HES-SO Machine Learning

# $\begin{array}{c} {\rm Practical\ work\ 08-8th\ of\ November\ 2022} \\ {\rm Clustering\ algorithms} \end{array}$

#### Summary for the organisation:

- Submit the solutions of the practical work before the date specified in Moodle.
- Rule 1. Submit an archive (\*.zip!) with your Python notebooks (one per exercise), including datasets and all necessary files.
- Rule 2. The archive file name must contain the number of the practical work, followed by the family names of the team members by alphabetical order, for example 02\_dupont\_muller\_smith.zip. Put also the name of the team members in the body of the notebook (in first cell). Only one submission per team.
- Rule 3. We give a fail for submissions that do not compile (missing files are a common source of errors...). So, make sure that your whole notebooks give the expected solutions by clearing all cells and running them all before submitting.

#### Context

The goal of this practical work is the implement by yourself the k-means algorithm and to experiment with the different parameters of this algorithm.

## Exercice 1 Getting the data

a) Load the two given datasets:

```
data1, label1 = pickle.load(open("dataset_1.pkl", "rb"), encoding ="latin1")
data2, label2 = pickle.load(open("dataset_2.pkl", "rb"), encoding ="latin1")
```

b) Visualize the data using various color for each unique labels like in Figure 1:

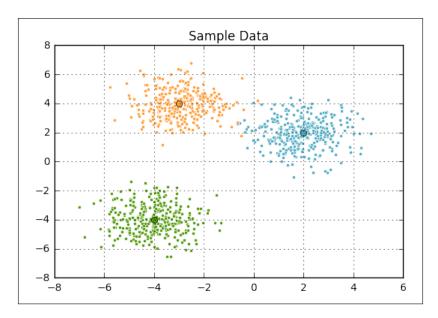


Figure 1 – Data visualization

#### Exercice 2 The k-means algorithm

Using numpy, implements the k-means algorithm as follow:

- a) Initialise k centroids  $\mu_1, \mu_2, \dots, \mu_K$ .
- b) Until convergence:
  - i) Find the closest centroid for each training point
  - ii) Reevaluate the centroids
- c) Return the k centroids.

We also ask you to define and implement strategies for the:

- Initialisation of the centroids.
- Convergence criteria.

### Exercice 3 Evaluate your model

At this point, your k-means algorithm is working:

- Visualize your convergence criteria over the epochs <sup>1</sup> using the dataset 1.
- Visualize the output of your k-means on the dataset 1.
- Do you experience sensitivity to the initial values of the centroids? Is your strategy for initialization working well in most cases?
- Document your convergence criteria. Could you think about other convergence criteria?
- Visualize your convergence criteria over the epochs using the dataset 2.
- Visualize the output of your k-means on the dataset 2 and comment your results.
- 1. One epoch is a complete visit of the training set.

# Exercice 4 Optional: compare your implementation with the one of scikit-learn

Visit the page of sci-kit learn related to the K-Means algorithm and analyse the API: <a href="https://scikit-learn.org/stable/modules/generated/sklearn.cluster.KMeans.html">https://scikit-learn.org/stable/modules/generated/sklearn.cluster.KMeans.html</a>. Realise some experiments with this implementation and compare the results with the ones of your own implementation. What are your observations?

#### Exercice 5 Review questions

- a) Re-explain in your own words the steps of the K-Means algorithm.
- b) Are we guaranteed to observe a decreasing distortion J from one epoch to the other in the K-Means?
- c) For two different initial values of the centroids in the K-Means, can we get different end values of the distortion J? Argument your answer.
- d) Can the K-Means be used as a compression algorithm? Compute the compression ratio for a setting with 256 centroids and an input space at two dimensions  $(x_1, x_2)$  encoded in float 32.
- e) What is the use of the elbow method? Explain it in your own words.
- f) Give an example where we would know in advance the number of clusters we want to discover with a clustering algorithm.
- g) It is possible to compute the distortion  $J_k$  for a given centroid k. If we observe that the distortion  $J_k$  for centroid k is really bigger than the other distortions and that the number of points  $N_k$  associated to this centroid is also bigger than for the other centroids, what can we say about the dataset and cluster k of points? Could you suggest a strategy to make things better?