

# A simple two parameter distribution for modelling neuronal activity and capturing neuronal association

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## Abstract

Recent developments in electrophysiological technology have lead to an increase in the size of electrophysiological datasets. Consequently, there is a requirement for new analysis techniques that can make use of these new datasets, while remaining easy to use in practice. In this work, we fit the Conway-Maxwell-binomial distribution to spiking data read from a mouse exposed to visual stimuli.

## 1 Introduction

Motivate by pointing out how much computational power it can require to calculate  $n$ th order correlations.

Point out that we don't necessarily need to measure correlations anyway.

## 2 Results

## 3 Discussion

## 4 Data

We used data collected by Nick Steinmetz and his lab ‘CortexLab at UCL’ [9]. The data can be found online <sup>1</sup> and are free to use for research purposes.

Two ‘Phase3’ Neuropixels [4] electrode arrays were inserted into the brain of an awake, head-fixed mouse for about an hour and a half. These electrode arrays recorded 384 channels of neural data each at 30kHz and less than  $7\mu\text{V}$  RMS noise levels. The sites are densely spaced in a ‘continuous tetrode’-like arrangement, and a whole array records from a 3.8mm span of the brain. One array recorded from visual cortex, hippocampus, and thalamus, the other array recorded from motor cortex and striatum. The data were spike-sorted automatically by Kilosort and manually by N. Steinmetz using Phy. In total 831 well-isolated individual neurons were identified.

### 4.1 Experimental protocol

The mouse was shown a visual stimulus on three monitors placed around the mouse at right angles to each other, covering about  $\pm 135$  degrees azimuth and  $\pm 35$  degrees elevation.

The stimulus consisted of sine-wave modulated full-field drifting gratings of 16 drift directions ( $0^\circ, 22.5^\circ, \dots, 337.5^\circ$ ) with 2Hz temporal frequency and 0.08 cycles/degree spatial frequency displayed for 2 seconds plus a blank condition. Each of these 17 conditions were presented 10 times in a random order across 170 different trials. There were therefore 160 trials with a visual stimulus present, and 10 trials without a visual stimulus.

## 5 Methods

Details about all kinds of things here.

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<sup>1</sup><http://data.cortexlab.net/dualPhase3/>

## 5.1 Binning data

We converted the spike times for each cell into spike counts by putting the spike times into time bins of a given ‘width’ (in seconds). We used time bins of 1ms, 5ms, and 10ms. We used different time bin widths to assess the impact of choosing a bin width.

## 5.2 Number of *active* neurons

To count the number of active neurons in each neuronal ensemble, we split the time interval for each trial into bins of a given width. We counted the number of spikes fired by each cell in each bin. If a cell fired *at least* one spike in a given bin, we regarded that cell as active in that bin. We recorded the number of active cells in every bin, and we recorded each cell’s individual spike counts.

It should be noted that when we used a bin width of 1ms, the maximum number of spikes in any bin was 1. For the wider time bins, some bins had spike counts greater than 1. Consequently when using a bin width of 1ms, the number of active neurons and the total spike count of a given bin were identical. But for wider bin widths, the total spike count was greater than the number of active neurons.

So for the 1ms bin width, the activity of a neuron and the number of spikes fired by that neuron in any bin can be modelled as a Bernoulli variable. But for wider time bins, only the activity can be modelled in this way.

## 5.3 Moving windows for measurements

When taking measurements (e.g. moving average over the number of active neurons) or fitting distributions (eg. the beta binomial distribution) we slid a window containing a certain number of bins across the data, and made our measurements at each window position. For example, when analysing 1ms bin data, we used a window containing 100 bins, and we slid the window across the time interval for each trial moving 10 bins at a time. So that for 2560ms of data, we made 246 measurements.

For the 5ms bin width data, we used windows containing 40 bins, and slid the window 2 bins at a time when taking measurements.

For the 10ms bin width data, we used windows containing 40 bins, and slid the window 1 bin at a time when taking measurements.

By continuing to use windows containing 40 bins, we retained statistical power but sacrificed the number of measurements taken.

## 5.4 Fano factor

The *Fano factor* of a random variable is defined as the ratio of the variable's variance to its mean.

$$F = \frac{\sigma^2}{\mu} \quad (1)$$

We measured the Fano factor of the spike count of a given cell by measuring the mean and variance of the spike count across trials, and taking the ratio of those two quantities. When calculated in this way the Fano factor can be used as a measure of neural variability. This is similar to the calculation used in [2].

## 5.5 Probability Distributions suitable for modelling ensemble activity

We present here three different probability distributions that could be suitable to model the number of active neurons in an ensemble. Each distribution has the set  $\{0, \dots, n\}$  as its support, where  $n$  is the number of neurons in the ensemble. These are simple distributions with either two or three parameters each. However, we regard  $n$  as known when using these distributions for modelling, so in effect each distribution has either one or two free parameters.

### 5.5.1 Association

*Association* between random variables is similar to the correlation between random variables but is more general in concept. The correlation is a measure of association; and association doesn't have a mathematical definition like correlation does. Essentially, the association between two random variables is their tendency to take the same or similar values. Positively associated variables tend to take the same value, and negatively associated variables tend to take different values. In this research, we work with probability distributions of the number of successes in a set of Bernoulli trials. These Bernoulli variables may or may not be associated.

96 A probability distribution over the number of successes in  $n$  Bernoulli trials,  
 97 where the Bernoulli variables may be associated, could constitute a good model for  
 98 the number of active neurons in an ensemble of  $n$  neurons.

### 99 5.5.2 Binomial distribution

The binomial distribution is a two parameter discrete probability distribution that can be thought of as a probability distribution the number of successes from  $n$  independent Bernoulli trials, each with the same probability of success. The parameters of the binomial distribution are  $n$ , and  $0 \leq p \leq 1$ , the probability of success for each of these trials. A random variable with the binomial distribution can take values from  $\{0, \dots, n\}$ . The probability mass function of the distribution is

$$P(k; n, p) = \binom{n}{k} p^k (1 - p)^{n-k} \quad (2)$$

If we have  $N$  independent samples from a binomial distribution and we know  $n$  but want to estimate  $p$ , we can maximise the log likelihood function

$$L(p) = \log P(\{k_1, \dots, k_N\}; n, p) \quad (3)$$

$$= \sum_{i=1}^N \log \binom{n}{k_i} + k_i \log p + (n - k_i) \log(1 - p) \quad (4)$$

100 If we do not know  $n$  there is no closed form way way of maximising this equa-  
 101 tion. Therefore the binomial distribution is generally only used in cases where we do  
 102 know  $n$ . Consequently, the distribution is practically a one parameter distribution.

103 As model for the activity of a neuronal ensemble, the main problem with the bi-  
 104 nomial distribution is that it treats each neuron, represented as a Bernoulli trial, as  
 105 independent. It is well know that neurons are not independent, and that correlated  
 106 behaviour between neurons is vital for representing sensory information. The bino-  
 107 mial distribution falls short in this regard, but it is useful as performance benchmark  
 108 when assessing the performance of other models.

### 109 5.5.3 Beta-binomial distribution

110 The beta distribution is the conjugate distribution of the binomial distribution. The  
 111 beta-binomial distribution is the combination of the beta distribution and the bino-

112 mial distribution, in that the probability of success for the binomial distribution is  
 113 sampled from the beta distribution. This allows the beta-binomial distribution to  
 114 capture some over dispersion relative to the binomial distribution.

The beta-binomial distribution is a three parameter distribution,  $n$  the number of Bernoulli trials, and  $\alpha \in \mathbb{R}_{>0}$  and  $\beta \in \mathbb{R}_{>0}$  the shape parameters of the beta distribution. The probability mass function for the beta-binomial distribution is

$$P(k; n, \alpha, \beta) = \binom{n}{k} \frac{B(k + \alpha, n - k + \beta)}{B(\alpha, \beta)} \quad (5)$$

115 where  $B(\alpha, \beta)$  is the beta function.

This probability distribution can be reparametrised in a number of ways. One of which defines new parameters  $\pi$  and  $\rho$  by

$$\pi = \frac{\alpha}{\alpha + \beta} \quad (6)$$

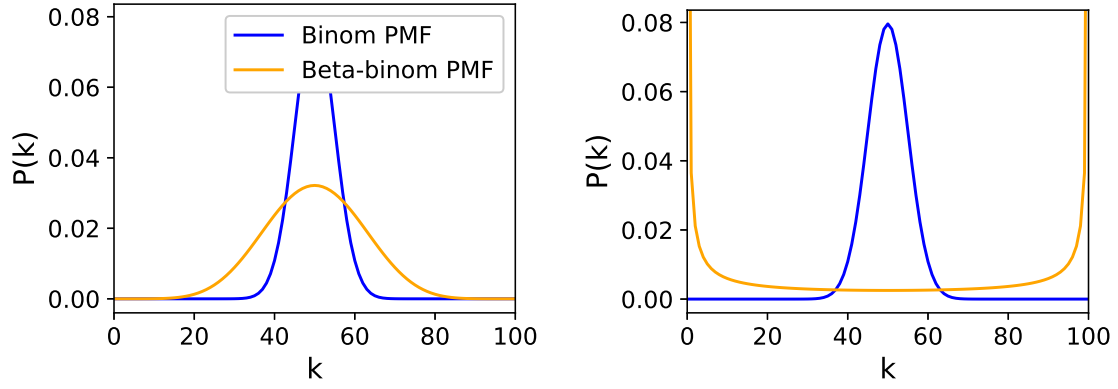
$$\rho = \frac{1}{\alpha + \beta + 1} \quad (7)$$

116 This reparametrisation is useful because  $\pi$  acts as a location parameter analogous to  
 117 the  $p$  parameter of a binomial distribution. A value of  $\rho > 0$  indicates over-dispersion  
 118 relative to a binomial distribution.

119 As a model for the activity of a neuronal ensemble, the beta-binomial distribution  
 120 is more suitable than a binomial distribution because the over-dispersion of the beta-  
 121 binomial distribution can be used to model positive association between the neurons.  
 122 An extreme example of this over-dispersion/positive association can be seen in figure  
 123 1b. In this figure, the neurons are positively associated and so tend to take the same  
 124 value, consequently the probability mass of the beta-binomial distribution builds up  
 125 close to  $k = 0$  and  $k = n$ . It is worth noting that the location parameter for each  
 126 distribution has the same value,  $p = \pi = 0.5$ .

#### 127 **5.5.4 Conway-Maxwell-binomial distribution**

128 The Conway-Maxwell-binomial distribution (COMb distribution) is a three param-  
 129 eter generalisation of the binomial distribution that allows for over dispersion and  
 130 under dispersion relative to the binomial distribution. The parameters of the distri-  
 131 bution are  $n$  the number of Bernoulli trials,  $0 \leq p \leq 1$ , the location parameter, and



(a)  $n = 100, p = 0.5, \alpha = \beta = 10$

(b)  $n = 100, p = 0.5, \alpha = \beta = 0.3$

Figure 1: Figures showing the over-dispersion possible for a beta-binomial distribution relative to a binomial distribution. Parameters are shown in the captions.

132  $\nu \in \mathbb{R}$  the shape parameter.

The probability mass function of the COMb distribution is

$$P(k; n, p, \nu) = \frac{1}{S(n, p, \nu)} \binom{n}{k}^\nu p^k (1-p)^{n-k} \quad (8)$$

where

$$S(n, p, \nu) = \sum_{j=0}^n \binom{n}{j}^\nu p^j (1-p)^{n-j} \quad (9)$$

133 The only difference between this PMF and the PMF for the standard binomial is  
 134 the introduction of  $\nu$  and the consequent introduction of the normalising function  
 135  $S(n, p, \nu)$ .

136 Indeed, if  $\nu = 1$  the COMb distribution is identical to the binomial distribution  
 137 with the same values for  $n$  and  $p$ .

138 If  $\nu < 1$  the COMb distribution will exhibit over-dispersion relative to the bi-  
 139 nomial distribution. If  $p = 0.5$  and  $\nu = 0$  the COMb distribution is the discrete  
 140 uniform distribution, and if  $\nu < 0$  the mass of the COMb distribution will tend to  
 141 build up near  $k = 0$  and  $k = n$ . This over-dispersion represents positive association  
 142 in the Bernoulli variables.

143 If  $\nu > 1$  the COMb distribution will exhibit under-dispersion relative to the bi-  
 144 nomial distribution. The larger the value of  $\nu$  the more probability mass will build up  
 145 at  $n/2$  for even  $n$ , or at  $\lfloor n/2 \rfloor$  and  $\lceil n/2 \rceil$  for odd  $n$ . This under-dispersion represents

146 negative association in the Bernoulli variables.

147

$\nu$	Relative dispersion	Associaton between neurons/variables
$< 1$	over	positive
0	none	none
$> 1$	under	negative

148 Since the COMb distribution has the potential to capture positive and negative  
149 associatons between the neurons/Bernoulli variables, it should be an excellent can-  
150 didate for modelling the number of active neurons in a neuronal ensemble.

## 151 5.6 Goodness-of-fit

## 152 5.7 Spike count correlations

# 153 6 Discussion

154 Point out that the Conway-Maxwell-binomial distribution could be used to measure  
155 activity and association without having to sort the voltage traces into spikes. That  
156 does defeat the purpose slightly, however.



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