

# Motion Planning and Control

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# Outline

- Advanced motion planning algorithms
- Optimal control and model predictive control
- Planning under uncertainty

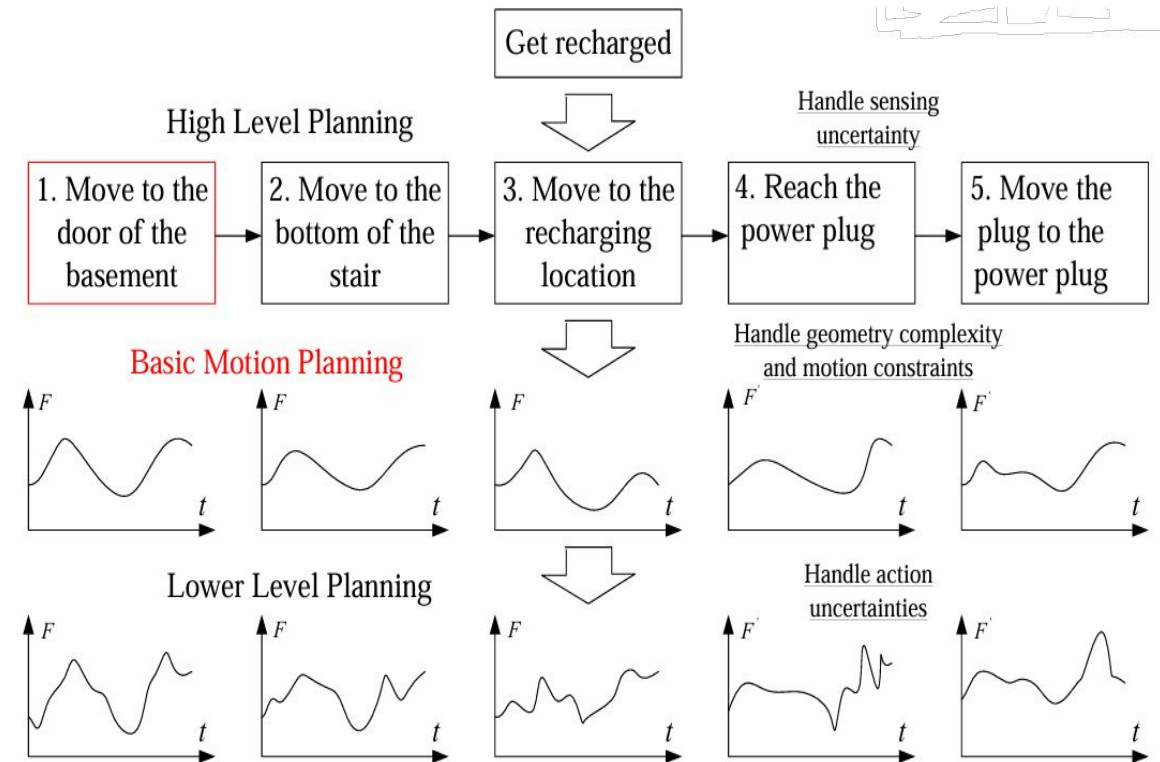
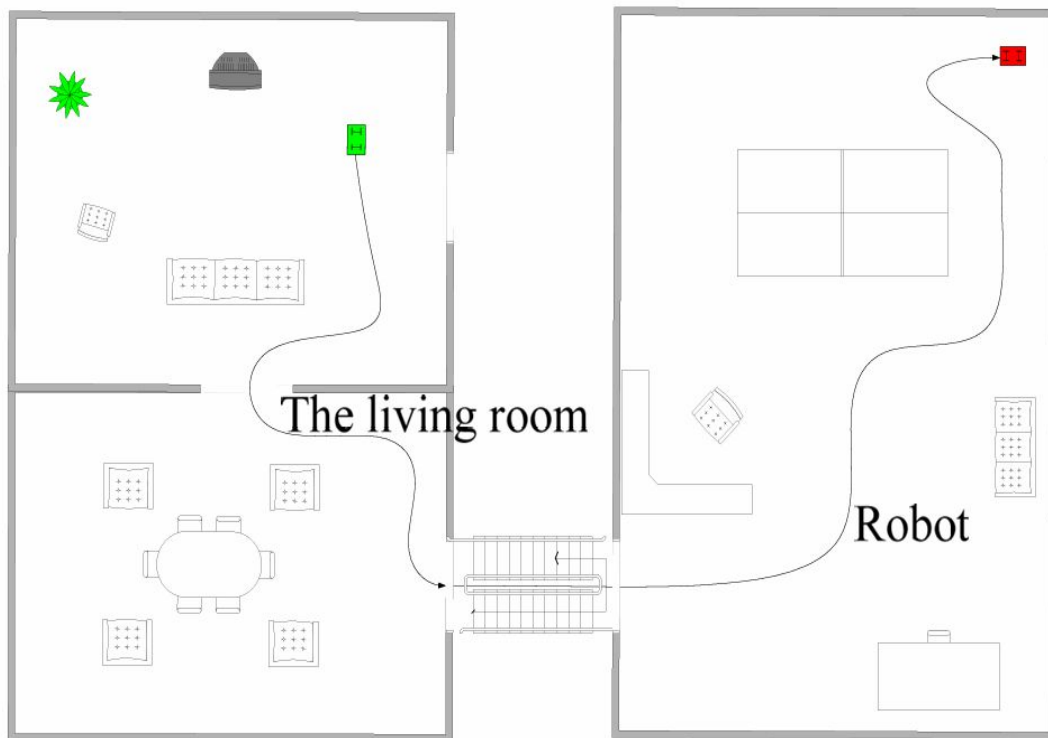
# Introduction

- The position of a robot is normally described by a number of variables: **position** and **orientation** of the robot
- A **motion for a robot** can, hence, be considered as **a path in the configuration space**.
- Such a path should remain in the subspace of configurations in which there **is no collision between the robot and the obstacles**, the so-called **free space**.
- The **motion planning problem** asks for **determining such a path through the free space in an efficient way**.

**Position planning**: is the process of planning how a robot will move to achieve a specific goal

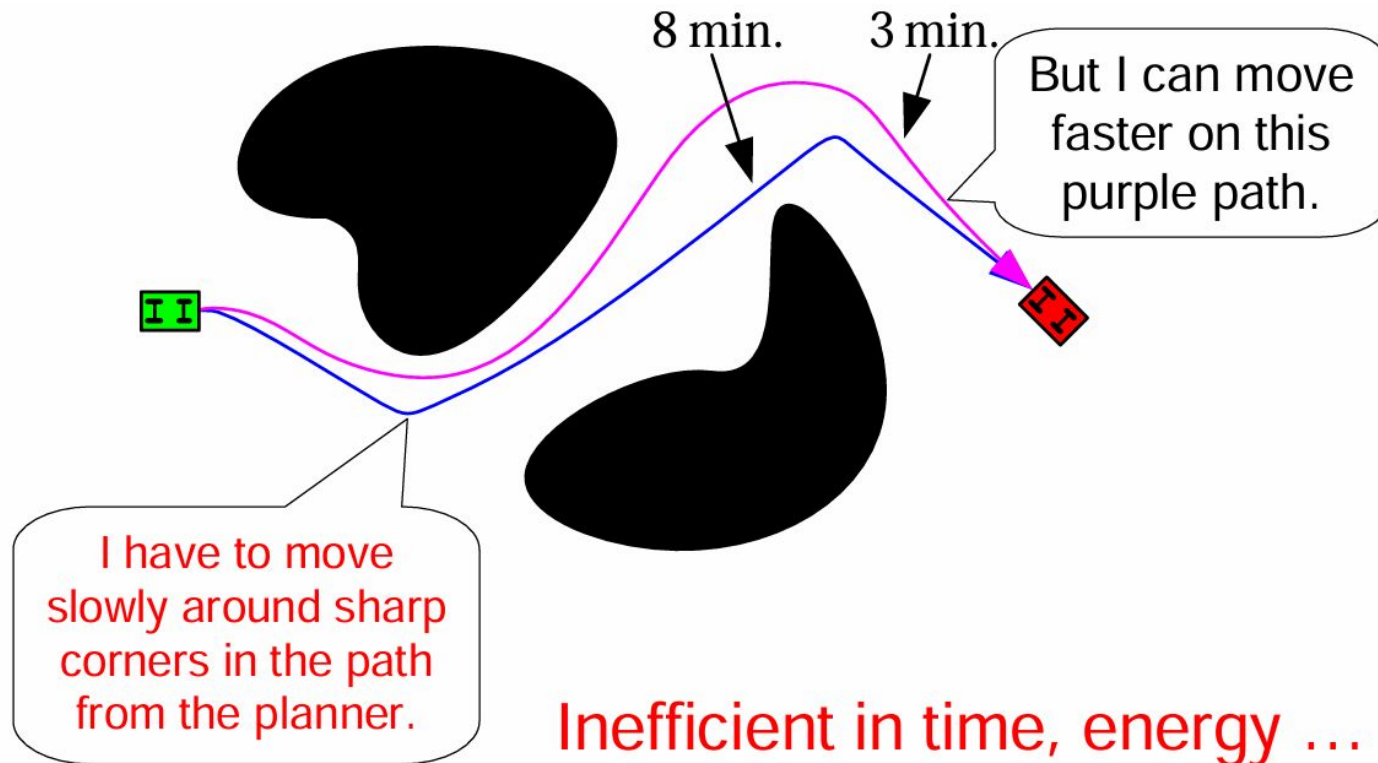
**Goal**: to determine **a sequence of robot configurations**, called **trajectory**, that can move the robot from its initial to its final position while avoiding collisions.

# Introduction



Which motion model is appropriate?

# Introduction



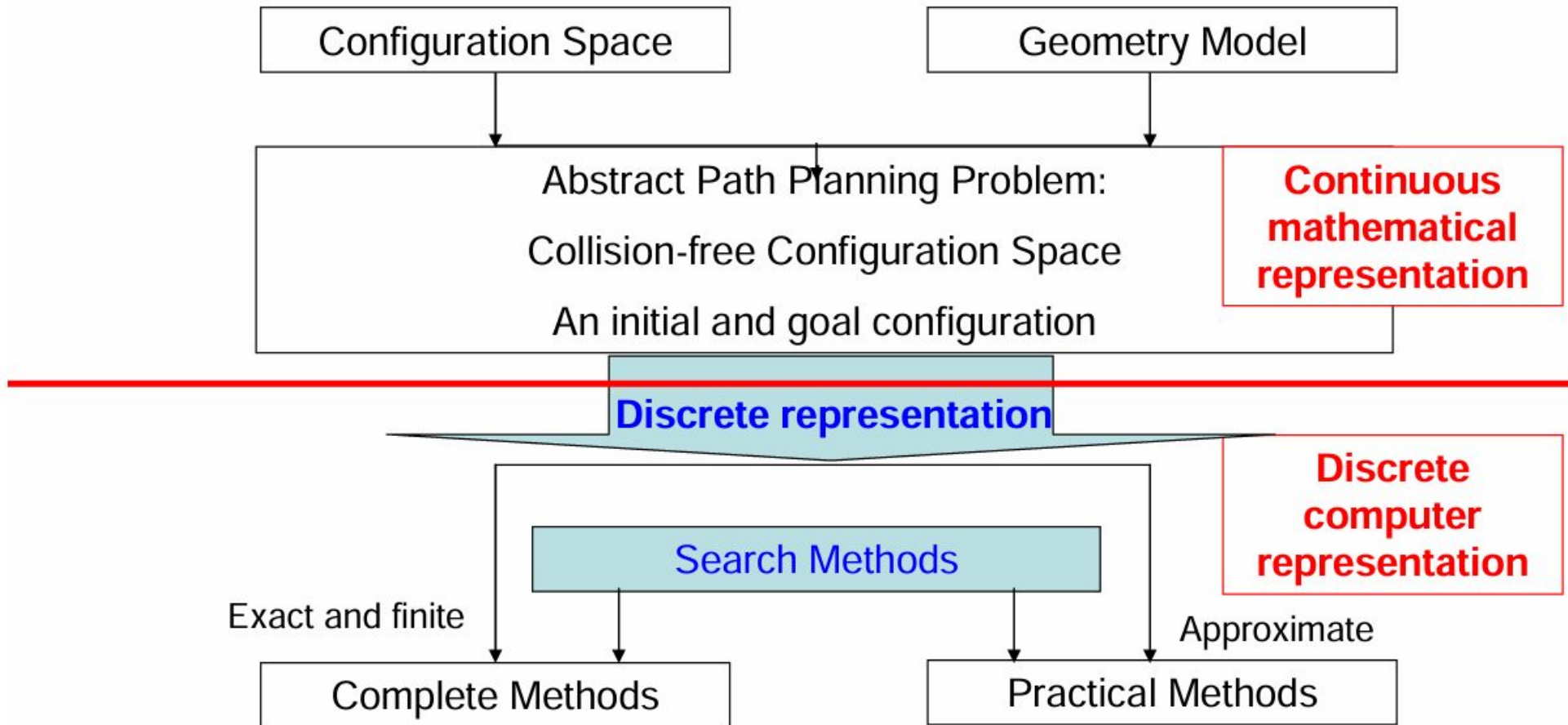
# Introduction

- Motion planning can be split into two classes.
  - When all degrees of freedom can be changed independently-- holonomic motion planning.
    - The existence of a collision-free path is characterized by the existence of a connected component in the free configuration space.
    - Motion planning consists in building the free configuration space, and in finding a path in its connected components.
  - Planning motions in the presence of kinematic constraints (and always amidst obstacles)
    - When the degrees of freedom of a robot system are not independent we talk about nonholonomic motion planning.
    - Much more difficult than holonomic motion planning.
    - A fundamental issue for most types of mobile robots.

# Introduction

- Problem
  - Given start state  $S$ , goal state  $G$
  - Asked for: a sequence of control inputs that leads from start to goal
- Why tricky?
  - Need to avoid obstacles
  - For systems with underactuated dynamics: can't simply move along any coordinate at will
    - E.g., car, helicopter, airplane, but also robot manipulator hitting joint limits
- Motion planning is typically solved using algorithms that search the robot's configuration space (C-Space), which is the set of all possible positions and orientation that the robot can reach.
  - Configuration space is high-dimensional – search for a feasible trajectory a challenging problem

# Introduction: Algorithms





# Configuration Space

- A topological manifold

- A topological manifold is a fundamental concept in topology and geometry. It is a space that, on a local scale, resembles Euclidean space, but on a global scale, it can have a more complex structure.

- A metric space

- A metric space is a fundamental concept in mathematics that provides a framework to define and measure the "distance" between elements of a set.

- A differentiable manifold

- A differentiable manifold is a type of topological manifold that allows for calculus (differentiation) to be performed on it. It extends the concept of curves and surfaces to higher dimensions and more abstract spaces while maintaining smoothness.

# Configuration Representation

- Continuous mathematical representation
  - Mathematical formulation of the problem
  - Topology of the configuration space
  - Parameterization of configuration space
  - Computation of collision-free configuration space
  - Abstract path planning problems
- Discrete computational representations
  - Required for computer algorithms
  - Exact and finite representations of the set of collision free configurations
  - Approximate representations

# Search Methods

- Discrete search methods
  - Search with finite states and actions
- Deterministic complete search
  - Best-first, A\*, Dijkstra, ...
- Sampling-based algorithms
  - PRM(Probabilistic Road Map)-based methods
  - RRT (Rapidly Exploring Random Tree)-based methods
  - Heuristic design

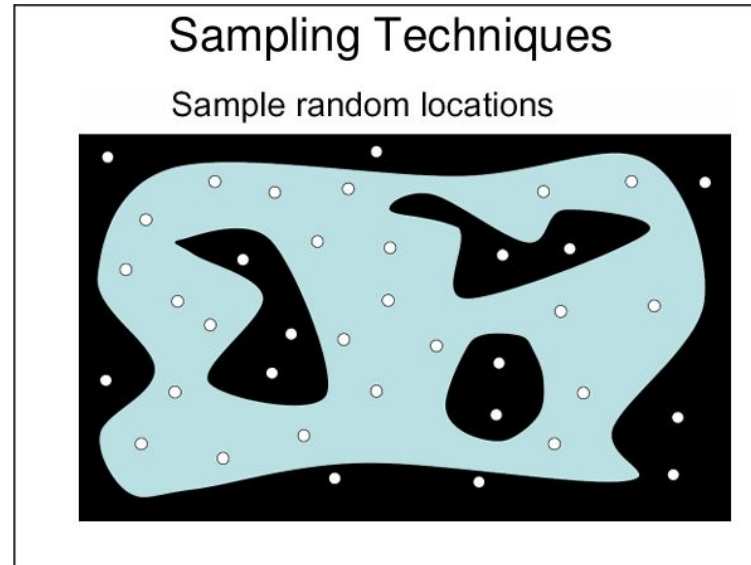
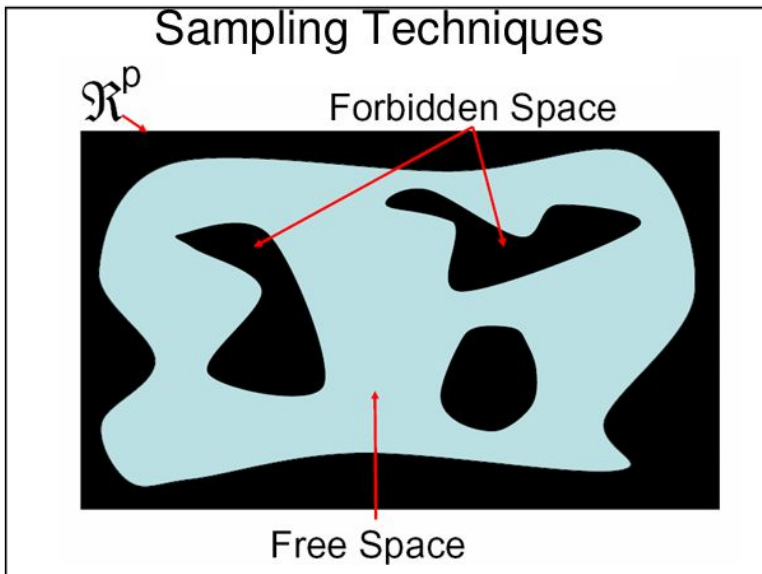
## Common approach:

- Sample based algorithms: randomly sample the configuration
- Optimization based algorithms: motion planning problem is an optimization problem

# Advanced motion planning algorithms: Sampling-based algorithms-PRM

Probabilistic Roadmap Method (PRM)

- Limited to finding a “good” sampling of the C-space

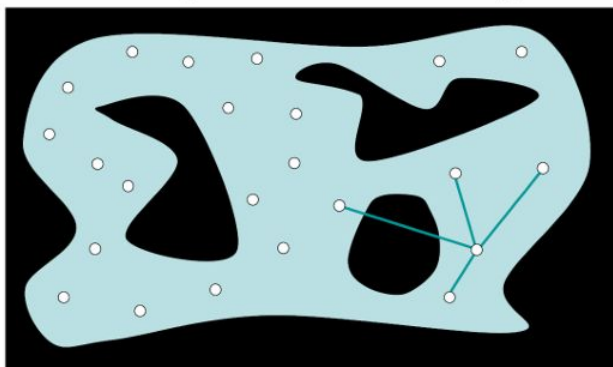


- Sampling based planners can often create plans in high-dimensional spaces efficiently
- Sacrifice completeness to gain simplicity and efficiency

# Advanced motion planning algorithms: Sampling-based algorithms-PRM

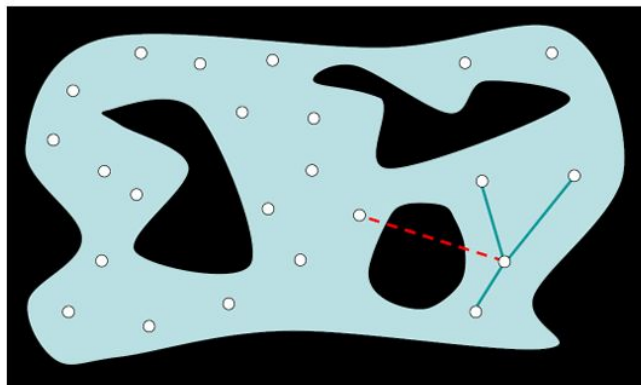
## Sampling Techniques

Link each sample to its  $K$  nearest neighbors



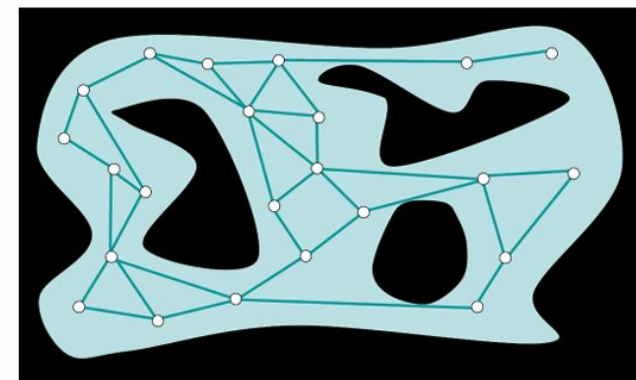
## Sampling Techniques

Remove the links that cross forbidden regions



## Sampling Techniques

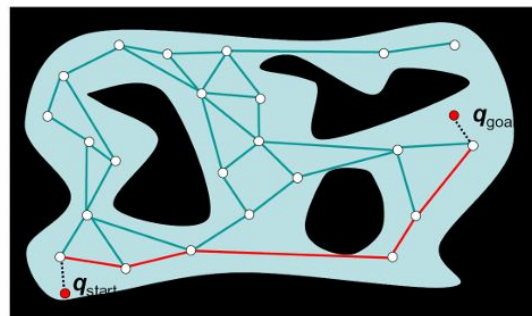
Remove the links that cross forbidden regions



The resulting graph is a *probabilistic roadmap (PRM)*

## Sampling Techniques

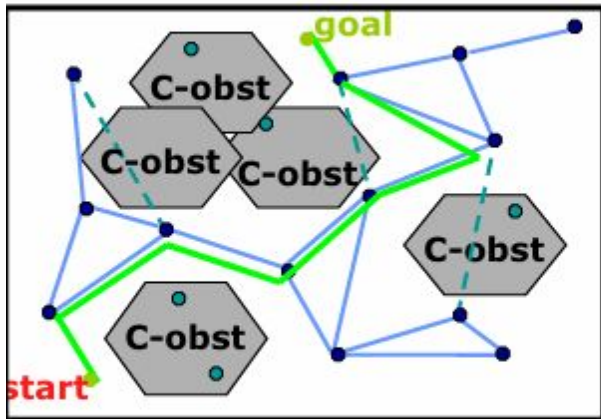
Link the start and goal to the PRM and search using A\*



# Advanced motion planning algorithms: Sampling-based algorithms-PRM

- Probabilistic Roadmap Method (PRM)

C-space



## Roadmap Construction (Pre-processing)

1. Randomly generate robot configurations (node)
  - discard nodes that are invalid
2. Connect pairs of nodes to form **roadmap**
  - simple, deterministic *local planner* (e.g., straight line)
  - discard paths that are invalid

## Query processing

1. Connect *start* and *goal* to roadmap
2. Find path in roadmap between *start* and *goal*
  - regenerate plans for edges in roadmap

## - Important sub-routines

- Generate random configurations
- Local planners
- Distance metrics
- Selecting k-nearest neighbors (becoming dominant in high dimensional space)
- Collision detection (>80% computation)

# Advanced motion planning algorithms: Sampling-based algorithms-PRM

- Initially: **empty Graph G**
- A configuration  $q$  is randomly chosen
- If  $q \rightarrow Q_{\text{free}}$  then added to  $G$  (collision detection needed here)
- Repeat until  $N$  vertices chosen
- For each  $q$ , select  $k$  closest neighbors
- Local planner  $\Delta$  connects  $q$  to neighbor  $q'$
- If connect successful (i.e. collision free local path), add edge  $(q, q')$

---

**Algorithm 6** Roadmap Construction Algorithm

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**Input:** $n$  : number of nodes to put in the roadmap $k$  : number of closest neighbors to examine for each configuration**Output:**A roadmap  $G = (V, E)$ 

---

```
1:  $V \leftarrow \emptyset$ 
2:  $E \leftarrow \emptyset$ 
3: while  $|V| < n$  do
4:   repeat
5:      $q \leftarrow$  a random configuration in  $\mathcal{Q}$ 
6:     until  $q$  is collision-free
7:      $V \leftarrow V \cup \{q\}$ 
8:   end while
9:   for all  $q \in V$  do
10:     $N_q \leftarrow$  the  $k$  closest neighbors of  $q$  chosen from  $V$  according to dist
11:    for all  $q' \in N_q$  do
12:      if  $(q, q') \notin E$  and  $\Delta(q, q') \neq \text{NIL}$  then
13:         $E \leftarrow E \cup \{(q, q')\}$ 
14:      end if
15:    end for
16: end for
```

---



# Advanced motion planning algorithms: Sampling-based algorithms-PRM

## Finding a Path

- Given  $q_{init}$  and  $q_{goal}$ , need to connect each to the roadmap
- Find  $k$  nearest neighbors of  $q_{init}$  and  $q_{goal}$  in roadmap, plan local path  $\Delta$
- **Problem:** Roadmap Graph may have disconnected components...
- Need to find connections from  $q_{init}$ ,  $q_{goal}$  to same component
- Once on roadmap, use different algorithms

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### Algorithm 7 Solve Query Algorithm

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**Input:**

$q_{init}$ : the initial configuration

$q_{goal}$ : the goal configuration

$k$ : the number of closest neighbors to examine for each configuration

$G = (V, E)$ : the roadmap computed by algorithm 6

**Output:**

A path from  $q_{init}$  to  $q_{goal}$  or failure

---

```
1:  $N_{q_{init}} \leftarrow$  the  $k$  closest neighbors of  $q_{init}$  from  $V$  according to  $dist$ 
2:  $N_{q_{goal}} \leftarrow$  the  $k$  closest neighbors of  $q_{goal}$  from  $V$  according to  $dist$ 
3:  $V \leftarrow \{q_{init}\} \cup \{q_{goal}\} \cup V$ 
4: set  $q'$  to be the closest neighbor of  $q_{init}$  in  $N_{q_{init}}$ 
5: repeat
6:   if  $\Delta(q_{init}, q') \neq \text{NIL}$  then
7:      $E \leftarrow (q_{init}, q') \cup E$ 
8:   else
9:     set  $q'$  to be the next closest neighbor of  $q_{init}$  in  $N_{q_{init}}$ 
10:  end if
11: until a connection was succesful or the set  $N_{q_{init}}$  is empty
12: set  $q'$  to be the closest neighbor of  $q_{goal}$  in  $N_{q_{goal}}$ 
13: repeat
14:   if  $\Delta(q_{goal}, q') \neq \text{NIL}$  then
15:      $E \leftarrow (q_{goal}, q') \cup E$ 
16:   else
17:     set  $q'$  to be the next closest neighbor of  $q_{goal}$  in  $N_{q_{goal}}$ 
18:   end if
19: until a connection was succesful or the set  $N_{q_{goal}}$  is empty
20:  $P \leftarrow \text{shortest path}(q_{init}, q_{goal}, G)$ 
21: if  $P$  is not empty then
22:   return  $P$ 
23: else
24:   return failure
25: end if
```

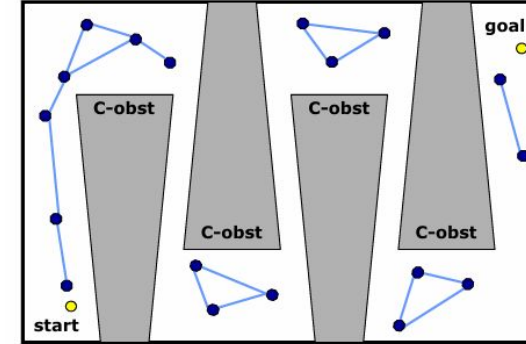
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# Advanced motion planning algorithms: Sampling-based algorithms-PRM

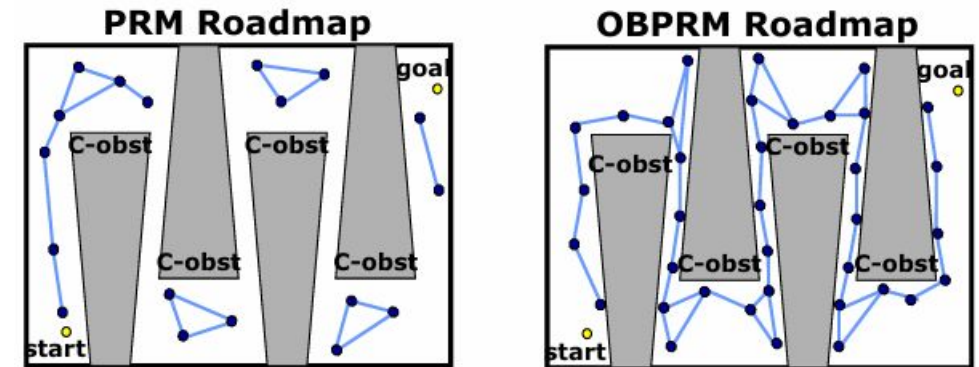
- Pros

- PRMs are probabilistically complete
- PRMs apply easily to high-dimensional C-space
- PRMs support fast queries w/ enough preprocessing
- Many success stories where PRMs solve previously unsolved problems



- Cons

- PRMs don't work as well for some problems:
  - Unlikely to sample nodes in narrow passages
  - Hard to sample/connect nodes on constraint surfaces



**Idea: Can we sample nodes near C-obstacle surfaces?**

- we cannot explicitly construct the C-obstacles...
- we do have models of the (workspace) obstacles...

- Gaussian Sampling PRM

# Advanced motion planning algorithms: Sampling-based algorithms-PRM

- Unanswered Questions
  - How are random configurations chosen?
  - How are closest neighbors found?
  - How do we choose distance function?
  - How are local path's generated?

# Advanced motion planning algorithms: Sampling-based algorithms-PRM

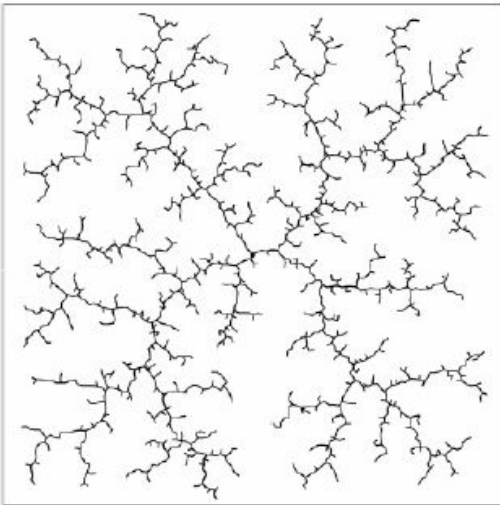
- Tree Based single shot planners – compute the representation of  $C_{free}$  for single start and goal
- Extends to more advanced planning techniques
  - Integrates the control inputs to ensure that the kinodynamic constraints are satisfied

# Advanced motion planning algorithms: Sampling-based algorithms

- Planning in high dimensional spaces
  - Single query planning  $q_{\text{initial}}$  and  $q_{\text{goal}}$  are given once – no pre-computation (greedy search technique can take a long time)
  - Multiple query planning – spreads out uniformly, requires lot of samples to cover the space
  - Next incremental sampling and search methods that yields good performance without parameters tuning. Idea gradually construct search tree, such that it densely covers the space

# Advanced motion planning algorithms: Sampling-based algorithms: Rapidly Exploring Random Tree (RRT)

- Single query model – given start and goal  $q$  find a path
- Analogy with the discrete search algorithms
- Samples are states, edges are paths connected them
- Graphs are undirected (Tree) Ingredients
- **Idea:** Incrementally construct the search tree, that improves with resolution  
Previous incremental search methods could spend long time exploring nodes inside unimportant cavities



RRT algorithm possesses both search and random sampling properties, and thus has more potential to generate high-quality paths that can balance the global optimum and local optimum (Tong, 2024).

Take the initial position as the root node and then add leaf nodes by random sampling.

# Advanced motion planning algorithms: Sampling-based algorithms: Rapidly Exploring Random Tree (RRT)

- Rapidly-Exploring Random Tree (RRT)
  - Tree Based single shot planners – compute the representation of C-free for single start and goal
  - Incrementally builds the roadmap tree
  - Extends to more advanced planning techniques
    - Integrates the control inputs to ensure that the kinodynamic constraints are satisfied

# Advanced motion planning algorithms: Sampling-based algorithms: Rapidly Exploring Random Tree (RRT)

- How it works

1. Initialize the graph
2. Select vertex for expansion
3. Generate set of new vertices
4. For some new vertices run a local planner and check whether its collision free
5. If yes insert an edge to the graph
6. Keep on going until termination condition is satisfied

Start with the initial configuration  $q_{\text{initial}}$  build the graph (actually, tree) until the goal configuration  $q_{\text{goal}}$  is part of it.

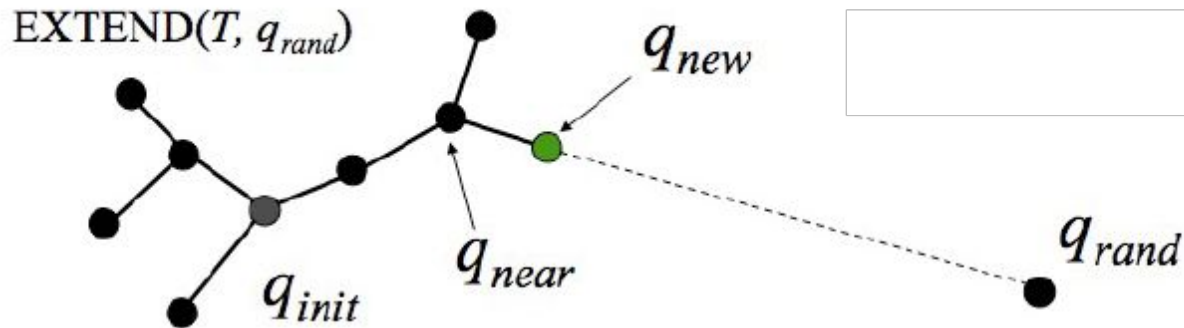
# Advanced motion planning algorithms: Sampling-based algorithms: Rapidly Exploring Random Tree (RRT)

- Path Planning with RRT Algorithm
  - 2 trees,  $T_{init}$ ,  $T_{goal}$ , rooted at  $q_{init}$ ,  $q_{goal}$
  - Each tree is expanded by:
    - $q_{rand}$  is generated from uniform dist.
    - $q_{near}$  is found, nearest tree node to  $q_{rand}$
    - move step-size along line ( $q_{near}$ ,  $q_{rand}$ ) to  $q_{new}$ . If no collision, add  $q_{new}$  to tree
  - If trees merge, path is found



# Advanced motion planning algorithms: Sampling-based algorithms: Rapidly Exploring Random Tree (RRT)

```
BUILD_RRT( $q_{init}$ ) {  
   $T.init(q_{init})$ ;  
  for  $k = 1$  to  $K$  do  
     $q_{rand} = \text{RANDOM\_CONFIG}()$ ;  
     $\text{EXTEND}(T, q_{rand})$   
}
```



- Random sample connects to the nearest node so far
- If the nearest point lies on an edge, the edge is split in two.
- Details:
  - Step length: how far to sample
  - Sample just at the end point
  - Sample all along, small steps
  - Extend returns the new edge

# Advanced motion planning algorithms: Sampling-based algorithms: Rapidly Exploring Random Tree (RRT)

- RRT pseudo-code details
  - Add start node to the tree
  - Repeat  $n$  times
    - Generate random configuration  $x$
    - If  $x$  is in free space using CollisionCheck

find  $y$ , the closes node in the tree to the configuration  $x$   
if  $\text{dist}(x,y) > \text{delta}$  – check if  $x$  is too far away from  $y$   
find a configuration  $z$  that is along the path from  $x$  to  $y$   
such that  $\text{dist}(z, y) \leq \text{delta}$   
 $x = z$ ;  
if (LocalPlanner( $x,y$ )) – check if you can get from  $x$  to  $y$   
if yes add  $x$  to the graph

---

**Algorithm 10** Build RRT Algorithm

---

**Input:**

$q_0$ : the configuration where the tree is rooted

$n$ : the number of attempts to expand the tree

**Output:**

A tree  $T = (V, E)$  that is rooted at  $q_0$  and has  $\leq n$  configurations

---

```
1:  $V \leftarrow \{q_0\}$ 
2:  $E \leftarrow \emptyset$ 
3: for  $i = 1$  to  $n$  do
4:    $q_{\text{rand}} \leftarrow$  a randomly chosen free configuration
5:   extend RRT ( $T, q_{\text{rand}}$ )
6: end for
7: return  $T$ 
```

---

**Algorithm 11** Extend RRT Algorithm

---

**Input:**

$T = (V, E)$ : an RRT

$q$ : a configuration toward which the tree  $T$  is grown

**Output:**

A new configuration  $q_{\text{new}}$  toward  $q$ , or NIL in case of failure

---

```
1:  $q_{\text{near}} \leftarrow$  closest neighbor of  $q$  in  $T$ 
2:  $q_{\text{new}} \leftarrow$  progress  $q_{\text{near}}$  by step_size along the straight line in  $\mathcal{Q}$  between  $q_{\text{near}}$  and  $q$ 
    $q_{\text{rand}}$ 
3: if  $q_{\text{new}}$  is collision-free then
4:    $V \leftarrow V \cup \{q_{\text{new}}\}$ 
5:    $E \leftarrow E \cup \{(q_{\text{near}}, q_{\text{new}})\}$ 
6:   return  $q_{\text{new}}$ 
7: end if
8: return NIL
```

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# Advanced motion planning algorithms: Sampling-based algorithms: Rapidly Exploring Random Tree (RRT)

- Random sample: Naïve Random Tree

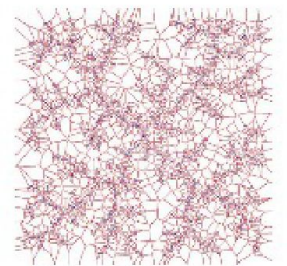
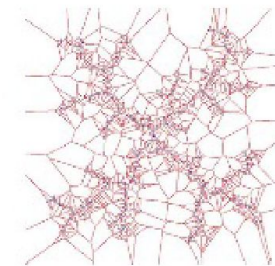
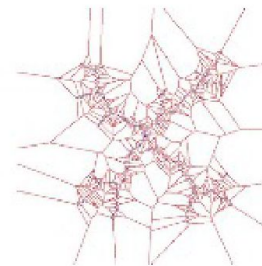
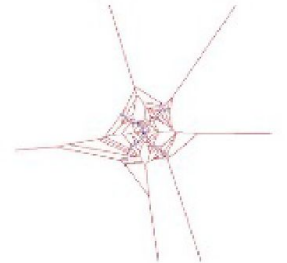
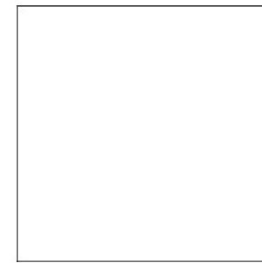
- Start with middle
- Sample near this node
- Then pick a node at random in tree
- Sample near it
- End up staying in middle



# Advanced motion planning algorithms: Sampling-based algorithms: Rapidly Exploring Random Tree (RRT)

- RRT's are biased towards large Voronoi cells

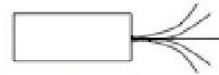
The **nodes** most likely to be closest to a **randomly chosen point in state space** are those with the largest Voronoi regions. The largest Voronoi regions belong to nodes along the frontier of the tree, so these frontier nodes are automatically favored when choosing which node to expand.



# Advanced motion planning algorithms: Sampling-based algorithms: Rapidly Exploring Random Tree (RRT)

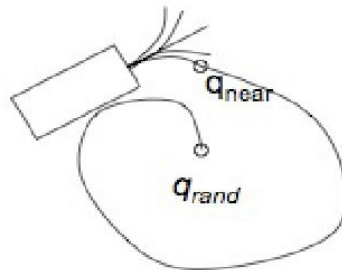
- Taking actions into account
  - Instead of moving in a straight line for some distance, take into account kinematic constraints

$q_{near}$

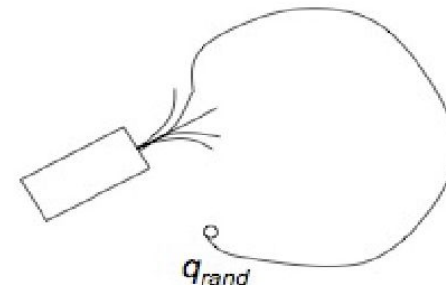


$q' = f(q, u)$  --- use action  $u$  from  $q$  to arrive at  $q'$

chose  $u_* = \arg \min(d(q_{rand}, q'))$



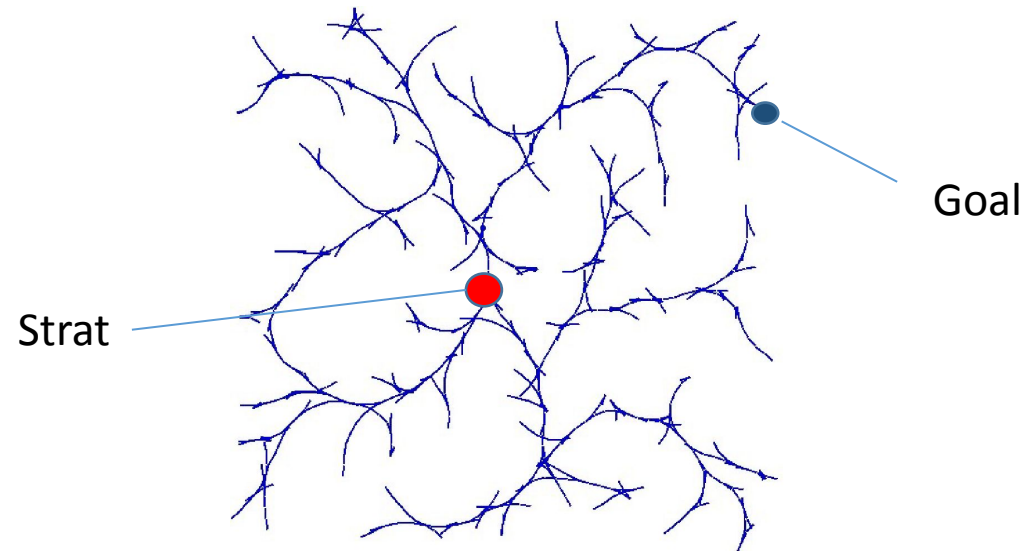
Is this the best?



# Advanced motion planning algorithms: Sampling-based algorithms: Rapidly Exploring Random Tree (RRT)

- How it Works

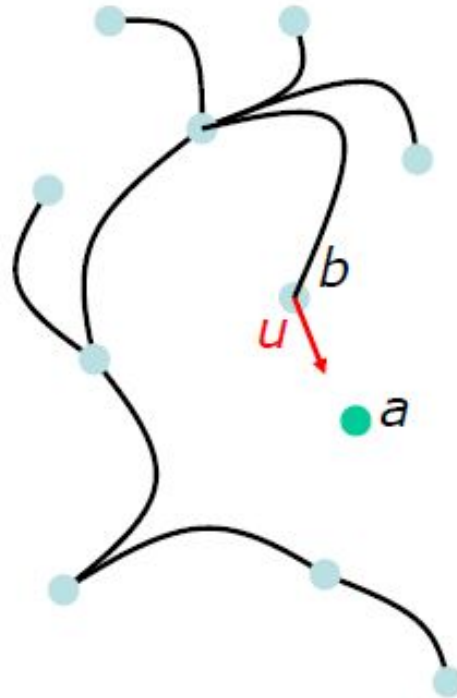
- Build a rapidly-exploring random tree in state space ( $X$ ), starting at  $s_{start}$
- Stop when tree gets sufficiently close to  $s_{goal}$



# Advanced motion planning algorithms: Sampling-based algorithms: Rapidly Exploring Random Tree (RRT)

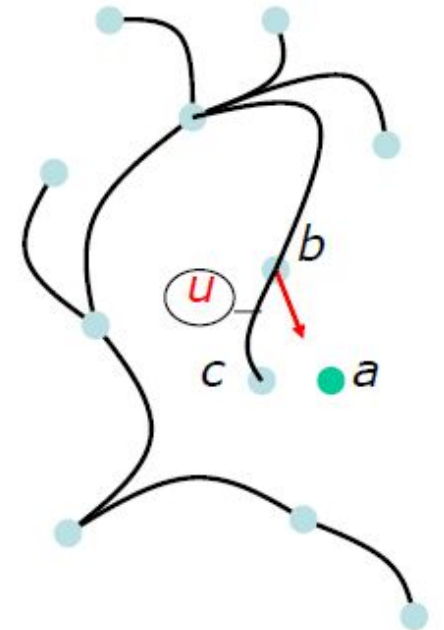
- Building an RRT

- To extend an RRT:
- Pick a random point  $a$  in  $X$
- Find  $b$ , the node of the tree closest to  $a$
- Find control inputs  $u$  to steer the robot from  $b$  to  $a$



## To extend an RRT (cont.)

- Apply control inputs  $u$  for time  $\delta$ , so robot reaches  $c$
- If no collisions occur in getting from  $a$  to  $c$ , add  $c$  to RRT and record  $u$  with new edge



# Advanced motion planning algorithms: Sampling-based algorithms: Rapidly Exploring Random Tree (RRT)

- Executing the Path
  - Once the RRT reaches  $s_{goal}$ 
    - Backtrack along tree to identify edges that lead from  $s_{start}$  to  $s_{goal}$
    - Drive robot using control inputs stored along edges in the tree



# Advanced motion planning algorithms: Sampling-based algorithms: Rapidly Exploring Random Tree (RRT)

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**Algorithm 12** Connect RRT Algorithm

---

**Input:**

$T = (V, E)$ : an RRT

$q$ : a configuration toward which the tree  $T$  is grown

**Output:**

connected if  $q$  is connected to  $T$ ; failure otherwise

---

```
1: repeat  
2:    $q_{\text{new}} \leftarrow \text{extend RRT}(T, q)$   
3: until ( $q_{\text{new}} = q$  or  $q_{\text{new}} = \text{NIL}$ )  
4: if  $q_{\text{new}} = q$  then  
5:   return connected  
6: else  
7:   return failure  
8: end if
```

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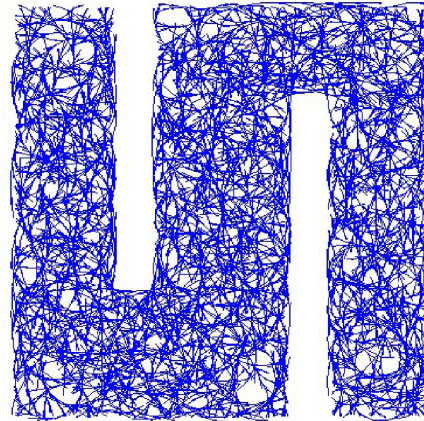
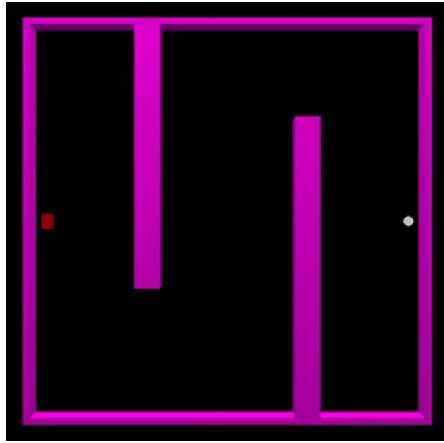
# Advanced motion planning algorithms: Sampling-based algorithms: Rapidly Exploring Random Tree (RRT)

- RRT Tradeoffs

- If step-size is small, many nodes generated, close together
- As number of nodes increases, nearest-neighbor computation slows down
- Suffer from the problems of difficult to design the hyper-parameters and weak generalization

# Advanced motion planning algorithms: Sampling-based algorithms: Rapidly Exploring Random Tree (RRT)

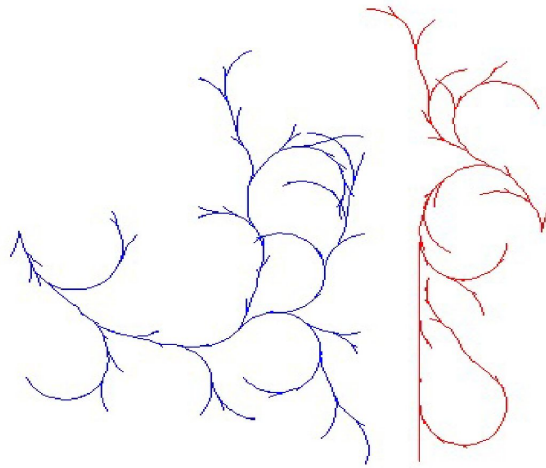
- Problem of Simple RRT Planner



- Ordinary RRT explores  $X$  uniformly  $\rightarrow$  slow convergence
  - Solution: bias distribution towards the goal – once in a while choose goal as new random configuration
- If goal is chosen 100% time then it is randomized potential planner

# Advanced motion planning algorithms: Sampling-based algorithms: Rapidly Exploring Random Tree (RRT)

- Bidirectional Planners
  - Build two RRTs, from start and goal state



- Complication: need to connect two RRTs
  - local planner will not work (dynamic constraints)
  - **bias** the distribution, so that the trees meet

# Advanced motion planning algorithms: Sampling-based algorithms: Rapidly Exploring Random Tree (RRT)

- Applications

- Welding robots
- Assembly robots
- Search and rescue robots
- Surgical robots
- Free-floating space robots, and inspection robots

- Improvements

- Branching strategy improvement,
- Sampling strategy improvement,
- Post-processing improvement,
- Model-driven RRT

# Advanced motion planning algorithms: Sampling-based algorithms: Rapidly Exploring Random Tree (RRT)

- PRMs vs. RRTs

- PRMs construct a roadmap and then searches it for a solution whenever q-initial, q-goal are given
  - Well-suited for repeated planning in between different pairs of q-initial, q-goal (multiple queries)
- RRTs construct a tree for a given q-initial, q-goal until the tree has a solution
  - Well-suited for single-shot planning in between a single pair of q-initial, q-goal (single query)
  - There exist extensions of RRTs that try to reuse a previously constructed tree when re-planning in response to map updates

# Advanced motion planning algorithms

Performance comparison of classical algorithms (Jong, 2024).

Algorithms	Principle	Advantages	Disadvantages
Dijkstra	Expand outward, layer by layer, centered on the starting point, until it reaches the target point.	Optimal paths can be found	Too many nodes traversed
A*	The cost function is designed based on the Dijkstra algorithm	Improved search efficiency compared to the Dijkstra algorithm	computationally expensive
D*	An improved version of A*	For dynamic path planning problems, it reduces the repeated computation of the same data by the A* algorithm and is an improved version of the A* algorithm.	Not applicable to changes occurring on the shortest path over long distances

# Advanced motion planning algorithms

Visibility graph	Connect the obstacle to the target point with a line segment and remove the connecting line through the obstacle; the remaining connecting line is the desired visual graph.	The shortest path can be solved and path generation is also efficient	Algorithm complexity is limited by the shape and number of obstacles, and the representation of environmental information is too idealized
APF	Take the target point as the gravitational force and the obstacle as the repulsive force, and plan the obstacle avoidance path through the resultant force	Path generation is efficient	Prone to local minima and unable to find paths between neighboring obstacles
GA	Mimicking the process of natural selection and reproduction	Strong global search capability for suboptimal solutions	weak local search capability, very long time to get the optimal solution



# Advanced motion planning algorithms

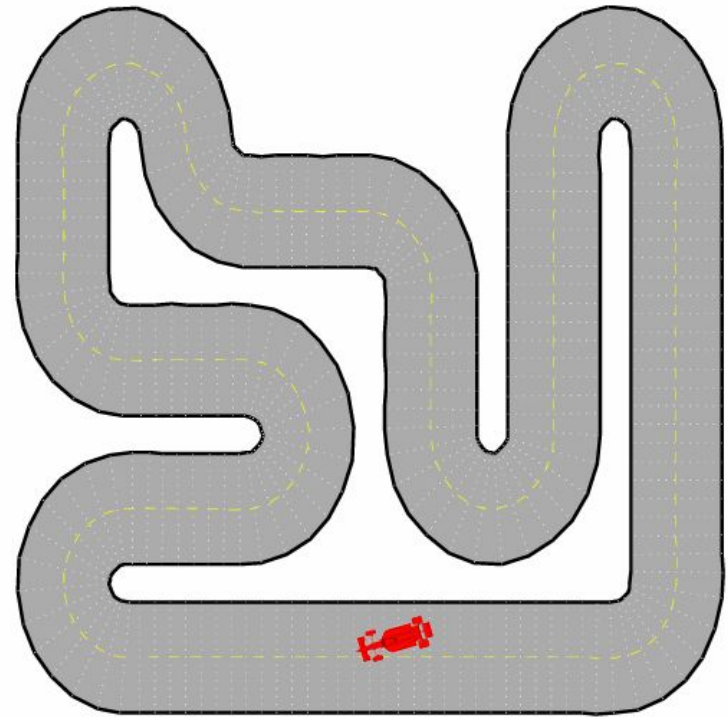
PSO	Mimicking a flock of birds to share information	Fast convergence	premature convergence, easy to fall into local optimal solutions
ACO	Mimicking an ant colony to share information	Fast convergence	Complex parameter settings leading to deviation from high quality solutions
PRM	Random sampling in obstacle maps	Plan paths in higher dimensional spaces; Paths can be conform to the constraints of the robot's kinematic model	Inefficient search in complex environments; Random sampling leads to redundancy of most nodes and increases computational cost
RRT	Build a tree that grows and spreads in all directions with the starting point as the root node, and nodes are also obtained by random sampling in the	In contrast to the PRM algorithm, the random sampling process can be oriented by target bias probability	Inefficient search in complex environments;

# Model Predictive Control

- Model predictive control (MPC) is a widely used optimal control method for robot path planning and obstacle avoidance.
- MPCs are widely used in robotics due to their ability to handle constraints and run in real-time
- MPC requires a system model to optimize control over a finite time horizon and possible trajectories.
- Certain types of robots, such as soft robots, continuum robots, and transforming robots, can be challenging to model, especially in unstructured or unknown environments

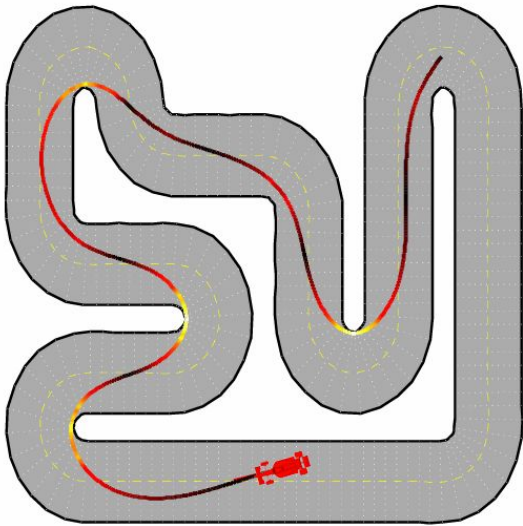
# Model Predictive Control

- **Main Idea**
  - Objective: Minimize lap time
- **Constraints:**
  - Avoid other cars
  - Stay on road
  - Don't skid
  - Limited acceleration
- **Intuitive approach:**
  - Look forward and plan path based on
    - Road conditions
    - Upcoming corners
    - Abilities of car
    - etc...

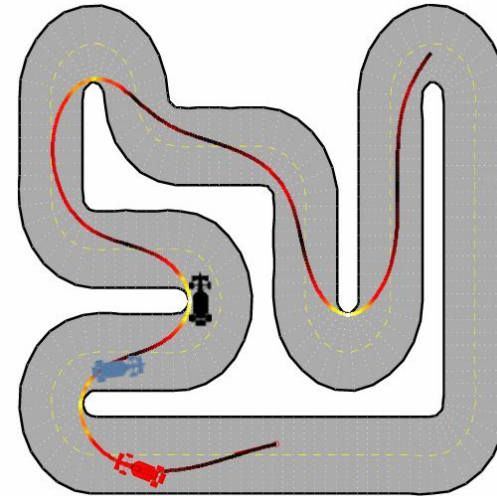


# Model Predictive Control

- Optimization-Based Control
  - Solve optimization problem to compute minimum-time path

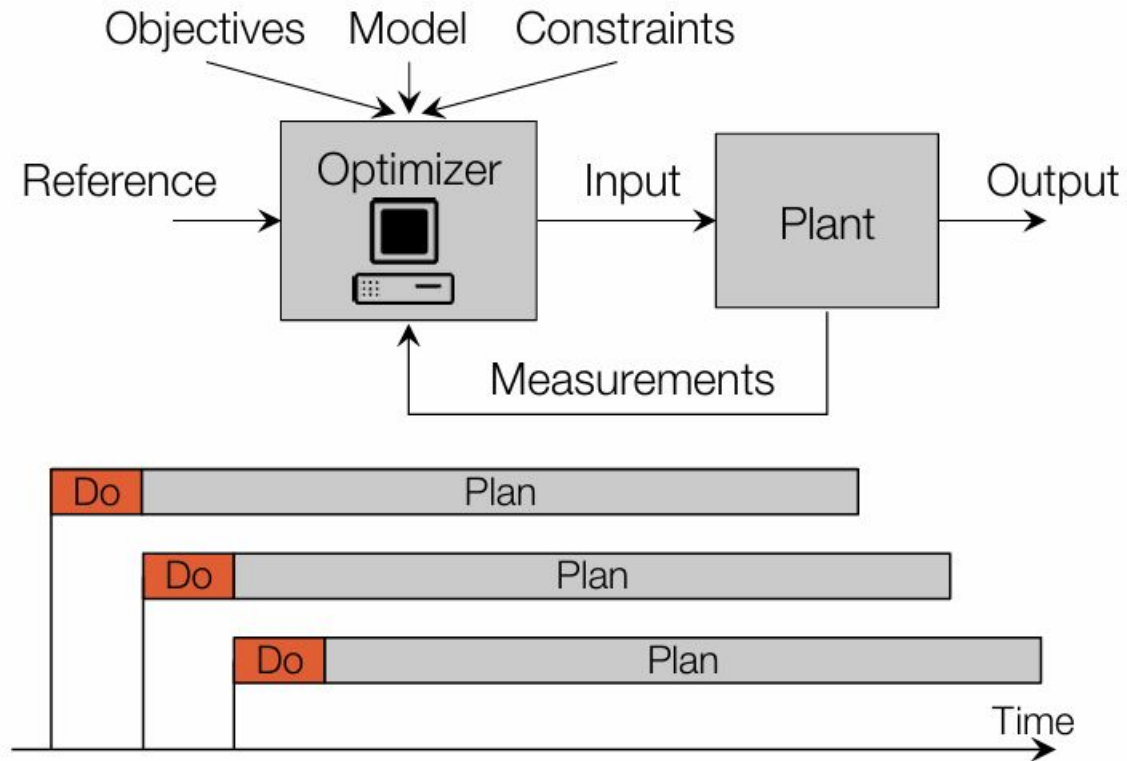


- What to do if something unexpected happens?
  - We didn't see a car around the corner!
  - Must introduce feedback



Obtain series of planned control actions  
Apply first control action  
Repeat the planning procedure

# Model Predictive Control

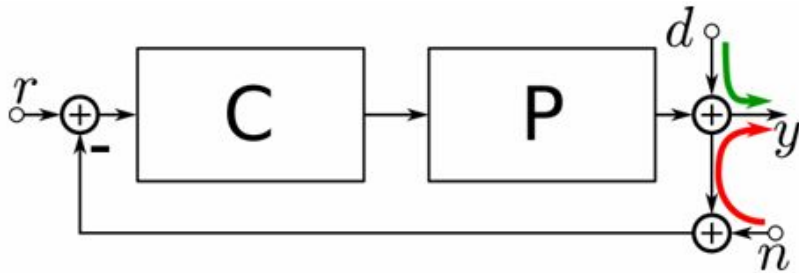


Receding horizon strategy introduces **feedback**.

# Model Predictive Control

## • Perspectives

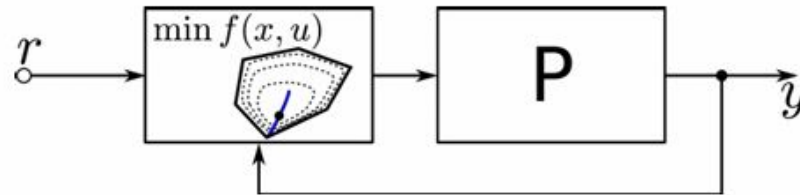
Classical design: design C



Dominant issues addressed

- Disturbance rejection ( $d \rightarrow y$ )
  - Noise insensitivity ( $n \rightarrow y$ )
  - Model uncertainty
- (usually in *frequency domain*)

MPC: real-time, repeated optimization to choose  $u(t)$



Dominant issues addressed

- Control constraints (limits)
  - Process constraints (safety)
- (usually in *time domain*)

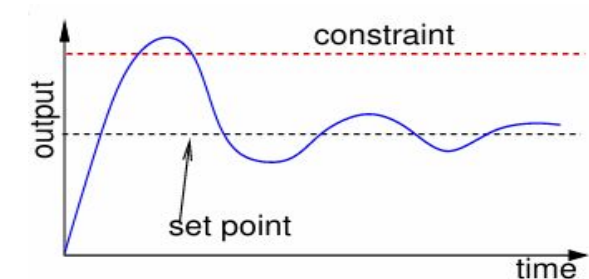
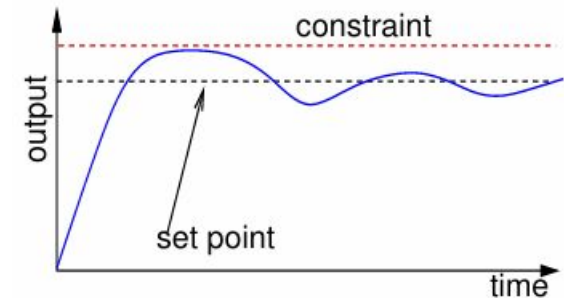
# Model Predictive Control

- **Constraints in Control**

- All physical systems have constraints:
  - Physical constraints, e.g. actuator limits
  - Performance constraints, e.g. overshoot
  - Safety constraints, e.g. temperature/pressure limit
- Optimal operating points are often near constraints.
- **Classical control methods:**
  - Adhoc constraint management
  - Set points sufficiently far from constraints
  - Sub optimal plant operation

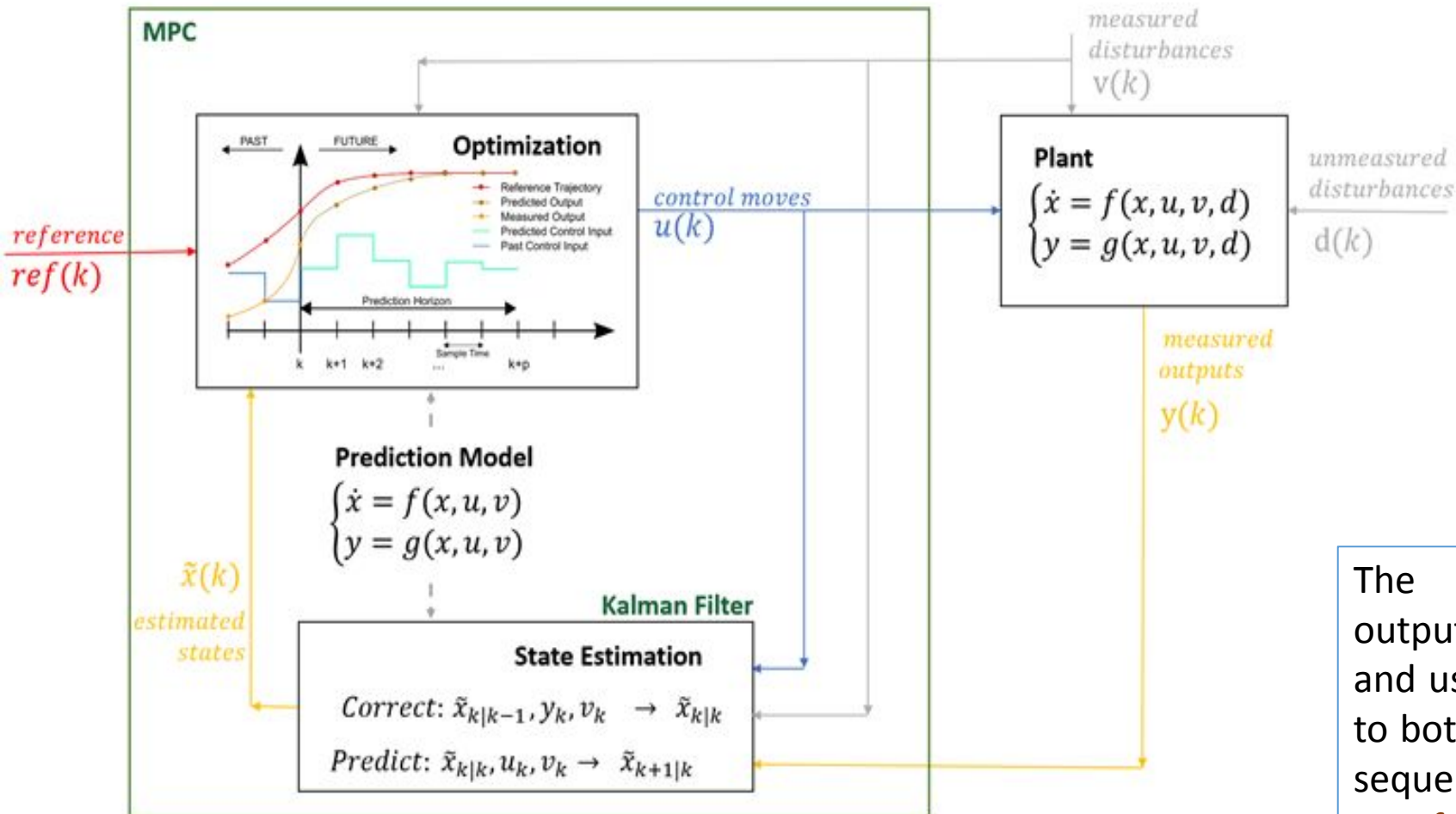
- **Predictive control:**

- Constraints included in the design
- Set point optimal
- Optimal plant operation



# Model Predictive Control

- MPC basic control loop



The controller receiving the measured outputs and disturbances from the plant, and using an internal prediction plant model to both estimate the state and to calculate a sequence of control moves that **minimize a cost function over a given horizon**.



# Model Predictive Control

- Mathematical Formulation

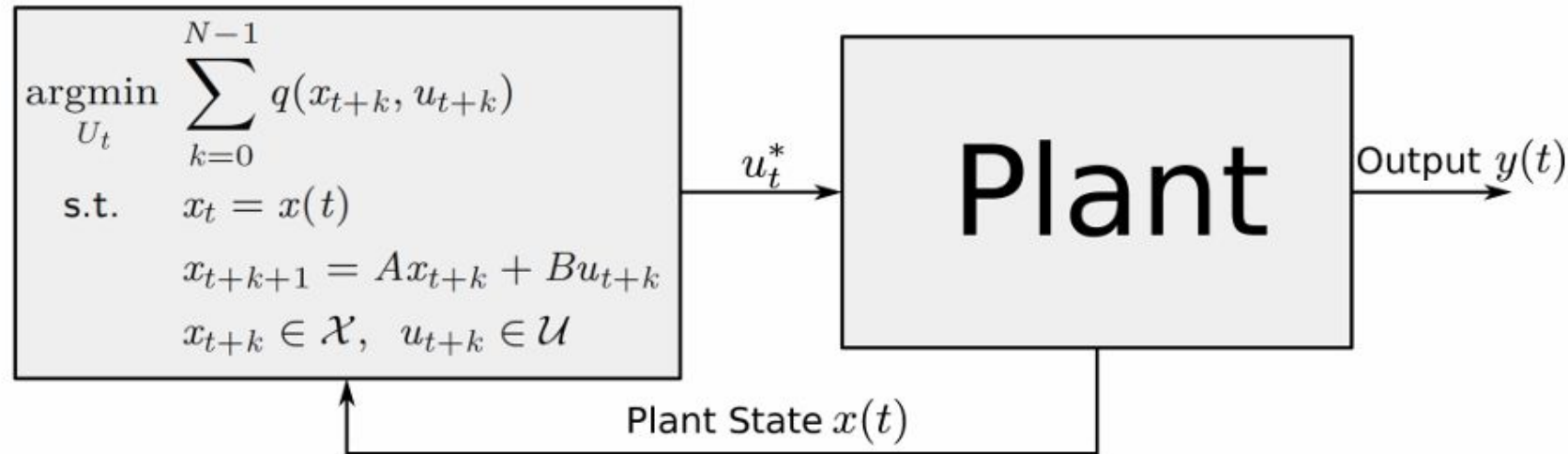
$$\begin{aligned} U_t^*(x(t)) &:= \underset{U_t}{\operatorname{argmin}} \sum_{k=0}^{N-1} q(x_{t+k}, u_{t+k}) \\ \text{subj. to } &x_t = x(t) && \text{measurement} \\ &x_{t+k+1} = Ax_{t+k} + Bu_{t+k} && \text{system model} \\ &x_{t+k} \in \mathcal{X} && \text{state constraints} \\ &u_{t+k} \in \mathcal{U} && \text{input constraints} \\ &U_t = \{u_0, u_1, \dots, u_{N-1}\} && \text{optimization variables} \end{aligned}$$

Problem is defined by

- **Objective** that is minimized,  
e.g., distance from origin, sum of squared/absolute errors, economic,...
- Internal **system model** to predict system behavior  
e.g., linear, nonlinear, single-/multi-variable, ...
- **Constraints** that have to be satisfied  
e.g., on inputs, outputs, states, linear, quadratic,...

# Model Predictive Control

## Mathematical Formulation

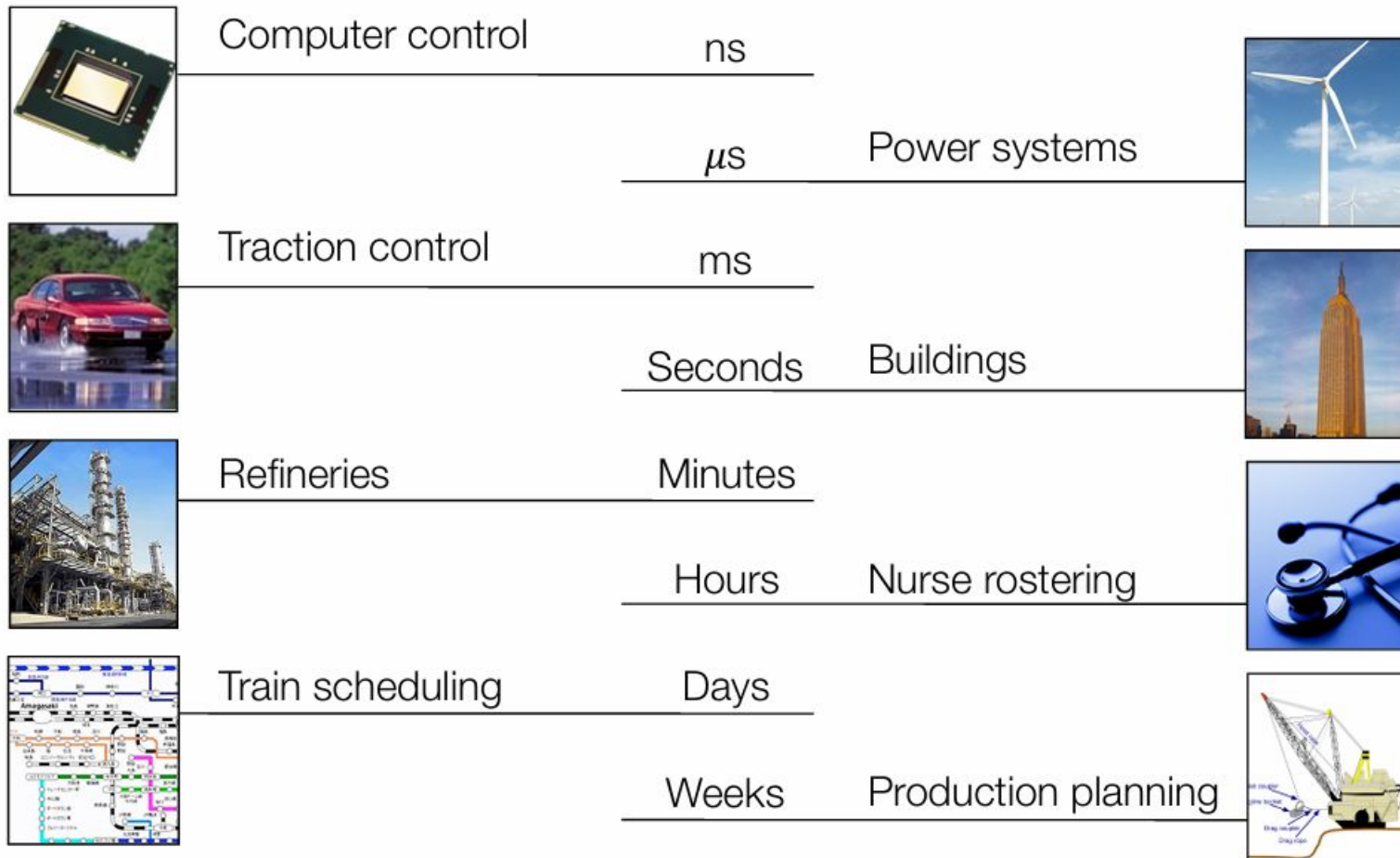


At each sample time:

- Measure / estimate current state  $x(t)$
- Find the optimal input sequence for the entire planning window  $N$ :  
$$U_t^* = \{u_t^*, u_{t+1}^*, \dots, u_{t+N-1}^*\}$$
- Implement only the *first* control action  $u_t^*$

# Model Predictive Control

- MPC: Applications



# Model Predictive Control

- Advantages:
  - Systematic approach for handling constraints
  - High performance controller
- Challenges:

## Implementation

- MPC problem has to be solved in real-time, i.e. within the sampling interval of the system, and with available hardware (storage, processor,...).

## Stability

- Closed-loop stability, i.e. convergence, is not automatically guaranteed

## Robustness

- The closed-loop system is not necessarily robust against uncertainties or disturbances

## Feasibility

- Optimization problem may become infeasible at some future time step, i.e. there may not exist a plan satisfying all constraints