree of inconsistency of  $K$  in the presence of  $(\mathcal{H}, \mathcal{C})$ , denoted  $I_{dr}(K|\mathcal{H}, \mathcal{C})$ , is defined as

$$I_{dr}(K|\mathcal{H}, \mathcal{C}) = \gamma_{Y|\mathcal{C}}(G_{K|\mathcal{H}}).$$

Essentially,  $I_{dr}(K|\mathcal{H}, C)$  is the minimal number of formulas that have to be removed from  $K$  to break all the minimal inconsistent subsets of  $K$  in the presence of the mixed constraint  $(\mathcal{H}, C)$ . Evidently,  $I_{dr}(K|\mathcal{H}, C)$  is reduced to  $I_{dr}(K|\mathcal{H})$  (resp.  $I_{dr}(K|C)$ ) when the soft (resp. hard) constraint is empty in the mixed constraint  $(\mathcal{H}, C)$ , i.e.,

$$I_{dr}(K|\mathcal{H}, \emptyset) = I_{dr}(K|\mathcal{H}),$$

and

$$I_{dr}(K|(\emptyset, \emptyset), C) = I_{dr}(K|C).$$

**Example 5.4.** Consider  $K = \{a, \neg a, \neg a \vee b, \neg b, c, d, \neg d\}$  again. Suppose that  $\mathcal{H} = (\{a\}, \{\neg d\})$  and  $C = \{(a, d), (a, \neg d), (\neg a, d), (\neg a, \neg a \vee b), (\neg a \vee b, d)\}$ . Then  $\{\neg a, \neg b, \neg d\}$  is a unique minimal  $Y_{\{\neg d\}}^{[C]}$ -dominating set of  $G_{K|\mathcal{H}}$ . So,

$$I_{dr}(K|\mathcal{H}, C) = 3.$$

## 5.2. Responsibility for inconsistency with constraints

From a perspective of syntax-based inconsistency handling, we are more interested in identifying the degree of responsibility of each formula of a knowledge base for the inconsistency of that base. In our previous paper [21], we have presented the following measure for the degree of responsibility of each formula for the inconsistency, which is given in terms of minimal correction subsets of a knowledge base.

**Definition 5.4.** Let  $K$  be a knowledge base and  $\alpha \in K$ . Then

$$dr(K, \alpha) = \begin{cases} \max\{\frac{1}{|R|} | R \in MC(K) \text{ s.t. } \alpha \in R\}, & \text{if } \alpha \in \bigcup MI(K), \\ 0, & \text{otherwise.} \end{cases}$$

Moreover, as shown in [21], this measure can be well explained in the context of causality and responsibility presented by Chockler and Halpern [2].

As shown by Corollary 3.2, every minimal correction subset  $R$  that  $\alpha$  belongs to is exactly a minimal  $Y_{\{\alpha\}}$ -dominating set for  $G_K$ . Then this measure can be given in terms of  $Y_{\{\alpha\}}$ -domination number of  $G_K$  alternatively. That is,

$$dr(K, \alpha) = \begin{cases} \frac{1}{\gamma_{Y_{\{\alpha\}}}(G_K)}, & \text{if } \alpha \in \bigcup MI(K), \\ 0, & \text{otherwise.} \end{cases}$$

Now we are ready to adapt this measure for the degree of responsibility for inconsistency to the cases with constraints. At first, we consider the case that there is only one protected-formulas constraint.

**Definition 5.5.** Let  $K$  be a knowledge base with a constraint  $P_N$  and  $\alpha$  a formula of  $K$ . Then the degree of responsibility of  $\alpha$  for the inconsistency of  $K$  in the presence of  $P_N$ , denoted  $dr(K, \alpha|P_N)$ , is defined as

$$dr(K, \alpha|P_N) = \begin{cases} \frac{1}{\gamma_{Y_{\{\alpha\}}}(G_{K|P_N})}, & \text{if } \alpha \in X(G_{K|P_N}) \text{ and } \gamma_{Y_{\{\alpha\}}}(G_{K|P_N}) \neq 0, \\ 0, & \text{otherwise.} \end{cases}$$

According to this definition, the degree of responsibility of any free formula for the inconsistency is 0. It coincides with the intuition that free formulas are not involved in any minimal inconsistent subset of  $K$ . In addition, the degree of responsibility of any formula of  $P$  for the inconsistency is 0, i.e., all the formulas of  $P$  needn't bear any responsibility for the inconsistency of  $K$ . This grasps the nature of  $P$  being a *protected-formulas* constraint. If a formula not in  $P \cup \text{FREE}(K)$  is assigned to 0, then that formula is not involved in any minimal  $Y$ -dominating set for  $G_{K|P_N}$ . This is the case that there is no need to remove that formula from  $K$  to break all the minimal inconsistent subsets in the presence of  $P_N$ . Essentially, such formulas are indirectly protected by the constraint from being modified.

On the other hand, any smallest minimal  $Y_{\{\alpha\}}$ -dominating set for  $G_{K|P_N}$  is exactly a smallest minimal correction subset of  $K$  that contains  $\alpha$  in the presence of the *protected-formulas* constraint  $P$ . Let  $R$  be such a smallest minimal  $Y_{\{\alpha\}}$ -dominating set for  $G_{K|P_N}$ , then  $R \setminus \{\alpha\}$  is exactly a minimal set of formulas that have to be removed together with  $\alpha$  from  $K$  to break all the minimal inconsistent subsets of  $K$  in the presence of  $P_N$ . As shown later,  $R \setminus \{\alpha\}$  characterizes a minimal set of formulas that have to be changed to obtain a contingency where the inconsistency of  $K$  counterfactually depends on  $\alpha$  in the presence of the constraint  $P_N$ . In this sense, this measure also captures the intuition of the responsibility presented by [2].



**Example 5.5.** Consider  $K = \{a, \neg a, \neg a \vee b, \neg b, c, d, \neg d\}$  again. Suppose that  $P_1 = \{a\}$  and  $P_2 = \{\neg a\}$ . Then

$$\begin{aligned} dr(K, a) &= \frac{1}{2}, & dr(K, a|P_{1N}) &= 0, & dr(K, a|P_{2N}) &= \frac{1}{2}; \\ dr(K, \neg a) &= \frac{1}{3}, & dr(K, \neg a|P_{1N}) &= \frac{1}{3}, & dr(K, \neg a|P_{2N}) &= 0; \\ dr(K, \neg a \vee b) &= \frac{1}{3}, & dr(K, \neg a \vee b|P_{1N}) &= \frac{1}{3}, & dr(K, \neg a \vee b|P_{2N}) &= 0; \\ dr(K, \neg b) &= \frac{1}{3}, & dr(K, \neg b|P_{1N}) &= \frac{1}{3}, & dr(K, \neg b|P_{2N}) &= 0; \\ dr(K, c) &= 0, & dr(K, c|P_{1N}) &= 0, & dr(K, c|P_{2N}) &= 0; \\ dr(K, d) &= \frac{1}{2}, & dr(K, d|P_{1N}) &= \frac{1}{3}, & dr(K, d|P_{2N}) &= \frac{1}{2}; \\ dr(K, \neg d) &= \frac{1}{2}, & dr(K, \neg d|P_{1N}) &= \frac{1}{3}, & dr(K, \neg d|P_{2N}) &= \frac{1}{2}. \end{aligned}$$

Obviously, only the unique free formula  $c$  bears no responsibility for the inconsistency of  $K$  in the case that there is no constraint. In contrast, in the presence of  $P_{1N}$ , both  $c$  and  $a$  bear no responsibility for the inconsistency of  $K$ . Moreover, the degree of responsibility of  $d$  decreases from  $\frac{1}{2}$  to  $\frac{1}{3}$ , because we have to remove at least two formulas together with  $d$  from  $K$  to break all the minimal inconsistent subsets in the case that  $a$  is not allowed to be removed from  $K$ .

On the other hand, in the case with constraint  $P_{2N}$ , removing  $a$  is a unique choice to break  $M_1$ . This makes both removing  $\neg a \vee b$  and removing  $\neg b$  unnecessary because  $a$  is shared by  $M_1$  and  $M_2$ . Hence, neither  $\neg a \vee b$  nor  $\neg b$  need to bear any responsibility for the inconsistency for  $K$  in the presence of  $P_{2N}$ .

Now we consider the general case that there is a hard constraint.

**Definition 5.6.** Let  $K$  be a knowledge base with a hard constraint  $\mathcal{H} = (P, Q)$  and  $\alpha$  a formula of  $K$ . Then the degree of responsibility of  $\alpha$  for the inconsistency of  $K$  in the presence of  $\mathcal{H}$ , denoted  $dr(K, \alpha|\mathcal{H})$ , is defined as

$$dr(K, \alpha|\mathcal{H}) = \begin{cases} \frac{1}{\gamma_{Y|Q \cup \{\alpha\}}(G_{K|\mathcal{H}})}, & \text{if } \alpha \in X(G_{K|\mathcal{H}}) \text{ and } \gamma_{Y|Q \cup \{\alpha\}}(G_{K|\mathcal{H}}) \neq 0, \\ 0, & \text{otherwise.} \end{cases}$$

Evidently, only formulas involved in minimal  $Y|Q$ -dominating sets for  $G_{K|\mathcal{H}}$  are assigned to nonzero values. In addition to free formulas and ones involved in *protected-formulas* constraint of  $\mathcal{H}$ , if a formula is assigned to 0, then the presence of  $\mathcal{H}$  must make removing this formula unnecessary to break all the minimal inconsistent subsets.

**Example 5.6.** Consider  $K = \{a, \neg a, \neg a \vee b, \neg b, c, d, \neg d\}$  again. Suppose that  $\mathcal{H} = (\{d\}, \{a\})$ , then  $\{\neg d, a\}$  is a unique minimal  $Y|_{\{a\}}$ -dominating set for  $G_{K|\mathcal{H}}$ . So,

$$\begin{aligned} dr(K, a|\mathcal{H}) &= dr(K, \neg d|\mathcal{H}) = \frac{1}{2}, \\ dr(K, \neg a|\mathcal{H}) &= dr(K, \neg a \vee b|\mathcal{H}) = dr(K, \neg b|\mathcal{H}) = dr(K, d|\mathcal{H}) = 0, \\ dr(K, c|\mathcal{H}) &= 0. \end{aligned}$$

Please note that besides the free formula  $c$  and the protected-formulas formula  $d$ , all of formulas in  $\{\neg a \vee b, \neg b, \neg a\}$  have no responsibility for the inconsistency in the presence of  $\mathcal{H}$ .

Now we consider the case that there is a soft constraint.

**Definition 5.7.** Let  $K$  be a knowledge base with a soft constraint  $\mathcal{C}$  and  $\alpha$  a formula of  $K$ . Then the degree of responsibility of  $\alpha$  for the inconsistency of  $K$  in the presence of  $\mathcal{C}$ , denoted  $dr(K, \alpha|\mathcal{C})$ , is defined as

$$dr(K, \alpha|\mathcal{C}) = \begin{cases} \frac{1}{\gamma_{Y|_{\{a\}}^{[C]}}(G_K)}, & \text{if } \alpha \in X(G_K) \text{ and } \gamma_{Y|_{\{a\}}^{[C]}}(G_K) \neq 0, \\ 0, & \text{otherwise.} \end{cases}$$

Evidently, except formulas involved in minimal  $Y^{[C]}$ -dominating sets for  $G_K$ , all other formulas don't need to bear any responsibility for the inconsistency in the presence of  $\mathcal{C}$ .

**Example 5.7.** Consider  $K = \{a, \neg a, \neg a \vee b, \neg b, c, d, \neg d\}$  again. Suppose that  $\mathcal{C} = \{(a, d), (a, \neg d), (\neg a, d), (\neg a, \neg a \vee b), (\neg a \vee b, d)\}$ . Then  $\{\neg a, \neg b, \neg d\}$  is a unique minimal  $Y^{[C]}$ -dominating set of  $G_K$ . So,

$$\begin{aligned} dr(K, \neg a|\mathcal{C}) &= dr(K, \neg b|\mathcal{C}) = dr(K, \neg d|\mathcal{C}) = \frac{1}{3}, \\ dr(K, a|\mathcal{C}) &= dr(K, \neg a \vee b|\mathcal{C}) = dr(K, d|\mathcal{C}) = 0, \\ dr(K, c|\mathcal{C}) &= 0. \end{aligned}$$

Please note that besides the free formula  $c$ , all the formulas in  $\{\neg a \vee b, a, d\}$  have no responsibility for the inconsistency in the presence of  $C$ .

Lastly, we extend the two measures to the case with a mixed constraint.

**Definition 5.8.** Let  $K$  be a knowledge base with a mixed constraint  $(\mathcal{H}, C)$  and  $\alpha$  a formula of  $K$ , where  $\mathcal{H} = (P, Q)$ . Then the degree of responsibility of  $\alpha$  for the inconsistency of  $K$  in the presence of  $(\mathcal{H}, C)$ , denoted  $dr(K, \alpha | \mathcal{H}, C)$ , is defined as

$$dr(K, \alpha | \mathcal{H}, C) = \begin{cases} \frac{1}{\gamma_{Y|Q \cup \{\alpha\}}^{[C]}(G_{K|\mathcal{H}})}, & \text{if } \alpha \in X(G_{K|\mathcal{H}}) \text{ and } \gamma_{Y|Q \cup \{\alpha\}}^{[C]}(G_{K|\mathcal{H}}) \neq 0, \\ 0, & \text{otherwise.} \end{cases}$$

Evidently, only formulas involved in minimal  $Y|_Q^{[C]}$ -dominating sets for  $G_{K|\mathcal{H}}$  are assigned to nonzero values.

**Example 5.8.** Consider  $K = \{a, \neg a, \neg a \vee b, \neg b, c, d, \neg d\}$  again. Suppose that  $\mathcal{H} = (\{a\}, \{\neg d\})$  and  $C = \{(a, d), (a, \neg d), (\neg a, d), (\neg a, \neg a \vee b), (\neg a \vee b, d)\}$ . Then  $\{\neg a, \neg b, \neg d\}$  is a unique minimal  $Y|_{\{\neg d\}}^{[C]}$ -dominating set of  $G_{K|\mathcal{H}}$ . So,

$$\begin{aligned} dr(K, \neg a | \mathcal{H}, C) &= dr(K, \neg b | \mathcal{H}, C) = dr(K, \neg d | \mathcal{H}, C) = \frac{1}{3}, \\ dr(K, a | \mathcal{H}, C) &= dr(K, \neg a \vee b | \mathcal{H}, C) = dr(K, d | \mathcal{H}, C) = 0, \\ dr(K, c | \mathcal{H}, C) &= 0. \end{aligned}$$

Please note that the soft constraint focuses on the pairs of formulas that are not allowed to be modified together. However, we can generalize the soft constraint to describe the pairs of sets of formulas that are not allowed to be modified together. Moreover, the notions about the soft constraint defined above, including the compatibility of minimal correction subsets with the soft constraint, the minimal  $Y^{[C]}$ -dominating set, and the related measures can be generalized to this case in a natural way.

## 6. Causality-based explanations

Please note that the inconsistency of a knowledge base counterfactually depends on each minimal correction subset of that base. Then the base-level measures with constraints proposed above can be interpreted as the minimum size of subsets of a knowledge base that the inconsistency counterfactually depends on in the presence of their own respective constraints from a causality-based perspective.

Next we explain the measures  $dr(K, \alpha | \mathcal{H})$ ,  $dr(K, \alpha | C)$ , and  $dr(K, \alpha | \mathcal{H}, C)$  from a perspective of causality by using Halpern and Pearl's causal model and Chockler and Halpern's notion of responsibility, respectively.

### 6.1. A causal model for inconsistency with a hard constraint

Given an inconsistent knowledge base  $K$  with a hard constraint  $\mathcal{H} = (P, Q)$ , to construct a causal model  $\mathcal{M}_{K|\mathcal{H}}$  for the inconsistency of  $K$  in the presence of  $\mathcal{H}$ ,

- we associate every formula  $\alpha \in K$  with a binary variable  $T_\alpha$ , whose value is 1 if  $\alpha$  keeps unchanged and 0 if  $\alpha$  is deleted from  $K$ . We use  $\vec{T}$  to denote the vector of all the variables corresponding to formulas.
- we associate with every minimal inconsistent subset  $M \in \text{MI}(K)$  a binary variable  $S_M$ , whose value is 1 if  $M$  keeps unchanged and 0 if  $M$  is broken. We use  $\vec{S}$  to denote the vector of all the variables corresponding to minimal inconsistent subsets.
- the problem of inconsistency in  $K$  in the presence of constraint is represented by the binary variable  $I$ , whose value is 1 if  $K$  is inconsistent and 0 otherwise.
- the satisfaction of the constraint  $P$  is represented by the binary variable  $H_P$ , whose value is 0 if all the formulas in  $P$  keep unchanged and 1 otherwise.
- the satisfaction of the constraint  $Q$  is represented by the binary variable  $H_Q$ , whose value is 0 if all the formulas in  $Q$  are deleted from  $K$  and 1 otherwise.

Let  $\mathcal{V}_{K|\mathcal{H}} = \{T_\alpha | \alpha \in K\} \cup \{S_M | M \in \text{MI}(K)\} \cup \{I, H_P, H_Q\}$ . Then  $\mathcal{R}_{K|\mathcal{H}}(V) = \{0, 1\}$  for each  $V \in \mathcal{V}_{K|\mathcal{H}}$ .

Without loss of generality, we associate every variable  $T_\alpha$  with a binary exogenous variable  $U_\alpha$ . Moreover, we assume that the value of  $T_\alpha$  depends on only the value of  $U_\alpha$ . Let  $\mathcal{U}_K = \{U_\alpha | \alpha \in K\}$ . We use  $\vec{U}$  to denote the vector of all the exogenous variables corresponding to formulas.

In addition, we use  $\vec{T} - T_\alpha$  (resp.  $\vec{S} - S_M$ ) to denote a vector that results from deleting  $T_\alpha$  from  $\vec{T}$  (resp. deleting  $S_M$  from  $\vec{S}$ ).

We define the following functions:

- $F_{T_\alpha}(\vec{T} - T_\alpha, \vec{S}, I, H_P, H_Q, \vec{U}) = U_\alpha$  ( $T_\alpha = U_\alpha$  for short) for every formula  $\alpha \in K$ .
- $F_{S_M}(\vec{T}, \vec{S} - S_M, I, H_P, H_Q, \vec{U}) = \prod_{\alpha \in M} T_\alpha$  ( $S_M = \prod_{\alpha \in M} T_\alpha$  for short) for every minimal inconsistent subset  $M \in \text{MI}(K)$ .
- $F_{H_P}(\vec{S}, \vec{T}, I, H_Q, \vec{U}) = \bigoplus_{\beta \in P} (1 - T_\beta)$  ( $H_P = \bigoplus_{\beta \in P} (1 - T_\beta)$  for short), where  $\bigoplus$  is the Boolean addition.
- $F_{H_Q}(\vec{S}, \vec{T}, I, H_P, \vec{U}) = \bigoplus_{\gamma \in Q} \left( T_\gamma \oplus \prod_{M \in \text{MI}(K), \gamma \in M} (1 - \prod_{\alpha \in M, \alpha \neq \gamma} T_\alpha) \right)$  ( $H_Q = \bigoplus_{\gamma \in Q} \left( T_\gamma \oplus \prod_{M \in \text{MI}(K), \gamma \in M} (1 - \prod_{\alpha \in M, \alpha \neq \gamma} T_\alpha) \right)$  for short).
- $F_I(\vec{S}, \vec{T}, H_P, H_Q, \vec{U}) = \left( \bigoplus_{M \in \text{MI}(K)} S_M \right) \oplus H_P \oplus H_Q$  ( $I = \left( \bigoplus_{M \in \text{MI}(K)} S_M \right) \oplus H_P \oplus H_Q$  for short).

Roughly speaking, the function  $F_{T_\alpha}$  describes our assumption that the value of  $T_\alpha$  depends on only the value of the exogenous variable  $U_\alpha$ . In particular,  $F_{T_\alpha}(\vec{T} - T_\alpha, \vec{S}, I, H_P, H_Q, \vec{U}) = 1$  if and only if  $U_\alpha = 1$ . Then the context  $\vec{u} = (1, 1, \dots, 1)$  ( $\vec{u} = \vec{1}$  for short) describes the case that none of the formulas is deleted from  $K$ .

The function  $F_{S_M}$  aims to capture the fact that we need to remove at least one formula from the minimal inconsistent subset  $M$  to break  $M$ .

The function  $F_{H_P}$  aims to capture the constraint that all the formulas in  $P$  are not allowed to be removed from  $K$ . Here  $H_P = 0$  if and only if  $T_\beta = 1$  for all  $\beta \in P$ .

The function  $F_{H_Q}$  aims to capture the condition that all the formulas in  $Q$  must be removed from  $K$  in order to break all the minimal inconsistent subsets. Here  $H_Q = 0$  if and only if for each  $\gamma \in Q$ ,  $T_\gamma = 0$  and there exists at least one minimal inconsistent subset  $M$  such that  $\gamma \in M$  and  $\prod_{\alpha \in M, \alpha \neq \gamma} T_\alpha = 1$ .

The function  $F_I$  accords with the fact that we need to break all the minimal inconsistent subsets of  $K$  to restore consistency in  $K$  under the constraint  $\mathcal{H}$ . In summary, these functions capture the inherent features of inconsistency characterization in terms of minimal inconsistent subsets in the presence of a hard constraint.

Now we are ready to construct a causal model for inconsistency in the presence of a hard constraint. Let  $K$  be a knowledge base, then a causal model for the problem of inconsistency of  $K$  in the presence of constraint  $\mathcal{H}$ , denoted  $\mathcal{M}_{K|\mathcal{H}}$ , is defined as  $\mathcal{M}_{K|\mathcal{H}} = \langle S_{K|\mathcal{H}}, \mathcal{F}_{K|\mathcal{H}} \rangle$ , where

$$S_{K|\mathcal{H}} = \langle \mathcal{U}_K, \mathcal{V}_{K|\mathcal{H}}, \mathcal{R}_{K|\mathcal{H}} \rangle$$

and

$$\mathcal{F}_{K|\mathcal{H}} = \{F_{T_\alpha} | \alpha \in K\} \cup \{F_{S_M} | S \in \text{MI}(K)\} \cup \{F_I, F_{H_P}, F_{H_Q}\}.$$

The number of endogenous variables in  $\mathcal{M}_{K|\mathcal{H}}$  is  $|K| + |\text{MI}(K)| + 3$ , which is considered as the size of  $\mathcal{M}_{K|\mathcal{H}}$  in computational complexity analysis.

We use the following example to illustrate the notion of causal model for the inconsistency in the presence of a hard constraint.

**Example 6.1.** Consider  $K = \{a, \neg a, \neg a \vee b, \neg b, c, d, \neg d\}$  again. Suppose that  $\mathcal{H} = (\{d\}, \{a\})$ , then we construct the causal model  $\mathcal{M}_{K|\mathcal{H}}$  as follows:

- Let  $U_\alpha$  and  $T_\alpha$  be the exogenous and endogenous binary variables corresponding to  $\alpha$  for  $\alpha \in K$ , respectively. Then

$$T_\alpha = U_\alpha \text{ for all } \alpha \in K.$$

- Let  $S_{M_i}$  be the binary variable corresponding to  $M_i$  for  $i = 1, 2, 3$ . Then

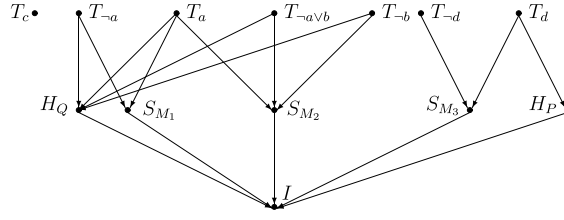
$$S_{M_1} = T_a \times T_{\neg a}, \quad S_{M_2} = T_a \times T_{\neg a \vee b} \times T_{\neg b}, \quad S_{M_3} = T_d \times T_{\neg d}.$$

- Let  $H_P$  and  $H_Q$  be the binary variable corresponding to constraints  $P = \{d\}$  and  $Q = \{a\}$ , respectively. Then

$$H_P = 1 - T_d, \quad H_Q = T_a \oplus ((1 - T_{\neg a}) \times (1 - T_{\neg a \vee b} \times T_{\neg b})).$$

- Let  $I$  be the binary variable corresponding to the inconsistency of  $K$ , then

$$I = S_{M_1} \oplus S_{M_2} \oplus S_{M_3} \oplus H_P \oplus H_Q.$$

Fig. 7. The causal model  $\mathcal{M}_{K|\mathcal{H}}$ .

Given a context  $\vec{u} = \vec{1}$  (i.e.,  $U_\alpha = 1$  for all  $\alpha \in K$ ), then

$$\begin{aligned} (\mathcal{M}_{K|\mathcal{H}}, \vec{u}) &\models (T_\alpha = 1) \text{ for } \alpha \in K; \\ (\mathcal{M}_{K|\mathcal{H}}, \vec{u}) &\models (S_{M_i} = 1), \text{ for } i = 1, 2, 3; \\ (\mathcal{M}_{K|\mathcal{H}}, \vec{u}) &\models (I = 1). \end{aligned}$$

Furthermore, consider the counterfactual world arising from  $(T_{-b}, T_{-a}, T_{-d}) \leftarrow \vec{0}$ , then

$$\begin{aligned} (\mathcal{M}_{K|\mathcal{H}}, \vec{u}) &\models [(T_{-b}, T_{-a}, T_{-d}) \leftarrow \vec{0}](S_{M_1} = 0) \wedge (S_{M_2} = 0) \wedge (S_{M_3} = 0); \\ (\mathcal{M}_{K|\mathcal{H}}, \vec{u}) &\models [(T_{-b}, T_{-a}, T_{-d}) \leftarrow \vec{0}](H_P = 0); \\ (\mathcal{M}_{K|\mathcal{H}}, \vec{u}) &\models [(T_{-b}, T_{-a}, T_{-d}) \leftarrow \vec{0}](H_Q = 1). \end{aligned}$$

The first one states that all the three minimal inconsistent subsets will be broken if we delete the formulas  $\neg b$ ,  $\neg a$ , and  $\neg d$  from  $K$ . The second states that the constraint  $P$  is satisfied by  $(T_{-b}, T_{-a}, T_{-d}) \leftarrow \vec{0}$ , while the third states that the constraint  $Q$  cannot be satisfied by  $(T_{-b}, T_{-a}, T_{-d}) \leftarrow \vec{0}$ .

Consider another counterfactual world arising from  $(T_a, T_{-d}) \leftarrow \vec{0}$ , then

$$\begin{aligned} (\mathcal{M}_{K|\mathcal{H}}, \vec{u}) &\models [(T_a, T_{-d}) \leftarrow \vec{0}](S_{M_i} = 0), \text{ for } i = 1, 2, 3; \\ (\mathcal{M}_{K|\mathcal{H}}, \vec{u}) &\models [(T_a, T_{-d}) \leftarrow \vec{0}](I = 0). \end{aligned}$$

These coincide with the intuition that all the minimal inconsistent subsets will be broken if we delete the formulas  $a$  and  $\neg d$  from  $K$  under the constraint  $\mathcal{H}$ .

This causal model for the inconsistency of  $K$  can be also represented by the causal network illustrated in Fig. 7.

The following proposition provides an explanation for the measure  $dr(K, \alpha|\mathcal{H})$  from the point of view of causality.

**Proposition 6.1.** Let  $K$  be an inconsistent knowledge base with a hard constraint  $\mathcal{H} = (P, Q)$  and  $\alpha$  a formula of  $K$ . Then

1.  $T_\alpha = 1$  is a cause of  $I = 1$  in  $(\mathcal{M}_{K|\mathcal{H}}, \vec{1})$  if and only if there is at least one minimal  $Y|_{\{\alpha\} \cup Q}$ -dominating set of  $G_{K|\mathcal{H}}$ .
2.  $dr((\mathcal{M}_{K|\mathcal{H}}, \vec{1}), (T_\alpha = 1), (I = 1)) = dr(K, \alpha|\mathcal{H})$ .

**Proof.** Let  $K$  be an inconsistent knowledge base with a hard constraint  $\mathcal{H} = (P, Q)$  and  $\mathcal{M}_{K|\mathcal{H}} = \langle \mathcal{S}_{K|\mathcal{H}}, \mathcal{F}_{K|\mathcal{H}} \rangle$  the causal model for the inconsistency of  $K$  in the presence of  $\mathcal{H}$ . Given  $\vec{u} = \vec{1}$ , then  $\vec{T} = \vec{1}$ . So,  $(\mathcal{M}_{K|\mathcal{H}}, \vec{1}) \models (H_P = 0)$ .

1. *Sufficiency.* If there is at least one minimal  $Y|_{\{\alpha\} \cup Q}$ -dominating set of  $G_{K|\mathcal{H}}$ , we only need to check AC2. Consider a smallest minimal  $Y|_{\{\alpha\} \cup Q}$ -dominating set  $R$  of  $G_{K|\mathcal{H}}$ . Then  $\{\alpha\} \cup Q \subseteq R$  and  $P \cap R = \emptyset$ . Let  $\vec{W} = \vec{T}_{R \setminus \{\alpha\}}$ , where  $\vec{T}_{R \setminus \{\alpha\}}$  is the vector of variables corresponding to the formulas in  $R \setminus \{\alpha\}$ .
  - AC2 (a). If  $T_\alpha = 0$ , then  $S_M = 0$  for every  $M$  s.t.  $\alpha \in M$ . Moreover, if  $\vec{W} = \vec{0}$ , then  $S_{M'} = 0$  for every  $M' \in \text{MI}(K)$  such that  $\alpha \notin M'$ . Note that  $Q \subseteq R$ , then  $\vec{R} = (T_\alpha, \vec{W}) = \vec{0}$  guarantees that  $H_Q = 0$  holds. So,

$$(\mathcal{M}_{K|\mathcal{H}}, \vec{1}) \models [T_\alpha \leftarrow 0, \vec{W} \leftarrow \vec{0}](S_M = 0)$$

for all  $M \in \text{MI}(K)$ , and

$$(\mathcal{M}_{K|\mathcal{H}}, \vec{1}) \models [T_\alpha \leftarrow 0, \vec{W} \leftarrow \vec{0}](H_Q = 0).$$

On the other hand,  $P \cap R = \emptyset$  implies that

$$(\mathcal{M}_{K|\mathcal{H}}, \vec{1}) \models [T_\alpha \leftarrow 0, \vec{W} \leftarrow \vec{0}](H_P = 0).$$

Then

$$(\mathcal{M}_{K|\mathcal{H}}, \vec{1}) \models [T_\alpha \leftarrow 0, \vec{W} \leftarrow \vec{0}](I = 0),$$

i.e.,

$$(\mathcal{M}_{K|\mathcal{H}}, \vec{1}) \models [T_\alpha \leftarrow 0, \vec{W} \leftarrow \vec{0}] \neg (I = 1).$$

- AC2 (b). Consider  $\vec{Z} = \vec{T} - \vec{W}$ . If  $T_\alpha = 1$  and  $\vec{Z}' = \vec{1}$  for all subsets  $\vec{Z}'$  of  $\vec{Z} - T_\alpha$ , then from the minimality of  $R$  as a  $Y|_{\{\alpha\} \cup Q}$ -dominating set, there is at least one minimal inconsistent subset  $M'$  s.t.  $\alpha \in M'$  and  $S_{M'} = 1$  when  $\vec{W} = \vec{0}$ . That is,

$$(\mathcal{M}_{K|\mathcal{H}}, \vec{1}) \models [T_\alpha \leftarrow 1, \vec{W} \leftarrow \vec{0}, \vec{Z}' = \vec{1}](I = 1).$$

So,  $T_\alpha = 1$  is a cause of  $I = 1$ .

*Necessity.* If  $T_\alpha = 1$  is a cause of  $I = 1$ , then there exists a partition  $(\vec{Z}, \vec{W})$  satisfying AC2. Let  $R_W$  be the set of formulas corresponding to  $\{T_\alpha\} \cup \vec{W}$ . Then  $R_W \cap P = \emptyset$  and  $Q \subseteq R_W$ , moreover,  $\forall M \in \text{MI}(K)$ ,  $\exists \beta \in R_W$  s.t.  $\beta \in M$ . Therefore,  $R_W$  is a  $Y$ -dominating set of  $G_{K|\mathcal{H}}$ , moreover, there exists a minimal  $Y|_Q$ -dominating set  $R$  of  $G_{K|\mathcal{H}}$  such that  $R \subseteq R_W$ . Suppose that any minimal  $Y|_Q$ -dominating set  $R$  of  $G_{K|\mathcal{H}}$  such that  $R \subseteq R_W$  does not contain  $\alpha$ , then  $\alpha \notin Q$  and there exists a minimal  $Y|_Q$ -dominating set  $R'$  of  $G_{K|\mathcal{H}}$  such that  $R' \subseteq R_W \setminus \{\alpha\}$ . This implies that

$$(\mathcal{M}_{K|\mathcal{H}}, \vec{1}) \models [T_\alpha \leftarrow 1, \vec{W} \leftarrow \vec{0}, \vec{Z}' = \vec{1}](I = 0).$$

This contradicts A2(b). So, there exists at least one minimal  $Y|_Q$ -dominating set  $R$  of  $G_{K|\mathcal{H}}$  such that  $\alpha \in R$ . Hence, there exists at least one minimal  $Y|_{\{\alpha\} \cup Q}$ -dominating set of  $G_{K|\mathcal{H}}$ .

2. From the proof for *sufficiency* above, we know that no subset of  $\vec{W}$  satisfies AC2. Then

$$dr((\mathcal{M}_{K|\mathcal{H}}, \vec{1}), (T_\alpha = 1), (I = 1)) = \frac{1}{1 + |R \setminus \{\alpha\}|} = \frac{1}{\gamma_{Y|_Q \cup \{\alpha\}}(G_{K|\mathcal{H}})}$$

if  $(T_\alpha = 1)$  is a cause of  $I = 1$ . Otherwise

$$dr((\mathcal{M}_{K|\mathcal{H}}, \vec{1}), (T_\alpha = 1), (I = 1)) = 0.$$

So,

$$dr((\mathcal{M}_{K|\mathcal{H}}, \vec{1}), (T_\alpha = 1), (I = 1)) = dr(K, \alpha|\mathcal{H}). \quad \square$$

The first item of this proposition shows that only formulas involved in a minimal  $Y|_Q$ -dominating set of  $G_{K|\mathcal{H}}$  may be considered as causes of the inconsistency in a knowledge base in the presence of a hard constraint  $\mathcal{H}$ . This accords with that only formulas involved in minimal  $Y|_Q$ -dominating sets of  $G_{K|\mathcal{H}}$  have to bear nonzero responsibilities for the inconsistency of a knowledge base with the constraint in the context of the measure  $dr(K, \alpha|\mathcal{H})$ . The second item shows that the measure  $dr(K, \alpha|\mathcal{H})$  exactly grasps the degree of responsibility of  $\alpha$  for the inconsistency of  $K$  in the presence of  $\mathcal{H}$  from the point of view of causality.

## 6.2. A causal model for inconsistency with a soft constraint

Compared to the hard constraint, the soft constraint is given in the form of pairs of formulas that are not allowed to be removed together. Given an inconsistent knowledge base  $K$  with a soft constraint  $\mathcal{C}$ , besides the variables corresponding to formulas and minimal inconsistent subsets as above, we associate with each pair  $(\alpha, \beta) \in \mathcal{C}$  a binary variable  $L_{(\alpha, \beta)}$ , whose value is 1 if and only if both  $\alpha$  and  $\beta$  are removed from  $K$ . We use  $\vec{L}$  to denote the vector of all variables corresponding to pairs of  $\mathcal{C}$ .

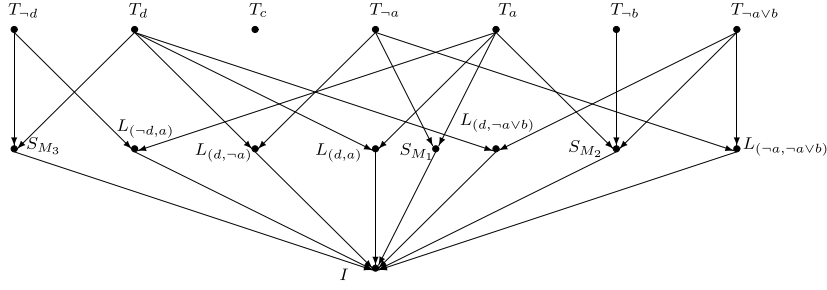
Let  $\mathcal{V}_{K|\mathcal{C}} = \{T_\alpha | \alpha \in K\} \cup \{S_M | M \in \text{MI}(K)\} \cup \{I\} \cup \{L_{(\alpha, \beta)} | (\alpha, \beta) \in \mathcal{C}\}$ . Then  $\mathcal{R}_{K|\mathcal{C}}(V) = \{0, 1\}$  for each  $V \in \mathcal{V}_{K|\mathcal{C}}$ .

Further, we define the following functions:

- $F_{T_\alpha}(\vec{T} - T_\alpha, \vec{S}, I, \vec{L}, \vec{U}) = U_\alpha$  ( $T_\alpha = U_\alpha$  for short) for every formula  $\alpha \in K$ .
- $F_{S_M}(\vec{T}, \vec{S} - S_M, I, \vec{L}, \vec{U}) = \prod_{\alpha \in M} T_\alpha$  ( $S_M = \prod_{\alpha \in M} T_\alpha$  for short) for every minimal inconsistent subset  $M \in \text{MI}(K)$ .
- $F_{L_{(\alpha, \beta)}}(\vec{S}, \vec{T}, I, \vec{L} - L_{(\alpha, \beta)}, \vec{U}) = (1 - T_\alpha) \times (1 - T_\beta)$  ( $L_{(\alpha, \beta)} = (1 - T_\alpha) \times (1 - T_\beta)$  for short) for every pair  $(\alpha, \beta) \in \mathcal{C}$ .
- $F_I(\vec{S}, \vec{T}, \vec{L}, \vec{U}) = (\bigoplus_{M \in \text{MI}(K)} S_M) \oplus (\bigoplus_{(\alpha, \beta) \in \mathcal{C}} L_{(\alpha, \beta)})$  ( $I = (\bigoplus_{M \in \text{MI}(K)} S_M) \oplus (\bigoplus_{(\alpha, \beta) \in \mathcal{C}} L_{(\alpha, \beta)})$  for short).

The function  $F_{L_{(\alpha, \beta)}}$  aims to capture the constraint that  $\alpha$  and  $\beta$  are not allowed to be removed together from  $K$ , while the function  $F_I$  accords with the fact that we need to break all the minimal inconsistent subsets of  $K$  to restore consistency in  $K$  under the constraint  $\mathcal{C}$ .

Now we are ready to construct a causal model for inconsistency in the presence of a soft constraint. Let  $K$  be a knowledge base, then a causal model for the problem of inconsistency of  $K$  in the presence of constraint  $\mathcal{C}$ , denoted  $\mathcal{M}_{K|\mathcal{C}}$ , is

Fig. 8. The causal model  $\mathcal{M}_{K|C}$ .

defined as  $\mathcal{M}_{K|C} = \langle \mathcal{S}_{K|C}, \mathcal{F}_{K|C} \rangle$ , where

$$\mathcal{S}_{K|C} = \langle \mathcal{U}_K, \mathcal{V}_{K|C}, \mathcal{R}_{K|C} \rangle$$

and

$$\mathcal{F}_{K|C} = \{F_{T_\alpha} | \alpha \in K\} \cup \{F_{S_M} | M \in \text{MI}(K)\} \cup \{F_{L_{(\alpha,\beta)}} | (\alpha, \beta) \in \mathcal{C}\} \cup \{F_I\}.$$

The number of endogenous variables in  $\mathcal{M}_{K|C}$  is  $|K| + |\text{MI}(K)| + |\mathcal{C}| + 1$ , which is considered as the size of  $\mathcal{M}_{K|C}$  in computational complexity analysis.

We use the following example to illustrate the notion of causal model for the inconsistency in the presence of a soft constraint.

**Example 6.2.** Consider  $K = \{a, \neg a, \neg a \vee b, \neg b, c, d, \neg d\}$  again. Suppose that  $\mathcal{C} = \{(a, d), (a, \neg d), (\neg a, d), (\neg a, \neg a \vee b), (\neg a \vee b, d)\}$ , then we construct the causal model  $\mathcal{M}_{K|C}$  as follows:

- $T_\alpha = U_\alpha$  for all  $\alpha \in K$ .
- $S_{M_1} = T_a \times T_{\neg a}$ ,  $S_{M_2} = T_a \times T_{\neg a \vee b} \times T_{\neg b}$ ,  $S_{M_3} = T_{\neg d} \times T_d$ .
- $L_{(a,d)} = (1 - T_a) \times (1 - T_d)$ ,  $L_{(a,\neg d)} = (1 - T_a) \times (1 - T_{\neg d})$ ,  $L_{(\neg a,d)} = (1 - T_{\neg a}) \times (1 - T_d)$ ,  $L_{(\neg a,\neg a \vee b)} = (1 - T_{\neg a}) \times (1 - T_{\neg a \vee b})$ ,  $L_{(\neg a \vee b,d)} = (1 - T_{\neg a \vee b}) \times (1 - T_d)$ .
- $I = S_{M_1} \oplus S_{M_2} \oplus S_{M_3} \oplus L_{(a,d)} \oplus L_{(a,\neg d)} \oplus L_{(\neg a,d)} \oplus L_{(\neg a,\neg a \vee b)} \oplus L_{(\neg a \vee b,d)}$ .

Given a context  $\vec{u} = \vec{1}$  (i.e.,  $U_\alpha = 1$  for all  $\alpha \in K$ ), then

$$(\mathcal{M}_{K|C}, \vec{u}) \models (L_{(\alpha,\beta)} = 0) \text{ for } (\alpha, \beta) \in \mathcal{C};$$

$$(\mathcal{M}_{K|C}, \vec{u}) \models (I = 1).$$

This causal model for the inconsistency of  $K$  can be also represented by the causal network illustrated in Fig. 8.

The following proposition provides an explanation for the measure  $dr(K, \alpha|C)$  from the point of view of causality.

**Proposition 6.2.** Let  $K$  be an inconsistent knowledge base with a soft constraint  $\mathcal{C}$  and  $\alpha$  a formula of  $K$ . Then

1.  $T_\alpha = 1$  is a cause of  $I = 1$  in  $(\mathcal{M}_{K|C}, \vec{1})$  if and only if there is at least one minimal  $Y_{|\alpha|}^{[C]}$ -dominating set of  $G_K$ .
2.  $dr((\mathcal{M}_{K|C}, \vec{1}), (T_\alpha = 1), (I = 1)) = dr(K, \alpha|C)$ .

**Proof.** Let  $K$  be an inconsistent knowledge base with a soft constraint  $\mathcal{C}$  and  $\mathcal{M}_{K|C}$  the causal model for the inconsistency of  $K$  in the presence of  $\mathcal{C}$ .

1. *Sufficiency.* If there is at least one minimal  $Y_{|\alpha|}^{[C]}$ -dominating set of  $G_K$ , we only need to check AC2.

Consider a smallest minimal  $Y_{|\alpha|}^{[C]}$ -dominating set  $R$  of  $G_K$ . Let  $\vec{W} = \vec{T}_{R \setminus \{\alpha\}}$ , where  $\vec{T}_{R \setminus \{\alpha\}}$  is the vector of variables corresponding to the formulas in  $R \setminus \{\alpha\}$ .

- AC2 (a).  $\vec{R} = (T_\alpha, \vec{W}) = \vec{0}$  guarantees that  $S_M = 0$  for all  $M \in \text{MI}(K)$ . Moreover, the compatibility of  $R$  with constraint  $\mathcal{C}$  guarantees that  $L_{(\beta,\gamma)} = 0$  holds for every pair  $(\beta, \gamma) \in \mathcal{C}$ . So, if  $T_\alpha = 0$  and  $\vec{W} = \vec{0}$ , then  $I = 0$ . That is,

$$(\mathcal{M}_{K|C}, \vec{1}) \models [T_\alpha \leftarrow 0, \vec{W} \leftarrow \vec{0}] \neg (I = 1).$$



- AC2 (b). Consider  $\vec{Z} = \vec{T} - \vec{W}$ . If  $T_\alpha = 1$  and  $\vec{Z}' = \vec{1}$  for all subsets  $\vec{Z}'$  of  $\vec{Z} - \vec{T}_\alpha$ , then from the minimality of  $R$  as a  $Y_{|\alpha|}^{[C]}$ -dominating set, there is at least one minimal inconsistent subset  $M$  s.t.  $\alpha \in M$  and  $S_M = 1$  when  $\vec{W} = \vec{0}$ . That is,

$$(\mathcal{M}_{K|C}, \vec{1}) \models [T_\alpha \leftarrow 1, \vec{W} \leftarrow \vec{0}, \vec{Z}' = \vec{1}](I = 1).$$

So,  $T_\alpha = 1$  is a cause of  $I = 1$ .

*Necessity.* Suppose that  $T_\alpha = 1$  is a cause of  $I = 1$ , then there exists a partition  $(\vec{Z}, \vec{W})$  satisfying AC2. Moreover, the set of formulas corresponding to  $\{T_\alpha\} \cup \vec{W}$  is a  $Y_{|\alpha|}^{[C]}$ -dominating set of  $G_K$ . Then there exists at least one minimal  $Y^{[C]}$ -dominating set  $R'$  such that  $R' \subseteq R$  and  $\alpha \in R'$ . On the contrary, it is that  $\alpha \notin R'$  holds for all  $Y^{[C]}$ -dominating set  $R'$  such that  $R' \subseteq R$ . This implies that

$$(\mathcal{M}_{K|C}, \vec{1}) \models [T_\alpha \leftarrow 1, \vec{W}_{R'} \leftarrow \vec{0}, \vec{Z}' = \vec{1}](I = 0),$$

where  $\vec{W}_{R'}$  is the vector of variables corresponding to formulas in  $R'$ . This contradicts A2(b).

2. From the proof for *sufficiency* above, we know that no subset of  $\vec{W}$  satisfies AC2. Then

$$dr((\mathcal{M}_{K|C}, \vec{1}), (T_\alpha = 1), (I = 1)) = \frac{1}{1 + |R \setminus \{\alpha\}|} = \frac{1}{\gamma_{Y_{|\alpha|}^{[C]}}(G_K)}$$

if  $(T_\alpha = 1)$  is a cause of  $I = 1$ . Otherwise

$$dr((\mathcal{M}_{K|C}, \vec{1}), (T_\alpha = 1), (I = 1)) = 0.$$

So,

$$dr((\mathcal{M}_{K|C}, \vec{1}), (T_\alpha = 1), (I = 1)) = dr(K, \alpha|C). \quad \square$$

The first item of this proposition shows that only formulas involved in a minimal  $Y^{[C]}$ -dominating set of  $G_K$  may be considered as causes of the inconsistency in a knowledge base in the presence of a soft constraint  $C$ . This accords with that only formulas involved in minimal  $Y^{[C]}$ -dominating sets of  $G_K$  have to bear nonzero responsibilities for the inconsistency of a knowledge base with the constraint in the context of the measure  $dr(K, \alpha|C)$ . The second item shows that the measure  $dr(K, \alpha|C)$  exactly grasps the degree of responsibility of  $\alpha$  for the inconsistency of  $K$  in the presence of  $C$  from the point of view of causality.

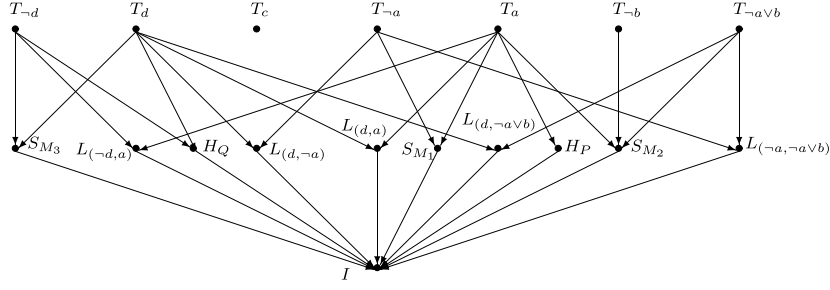
### 6.3. A causal model for inconsistency with a mixed constraint

Given an inconsistent knowledge base  $K$  with a mixed constraint  $(\mathcal{H}, C)$ , the causal model for the inconsistency of  $K$  in the presence of  $(\mathcal{H}, C)$ , denoted  $\mathcal{M}_{K|(\mathcal{H}, C)}$ , can be constructed as follows:

- the set  $\mathcal{V}_{K|(\mathcal{H}, C)}$  of endogenous variables consists of all the variables involved in  $\mathcal{M}_{K|\mathcal{H}}$  and  $\mathcal{M}_{K|C}$ , i.e.,

$$\mathcal{V}_{K|(\mathcal{H}, C)} = \mathcal{V}_{K|\mathcal{H}} \cup \mathcal{V}_{K|C} = \{T_\alpha | \alpha \in K\} \cup \{S_M | M \in \text{MI}(K)\} \cup \{I\} \cup \{H_P, H_Q\} \cup \{L_{(\alpha, \beta)} | (\alpha, \beta) \in C\}$$

- $\mathcal{R}_{K|(\mathcal{H}, C)}(V) = \{0, 1\}$  for each  $V \in \mathcal{V}_{K|(\mathcal{H}, C)}$ .
- $\mathcal{S}_{K|(\mathcal{H}, C)} = (\mathcal{U}_K, \mathcal{V}_{K|(\mathcal{H}, C)}, \mathcal{R}_{K|(\mathcal{H}, C)})$ .
- The functions are given as follows:
  - $F_{T_\alpha}(\vec{T} - T_\alpha, \vec{S}, I, H_P, H_Q, \vec{L}, \vec{U}) = U_\alpha$  ( $T_\alpha = U_\alpha$  for short) for every formula  $\alpha \in K$ .
  - $F_{S_M}(\vec{T}, \vec{S} - S_M, I, H_P, H_Q, \vec{L}, \vec{U}) = \prod_{\alpha \in M} T_\alpha$  ( $S_M = \prod_{\alpha \in M} T_\alpha$  for short) for every minimal inconsistent subset  $M \in \text{MI}(K)$ .
  - $F_{L_{(\alpha, \beta)}}(\vec{S}, \vec{T}, I, H_P, H_Q, \vec{L} - L_{(\alpha, \beta)}, \vec{U}) = (1 - T_\alpha) \times (1 - T_\beta)$  ( $L_{(\alpha, \beta)} = (1 - T_\alpha) \times (1 - T_\beta)$  for short) for every pair  $(\alpha, \beta) \in C$ .
  - $F_{H_P}(\vec{S}, \vec{T}, I, H_Q, \vec{L}, \vec{U}) = \bigoplus_{\beta \in P} (1 - T_\beta)$  ( $H_P = \bigoplus_{\beta \in P} (1 - T_\beta)$  for short).
  - $F_{H_Q}(\vec{S}, \vec{T}, I, H_P, \vec{U}) = \bigoplus_{\gamma \in Q} \left( T_\gamma \oplus \prod_{M \in \text{MI}(K), \gamma \in M} (1 - \prod_{\alpha \in M, \alpha \neq \gamma} T_\alpha) \right)$  ( $H_Q = \bigoplus_{\gamma \in Q} \left( T_\gamma \oplus \prod_{M \in \text{MI}(K), \gamma \in M} (1 - \prod_{\alpha \in M, \alpha \neq \gamma} T_\alpha) \right)$  for short).
  - $F_I(\vec{S}, \vec{T}, H_P, H_Q, \vec{L}, \vec{U}) = \left( \bigoplus_{M \in \text{MI}(K)} S_M \right) \oplus H_P \oplus H_Q \oplus \left( \bigoplus_{(\alpha, \beta) \in C} L_{(\alpha, \beta)} \right)$  ( $I = \left( \bigoplus_{M \in \text{MI}(K)} S_M \right) \oplus H_P \oplus H_Q \oplus \left( \bigoplus_{(\alpha, \beta) \in C} L_{(\alpha, \beta)} \right)$  for short).

Fig. 9. The causal model  $\mathcal{M}_{K|(\mathcal{H}, \mathcal{C})}$ .

The function  $F_I$  states that we need to break all the minimal inconsistent subsets of  $K$  to restore consistency in  $K$  under constraints  $\mathcal{H}$  and  $\mathcal{C}$ .

Then the causal model can be given as  $\mathcal{M}_{K|(\mathcal{H}, \mathcal{C})} = \langle \mathcal{S}_{K|(\mathcal{H}, \mathcal{C})}, \mathcal{F}_{K|(\mathcal{H}, \mathcal{C})} \rangle$ , where

$$\mathcal{S}_{K|(\mathcal{H}, \mathcal{C})} = \langle \mathcal{U}_K, \mathcal{V}_{K|(\mathcal{H}, \mathcal{C})}, \mathcal{R}_{K|(\mathcal{H}, \mathcal{C})} \rangle$$

and

$$\begin{aligned} \mathcal{F}_{K|(\mathcal{H}, \mathcal{C})} = & \{F_{T_\alpha} | \alpha \in K\} \cup \{F_{S_M} | M \in \text{MI}(K)\} \cup \{F_I\} \cup \{F_{H_P}, F_{H_Q}\} \\ & \cup \{F_{L_{(\alpha, \beta)}} | (\alpha, \beta) \in \mathcal{C}\}. \end{aligned}$$

The number of endogenous variables in  $\mathcal{M}_{K|C}$  is  $|K| + |\text{MI}(K)| + |\mathcal{C}| + 3$ , which is considered as the size of  $\mathcal{M}_{K|(\mathcal{H}, \mathcal{C})}$  in computational complexity analysis.

We use the following example to illustrate the notion of causal model for the inconsistency in the presence of a mixed constraint.

**Example 6.3.** Consider  $K = \{a, \neg a, \neg a \vee b, \neg b, c, d, \neg d\}$  again. Suppose that  $\mathcal{H} = (\{a\}, \{\neg d\})$  and  $\mathcal{C} = \{(a, d), (a, \neg d), (\neg a, d), (\neg a, \neg a \vee b), (\neg a \vee b, d)\}$ , then we construct the causal model  $\mathcal{M}_{K|(\mathcal{H}, \mathcal{C})}$  as follows:

- $T_\alpha = U_\alpha$  for all  $\alpha \in K$ .
- $S_{M_1} = T_a \times T_{\neg a}$ ,  $S_{M_2} = T_a \times T_{\neg a \vee b} \times T_{\neg b}$ ,  $S_{M_3} = T_{\neg d} \times T_d$ .
- $H_P = 1 - T_a$ ,  $H_Q = T_{\neg d} \oplus (1 - T_d)$ .
- $L_{(a, d)} = (1 - T_a) \times (1 - T_d)$ ,  $L_{(a, \neg d)} = (1 - T_a) \times (1 - T_{\neg d})$ ,  $L_{(\neg a, d)} = (1 - T_{\neg a}) \times (1 - T_d)$ ,  $L_{(\neg a, \neg a \vee b)} = (1 - T_{\neg a}) \times (1 - T_{\neg a \vee b})$ ,  $L_{(\neg a \vee b, d)} = (1 - T_{\neg a \vee b}) \times (1 - T_d)$ .
- $I = S_{M_1} \oplus S_{M_2} \oplus S_{M_3} \oplus L_{(a, d)} \oplus L_{(a, \neg d)} \oplus L_{(\neg a, d)} \oplus L_{(\neg a, \neg a \vee b)} \oplus L_{(\neg a \vee b, d)} \oplus H_P \oplus H_Q$ .

Given a context  $\vec{u} = \vec{1}$  (i.e.,  $U_\alpha = 1$  for all  $\alpha \in K$ ), then

$$(\mathcal{M}_{K|(\mathcal{H}, \mathcal{C})}, \vec{u}) \models (I = 1).$$

This causal model for the inconsistency of  $K$  can be also represented by the causal network illustrated in Fig. 9.

The following proposition provides an explanation for the measure  $dr(K, \alpha | \mathcal{H}, \mathcal{C})$  from the point of view of causality.

**Proposition 6.3.** Let  $K$  be an inconsistent knowledge base with a mixed constraint  $(\mathcal{H}, \mathcal{C})$  and  $\alpha$  a formula of  $K$ , where  $\mathcal{H} = (P, Q)$ . Then

1.  $T_\alpha = 1$  is a cause of  $I = 1$  in  $(\mathcal{M}_{K|(\mathcal{H}, \mathcal{C})}, \vec{1})$  if and only if there is at least one minimal  $Y|_{Q \cup \{\alpha\}}^{[C]}$ -dominating set of  $G_{K|\mathcal{H}}$ .
2.  $dr((\mathcal{M}_{K|(\mathcal{H}, \mathcal{C})}, \vec{1}), (T_\alpha = 1), (I = 1)) = dr(K, \alpha | \mathcal{H}, \mathcal{C})$ .

**Proof.** Let  $K$  be an inconsistent knowledge base with a mixed constraint  $(\mathcal{H} = (P, Q), \mathcal{C})$  and  $\mathcal{M}_{K|(\mathcal{H}, \mathcal{C})}$  the causal model for the inconsistency of  $K$  in the presence of the mixed constraint.

1. *Sufficiency.* If there is at least one minimal  $Y|_{Q \cup \{\alpha\}}^{[C]}$ -dominating set of  $G_{K|\mathcal{H}}$ , we only need to check AC2. Consider a smallest minimal  $Y|_{Q \cup \{\alpha\}}^{[C]}$ -dominating set  $R$  of  $G_{K|\mathcal{H}}$ . Let  $\vec{W} = \vec{T}_{R \setminus \{\alpha\}}$ .

- AC2 (a). From the proof of Proposition 6.1,

$$(\mathcal{M}_{K|(\mathcal{H}, \mathcal{C})}, \vec{1}) \models [T_\alpha \leftarrow 0, \vec{W} \leftarrow \vec{0}](S_M = 0)$$

for all  $M \in \text{MI}(K)$ , and

$$(\mathcal{M}_{K|(\mathcal{H}, \mathcal{C})}, \vec{1}) \models [T_\alpha \leftarrow 0, \vec{W} \leftarrow \vec{0}](H_Q = 0),$$

$$(\mathcal{M}_{K|(\mathcal{H}, \mathcal{C})}, \vec{1}) \models [T_\alpha \leftarrow 0, \vec{W} \leftarrow \vec{0}](H_P = 0).$$

From the proof of Proposition 6.2,

$$(\mathcal{M}_{K|(\mathcal{H}, \mathcal{C})}, \vec{1}) \models [T_\alpha \leftarrow 0, \vec{W} \leftarrow \vec{0}](L_{(\beta, \gamma)} = 0)$$

for every pair  $(\beta, \gamma) \in \mathcal{C}$ . Then

$$(\mathcal{M}_{K|(\mathcal{H}, \mathcal{C})}, \vec{1}) \models [T_\alpha \leftarrow 0, \vec{W} \leftarrow \vec{0}](I = 0),$$

i.e.,

$$(\mathcal{M}_{K|(\mathcal{H}, \mathcal{C})}, \vec{1}) \models [T_\alpha \leftarrow 0, \vec{W} \leftarrow \vec{0}]\neg(I = 1).$$

- AC2 (b). Consider  $\vec{Z} = \vec{T} - \vec{W}$ . If  $T_\alpha = 1$  and  $\vec{Z}' = \vec{1}$  for all subsets  $\vec{Z}'$  of  $\vec{Z} - \vec{T}_\alpha$ , then from the minimality of  $R$  as a  $Y_{|Q \cup \{\alpha\}}^{[C]}$ -dominating set, there is at least one minimal inconsistent subset  $M'$  s.t.  $\alpha \in M'$  and  $S_{M'} = 1$  when  $\vec{W} = \vec{0}$ . That is,

$$(\mathcal{M}_{K|(\mathcal{H}, \mathcal{C})}, \vec{1}) \models [T_\alpha \leftarrow 1, \vec{W} \leftarrow \vec{0}, \vec{Z}' = \vec{1}](I = 1).$$

So,  $T_\alpha = 1$  is a cause of  $I = 1$ .

*Necessity.* If  $T_\alpha = 1$  is a cause of  $I = 1$ , then there exists a partition  $(\vec{Z}, \vec{W})$  satisfying AC2. Let  $R_W$  be the set of formulas corresponding to  $\{T_\alpha\} \cup \vec{W}$ . Then  $R_W$  is a  $Y_{|Q \cup \{\alpha\}}^{[C]}$ -dominating set of  $G_{K|\mathcal{H}}$ , moreover, there exists a minimal  $Y_{|Q}^{[C]}$ -dominating set  $R$  of  $G_{K|\mathcal{H}}$  such that  $R \subseteq R_W$ . Suppose that any minimal  $Y_{|Q}^{[C]}$ -dominating set  $R$  of  $G_{K|\mathcal{H}}$  such that  $R \subseteq R_W$  does not contain  $\alpha$ , then  $\alpha \notin Q$  and there exists a minimal  $Y_{|Q}^{[C]}$ -dominating set  $R'$  of  $G_{K|\mathcal{H}}$  such that  $R' \subseteq R_W \setminus \{\alpha\}$ . This implies that

$$(\mathcal{M}_{K|(\mathcal{H}, \mathcal{C})}, \vec{1}) \models [T_\alpha \leftarrow 1, \vec{W} \leftarrow \vec{0}, \vec{Z}' = \vec{1}](I = 0).$$

This contradicts A2(b). So, there exists at least one minimal  $Y_{|Q}^{[C]}$ -dominating set  $R$  of  $G_{K|\mathcal{H}}$  such that  $\alpha \in R$ . Hence, there exists at least one minimal  $Y_{|\alpha \cup Q}^{[C]}$ -dominating set of  $G_{K|\mathcal{H}}$ .

2. From the proof for *sufficiency* above, we know that no subset of  $\vec{W}$  satisfies AC2. Then

$$dr((\mathcal{M}_{K|(\mathcal{H}, \mathcal{C})}, \vec{1}), (T_\alpha = 1), (I = 1)) = \frac{1}{1 + |R \setminus \{\alpha\}|} = \frac{1}{\gamma_{Y_{|Q \cup \{\alpha\}}^{[C]}}(G_{K|\mathcal{H}})}$$

if  $(T_\alpha = 1)$  is a cause of  $I = 1$ . Otherwise

$$dr((\mathcal{M}_{K|(\mathcal{H}, \mathcal{C})}, \vec{1}), (T_\alpha = 1), (I = 1)) = 0.$$

So,

$$dr((\mathcal{M}_{K|(\mathcal{H}, \mathcal{C})}, \vec{1}), (T_\alpha = 1), (I = 1)) = dr(K, \alpha|\mathcal{H}, \mathcal{C}). \quad \square$$

The first item of this proposition shows that only formulas involved in a minimal  $Y_{|Q}^{[C]}$ -dominating set of  $G_{K|\mathcal{H}}$  may be considered as causes of the inconsistency in a knowledge base in the presence of a mixed constraint  $(\mathcal{H}, \mathcal{C})$ . This accords with that only formulas involved in minimal  $Y_{|Q}^{[C]}$ -dominating sets of  $G_{K|\mathcal{H}}$  have to bear nonzero responsibilities for the inconsistency of a knowledge base with the constraint in the context of the measure  $dr(K, \alpha|\mathcal{H}, \mathcal{C})$ . The second item shows that the measure  $dr(K, \alpha|\mathcal{H}, \mathcal{C})$  exactly grasps the degree of responsibility of  $\alpha$  for the inconsistency of  $K$  in the presence of  $(\mathcal{H}, \mathcal{C})$  from the point of view of causality.

## 7. Logical properties and computational complexity

In this section, we discuss some interesting logical properties for the base-level measure and the formula-level measure, respectively.

The following proposition shows that the base-level measure  $I_{dr}(K|\mathcal{H})$  is a bounded function w.r.t. the constraint  $\mathcal{H}$ .

**Proposition 7.1.** *Let  $K$  be a knowledge base with a hard constraint  $\mathcal{H}$ . Then*

$$\gamma_Y(G_K) = I_{dr}(K) \leq I_{dr}(K|\mathcal{H}) \leq L_Y(G_K).$$

**Proof.** Let  $K$  be a knowledge base with a hard constraint  $\mathcal{H} = (P, Q)$ . Then any minimal  $Y|_Q$ -dominating set of  $G_{K|\mathcal{H}}$  must be a minimal  $Y$ -dominating set of  $G_K$ . So,

$$\gamma_Y(G_K) = I_{dr}(K) \leq I_{dr}(K|\mathcal{H}) \leq L_Y(G_K). \quad \square$$

Let  $\mathcal{H} = (P, Q)$  and  $\mathcal{H}' = (P', Q')$  two hard constraints for  $K$ , we say that  $\mathcal{H} \subseteq \mathcal{H}'$  if  $P \subseteq P'$  and  $Q \subseteq Q'$ . Then the following proposition shows that the base-level measure  $I_{dr}(K|\mathcal{H})$  is a monotonic function w.r.t. the constraint  $\mathcal{H}$ .

**Proposition 7.2.** *Let  $K$  be a knowledge base and  $\mathcal{H}$  and  $\mathcal{H}'$  two hard constraints for  $K$  such that  $\mathcal{H} \subseteq \mathcal{H}'$ . Then*

$$I_{dr}(K|\mathcal{H}) \leq I_{dr}(K|\mathcal{H}'). \quad (7.1)$$

**Proof.** Let  $K$  be a knowledge base and  $\mathcal{H} = (P, Q)$  and  $\mathcal{H}' = (P', Q')$  two hard constraints for  $K$  such that  $\mathcal{H} \subseteq \mathcal{H}'$ . Then any minimal  $Y|_{Q'}$ -dominating set of  $G_{K|\mathcal{H}'}$  must be a minimal  $Y|_Q$ -dominating set of  $G_{K|\mathcal{H}}$ . Therefore the inequality (7.1) holds.  $\square$

In particular, we have the following result about the protected-formulas constraint.

**Corollary 7.1.** *Let  $K$  be a knowledge base. Then  $I_{dr}(K|P_N) \leq I_{dr}(K|P'_N)$  for any two protected-formulas constraints  $P$  and  $P'$  such that  $P \subseteq P'$ .*

**Proof.** This is a direct consequence of Proposition 7.2.  $\square$

The following proposition shows that there is a special relation between  $I_{dr}(K|\mathcal{H})$  and the  $Y|_{Q \cap X(G_i)}$ -domination number for each component  $G_i$  of  $G_{K|\mathcal{H}}$ .

**Proposition 7.3.** *Let  $K$  be a knowledge base with a hard constraint  $\mathcal{H}$ . Let  $\{G_1, G_2, \dots, G_m\}$  be the set of components of the MIS-graph  $G_{K|\mathcal{H}}$ . Then*

$$I_{dr}(K|\mathcal{H}) = \sum_{i=1}^m \gamma_{Y|_{Q \cap X(G_i)}}(G_i).$$

**Proof.** Let  $R$  be a minimal  $Y|_Q$ -dominating set of  $G_{K|\mathcal{H}}$ , then  $R \cap X(G_i)$  must be a minimal  $Y|_{Q \cap X(G_i)}$ -dominating set of  $G_i$  for all  $1 \leq i \leq m$ . Therefore,

$$I_{dr}(K|\mathcal{H}) = \gamma_{Y|_Q}(G_{K|\mathcal{H}}) = \sum_{i=1}^m \gamma_{Y|_{Q \cap X(G_i)}}(G_i). \quad \square$$

In particular, we have the following result about  $I_{dr}$ .

**Corollary 7.2.** *Let  $K$  be a knowledge base and  $\{G_1, G_2, \dots, G_m\}$  the set of components of the MIS-graph  $G_K$ . Then*

$$I_{dr}(K) = \sum_{i=1}^m \gamma_Y(G_i) = \sum_{i=1}^m I_{dr}(X(G_i)).$$

**Proof.** This is a direct consequence of Proposition 7.3.  $\square$

This corollary states that the measure  $I_{dr}(K)$  is exactly the sum of the measures of MIS-components of  $K$ .

Next we consider some properties of the formula-level measure. The following proposition shows that  $dr(K, \alpha|\mathcal{H})$  is anti-monotonic with regard to  $\mathcal{H}$ .

**Proposition 7.4.** *Let  $K$  be a knowledge base and  $\alpha$  a formula of  $K$ . Then*

$$dr(K, \alpha|\mathcal{H}') \leq dr(K, \alpha|\mathcal{H}) \quad (7.2)$$

*holds for any two hard constraints  $\mathcal{H}$  and  $\mathcal{H}'$  such that  $\mathcal{H} \subseteq \mathcal{H}'$ .*

**Proof.** Let  $K$  be a knowledge base and  $\mathcal{H}$  and  $\mathcal{H}'$  two hard constraints for  $K$  such that  $\mathcal{H} \subseteq \mathcal{H}'$ . Note that any minimal  $Y|_{\{\alpha\} \cup Q'}$ -dominating set of  $G_{K|\mathcal{H}'}$  must be a minimal  $Y|_{\{\alpha\} \cup Q}$ -dominating set of  $G_{K|\mathcal{H}}$ .

- If there exists at least one minimal  $Y|_{\{\alpha\} \cup Q'}$ -dominating set of  $G_{K|\mathcal{H}'}$ , then

$$dr(K, \alpha|\mathcal{H}') = \frac{1}{\gamma_{Y|_{\{\alpha\} \cup Q'}}(G_{K|\mathcal{H}'})} \leq \frac{1}{\gamma_{Y|_{\{\alpha\} \cup Q}}(G_{K|\mathcal{H}})} = dr(K, \alpha|\mathcal{H}).$$

- Otherwise,

$$dr(K, \alpha|\mathcal{H}') = 0 \leq dr(K, \alpha|\mathcal{H}).$$

So, the inequality (7.2) holds for any two hard constraints  $\mathcal{H}$  and  $\mathcal{H}'$  such that  $\mathcal{H} \subseteq \mathcal{H}'$ .  $\square$

**Corollary 7.3.** *Let  $K$  be a knowledge base and  $\alpha$  a formula of  $K$ . Then*

- $dr(K, \alpha|\mathcal{H}) \leq dr(K, \alpha)$  for any hard constraint  $\mathcal{H}$ .
- $dr(K, \alpha|P'_N) \leq dr(K, \alpha|P_N)$  for any two protected-formulas constraints  $P$  and  $P'$  such that  $P \subseteq P'$ .

**Proof.** This is a direct consequence of Proposition 7.4.  $\square$

The following proposition allows us to look inside the role of each component of the MIS-graph with a hard constraint in identifying the degree of responsibility of a given formula for the inconsistency.

**Proposition 7.5.** *Let  $K$  be a knowledge base with a hard constraint  $\mathcal{H} = (P, Q)$  and  $\alpha$  a formula of  $K$ . Suppose that  $\{G_1, G_2, \dots, G_m\}$  is the set of components of the MIS-graph  $G_{K|\mathcal{H}}$  and  $\alpha \in X(G_1)$ . Then*

$$dr(K, \alpha|\mathcal{H}) = \begin{cases} \frac{1}{\gamma_{Y|_{\{\alpha\} \cup X_1}}(G_1) + \sum_{i=2}^m \gamma_{Y|_{X_i}}(G_i)}, & \text{if } \gamma_{Y|_{\{\alpha\} \cup X_1}}(G_1) \neq 0, \\ 0, & \text{otherwise,} \end{cases} \quad (7.3)$$

where  $X_i = Q \cap X(G_i)$  for  $i = 1, 2, \dots, m$ .

**Proof.** If there is no minimal  $Y|_{\{\alpha\} \cup X_1}$ -dominating set of  $G_1$ , then there is no minimal  $Y|_{\{\alpha\} \cup Q}$ -dominating set of  $G_{K|\mathcal{H}}$ . On the other hand, if  $R$  is a smallest minimal  $Y|_{\{\alpha\} \cup Q}$ -dominating set of  $G_{K|\mathcal{H}}$ , then  $R \cap X(G_1)$  is a smallest minimal  $Y|_{\{\alpha\} \cup X_1}$ -dominating set of  $G_1$ , and  $R \cap X(G_i)$  must be a smallest minimal  $Y|_{Q \cap X(G_i)}$ -dominating set of  $G_i$  for all  $2 \leq i \leq m$ . Therefore the equation (7.3) holds.  $\square$

Next we consider the cases that hard constraints have no impact on the two measures, respectively.

**Proposition 7.6.** *Let  $K$  be a knowledge base with a hard constraint  $\mathcal{H}$ . Then*

$$I_{dr}(K) = I_{dr}(K|\mathcal{H})$$

*if and only if there exists at least one smallest minimal  $Y$ -dominating set  $R$  of  $G_K$  such that  $R \cap P = \emptyset$  and  $Q \subseteq R$ .*

**Proof.** If  $R$  is a smallest minimal  $Y$ -dominating set of  $G_K$  such that  $R \cap P = \emptyset$  and  $Q \subseteq R$ , then  $R$  is also a smallest minimal  $Y|_Q$ -dominating set of  $G_{K|\mathcal{H}}$ . So,

$$I_{dr}(K) = I_{dr}(K|\mathcal{H}).$$

On the other hand, if  $R$  is a smallest minimal  $Y|_Q$ -dominating set of  $G_{K|\mathcal{H}}$ , then  $R$  must be a minimal  $Y$ -dominating set of  $G_K$  such that  $R \cap P = \emptyset$  and  $Q \subseteq R$  i.e.,

$$I_{dr}(K) \leq I_{dr}(K|\mathcal{H}) = |R|.$$

Further, if

$$I_{dr}(K) = I_{dr}(K|\mathcal{H}) = |R|,$$

then  $R$  must be a smallest minimal  $Y$ -dominating set of  $G_K$ .  $\square$

This proposition shows that a hard constraint  $(P, Q)$  cannot affect the degree of inconsistency of  $K$  if there exists at least one smallest minimal  $Y$ -dominating set of  $G_K$  compatible with the hard constraint. Similarly, given a formula  $\alpha$  involved in the inconsistency, a hard constraint  $(P, Q)$  cannot affect the degree of responsibility of  $\alpha$  for the inconsistency if there exists at least one smallest minimal  $Y|_{\{\alpha\}}$ -dominating set of  $G_K$  compatible with the hard constraint.

**Proposition 7.7.** *Let  $K$  be an inconsistent knowledge base with a hard constraint  $\mathcal{H} = (P, Q)$  and  $\alpha$  a formula of  $\bigcup \text{MI}(K)$ . Then*

$$dr(K, \alpha) = dr(K, \alpha|\mathcal{H})$$

*if and only if there exists at least one smallest minimal  $Y|_{\{\alpha\}}$ -dominating set  $R$  of  $G_K$  such that  $R \cap P = \emptyset$  and  $Q \subseteq R$ .*

**Proof.** If  $R$  is a smallest minimal  $Y|_{\{\alpha\}}$ -dominating set of  $G_K$  such that  $R \cap P = \emptyset$  and  $Q \subseteq R$ , then  $R$  is also a smallest minimal  $Y|_{\{\alpha\} \cup Q}$ -dominating set of  $G_{K|\mathcal{H}}$ . So,

$$dr(K, \alpha) = dr(K, \alpha|\mathcal{H}).$$

On the other hand,  $dr(K, \alpha) = dr(K, \alpha|\mathcal{H})$  implies that  $0 < dr(K, \alpha|\mathcal{H}) = \frac{1}{|R|}$ , where  $R$  is a smallest minimal  $Y|_{\{\alpha\} \cup Q}$ -dominating set of  $G_{K|\mathcal{H}}$ . Then  $R$  must be a minimal  $Y|_{\{\alpha\}}$ -dominating set of  $G_K$  such that  $R \cap P = \emptyset$  and  $Q \subseteq R$ . So,

$$dr(K, \alpha) \geq \frac{1}{|R|} = dr(K, \alpha|\mathcal{H}).$$

Further, if

$$dr(K, \alpha) = dr(K, \alpha|\mathcal{H}) = \frac{1}{|R|},$$

then  $R$  must be a smallest minimal  $Y|_{\{\alpha\}}$ -dominating set of  $G_K$ .  $\square$

The following proposition gives us the minimum nonzero responsibility that a formula involved in the inconsistency has to bear in the presence of a hard constraint.

**Proposition 7.8.** *Let  $K$  be an inconsistent knowledge base with a hard constraint  $\mathcal{H} = (P, Q)$  and  $\alpha$  a formula of  $\bigcup \text{MI}(K)$ . Then*

$$\frac{1}{|R_0|} \leq dr(K, \alpha|\mathcal{H}) \leq dr(K, \alpha)$$

*if  $dr(K, \alpha|\mathcal{H}) > 0$ , where  $R_0$  is the largest minimal  $Y|_{Q \cup \{\alpha\}}$ -dominating set of  $G_K$ .*

**Proof.** If  $R$  is a minimal  $Y|_{Q \cup \{\alpha\}}$ -dominating set of  $G_{K|\mathcal{H}}$ , then  $R$  must be a minimal  $Y|_{Q \cup \{\alpha\}}$ -dominating set of  $G_K$ . So,  $|R| \leq |R_0|$ . Therefore,

$$\frac{1}{|R_0|} \leq \frac{1}{|R|} \leq dr(K, \alpha|\mathcal{H}) \leq dr(K, \alpha). \quad \square$$

Lastly, we consider the formulas that needn't bear any responsibility for the inconsistency in the presence of a hard constraint.

**Proposition 7.9.** *Let  $K$  be an inconsistent knowledge base with a hard constraint  $\mathcal{H} = (P, Q)$  and  $\alpha$  a formula of  $K$ . Then*

- (1)  $dr(K, \alpha|\mathcal{H}) = dr(K, \alpha) = 0$  if and only if  $\alpha$  is a free formula.
- (2)  $0 = dr(K, \alpha|\mathcal{H}) < dr(K, \alpha)$  if and only if  $\alpha \in P \cup N(K|\mathcal{H})$ , where  $N(K|\mathcal{H}) = \{\beta \in X(G_{K|\mathcal{H}}) | \forall R \in \text{MC}(K) \text{ s.t. } R \cap P = \emptyset \text{ and } Q \subseteq R, \beta \notin R\}$ .



**Proof.** It is a direct consequence of definition of  $dr(K, \alpha|\mathcal{H})$ .  $\square$

The following proposition shows that the base-level measure  $I_{dr}(K|\mathcal{C})$  is a bounded function w.r.t. the constraint  $\mathcal{C}$ .

**Proposition 7.10.** *Let  $K$  be a knowledge base with a soft constraint  $\mathcal{C}$ . Then*

$$\gamma_Y(G_K) = I_{dr}(K) \leq I_{dr}(K|\mathcal{C}) \leq L_Y(G_K).$$

**Proof.** Let  $K$  be a knowledge base with a soft constraint  $\mathcal{C}$ . Then any minimal  $Y^{[C]}$ -dominating set of  $G_K$  must be a minimal  $Y$ -dominating set of  $G_K$ . So,

$$\gamma_Y(G_K) = I_{dr}(K) \leq I_{dr}(K|\mathcal{C}) \leq L_Y(G_K). \quad \square$$

The following proposition shows that the base-level measure  $I_{dr}(K|\mathcal{C})$  is a monotonic function w.r.t. the constraint  $\mathcal{C}$ .

**Proposition 7.11.** *Let  $K$  be a knowledge base and  $\mathcal{C}$  and  $\mathcal{C}'$  two soft constraints for  $K$  such that  $\mathcal{C} \subseteq \mathcal{C}'$ . Then*

$$I_{dr}(K|\mathcal{C}) \leq I_{dr}(K|\mathcal{C}'). \quad (7.4)$$

**Proof.** Let  $K$  be a knowledge base and  $\mathcal{C}$  and  $\mathcal{C}'$  two soft constraints for  $K$  such that  $\mathcal{C} \subseteq \mathcal{C}'$ . Then any minimal  $Y^{[C']}$ -dominating set of  $G_K$  must be a minimal  $Y^{[C]}$ -dominating set of  $G_K$ . So, the inequality (7.4) holds.  $\square$

The following proposition shows that  $dr(K, \alpha|\mathcal{C})$  is anti-monotonic with regard to  $\mathcal{C}$ .

**Proposition 7.12.** *Let  $K$  be a knowledge base and  $\alpha$  a formula of  $K$ . Then*

$$dr(K, \alpha|\mathcal{C}') \leq dr(K, \alpha|\mathcal{C}) \quad (7.5)$$

*holds for any two soft constraints  $\mathcal{C}$  and  $\mathcal{C}'$  such that  $\mathcal{C} \subseteq \mathcal{C}'$ .*

**Proof.** Let  $K$  be a knowledge base and  $\mathcal{C}$  and  $\mathcal{C}'$  two soft constraints for  $K$  such that  $\mathcal{C} \subseteq \mathcal{C}'$ . Note that any minimal  $Y_{[\alpha]}^{[C']}$ -dominating set of  $G_K$  must be a minimal  $Y_{[\alpha]}^{[C]}$ -dominating set of  $G_K$ . Then

$$dr(K, \alpha|\mathcal{C}') = \frac{1}{\gamma_{Y_{[\alpha]}^{[C']}}(G_K)} \leq \frac{1}{\gamma_{Y_{[\alpha]}^{[C]}}(G_K)} = dr(K, \alpha|\mathcal{C})$$

if there exists at least one minimal  $Y_{[\alpha]}^{[C']}$ -dominating set of  $G_K$ . If there exists at least one minimal  $Y_{[\alpha]}^{[C]}$ -dominating set of  $G_K$  but  $\gamma_{Y_{[\alpha]}^{[C']}}(G_K) = 0$ , then

$$dr(K, \alpha|\mathcal{C}') = 0 < \frac{1}{\gamma_{Y_{[\alpha]}^{[C]}}(G_K)} = dr(K, \alpha|\mathcal{C}).$$

If  $\gamma_{Y_{[\alpha]}^{[C']}}(G_K) = \gamma_{Y_{[\alpha]}^{[C]}}(G_K) = 0$ , then

$$dr(K, \alpha|\mathcal{C}') = 0 = dr(K, \alpha|\mathcal{C}).$$

So, the inequality (7.5) holds for any two soft constraints  $\mathcal{C}$  and  $\mathcal{C}'$  such that  $\mathcal{C} \subseteq \mathcal{C}'$ .  $\square$

As a natural generalization of measures for the hard and soft constraints, the measures for the mixed constraint has the following properties.

**Proposition 7.13.** *Let  $K$  be a knowledge base. Then*

- (1)  $\max\{I_{dr}(K|\mathcal{H}), I_{dr}(K|\mathcal{C})\} \leq I_{dr}(K|\mathcal{H}, \mathcal{C})$ .
- (2)  $I_{dr}(K|\mathcal{H}, \mathcal{C}) \leq I_{dr}(K|\mathcal{H}', \mathcal{C}')$  for any two mixed constraints  $(\mathcal{H}, \mathcal{C})$  and  $(\mathcal{H}', \mathcal{C}')$  such that  $\mathcal{H} \subseteq \mathcal{H}'$  and  $\mathcal{C} \subseteq \mathcal{C}'$ .

**Proof.** Let  $K$  be a knowledge base and  $(\mathcal{H}, \mathcal{C})$  and  $(\mathcal{H}', \mathcal{C}')$  two mixed constraints for  $K$  such that  $\mathcal{H} \subseteq \mathcal{H}'$  and  $\mathcal{C} \subseteq \mathcal{C}'$ . Suppose that  $\mathcal{H} = (P, Q)$  and  $\mathcal{H}' = (P', Q')$ .

- (1) Let  $R$  be a minimal  $Y|_Q^{[C]}$ -dominating set for  $G_{K|\mathcal{H}}$ , then  $R$  must be a minimal  $Y|^{[C]}$ -dominating set. So,  $I_{dr}(K|C) \leq I_{dr}(K|\mathcal{H}, C)$ . Also,  $R$  must be a minimal  $Y|_Q$ -dominating set. Then  $I_{dr}(K|\mathcal{H}) \leq I_{dr}(K|\mathcal{H}, C)$ . Therefore,

$$\max\{I_{dr}(K|\mathcal{H}), I_{dr}(K|C)\} \leq I_{dr}(K|\mathcal{H}, C).$$

- (2) Let  $R$  be a minimal  $Y|_{Q'}^{[C']}$ -dominating set for  $G_{K|\mathcal{H}'}$ , then  $R$  must be a minimal  $Y|_Q^{[C]}$ -dominating set for  $G_{K|\mathcal{H}}$ . So,

$$I_{dr}(K|\mathcal{H}, C) \leq I_{dr}(K|\mathcal{H}', C'). \quad \square$$

**Proposition 7.14.** Let  $K$  be a knowledge base and  $\alpha$  a formula of  $K$ . Then

- (1)  $dr(K, \alpha|\mathcal{H}, C) \leq \min\{dr(K, \alpha|\mathcal{H}), dr(K, \alpha|C)\}$ .  
 (2)  $dr(K, \alpha|\mathcal{H}, C) \geq dr(K, \alpha|\mathcal{H}', C')$  for any two mixed constraints  $(\mathcal{H}, C)$  and  $(\mathcal{H}', C')$  such that  $\mathcal{H} \subseteq \mathcal{H}'$  and  $C \subseteq C'$ .

**Proof.** Let  $K$  be a knowledge base and  $(\mathcal{H}, C)$  and  $(\mathcal{H}', C')$  two mixed constraints for  $K$  such that  $\mathcal{H} \subseteq \mathcal{H}'$  and  $C \subseteq C'$ . Suppose that  $\mathcal{H} = (P, Q)$  and  $\mathcal{H}' = (P', Q')$ .

- (1) If either  $dr(K, \alpha|\mathcal{H}) = 0$  or  $dr(K, \alpha|C) = 0$ , then  $dr(K, \alpha|\mathcal{H}, C) = 0$ . If  $dr(K, \alpha|\mathcal{H}, C) > 0$ , suppose that  $R$  be a minimal  $Y|_{Q \cup \{\alpha\}}^{[C]}$ -dominating set for  $G_{K|\mathcal{H}}$ , then  $R$  must be a minimal  $Y|_{\{\alpha\}}^{[C]}$ -dominating set of  $G_K$ . So,  $dr(K, \alpha|C) \geq dr(K, \alpha|\mathcal{H}, C)$ . Also,  $R$  must be a minimal  $Y|_{Q \cup \{\alpha\}}$ -dominating set of  $G_{K|\mathcal{H}}$ . Then  $dr(K, \alpha|\mathcal{H}) \geq dr(K, \alpha|\mathcal{H}, C)$ . Therefore,

$$dr(K, \alpha|\mathcal{H}, C) \leq \min\{dr(K, \alpha|\mathcal{H}), dr(K, \alpha|C)\}.$$

- (2) Let  $\alpha$  be a formula of  $K$ .

- If  $dr(K, \alpha|\mathcal{H}', C') = 0$ , then  $dr(K, \alpha|\mathcal{H}, C) \geq dr(K, \alpha|\mathcal{H}', C')$ .
- If  $dr(K, \alpha|\mathcal{H}', C') > 0$ , then there exists at least one minimal  $Y|_{Q' \cup \{\alpha\}}^{[C']}$ -dominating set for  $G_{K|\mathcal{H}'}$ . Let  $R$  be a minimal  $Y|_{Q' \cup \{\alpha\}}^{[C']}$ -dominating set for  $G_{K|\mathcal{H}'}$ , then  $R$  must be a minimal  $Y|_{Q \cup \{\alpha\}}^{[C]}$ -dominating set for  $G_{K|\mathcal{H}}$ . So,  $dr(K, \alpha|\mathcal{H}, C) \geq dr(K, \alpha|\mathcal{H}', C')$ .

Therefore,

$$dr(K, \alpha|\mathcal{H}, C) \geq dr(K, \alpha|\mathcal{H}', C'). \quad \square$$

Lastly, we consider the relation between the base-level and the formula-level inconsistency measures.

**Proposition 7.15.** Let  $K$  be an inconsistent knowledge base.

- Let  $\mathcal{H}$  be a hard constraint. Then

$$I_{dr}(K|\mathcal{H}) = \frac{1}{\max_{\alpha \in K} dr(K, \alpha|\mathcal{H})}.$$

- Let  $C$  be a soft constraint. Then

$$I_{dr}(K|C) = \frac{1}{\max_{\alpha \in K} dr(K, \alpha|C)}.$$

- Let  $(\mathcal{H}, C)$  be a mixed constraint. Then

$$I_{dr}(K|\mathcal{H}, C) = \frac{1}{\max_{\alpha \in K} dr(K, \alpha|\mathcal{H}, C)}.$$

**Proof.** This is a direct consequence of definitions of  $I_{dr}$  and  $dr$ .  $\square$

This proposition shows that the base-level measure can be derived from the corresponding formula-level measure. This is why we use the subscript  $dr$  in the base-level measure.

Now we turn to the complexity issue. We assume that the reader is familiar with the basics of complexity, in particular the polynomial hierarchy.

It has been shown that computing the degree of responsibility in binary models is  $FP^{NP[\log n]}$ -complete [3]. In general case, it has been shown that computing the degree of responsibility is  $FP^{\Sigma_2^P[\log n]}$ -complete in general recursive models [2].

At first, we give the following proposition presented in [3].

**Proposition 7.16.** *Computing the degree of responsibility is  $FP^{NP[\log n]}$ -complete in binary causal models.*

Note that all the formula-level measures are based on the MIS-graph, which stems from the set of minimal inconsistent subsets of that base. Once the set of minimal inconsistent subsets of a knowledge base  $K$  is given, we can construct a binary causal model  $\mathcal{M}_{K|\mathcal{H}}$  (resp.  $\mathcal{M}_{K|\mathcal{C}}$ , and  $\mathcal{M}_{K|(\mathcal{H},\mathcal{C})}$ ) for  $K$  with the constraint  $\mathcal{H}$  (resp.  $\mathcal{C}$ , and  $(\mathcal{H},\mathcal{C})$ ) in polynomial time (with regard to  $|K| + |\text{MI}(K)|$ ), by following the procedure mentioned in Section 6. Then we can get the following corollaries.

**Corollary 7.4.** *Computing the degree of responsibility of a formula in a knowledge base with a hard constraint for the inconsistency is  $FP^{NP[\log n]}$ -complete when the set of minimal inconsistent subsets of the knowledge base is given.*

**Proof.** It is a direct consequence of Proposition 7.16.  $\square$

**Corollary 7.5.** *Computing the degree of responsibility of a formula in a knowledge base with a soft constraint for the inconsistency is  $FP^{NP[\log n]}$ -complete when the set of minimal inconsistent subsets of the knowledge base is given.*

**Proof.** It is a direct consequence of Proposition 7.16.  $\square$

**Corollary 7.6.** *Computing the degree of responsibility of a formula in a knowledge base with a mixed constraint for the inconsistency is  $FP^{NP[\log n]}$ -complete when the set of minimal inconsistent subsets of the knowledge base is given.*

**Proof.** It is a direct consequence of Proposition 7.16.  $\square$

Compared to the complexity for computing responsibility of a formula in the case without constraint in [21], the three corollaries imply that introducing the constraints does not make the complexity of computing the formula-level measure harder in the case that the set of minimal inconsistent subsets is given.

According to Proposition 7.15,  $I_{dr}(K|\mathcal{H})$  (resp.  $I_{dr}(K|\mathcal{C})$ , and  $I_{dr}(K|(\mathcal{H},\mathcal{C}))$ ) can be computed by computing  $dr(K, \alpha|\mathcal{H})$  (resp.  $dr(K, \alpha|\mathcal{C})$ , and  $dr(K, \alpha|(\mathcal{H},\mathcal{C}))$ ) for each formula  $\alpha \in K$ . Recall that there are  $|K| + |\text{MI}(K)| + 3$  (resp.  $|K| + |\text{MI}(K)| + |\mathcal{C}| + 1$ , and  $|K| + |\text{MI}(K)| + |\mathcal{C}| + 3$ ) endogenous variables in  $\mathcal{M}_{K|\mathcal{H}}$  (resp.  $\mathcal{M}_{K|\mathcal{C}}$ , and  $\mathcal{M}_{K|(\mathcal{H},\mathcal{C})}$ ) for  $K$  with the constraint  $\mathcal{H}$  (resp.  $\mathcal{C}$ , and  $(\mathcal{H},\mathcal{C})$ ). Then  $I_{dr}(K|\mathcal{H})$ ,  $I_{dr}(K|\mathcal{C})$ , and  $I_{dr}(K|(\mathcal{H},\mathcal{C}))$  can be computed with  $|K|[\log(|K| + |\text{MI}(K)| + 3)]$ ,  $|K|[\log(|K| + |\text{MI}(K)| + |\mathcal{C}| + 1)]$ , and  $|K|[\log(|K| + |\text{MI}(K)| + |\mathcal{C}| + 3)]$  queries to their own NP oracles, respectively, according to the three corollaries above. Then computing  $I_{dr}(K|\mathcal{H})$ ,  $I_{dr}(K|\mathcal{C})$ , and  $I_{dr}(K|(\mathcal{H},\mathcal{C}))$  are also in  $FP^{NP}$  once the set of minimal inconsistent subsets is given.

On the other hand, the correspondence between minimal correction subsets and minimal  $Y$ -dominating sets provides an alternative way to compute the measures when the set of minimal inconsistent subsets is not given. For the three base-level measures, we need to identify the smallest size of minimal correction subsets compatible with their own respective constraints. For the three formula-level measures, we need to identify the smallest size of compatible minimal correction subsets that contain the given formula. However, to the best of our knowledge, the complexity of identifying the smallest size of minimal correction subsets compatible with the given constraint is still open.

## 8. Related work

In this section, we compare our measures for inconsistency with constraints with some closely related work.

Measuring inconsistency has been increasingly recognized as one of the most important subprocesses for understanding and handling inconsistency for knowledge bases. Most of the inconsistency measures presented so far do not take into account constraints for resolving inconsistency explicitly. To the best of our knowledge, our approach presented in this paper is the first attempt to measure the inconsistency for knowledge bases in the presence of constraints.

The MIS-graph plays a central role in our approaches to measuring inconsistency in the presence of constraints. The MUS-graph presented in [9] is very closely related to the MIS-graph. Roughly speaking, the MUS-graph of a knowledge base is a graph with vertices corresponding to minimal inconsistent subsets of that base such that two vertices are adjacent if and only if the corresponding minimal inconsistent subsets have common formulas. Given a knowledge base  $K$ , we use  $G_{MUS}(K)$  to denote the MUS-graph of  $K$ , then

- $V(G_{MUS}(K)) = \text{MI}(K) = Y(G_K)$ , and
- $(M, M') \in E(G_{MUS}(K))$  if  $M \cap M' \neq \emptyset$ .

Obviously,  $M$  and  $M'$  are adjacent in  $G_{MUS}(K)$  if and only if  $M$  and  $M'$  are  $Y$ -adjacent in the MIS-graph  $G_K$ . This means that the MUS-graph  $G_{MUS}(K)$  can be derived from the MIS-graph  $G_K$ . Moreover, the distance  $d_{MUS}(M, M')$  between two

connected minimal inconsistent subsets  $M$  and  $M'$  in the MUS-graph defined in [9] can be represented by the distance between  $M$  and  $M'$  in the MIS-graph, i.e.,

$$d_{MUS}(M, M') = \frac{Dist_{G_K}(M, M')}{2}$$

if  $M$  and  $M'$  are involved in the same component of  $G_K$ .

In addition, the distance  $d_{MUS}(\alpha, M)$  between a formula  $\alpha$  and a minimal inconsistent subset  $M$  is defined as the shortest distance in the MUS-graph between  $M$  and a minimal inconsistent subset  $M'$  containing  $\alpha$ , i.e.,  $d_{MUS}(\alpha, M) = \min\{d_{MUS}(M, M') | \alpha \in M'\}$  [9]. However, the MIS-graph  $G_K$  allows us to define the distance between  $\alpha$  and  $M$  directly (i.e., the length of the shortest path from  $\alpha$  to  $M$ ), moreover,

$$d_{MUS}(\alpha, M) = \frac{Dist_{G_K}(\alpha, M) - 1}{2}$$

if  $\alpha$  and  $M$  are involved in the same component of  $G_K$ . This implies that the family of distance-based *DIM* measures presented in [9] can be also derived from the MIS-graph.

The equivalence of the adjacency of  $M$  and  $M'$  in the MUS-graph and the  $Y$ -adjacency of  $M$  and  $M'$  in the MIS-graph also implies that the MUS-graph and the MIS-graph have the same number of components. Then a special instance of the inconsistency measure of  $I_{CC}$ , denoted  $I_{CC}^0(K)$  here, defined as the number of connected components of the MUS-graph [9], can also be given by the MIS-graph  $G_K$ .

Compared the MUS-graph, the MIS-graph provides more information on associations between minimal inconsistent subsets. In each component of the MIS-graph of a knowledge base, the sequence of vertices in a path between two minimal inconsistent subsets is an alternating sequence of minimal inconsistent subsets and formulas instead of a sequence of minimal inconsistent subsets. This makes such a path allow us to look inside the association between the two minimal inconsistent subsets to explain how the two minimal inconsistent subsets are associated with each other. This is important to identify the role of each formula in causing the inconsistency in that base, especially in the case with constraints.

This also implies that the MUS-graph is not a suitable framework for incorporating constraints on modifying inconsistency in representation of inconsistency. Then it is not easy to extend the *DIM* measures built upon the MUS-graph in [9] to the case with constraints directly.

However, given an inconsistent knowledge base  $K$ , the MIS-graph  $G_K$  provides a picture for the set of minimal inconsistent subsets of that base from multiple perspectives. Besides the number of minimal inconsistent subsets ( $|Y(G_K)|$ ) and the set of formulas involved in the minimal inconsistent subsets ( $|X(G_K)|$ ), each subgraph of the MIS-graph induced by a minimal inconsistent subset and its neighboring formulas describes the inner structure of that minimal inconsistent subset, while each subgraph induced by a formula and its neighboring minimal inconsistent subsets describes all the membership of that formula in minimal inconsistent subsets. Then the inconsistency measures based on minimal inconsistent subsets (MIS-based inconsistency measures for short) can be expressed alternatively in terms of the MIS-graph. For example, consider the following representative MIS-based inconsistency measures proposed in [7,8]:

- MI inconsistency measure (Base-level):  $I_{MI}(K) = |MI(K)|$ .
- MinInc inconsistency values (Formula-level):
  - $\forall \alpha \in K, MIV_D(K, \alpha) = \begin{cases} 1, & \text{if } \alpha \in \bigcup MI(K), \\ 0, & \text{otherwise.} \end{cases}$
  - $\forall \alpha \in K, MIV_{\#}(K, \alpha) = |\{M \in MI(K) | \alpha \in M\}|$ .
  - $\forall \alpha \in K, MIV_C(K, \alpha) = \sum_{M \in MI(K) \text{ s.t. } \alpha \in M} \frac{1}{|M|}$ .

Evidently, these inconsistency measures can be given alternatively as follows:

- $I_{MI}(K) = |Y(G_K)|$ .
- $\forall \alpha \in K, MIV_D(K, \alpha) = \begin{cases} 1, & \text{if } \alpha \in X(G_K), \\ 0, & \text{otherwise.} \end{cases}$
- $\forall \alpha \in K, MIV_{\#}(K, \alpha) = \begin{cases} deg_{G_K}(\alpha), & \text{if } \alpha \in X(G_K), \\ 0, & \text{otherwise.} \end{cases}$
- $\forall \alpha \in K, MIV_C(K, \alpha) = \begin{cases} \sum_{M \in Y(G_K) \text{ s.t. } (\alpha, M) \in E(G_K)} \frac{1}{deg_{G_K}(M)}, & \text{if } \alpha \in X(G_K), \\ 0, & \text{otherwise.} \end{cases}$

More importantly, each minimal  $Y$ -dominating set of the MIS-graph  $G_K$  is exactly a minimal correction subset of  $K$ . This correspondence between minimal correction subsets and minimal  $Y$ -dominating sets provides a good starting point to incorporate constraints on modifying formulas in measuring inconsistency. Intuitively, the inconsistency in a knowledge

base counterfactually depends on each minimal correction subset from the point of view of causality. Then the minimal correction subsets compatible to a given hard (or soft) constraint are of interest in explaining and measuring the inconsistency for a knowledge base with constraints from the context of causality. On the other hand, this correspondence allows us to understand intuitively and concisely the role of each formula in a minimal correction subset in breaking minimal inconsistent subsets by via of minimal  $Y$ -dominating set in the MIS-graph in the presence of constraints. This can help us better understand the formula-level inconsistency measure from the context of causality as well as from the perspective of syntax-based inconsistency handling.

Our base-level inconsistency measure  $I_{dr}(K|\mathcal{H})$  (resp.  $I_{dr}(K|\mathcal{C})$ , and  $I_{dr}(K|\mathcal{H}, \mathcal{C})$ ) is exactly the minimum size of minimal correction subsets of  $K$  that are compatible with the hard constraint  $\mathcal{H}$  (resp. soft constraint  $\mathcal{C}$ , and mixed constraint  $(\mathcal{H}, \mathcal{C})$ ). From the point of view of causality, each kind of the base-level measures grasps the smallest sets of formulas which the inconsistency counterfactually depends on in the presence of the corresponding constraint, because the inconsistency in  $K$  would not have happened if each of formulas in such a set had not belonged to  $K$ . On the other hand, if we consider the size of each minimal correction subset compatible with the corresponding constraint as an evaluation of effort to restoring the consistency by removing the subset, then the base-level measure grasps the minimum cost of restoring the consistency by removing the formulas in the presence of the constraint. This means that the base-level inconsistency measure can also be explained from the point of view that an evaluation of inconsistency in a base should take into account the cost of actions needed to render the base consistent [13].

Along this line, the minimum cost of restoring consistency by removing the formulas is not greater than the number of minimal inconsistent subsets, but not less than the number of clusters of minimal inconsistent subsets (according to Proposition 7.3), then it is not surprising that

$$I_{CC}^0(K) \leq I_{dr}(K) \leq I_{dr}(K|\mathcal{H}), I_{dr}(K|\mathcal{C}), I_{dr}(K|\mathcal{H}, \mathcal{C}) \leq I_{MI}(K)$$

holds for any knowledge base  $K$  and any constraints  $\mathcal{H}$  and  $\mathcal{C}$  compatible with  $K$ .

To the best of our knowledge, there is no property designed for inconsistency measures in the presence of constraint. However, in last section we proposed several properties to characterize how the measures change as the constraints change. For example, we have shown that all the base-level inconsistency measures  $I_{dr}(K|\mathcal{H})$ ,  $I_{dr}(K|\mathcal{C})$ , and  $I_{dr}(K|\mathcal{H}, \mathcal{C})$  are monotonic w.r.t. constraint and bounded, especially,  $I_{dr}(K)$  is the common lower bound of the measures under constraints. These properties signify that the base-level measures grasp the intuition that the cost of restoring consistency cannot decrease when constraints on modifying formulas are considered. We also characterized the invariant property of measures under some special constraints.

If there is no constraint, all of the measures under constraints  $I_{dr}(K|\mathcal{H})$ ,  $I_{dr}(K|\mathcal{C})$ , and  $I_{dr}(K|\mathcal{H}, \mathcal{C})$  are reduced to  $I_{dr}(K)$ . In contrast to the case with constraints, several properties have been presented to characterize inconsistency measures for knowledge bases without constraints. For example, Hunter and Konieczny have presented the properties of *Consistency*, *MinInc*, *Free Formula Independence*, *Monotony* and *Dominance* in [6,8]. Let  $I$  be a nonnegative base-level inconsistency measure and  $K$  a knowledge base, then

- *Consistency*:  $I(K) = 0$  if and only if  $K$  is consistent.
- *MinInc*:  $I(K) = 1$  if  $K$  is a minimal inconsistent knowledge base.
- *Free Formula Independence*:  $I(K) = I(K \setminus \{\alpha\})$  if  $\alpha$  is a free formula of  $K$ .
- *Monotony*:  $I(K) \leq I(K')$  if  $K \subseteq K'$ .
- *Dominance*: if  $\alpha \not\vdash \perp$  and  $\alpha \vdash \beta$ , then  $I(K \cup \{\alpha\}) \geq I(K' \cup \{\beta\})$ .

The following proposition shows that  $I_{dr}$  satisfies Hunter and Konieczny's properties.

**Proposition 8.1.**  $I_{dr}$  satisfies the properties of *Consistency*, *MinInc*, *Free Formula Independence*, *Monotony* and *Dominance*.

**Proof.** The satisfaction of *Consistency*, *MinInc* and *Free Formula Independence* is obvious since  $I_{dr}$  is built upon the MIS-graph. We only need to provide proofs for *Monotony* and *Dominance*, respectively.

- *Monotony*. Let  $K$  and  $K'$  be two knowledge bases such that  $K \subseteq K'$  and  $R'$  a minimal correction subset of  $K'$ .
  - if  $R' \subseteq K$ , then  $R'$  is also a correction subset of  $K$ .
  - else  $R' \cap K$  is a correction subset of  $K$ .
 So, there exists at least one minimal correction subset  $R$  such that  $R \subseteq R'$ . Therefore,  $I_{dr}(K) \leq I_{dr}(K')$ .
- *Dominance*. Let  $K$  be a knowledge base and  $\alpha$  and  $\beta$  two formulas. Suppose that  $\alpha \not\vdash \perp$  and  $\alpha \vdash \beta$ , then  $\forall M \in \text{MI}(K \cup \{\beta\})$ , if  $\beta \in M$ , then  $\exists M' \subseteq (M \setminus \{\beta\})$  s.t.  $M' \cup \{\alpha\} \in \text{MI}(K \cup \{\alpha\})$ . So, for a minimal correction subset  $R$  of  $K \cup \{\alpha\}$ ,
  - if  $\alpha \notin R$ , then  $R$  is a correction subset of  $K \cup \{\beta\}$  (not necessarily minimal).
  - else  $(R \cup \{\beta\}) \setminus \{\alpha\}$  is a correction subset of  $K \cup \{\beta\}$ .
 Therefore,  $I(K \cup \{\alpha\}) \geq I(K' \cup \{\beta\})$ .  $\square$

P. Besnard argued against the last three properties, and then presented a more general system of postulates that consists of *Consistency* (also termed *Consistency Null*) and *Subsumption Orientation* [1]:

- **Subsumption Orientation:** If  $\mathcal{C}(\sigma K) \subseteq \mathcal{C}(K')$  for some substitution  $\sigma$  then  $I(K) \leq I(K')$ , where  $\mathcal{C}(\sigma K)$  is the set of primitive conflicts of  $\sigma K$ .

Allowing for that our inconsistency measurements are built upon the minimal inconsistent subsets, here we consider a simplified case that the primitive conflicts  $\mathcal{C}(K)$  is exactly the set of minimal inconsistent subsets, i.e.,  $\mathcal{C}(K) = \text{MI}(K)$ . However, if the substitutivity is ignored, then it has been shown that *Subsumption Orientation* is equivalent with *Free Formula Independence* and *Monotony* when primitive conflicts are essentially minimal inconsistent subsets [1]. Then in this sense,  $I_{dr}$  also satisfies the postulate of *Subsumption Orientation* without substitutivity.

More generally, we have the following result:

**Proposition 8.2.**  $I_{dr}$  satisfies Subsumption Orientation.

**Proof.** Let  $K$  and  $K'$  be two knowledge bases and  $\sigma$  a substitution such that  $\text{MI}(\sigma K) \subseteq \text{MI}(K')$ . We use  $\sigma\alpha$  to denote the formula of  $\sigma K$  arising from  $\alpha \in K$  under substitution  $\sigma$ .

Note that  $\text{MI}(\sigma K) \subseteq \text{MI}(K')$  implies that for any minimal correction subset  $R$  of  $K'$ ,  $R \cap \sigma K$  must be a correction subset of  $\sigma K$ , so,  $I_{dr}(\sigma K) \leq I_{dr}(K')$ .

On the other hand, suppose that  $\sigma R = \{\sigma\alpha_1, \sigma\alpha_2, \dots, \sigma\alpha_n\}$  is a minimal correction subset of  $\sigma K$ , then  $\forall M \in \text{MI}(K)$ ,  $\sigma M \vdash \perp$  and  $\sigma M \cap \sigma R \neq \emptyset$ . So,  $R' = \bigcup_{M \in \text{MI}(K)} \{\alpha_i | \sigma\alpha_i \in \sigma M \cap \sigma R\}$  is a correction subset of  $K$ . Then  $I_{dr}(K) \leq I_{dr}(\sigma K)$ . Therefore,  $I_{dr}(K) \leq I_{dr}(K')$ .  $\square$

This proposition implies that  $I_{dr}$  also satisfies all the postulates entailed by *Subsumption Orientation* introduced in [1].

Recall that Corollary 7.2 states that the measure  $I_{dr}(K)$  is exactly the sum of the measures of MIS-components of  $K$ . Following this corollary, for two inconsistent knowledge bases  $K$  and  $K'$ , if  $(\bigcup \text{MI}(K)) \cap (\bigcup \text{MI}(K')) = \emptyset$ , then if  $\text{MI}(K \cup K') = \text{MI}(K) \cup \text{MI}(K')$ , then  $I_{dr}(K \cup K') = I_{dr}(K) + I_{dr}(K')$ . This implies that  $I_{dr}$  also satisfies the following property of *Ind-decomposability* for describing the additivity of inconsistency measures presented in [11,9]:

- **Ind-decomposability<sup>2</sup>:**  $I(K_1 \cup \dots \cup K_n) = \sum_{i=1}^n I(K_i)$  if  $\text{MI}(K_1 \cup \dots \cup K_n) = \text{MI}(K_1) \uplus \dots \uplus \text{MI}(K_n)$ , where  $\uplus$  is the multi-set union over sets, and  $(\bigcup \text{MI}(K_i)) \cap (\bigcup \text{MI}(K_j)) = \emptyset$  for  $1 \leq i \neq j \leq n$ .

On the other hand, it is interesting to extend such properties to characterize inconsistency measures with constraints. However, the constraint introduced in this paper is specific to minimal inconsistent subsets of a given knowledge base. This implies that a given constraint may be less meaningful when the set of minimal inconsistent subsets is changed. In this sense, none of the properties of *Monotony*, *Dominance*, and *Subsumption Orientation* is considered as appropriate one to be extended. Here we adapt the properties of *Consistency*, *MinInc*, *Free Formula Independence*, and *Ind-decomposability* to characterize inconsistency measures with constraints, respectively:

- **Consistency\*:**  $I(K|\mathcal{H}, \mathcal{C}) = 0$  if and only if  $K$  is consistent.
- **MinInc\*:**  $I(K|\mathcal{H}, \mathcal{C}) = 1$  if  $K$  is a minimal inconsistent knowledge base.
- **Free Formula Independence\*:**  $I(K|\mathcal{H}, \mathcal{C}) = I(K \setminus \{\alpha\}|\mathcal{H}, \mathcal{C})$  if  $\alpha$  is a free formula of  $K$ .
- **Constraint Decomposability:**  $I(K_1 \cup K_2|\mathcal{H}_1 \cup \mathcal{H}_2, \mathcal{C}_1 \cup \mathcal{C}_2) = I(K_1|\mathcal{H}_1, \mathcal{C}_1) + I(K_2|\mathcal{H}_2, \mathcal{C}_2)$  if  $\text{MI}(K_1 \cup K_2) = \text{MI}(K_1) \cup \text{MI}(K_2)$ , and  $(\bigcup \text{MI}(K_1)) \cap (\bigcup \text{MI}(K_2)) = \emptyset$ , where  $\mathcal{H}_1 \cup \mathcal{H}_2 = (P_1 \cup P_2, Q_1 \cup Q_2)$  for  $\mathcal{H}_1 = (P_1, Q_1)$  and  $\mathcal{H}_2 = (P_2, Q_2)$ .

The following proposition shows that the inconsistency measure  $I_{dr}$  with constraints satisfies these adaptations.

**Proposition 8.3.**  $I_{dr}$  satisfies the properties of Consistency\*, MinInc\*, Free Formula Independence\*, and Constraint Decomposability.

**Proof.** Let  $K$  be a knowledge base with a mixed constraint  $(\mathcal{H}, \mathcal{C})$ .

- **Consistency\*.** Sufficiency. If  $K$  is consistent, then  $\mathcal{H} = (\emptyset, \emptyset)$  and  $\mathcal{C} = \emptyset$ . So,  $I_{dr}(K|\mathcal{H}, \mathcal{C}) = I_{dr}(K) = 0$ . Necessity. If  $I_{dr}(K|\mathcal{H}, \mathcal{C}) = 0$ , then  $K$  is consistent. Otherwise,

$$I_{dr}(K|\mathcal{H}, \mathcal{C}) \geq I_{dr}(K) > 0.$$

This contradicts  $I_{dr}(K|\mathcal{H}, \mathcal{C}) = 0$ .

- **MinInc\*:** If  $K$  is a minimal inconsistent knowledge base, then any compatible minimal correction subset of  $K$  is a singleton set. So,  $I_{dr}(K|\mathcal{H}, \mathcal{C}) = 1$ .

<sup>2</sup> Note that  $\bigcup \text{MI}(K)$  is denoted by the notation *unfree(K)* in [11,9].



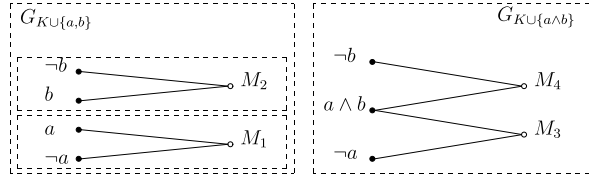


Fig. 10.  $G_{K \cup \{a, b\}}$  and  $G_{K \cup \{a \wedge b\}}$ .

- *Free Formula Independence\**. If  $\alpha$  is a free formula of  $K$ , then  $\text{MI}(K) = \text{MI}(K \setminus \{\alpha\})$ . Then  $K$  and  $K \setminus \{\alpha\}$  have the same MIS-graph. So,  $I_{dr}(K|\mathcal{H}, \mathcal{C}) = I_{dr}(K \setminus \{\alpha\}|\mathcal{H}, \mathcal{C})$ .

Let  $K_i$  be a knowledge base with a mixed constraint  $(\mathcal{H}_i, \mathcal{C}_i)$  for  $i = 1, 2$ .

- *Constraint Decomposability*. Let  $R_i$  be a compatible minimal correction subset of  $K_i$  with  $(\mathcal{H}_i, \mathcal{C}_i)$  for  $i = 1, 2$ . If  $\text{MI}(K_1 \cup K_2) = \text{MI}(K_1) \cup \text{MI}(K_2)$ , and  $(\bigcup \text{MI}(K_1)) \cap (\bigcup \text{MI}(K_2)) = \emptyset$ , then  $R_1 \cap R_2 = \emptyset$  and  $R_1 \cup R_2$  is a compatible minimal correction subset of  $K_1 \cup K_2$  compatible with  $(\mathcal{H}_1 \cup \mathcal{H}_2, \mathcal{C}_1 \cup \mathcal{C}_2)$ . On other hand, if  $R$  is a compatible minimal correction subset of  $K_1 \cup K_2$  compatible with  $(\mathcal{H}_1 \cup \mathcal{H}_2, \mathcal{C}_1 \cup \mathcal{C}_2)$ , then  $R \cap K_i$  is a compatible minimal correction subset of  $K_i$  with  $(\mathcal{H}_i, \mathcal{C}_i)$  for  $i = 1, 2$ . Therefore,

$$I_{dr}(K_1 \cup K_2|\mathcal{H}_1 \cup \mathcal{H}_2, \mathcal{C}_1 \cup \mathcal{C}_2) = I_{dr}(K_1|\mathcal{H}_1, \mathcal{C}_1) + I_{dr}(K_2|\mathcal{H}_2, \mathcal{C}_2). \quad \square$$

In addition, the expressivity of inconsistency measures has been proposed as an auxiliary criterion to evaluate an inconsistency measure [32]. Roughly speaking, the expressivity of a given measure depends on the number of values the measure assigns to some knowledge bases. However,  $I_{dr}$  is not less expressive than any inconsistency measure at least when we consider both the set of all the knowledge bases that are built upon at most  $n$  propositional atoms and the set of all knowledge bases that contain only formulas that are built upon at most  $n$  different propositional atoms each. To illustrate this, consider  $K_i = \{a \wedge \neg a, \dots, \wedge_{j=1}^i a \wedge \neg a\}$ , then  $I_{dr}(K_i) = i$  and  $\lim_{i \rightarrow \infty} I_{dr}(K_i) = \infty$ . This implies that the number of values  $I_{dr}$  assigns to such knowledge bases is infinite.

We must point that the minimal inconsistent subsets are syntax sensitive. Then replacing a subset of a knowledge base with a logically equivalent set of formulas may bring significant changes in the set of minimal inconsistent subsets of that base. To illustrate this, consider  $K = \{\neg a, \neg b\}$ , then  $\text{MI}(K \cup \{a, b\}) = \{M_1, M_2\}$  and  $\text{MI}(K \cup \{a \wedge b\}) = \{M_3, M_4\}$ , where

$$M_1 = \{a, \neg a\}, M_2 = \{b, \neg b\}, M_3 = \{\neg a, a \wedge b\}, \text{ and } M_4 = \{\neg b, a \wedge b\}.$$

Moreover, as illustrated by Fig. 10, the MIS-graph of  $K \cup \{a, b\}$  has two components, while the MIS-graph of  $K \cup \{a \wedge b\}$  has only one component. So, their MIS-graphs have different structures, and they cannot be MIS-equivalent to each other. Actually, it is intuitive that  $1 = I_{dr}(K \cup \{a \wedge b\}) < I_{dr}(K \cup \{a, b\}) = 2$  holds, because we only need to remove  $a \wedge b$  to break  $M_3$  and  $M_4$  together, but we cannot break  $M_1$  and  $M_2$  together if only one formula is allowed to be removed.

With respect to the base-level measures for knowledge bases without a constraint,  $I_{MI}$  is one of the typical MIS-based inconsistency measures. It does not consider the interconnected structure of the set of minimal inconsistent subsets explicitly. In contrast, the measures  $I_{CC}$  [9,10],  $I_{\mathcal{W}}$  [11] and  $I_{cf}$  [11] presented by Jabbour et al. argued that taking into account the interconnection between minimal inconsistent subsets instead of just the number of minimal inconsistent subsets is more interesting to characterize the inconsistency in a knowledge base.

All the three measures characterize the interconnection or correlation of minimal inconsistent subsets based on splitting minimal inconsistent subsets into clusters by removing some formulas such that each cluster has only one minimal inconsistent subset. Also, we use  $p$  to denote the union of all the clusters (i.e., the set of remaining minimal inconsistent subsets) obtained by such a split. Just for simplicity of discussion, we call  $p$  a split of minimal inconsistent subsets. For example, consider  $K_0 = \{a, \neg a, a \rightarrow \neg b, b, \neg b\}$ , then there is only one cluster of minimal inconsistent subsets  $\{M_1 = \{a, \neg a\}, M_2 = \{a, a \rightarrow \neg b, b\}, M_3 = \{b, \neg b\}\}$ . But if we remove the formula  $a \rightarrow \neg b$ , then the remaining minimal inconsistent subsets can be split into two clusters  $\{M_1\}$  and  $\{M_3\}$ . Then  $\{M_1, M_3\}$  is a split of minimal inconsistent subsets.

Given a knowledge base  $K$ , the measure  $I_{CC}(K)$  is the maximum number of clusters that remaining minimal inconsistent subsets can be splitted into by removing some formulas from  $K$ , i.e., the maximum cardinality of all splits of minimal inconsistent subsets. Both the measures  $I_{\mathcal{W}}$  and  $I_{cf}$  considers the combination of some splits that can cover all the minimal inconsistent subsets. They call a set  $\{p_1, p_2, \dots, p_n\}$  with  $|p_1| \geq |p_2| \geq \dots \geq |p_n|$  of splits a  $c$ -partition of  $K$  if  $\text{MI}(K) = \biguplus_{1 \leq i \leq n} p_i$ . Moreover, they associate a  $c$ -partition  $\{p_1, p_2, \dots, p_n\}$  with a numerical vector  $(|p_1|, |p_2|, \dots, |p_n|)$ . Then  $I_{\mathcal{W}}(K)$  is defined as the maximum value of  $\mathcal{W}(\{p_1, p_2, \dots, p_n\}) = \sum_{i=1}^n w_i |p_i|$  under a decreasing positive weight sequence with  $w_1 = 1$  for all  $c$ -partitions of  $K$ , while  $I_{cf}(K)$  is defined as  $|p_1^*| + \frac{1}{1 + \frac{1}{|p_2^*| + \frac{1}{1 + \frac{1}{\dots + \frac{1}{|p_n^*|}}}}}$ , where  $\{p_1^*, p_2^*, \dots, p_n^*\}$  is a

maximal  $c$ -partition of  $K$  w.r.t. the lexicographic ordering relation over associated vectors for all  $c$ -partitions of  $K$  [11]. It has been shown that all the three measures are standard measures (i.e., the measures satisfying the properties of *Consistency*, *Monotony*, *Free Formula Independence*, *MinInc*, and *Ind-decomposability*) [9,11].

However, our measure  $I_{dr}$  is different from the three measures in their explanations as well as their characterizations for interconnections between minimal inconsistent subsets. We represent each cluster of minimal inconsistent subsets by a component of the MIS-graph, which allows us to look inside how these minimal inconsistent subsets are interconnected one another. Then we use the minimal  $Y$ -dominating set of each component of the MIS-graph to characterize the interconnected structure of the corresponding cluster. Actually, the correspondence between minimal  $Y$ -dominating sets and minimal correction subsets makes such a characterization can be well explained from both the perspectives of syntax-based inconsistency resolving and causality. Moreover, as shown earlier,  $I_{dr}$  satisfies the properties of *Consistency*, *Monotony*, *Free Formula Independence*, *MinInc*, and *Ind-decomposability*. Then it is also a standard measure. In addition,  $I_{dr}$  also satisfies the property of *Dominance*. But the three measures do not satisfy the property of *Dominance*. To illustrate this, consider  $K'_0 = (K_0 \setminus \{a\}) \cup \{a \wedge (a \rightarrow \neg b)\}$ . Then the minimal inconsistent subsets of  $K'_0$  cannot be split into two clusters. So,  $I_{CC}(K'_0) < I_{CC}(K_0)$ ,  $I_{cf}(K'_0) < I_{cf}(K_0)$ , and  $I_{\mathcal{W}}(K'_0) < I_{\mathcal{W}}(K_0)$  with  $w_1 > w_3$ .

Our formula-level measure  $dr(K, \alpha|\mathcal{H})$  (resp.  $dr(K, \alpha|\mathcal{C})$ , and  $dr(K, \alpha|\mathcal{H}, \mathcal{C})$ ) is defined as 0 if none of minimal correction subsets compatible with the constraint  $\mathcal{H}$  (resp.  $\mathcal{C}$ , and  $(\mathcal{H}, \mathcal{C})$ ) contain  $\alpha$ . Otherwise,  $dr(K, \alpha|\mathcal{H})$  (resp.  $dr(K, \alpha|\mathcal{C})$ , and  $dr(K, \alpha|\mathcal{H}, \mathcal{C})$ ) is defined as the reciprocal of the minimum size of minimal correction subsets compatible with  $\mathcal{H}$  (resp.  $\mathcal{C}$ , and  $(\mathcal{H}, \mathcal{C})$ ) that contain  $\alpha$ .

From the point of view of Halpern and Pearl's causal model [5], the other formulas of a compatible minimal correction subset containing  $\alpha$  with the constraint  $\mathcal{H}$  (resp.  $\mathcal{C}$ , and  $(\mathcal{H}, \mathcal{C})$ ) compose a contingency where the inconsistency in  $K$  counterfactually depends on  $\alpha$  in the presence of the constraint  $\mathcal{H}$  (resp.  $\mathcal{C}$ , and  $(\mathcal{H}, \mathcal{C})$ ). This means that all the three measures grasp the nature of  $\alpha$  being a cause under contingency of the inconsistency presented in [5], moreover, each of them takes into account the impact of the corresponding constraint on the counterfactual dependence of the inconsistency in  $K$  on  $\alpha$  by using the compatibility of minimal correction subsets with the constraint. Hence, all the three measures can be clearly explained in the context of Chockler and Halpern's responsibility presented in [2]. Moreover, the property of anti-monotony w.r.t. constraints of  $dr$  captures the intuition that the degree of responsibility of a formula for the inconsistency cannot increase since more formulas may be involved in such a contingency when we extend the constraint.

All the three measures under constraints are reduced to  $dr(K, \alpha)$  presented in [21] when their own respective constraints are empty. All the four measures stem from the counterfactual dependence of the inconsistency on an individual formula under some contingency regardless if there are constraints. Then they all assign zero responsibility to free formulas of  $K$ . Moreover, adding a free formula to a knowledge base cannot affect the degree of responsibility of each formula for the inconsistency regardless if there are constraints. So, they all satisfy the property of *Free Formula Independence* for formula-level measure presented in [8]. On the other hand, recall that it holds that  $dr(K, \alpha|\mathcal{H}) \leq dr(K, \alpha)$  and  $dr(K, \alpha|\mathcal{C}) \leq dr(K, \alpha)$  for all formulas  $\alpha \in \bigcup \text{MI}(K)$  in the presence of  $\mathcal{H}$  and  $\mathcal{C}$ , respectively. This signifies that  $dr(K, \alpha|\mathcal{H})$  (resp.  $dr(K, \alpha|\mathcal{C})$ ) captures the intuition that we may need to remove more formulas together with  $\alpha$  to break all the minimal inconsistent subsets in the presence of  $\mathcal{H}$  (resp.  $\mathcal{C}$ ). However, considering the impact of constraints on evaluating the responsibility of each formulas also brings the following differences between the case with constraints and that without constraints:

- At first, it has been shown in [21] that  $dr(K, \alpha)$  satisfies the property of *Minimality* presented in [8], i.e.,  $dr(K, \alpha) = 0$  if and only if  $\alpha$  is a free formula. But neither  $dr(K, \alpha|\mathcal{H}) = 0$  nor  $dr(K, \alpha|\mathcal{C}) = 0$  implies that  $\alpha$  must be a free formula when their own respective constraints are not empty. This signifies that neither  $dr(K, \alpha|\mathcal{H})$  nor  $dr(K, \alpha|\mathcal{C})$  satisfies the property of *Minimality*.
- Second, when  $K$  is a minimal inconsistent knowledge base, it has been shown in [21] that  $dr(K, \alpha)$  satisfies the property of *Fairness* presented in [25], i.e.,  $\forall \alpha, \beta \in K$ ,  $dr(K, \alpha) = dr(K, \beta) = 1$  if  $K$  is a minimal inconsistent knowledge base. But  $dr(K, \alpha|\mathcal{H})$  does not satisfy *Fairness* if  $\mathcal{H}$  is not empty, since it holds that  $dr(K, \alpha|\mathcal{H}) = 0$  for all  $\alpha \in P$ .

As far as the formula-level inconsistency measures are concerned, the Shapley inconsistency value presented by Hunter and Konieczny [6–8] is the first attempt to capture the contribution/responsibility of an individual formula for the inconsistency in a knowledge base, to the best of our knowledge. Given a base-level inconsistency measure, the Shapley inconsistency value of an individual formula of a knowledge base is the part of the base-level measure of that base distributed to the formula by the Shapley value model [31], a well known cooperation game model. To be more precise, given a knowledge base  $K$  and a formula  $\alpha \in K$ , the Shapley inconsistency value of  $\alpha$  under the base-level inconsistency measure  $I$ , denoted  $S^I_\alpha(K)$ , is given as

$$S^I_\alpha(K) = \sum_{C \subseteq K} \frac{(|C| - 1)! (|K| - |C|)!}{|K|!} (I(C) - I(C \setminus \{\alpha\}))$$

in [6–8]. In particular, when  $I(K) = I_{MI}(K)$ , the corresponding Shapley inconsistency value can be defined alternatively as follows [7,8]:

$$S_{\alpha}^{I_{MI}}(K) = MIV_C(K, \alpha) = \sum_{M \in MI(K) \text{ s.t. } \alpha \in M} \frac{1}{|M|}.$$

Essentially, the Shapley inconsistency value  $S_{\alpha}^I(K)$  is a weighted accumulation of  $I(C) - I(C \setminus \{\alpha\})$  for all  $C \subseteq K$  s.t.  $\alpha \in C$ . It can be explained as the marginal utility of  $\alpha$  in a coalitional game consisting of all the formulas of  $K$  when the collective payoff of each coalition  $C \subseteq K$  is given by  $I(C)$ . The behavior of the Shapley inconsistency value  $S_{\alpha}^I(K)$  depends on the characteristics of the base-level  $I$ . In particular, if the base-level inconsistency measure  $I$  used in the Shapley value model satisfies the property of *Free Formula Independence*, then the corresponding Shapley inconsistency value coincides with the formula-level measure  $dr$  in that all the free formulas are assigned to zero regardless if there are constraints. For example, both  $S_{\alpha}^{I_{MI}}(K)$  and  $S_{\alpha}^{I_{dr}}(K)$  are such Shapley inconsistency values. However, we have compared the Shapley inconsistency value and our formula-level inconsistency measure  $dr$  in the case without constraints from the following aspects in [21]:

- Their starting points are different from each other. The Shapley inconsistency value stems from the Shapley value model, and then the inconsistency value of each formula can be explained as the Shapley value in a cooperation game consisting of all the formulas in a knowledge base. In contrast, the inconsistency measure  $dr$  is essentially based on the notion of counterfactual dependence under some contingency, and then the inconsistency value of each formula can be interpreted as the degree of responsibility for the inconsistency in causality.
- The definition of Shapley inconsistency value depends on a given base-level inconsistency measure, moreover, the sum of the Shapley inconsistency values of all the formulas of a knowledge base is exactly the base-level inconsistency measure for the base. But the identification of  $dr$  is independent of any base-level inconsistency measure.
- When the inconsistency of a knowledge base is characterized by minimal inconsistent subsets of the base, only the minimal inconsistent subsets that contain  $\alpha$  are involved in identifying  $S_{\alpha}^I(K)$ , while all the minimal inconsistent subsets are involved in identifying  $dr(K, \alpha)$ .

Here we are more interested in the case with constraints. Given a knowledge base  $K$  with a satisfiable soft constraint  $\mathcal{C}$ , let  $R$  be a minimal correction subset compatible with  $\mathcal{C}$ , then  $R \cap K'$  is a (not necessarily minimal) correction subset of  $K'$  compatible with the restriction of  $\mathcal{C}$  to  $K'$  for all  $K' \subseteq K$ . This implies that  $I_{dr}(K'|\mathcal{C})$  is well defined for any  $K' \subseteq K$ . Then we may incorporate our base-level measure  $I_{dr}$  in the Shapley inconsistency value to define a formula-level inconsistency measure in the presence of the soft constraint  $\mathcal{C}$ . That is, we can define a Shapley inconsistency value with constraint  $\mathcal{C}$ , denoted  $S_{\alpha}^{I_{dr}}(K|\mathcal{C})$ , as follows:

$$S_{\alpha}^{I_{dr}}(K|\mathcal{C}) = \sum_{C \subseteq K} \frac{(|C| - 1)! (|K| - |C|)!}{|K|!} (I_{dr}(C|\mathcal{C}) - I_{dr}(C \setminus \{\alpha\}|\mathcal{C})).$$

This formula-level measure can be explained as the marginal utility of  $\alpha$  in a coalitional game consisting of all the formulas of  $K$  when the collective payoff of each coalition  $C \subseteq K$  in the presence of the constraint  $\mathcal{C}$  is given by the measure  $I_{dr}(C|\mathcal{C})$ . As a special Shapley inconsistency value,  $S_{\alpha}^{I_{dr}}(K|\mathcal{C})$  is exactly the part of  $I_{dr}(K|\mathcal{C})$  distributed to  $\alpha$  by using the Shapley value model. So,

$$\sum_{\alpha \in K} S_{\alpha}^{I_{dr}}(K|\mathcal{C}) = I_{dr}(K|\mathcal{C}).$$

Recall that  $I_{dr}(K|\mathcal{C}) = \frac{1}{\max_{\alpha \in K} dr(K, \alpha|\mathcal{C})}$  for an inconsistent knowledge base  $K$  with the constraint  $\mathcal{C}$ . Then  $S_{\alpha}^{I_{dr}}(K|\mathcal{C})$  is different from  $dr(K, \alpha|\mathcal{C})$  in the starting point as well as the relationship to the base-level  $I_{dr}(K|\mathcal{C})$ .

On the other hand, given a knowledge base  $K$  with a valid hard constraint  $\mathcal{H}$ , the restriction of  $\mathcal{H}$  to a subset  $C$  of  $K$  is not necessarily valid w.r.t.  $C$ . To illustrate this, consider  $K = \{a, \neg a, a \wedge b, \neg b\}$  and  $\mathcal{H} = (P, Q)$ , where  $P = \{\neg b\}$  and  $Q = \{\neg a, a \wedge b\}$ . Evidently,  $\mathcal{H}$  is valid w.r.t.  $K$ , but  $(P \cap C, Q \cap C)$  is not valid w.r.t.  $C = \{a, \neg a, a \wedge b\}$ . Then  $I_{dr}(C|\mathcal{H})$  seems meaningless for some  $C \subseteq K$ . This implies that we cannot incorporate the base-level measure  $I_{dr}$  in the Shapley inconsistency value for  $K$  directly in the presence of a hard constraint  $\mathcal{H}$ .

When there is no constraint, the drastic measure  $MIV_D$  is too simple as compared with either  $MIV_C$  or  $MIV_{\#}$  to distinguish any two formulas involved in the inconsistency. However, the common ground of  $MIV_C(K, \alpha)$  and  $MIV_{\#}(K, \alpha)$  is that the formula-level inconsistency measure for  $\alpha$  depends on the minimal inconsistent subsets containing  $\alpha$  instead of all the minimal inconsistent subsets. This means that the counterfactual dependence of an individual minimal inconsistent subset on  $\alpha$  underlies the two measures. It makes a distinction between the two measures and our measure  $dr(K, \alpha|\emptyset)$  from the perspective of causality, because  $dr(K, \alpha|\emptyset)$  stems from the counterfactual dependence of the set of minimal inconsistent subsets on  $\alpha$  under some contingency. Moreover, we may extend the two measures  $MIV_C$  or  $MIV_{\#}$  along this line to the case with a hard or soft constraint by using the minimal inconsistent subsets that counterfactually depend on  $\alpha$  in the presence of the constraint in their own respective definitions.

In addition, the measure  $I_{\mathcal{P}_m}$  presented in [12] aims to capture the contribution made by the formula to the inconsistency in that base based on minimal proofs. Roughly speaking, given a knowledge base  $K$  and a formula  $\alpha \in K$ ,  $I_{\mathcal{P}_m}(\alpha)$  sums up the times of the formula  $\alpha$  involved in both minimal proofs of  $x$  and that of  $\neg x$  for any variable  $x$  occurring in formulas of  $K$ . However, as argued in [21], for some inconsistent knowledge base, none of formulas of that base  $K$  bears responsibility for the inconsistency under the formula-level measure. Such a result is undesired in analyzing inconsistency in a knowledge base.

The satisfaction of constraints is one of the obligatory postulates for characterizing scenarios under constraints such as belief merging under integrity constraints [14,15]. Then we focus on measuring the inconsistency in the presence of a valid hard or satisfiable soft constraint in this paper. However, given an inconsistent knowledge base, if the hard constraint  $\mathcal{H}$  (resp. the soft constraint  $\mathcal{C}$ , and the mixed constraint  $(\mathcal{H}, \mathcal{C})$ ) is not valid (resp. satisfiable), then we cannot find a minimal correction subset compatible with the constraint. So, we may consider  $+\infty$  as the designated value for the base-level measure  $I_{dr}(K|\mathcal{H})$  (resp.  $I_{dr}(K|\mathcal{C})$ , and  $I_{dr}(K|\mathcal{H}, \mathcal{C})$ ) for invalid hard constraint (resp. unsatisfiable soft constraint, and unsatisfiable mixed constraint). Correspondingly, we may use  $-1$  as the designated value of the formula-level measure for all formulas involved in the minimal inconsistent subsets.

With regard to the inconsistency handling, incorporating constraints on resolving inconsistency in measuring inconsistency can facilitate the application of techniques for measuring inconsistency in practical inconsistency resolving. For example, our measures can be used to extend the measure-driven logical framework for managing non-canonical requirements presented in [22] so as to allow some useful clues for resolving inconsistency to be considered in decision making.

On the other hand, besides removing formulas, there are some other actions such as weakening formulas and splitting formulas [4] in order to restore the consistency in a knowledge base. It will be interesting to generalize our approach to constraints on such actions for restoring consistency.

Lastly, our measures are given in terms of minimal correction subsets and minimal inconsistent subsets of a knowledge base. This implies that these measures can be generalized from the propositional logic to some more complex logics in which the notions of minimal correction subset and minimal inconsistent subset are exactly the same as those in propositional logic.

## 9. An application in requirements engineering

In this section we use a small but explanatory example in requirements engineering to illustrate the application of our approach. We consider a scenario for eliciting requirements for updating an existing software, which is slightly adapted from the example used in [26,28,21].

**Example 9.1.** Consider the following scenario for eliciting requirements for updating an existing software. There are three stakeholders involved in this scenario, including the seller of the new system, the user of the existing system (the user for short), and the domain expert in requirements engineering. Each of the three stakeholders may provide demands from her/his own perspective. When inconsistencies in their demands are identified, developers and the three stakeholders start to negotiate on resolving inconsistencies. Our measures may help developers and the stakeholders make a decision on revising the requirements.

- The seller of the new system provides two demands:
  - (a1) The user interface of the system-to-be should be in the modern idiom (i.e., fashionable).
  - (a2) The system-to-be should be open, that is, the system-to-be could be extended easily.
- The user of the existing system provides three demands:
  - (b1) The system-to-be should be developed based on the techniques used in the existing system.
  - (b2) The user interface of the system-to-be should maintain the style of the existing system.
  - (b3) The system-to-be should be secure.
- The domain expert in requirements engineering provides two demands about security:
  - (c1) To guarantee the security of the system-to-be, openness (or ease of extension) should not be considered.
  - (c2) To improve the security of the system-to-be, the newest development techniques should be adopted.

The following predicates are used in [26] to formulate the requirements:

- the predicate  $\text{Fash}(\text{int\_f})$  is used to denote that the interface is fashionable;
- the predicate  $\text{Open}(\text{sys})$  is used to denote that the system is open;
- the predicate  $\text{New}(\text{sys})$  is used to denote that the system will be developed based on the newest techniques;
- the predicate  $\text{Secu}(\text{sys})$  is used to denote that the system is secure.

Then the requirements above can be represented by a knowledge base

$$K_R = \left\{ \begin{array}{l} \text{Fash}(\text{int\_f}), \text{Open}(\text{sys}), \neg \text{New}(\text{sys}), \neg \text{Fash}(\text{int\_f}), \text{Secu}(\text{sys}), \\ \text{Secu}(\text{sys}) \rightarrow \text{New}(\text{sys}), \text{Secu}(\text{sys}) \rightarrow \neg \text{Open}(\text{sys}) \end{array} \right\}.$$

For simplicity of discussion, we abbreviate the knowledge base as

$$K_R = \{a1, a2, b1, b2, b3, c1, c2\}.$$

Evidently,  $K_R$  is inconsistent. Moreover,

$$I_{dr}(K_R) = 2.$$

This implies that we need to change at least two requirements in order to get a consistent requirements set.

The degree of responsibility of each requirement for the inconsistency is given as follows:

$$\begin{aligned} dr(K_R, a1) &= dr(K_R, b2) = dr(K_R, b3) = \frac{1}{2}, \\ dr(K_R, a2) &= dr(K_R, b1) = dr(K_R, c1) = dr(K_R, c2) = \frac{1}{3}. \end{aligned}$$

Note that  $K_R$  has 10 minimal correction subsets. Allowing for the practical costs for abandoning requirements, developers are more interested in suggesting the stakeholders to change the requirements with the highest degree of responsibility. That is, developers are interested in either  $\{a1, b3\}$  or  $\{b2, b3\}$  at the beginning of negotiation with stakeholders.

Suppose that after the first round of negotiation, they reach an agreement to resolve the inconsistency as follows:

(A1)  $b_3$  should be protected from being changed on the condition that  $b_2$  must be abandoned.

(A2)  $c_1$  and  $c_2$  are not allowed to be changed together.

Here we use  $\mathcal{H} = (\{b_3\}, \{b_2\})$  and  $\mathcal{C} = \{(c_1, c_2)\}$  to represent the two constraints (A1) and (A2) on further inconsistency resolving, respectively. Then

$$I_{dr}(K_R|\mathcal{H}, \mathcal{C}) = 3.$$

This implies that we need to change at least three requirements in order to get a consistent requirements set under the agreement.

Now the degree of responsibility of each requirement for the inconsistency with the constraints is given as follows:

$$\begin{aligned} dr(K_R, a1|\mathcal{H}, \mathcal{C}) &= dr(K_R, b3|\mathcal{H}, \mathcal{C}) = 0, \\ dr(K_R, b2|\mathcal{H}, \mathcal{C}) &= dr(K_R, a2|\mathcal{H}, \mathcal{C}) = \frac{1}{3}, \\ dr(K_R, b1|\mathcal{H}, \mathcal{C}) &= dr(K_R, c1|\mathcal{H}, \mathcal{C}) = dr(K_R, c2|\mathcal{H}, \mathcal{C}) = \frac{1}{3}. \end{aligned}$$

Besides  $b3$ ,  $a1$  is protected from being changed by the constraint. Note that  $dr(K_R, b2|\mathcal{H}, \mathcal{C}) = \frac{1}{3}$ . This implies that stakeholders just have to abandon two other requirements with non-zero responsibility together with  $b2$  in order to restore the consistency of the set of requirements. Then in this case, developers are interested in recommending  $\{b1, b2, a2\}$ ,  $\{b1, b2, c2\}$ , and  $\{b2, c1, a2\}$  to stakeholders.

Suppose that the user of the existing system agrees to abandon requirement  $b1$  after the second round of negotiation, while the seller agrees to withdraw requirement  $a2$ . Then requirements  $\{b1, b2, a2\}$  are chosen as the ones to be abandoned. The revised set of requirements is represented by the following knowledge base

$$K_R^1 = \{a1, b3, c1, c2\}.$$

Now the set of requirements is consistent.

## 10. Conclusion

A growing number of inconsistency measures have been proposed so far. Most measures satisfy some properties that are considered intuitive and rational within their own contexts. In this sense, such measures have provided some characterizations of the inconsistency for a knowledge base from their own perspectives. However, we are more interested in incorporating inconsistency measures in the whole process of inconsistency resolving in many practical applications. To this purpose, establishing a meaningful linkage between inconsistency measures and actions needed to render a knowledge base consistent is more necessary.

Causality can be considered as a promising starting point to establish such a linkage. Generally, from the point of view of inconsistency resolving, only formulas causing the inconsistency of a knowledge base should be involved in actions for restoring the consistency of that base. That is, only causes of the inconsistency are of interest when we choose formulas

that need to be changed in order to resolve the inconsistency. From the perspective of inconsistency measuring, inconsistency measures based on counterfactual dependence (under some contingency) allow us to better understand the nature of inconsistency at both base-level and formula-level from the perspective of causality. Then causality-based explanations of inconsistency measures make them more applicable in the practical application domains. Our previous measures presented in [21] have made the first attempt to introduce the causality in measuring inconsistency.

On the other hand, constraints specify some conditions for acceptable actions for restoring the consistency of a knowledge base in practical applications. Then a useful linkage between inconsistency measures and inconsistency resolving should take into account the role of constraints in practical inconsistency resolving. We made an attempt to extend causality-based measures to the case with constraints so as to establish more practical linkage between inconsistency measures and inconsistency resolving in this paper. The graph-based approach to measuring the inconsistency for a knowledge base with one or both of the two typical kinds of constraints has been proposed. Given an inconsistent knowledge base with a constraint, the MIS-graph is constructed to represent the set of minimal inconsistent subsets of that base from multiple perspectives. In particular, the one-to-one correspondence between minimal correction subsets and minimal  $Y$ -dominating sets of the MIS-graph allows us to incorporate constraints on modifying formulas in such a graph-based characterization of inconsistency in a concise way.

Based on this incorporation, then the degree of inconsistency of a knowledge base with the constraint is defined as the minimum cardinality of minimal  $Y$ -dominating sets compatible with the constraint, moreover, it is interpreted as the minimum size of sets of formulas which the inconsistency counterfactually depends on in the presence of the constraint from a perspective of causality.

At the level of formulas, if a formula is subsumed in a minimal  $Y$ -dominating set of the MIS-graph that is compatible with the constraint, then the degree of responsibility of that formula for the inconsistency in the presence of the constraint is defined as the reciprocal of the minimum cardinality of compatible minimal  $Y$ -dominating sets with the constraint that contain the formula, otherwise, it is defined as 0. All these measures for inconsistency with constraints can be well explained in the framework of Halpern–Pearl’s causal model and Chockler and Halpern’s notion of responsibility. This causality-based interpretation makes the inconsistency measure more comprehensible and applicable to the practical application domains. Lastly, some interesting logical properties of these inconsistency measures, especially about monotony or antimonotony with regard to constraints, have been also studied.

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