

## 24/11 ([2] chap. 4)

### Quantum Groups, $U_q(\mathfrak{g}(A)), U_q(A)$

**Definition:** Given  $A \in M(n \times n, \mathbb{Z})$  a symmetrisable Generalised Cartan Matrix,  $DA$  symmetric with  $D \in M(n \times n, \mathbb{Z})$  diagonal with diagonal entries  $d_1, \dots, d_n$ , consider the associated Kac-Moody Lie algebra  $\mathfrak{g}(A) = \tilde{\mathfrak{g}}(A)/I$ . Define the quantum universal enveloping algebra of  $U_q(\mathfrak{g}(A))$  as the  $k(q)$ -algebra generated by elements

$$E_i, F_i, K_i^{\pm 1} \text{ for } 1 \leq i \leq n$$

with the *quantum analogues of the Serre relations* given by

$$(R1) \quad K_i K_i^{-1} = K_i^{-1} K_i = 1, K_i K_j = K_j K_i \text{ for all } i, j = 1, \dots, n;$$

$$(R2) \quad K_i E_j = q^{d_i a_{i,j}} E_j K_i, K_i F_j = q^{d_i a_{i,j}} F_j K_i;$$

$$(R3) \quad E_i F_j - F_j E_i = \delta_{i,j} \frac{K_i - K_i^{-1}}{q^{d_i} - q^{-d_i}};$$

$$(R4) \quad (q\text{-Serre relations}) \text{ for all } i \neq j$$

$$S_{i,j}^+ := \sum_{s=0}^{1-a_{i,j}} (-1)^s \begin{bmatrix} 1-a_{i,j} \\ s \end{bmatrix}_{q^{d_i}} E_i^{1-a_{i,j}-s} E_j E_i^s = 0,$$

$$S_{i,j}^- := \sum_{s=0}^{1-a_{i,j}} (-1)^s \begin{bmatrix} 1-a_{i,j} \\ s \end{bmatrix}_{q^{d_i}} F_i^{1-a_{i,j}-s} F_j F_i^s = 0,$$

Similar to how we constructed the classic Kac-Moody Lie algebras, denote by  $\tilde{U}_q(A)$  the  $k(q)$ -algebra with the same generators as  $U_q(A)$  and relations (R1),(R2) and (R3).

**Remark:** If  $A$  is the  $1 \times 1$  matrix (2) then we recover the classic  $U_q(\mathfrak{sl}_2)$  over the field  $k(q)$ .

**Remark:** Note that the relations given in (R4) are precisely the *quantised* Serre relations introduced when studying Kac-Moody Lie algebras, since in general we have the formula, valid in  $U(\mathfrak{g})$ ,

$$(\text{ad}(x))^n(y) = \sum_{s=0}^n (-1)^s \binom{n}{s} x^{n-s} y x^s.$$

This hints at somewhat of a general strategy which we shall adopt throughout most of the constructions: often times it's unlikely that we'll have a precise  $q$ -analogue of certain instruments for the classical theory developed over the standard universal enveloping algebra  $U(\mathfrak{g})$ , so what we'll do is turn our considered object into a combinatorial identity and "*quantise*" the obtained formula by swapping out the integral-coefficients appearing in it with their quantum-analogues.

**Definition (notation):** Let  $I = (\alpha_{i_1}, \dots, \alpha_{i_r})$  be a finite sequence of simple roots (i.e. elements in  $\mathfrak{h}^*$  given in the minimal realisation for  $A$ ). Define

$$\begin{aligned} E_I &:= E_{i_1} \dots E_{i_r}, E_\emptyset := 1, \\ F_I &:= F_{i_1} \dots F_{i_r}, F_\emptyset := 1, \\ K_\mu &:= K_1^{m_1} \dots K_n^{m_n} \text{ for every } \mu \in Q := \bigoplus_{\alpha} \mathbb{Z} \alpha \subseteq \mathbb{C}^{\dim \mathfrak{h}} \text{ where } \mu = \sum_i n_i \alpha_i. \end{aligned}$$

**Proposition:**  $U_q(A)$  is a Hopf algebra via the formulas

$$\Delta(E_i) = E_i \otimes 1 + K_i \otimes E_i,$$

$$\Delta(F_i) = 1 \otimes F_i + F_i \otimes K_i^{-1}$$

$$\Delta(K_i) = K_i \otimes K_i$$

and  $\eta, S$  defined just as with  $U_q(\mathfrak{sl}_2)$ .

**Observations:** We have naturally-defined subalgebras  $\tilde{U}_q^-, \tilde{U}_q^0, \tilde{U}_q^+$  generated by  $F_i$ 's,  $K_i^{\pm}$ 's and  $E_i$ 's respectively. If we set  $\deg(E_i) = -\deg(F_i) = 1$  and  $\deg(K^{\pm 1}) = 0$  then we have that the relations (R1),(R2),(R3) and (R4) are homogeneous with respect to this grading, thus  $U_q(A)$  has a natural  $\mathbb{Z}$ -grading.

**Proposition:** The set  $\{F_I, K_\mu, E_J \mid I, J \subseteq \{\alpha_1, \dots, \alpha_n\}, \mu \in Q\} \subseteq U_q(A)$  for a basis of  $\widehat{U}_q(A)$ .

*Proof:* Omitted.  $\square$

**Corollary:**

1. The multiplication map

$$\begin{aligned} \widetilde{U}_q^- \otimes \widetilde{U}_q^0 \otimes \widetilde{U}_q^+ &\rightarrow \widetilde{U}_q \\ u_1 \otimes u_2 \otimes u_3 &\mapsto u_1 u_2 u_3 \end{aligned}$$

is an isomorphism of vector spaces.

2. The  $E_I$ 's,  $F_J$ 's and  $K_\mu$ 's form a basis for  $\widetilde{U}_q^-$ ,  $\widetilde{U}_q^0$  and  $\widetilde{U}_q^+$  respectively.
3. The multiplication map

$$\widetilde{U}_q^+ \otimes \widetilde{U}_q^0 \otimes \widetilde{U}_q^-$$

is an isomorphism of vector spaces.

**Corollary:** For every  $i = 1, \dots, n$  the algebra homomorphism

$$\begin{aligned} \phi_i : U_{q^{d_i}}(\mathfrak{sl}_2) &\rightarrow \widetilde{U}_q(A) \\ E &\mapsto E_i \\ F &\mapsto F_i \\ K &\mapsto K_i \end{aligned}$$

is an inclusion.

**Theorem:** The multiplication map

$$\begin{aligned} U_q^- \otimes U_q^0 \otimes U_q^+ &\rightarrow U_q \\ u_1 \otimes u_2 \otimes u_3 &\mapsto u_1 u_2 u_3 \end{aligned}$$

is an isomorphism of vector spaces.

*Proof:* Let  $p : \widetilde{U}_q \rightarrow U_q$  be the canonical projection and  $I \subseteq \widetilde{U}_q$  the ideal generated by the  $q$ -Serre relations elements  $S_{i,j}^\pm \in \widetilde{U}_q$ . Define  $I^\pm := \widetilde{U}_q^\pm \cap I$ .

*Claim:* The ideal in  $\widetilde{U}_q$  generated by the  $S_{i,j}^+$ 's is the image  $\mathbf{im}$  via the multiplication map applied to the subalgebra  $\widetilde{U}_q^- \otimes \widetilde{U}_q^0 \otimes I^+$ .

" $\supseteq$ ": clear.

" $\subseteq$ ": Evidently the image of  $1 \otimes 1 \otimes S_{i,j}^+$  is  $S_{i,j}^+$  and thus  $\mathbf{im}$  contains all the generators of  $I^+$  as an ideal. It follows that all we have to do it show  $\mathbf{im}$  is a two-sided ideal; it's evidently a left ideal by construction and furthermore every element in  $\mathbf{im}$  is a linear combination of terms of the form

$$u S_{i,j}^+ E_I, u \in \widetilde{U}_q.$$

multiplying any of these on the right by  $E_r, K_\mu, F_r$  yields

$$u S_{i,j}^+ E_I E_r = u S_{i,j}^+ E_{I \cup r} \in \mathbf{im}$$

$$u S_{i,j}^+ E_I K_\mu = q^{\cdots} u S_{i,j}^+ K_\mu E_I = q^{\cdots} u K_\mu S_{i,j}^+ E_I \in \mathbf{im}$$

$$u S_{i,j}^+ E_I F_r = u F_r S_{i,j}^+ E_I - u [F_r, S_{i,j}^+] E_I - u S_{i,j}^+ [F_r, E_I] = u F_r S_{i,j}^+ E_I - u S_{i,j}^+ [F_r, E_I] \in \mathbf{im}$$

where in the last one we used that  $[F_r, S_{i,j}^+] = 0$  and that  $[F_r, E_I]$  lies in  $\widetilde{U}_q^+$  and thus the term  $u S_{i,j}^+ [F_r, E_I]$  lies in  $\mathbf{im}$  by the first calculation.

Thus the claim follows.

Following a similar argument we also have that  $I^-$  is given precisely by the image under the multiplication map of the subalgebra  $I^- \otimes \widetilde{U}_q^0 \otimes \widetilde{U}_q^+$ . Since  $I = I^+ \oplus I^-$  we have that  $I$  is the image under the multiplication map of

$$\widetilde{U}_q^- \otimes \widetilde{U}_q^0 \otimes I^+ + I^- \otimes \widetilde{U}_q^0 \otimes \widetilde{U}_q^+.$$

For the rest of the argument consult Jantzen Theorem 4.21 (haven't got time for this lol).  $\square$

**Problem:** We're missing an analogue of the PBW theorem for the quantum universal enveloping algebra  $\tilde{U}_q(A)$ ... The problem is that although we do have analogues of elements in  $\tilde{U}_q(A)$  corresponding to "simple" roots  $e_\alpha$  for  $\alpha \in \pi$ , we're lacking some sort-of analogue of the remaining roots.

A useful tool in the classical theory of semisimple Lie algebras is the action of the Weyl group  $W$ ; recall that  $W$  acts on the set of roots -  $W$  acts on  $\mathfrak{g}$  and  $w(\mathfrak{g}_\alpha) \subseteq \mathfrak{g}_{w(\alpha)}$  - and, in particular, we can construct *all* roots by taking the simple ones and analysing the orbit of  $W$ 's action on them.

## 26/11 - 3/12 ([1] chap. 3)

### Defining an analogue of the Weyl group action for $\mathfrak{g}(A)$ for $A$ GCM symmetrisable

**Definition:** Let  $V$  be a (possibly infinite-dimensional) complex vector space,  $x \in \text{End}_{\mathbb{C}}(V)$  and  $v \in V$  any vector.

1.  $x$  is *locally finite* at  $v$  if there exists an  $x$ -invariant subspace  $W$  containing  $v$ .
2.  $x$  is a *locally finite* endomorphism if it's locally finite at all vectors  $v \in V$ .

**Definition:** If  $x \in \text{End}_{\mathbb{C}}(V)$  is a locally finite endomorphism, define

$$\exp(x) := \sum_{n \geq 0} x^n / n! \in \text{End}_{\mathbb{C}}(V).$$

Note that for every finite-dimensional  $x$ -invariant vector space  $W \subseteq V$  we have that  $\text{End}_{\mathbb{C}}(W)$  is endowed with a norm  $\| - \|$  satisfying  $\|A\| \cdot \|B\| \geq \|AB\|$  (since  $\text{End}_{\mathbb{C}}(W)$  can be identified with euclidean space  $\mathbb{C}^{\dim W^2}$ ) thus  $\exp(x)|_W$  is well defined. Since  $x$  is locally finite by assumption, formally speaking we set

$$\exp(x) = \text{colim}_{W \subseteq V, x(W) \subseteq W} \exp(x)|_W \in \text{End}_{\mathbb{C}}(V).$$

**Remark:** If  $x$  is *nilpotent* then  $\exp(x)$  is *polynomial* (with globally bounded degree) in  $x$ .

If  $x$  is *locally nilpotent*, then it is a polynomial in  $x$  when restricted to each  $x$ -invariant finite-dimensional subspace.

**Remark:** A standard calculation shows that for every  $a \in \mathbb{Z}$  we have  $\exp(x)^a = \exp(ax)$ ; in particular, we have that  $\exp(x)$  is *invertible* for every  $x \in \text{End}_{\mathbb{C}}(V)$  locally finite.

**Lemma:** Let  $A$  be an algebra,  $\partial : A \rightarrow A$  a derivation; the following formulae hold  $x, y \in A$ .

1.  $\partial^n([x, y]) = \sum_{i=0}^n \binom{n}{i} [\partial^i(x), \partial^{n-i}(y)],$
2.  $x^n(y) = \sum_{i=0}^n \binom{n}{i} (\text{ad}(x))^i(y) x^{n-i},$
3.  $(\text{ad}(x))^n(y) = \sum_{i=0}^n (-1)^i \binom{n}{i} x^{n-i} y x^i.$

*Proof:* All of these follow quite directly from a simple induction.  $\square$

**Corollary:** Let  $x, y \in \text{End}_{\mathbb{C}}(V)$ , and assume  $y$  is locally finite and  $\text{ad}(y) \in \text{End}_{\mathbb{C}}(\text{End}_{\mathbb{C}}(V))$  locally finite at  $x$ . Then

$$\exp(y) \cdot x \cdot \exp(-y) = \sum_{n \geq 0} \frac{(\text{ad}(y))^n}{n!}(x) = \exp(\text{ad}(y))(x).$$

*Proof:* Since  $y$  is locally finite the left and right hand sides are well defined. We thus have

$$\exp(y) \cdot x \cdot \exp(-y) = \left( \sum_{n \geq 0} \frac{y^n}{n!} \right) \cdot x \cdot \left( \sum_{n \geq 0} \frac{(-y)^n}{n!} \right) = \sum_{n \geq 0} \sum_{i=0}^n (-1)^i \frac{y^{n-i} x y^i}{(n-i)! \cdot i!} \stackrel{\text{Lemma}}{=} \sum_{n \geq 0} \frac{\text{ad}(y)^n}{n!} x = \exp(\text{ad}(y))(x). \quad \square$$

**Remark:**

- Let  $x \in \text{End}_{\mathbb{C}}(V)$  be locally finite. For every isomorphism  $f \in \text{GL}_{\mathbb{C}}(V)$  we have that conjugation  $f \circ - \circ f^{-1} \in \text{End}_{\mathbb{C}}(\text{End}_{\mathbb{C}}(V))$  of course fixes the subspace of locally finite endomorphisms, i.e.  $f \cdot x \cdot f^{-1} \in \text{End}_{\mathbb{C}}(V)$  is again locally finite.
- Let  $\pi : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$  be a representation of a complex Lie algebra  $\mathfrak{g}$ .  
If  $\text{ad}(x) : \mathfrak{g} \rightarrow \mathfrak{g}$  is locally finite, then  $\text{ad}(\pi(x)) \in \text{End}_{\mathbb{C}}(\text{im}(\pi)) \subseteq \mathfrak{gl}(V)$  is locally finite.

*Proof:* Let  $z = \pi(u)\text{im}(\pi)$  and let  $U \subseteq \mathfrak{g}$  be a finite-dimensional vector subspace containing  $u$  which is  $\text{ad}(x)$ -invariant. It follows that the image  $\pi(U) \subseteq \text{im}(\pi)$  is of course also finite-dimensional, contains  $z$  and is  $\text{ad}(\pi(x))$ -invariant since

$$y = \pi(w) \in \pi(U) \implies \text{ad}(\pi(x))(\pi(w)) = \pi([x, w]) \in \pi(U)$$

since  $U$  is  $\text{ad}(x)$ -stable.  $\square$

**Lemma:** Assume  $\mathfrak{g}$  is a Lie algebra with Lie-algebra generators  $\{z_i\}_{i \in I}$ .

Suppose  $x \in \mathfrak{g}$  is such that  $\text{ad}(x)$  acts locally nilpotently on each  $z_i$ . Then  $\text{ad}(x) \in \text{End}_{\mathbb{C}}(\mathfrak{g})$  is locally nilpotent.

*Proof:* For each  $i \in I$ , let  $N_i \geq 0$  be such that  $\text{ad}(x)^{N_i}(z_i) = 0$ . Thus for each  $N \geq 0$  we have

$$(\text{ad}(x))^N([z_i, z_j]) = \sum_{r=0}^N [(\text{ad}(x))^r(z_i), \text{ad}(x)^{N-r}(z_j)],$$

hence taking  $N = N_i + N_j + 1$  yields that  $\text{ad}(x)$  acts locally nilpotently on  $[z_i, z_j]$  as well. Inductively it follows that  $\text{ad}(x)$  acts locally nilpotently on a basis of  $\mathfrak{g}$ , since the collection of Lie-words in the  $z_i$ 's form a set of generators; thus  $\text{ad}(x)$  is locally nilpotent.  $\square$

**Corollary:** Let  $A$  be a Generalised Cartan Matrix. Then  $\text{ad}(e_i), \text{ad}(f_i) \in \text{End}_{\mathbb{C}}(\mathfrak{g}(A))$  act locally nilpotently on  $\mathfrak{g}(A)$ .

*Proof:* The previous lemma shows that it is sufficient to test nilpotency of  $\text{ad}(e_i)$  and  $\text{ad}(f_i)$  on a set of generators for  $\mathfrak{g}(A)$  as a Lie algebra. The Serre relations thus allow us to conclude.  $\square$

**Definition:** Let  $A$  be a Generalised Cartan Matrix and  $M$  a  $\mathfrak{g}(A)$ -module.  $M$  is said to be *integrable* if:

- $M$  has a weight space decomposition:  $M = \bigoplus_{\lambda \in \mathfrak{h}^*} M_{\lambda}$ ,
- $e_i, f_i$  act locally nilpotently on  $M$ .

We denote by  $\mathcal{O}_{\text{int}}(\mathfrak{g}(A)) \subseteq \text{Mod}_{\mathfrak{g}(A)}$  the full subcategory of integrable  $\mathfrak{g}(A)$ -modules.

The previous corollary shows us that  $\mathfrak{g}(A)$  itself lies in  $\mathcal{O}_{\text{int}}(\mathfrak{g}(A))$  via the adjoint representation.

The following proposition shows the importance of this definition. For every  $1 \leq i \leq n$  let  $\mathfrak{g}_{(i)}$  be the Lie subalgebra in  $\mathfrak{g}(A)$  generated by the standard generators  $e_i, f_i$  - thus  $\mathfrak{g}_{(i)}$  is spanned by  $e_i, f_i$  and  $\alpha_i^{\vee}$  and is isomorphic to  $\mathfrak{sl}_2(\mathbb{C})$ .

**Proposition:** Let  $V$  be an integrable  $\mathfrak{g}(A)$ -module.

1.  $V$  decomposes as a direct sum of finite dimensional irreducible  $\mathfrak{g}_{(i)} \cong \mathfrak{sl}_2(\mathbb{C})$ -modules which are also  $\mathfrak{h}$ -invariant.
2. Let  $\lambda \in \mathfrak{h}^*$  be a weight of  $V$  and let  $\alpha_i$  be a simple root of  $\mathfrak{g}(A)$ . Denote by  $M_{\lambda, \alpha_i}$  the set of roots of  $V$  that lie in the  $\alpha_i$ -string through  $\lambda$  - in other words  $M_{\lambda, \alpha_i} := \{\lambda + t\alpha_i \in \mathfrak{h}^* \mid t \in \mathbb{Z}, M_{\lambda+t\alpha_i} \neq (0)\}$ . Denote by  $m_t$  the dimension of the weight space  $M_{\lambda+t\alpha_i}$  for each  $\lambda + t\alpha_i \in M_{\lambda, \alpha_i}$ . Then:

(a)  $M_{\lambda, \alpha_i}$  is a *string* (as its name suggests), i.e. it is of the form

$$M_{\lambda, \alpha_i} = \{\lambda + (-p)\alpha_i, \lambda + (-p+1)\alpha_i, \dots, \lambda + (q-1)\alpha_i, \lambda + q\alpha_i\}$$

where  $p$  and  $q$  are both non-negative integers or infinite.

(b)  $p, q < \infty \implies p - q = \langle \lambda, \alpha_i^{\vee} \rangle$ .

(c)  $\dim M_{\lambda} < \infty \implies p, q < \infty$ .

(d)  $e_i : V_{\lambda+t\alpha_i} \rightarrow V_{\lambda+(t+1)\alpha_i}$  is injective if  $-p \leq t < \frac{-\langle \lambda, \alpha_i^{\vee} \rangle}{2}$ ,

- (e)  $t \mapsto m_t$  is non-decreasing for  $-p \leq t < \frac{-\langle \lambda, \alpha_i^\vee \rangle}{2}$ ,
- (f)  $t \mapsto m_t$  is symmetric with respect to the midpoint  $\frac{-\langle \lambda, \alpha_i^\vee \rangle}{2}$ ,
- (g) if both  $\lambda$  and  $\lambda + \alpha_i$  lie in  $M_{\lambda, \alpha_i}$ , then  $e_i(V_\lambda) \neq (0)$ .

*Proof:* In the universal enveloping algebra  $U(\mathfrak{sl}_2(k))$  we have formulas

$$[h, f^k] = -2kf^k,$$

$$[h, e^k] = 2ke^k,$$

$$[e, f^k] = -k(k-1)f^{k-1} + kf^{k-1}h.$$

*Proof of formulae:* The first two follow quite simply from the fact that  $f \in U(\mathfrak{sl}_2(k))_{-2}$  and  $e \in U(\mathfrak{sl}_2(k))_2$ . The last one follows by a simple induction, where for  $k = 1$  we have the standard relation  $[e, f] = h$ :

$$\begin{aligned} [e, f^k] &= ef^k - f^ke = f^{k-1}ef + [e, f^{k-1}]f - f^{k-1}ef + f^{k-1}h \\ &= [e, f^{k-1}]f + f^{k-1}h. \end{aligned}$$

With the third formula at hand, we have

$$e_i f_i^k(v) := f_i^k e_i(v) + k(1 - k + \langle \lambda, \alpha_i^\vee \rangle) f_i^{k-1}(v)$$

for each  $v \in V_\lambda$ .

It follows that the vector subspace

$$U = \sum_{k, m \geq 0} (f_i^k e_i^m(v))$$

is invariant under both the actions of  $\mathfrak{g}_{(i)}$  and  $\mathfrak{h}$ . Since  $e_i$  and  $f_i$  are locally nilpotent on  $V$ ,  $U$  must have finite dimension, and is thus a finite-dimensional  $\mathfrak{sl}_2(\mathbb{C})$ -module. By  $\mathfrak{sl}_2(\mathbb{C})$ 's simplicity,  $U$  must be a direct sum of simple  $\mathfrak{sl}_2(\mathbb{C})$ -modules.

Since  $v \in V$  was chosen arbitrarily, it follows that  $V$  is a direct sum of irreducible finite-dimensional  $\mathfrak{g}_{(i)}$ -submodules. (a) thus follows.

The remaining results follow from the classical representation theory of  $\mathfrak{sl}_2(\mathbb{C})$  and a few combinatorial arguments, applied to the  $\mathfrak{g}_{(i)}$ -submodule given by  $\sum_{k \in \mathbb{Z}} V_{\lambda + k\alpha_i}$ .  $\square$

**Definition:** For each  $i = 1, \dots, n$  define  $s_{\alpha_i}$  the *reflection with respect to  $\alpha_i$*  in  $\text{Aut}_{\mathbb{C}}(\mathfrak{h}^*)$  by

$$s_{\alpha_i}(\lambda) = \lambda - \langle \lambda, \alpha_i^\vee \rangle \alpha_i.$$

We define the Weyl group  $\mathcal{W}$  as being the subgroup of  $\text{Aut}_{\mathbb{C}}(\mathfrak{h}^*)$  generated by the reflections  $s_{\alpha_i}$ .  $\mathcal{W}$  also acts on  $\mathfrak{h}$  via the pairing  $\alpha \mapsto \alpha^\vee$ , namely

$$s_{\alpha_i}(h) := h - \langle h, \alpha_i \rangle \alpha_i^\vee.$$

**Definition:** Assume  $M \in \mathcal{O}_{\text{int}}(\mathfrak{g}(A))$ ,  $A$  a symmetrisable GCM. Suppose  $M$  is given by  $\pi : \mathfrak{g}(A) \rightarrow \mathfrak{gl}(M)$ . Define

$$s_i^\pi = \exp(\pi(f_i)) \exp(-\pi(e_i)) \exp(\pi(f_i))$$

(also denoted by  $s_i^M$  when  $\pi$  is implicit).

**Goal:** Understand  $s_i^{\text{ad}}$ .

**Lemma:**

1.  $s_i^{\text{ad}}(f_i) = -e_i$ ,
2.  $s_i^{\text{ad}}(e_i) = -f_i$ ,
3.  $s_i^{\text{ad}}(\alpha_i^\vee) = -\alpha_i^\vee$ .

*Proof:* Compute :)  $\square$

**Lemma:** Assume  $M \in \mathcal{O}_{\text{int}}(\mathfrak{g}(A))$ ,  $A$  symmetrisable,  $\pi : \mathfrak{g}(A) \rightarrow \mathfrak{gl}(M)$ . Then

$$(s_i^M)^{-1} \pi(x) s_i^M = \pi(\exp(-\text{ad}(f_i)) \exp(\text{ad}(e_i)) \exp(-\text{ad}(f_i)) x)$$

*Proof:* We have, explicitly

$$(s_i^M)^{-1} \pi(x) s_i^M = \exp(-\pi(f_i)) \exp(\pi(e_i)) \exp(-\pi(f_i)) \pi(x) \exp(\pi(f_i)) \exp(-\pi(e_i)) \exp(\pi(f_i)).$$

If we call  $S = \exp(\pi(e_i)) \exp(-\pi(f_i)) \pi(x) \exp(\pi(f_i)) \exp(-\pi(e_i))$  the middle part then

$$\begin{aligned} (s_i^M)^{-1} \pi(x) s_i^M &= \exp(-\pi(f_i)) S \exp(\pi(f_i)) = \exp(-\text{ad}(\pi(f_i)))(S) \\ &= \exp(-\text{ad}(\pi(f_i)))(\exp(\text{ad}(\pi(e_i)))) \exp(-\text{ad}(\pi(f_i))) \pi(x) = \pi(\exp(-\text{ad}(f_i)) \exp(\text{ad}(e_i)) \exp(-\text{ad}(f_i))(x)) \end{aligned}$$

since  $\pi$  is a Lie-algebra homomorphism.  $\square$

We require a few simple results on working with *integrability*.

**Lemma:** Assume  $\mathfrak{g}$  is a Lie algebra,  $\pi : \mathfrak{g} \rightarrow \mathfrak{gl}(M)$  a representation. Assume  $\mathfrak{g}$  is generated as a Lie algebra by the elements

$$\Phi = \{x \in \mathfrak{g} \mid \text{ad}(x) \text{ is locally finite on } \mathfrak{g}, \pi(x) \text{ is locally finite on } M\}$$

Then

1.  $\mathfrak{g}$  is generated as a vector space by the elements in  $\Phi$  and
2. if furthermore  $\mathfrak{g}$  is finite-dimensional (thus  $\mathfrak{g}$  is generated as a Lie algebra by elements acting locally finitely on  $M$ ) then any  $m \in M$  is contained in a finite-dimensional  $\mathfrak{g}$ -submodule  $W \subseteq M$ .

*Proof:* Since  $\mathfrak{g}$  is spanned by Lie words in the elements of  $\Phi$ , it's enough to show that  $\Phi$  is actually closed under  $\mathfrak{g}$ 's Lie bracket.

Let  $x, y \in \Phi$ ; we thus have that  $\exp(\text{ad}(y))$  is well-defined. We actually have that  $\exp(\text{ad}(y))(x) \in \Phi$ :

$$\pi(\exp(\text{ad}(y))(x)) = \exp(\text{ad}(\pi(y)))(\pi(x)) = \exp(\pi(y)) \pi(x) \exp(-\pi(y))$$

which is locally finite. This in particular works if we set  $\pi = \text{ad}$  since  $M = \mathfrak{g}$ ,  $\pi = \text{ad}$  also satisfies the hypothesis of the lemma. Thus  $\text{ad}(\exp(\text{ad}(y))(x))$  is also locally finite and hence  $\exp(\text{ad}(y))(x) \in \Phi$ .

Finally, simply observe that

$$\frac{\exp(t \text{ad}(y))(x) - x}{t} \in \Phi$$

for every  $t \neq 0$  since  $\Phi$  is a vector subspace of  $\mathfrak{g}$ , and taking the limit as  $t \rightarrow 0$  yields

$$[y, x] = \lim_{t \rightarrow 0} \frac{\exp(t \text{ad}(y))(x) - x}{t} \in \Phi$$

As for our second claim, if we fix a PBW basis  $\mathcal{B}$  for  $U(\mathfrak{g})$  associated to the basis  $x_1, \dots, x_n \in \mathfrak{g}$  of  $\mathfrak{g}$  as a vector space, we have that finding  $m \in N \subseteq M$  such that  $N = U(\mathfrak{g})N$  amounts to finding such an  $N$  for each of the one-dimensional lie algebras spanned by the  $x_i$ 's. This follows straight from the first part, since  $\mathfrak{g}$  is spanned by elements acting locally finitely on  $M$ .  $\square$

We may now discuss where the action of the braid group  $B_{\mathcal{W}}$  associated to the Weyl group  $\mathcal{W}$  arises.

**Proposition:** Let  $M \in \mathcal{O}_{\text{int}}(\mathfrak{g}(A))$ ,  $A$  a symmetrisable GCM,  $\pi : \mathfrak{g}(A) \rightarrow \mathfrak{gl}(M)$ .

1.  $s_i^\pi(M_\lambda) \subseteq M_{s_{\alpha_i}(\lambda)}$  for all  $\lambda \in \mathfrak{h}^*$ ,
2.  $s_i^{\text{ad}}$  is a Lie-algebra homomorphism,
3.  $(s_i^M)^2(v) = (-1)^{\langle \lambda, \alpha_i^\vee \rangle} v$  for all  $v \in M_\lambda$ ,
4. let  $m_{i,j} = \text{ord}(s_{\alpha_i} s_{\alpha_j})$  for  $i, j = 1, \dots, n$  as elements in  $\mathcal{W}$ . If  $m_{i,j} < \infty$  then

$$s_i^M s_j^M s_i^M \dots = s_j^M s_i^M s_j^M$$

where on both sides we have  $m_{i,j}$  factors. This implies we have an action of the Braid group  $B_{\mathcal{W}}$  associated to  $\mathcal{W}$  on  $M$ .

*Proof:*

1. Let  $v \in M_\lambda$  be any weight vector, and  $h \in \mathfrak{h}$ . If  $\langle h, \alpha_i \rangle = 0$  then  $h$  commutes with  $e_i$  and  $f_i$ , thus

$$hs_i^\pi(v) = s_i^\pi(hv) = \lambda(h)s_i^\pi(v) = s_{\alpha_i}(\lambda)(h)s_i^\pi(v)$$

thus  $s_i^\pi(v) \in M_{s_{\alpha_i}(\lambda)}$  since by definition we have  $s_{\alpha_i}(\lambda)(h) = \lambda(h) - \langle \lambda, \alpha_i^\vee \rangle \langle \alpha_i, h \rangle = \lambda(h)$ .

On the other hand, if  $h = \alpha_i^\vee$  then

$$s_{\alpha_i}(v)(h) = \langle \lambda, \alpha_i^\vee \rangle - \langle \lambda, \alpha_i^\vee \rangle \langle \alpha_i, \alpha_i^\vee \rangle = \langle \lambda, \alpha_i^\vee \rangle - 2\langle \lambda, \alpha_i^\vee \rangle = -\langle \lambda, \alpha_i^\vee \rangle.$$

It follows that

$$(s_i^\pi)^{-1} \pi(\alpha_i^\vee) s_i^\pi = \pi(\exp(-\text{ad}(f_i)) \exp(\text{ad}(e_i)) \exp(-\text{ad}(f_i))) = \pi(-\alpha_i^\vee).$$

The reverse inclusion follows from the fact  $s_i^\pi$  is invertible and part 2.

2. We have

$$s_i^\pi(xv) = s_i^\pi(\pi(x)(s_i^\pi)^{-1} s_i^\pi(v)) = \pi(s_i^{\text{ad}}(x)) s_i^\pi(v).$$

If we take  $M = \mathfrak{g}$  and  $\pi = \text{ad}$  then we have

$$s_i^{\text{ad}}([x, v]) = [s_i^{\text{ad}}(x), s_i^{\text{ad}}(v)].$$

3. Since  $M$  is a direct sum of  $\mathfrak{sl}_2(\mathbb{C})$ -representations, we may assume without loss of generality that  $\mathfrak{g}$  is equal to  $\mathfrak{g}_{(i)}$  its Lie subalgebra generated by elements  $e_i, f_i, \alpha^\vee$ .

By our previous lemma there exists  $v \in N \subseteq M$  a finite dimensional subrepresentation containing  $v$  and thus  $\langle \lambda, \alpha_i^\vee \rangle \in \mathbb{Z}_{\geq 0}$  by the classical representation theory of  $\mathfrak{sl}_2(\mathbb{C})$ . Furthermore, since  $\mathfrak{sl}_2(\mathbb{C})$  is the Lie algebra associated to the Lie group  $\text{SL}_2(\mathbb{C})$  which is simply connected, by *fully faithfulness* we have that the Lie-algebra homomorphism  $\pi : \mathfrak{sl}_2(\mathbb{C}) \rightarrow \mathfrak{gl}(N)$  can be **integrated** to a Lie-group homomorphism  $\tilde{\pi} : \text{SL}_2(\mathbb{C}) \rightarrow \text{GL}(N)$ . Thus

$$(\text{Exp}(f)\text{Exp}(-e)\text{Exp}(f))^2 = -\text{id} = \text{Exp}(i\pi h)$$

and  $\text{Exp}(i\pi h)v = e^{i\pi\langle \lambda, h \rangle} = (-1)^{\lambda, h} v$ .

4. Since in this case we're just considering the action of  $s_i^M$  and  $s_j^M$  on  $M$ , we may assume  $A$  is a  $2 \times 2$  matrix of the form

$$A = \begin{pmatrix} 2 & a_{i,j} \\ a_{j,i} & 2 \end{pmatrix}$$

By this it follows that  $s_{\alpha_i} = \begin{pmatrix} -1 & -a_{i,j} \\ 0 & 1 \end{pmatrix}$  and  $s_{\alpha_j} = \begin{pmatrix} 1 & 0 \\ -a_{j,i} & -1 \end{pmatrix}$  in the basis  $\alpha_i, \alpha_j$ .

A simple linear algebra argument shows the matrix

$$s_{\alpha_i} s_{\alpha_j} = \begin{pmatrix} -1 + a_{i,j} a_{j,i} & a_{i,j} \\ -a_{j,i} & -1 \end{pmatrix}$$

is of finite order if and only if  $a_{i,j} a_{j,i} < 4$  which implies  $A$  is of finite type and thus  $\mathfrak{g}(A)$  is finite dimensional.

Given any  $v \in M$  by the previous lemma - since we've shown we may consider solely the case  $\dim \mathfrak{g}(A) < \infty$  - we may fit  $v$  inside some finite-dimensional subrepresentation  $N \subseteq M$  and, once again, **integrate** the Lie-algebra homomorphism  $\pi : \mathfrak{g}(A) \rightarrow \mathfrak{gl}(N)$  to get a Lie group homomorphism  $G(A) \rightarrow \text{GL}(N)$  where  $G(A)$  is the simply-connected two-dimensional Lie group whose Lie algebra is  $\mathfrak{g}(A)$  (since we've reduced to the case  $a_{i,j} a_{j,i} < 4$  we essentially just have to consider  $G(A)$  equal to one of 4 possible groups). Once we've done this, it's enough to check  $s_i = \text{Exp}(f_i)\text{Exp}(-e_i)\text{Exp}(f_i)$  satisfy the stated braid group relations. This is done explicitly in all the relevant cases in Springer's book on algebraic groups.

**Corollary:**

1.  $s_i^{\text{ad}}|_{\mathfrak{h}} = s_{\alpha_i}$ ,
2. If  $\alpha_j = w(\alpha_i)$ , then  $w(\alpha_i^\vee) = \alpha_j^\vee$  for every  $w \in \mathcal{W}$ .

*Proof:*

1. explicitly we have  $s_i^{\text{ad}}(h) = \exp(\text{ad}(f_i))\exp(-\text{ad}(e_i))\exp(\text{ad}(f_i))(h)$  and

$$\begin{aligned} h &\mapsto h + \langle \alpha_i, h \rangle f_i \\ &\mapsto h + \langle \alpha_i, h \rangle f_i - \langle \alpha_i, h \rangle e_i - \langle \alpha_i, h \rangle \alpha_i^\vee + \frac{\langle \alpha_i, h \rangle \langle \alpha_i^\vee, \alpha_i \rangle}{2} e_i \\ &\mapsto h + \langle \alpha_i, h \rangle f_i - \langle \alpha_i, h \rangle \alpha_i^\vee + \langle \alpha_i, h \rangle f_i - \langle \alpha_i, h \rangle \langle \alpha_i, \alpha_i^\vee \rangle f_i \\ &= h - 2\langle \alpha_i, h \rangle f_i = s_{\alpha_i}(h) \end{aligned}$$

2. The previous part gives a Lie algebra homomorphism  $\widehat{w} : \mathfrak{g}(A) \rightarrow \mathfrak{g}(A)$  defined by  $\widehat{w}|_{\mathfrak{h}} = w$ . Thus  $[\widehat{w}(e_i), \widehat{w}(f_i)] = \widehat{w}(\alpha_i^\vee)$  and  $w(\alpha_i) = \alpha_j$  implies  $w(e_i) \in \mathfrak{g}_{\alpha_j}$  and  $w(f_i) \in \mathfrak{g}_{-\alpha_j}$ . Thus  $\widehat{w}(\alpha_i^\vee) \in [\mathfrak{g}_{\alpha_j}, \mathfrak{g}_{-\alpha_j}]$  which is spanned by  $\alpha_j^\vee$  (recall that the weight spaces relative to simple roots are one-dimensional - **not true for arbitrary roots**). It follows that we have  $\widehat{w}(\alpha_i^\vee) = c\alpha_j^\vee$  for some scalar  $c \in \mathbb{C}$ . However applying  $\alpha_j$  yields

$$2c = \alpha_j(\widehat{w}(\alpha_i^\vee)) = \widehat{w}(\alpha_i^\vee)(\alpha_j) = \alpha_i^\vee(\widehat{w}^{-1}(\alpha_j)) = \alpha_i^\vee(\alpha_i) = 2. \quad \square$$

## 8/12

### Braid group action on $U_q(\mathfrak{g}(A))$

As usual, we start with  $U = U_q(\mathfrak{sl}_2)$ ,  $q \neq \sqrt{-1}$ .

Consider  $\text{Rep}_1(U) = \{ \text{f.d. re. of } U \text{ of type 1} \}$  i.e. the collection of  $U$ -modules  $M$  such that  $\dim M < \infty$ ,  $M = \bigoplus_{j \in \mathbb{Z}} M_j$  where  $M_j = \{m \in M \mid Km = q^j m\}$ .

**Definition:** For  $r \in \mathbb{N}_0$  define  $E^{(r)} = \frac{E^r}{[r]!}$ ,  $F^{(r)} = \frac{F^r}{[r]!}$  the *divided powers*.

**Definition:** For any  $M \in \text{Rep}_1(U)$  define linear endomorphisms  $T$  of  $M$  by:

$$\begin{aligned} v \in M_m &\mapsto T(v) = \sum_{a,b,c \in \mathbb{N}_0, -a+b-c=m} (-1)^b q^{b-ac} E^{(a)} F^{(b)} E^{(c)} v \\ v \in M_m &\mapsto T'(v) = \sum_{a,b,c \in \mathbb{N}_0, a+b-c=m} (-1)^b q^{ac-b} E^{(a)} F^{(b)} E^{(c)} v \\ v \in M_m &\mapsto {}^\omega T(v) = \sum_{a,b,c \in \mathbb{N}_0, a-b+c=m} (-1)^b q^{b-ac} E^{(a)} F^{(b)} E^{(c)} v \\ v \in M_m &\mapsto {}^\omega T'(v) = \sum_{a,b,c \in \mathbb{N}_0, a-b+c=m} (-1)^b q^{ac-b} E^{(a)} F^{(b)} E^{(c)} v \end{aligned}$$

**Remark:** Since  $E, F$  act locally nilpotently on  $M$ , these sums are in fact finite and define linear endomorphisms of  $M$ .

**Remark:** Consider the cartan involution  $\omega : U \rightarrow U$ , and for  $M \in \text{Rep}_1(U)$  let  ${}^\omega M$  be  $M$  with *the twisted*  $U$ -action

$$u \cdot m := \omega(u)m$$

It follows that, by how the previous endomorphisms are constructed,

$${}^\omega M \ni {}^\omega T(v) := T(v) \in {}^\omega T(v)$$

The above describes precisely the action of  ${}^\omega T : {}^\omega M \rightarrow {}^\omega M$  in terms of  $T : M \rightarrow M$ .

**Action on  $L = L(n, +1) \in \text{Rep}_1(U)$ :** recall that  $L$  is spanned by  $m_0, m_1, m_2, \dots, m_n$  and  $Fm_j = m_{j+1}$ . Since we want to consider the action of the constructed endomorphisms, it makes sense to rescale and define

$$v_i := \begin{cases} \frac{m_i}{[i]!} & 0 \leq i \leq n \\ 0 & \text{otherwise} \end{cases}$$

We thus have the identities

$$Fv_i = \begin{cases} [i+1]v_{i+1} & 0 \leq i < n \\ 0 & i = n \end{cases}, \quad Ev_i = \begin{cases} [n-i+1]v_{i-1} & 0 < i \leq n \\ 0 & i = 0 \end{cases}$$

Thus the divided powers act as

$$F^{(r)}v_i = \begin{bmatrix} r+i \\ r \end{bmatrix} v_{i+r}, \quad E^{(r)}v_i = \begin{bmatrix} n+r-i \\ r \end{bmatrix} v_{i-r}.$$



**Lemma:** We have for  $M = L(n, +1), v_i \in M$  the following formulas

$$T(v_i) = (-1)^{n-i} q^{(n-i)(i+1)} v_{n+i}, \quad T'(v_i) = (-1)^{n-i} q^{-(n-i)(i+1)} v_{n+i}$$

$${}^\omega T(v_i) = (-1)^{n-i} q^{i(n-i+1)} v_{n+i}, \quad {}^\omega T'(v_i) = (-1)^{n-i} q^{-i(n-i+1)} v_{n+i}$$

*Proof:* We have

$$T(v_i) = \sum_{-a+b-c=m} (-1)^b q^{b-ac} \begin{bmatrix} n+a-i+c-b \\ a \end{bmatrix} \begin{bmatrix} b+i-c \\ b \end{bmatrix} \begin{bmatrix} n+c-i \\ c \end{bmatrix} v_{n-i} = [\text{Jantzen appendix}] \square$$

**Claim:**  $\Phi : L(n, +1) \xrightarrow{\cong} L(n, +1), v_i \mapsto v_{n-i}$  is an isomorphism of  $U$ -modules.

*Proof:* Simply look at symmetry of the Casimir's action.  $\square$

**Corollary:**

1.  $T, T', {}^\omega T, {}^\omega T'$  are invertible linear endomorphisms of any  $M \in \text{Rep}_1(U)$ . Explicitly,  $T^{-1} = {}^\omega T'$  and  $(T')^{-1} = {}^\omega T$ .
2.  ${}^\omega T = (-q)^{-m} T$  on  $M_m$  and  ${}^\omega T' = (-q)^m T'$  on  $M_m$ .

*Proof:* Follows from previous formulas.  $\square$

**Proposition:** For all  $M \in \text{Rep}_1(U), v \in M$  we have the following identities

$$T(Ev) = (-FK)T(v),$$

$$T(Fv) = (-K^{-1}E)T(v)$$

$$T(Kv) = K^{-1}T(v)$$

$$ET(v) = T(-K^{-1}Fv)$$

$$FT(v) = T(-EKv)$$

$$KT(v) = T(K^{-1}v).$$

*Proof:* It's enough to consider  $M = L(n, +)$ . We'll just show the first one... not really  $\square$

**Proposition:** The assignment  $T(E) = -FK, T(F) = -K^{-1}E, T(K) = K^{-1}$  defines an algebra automorphism of  $U$ , with inverse  $T^{-1}(E) = -K^{-1}F, T^{-1}(F) = -EK, T^{-1}(K) = K^{-1}$ .

*Proof:* Follows from the next proposition.

**Proposition:** For any  $u \in U$  there exists a unique  $u' \in U$  such that  $T(uv) = u'T(v)$  for every  $v \in M, M \in \text{Rep}_1(U)$ . Moreover,  $\phi : u \mapsto u'$  is an algebra homomorphism of  $U$ .

*Proof:* Assuming the well-defined'ness and uniqueness it's clear that  $(\lambda u_1 + \mu u_2)' = \lambda u_1' + \mu u_2'$  and  $(u_1 u_2)' = u_1' u_2'$ . Thus the considered assignment is an algebra homomorphism.

Furthermore, by the previous calculation,  $u'$  exists on generators  $E, F, K, K^{-1}$ , and one can extend the definition to all elements via decreeing that  $u \mapsto u'$  be an algebra homomorphism.

As for uniqueness, if  $u'v = u''v$  for all  $v \in M$  and  $M \in \text{Rep}_1(U)$ . This implies  $u' - u''$  acts by zero on all representations of  $U$  and thus  $u' - u'' = 0$  in  $U$  since  $\text{Rep}_1(U)$  is faithful.  $\square$

**Remark:**  $T$  is an isomorphism. In fact, the generators  $E, F, K$  lie in the image by our calculations, thus it is surjective. Furthermore if  $u \in U$  is such that  $T(u) = 0$  then  $T(uv) = 0$  for all  $v \in M, M \in \text{Rep}_1(U)$ , and thus implies  $uv = 0$  since  $T$  acts invertibly on  $M$ . Just as we argued before it follows that  $u$  acts as zero on all representations of  $U$  and thus  $u = 0$ .

**The general case  $U = U_q(\mathfrak{g}(A))$  for  $A$  symmetrisable**

**Recall:** We have an embedding  $U_{q_i}(\mathfrak{sl}_2) \hookrightarrow U_q(\mathfrak{g}(A)), q_i := q^{d_i}$  mapping  $E$  to  $E_i$  and  $F$  to  $F_i$ .

**Definition:** For  $M \in \text{Rep}_1(U)$  define

$$M_{\lambda, \sigma} := \{m \in M \mid K_\mu m = \sigma(\mu) q^{(\lambda, \mu)} m \text{ for all } \mu \in \bigoplus_i \mathbb{Z} \alpha_i\}$$

where  $\sigma : \mathbb{Z} \alpha_1 \oplus \dots \oplus \mathbb{Z} \alpha_n \rightarrow \{\pm 1\}$  is a group homomorphism and  $\lambda$  is an *integral weight* (definition to come).

**Definition:**  $\lambda$  is an *integral weight* if  $\lambda = \sum_{i=1}^n m_i \omega_i$  for  $m_i \in \mathbb{Z}$  and  $\omega_1, \dots, \omega_n \in \mathfrak{h}^*$  the *fundamental weights*. The fundamental weights  $\omega_i$  are defined by

$$\langle \omega_i, \alpha_j^\vee \rangle = \delta_{i,j}.$$

**Remark:** For  $\lambda = \sum_i m_i \omega_i$  an integral dominant weight,  $\mu \in \mathbb{Z} \alpha_1 \oplus \dots \oplus \mathbb{Z} \alpha_n$  we have

$$(\lambda, \mu) = \sum_i m_i \mu_i \in \mathbb{Z}$$

**Proposition:** Assume  $A$  is of finite-type (i.e.  $\mathfrak{g}(A)$  is a simple finite-dimensional Lie algebra). If  $M$  is a finite-dimensional  $U_q(A)$ -module and  $q \neq \sqrt{-1}$ . Then

1.  $E_i, F_i$  act nilpotently on  $M$ ,
2.  $M = \bigoplus_{\lambda, \sigma} M_{\lambda, \sigma}$
3.  $E_i M_{\lambda, \sigma} \subseteq M_{\lambda + \alpha_i, \sigma}$  and  $F_i M_{\lambda, \sigma} \subseteq M_{\lambda - \alpha_i, \sigma}$ .

*Proof:*

1.  $\mathfrak{sl}_2(\mathbb{C})$ -calculation.
2. Consider  $M$  as a  $U_{q_i}(\mathfrak{sl}_2)$ -module.

## 10/12

**Proposition:**  $M$  a finite-dimensional representation of  $U_q(\mathfrak{g}(A))$ , where  $A$  is a **Cartan Matrix** (if  $A$  is not Cartan then by the exercise sheet  $U_q(\mathfrak{g}(A))$  has no non trivial f.d. representations). Then

1.  $E_i, F_i$  act (locally) nilpotently,
2.  $M = \bigoplus_{\lambda, \sigma} M_{\lambda, \sigma}$ ,
3.  $E_i M_{\lambda, \sigma} \subseteq M_{\lambda + \alpha_i, \sigma}$  and  $F_i M_{\lambda, \sigma} \subseteq M_{\lambda - \alpha_i, \sigma}$ .

*Proof:*

1. Follows from the  $\mathfrak{sl}_2$  case and the inclusion  $U_q(\mathfrak{sl}_2(\mathbb{C})) \hookrightarrow U_q(\mathfrak{g}(A))$ .
2. Again by restriction to  $U_{q_i}(\mathfrak{sl}_2)$ ,  $K_i$  is diagonalisable with eigenvalues of the form  $\pm q^m$  with  $m \in \mathbb{Z}$ . Since the  $K_i$ 's commute, they are simultaneously diagonalisable over  $M$ , which yields an eigenspace decomposition with eigenvectors of the form  $v$  such that

$$K_i v = \sigma_i q^{m_i} v, \sigma_i \in \{\pm 1\}$$

If we set  $\sigma : \mathbb{Z} \alpha_1 \oplus \dots \oplus \mathbb{Z} \alpha_n \rightarrow \{\pm 1\}$  by setting  $\sigma(\alpha_i) = \sigma_i$ , then we have  $K_\mu v = \sigma(\mu) q^{m_i \mu_i} v = \sigma(\mu) q^{(\lambda, \mu)} v$ .

If we now set  $\lambda$  such that  $\langle \lambda, \alpha_i^\vee \rangle = m_i$  then  $v$  must lie in  $M_{(\lambda, \sigma)}$ . And thus the second point follows.

3. This one's clear by our previous remarks.  $\square$

**Definition:** Let  $M$  be as in the previous lemma,  $M = \bigoplus_\sigma M^\sigma$  where  $M^\sigma = \bigoplus_\lambda M_{(\lambda, \sigma)}$ . We call  $M$  of *type*  $\sigma$  if  $M = M^\sigma$ . And we say  $M$  is of *type* 1 if it is of type  $\sigma \equiv 1$ .

**Remark:** We have an equivalence of categories (for **any**  $\sigma$ )

$$\{\text{finite-dimensional representations of type } 1\} \cong \{\text{finite-dimensional representations of type } \sigma\}$$

$$M \mapsto {}^\phi M$$

where  $\phi : U_q(A) \rightarrow U_q(A)$  is defined by  $\phi(E_i) = \sigma(\alpha_i) E_i, \phi(F_i) = F_i, \phi(K_i) = \sigma(\alpha_i) K_i$  (note that  $\phi^2 = 1$ ).

**Definition:** For  $A$  a Cartan Matrix let  $\text{Rep}_1(U_q(A))$  be the category of finite-dimensional representations of type 1.

**Definition:** For a general GCM  $A$  define

$$E_i^{(r)} := \frac{E_i^r}{[r]!_{q_i}}, F_i^{(r)} = \frac{F_i^r}{[r]_{q_i}!}$$

for all  $r \in \mathbb{Z} \geq 0$  (as elements in  $U_q(A)$ ).

**Definition:** Let  $M \in \text{Rep}_1(U_q(A))$ . Define linear endomorphisms of  $M$  as follows:

$$1 \leq i \leq n, T_i(v) := \sum_{-a+b-c=m} (-1)^b q^{b-ac} E_i^{(a)} F_i^{(b)} E_i^{(c)} v$$

where  $m := \langle \lambda, \alpha_i^\vee \rangle$ .

**Remark:**  ${}^\omega T_i, T'_i, {}^\omega T'_i$  similarly (as last lecture).

## Finite dimensional representations of $U_q(A)$ , $A$ a Cartan matrix

Let  $A$  be (provisionally) a GCM, and  $U_q^{\geq 0}(A)$  be the subalgebra of  $U_q(A)$  generated by  $K_i^{\pm 1}$ 's and  $E_i$ 's.

*Note that  $U_q^{\geq 0}(A)$  is a Hopf subalgebra*

We have a triangular decomposition:  $U_q^{< 0}(A) \otimes U_q^0(A) \otimes U_q^{> 0}(A) \xrightarrow{\cong} U_q(A)$  (proof omitted).

**Remark (construction of the Verma's):** In particular  $U_q(A)$  is free as a  $U_q^{\geq 0}$ -module with basis any one for  $U_q^{< 0}(A)$ .

Let  $\lambda \in \mathfrak{h}^*$  ( $\mathfrak{h}$  = "Cartan for underlying Lie algebra associated with  $A$ "). Assume  $\langle \lambda, \alpha_i^\vee \rangle \in \mathbb{Z}$  for all  $i$  (i.e.  $\lambda$  is an integral weight). And let  $h_\lambda$  be the 1-dimensional  $U_q^{\geq 0}(A)$ -module generated by  $v$  defined by

$$K_i v = q^{\langle \lambda, \alpha_i \rangle} v = q_i^{\langle \lambda, \alpha_i^\vee \rangle} v$$

$$E_i v = 0 \text{ for all } i.$$

We thus define the "Verma"  $U_q(A)$ -module

$$M(\lambda) := U_q(A) \otimes_{U_q^{\geq 0}} h_\lambda$$

of highest weight  $\lambda$ . For any  $U_q(A)$ -module  $M$ ,  $m \in M$  is said to be a weight vector of weight  $\lambda \in \mathfrak{h}^*$  if  $K_\mu m = q^{(\lambda, \mu)} m$  for all  $\mu \in \mathbb{Z}\alpha_1 \oplus \dots \oplus \mathbb{Z}\alpha_n$ .

**Remark:**  $M(\lambda)$  has a unique irreducible quotient, denoted by  $L(\lambda)$ .

**Theorem:**  $A$  a Cartan Matrix,  $\lambda \in \mathfrak{h}^*$  a dominant integral weight (i.e.  $\langle \lambda, \alpha_i^\vee \rangle \in \mathbb{Z}_{\geq 0}$  for all  $i$ ).

1. For each  $i$  there is a homomorphism of  $U_q(A)$ -modules

$$M(\lambda - (m+1)\alpha_i) \hookrightarrow M(\lambda) \text{ with } m = \langle \lambda, \alpha_i^\vee \rangle \text{ for all } i,$$

defined by

$$1 \otimes 1 \mapsto F_i^{m+1} \cdot 1 \otimes 1.$$

2. Define  $\tilde{L}(\lambda) = M(\lambda) / (\sum_i \text{im} \phi_i)$ . The  $\tilde{L}(\lambda)$  is *finite dimensional*.

3. We have a bijection

$$\{\text{irreducible finite dimensional } U_q(A)\text{-modules of highest weight}\} / \cong \xrightarrow{\sim} X^+ := \{\text{dominant integral weights}\}$$

$$L(\lambda) \mapsto \lambda$$

*Proof:*

1. The vectors  $1 \otimes 1 \in M(\lambda - (n+1)\alpha_i)$  and  $F_i^{m+1} 1 \otimes 1$  have the same weight. Moreover

$$E_j F_i^{m+1} \cdot 1 \otimes 1 = F_i^{m+1} E_j \cdot 1 \otimes 1 = 0 \text{ if } i \neq j$$

$$E_i F_i^{m+1} \cdot 1 \otimes 1 = 0 \text{ by a } U_q(\mathfrak{sl}_2) \text{ - calculation.}$$

The universal property of  $M(\lambda - (m+1)\alpha_i)$  yields the first part.

2. It's enough to show  $\dim \tilde{L}(\lambda)_\mu = \dim \tilde{L}(\lambda)_{w(\mu)}$  for all  $w = s_{\alpha_i}$  and  $1 \leq i \leq n$  (note that  $\dim \tilde{L}(\lambda)_\mu < \infty$  by construction). Draw a picture of the weights and see why this is the case :)

I want to go to mensa

We have  $\tilde{L}(\lambda) = U_q(A)/\text{Ann}_{U_q(A)}(1 \otimes 1) = U_q(A)/(\sum_i U_q(A)E_i + \sum_i U_q(A)(K_i - q^{(\lambda, \alpha_i)}) + \sum_i \sum_i U_q(A)F_i^{m+1}) \implies$   
[Jantzen 5.7]  $E_i, F_i$  act locally nilpotently on  $\tilde{L}(\lambda)$ . Consider for every  $i$  the module

$$M := \bigoplus_{r \in \mathbb{Z}} \tilde{L}(\lambda)_{\mu - r\alpha_i}$$

on which  $U_{q_i}(\mathfrak{sl}_2) \cong (E_i, F_i)$  acts.

$M$  has finite dimensional weight spaces, and  $E_i$  and  $F_i$  act locally nilpotently on  $M$ .

Let  $v \in M \setminus \{0\}$  be a non-zero vector such that  $E_i v = 0$ . The  $U_{q_i}(\mathfrak{sl}_2)$ -module generated by  $v$  thus yields a surjection of a Verma module

$$M(q^n) \twoheadrightarrow U_{q_i}(\mathfrak{sl}_2)v$$

for some  $q^n$  ( $M(q^n)$  is the  $U_q(\mathfrak{sl}_2)$  Verma).

However,  $F_i^s v = 0$  for  $s$  large enough, which implies  $L(q^n, +1) \xrightarrow{f} U_{q_i}(\mathfrak{sl}_2)v$ . Consider

$$M' := M/\text{im}(f).$$

The dimension of the 0 or 1-weight space of  $M'$  is *smaller* than that of  $M$  - as  $U_{q_i}(\mathfrak{sl}_2)$ -modules.

By repeating this argument we obtain a finite Jordan-Holder series of  $M$  as a quantum  $\mathfrak{sl}_2$ -module:

$$M \supseteq M^1 \supseteq M^2 \supseteq \dots \supseteq M^r \supseteq (0)$$

where the quotients of each of these are finite-dimensional.

It follows that  $\dim M_\mu = \dim M_{s_{\alpha_1}(\mu)}$  since it holds for any subquotient and thus for  $M$ .

3.  $L(\lambda)$  is finite-dimensional for  $\lambda$  dominant integral, since  $\tilde{L}(\lambda)$  is and  $M(\lambda)$  has unique irreducible quotient -  $\tilde{L}(\lambda) \twoheadrightarrow L(\lambda)$ . Thus the map  $\lambda \mapsto L(\lambda)$  is well-defined.

Viceversa, given  $L(\lambda)$  an irreducible finite dimensional  $U_q(A)$ -module, by the  $U_q(\mathfrak{sl}_2)$  theory which we developed,  $\langle \lambda, \alpha_i^\vee \rangle \in \mathbb{Z}_{\geq 0}$  for all  $i$ ; thus  $\lambda$  is dominant integral.  $\square$

**Proposition:**  $A$  a Cartan Matrix. For all  $u \in U_q(A)$  there exists a direct sum of irreducible finite-dimensional highest-weight modules such that  $uM \neq 0$ .

*Proof:* For not-quantised version: exercise.

For quantised omitted.  $\square$

What about when  $A$  is not necessarily CM? What are the *integrable* representations?

**Proposition (construction):** Let  $A$  be a symmetrisable GCM. Construct  $L(\lambda)$  as before over  $U_q(A)$ . Then  $L(\lambda)$  is integrable if and only if  $\lambda \in X^+ := \{\text{dominant integral weights}\} = \{\lambda \in \mathfrak{h}^* \mid \langle \lambda, \alpha_i^\vee \rangle \in \mathbb{Z}_{\geq 0}\}$ .

Proof next time (:

**Definition:**  $A$  a symmetrisable GCM and  $M$  a direct sum of integrable irreducible representations or just integrable (this is one of those two definitions for the price of one sort-of-thing).

Define  $T_i(v)$  as before for all  $v \in M$ . Similarly  ${}^\omega T_i, T'_i, {}^\omega T'_i$ .

**Proposition:**

1.  $T_i, T'_i, {}^\omega T_i, {}^\omega T'_i$  are invertible endomorphisms.
2.  ${}^\omega T_i(v) = (-q_i)^{-\langle \lambda, \alpha_i \rangle} T_i(v)$  for all  $v \in M_\lambda$ .
3.  ${}^\omega T'_i(v) = (-q_i)^{\langle \lambda, \alpha_i^\vee \rangle} T'_i(v)$ .

# 1 15/12

Last time we discussed a classification result of the highest weight modules for  $U_q(\mathfrak{g}(A))$  where  $A$  is a GCM. We prove this now:

## Theorem:

1.  $L(\lambda)$  is integrable  $\iff \lambda \in \Lambda^+$ ,
2. (irred. integrable hw representations of  $\mathfrak{g}(A))/\cong \xrightarrow{\cong} \Lambda^+$ .

*Proof:* Let  $v_\lambda = 1 \otimes 1 \in L(\lambda)$ . If  $L(\lambda)$  is integrable then  $f_i^N v_\lambda = 0$  for  $N$  large enough, and choose  $N$  as small as can be. It follows that

$$v_\lambda, f_i v_\lambda, \dots, f_i^{N-1} v_\lambda$$

spans a finite-dimensional  $\mathfrak{sl}_2$ -module, which implies  $\langle \lambda, \alpha_i^\vee \rangle \in \mathbb{Z}_{\geq 0}$ .

For the converse, suppose  $\langle \lambda, \alpha_i^\vee \rangle \in \mathbb{Z}_{\geq 0}$  for all  $i$ . It's clear that  $L(\lambda)$  has a weight decomposition, since  $M(\lambda)$  does.

What we have to show is that if  $v \in L(\lambda)$  then  $e_i^N v = 0$  and  $f_i^N v = 0$  for some  $N$  large enough.

Without loss of generality, suppose  $v \in L(\lambda)_\mu$  for some weight  $\mu = \lambda - \sum_{i=0}^n n_i \alpha_i$ . For  $N$  large enough we have that, by the structure of the weights in  $L(\lambda)$ ,  $\mu + N\alpha_i$  is not smaller than  $\lambda$ , and is thus not a weight in  $M(\lambda)$  or  $L(\lambda)$ . Thus  $e_i^N v = 0$ .

As for the  $f_i$ -condition, let  $v = f_1 \dots f_{i_n} v_\lambda$  for some  $1 \leq i \leq n$ , and assume for now that  $f_i^N v_\lambda = 0$ . If we set  $y = f_1 \dots f_{i_n}$  then

$$f_i^s y v_\lambda = \sum_{k=0}^s \binom{s}{k} (\text{ad } f_i)^k(y) f_i^{s-k} v_\lambda.$$

In general, if  $D : U \rightarrow U$  is any derivation ( $U$  any algebra) then

$$D^n(u_1 \dots u_r) = \sum_{\sum_i m_i = n, m_i \geq 0} \binom{n}{m_1, \dots, m_r} D^{m_1}(u_1) \dots D^{m_r}(u_r)$$

- a simple induction shows.

If we now take  $D = \text{ad } f_i$  and  $u_1 \dots u_r = f_1 \dots f_{i_n} = y$ . By the Serre relations and our assumption on  $v_\lambda$ , we have that  $f_i^s y v_\lambda = 0$  for  $s$  large enough.

Thus, all that's left to show is that  $f_i$  acts locally nilpotently at  $v_\lambda$ . For this, we can show  $N = m_i + 1$  works, where  $m_i = \langle \lambda, \alpha_i^\vee \rangle$ .

Assume by contradiction that  $v' := f_i^{m_i+1} v_\lambda \neq 0$ . We have  $e_j v' = e_j f_i^{m_i+1} v_\lambda = 0$  (by the relations in  $\mathfrak{g}(A)$  for  $i \neq j$  and the  $\mathfrak{sl}_2$ -calculations for  $i = j$ ).

This implies  $v'$  is actually a highest weight vector, different to  $v_\lambda$ ! This contradicts  $L(\lambda)$ 's irreducibility, because  $U(\mathfrak{g}(A))v' = U(\mathfrak{n}_-) \otimes U(\mathfrak{h}) \otimes U(\mathfrak{n}_+)v' = U(\mathfrak{n}_-)v' \notin v_\lambda$  is a proper, non-zero, subrepresentation. This implies both 1 and 2.  $\square$

## Remark:

1. The theorem holds for  $A$  a GCM.
2. If we go back to the case in which  $A$  is symmetrisable,  $L(\lambda)$  has finite-dimensional weight spaces (because  $M(\lambda)$  has as well). This implies we have a function  $\text{char}(L(\lambda))$  given by the character of  $L(\lambda)$ . There exists for  $\lambda \in X^+$  a character formula for  $L(\lambda)$  ("Kac-formula") which is totally analogous to the Weyl character formula for semisimple Lie algebras (take a look at the exercise sheet for the affine case).
3. A "nice" character formula exists for any integrable highest weight module. This can be tackled via BGG resolutions.
4. A nice consequence of this is **any integrable highest weight module is irreducible** (Cor 10.4 [1]).  
Gist: If  $L$  is an integrable highest weight module and  $L'$  is irreducible quotient, compare characters :)

**Theorem:**  $A$  a symmetrisable GCM, and  $M \in \mathcal{O}_{\text{int}}(\mathfrak{g}(A))$  with finite-dimensional weight spaces, and weights contained in a finite union of sets of the form  $\{\mu \mid \mu \leq \lambda_i\}$ , then we have a decomposition of  $M$  into highest weight submodules

$$M = \bigoplus_{\lambda \in X^+} L(\lambda)^{\oplus a_\lambda}$$

where  $a_\lambda \in \mathbb{Z}_{\geq 0}$ .

**Remark:** This applies in particular to  $L(\lambda) \otimes L(\mu)$  with  $\lambda, \mu \in X^+$ ; although unfortunately this does not hold for  $L(\lambda) \otimes^\omega L(\mu)$  in general.

*Proof:* Let  $N \subseteq M$  be the subspace annihilated by  $\mathfrak{n}_+$ , which is a non-zero subspace by our assumption on the weights of  $M$ . Furthermore,  $N$  is also  $\mathfrak{h}$ -invariant since it is spanned by weights.

Let  $\{v_i\}_{i \in I}$  be a basis of  $N$  consisting of weight vectors, each  $v_i$  of weight  $\mu_i$ .

We have homomorphisms

$$M(\mu_i) \twoheadrightarrow U(\mathfrak{g}(A))v_i \subseteq M$$

$$1 \otimes 1 \mapsto v_i$$

for each  $i$ . The image is an integrable hw module (as a submodule of  $M$ ), and the previous remark shows that its image is irreducible. We thus have an induced homomorphism

$$\phi_i : L(\mu_i) \twoheadrightarrow U(\mathfrak{g}(A))v_i \subseteq M.$$

Adding these homomorphisms we have a homomorphism

$$\bigoplus_{i \in I} L(\mu_i) \xrightarrow{\phi := \sum_i \phi_i} M$$

We actually have that  $\phi$  is an isomorphism of  $U(\mathfrak{g}(A))$ -modules:

*Injectivity:* Let  $v \in \ker \phi$  be such that  $\mathfrak{n}_+ v = 0$ ,  $v = \sum_{i \in I} c_i v_{\mu_i}$  where  $v_{\mu_i} = 1 \otimes 1 \in L(\mu_i)$  and  $c_i \in \mathbb{C}$ . Since each  $v_{\mu_i}$  is sent to  $v_i$  and these form a set of linearly independent vectors, it follows that  $c_i = 0$  for all  $i$ .

*Surjectivity:* Assume we have a ses

$$\bigoplus_{i \in I} L(\mu_i) \xrightarrow{\phi} M \xrightarrow{\pi} Q$$

with  $Q \neq 0$ . If we choose  $L(\mu)$  a submodule of  $Q$  (as above), replacing  $M$  by  $\pi^{-1}(L(\mu))$  allows us to assume wlog that  $Q = L(\mu)$ .

It follows that there exists a weight vector  $v \in \pi^{-1}(v_\lambda)$ . On  $v$ , the Casimir must act as it does on  $v_\mu$ , and thus in the same way it acts on  $L(\mu)$ . Moreover,  $e_i v \in \ker \pi$  for all  $i$  and thus in the image of  $\phi$ .

If we express  $e_i v = \sum_{j \in I} z_j$  where  $z_j \in L(\mu_j)$ , then  $z_j \neq 0$  implies

$$\mu + \alpha_i \leq \mu_j,$$

$$(\mu_j + \rho, \mu_j + \rho) = (\mu + \rho, \mu + \rho)$$

(these scalars are the ones as which the Casimir acts on  $L(\mu_j)$  resp.  $L(\mu)$ ) and the Casimir acts on  $L(\mu)$  in the same way as on  $L(\mu_j)$  if  $z_j \neq 0$ .

Recall that  $\rho$  is chosen such that  $\langle \rho, \alpha_i^\vee \rangle = 1$  for all  $i$ .

We have the following claim:

*Given  $\mu, \lambda \in \mathfrak{h}^*$  such that  $\langle \lambda, \alpha_i^\vee \rangle \geq 0$  for all  $i$ ,  $\mu \leq \lambda$ , and  $\langle \mu, \alpha_i^\vee \rangle \geq 0$  for all  $i$ , then  $v = \lambda + \rho$ .*

Applying the claim to  $\mu := \mu + \rho, \lambda = \mu_j$  yields  $\mu + \rho = \mu_j + \rho$  hence  $\mu = \mu_j$ , which contradicts  $\mu + \alpha_i \leq \mu_j$ . The claim is a simple calculation exercise...

□

**Lemma:**  $M$  is integrable if and only if  ${}^\omega M$  is integrable.

*Proof:*  ${}^\omega M$  has a weight space decomposition induced by  $M$ 's, and the local nilpotency of the  $e_i$ 's and  $f_i$ 's follows directly.

**Warning:** If  $M$  an integrable highest weight module, then  ${}^\omega M$  is integrable of highest weight.

**Remark:** If  $M$  is irreducible, then  ${}^\omega M$  is irreducible.

**Fact (without proof):** The construction of (integrable) highest weight modules  $L(\lambda)$  goes through in the quantised setting as well. We have a bijection

$\{\text{irreducible integrable highest weight modules of } U_q(\mathfrak{g}(A))\} / \cong \xrightarrow{\cong} X^+ \times \{\sigma : \mathbb{Z}\alpha_1 \oplus \cdots \oplus \mathbb{Z}\alpha_n \rightarrow \{\pm 1\} \text{ group homomorphisms}\}.$

**Construction:** We may thus define

$$T_i, {}^\omega T_i, T'_i, {}^\omega T'_i$$

for any  $M = L(\lambda, \sigma)$  or  ${}^\omega L(\lambda, \sigma)$ , with  $\lambda \in X^+$ . Just as last time, we restrict our attention to when  $\sigma \equiv 1$  and thus the representations in the category  $\text{Rep}_1(U_q(\mathfrak{g}(A)))$  of " $U_q(\mathfrak{g}(A))$ -modules of type 1" which are direct sums of  $L(\lambda, 1)$  or  ${}^\omega L(\lambda, 1)$  for  $\lambda \in X^+$ .

**Proposition:**

1.  $T_i, {}^\omega T_i, T'_i, {}^\omega T'_i$  is an invertible endomorphism for any  $M \in \text{Rep}_1^{\text{int}}(U_q(A))$ .
2.  ${}^\omega T_i(v) = (-q_i)^{-\langle \lambda, \alpha_i^v \rangle} T_i(v)$  and  ${}^\omega T'_i(v) = (-q_i)^{\langle \lambda, \alpha_i^v \rangle} T'_i(v)$ .

*Proof:* Restrict to  $U_{q_i}(\mathfrak{sl}_2)$  and use formulas we proved there.

**Remark:** By construction  $T_i$  maps  $M_\lambda$  to  $M_{s_{\alpha_i}(\lambda)}$ .

**Lemma:** If  $A$  is symmetrisable,  $M \in \text{Rep}_1^{\text{int}}(U_q(A))$  then

1.  $T_i(K_\mu v) = K_{s_{\alpha_i}(\mu)} T_i(v)$  for all  $v \in M$ ,
2.  $T_i(E_i(v)) = -F_i K_i T_i(v), T_i(F_i(v)) \dots$  (for formulas, take a look at the  $\mathfrak{sl}_2$  case),
3. (these are the *new* formulas)  $T_i(E_j v) = E_j T_i(v)$  if  $a_{i,j} = 0$  and  $T_i(F_j(v)) = F_j(T_i(v))$ .

*Proof:* Clear by the  $\mathfrak{sl}_2$  case, and the last one follows from the Serre relations ( $a_{i,j} = 0$  implies  $E_i$  and  $F_i$  commute with  $E_j$  and  $F_j$ ).

**Proposition:**  $M \in \text{Rep}_1^{\text{int}}(U_q(\mathfrak{g}(A)))$ ,  $A$  symmetrisable GCM. Then for every  $1 \leq i \neq j \leq n$  we have the formula

$$T_i(E_j v) = (\text{ad} E_i^{(r)}) E_j T_i(v)$$

where  $r = -\langle \alpha_j, \alpha_i^\vee \rangle$ .

**Formula intuition:** (We'll prove the formula later) We may *define*, for every Hopf algebra  $H$  we have the *adjoint representation* of  $H$  on  $H$  given by

$$\text{ad}(h)(x) := \sum h_{(1)} x S(h_{(2)})$$

with  $\Delta(h) = h_{(1)} \otimes h_{(2)}$  where  $S$  is the antipode.

**Examples (of adjoint representation of a Hopf algebra):** If  $H = U(\mathfrak{g})$  where  $\mathfrak{g}$  is a Lie algebra, then

$$\Delta(h) = 1 \otimes h + h \otimes 1, S(h) = -h$$

$$h \in \mathfrak{g}, x \in U(\mathfrak{g}) \implies \text{ad}(h)(x) = hx - xh.$$

If we instead take  $H = kG$  where  $G$  is a finite group, then

$$\text{ad}(g)(x) = gxg^{-1}$$

for every  $g \in G, x \in kG$ .

Lastly, let  $H = U_q(A)$  where  $A$  is a symmetrisable GCM, or if you like  $H = \widehat{U_q(A)}$ . We have the following formulas

$$\text{ad}(E_i)u = E_i u - K_i u K_i^{-1} E_i$$

$$\text{ad}(F_i)u = -u F_i K_i + F_i u K_i = (F_i u - u F_i) K_i$$

$$\text{ad}(K_i)u = K_i u K_i^{-1}$$

since

$$\Delta(E_i) = E_i \otimes 1 + K_i \otimes E_i$$

$$\Delta(F_i) = 1 \otimes F_i + F_i \otimes K_i^{-1}.$$

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**Proposition:** In the notations for last lecture,  $T_i(E_j v) = \text{ad}(E_i^{(r)})(E_j) T_i v$  where  $r = -\langle \alpha_j, \alpha_i^\vee \rangle$  and the adjoint representation is given by  $\text{ad}(E_i)(u) = E_i u - K_i u K_i^{-1} E_i$ .

*Proof:* Inductively, for all  $r \geq 0$  we have

$$\begin{aligned} \text{ad}(E_i^{(r)})u &= \sum_{s=0}^r q_i^{s(r-s)} \begin{bmatrix} r \\ s \end{bmatrix}_{q_i} E_i^{r-s} K_i^s u K_i^{-s} (-1)^s q_i^{s(s-1)} E_i^s \\ &= \sum_{s=0}^r (-1)^s q_i^{s(r-1)} \begin{bmatrix} r \\ s \end{bmatrix}_{q_i} E_i^{r-s} K_i^s u K_i^{-s} E_i^s. \end{aligned}$$

Now just use divided powers  $\text{ad}(E_i^{(k)}) = \sum_{s=0}^k (-1)^s q_i^{s(k-1-r)} E_i^{k-s} E_j E_i^{(s)}$ .

**Lemma:** If we set  $r = 1 - a_{i,j}$  then we have the formulas in  $\tilde{U}_q(\mathfrak{g}(A))$

$$\begin{aligned} \text{ad}(E_i^r)(E_j) &= S_{i,j}^+ \\ \text{ad}(F_i^r)(E_j K_j) &= S_{i,j}^- K_j K_i^r \end{aligned}$$

*Proof:*  $\text{ad}(E_i^r)(E_j) = \sum_{s=0}^r (-1)^s q_i^{s(r-s)} \begin{bmatrix} r \\ s \end{bmatrix}_{q_i} E_i^{r-s} K_i^s E_j K_i^{-s} E_i^s$ .  $\square$

**Remarks:** From this one can deduce  $\text{ad}(E_i^s)(E_j) = 0 = \text{ad}(F_i^s)(F_j)$  for  $s \geq r$  in  $U_q(\mathfrak{g}(A))$ . It follows (via some further calculations) that  $[F_k, S_{i,j}^\pm] = 0 = [E_k, S_{i,j}^\pm]$  for all  $k$ .

**Proposition:**  $T_i(F_j(v)) = \sum_{s=0}^r (-1)^s q_i^s F_i^{(s)} F_j F_i^{(r-s)} T_i(v)$ .

*Proof:* This follows from the following lemma:

**Lemma:** Assume for  $u, u' \in U_q(\mathfrak{g}(A))$  we have  $T_i(uv) = u' T_i(v)$  for any  $v \in M \in \text{Rep}_1^{\text{int}}(\mathfrak{g}(A))$ . Then

1.  ${}^\omega T_i(\omega(u)v) = \omega(u') {}^\omega T_i(v)$ ,
2.  $T_i(\omega(u)v) = q^{-\langle \mu, \alpha_i^\vee \rangle} \omega(u') T_i(v)$  for  $u \in U(\mathfrak{g}(A))$ .

*Proof:*

1. For every  $v \in {}^\omega$  we have  ${}^\omega T_i(\omega(u)v) = T_i(uv) = u' T_i(v) = \omega(u') T_i(v) = \omega(u') {}^\omega T_i(v)$ .
2.  $T_i(\omega(u)v) = (-q_i)^{\langle \lambda - \mu, \alpha_i^\vee \rangle} {}^\omega T_i(\omega(u)v) = (-q_i)^{\langle \lambda - \mu, \alpha_i^\vee \rangle} (-q_i)^{\langle \lambda, \alpha_i^\vee \rangle} \omega(u') {}^\omega T_i(v)$ .  $\square$

*Proof of proposition:*  $T_i(F_j v) = (-q_i)^r \omega((\text{ad}(E_i^{(r)})(E_j)) T_i(v))$   $\square$

**Theorem:** Let  $1 \leq i \leq n$  for all  $u \in U_q(\mathfrak{g}(A))$ . Then there exists  $u' \in U_q(\mathfrak{g}(A))$  such that  $T_i(uv) = u' T_i(v)$  for all  $v \in M \in \text{Rep}_1^{\text{int}}(\mathfrak{g}(A))$ . Furthermore,  $u \mapsto u'$  defines an algebra automorphism of  $U_q(\mathfrak{g}(A))$ .

*Proof:* As for  $U_{q_i}(\mathfrak{sl}_2)$ , using that for all  $u \in U_q(\mathfrak{g}(A))$  there exists  $v \in M \in \text{Rep}_1^{\text{int}}(U_q(\mathfrak{g}(A)))$  such that  $uv \neq 0$ .  $\square$

**Theorem:**

1. There are algebra homomorphisms

$$T_i : U_q(\mathfrak{g}(A)) \rightarrow U_q(\mathfrak{g}(A))$$

such that

$$\begin{aligned} T_i(E_i) &= -F_i K_i \\ T_i(F_i) &= -K_i^{-1} E_i \\ T_i(E_j) &= \sum_s (-1)^s q_i^{-s} E_i^{(r-s)} E_j E_i^{(s)} \\ T_i(F_j) &= \sum_s (-1)^s q_i^s F_i^{(s)} F_j F_i^{(r-s)} \\ T_i(K_\mu) &= K_{s_{\alpha_i}(\mu)} \end{aligned}$$

for all  $\mu \in \mathbb{Z}\alpha_1 \oplus \dots \oplus \mathbb{Z}\alpha_n$  where  $r = -\langle \alpha_j, \alpha_i^\vee \rangle$ .



2. These satisfy the braid relations:

$$T_i T_j T_i \dots = T_j T_i T_j \dots$$

where on each side we have  $m_{i,j} = \text{ord}(s_{\alpha_i} s_{\alpha_j})$ .

*Proof:*

1. :)
2. long direct calculation...  $\square$

**Example:**  $\langle \alpha_j, \alpha_i^\vee \rangle = -1$ .

We have  $T_i(E_j) = E_i E_j - q^{-1} E_j E_i$  and  $T_j(E_i) = E_j E_i - q^{-1} E_i E_j$  - these elements are called  $q^{-1}$ -commutators. Another check yields  $T_j T_i(E_j) = E_i$  and  $T_i T_j(E_i) = E_j$ .

Nice calculation :)  $T_i T_j T_i(E_j) = T_i(E_i) = -F_i K_i$  and  $T_j T_i T_j(E_j) = T_j T_i(-F_j K_j) = -F_i K_{s_{\alpha_j} s_{\alpha_i}(\alpha_j)}$  and  $s_{\alpha_j} s_{\alpha_i}(\alpha_j) = \alpha_i$ .

We now compare this Braid group action on  $\mathfrak{g} = \mathfrak{sl}_3(\mathbb{C})$ . Consider  $w_0 = s_{\alpha_1} s_{\alpha_2} s_{\alpha_1}$  the longest element in Weyl group and the roots

$$\{\alpha_1, s_{\alpha_1}(\alpha_2) = \alpha_1 + \alpha_2, s_{\alpha_1} s_{\alpha_2}(\alpha_1) = \alpha_2\}$$

which by the exercise sheet are precisely the positive roots of the associated root system. Consider the root space

$$\mathfrak{g}_{\alpha_1} = \mathbb{C} e_1$$

Thus

$$\begin{aligned} s_1^{\text{ad}}(e_2) &= \text{long calculation} = [e_1, e_2] \\ s_1^{\text{ad}} s_2^{\text{ad}} s_1^{\text{ad}}(e_2) &= -[e_1, e_2] \end{aligned}$$

**Observations/facts:**

- Let  $w \in \langle s_{\alpha_i}, s_{\alpha_j} \rangle$  with  $i \neq j$  and  $w(\alpha_j) > 0$ . Then  $T_w(E_i) \in U_q^{>0}(\mathfrak{g}(A))$  (like the previous example).
- If  $w(\alpha_i) = \alpha_j$  then  $T_w(E_i) = E_j$ .
- Consider  $\mathfrak{g} = \mathfrak{sl}_3$ :

$$T_1 T_2(E_1^c), T_1(E_2^b), E_1^a,$$

and

$$T_2 T_1(E_2^c), T_2(E_1^b), E_2^a$$

One can show these two triples span the same subspace in  $U_q(\mathfrak{sl}_3)$ . This holds in fact when we take  $w = s_{\alpha_{i_1}} \dots s_{\alpha_{i_r}}$  reduced expression. The subspace spanned by these is called  $U_q(A)[w_0]$  -  $w_0$  being the longest element in the Weyl group for ss Lie algebra.

- The space  $U_q(A)[w_0]$  is stable under right multiplication with  $E_i$ 's.

**Theorem:** Let  $A$  be a GCM,  $w_0 = s_{\alpha_1} \dots s_{\alpha_{i_r}}$  a chosen reduced expression. Then

$$T_{i_r} T_{i_{r-1}} \dots T_{i_2}(E_{i_1})$$

form a basis of  $U_q^{>0}(\mathfrak{g}(A))$ . This is called the PBW-basis.

**Example,  $\mathfrak{sl}_3$ :** Let  $E_1 = z_1, T_1(E_2) = z_2, T_1 T_2(T_1) = E_2$ . We have the formula

$$E_1 E_2 = q^{-1} E_2 E_1 + (E_1 E_2 - q^{-1} E_2 E_1)$$

$$z_1 z_3 = q z_3 z_1 + z_2$$

**Theorem:**  $A$  a CM, and let  $w_0 = s_{\alpha_{i_1}} \dots s_{\alpha_{i_r}}$  be a fixed reduced expression of the longest element, with corresponding PBW basis

$$Z_1 = E_{i_r}, Z_2 = T_{i_r}(E_{i_{r-1}}), \dots, Z_r = T_{i_r} T_{i_{r-1}} \dots T_{i_2}(E_{i_1})$$

then for  $i < j$  we have

$$Z_i Z_j = q^{\{\text{wt}(Z_i), \text{wt}(Z_j)\}} Z_j Z_i + \sum_{I(i,j)} c(b_{i+1}, \dots, b_{j-1}) Z_{i+1}^{-b_{i+1}} \dots Z_{j-1}^{b_{j-1}}$$

where  $I(i,j) = \{(b_{i+1}, \dots, b_{j-1}) \in \mathbb{Z}_{\geq 0}^{j-i-1} \mid \sum_{t=i+1}^{j-1} b_t \text{wt}(Z_t) = \text{wt}(Z_i) + \text{wt}(Z_j)\}$ .

**Application:** We have this nice result :)

**Theorem:** A CM,  $U_q^{>0}(A)$  is an iterated Ore extension.

**Lemma:** Let  $R$  be a ring,  $\beta : R \rightarrow R$  a ring endomorphism. For  $r \in R$  let  $\partial_r(x) = rx - \beta(x)r$ . Then  $\partial_r$  is a  $\beta$ -derivation.

*Proof of theorem:* Let  $Y_j$  be the subalgebra of  $U_q^{>0}(A)$  generated by  $Z_1, \dots, Z_j$  with  $Y_0 = k(q)$ . Our claim is that  $Y_j = Y_{j-1}[Z_j; \beta_j, \partial_j]$  where  $\beta_j : Y_{j-1} \rightarrow Y_{j-1}$  is given by

$$\beta_j(Z_i) = q^{\{\text{wt}(Z_i), \text{wt}(Z_j)\}} Z_i$$

for  $i < j$  and ... mensa time :( (actually more like :) )

## 12/1

### New topic: Crystals

**Setup:** Given a symmetrisable Kac-Moody Lie algebra  $\mathfrak{g}(A) = \mathfrak{g}$ ,  $M$  an integrable/finite-dimensional representation of  $\mathfrak{g}$  or  $U_q(\mathfrak{g}(A))$ . Question: is there a basis  $B$  of  $M$  such that

$$x \cdot v \in kB \cup \{0\}$$

for all  $v \in M$  and  $x = E_i, F_i, K_i^{\pm 1}$  (or  $x = e_i, f_i, \alpha_i^\vee$  in the non-quantised version).

**Observations:** We start off by looking at  $\mathfrak{sl}_2$  (as usual): let  $M$  be a finite-dimensional irreducible representation, then as we saw in the beginning of the course, such a basis exists (regardless of whether  $q$  is or isn't a root of unity). Since all representations for  $\mathfrak{sl}_2$  are completely reducible, such a basis always exists.

**Question:** Can we construct such a *simultaneous* basis for all  $\mathfrak{sl}_2$  triples in a KM Lie algebra  $\{e_i, f_i, \alpha_i^\vee\}$  resp.  $\{E_i, F_i, K_i^{\pm 1}\}$ ? In the classical situation, all representations of semisimple Lie algebras admit such a basis (check Humphrey's book) - these are called *Chevalley bases*.

In the quantum case, we can also construct such a basis for the very particular case of the natural representation of  $U_q(\mathfrak{sl}_n)$ .

Unfortunately this **does not work for a general KM Lie algebra, in the quantum case**.

**Remark (Kashiwara):** Such a basis does exist when " $q \rightarrow 0$ " - this is called the "*crystal limit*"; these bases are called *crystal bases*.

### Goals for the rest of course:

- Properly define " $q \rightarrow 0$ ".
- Construction of crystal bases for irreducible representations in the case for which  $\mathfrak{g}$  is semisimple. This is based on a big induction called the *Grand loop argument*.
- Define the related *canonical basis* (Lusztig).

Recall the theorem:

**Theorem:** Every finite dimensional  $U_q(\mathfrak{g})$ -module is completely reducible.

A quick lemma first:

**Lemma:** If  $\lambda$  is a dominant integrable weight, consider the module  $L(\lambda)^*$ . We have an isomorphism  $L(\lambda)^* \cong L(-w_0(\lambda))$  where  $w_0$  is the longest element in the Weyl group (for  $U(\mathfrak{g})$  or  $U_q(\mathfrak{g})$ ) (Recall that for any  $U_q(\mathfrak{g})$ -module  $M$  we have the dual  $U_q(\mathfrak{g})$ -module  $M^*$  since  $U_q(\mathfrak{g})$  is a Hopf algebra).

*Proof:*  $L(\lambda)$  is finite dimensional and irreducible, thus  $L(\lambda)^*$  is finite-dimensional and irreducible; its highest weight (in the classical case at least) is the negative of the lowest weight in  $L(\lambda)$ . Let  $\nu$  be this lowest weight:  $\nu \leq \mu$  for all  $\mu \in P(L(\lambda)) = \{\text{weights in } L(\lambda)\}$ . Thus  $w_0(\nu) \geq w_0(\mu)$  for all  $\mu \in P(L(\lambda))$ . By the braid group action we have that  $P(L(\lambda))$  is stable under the action of  $w_0$ . It follows that  $w_0(\nu)$  is the highest weight in  $P(L(\lambda))$ , hence  $w_0(\nu) = \lambda$ .  $\square$

*Proof (of theorem):* It's enough to show that any short exact sequence (ses)  $L(\lambda) \hookrightarrow M \twoheadrightarrow L(\mu)$  splits, where  $\lambda, \mu$  are dominant integral weights.

(ses) induces for every  $\nu$  integral weight a short exact sequence of vector spaces  $L(\lambda) \rightarrow M_\nu \rightarrow L(\mu)_\nu$ .

Let  $v \in L(\mu)_\mu$  and  $v$  a preimage under  $f$  (where  $f : M \rightarrow L(\mu)$ ). Our claim is if  $E_i v = 0$  for all  $i$ , then the sequence splits (we'll show this in a sec). Under this hypothesis we have a surjection  $M(\mu) \rightarrow U_q(\mathfrak{g})v$ . Since  $U_q(\mathfrak{g})v$  is finite dimensional, this surjection factors through yielding an isomorphism  $L(\mu) \xrightarrow{\cong} U_q(\mathfrak{g})v$  (recall that  $L(\mu)$  is the quotient of  $M(\mu)$  by all its submodules of the form  $M(\lambda)$  where  $\lambda$  is not dominant integral).

We thus have  $f(U_q(\mathfrak{g})v) = U_q(\mathfrak{g})f(v) = U_q(\mathfrak{g})v_\mu \cong L(\mu)$  which implies  $U_q(\mathfrak{g})v \cap \ker f = \{0\}$  by exactness of the considered sequence. Thus  $M = U_q(\mathfrak{g})v \oplus \ker(f)$  which yields our claim.

We now have to show that  $E_i v = 0$  for all  $i$ .

*Case 1:* If  $\mu \geq \lambda$  then the claim follows simple by the fact that  $\mu$  must be a maximal weight in  $M$ .

*Case 2:* If  $\mu \leq \lambda$  then we may dualise (ses) to obtain the dual short exact sequence (ses\*)

$$L(\mu)^* \cong L(-w_0(\mu)) \hookrightarrow M^* \twoheadrightarrow L(\lambda)^* \cong L(-w_0(\lambda))$$

which shows by case 1  $M^* \cong L(\mu)^* \oplus L(\lambda)^*$  hence  $(M^*)^* \cong (L(\mu)^*)^* \oplus (L(\lambda)^*)^*$ . Now we have an isomorphism (**not valid for all Hopf algebras**)  $\Phi : N \rightarrow (N^*)^*$  for any finite-dimensional  $\mathfrak{g}$ -module where  $\phi(n)(f) = f(K_{2\rho})^{-1}n$  and  $2\rho = \sum_{\alpha \geq 0} \alpha$ .  $\square$

**Remark:** One can consider irreducible finite dimensional representations.

Let  $M$  be a finite-dimensional  $U_q(\mathfrak{g}) = U_q$ -module, and we can consider it as a  $U_{q_i}(\mathfrak{sl}_2)$ -module by restriction of scalars.

We have a decomposition  $M \cong L_1 \oplus \dots \oplus L_r$  where  $L_j \cong L(a_s)$  is irreducible as a  $U_{q_i}(\mathfrak{sl}_2)$ -modules,  $a_s \in X^+ = \mathbb{N}_{\geq 0}$ .

If we pick  $m(s)$  a highest weight vector for  $L(a_s)$ , we have a basis for  $M$

$$F_i^{(j)} m(s) \quad 1 \leq s \leq r \quad 0 \leq j \leq a_s.$$

If  $x \in M$  is a weight vector of weight  $l$ , we can express  $x$  as

$$x = \sum_{j \geq 0} \sum_{s, a_s - 2j = l} b_s F_i^{(j)} m(s)$$

for some  $b_s \in k$ . We can also group terms as:

$$x = \sum_{j \geq 0, j \geq -l} F_i^{(j)} x_j$$

where  $x_j := \sum_{s, a_s - 2j = l} b_s m(s)$  (this way of expressing weight vectors is **crucial**).

Note, we need  $j \geq -l$ : if  $l$  is non-negative then in the above sums we have no extra constraint of course ( $j \geq -l$  is automatically implied by  $j \geq 0$ ), and if  $l$  is non-positive then... I didn't get this part (POST: check proof for following lemma)

We have a few easy facts about these summands:

**Lemma:**

1.  $E_i x_j = 0$  for all  $j$ ,
2.  $x_j$  has weight  $l + 2j$ ,
3. if  $x = \sum_{j \geq 0, j \geq -l} F_i^{(j)} x'_j$  with  $x'_j$  satisfying parts 1 and 2 of this lemma, then  $x_j = x'_j$ .

*Proof:* Pick  $j \geq 0, -l$ . Part 1 is pretty clear, and for part 2 note that  $m(s)$  has weight  $a_s = l + 2j$  by definition of  $x_j$ . As for part 3, define the  $U_{q_i}(\mathfrak{sl}_2)$ -module  $N = U_{q_i}(\mathfrak{sl}_2)x'_j$ ;  $N$  is either irreducible or zero of highest weight  $l + 2j$ . If it's non-zero then  $N \cong L(l + 2j)$  and  $F_i^{(j)} x'_j$  is the projection onto the isotopic component for  $L(l + 2j)$  of  $x$ .

Now since  $j \geq -l$  we have  $-j \geq l$  and thus  $j \leq l + 2j \implies F_i^{(j)}$  acts injectively on  $L(l + 2j)_{l+2j}$  so  $F_i^{(j)} x'_j = F_i^{(j)} x_j \implies x'_j = x_j$ .  $\square$

**Definition (Kashiwara operators):** Given  $M$  as above, define  $\widetilde{E}_i, \widetilde{F}_i : M \rightarrow M$  as follows. Given  $x \in M$  and its decomposition into  $x_j$ 's as above, we define

$$\begin{aligned} \widetilde{F}_i x &:= \sum_{j \geq 0, j \geq -l} F_i^{(j+1)} x_j \\ \widetilde{E}_i x &:= \sum_{j \geq 0, j \geq -l} F_i^{(j-1)} x_j \end{aligned}$$

A few easy properties:

**Lemma:** Let  $x \in M_\lambda$  written as before; then

1.  $\widetilde{F}_i \widetilde{E}_i x = x - x_0$ ,
2.  $\widetilde{E}_i \widetilde{F}_i x = x - F_i^{(r)} x_r$ ,
3.  $\widetilde{F}_i M = F_i M$ ,
4. if  $M' \subseteq M$  is a submodule, then  $M'$  is  $\widetilde{F}_i$  and  $\widetilde{E}_i$ -invariant,
5. if  $\phi : M \rightarrow N$  is a  $U_q$ -homomorphism then  $\phi$  commutes with  $\widetilde{E}_i$  and  $\widetilde{F}_i$  for all  $i$ .

*Proof:* Parts one and two are clear, and for part three simply note

$$\widetilde{F}_i x = F_i \left( \sum_j [j+1]_{q_i}^{-1} F_i^{(j)} x_j \right) \in F_i M$$

$$F_i x = \widetilde{F}_i \left( \sum_j [j+1]_{q_i} F_i^{(j)} x_j \right) \in \widetilde{F}_i M.$$

Part four follows from part 5 by taking the inclusion homomorphism, and for part 5 let  $x$  be a weight vector in  $M$ . We have

$$\phi(x) = \phi \left( \sum_j F_i^{(j)} x_j \right) = \sum_j F_i^{(j)} \phi(x_j)$$

$$\phi(\widetilde{F}_i x) = \sum_j F_i^{(j+1)} \phi(x_j)$$

so it's now enough to show

$$\phi(x_j) = \phi(x)_j$$

and for this we can use the previous lemma on the characterisation of the  $j$ -th components constructed:

- $E_i \phi(x_j) = \phi(E_i x_j) = 0$  for all  $j$  and
- $\phi(x_j)$  has the same weight as  $x_j$ .

Since  $\phi(x) = \sum_j F_i^{(j)} \phi(x)_j$  and  $\phi(x) = \sum_j F_i^{(j)} \phi(x_j)$  we have  $\phi(x_j) = \phi(x)_j$ .  $\square$

**Proposition:** Every finite-dimensional  $U_q(\mathfrak{sl}_2)$ -module of type 1 has a basis  $\{v_s\}_{s \in I}$  such that  $\widetilde{F} v_s = v_t$  for some  $t$  or  $\widetilde{F} v_s = 0$ . Similarly for  $\widetilde{E}$ .

*Proof:* Assuming  $M$  is an irreducible finite-dimensional  $U_q(\mathfrak{sl}_2)$ -module, such a basis exists because of the theory we developed at the beginning of the course.  $\square$

**Idea for " $q \rightarrow 0$ ":** Define the ground field  $\mathbb{Q}(q) = k$ . We have an intermediate ring:  $\mathbb{Q}(q) \supseteq A \supseteq \mathbb{Q}[q]$  where  $A = \{ \frac{f}{g} \mid g(0) \neq 0 \}$ . Recall that  $A$  is a DVR with residue field  $\mathbb{Q}$ . If  $M$  is any  $U_q$ -module, then (essentially by definition) it's a  $k$ -vector space and can be restricted to an  $A$ -module. Next time we define what lattices in  $M$  are :)

## 14/1

**Definition:**  $M$  a finite dimensional  $U_q$ -module; an *admissible lattice*  $\mathcal{L}$  in  $M$  is an  $A := \mathbb{Q}[q]_{(q)}$ -submodule which is finitely generated over  $A$  such that

- (L1) it generates  $M$  over  $k = \mathbb{Q}(q)$  (i.e.  $k \otimes_{\mathbb{Q}} \mathcal{L} \xrightarrow{\text{"mult"}} M$  is surjective)
- (L2)  $\mathcal{L} = \bigoplus_{\lambda \in X} \mathcal{L}_\lambda$  where  $X$  is the set of integral weights and  $\mathcal{L}_\lambda := \mathcal{L} \cap M_\lambda$  and
- (L3) is stable under the action of the Kashiwara operators  $\widetilde{F}_i$  and  $\widetilde{E}_i$  for all  $i$ .

**Remarks:** From the first property it follows that  $k \otimes_A \mathcal{L} \xrightarrow{\text{"mult"}} M$  is actually an isomorphism; indeed,  $\frac{f}{g} \otimes x = \frac{1}{g} \otimes f x$  is mapped to zero if and only if  $g^{-1} f x = 0$  if and only if  $f x = 0$  if and only if  $x = 0$  since  $M$  is a torsion-free  $\widehat{A}$ -module. From the second property it also follows that  $\mathcal{L}$  is a *free*  $A$ -module.

And from the last one since  $\mathcal{L}$  is stable under  $\widetilde{E}_i$  and  $\widetilde{F}_i$  we have that the Kashiwara operators induce maps

$$\widetilde{E}_i, \widetilde{F}_i : \mathcal{L}/q\mathcal{L} \rightarrow \mathcal{L}/q\mathcal{L}.$$

**Definition:** Let  $M$  be a finite dimensional  $U_q$ -module. A *crystal basis* of  $M$  is a pair  $(\mathcal{L}, B)$  where  $\mathcal{L}$  is an admissible lattice in  $M$  and  $B$  is basis of  $\mathcal{L}/q\mathcal{L}$  as a  $\mathbb{Q}$ -vector space satisfying:

$$(B1) \quad B = \bigsqcup_{\lambda} B_{\lambda} \text{ with } B_{\lambda} := B \cap \mathcal{L}_{\lambda}/q\mathcal{L}_{\lambda},$$

$$(B2) \quad \tilde{F}_i B \subseteq B \cup \{0\}, \tilde{E}_i B \subseteq B \cup \{0\},$$

$$(B3) \quad \text{for all basis elements } b, b' \in B \text{ we have } b' = \tilde{F}_i b \text{ if and only if } \tilde{E}_i b' = b.$$

**Remark:** All this works exactly in the same way for integral representations of  $U_q(\mathfrak{g}(A))$  where  $A$  is symmetrisable.

**Example:** Let  $M$  be a finite dimensional  $U_q(\mathfrak{sl}_2)$ -module (e.g.  $M$  the 4-dimensional representation of type 1). We take

$$\begin{aligned} v_0 &\in M \\ v_1 &= Fv_0 = F^{(1)}v_0 = \tilde{F}v_0 \\ v_2 &= \tilde{F}v_1 = F^{(2)}v_0 \\ v_3 &= \tilde{F}v_2 = F^{(3)}v_0 \end{aligned}$$

If we define  $\mathcal{L} = A$ -submodule generated by  $v_0, v_1, v_2, v_3$  and  $B = (v_0, v_1, v_2, v_3) \subseteq \mathcal{L}/q\mathcal{L}$  we get a crystal basis.

**Definition:** Given a crystal basis  $(\mathcal{L}, B)$  of  $M$  we may assign to it a graph, called the *crystal graph* of  $(\mathcal{L}, B)$ , defined as the oriented coloured graph, where the colours are  $\{1, \dots, n\}$ , the vertices are elements in  $B$  and  $b \xrightarrow{i} b'$  if and only if  $b' = \tilde{F}_i b$ .

**Problem:** Uniqueness of crystal basis? Existence?

**Proposition:** Let  $M_1, M_2$  be finite-dimensional  $U_q$ -modules with (arbitrary)  $A$ -submodules  $\mathcal{L}_i \subseteq M_i$  then

1.  $\mathcal{L}_1 \otimes \mathcal{L}_2$  is an admissible lattice for the  $U_q$ -module  $M_1 \otimes M_2$  if and only if  $\mathcal{L}_1$  and  $\mathcal{L}_2$  are admissible lattices in  $M_1$  and  $M_2$  respectively.
2.  $(\mathcal{L}_1 \otimes \mathcal{L}_2, B_1 \times \{0\} \cup \{0\} \times B_2)$  is a crystal basis of  $M_1 \oplus M_2$  if and only if  $(\mathcal{L}_1, B_1)$  and  $(\mathcal{L}_2, B_2)$  are crystal bases for  $M_1$  and  $M_2$  respectively.

**Remark/construction:** Let  $M = L(\lambda)$  with  $\lambda \in X^+$ ; we want to construct a good candidate for a crystal basis for  $M$ : pick a vector  $0 \neq v_{\lambda} \in L(\lambda)_{\lambda}$  and define  $\mathcal{L} := A$ -submodule of  $M$  generated by all  $\tilde{F}_{i_r} \dots \tilde{F}_{i_2} \tilde{F}_{i_1} v_{\lambda}$  for all tuples of indices  $(i_{r_1}, \dots, i_{r_n})$  where  $1 \leq i_j \leq n$  and  $n$  is the size of the cartan matrix.

Is  $\mathcal{L}$  an admissible lattice? It is surely finitely generated by definition, since only finitely many of the  $\tilde{F}_{i_r} \dots \tilde{F}_{i_1} v_{\lambda}$  are non-zero, and they generated  $L(\lambda)$  over  $\mathbb{Q}(q) = k$ ; indeed, we have a surjection  $M(\lambda) \twoheadrightarrow L(\lambda)$ ,  $M(\lambda)$  is spanned by the  $F_{i_r} \dots F_{i_2} \tilde{F}_{i_1} 1 \otimes 1$  and we have  $\tilde{F}_i N = F_i N$  for all  $U_q$ -modules  $N$ .

We also have  $v_{\lambda} \in \mathcal{L} \cap M_{\lambda} = \mathcal{L}_{\lambda}$  and  $\tilde{F}_i v_{\lambda} \in \mathcal{L} \cap M_{\lambda - \alpha_i}$  which implies  $\mathcal{L} = \bigoplus_{\lambda} \mathcal{L}_{\lambda}$ .

The first part of the third property is clear, since  $\mathcal{L}$  is evidently stable under  $\mathcal{F}_i$ ; **what is not clear (and this will require some work) is that  $\tilde{E}_i \mathcal{L} \subseteq \mathcal{L}$ .** We'll accomplish this in a while.

Assuming this last part, is  $(\mathcal{L}, B)$  a crystal basis?

$B$  spans  $\mathcal{L}/q\mathcal{L}$  over  $\mathbb{Q}$  evidently,  $B = \bigsqcup_{\lambda} B_{\lambda}$  where  $B_{\lambda} = B \cap \mathcal{L}_{\lambda}/q\mathcal{L}_{\lambda}$  and lastly  $\tilde{F}_i B \subseteq B \cup \{0\}$  by definition. **However, it requires some effort to argue why  $\tilde{E}_i B \subseteq B \cup \{0\}$ .**

**Remark:** The proposed crystal basis depends on the choice of  $v_{\lambda}$ , but the crystal graph doesn't!

We call the proposed crystal basis  $(\mathcal{L}(\lambda), B(\lambda))$  - which we remark once more *depends on the choice of  $v_{\lambda}$ .*

**Lemma:**

1. If  $\mathfrak{g} = \mathfrak{sl}_2$  then  $(\mathcal{L}(\lambda), B(\lambda))$  is a crystal basis,
2. If  $\lambda = 0$  then  $(\mathcal{L}(\lambda), B(\lambda))$  is a crystal basis (for any semisimple lie algebra  $\mathfrak{g}$ ),
3. The same is true in case  $\lambda$  is a *miniscule dominant weight* (namely  $\langle \lambda, \alpha^{\vee} \rangle \in \{0, 1\}$  for all positive roots  $\alpha$ ).
4. The same is further true in case  $\lambda$  is a maximal short root in a component of  $\mathfrak{g}$ .

*Proof:*

1. In this case we can just appeal to the calculations in the previous example,
2. trivial :) ( $\dim L(0) = 1$ )
3. Exercise :(
4. Maybe exercise :/  $\square$

**Theorem (uniqueness of crystals):** Let  $\lambda \in X^+$  be a dominant integral weight and  $(\mathcal{L}(\lambda), B(\lambda))$  the crystal basis of  $L(\lambda)$ . Let  $(\mathcal{L}, B)$  be another crystal basis for  $L(\lambda)$ . Then there exists a non-zero scalar  $a \in k$  such that  $\mathcal{L} = a\mathcal{L}(\lambda)$  and  $\mathcal{L}(\lambda)/q\mathcal{L}(\lambda) \rightarrow \mathcal{L}/q\mathcal{L}$  sends  $B(\lambda)$  bijectively to  $B$ .

First a few lemmas.

**Corollary:** The crystal graph for  $L(\lambda)$  is uniquely determined.

**Lemma:**  $M$  a finite dimensional  $U_q$ -module,  $x \in M_\lambda$  and  $\alpha = \alpha_i$  a simply root.

We express like last time  $x = \sum_{j \geq 0, j \geq \langle \lambda, \alpha^\vee \rangle} F_i^{(j)} x_j$ . Let  $\mathcal{L} \subseteq M$  be an admissible lattice. Then

1.  $x \in \mathcal{L} \implies x_j \in \mathcal{L}$  for all  $j$ ,
2.  $\tilde{E}_i x \in q\mathcal{L} \implies x_j \in q\mathcal{L}$  for all  $j \geq 1$ .

*Proof:* Note that we have, by last time's lemma,  $\tilde{E}_i x = \sum_{j \geq 1, j \geq \langle \lambda, \alpha_i^\vee \rangle} F_i^{(j-1)} x_j$  with  $\tilde{E}_i x_j = 0$  and  $j \geq \langle \lambda, \alpha_i, \alpha_i^\vee \rangle = -\langle \lambda, \alpha_i^\vee \rangle - 2$  where  $\langle \lambda + \alpha_i \rangle$  is the weight of  $\tilde{E}_i x$  so this expression is the  $x$ -decomposition for the vector  $\tilde{E}_i x$ .

1. We have  $x_0 = x - \tilde{F}_i \tilde{E}_i x \in \mathcal{L}$  and by induction of weights using the above expression we have  $x_j \in \mathcal{L}$  for  $j \geq 1$ .
2. Since  $\mathcal{L}$  is an admissible lattice we have that  $q\mathcal{L}$  is also an admissible lattice, and then apply the previous part of this lemma to get the claim.  $\square$

**Lemma:** Let  $M$  be a finite-dimensional  $U_q$ -module,  $(\mathcal{L}, B)$  a crystal basis. For any subset  $S \subseteq \mathcal{L}/q\mathcal{L}$  define  $HW(S) := \{x \in S \mid \tilde{E}_i x = 0 \text{ for all } i\}$  the set of highest weight elements in  $S$ .

Then

1. for all  $b \in B$  there exists a  $b' \in HW(B)$  such that  $b = \tilde{F}_{i_r} \dots \tilde{F}_{i_1} b'$  for some  $1 \leq i_j \leq n$ .
2.  $HW(\mathcal{L}_\lambda/q\mathcal{L}_\lambda)$  is the  $\mathbb{Q}$ -span of  $HW(B_\lambda)$  for all  $\lambda$ .
3.  $HW(B_\mu) = \emptyset$  if  $\mu \notin X^+$ .

*Proof:*

1. If the weight is maximal then  $b \in HW(B)$ , so define  $b' = b$  and  $r = 0$ . Otherwise pick  $i$  such that  $\tilde{E}_i b \neq 0$ , and let  $b_1 = \tilde{E}_i b$ . By our induction hypothesis we have  $b_1 = \tilde{F}_{i_r} \dots \tilde{F}_{i_1} b'$  for some  $b' \in HW(B)$  thus  $b = \tilde{F}_i \tilde{F}_{i_r} \dots \tilde{F}_{i_1} b'$  since  $b = \tilde{F}_i \tilde{E}_i b = \tilde{F}_i b'$  (by (B2) and (B3)).
2. The relation " $\supseteq$ " is clear. For the converse, let  $x \in \mathcal{L}_\lambda/q\mathcal{L}_\lambda$  and write  $x = \sum_{b \in B_\lambda} \gamma_b b$  for coefficients  $\gamma_b \in \mathbb{Q}$  (by definition of crystal bases). Then  $\tilde{E}_i x = \sum_{b \in B_\lambda} \gamma_b \tilde{E}_i b$ . If  $\tilde{E}_i x = 0$  then  $\gamma_b = 0$  for all  $b$  such that  $\tilde{E}_i b \neq 0$  ( $\tilde{E}_i b \neq 0 \implies \tilde{E}_i b \in B$ ). This implies  $\gamma_b = 0$  for all  $b \notin HW(B_\lambda)$ . Thus  $x$  is a linear combination of highest weight vectors, i.e.  $x \in \text{span}_{\mathbb{Q}}(HW(B_\lambda))$ .
3. Let  $b \in \mathcal{L}/q\mathcal{L}$  be a non-zero vector and suppose  $\tilde{E}_i b = 0$ ; to show:  $\langle \lambda, \alpha_i^\vee \rangle \geq 0$  (this implies our claim directly). If  $\langle \lambda, \alpha_i^\vee \rangle < 0$  then express  $b = \sum_{j \geq 0, j \geq -\langle \lambda, \alpha_i^\vee \rangle} F_i^{(j)} b_j$  where by assumption  $\langle \lambda, \alpha_i^\vee \rangle \geq 1$ . Take now  $b \in HW(B_\mu)$ . Since we have  $\tilde{E}_j b \in q\mathcal{L}$  for all  $j$  (by assumption) and no  $b_j$  occurs in the expression for  $b \implies b_j \in q\mathcal{L}$  for all  $j \implies b \in q\mathcal{L}$  which contradicts  $b \neq 0$  hence  $HW(B_\mu) \neq \emptyset \implies \mu \in X^+$ .

**Lemma:** In the same setup as the statement of the above theorem, we have

1.  $HW(\mathcal{L}(\lambda)_\lambda/q\mathcal{L}(\lambda)_\lambda) = \mathbb{Q}v_\lambda$ ,
2. if  $\mu \neq \lambda$  then  $\{x \in L(\lambda)_\mu \mid \tilde{E}_i x \in \mathcal{L}(\lambda) \text{ for all } i\} = L(\lambda)_\mu$ .

**Lemma (lattice determined by highest weight):** Let  $\mathcal{L} \subseteq L(\lambda)$  be an  $A$ -submodule ( $\lambda \in X^+$  dominant integral) and  $\mathcal{L} = \bigoplus_{\mu} \mathcal{L}_{\mu}$  where  $\mathcal{L}_{\mu} = \mathcal{L} \cap (L(\lambda))_{\mu}$ ; and assume  $\mathcal{L}_{\lambda} = Av_{\lambda}$ .

1.  $\tilde{F}_i \mathcal{L} \subseteq \mathcal{L}$  for all  $i$  implies  $\mathcal{L}(\lambda) \subseteq \mathcal{L}$ .
2.  $\tilde{E}_i \mathcal{L} \subseteq \mathcal{L}$  for all  $i$  implies  $\mathcal{L} \subseteq \mathcal{L}(\lambda)$ .

## 19/1

I like chips. Last time we took a look at the lemmas:

**Lemma:** Let  $M$  be the finite-dimensional  $U_q(\mathfrak{g})$ -module  $L(\lambda)$ ; assume  $(\mathcal{L}(\lambda), B(\lambda))$  is a crystal basis for  $M$ . Then:

1.  $HW(B(\lambda)) = \{v_{\lambda}\}$ ,
2.  $\{x \in L(\lambda)_{\mu} \mid \tilde{E}_i x \in \mathcal{L}(\lambda) \forall i\} = \mathcal{L}(\lambda)_{\mu}$  for all  $\mu \neq \lambda$ .

*Proof:*

1. The relation " $\supseteq$ " is clear. For the converse, suppose  $0 \neq b \in HW(B(\lambda))$  and we can express  $b = \tilde{F}_{i_r} \dots \tilde{F}_{i_1} v_{\lambda}$ . If  $r > 0$  then we can set  $b' = \tilde{F}_{i_{r-1}} \dots \tilde{F}_{i_1} v_{\lambda}$ . We have  $0 \neq b = \tilde{F}_{i_r} b'$  and thus by the defining property of crystal bases we have  $\tilde{E}_{i_r} b = b' \neq 0$  which contradicts  $b \in HW(B(\lambda)) \implies r = 0$ .
2. As before, the relation " $\supseteq$ " is clear; and for the converse suppose  $0 \neq x \in L(\lambda)_{\mu}$  with  $\tilde{E}_i x \in \mathcal{L}(\lambda)_{\mu}$ . Since  $\mathcal{L}(\lambda)_{\mu}$  spans  $L(\lambda)_{\mu}$  over  $k = \mathbb{Q}(q)$  it follows that there exists  $r$  such that  $q^r x \in \mathcal{L}(\lambda)$  (by definition of localisation). If we pick  $r$  to be minimal, then  $r > 0 \implies \tilde{E}_i(q^r x) = q^r \tilde{E}_i(x) \in q\mathcal{L}(\lambda)$  for all  $i$  thus  $\tilde{E}_i(\overline{q^r x}) = 0 \in \mathcal{L}(\lambda)/q\mathcal{L}(\lambda)$ . By last time's lemma it follows that  $\overline{q^r x} = 0$  or  $q^r x \in HW(L(\lambda)_{\mu})$ . Since we are assuming  $(\mathcal{L}(\lambda), B(\lambda))$  is a crystal basis we get that  $HW(\mathcal{L}(\lambda)_{\mu}) = \emptyset$  thus necessarily  $q^r x = 0$  which contradicts  $r > 0$ .  $\square$

**Lemma (Admissible lattices are determined by their highest weights):** Assume  $\mathcal{L}$  is an  $A$ -submodule of  $L(\lambda)$  where  $\lambda \in X^+$ .

Assume  $\mathcal{L}_{\lambda} = Av_{\lambda}$ . Then

1.  $\tilde{F}_i \mathcal{L} \subseteq \mathcal{L}$  for all  $i \implies \mathcal{L}(\lambda) \subseteq \mathcal{L}$ .
2.  $\tilde{E}_i \mathcal{L} \subseteq \mathcal{L}$  for all  $i \implies \mathcal{L} \subseteq \mathcal{L}(\lambda)$ .

*Proof:*  $L(\lambda) = Av_{\lambda} = L_{\lambda}$  by construction of  $\mathcal{L}(\lambda)$  so:

1. Clear by the definition of  $\mathcal{L}(\lambda)$ .
2. We argue by induction on the partial order of the weights.  $x \in \mathcal{L}_{\mu} \implies \tilde{E}_i x \in \mathcal{L}_{\mu+\alpha_i}$  and by induction we have  $\mathcal{L}(\lambda)_{\mu+\alpha_i}$  for all  $i$ . Thus by the previous lemma we have that  $x \in \mathcal{L}(\lambda)_{\mu}$ .  $\square$

Now we have a result which - in the prof's words - is a *bit strange*.

**Theorem:** *If (!)  $L(\lambda)$  has a crystal basis, then  $(\mathcal{L}(\lambda), B(\lambda))$  is a crystal basis.*

*Proof:* We have the following - slightly more general - claim

Let  $M \cong \mathcal{L}(\lambda)^{\oplus r}$  for  $r \geq 0$  and suppose  $(\mathcal{L}, B)$  is a crystal basis for  $M$ . If  $HW(B) = B_{\lambda}$  then there exists some  $U_q$ -homomorphism  $\phi_j : L(\lambda) \rightarrow M$  for  $1 \leq j \leq r$  such that

- $M = \bigoplus_{j=1}^r \phi_j(L(\lambda))$ ,
- $\mathcal{L} = \bigoplus_{j=1}^r \phi_j(\mathcal{L}(\lambda))$ ,
- $B = \bigsqcup_{j=1}^r \overline{\phi_j(B(\lambda))}$ .

Assuming the claim we have that by the previous lemma each  $(\phi_j(\mathcal{L}(\lambda), \overline{\phi_j(B(\lambda))}))$  is a crystal basis of  $\text{im } \phi_j$  for all  $j = 1, \dots, r$ .

Now since  $\phi_j : L(\lambda) \rightarrow \text{im } \phi_j$  is an isomorphism of  $U_q$ -modules we get that  $(\mathcal{L}(\lambda), B(\lambda))$  is a crystal basis of  $L(\lambda)$ .

Sketch of proof of claim (Jantzen 9.9)

1.  $r = \dim M_\lambda = (B_\lambda)$  and let  $B_\lambda = \{b_1, \dots, b_r\}$ . Pick lifts  $v_1, \dots, v_r \in M_\lambda$ . By the Nakayama lemma (applied to the ring  $\mathbb{Q}[q]_{(q)}$ ) we have that  $v_1, \dots, v_r$  are a basis of  $M_\lambda$ . These define  $U_q$ -homomorphisms  $L(\lambda) \rightarrow U_q v_j$  for  $1 \leq j \leq r$  mapping  $v_\lambda$  to  $v_j$  for each  $j$ .
2. evidently we have  $\phi_j(\mathcal{L}(\lambda)) \subseteq \mathcal{L}$  and induction of the weights shows equality.
3. " $\subseteq$ ": Let  $b \in B_\mu$ . We have the following *claim*:  $b \in \bigcup_{1 \leq j \leq r} \overline{\phi_j}(B(\lambda))$ . For  $\mu = \lambda$  this is clear, so we can suppose  $\mu < \lambda$ .  
We can express  $b = \tilde{F}_i \tilde{E}_i b$  at least one  $i$  since  $(\mathcal{L}, B)$  is a crystal basis, so  $\tilde{E}_i b \in \bigcup_{1 \leq j \leq r} \overline{\phi_j}(B(\lambda))$  by induction and so applying  $\tilde{F}_i$  yields  $b = \tilde{F}_i \tilde{E}_i b \in \tilde{F}_i(\bigcup_{1 \leq j \leq r} \overline{\phi_j}(B(\lambda))) \subseteq \bigcup_{1 \leq j \leq r} \overline{\phi_j}(B(\lambda))$  by definition of  $B(\lambda)$  and  $\tilde{F}_i$ 's action on  $B(\lambda)$ .
- " $\supseteq$ ": Let  $x \in \bigcup_{1 \leq j \leq r} \overline{\phi_j}(B(\lambda)_\mu)$ . Just like before we have that if  $\lambda = \mu$  then evidently  $x \in B$  by definition; and if  $\mu < \lambda$ , so there exists  $i$  such that  $y \in B(\lambda)_{\mu+\alpha_i} \implies \tilde{F}_i y = x$  and by induction we have  $\overline{\phi_j}(y) \in B \implies \tilde{F}_i(\overline{\phi_j}(y)) = \overline{\phi_j}(\tilde{F}_i(y)) = \overline{\phi_j}(x) \in B$ .  $\square$

The following proposition has a similar proof kinda.

**Proposition:** Let  $M$  be a finite dimensional  $U_q$ -module,  $\lambda$  a maximal weight and  $(\mathcal{L}, B)$  a crystal basis of  $M$ . If  $M = N_1 \oplus N_2$  with  $N_i$  isotypical component of  $L(\lambda)$  and set  $\mathcal{L}_i := \mathcal{L} \cap N_i, B_i = B \cap \mathcal{L}_i/q\mathcal{L}_i$ . Then

1.  $\mathcal{L} = \mathcal{L}_1 \oplus \mathcal{L}_2$  and  $B = B_1 \cup B_2$ ,
2. there exists an isomorphism  $L(\lambda) \oplus \dots \oplus L(\lambda) \xrightarrow{\cong} N_i$  mapping "our crystal basis" (namely  $(\mathcal{L}(\lambda), B(\lambda))$ ) to the crystal basis  $(\mathcal{L}_i, B_i)$ .

*Proof: omitted.*

**Theorem (uniqueness of the crystal basis):** Let  $\lambda \in X^+$ , and assume  $(\mathcal{L}(\lambda), B(\lambda))$  is a crystal basis of  $L(\lambda)$ . Let  $(\mathcal{L}, B)$  also be a crystal basis. Then there exists  $0 \neq a \in \mathbb{Q}(q)$  such that  $\mathcal{L} = a\mathcal{L}(\lambda)$  and

$$\mathcal{L}(\lambda)/q\mathcal{L}(\lambda) \xrightarrow{\cong} \mathcal{L}/q\mathcal{L}$$

$$x \mapsto ax$$

sending  $B(\lambda)$  to  $B$  (set-theoretically).

*Proof:* If  $M = L(\lambda)$  then  $N_1 = L(\lambda)$  as in the previous proposition, so we have an isomorphism  $L(\lambda) \rightarrow N_1 \cong L(\lambda)$  of  $U_q$ -modules mapping  $(\mathcal{L}(\lambda), B(\lambda))$  to  $(\mathcal{L}, B)$  which *must* be an isomorphism given by multiplication by some scalar  $a \in \mathbb{Q}(q)$ .  $\square$

**Example:** Take  $\mathfrak{g} = \mathfrak{sl}_2, V = L(q)$  the two-dimensional representation of type 1. As discussed,  $V$  has a the "standard" crystal basis  $\mathcal{L}(q), B(q)$ . **Problem:**  $(\mathcal{L}(\lambda) \otimes \mathcal{L}(\lambda), B(\lambda) \otimes B(\lambda))$  - even in this super basi example - is **not** a crystal for  $V \otimes V$  any longer :/

The reason is because (!!!!)  $\Delta(F) = F \otimes K^{-1} + 1 \otimes F \implies \Delta(F)(v_0 \otimes v_0) = q^{-1}v_0 \otimes v_0 + v_0 \otimes v_0$  but we want  $F(v_0 \otimes v_0) = \tilde{F}(v_0 \otimes v_0) \in (\mathcal{L}(\lambda) \otimes \mathcal{L}(\lambda))_0$  for this to be a crystal basis and  $(\mathcal{L}(\lambda) \otimes \mathcal{L}(\lambda))_0 \subseteq Av_0 \otimes v_0 + Av_0 \otimes v_1$  which of course goes against  $q^{-1} \in A$  (which is basically the whole point of this construction).

**"Easy" solution:** Change comultiplication!

Consider the antiautomorphism  $\tau : U \rightarrow U$  given by  $E_i \mapsto F_i, F_i \mapsto E_i, K_i \mapsto K_i$  and set  $\Delta' = (\tau \otimes \tau) \circ (\Delta \circ \tau)$  so  $\Delta'(F_i) = \tau \otimes \tau(E_i \otimes 1 + K_i \otimes E_i) = F_i \otimes 1 + K_i \otimes F_i$  which solves the problem since there's no  $K_i^{-1}$  popping up :)

**Remark:** Weight spaces are independent of the choice of comultiplication  $\Delta$  or  $\Delta'$ , and we actually have an isomorphism

$$V \otimes W \xrightarrow{\cong} V \otimes W$$

of  $U_q$ -modules, where the module on the left is defined by the usual comultiplication  $\Delta$  and the on the right is with  $\Delta'$  (which is of course not the identity).

**Definition:** Let  $(\mathcal{L}, B)$  be a crystal basis of  $M$  a finite-dimensional  $U_q$ -module, and let  $b \in B$ . Define the functions (these shouldn't be anything new):

1.  $\epsilon_i(b) := \max\{r \geq 0 \mid \tilde{E}_i^r b \neq 0\}$ ,
2.  $\phi_i(b) := \max\{r \geq 0 \mid \tilde{F}_i^r b \neq 0\}$ .



**Theorem:** Let  $M_1$  and  $M_2$  be finite-dimensional  $U_q$ -modules, and let  $(\mathcal{L}_i, B_i)$  be a crystal basis of  $M_i$ . Then  $(\mathcal{L}_1 \otimes \mathcal{L}_2, B_1 \otimes B_2)$  is a crystal basis for  $M_1 \otimes M_2$  with the above  $U_q$ -module structure and

$$\tilde{F}_i(b \otimes b') = \begin{cases} \tilde{F}_i b \otimes b' & \text{if } \phi_i(b) > \epsilon_i(b') \\ b \otimes \tilde{F}_i(b') & \text{if } \phi_i(b) \leq \epsilon_i(b') \end{cases}$$

$$\tilde{E}_i(b \otimes b') = \begin{cases} \tilde{E}_i b \otimes b' & \text{if } \phi_i(b) \geq \epsilon_i(b') \\ b \otimes \tilde{E}_i(b') & \text{if } \phi_i(b) < \epsilon_i(b') \end{cases}$$

*Proof (idea):* For fixed  $i$  this is just an  $\mathfrak{sl}_2$ -calculation, and we use the isomorphism  $(M \oplus N) \otimes P \cong (M \otimes P) \oplus (N \otimes P)$  to reduce to  $M_i$  irreducible. Then we simply compute :p  $\square$

## 21/1

In our last lecture we introduced the following crystal basis for tensor products of modules whose crystal bases we know:

**Theorem:** Let  $M_1$  and  $M_2$  be finite-dimensional  $U_q$ -modules, and let  $(\mathcal{L}_i, B_i)$  be a crystal basis of  $M_i$ . Then  $(\mathcal{L}_1 \otimes \mathcal{L}_2, B_1 \otimes B_2)$  is a crystal basis for  $M_1 \otimes M_2$  with the above  $U_q$ -module structure and

$$\tilde{F}_i(b \otimes b') = \begin{cases} \tilde{F}_i b \otimes b' & \text{if } \phi_i(b) > \epsilon_i(b') \\ b \otimes \tilde{F}_i(b') & \text{if } \phi_i(b) \leq \epsilon_i(b') \end{cases}$$

$$\tilde{E}_i(b \otimes b') = \begin{cases} \tilde{E}_i b \otimes b' & \text{if } \phi_i(b) \geq \epsilon_i(b') \\ b \otimes \tilde{E}_i(b') & \text{if } \phi_i(b) < \epsilon_i(b') \end{cases}$$

*Proof (idea):* The following identities are relatively easy to verify:

$$\mathbb{Q}(q) \otimes_A (\mathcal{L}_1 \otimes_A \mathcal{L}_2) \cong M_1 \otimes_{\mathbb{Q}(q)} M_2$$

$$\mathcal{L}_1 \otimes_A \mathcal{L}_2 = \bigoplus_{v \in X, \lambda + \mu = v} (\mathcal{L}_1)_\lambda \otimes_A (\mathcal{L}_2)_\mu$$

$$B_1 \otimes B_2 \subseteq \mathcal{L}_1 \otimes_A \mathcal{L}_2 / q(\mathcal{L}_1 \otimes_A \mathcal{L}_2) \text{ is a } \mathbb{Q}\text{-basis of } \mathcal{L}_1 \otimes_A \mathcal{L}_2 / q(\mathcal{L}_1 \otimes_A \mathcal{L}_2) \cong \mathcal{L}_1 / q\mathcal{L}_1 \otimes_{\mathbb{Q}} \mathcal{L}_2 / q\mathcal{L}_2$$

where by definition (which is implicit in the theorem's statement)  $B_1 \otimes B_2 = \bigcup_{v \in V} \bigcup_{\lambda + \mu = v} (B_1)_\lambda \otimes (B_2)_\mu$ . What's missing is to show the theorem for  $U_q(\mathfrak{sl}_2)$  (via long calculations) and then restrict to  $U_{q_i}(\mathfrak{sl}_2)$ .

Then we need to show  $\tilde{E}_i \mathcal{L} \subseteq \mathcal{L}, \tilde{E}_i B \subseteq B \cup \{0\}$  and analogously  $\tilde{F}_i \mathcal{L} \subseteq \mathcal{L}, \tilde{F}_i B \subseteq B \cup \{0\}$ : this is again an  $\mathfrak{sl}_2$ -computation.  $\square$

This theorem is extremely important because it works as the foundation for the existence of crystal bases for arbitrary modules, via the *Grand Loop Argument* (Jantzen). Definitely take a look at this from the book :)

**Examples:** insert image

**Observation (lemma):** Let  $(\mathcal{L}(\lambda), B(\lambda))$  be the "nice" crystal basis of  $L(\lambda)$ . Let  $(\mathcal{L}, B)$  be the crystal basis of  $M$  some finite-dimensional  $U_q$ -module. Then  $HW(B(\lambda) \otimes B_\mu) = \{\bar{v}_\lambda \otimes b' \mid \tilde{E}_i^{(\lambda, \alpha_i^\vee)+1} b' = 0\}$ .

*Proof:* Assume  $b \otimes b' \in HW(B(\lambda) \otimes B_\mu)$ . Then  $\tilde{E}_i(b \otimes b') \in \{\tilde{E}_i b \otimes b', b \otimes \tilde{E}_i b'\}$ ; so if  $\tilde{E}_i(b \otimes b') = b \otimes \tilde{E}_i b'$  then  $\epsilon_i(b') > \phi_i(b) \implies \epsilon_i(b') > 0 \implies b \otimes \tilde{E}_i b' \neq 0$  which is contradiction since  $b \otimes b'$  is of "kashiwara highest weight".

So we must necessarily have  $0 = \tilde{E}_i b \otimes b' = \tilde{E}_i b \otimes b'$  for all  $i$  (since  $i$  was arbitrary in the previous remark) and thus  $b \in \text{span } \bar{v}_\lambda$ . Now,  $\epsilon_i(b') \leq \phi_i(b) = \langle \lambda, \alpha_i^\vee \rangle$  so we're done :)  $\square$

**Remark:** We showed that if  $M$  is a  $U_q$ -module and  $M \cong L(\lambda_1) \oplus \dots \oplus L(\lambda_r)$ , then any crystal basis for  $M$  is isomorphic to  $(\mathcal{L}(\lambda_1) \oplus \dots \oplus \mathcal{L}(\lambda_r), B(\lambda_1) \oplus \dots \oplus B(\lambda_r))$ .

So if  $M$  is irreducible then the crystal graph must be connected, and between summands there is no connection. We thus have that in general components of the crystal graph of  $M$  correspond to irreducible direct summands of  $M$ .

**Warning(!):** The above is just a *bijection of sets*.

**Missing part in my notes:** at this point in the lecture I was bewildered by so many cool examples and graphs :) I was so in awe that my fingers literally stopped typing without me noticing.

## Abstract crystals

**Goal:** Define "abstract crystal graphs". Let  $\mathfrak{g}$  be a semisimple Lie algebra with Cartan Matrix  $A$ ,  $\pi = \{\alpha_1, \dots, \alpha_n\}$  its set of simple roots,  $X = \sum_{i=1}^n \mathbb{Z}\omega_i$  the set of integral dominant weights.

**Definition:** An (abstract) crystal is a set  $B$  together with maps  $\text{wt} : B \rightarrow X$  for  $i = 1, \dots, n$ ,  $\phi_i, \epsilon_i : B \rightarrow \mathbb{Z} \cup \{-\infty\}$  and  $\tilde{E}_i, \tilde{F}_i : B \rightarrow B \cup \{0\}$  which satisfy

- (C1)  $\phi_i(b) = \epsilon_i(b) + \langle \text{wt}(b), \alpha_i^\vee \rangle$ ,
- (C2)  $\text{wt}(\tilde{E}_i b) = \text{wt}(b) + \alpha_i$  and  $\text{wt}(\tilde{F}_i b) = \text{wt}(b) - \alpha_i$ ,
- (C3)  $\epsilon(\tilde{E}_i b) = \epsilon_i(b) - 1$ ,  $\phi_i(\tilde{F}_i b) = \phi_i(b) - 1$ ,  $\epsilon_i(\tilde{F}_i b) = \epsilon_i(b) + 1$ ,  $\phi_i(\tilde{E}_i b) = \phi_i(b) + 1$ ,
- (C4)  $b, b' \in B$  implies  $b' = \tilde{F}_i b \iff b = \tilde{E}_i b'$ ,
- (C5)  $b \in B$ ,  $\phi_i(b) = -\infty \implies \tilde{E}_i(b) = 0 = \tilde{F}_i(b)$  and  $\epsilon_i(b) = -\infty$ .

## 26/1

**Example:** Today we started off computing the crystal graph for the tensor product of representations of  $\mathfrak{sp}_7$ ,  $V_{sp} \otimes V$  where  $V_{sp} = L(\omega_\infty)$  and  $V = L(\epsilon_1)$  is the natural representation.

Back to abstract crystals: recall that the setup was we had a semisimple Lie algebra  $\mathfrak{g}$  and a set  $B$ , and functions  $\tilde{e}_i, \tilde{f}_i : B \rightarrow B \cup \{0\}$ ,  $\phi_i, \epsilon_i : B \rightarrow \mathbb{Z} \cup \{-\infty\}$  and  $\text{wt} : B \rightarrow X$  where  $X$  is the set of integral weights of  $\mathfrak{g}$ .

**Definition:** An abstract crystal is of *finite type* if  $-\infty$  doesn't occur as a value for the elements in  $B$ . The abstract crystal is *seminormal* if  $\phi_i(b) = \max\{k \mid \tilde{f}_i^k b \neq 0\}$  and  $\epsilon_i(b) = \max\{k \mid \tilde{e}_i^k b \neq 0\}$ .

**Lemma:** Given an abstract crystal of finite type, for all  $b \in B$  we have

$$\text{wt}(b) = \sum_{i=1}^n (\phi_i(b) - \epsilon_i(b))\omega_i$$

where  $\omega_i$  is the  $i$ -th fundamental weight.

*Proof:*

$$\langle \text{wt}(b) - \sum_{i=1}^n (\phi_i(b) - \epsilon_i(b))\omega_i, \alpha_j^\vee \rangle = \langle \text{wt}(b), \alpha_j^\vee \rangle - (\phi_j(b) - \epsilon_j(b)) \stackrel{(C3)}{=} 0$$

by the abstract crystals axioms.

### Examples:

1.  $(\mathcal{L}(\lambda), B(\lambda))$  crystal basis of  $L(\lambda)$  irreducible and finite-dimensional for  $U_q(\mathfrak{g})$  defines a seminormal abstract crystal basis of finite type.
2. (the "stupid crystal")  $T(\lambda)$  for  $\lambda \in X$  is defined by  $B = \{*\}$ ,  $\text{wt}(*) = \lambda$ ,  $\tilde{e}_i(*) = 0 = \tilde{f}_i(*)$  and  $\epsilon_i(*) = -\infty = \phi_i(*)$  for all  $i$ , which is neither of finite type nor seminormal.
3.  $B(\infty)$  of type  $A_1$  is defined by the infinite crystal graph

$$b_0 \xrightarrow{1} b_{-1} \xrightarrow{1} b_{-2} \xrightarrow{1} \dots$$

where  $\epsilon_1(b_{-j}) = j$ ,  $\phi_1(b_{-j}) = -j$  and  $\text{wt}(b_{-j}) = -2j$ .

**Remark:** We have a notion of "tensor products" of abstract crystals:  $\tilde{e}_i$  and  $\tilde{f}_i$  are defined on the product of the two bases  $B_1$  and  $B_2$  as  $\tilde{E}_i$  and  $\tilde{F}_i$  were defined in the standard setting and furthermore

$$\text{wt}(b \otimes b') = \text{wt}(b) + \text{wt}(b')$$

$$\phi_i(b \otimes b') = \max\{\phi_i(b), \phi_i(b') + \langle \text{wt}(b), \alpha_i^\vee \rangle\}$$

$$\epsilon_i(b \otimes b') = \max\{\epsilon_i(b), \epsilon_i(b') - \langle \text{wt}(b'), \alpha_i^\vee \rangle\}.$$

## Where do crystals pop up in real life?

### Littelmann's path model

**Construction:** Let  $X$  be the integral weight lattice for some semisimple Lie algebra  $\mathfrak{g}$ , and define  $X_{\mathbb{Q}} := \mathbb{Q} \otimes_{\mathbb{Z}} X$  and

$$\tilde{\pi} := \{\text{continuous piecewise linear maps } \phi : [0, 1] \rightarrow X_{\mathbb{Q}}, \phi(0) = 0\}$$

and  $\mathbb{Z}\pi$  the free  $\mathbb{Z}$ -module with basis  $\pi$  where  $\pi = \tilde{\pi}/\sim$  for an equivalence relation  $\sim$  which we'll define later.

**Ideal:** Define on  $\mathbb{Z}\pi$  actions of  $\tilde{e}_i$  and  $\tilde{f}_i$  to get an abstract crystal. For each  $\lambda \in X$  we can construct the element  $\phi_{\lambda} \in \tilde{\pi}$  the straight-line path from 0 to  $\lambda$ . Let  $M(\lambda) = \mathcal{E}$ -submodule of  $\mathbb{Z}\pi$  generated by  $\phi_{\lambda}$  where  $\mathcal{E} \subseteq \text{End}_{\mathbb{Z}}(\mathbb{Z}\pi)$  generated by  $\tilde{e}_i, \tilde{f}_i$ .

**Theorem:** Let  $B(\lambda) = M(\lambda) \cap \pi$  paths in  $M(\lambda)$ . For every  $\lambda \in X^+$  we have

$$\sum_{\phi \in B(\lambda)} e^{\phi(1)} = \text{char} L(\lambda)$$

The above theorem is our objective: we're interested in expressing the character  $\text{char} L(\lambda)$  in terms of this loop construction; we can now return and fill in the blanks in our definitions.

**Definition:**  $\phi_1, \phi_2 \in \tilde{\pi}$  are  $(\sim)$ -equivalent is  $\exists \gamma : [0, 1] \rightarrow [0, 1]$  a piecewise linear continuous surjective map such that  $\phi_2 = \phi_1 \circ \gamma$ .

Pick now  $\alpha = \alpha_1$  a simple root; we're interested in defining  $\tilde{e}_{\alpha}$  and  $\tilde{f}_{\alpha}$ .

### 2/2/22

**Remark (Generalised Littlewood-Richardson rule):** In terms of the Littelmann path model, given  $\phi_1, \phi_2 \in \Pi$  with image in the closure of the dominant Weyl chamber, let  $\phi_1(1) = \lambda, \phi_2(1) = \mu$ . We have the formula

$$L(\lambda) \otimes L(\mu) = \bigoplus_{\pi \in W} L(\pi(1))$$

where  $W$  is the set of paths in  $\Pi$  such that the image is in closure of dominant Weyl chamber and  $\pi = \phi * \eta$  where  $\eta$  is contained among the paths attached to  $L(\mu)$ .

**Generalities on Geometric Realisations:** Fix a field  $k$ ; given any set  $X$  we denote by  $\text{Func}_k(X)$  the set  $\{\text{maps } f : X \rightarrow k\}$ . Assume  $\pi : A \rightarrow B$  is a map of sets and define  $\pi^* : \text{Func}_k(B) \rightarrow \text{Func}_k(A)$  as  $f \mapsto f \circ \pi$ . If  $\pi$  has finite fibres then define  $\pi_! : \text{Func}(A) \rightarrow \text{Func}(B)$  as  $f \mapsto (\pi_!(f)) : b \mapsto \sum_{a \in \pi^{-1}(b)} f(a)$ .

## Appendix: things that came before the 24th of November

Unfortunately I began to work on these notes relatively late after the course started, so - in preparation for my oral exam in a few days - I collect in this appendix a few propositions and theorems which were discussed before; most of the arguments here are either from my memory and some references which Prof. Stroppel didn't quite follow by the letter or by a sheer replication of my friend Lukas' notes.

### Representation theory for $U_q(\mathfrak{sl}_2)$

**Definition:**  $k$  be any field and  $q \in k^\times$  a non-zero element whose square isn't one. Define the *quantum universal enveloping algebra* for  $\mathfrak{sl}_2$  as the  $k$ -algebra generated by elements  $E, F, K, K^{-1}$  with the relations

$$EF - FE = \frac{K - K^{-1}}{q - q^{-1}}, \quad KEK^{-1} = q^2E, \quad KFK^{-1} = q^{-2}F, \quad KK^{-1} = K^{-1}K = 1.$$

We have a natural  $\mathbb{Z}$ -grading for  $U_q(\mathfrak{sl}_2)$  defined by

$$\deg E = 1, \quad \deg F = -1, \quad \deg K = \deg K^{-1} = 0$$

which is well defined by the above prescriptions since  $U_q(\mathfrak{sl}_2)$ 's defining relations are homogeneous. The following theorem is pretty much essential for all practical computations:

**Theorem:** The set of monomials  $S = \{F^a K^b E^c \mid a, c \in \mathbb{Z}_{\geq 0}, b \in \mathbb{Z}\} \subseteq U_q(\mathfrak{sl}_2)$  forms a basis for  $U_q(\mathfrak{sl}_2)$  over  $k$ .

*Proof:* The set  $\text{Span} S$  is stable under multiplication by  $E, F$  and  $K^{\pm 1}$  by the following computations

$$\begin{aligned} F \cdot F^a K^b E^c &= F^{a+1} K^b E^c \\ K \cdot F^a K^b E^c &= q^{-2a} F^a K^{b+1} E^c \\ K^{-1} \cdot F^a K^b E^c &= q^{2a} F^a K^{b-1} E^c \end{aligned}$$

and lastly, by induction we can show the following very important relation (from now on referred to as the *EF relation*):

$$EF^a = F^a E + [a]_q F^{a-1} [K; 1-a]$$

where  $[K; n]$  is defined by  $[K; n] := \frac{q^n K - q^{-n} K^{-1}}{q - q^{-1}}$ : the base case  $n = 1$  follows straight from  $U_q(\mathfrak{sl}_2)$ 's construction, and if we suppose

$$EF^a = F^a E + [a]_q F^{a-1} \frac{q^{1-a} K - q^{a-1} K^{-1}}{q - q^{-1}}$$

then multiplying on the left by  $F$  yields

$$\begin{aligned} EF^{a+1} &= F^a EF + [a]_q F^{a-1} \frac{q^{1-a} K - q^{a-1} K^{-1}}{q - q^{-1}} \cdot F = F^{a+1} E + F^a \cdot \frac{K - K^{-1}}{q - q^{-1}} + [a]_q F^a \frac{q^{-a-1} K - q^{a+1} K^{-1}}{q - q^{-1}} \\ &= F^{a+1} E + F^a \cdot \left( \frac{K - K^{-1} + (q^{a-1} + q^{a-3} + \dots + q^{3-a} + q^{1-a}) \cdot (q^{a+2} K - q^{-a-2} K^{-1})}{q - q^{-1}} \right) \\ &= F^{a+1} E + [a+1]_q F^a \frac{q^{-a} K - q^a K^{-1}}{q - q^{-1}}. \end{aligned}$$

From this it follows that

$$E \cdot F^a K^b E^c = q^{2b} F^a K^b E^{c+1} + [a]_q \frac{q^a F^{a-1} K^{b+1} E^c - q^{-a} F^{a-1} K^{b-1} E^c}{q - q^{-1}}$$

which shows our claim. Since evidently  $1 \in \text{Span} S$  it follows that  $\text{Span} S = U_q(\mathfrak{sl}_2)$ . To show linear independence, we construct a representation  $V$  for  $U_q(\mathfrak{sl}_2)$ : let  $V$  be the  $k$ -vector space given by the localised polynomial ring  $k[x, y^{\pm 1}, z]$ , and define  $U_q(\mathfrak{sl}_2)$ 's action on  $V$  reflecting our above calculations:

$$\begin{aligned} F \cdot x^a y^b z^c &:= x^{a+1} y^b z^c \\ K \cdot x^a y^b z^c &:= q^{-2a} x^a y^{b+1} z^c \\ E \cdot x^a y^b z^c &:= q^{2b} x^a y^b z^{c+1} + [a]_q \frac{q^a x^{a-1} y^{b+1} z^c - q^{-a} x^{a-1} y^{b-1} z^c}{q - q^{-1}} \end{aligned}$$

If we thus suppose we have a zero-linear combination of the elements in  $S$

$$0 = \sum_{a,b,c} \lambda_{a,b,c} F^a K^b E^c$$

then applying this linear combination to  $1 \in V$  yields

$$0 = \sum_{a,b,c} \lambda_{a,b,c} x^a y^b z^c$$

which of course shows that each coefficient  $\lambda_{a,b,c}$  must be zero since the monomials  $x^a y^b z^c$  form a basis for  $V$ .  $\square$

**Remark:** Note that  $U_q(\mathfrak{sl}_2)$  also possesses another decomposition, aside from the grading described earlier: the linear endomorphism  $u \in U_q(\mathfrak{sl}_2) \mapsto KuK^{-1} \in U_q(\mathfrak{sl}_2)$  is indeed diagonalisable by our previous theorem (indeed, every element in  $S$  is an eigenvector) and the element  $u = F^a K^b E^c$  has eigenvalue  $q^{2c-2a}$ ; thus, if  $q$  is not a root of unity each of these eigenvalues is distinct from any other and thus the decomposition for  $U_q(\mathfrak{sl}_2)$  into eigenspaces of conjugation by  $K$  coincides with our initial grading. When  $q^l = 1$  for some  $l \in \mathbb{Z}$  however matters get a little more complicated; this motivates why we begin by analysing  $U_q(\mathfrak{sl}_2)$ 's representation theory when  $q^l \neq 1$  for all  $l \in \mathbb{Z}$ .

**Construction:** Let  $U_q(\mathfrak{sl}_2)^{\geq 0} \subseteq U_q(\mathfrak{sl}_2)$  be the subalgebra generated by  $E, K$  and  $K^{-1}$  - i.e. the analogue of the Borel subalgebra in the classical setting.  $U_q^{\geq 0}(\mathfrak{sl}_2)$  possesses for every  $\lambda \in k^*$  a one-dimensional representation  $k_\lambda$  spanned over  $k$  by  $v$  defined by

$$\begin{aligned} Ev &:= 0 \\ Kv &:= \lambda v \\ K^{-1}v &:= \lambda^{-1}v \end{aligned}$$

The induced representation

$$\text{Ind}_{U_q^{\geq 0}(\mathfrak{sl}_2)}^{U_q(\mathfrak{sl}_2)}(k_\lambda) = U_q(\mathfrak{sl}_2) \otimes_{U_q^{\geq 0}(\mathfrak{sl}_2)} k_\lambda := M(\lambda)$$

is called the *Verma module of highest weight  $\lambda$*  or the *standard cyclic module of highest weight  $\lambda$* . By the previous theorem it's easy to see that a basis for  $M(\lambda)$  is given by the following vectors

$$\{v, Fv, F^2v, F^3v, \dots\}$$

and furthermore, by the "restrictions/extensions" adjunction,  $M(\lambda)$  satisfies the so-called "*Verma-module universal property*" (a name which I've come to dislike a little  $\therefore$ ): for every  $U_q(\mathfrak{sl}_2)$ -module  $V$  we have the following natural bijection

$$\text{Hom}_{U_q(\mathfrak{sl}_2)}(M(\lambda), V) \cong \text{Hom}_{U_q^{\geq 0}(\mathfrak{sl}_2)}(k_\lambda, \text{Res}_{U_q^{\geq 0}(\mathfrak{sl}_2)}^{U_q(\mathfrak{sl}_2)}(V)) \cong \{v \in V \mid Kv = \lambda v \text{ and } Ev = 0\}.$$

**Theorem:** Let  $\lambda \in k$  be any scalar, and suppose  $q \in k^\times$  isn't a root of unity.

1. If  $\lambda$  is  $\lambda \notin \{\epsilon q^n \mid \epsilon \in \{\pm 1\}, n \in \mathbb{Z}_{\geq 0}\}$  (i.e.  $\lambda$  is not *dominant integral*) then  $M(\lambda)$  is an irreducible  $U_q(\mathfrak{sl}_2)$ -module.
2. if  $\lambda = \epsilon q^n$  for some  $\epsilon \in \{\pm 1\}$  and  $n \in \mathbb{Z}_{\geq 0}$  then  $M(\lambda) = M(\epsilon q^n)$  has precisely one submodule  $N$  isomorphic to  $M(\epsilon q^{-(n+2)})$  generated over  $U_q(\mathfrak{sl}_2)$  by  $F^{n+1}v$  and the quotient  $M(\epsilon q^n)/N$  is finite dimensional.

*Proof:* We first make a very general claim, which has an analogue in pretty much every setting in this course:

*Let  $M$  be a (possibly infinite-dimensional)  $U_q(\mathfrak{sl}_2)$ -module which has a decomposition  $M = \bigoplus_\lambda M_\lambda$  into eigenspaces for  $K$  (i.e. into weight spaces). Then every submodule  $N \subseteq M$  also admits a decomposition into weight spaces.*

*Proof of claim:* Given any  $n \in N$  expressed as a sum of weight vectors

$$n = \sum_\lambda m_\lambda, \quad m_\lambda \in M_\lambda$$

we show that each  $m_\lambda$  lies in  $N_\lambda = M_\lambda \cap N$ . If by contradiction there existed some  $n \in N$  such that, when expressed as before, not all  $m_\lambda$  lie in  $N$ , then we certainly have that the number of such terms which don't lie in  $N$  must be greater strictly than one - if  $m_{\lambda_0}$  is the only term not in  $N$  we would have

$$m_{\lambda_0} = n - \sum_{\lambda \neq \lambda_0} m_\lambda \in N.$$

However, if we take  $n \in N$  such that the number  $\#\{\lambda \mid m_\lambda \notin N\}$  is minimal, then for any  $\lambda_0$  such that  $m_{\lambda_0} \notin N$  we have

$$Kn - \lambda_0 n = \sum_{\lambda} \lambda m_{\lambda} - \lambda_0 m_{\lambda}$$

which is an element in  $N$  that has precisely one term less than  $n$  not lying in  $N$ . This contradicts  $n$ 's minimality.

□

If we now go back to discussing our theorem, if  $N \subseteq M(\lambda)$  is any submodule, then it must be a direct sum of the one-dimensional weight spaces  $\text{Span} F^n v$ . However, note that by the *EF-relation* we have that  $EF^n v = [n]_q \frac{q^n \lambda - q^{-n} \lambda^{-1}}{q - q^{-1}} F^{n-1} v$  which is a *non-zero* element of the weight space  $\text{Span} F^{n-1} v$  for every  $n$  if  $\lambda$  isn't of the form  $\pm q^n$  for some  $n \geq 0$  - we have that  $[n]_q \neq 0$  for all  $n$  since  $q$  isn't a root of unity, and  $q^n \lambda = q^{-n} \lambda^{-1}$  only if  $\lambda = \pm q^n$ . This implies that in case 1. (iteratively applying  $E$  to any element in  $N \cap \text{Span} F^n v \neq 0$ )  $N$  contains some non-zero scalar multiple of  $v$  and must thus coincide with  $N$ .

As for case 2. it follows that  $M(\pm q^n)$ 's only submodule is spanned by  $F^{n+1} v$  its unique highest weight vector different to  $v$ , which is evidently isomorphic to  $M(\pm q^{-(n-2)})$  (since  $F^{n+1} v$  has weight  $\pm q^{-(n-2)}$ ) and by the PBW basis for  $M(\pm q^{-(n-2)})$  discussed above. □

The finite dimensional module  $M(\pm q^n)/M(\pm q^{-(n+2)})$  is denoted by  $L(q^n, \pm)$  or  $L(n, \pm)$  and is crucial for the classification of  $U_q(\mathfrak{sl}_2)$ -modules.

**Proposition:** Let  $q$  be a non-root of unity and  $M$  a finite-dimensional  $U_q(\mathfrak{sl}_2)$ -module. Then  $M$  decomposes into a direct sum of weight spaces.

*Proof:* Suppose  $r \geq 0$  is such that  $F^r M = 0$ . Define the following elements in  $U_q(\mathfrak{sl}_2)$  for  $s = 0, \dots, r$ :

$$h_s := \prod_{j=-(s-1)}^{s-1} [K; s-r+j] = \prod_{j=-(s-1)}^{s-1} \frac{q^{s-r+j} K - q^{r-s-j} K^{-1}}{q - q^{-1}}.$$

For  $s = 0$  we have  $h_0 = 1$  and thus  $F^r h_0 M = 0$ . By induction on  $s$  we have that  $F^{r-s} h_s M = 0$ :

$$m \in M, F^{r-s} \prod_{j=-(s-1)}^{s-1} \frac{q^{s-r+j} K - q^{r-s-j} K^{-1}}{q - q^{-1}} \cdot m = 0$$

$\implies \dots$  I thought this would be swifter but it had me invested much more than expected.

For  $s = r$  we thus obtain that  $h_r M = 0$  and thus  $K$  satisfies the polynomial equation

$$\prod_{j=-(r-1)}^{r-1} \frac{q^j t - q^{-j} t^{-1}}{q - q^{-1}}$$

whose roots are all distinct and equal to  $\pm q^j$  since  $q$  isn't a root of unity. □ The following simple fact comes from one of the course's exercise sheets.

**Proposition:** Let  $q \in k^\times$  be a non-root of unity,  $M$  any finite-dimensional  $U_q(\mathfrak{sl}_2)$ -module. Then  $E$  and  $F$  act as nilpotent endomorphisms on  $M$ .

*Proof:* Let  $p(T) \in k[T]$  be the minimal polynomial of the endomorphism given by the action of  $K$  on  $M$ . If  $p(T) = p_1^{d_1}(T) \dots p_s^{d_s}(T)$  is its factorisation into irreducible factors then  $M$  decomposes as a direct sum  $M = M_1 \oplus \dots \oplus M_s$  where  $M_i = \ker(q_i^{d_i}(K))$ . Note that  $EK = q^2 KE$  implies  $f(q^2 K)E = Ef(K)$  for every polynomial  $f \in k[T]$ , hence  $E \ker f(K) \subseteq \ker(f(q^2 K))$  and in general  $E^j \ker f(K) \subseteq \ker(f(q^{2j} K))$ . However, since  $p_i^{d_i}(q^{2j} T)$  is coprime to  $p_i^{d_i}(T)$  for every  $i, j$  because  $q \neq \sqrt{-1}$ , they have the same degree and the same non-zero constant term (note that  $K$  is invertible and thus  $T \nmid p(T)$ ). It follows that for every  $i$  we have that either  $EM_i \subseteq M_j$  for some  $j \neq i$  - if  $p_i(q^2 T) = p_j(T)$  - or  $EM_i = 0$ . Since the  $M$  decomposes as the direct sum of the  $M_i$ 's and these are finitely many, it follows that  $E^r M = 0$  for some  $r \geq 1$ . Applying the Cartan involution yields that  $\omega(E)^r = F^r$  also acts as zero on  $M$ . □

**Remark:** From these simple results it follows that all finite-dimensional irreducible  $U_q(\mathfrak{sl}_2)$ -modules  $M$  are isomorphic to  $L(n, \epsilon)$  for some  $n \in \mathbb{Z}_{\geq 0}$  and  $\epsilon \in \{\pm 1\}$ : whenever  $m \in M$  is a weight vector of weight  $\pm q^n$ , we have that  $Em \in M$  is also a weight vector, of weight  $q^{n+2}$ ; since  $M$  decomposes as a direct sum of weight spaces and  $E$  acts nilpotently on  $M$ , it follows that (iteratively applying  $E$ ) there must exist  $m \in M_{\pm q^n}$  for some  $n \geq 0$  such that  $Em = 0$ . Thus by  $M(\pm q^n)$ 's property we have a surjective (since  $M$  is irreducible)  $U_q(\mathfrak{sl}_2)$ -homomorphism  $M(\pm q^n) \twoheadrightarrow M$  which must necessarily factor through to an isomorphism  $L(n, \pm) \xrightarrow{\cong} M$  because  $M$  is finite dimensional and  $M(\pm q^n)$  only has one quotient.

**Definition:** We define the *Casimir element* in  $U_q(\mathfrak{sl}_2)$  to be

$$C = FE + \frac{[K; 1]}{q - q^{-1}} = FE + \frac{qK - q^{-1}K}{(q - q^{-1})^2}.$$

A pretty quick computation shows that  $C$  commutes with  $K, K^{-1}, E$  and  $F$  thus  $C \in Z(U_q(\mathfrak{sl}_2))$ .

**Remark:**  $C$  is a handy tool for *separating* representations:  $C$  must act as a scalar on  $M(\lambda)$  for all  $\lambda$  since  $M(\lambda)$  is generated over  $U_q(\mathfrak{sl}_2)$  by just one vector, and suppose  $C$  acts as the same scalar on  $M(\lambda)$  and  $M(\mu)$  for two scalars  $\lambda, \mu \in k^\times$ .

These scalars are easily computed to be (via  $C$ 's action on the highest weight vector)

$$\frac{q\lambda - q^{-1}\lambda^{-1}}{(q - q^{-1})^2} \text{ on } M(\lambda) \text{ and}$$

$$\frac{q\mu - q^{-1}\mu^{-1}}{(q - q^{-1})^2} \text{ on } M(\mu)$$

so we must have either  $\lambda = \mu$  or  $\lambda = q^{-2}\mu^{-1}$ . Note that if either  $\lambda$  or  $\mu$  is dominant integral then we necessarily have that **the other isn't**:  $C$  acts as the same scalar on  $L(n, \epsilon)$  and  $L(m, \epsilon')$  if and only if  $n = m$  and  $\epsilon = \epsilon'$ .

For each  $\lambda \in k^\times$  denote by  $c(\lambda) = \frac{q\lambda - q^{-1}\lambda^{-1}}{(q - q^{-1})^2}$  this scalar.

**Theorem:** Suppose  $q \in k^\times$  isn't a root of unity and  $M$  is a finite-dimensional  $U_q(\mathfrak{sl}_2)$ -module. Then  $M$  is a direct sum of irreducible  $U_q(\mathfrak{sl}_2)$ -modules.

*Proof:* Let  $M_0 = (0) \subseteq M_1 \subseteq M_2 \subseteq \dots \subseteq M_r = M$  be a composition series for  $M$ , so each quotient  $M_i/M_{i-1}$  for  $i = 1, \dots, r$  is isomorphic to some  $L(\lambda_i)$  for some  $\lambda_i \in X^+ := \{\pm q^n \mid n \in \mathbb{Z}_{\geq 0}\}$ , on which  $C$  acts as  $c(\lambda_i)$  (since  $L(\lambda_i)$  is a quotient of  $M(\lambda_i)$ ). Thus the polynomial  $(t - c(\lambda_1)) \dots (t - c(\lambda_r))$  annihilates  $C$  and hence  $M$  is a direct sum of  $C$ 's generalised eigenspaces  $M_{(\nu)} = \{m \in M \mid (C - \nu \text{id}_M)^k \cdot m = 0 \text{ for some } k \geq 0\}$  for  $\nu \in k$  (if each of the  $\lambda_i$ 's were different then  $C$  would be diagonalisable).

Since  $C \in U_q(\mathfrak{sl}_2)$  is central it follows that each  $M_{(\nu)}$  is actually a  $U_q(\mathfrak{sl}_2)$ -submodule of  $M$ 's, so to argue  $M$ 's semisimplicity it's enough to show each  $M_{(\nu)}$  is a direct sum of its simple  $U_q(\mathfrak{sl}_2)$ -submodules. Assume thus without loss of generality that  $M = M_{(\nu)}$ , and hence that the Casimir  $C \in U_q(\mathfrak{sl}_2)$  acts as the same scalar on each of  $M$ 's composition factors, thus  $M$  only has one composition factor by our previous remarks:  $\lambda_i = \lambda$  for all  $i = 1, \dots, r$ .

By the previous proposition we have that all submodules of  $M$  are spanned by  $M$ 's weight vectors, therefore, since each quotient  $M_i/M_{i-1} \cong L(\lambda)$  has a one-dimensional weight space relative to the weight  $\lambda$ , it follows that  $M_\lambda$  has dimension precisely  $r$  the number of  $M$ 's composition factors. Let  $v_1, \dots, v_r \in M_\lambda$  be a basis for  $M$ 's  $\lambda$ -weight space and we thus have a homomorphism of  $U_q(\mathfrak{sl}_2)$ -modules  $\phi: \bigoplus_{i=1}^r L(\lambda) \rightarrow M$  mapping surjectively onto  $M$ 's  $U_q(\mathfrak{sl}_2)$ -subspace generated by  $v_1, \dots, v_r$ .  $\phi$  must be injective since its kernel must be a  $U_q(\mathfrak{sl}_2)$ -submodule of  $\bigoplus_{i=1}^r L(\lambda)$ 's, and hence - if non-zero - it must contain a highest weight vector  $v \in \ker \phi$  which must of course be a linear combination of each of the highest weight vectors for the direct summands  $L(\lambda), \dots, L(\lambda)$ ; since these  $r$  vectors are sent to the linearly independent vectors  $v_1, \dots, v_r$ , we get that  $\ker \phi$  can have no such highest weight vector and thus  $\ker \phi = 0$ .

As for surjectivity, note that the  $U_q(\mathfrak{sl}_2)$ -module  $M/\text{im } \phi$  must only have copies of  $L(\lambda)$  as its composition factors, since it is a quotient of  $M$ ; however the weight space  $(M/\text{im } \phi)_\lambda$  is zero dimensional, which indicates by our previous remarks that  $M/\text{im } \phi$  can't have any composition factors isomorphic to  $L(\lambda)$ ; thus  $M/\text{im } \phi = 0$  and  $\phi$  is an isomorphism.  $\square$

We can now consider the case in which  $q^l = 1$  for some  $l \geq 0$ . Just as we utilised the Casimir's existence in  $Z(U_q(\mathfrak{sl}_2))$  to study  $U_q(\mathfrak{sl}_2)$ 's representation theory previously, in this case it turns out to be quite useful to introduce other distinguished elements in  $U_q(\mathfrak{sl}_2)$ 's centre.

**Remark:** We have that  $q^l = 1 \implies [l]_q = \frac{q^l - q^{-l}}{q - q^{-1}} = 0$  since  $q^{2l} = 1$  and in fact we actually have  $[l']_q = 0$  where

$$l' = \begin{cases} \frac{l}{2} & l \text{ even} \\ l & l \text{ odd} \end{cases}$$

This implies by the  $EF$ -relations that the elements  $E^{l'}, F^{l'}, K^{\pm l'}$  lie in  $Z(U_q(\mathfrak{sl}_2))$ .

By the PBW theorem it follows that  $U_q(\mathfrak{sl}_2)$  has an abelian polynomial subalgebra  $P = k[E^{l'}, F^{l'}, K^{\pm l'}] \subseteq U_q(\mathfrak{sl}_2)$  such that  $U_q(\mathfrak{sl}_2)$  is a finite  $P$ -module (generated by elements  $\{E, E^2, \dots, E^{l'-1}, F, F^2, \dots, F^{l'-1}, K^{\pm 1}, \dots, K^{\pm(l'-1)}\}$ ).

**Construction:** Let  $\lambda \in k^\times$  be any element and consider the Verma module  $M(\lambda)$  generated by the highest weight vector  $v_\lambda \in M(\lambda)$ . The  $EF$ -formula implies that  $F^{l'}v$  is also highest weight (once again, because  $[l']_q = 0$ ), so we have submodule  $N = U_q(\mathfrak{sl}_2) \cdot (F^{l'}v_\lambda - bv_\lambda) \subseteq M(\lambda)$  for any  $b \in k$ , which is proper since  $v_\lambda \notin N$  (in particular, if  $q$  is a root of unity  $M(\lambda)$  is *never* irreducible). Define the *baby Verma module* as the finite dimensional quotient  $M(\lambda, b) := M(\lambda)/N$ .

$M(\lambda, b)$  has a weight space decomposition induced by that of  $M(\lambda)$  with weights  $W(M(\lambda)) = \{\lambda, q^{-2}\lambda, \dots, q^{-2(l'-1)}\lambda\}$  such that each weight space  $M(\lambda, b)_{q^{-2i}\lambda}$  is one dimensional and spanned by  $F^i v_\lambda$ . We have the following description of how  $E, F$  and  $K^{\pm 1}$  act on this weight space:

$$\begin{aligned} E \cdot v_\lambda &= 0, & E \cdot F^i v_\lambda &= [i]_q \frac{q^{1-i}\lambda - q^{i-1}\lambda^{-1}}{q - q^{-1}} F^{(i-1)} v_\lambda & \text{for } i = 1, \dots, l' - 1 \\ F \cdot F^{l'-1} v_\lambda &= bv_\lambda, & F \cdot F^i v_\lambda &= F^{i+1} v_\lambda & \text{for } i = 0, \dots, l' - 2, \\ K^{\pm 1} \cdot F^i v_\lambda &= (q^{-2i}\lambda)^{\pm 1} F^i v_\lambda. \end{aligned}$$

From this we can see that if  $q^{1-i}\lambda \neq q^{i-1}\lambda^{-1}$  for all  $i = 1, \dots, l'$  (i.e.  $\lambda \neq \pm q^i$  for any  $i$ ) then any submodule of  $M(\lambda, b)$ 's must contain the highest weight vector  $v_\lambda$  and must thus be equal to  $M(\lambda, b)$ .

Analogously (this time appealing to  $F$ 's action rather than  $E$ 's) we have that  $b \neq 0$  also implies all submodules of  $M(\lambda, b)$  contain  $v_\lambda$ .

So the only case in which  $M(\lambda, b)$  could possibly not be irreducible is when  $\lambda = \pm q^i$  and  $b = 0$ . In this case the above formulas show that  $F^{i+1}v_\lambda$  is highest weight and generated a submodule isomorphic to  $L(q^i q^{-2i-2}, \pm) = L(q^{-i-2}, \pm)$  whereas the quotient  $M(\pm q^i, 0)/U_q(\mathfrak{sl}_2)F^{i+1}v_\lambda$  is isomorphic to  $L(q^i, \pm)$ ; note that the sequence

$$0 \rightarrow L(q^{-i-2}, \pm) \rightarrow M(\pm q^i, 0) \rightarrow L(q^i, \pm) \rightarrow 0$$

is non-split.

**Classification of irreducible  $U_q(\mathfrak{sl}_2)$ -modules:** Suppose  $k$  is algebraically closed,  $q^l = 1$  and  $M$  a finite-dimensional irreducible  $U_q(\mathfrak{sl}_2)$ -module. Since each element  $E^{l'}, F^{l'}, K^{\pm l'}$  acts on  $M$  as a scalar, we have a homomorphism of rings from the polynomial algebra  $k[E^{l'}, F^{l'}, K^{\pm l'}] \rightarrow k$  to our ground field  $k$ , which by Hilbert's Nullstellensatz has some maximal ideal of the form  $(E^{l'} - a, F^{l'} - b, K^{\pm l'} - c) \subseteq k[E^{l'}, F^{l'}, K^{\pm l'}]$  as its kernel, with  $a, b, c \in k$  and  $c \in k^\times$ .

1. If  $a = 0$  then since  $M$  has a weight space decomposition the non-zero subspace (because  $E$  acts nilpotently)  $\ker E$  contains a non-zero weight vector  $m \in M$  of weight  $\lambda$ . We thus have a surjection  $\phi : M(\lambda) \twoheadrightarrow M$  mapping  $v_\lambda$  to  $m$ . Since  $F^{l'} - b$  acts as zero on  $M$  it follows that  $\phi$  factors through to a homomorphism  $M(\lambda, b) = M(\lambda)/(F^{l'}v_\lambda - bv_\lambda)M$  which shows  $M$  is a quotient of the baby Verma  $M(\lambda, b)$ . However, by our previous analysis we have that  $M(\lambda, b)$  only has irreducible quotients (including, in some cases, the quotient by the zero subspace) isomorphic to either baby Verma's or standard cyclic irreducible quotients  $L(q^i, \pm)$ .
2. If  $a \neq 0$  and  $b = 0$  then the twisted module  ${}^\omega M$  (where  $\omega \in \text{Aut}(U_q(\mathfrak{sl}_2))$  is the Cartan involution) falls into the previous case, thus in this case  $M$  is isomorphic to a "twisted" baby Verma module  ${}^\omega M(\lambda, a)$  (since the irreducible quotient modules  $L(q^i, \pm)$  satisfy  $b = a = 0$ ).
3. If  $a, b \neq 0$  then let  $m \in M$  be any weight vector, say of weight  $\lambda \in k$ . We thus have the  $l'$  weight vectors

$$m = \frac{1}{b} F^{l'} m, F m, F^2 m, \dots, F^{l'-1} m$$

of weights respectively  $\lambda, q^{-2}\lambda, \dots, q^{-2l'+2}\lambda$  which span an  $l$ -dimensional subspace of  $M$ 's. A simple computation relying on the  $EF$ -formula shows that this subspace is indeed a submodule, which must thus coincide with  $M$  by irreducibility.

## $U_q(\mathfrak{sl}_2)$ 's centre

As observed, we have that the element  $C = FE + \frac{qK - q^{-1}K^{-1}}{q - q^{-1}} \in U_q(\mathfrak{sl}_2)$  lies in  $U_q(\mathfrak{sl}_2)$ 's centre. Our objective it to show that infact  $Z(U_q(\mathfrak{sl}_2))$  is precisely the  $k$ -subalgebra generated by  $C$ , when  $q$  isn't a root of unity.



**Remark:** Let  $u \in Z(U_q(\mathfrak{sl}_2))$ ; note that since  $[u, K] = 0$ , we have that  $u$  must be an element of degree zero, because it's an eigenvector of eigenvalue  $q^0$  with respect to conjugation by  $K$ . Thus expressing  $u$  in terms of our PBW theorem yields an expression of the form

$$u = \sum_{r \in \mathbb{Z}_{\geq 0}} F^r u_r E^r, \quad \text{where } u_r \in k[K^{\pm 1}].$$

If we now see how the conditions  $Eu = uE$  and  $Fu = uF$  reflect on the structure of the  $u_r$ 's we get

$$\begin{aligned} Eu &= \sum_r EF^r u_r E^r = \sum_r F^r Eu_r E^r + [r]_q F^{r-1} [K; 1-r] u_r E^r = \sum_r F^r \gamma(u_r) E^{r+1} + [r]_q F^{r-1} [K; 1-r] u_r E^r \\ &= \sum_r F^r \cdot (\gamma(u_r) + [r+1]_q [K; -r] u_{r+1}) \cdot E^{r+1} \\ &\text{and on the other hand } uE = \sum_r F^r u_r E^{r+1} \end{aligned}$$

where  $\gamma : k[K^{\pm 1}] \rightarrow k[K^{\pm 1}]$  is the ring homomorphism given by  $K \mapsto q^{-2}K$ . So since the above are both expressions in terms of the PBW basis we have that  $u_r = \gamma(u_r) + [r+1]_q [K; -r] u_{r+1}$  for every  $r \in \mathbb{Z}_{\geq 0}$ . In particular, since  $q^r \neq 1$  implies  $[r+1]_q \neq 0$  for all  $r$ , we can always express  $u_{r+1}$  in terms of  $u_r$ ! This shows that the element  $u_0$  totally determines  $u$  : The following proposition follows from these remarks - the introduction of the "shift"  $\gamma : k[K^{\pm 1}] \rightarrow k[K^{\pm 1}]$  to the "projection"  $u = \sum_r F^r u_r E^r \mapsto u_0$  is essentially there just to make the upcoming statement a little cleaner.

**Proposition:** Let  $\xi : Z(U_q(\mathfrak{sl}_2)) \rightarrow k[K^{\pm 1}]$  be defined by  $u = \sum_r F^r u_r E^r \mapsto \gamma(u_0)$ . Then  $\xi$  is a linear injective map, called the *Harish-Chandra homomorphism*.

We can now understand further what elements  $u \in Z(U_q(\mathfrak{sl}_2))$  look like with the aid of the representation theory we developed.

**Proposition:** Let  $u = \sum_r F^r u_r E^r \in Z(U_q(\mathfrak{sl}_2))$  be a central element. Then  $\xi(u) \in k[K^{\pm 1}]$  is a symmetric Laurent polynomial with respect to the automorphism  $K \mapsto K^{-1}$  (i.e. if we express  $\xi(u) = \sum_{i \in \mathbb{Z}} a_i K^i$  then  $a_i = a_{-i}$  for all  $i \in \mathbb{Z}$ ).

*Proof:* Since  $u$  is central and the  $U_q(\mathfrak{sl}_2)$ -module  $M(q^j)$  is generated by one element,  $u$  acts as a scalar on  $M(q^j)$  which is of course given by  $\sum_r F^r u_r E^r \cdot v_{q^j} = u_0 \cdot v_{q^j} = \sum_{i \in \mathbb{Z}} a_i q^{j \cdot i} v_{q^j}$ . However,  $M(q^j)$  also has a submodule generated by  $F^{j+1} v_{q^j}$  isomorphic to  $M(q^{-j-2})$  and just as before we get that  $u$  acts on this subspace as the scalar  $\sum_{i \in \mathbb{Z}} a_i q^{i \cdot (-j-2)}$ ; it follows that we have an equality:

$$\sum_{i \in \mathbb{Z}} a_i q^{j \cdot i} = \sum_{i \in \mathbb{Z}} a_i q^{-i(j+2)}$$

for all  $j \geq 0$ .

Since the (characters) group homomorphisms

$$\begin{aligned} \Phi_i : \mathbb{Z} &\rightarrow k \\ j &\mapsto q^j \end{aligned}$$

are distinct (because  $q$  isn't a root of unity), it follows that they are linearly independent by Dirichlet's theorem and thus

$$a_i = q^{-2i} a_{-i}$$

for all  $i$ , i.e.  $\xi(u) = \gamma(u_0)$  is symmetric.  $\square$

**Remark:** The previous proposition shows that  $\xi$ 's image in  $k[K^{\pm 1}]$  is given by the symmetric Laurent polynomials  $k[K^{\pm 1}]^s$  where  $s : k[K^{\pm 1}] \rightarrow k[K^{\pm 1}]$  maps  $K$  to  $K^{-1}$ . Note that  $\xi$  must actually also be surjective on this subalgebra, because  $\xi(C) = \xi(FE + \frac{qK - q^{-1}K^{-1}}{q - q^{-1}}) = \frac{q^3K - q^{-3}K^{-1}}{q - q^{-1}}$  is a degree one-term, and thus all polynomials in  $k[K^{\pm 1}]$  can be obtained via the images of linear combinations of  $C$  and its powers. Since  $\xi$  is injective by the previous lemma, it follows that it is an isomorphism of algebras and thus

$$Z(U_q(\mathfrak{sl}_2)) \cong k[C].$$

## Kac/Moody Lie algebras

**Definition:** Let  $A = (a_{i,j})_{i,j} \in M_{n \times n}(\mathbb{C})$  be an  $n \times n$  matrix with complex coefficients of *arbitrary rank* (this is the important bit). A *realisation* for  $A$  is a triple  $(\mathfrak{h}, \pi, \pi^\vee)$  consisting of a finite dimensional complex vector space  $\mathfrak{h}$  and subsets of linearly independent vectors of size  $n$

$$\pi = \{\alpha_1, \dots, \alpha_n\} \subseteq \mathfrak{h}^*$$

$$\pi^\vee = \{\alpha_1^\vee, \dots, \alpha_n^\vee\} \subseteq \mathfrak{h}$$

satisfying  $\alpha_j(\alpha_i^\vee) = a_{i,j}$ .

**Remark:** There's always a simple way of constructing a realisation for  $A$ : up to a reordering of the elements in  $\pi$  and  $\pi^\vee$  we can always assume that  $A$  is a matrix of the form

$$A = \begin{pmatrix} \mathbf{A}_0 & * \\ * & * \end{pmatrix}$$

where  $\mathbf{A}_0$  is an  $r \times r$  invertible matrix,  $r$  being  $A$ 's rank.

If we now let  $\mathfrak{h} = \mathbb{C}^{2n-r}$  with basis  $\epsilon_1, \dots, \epsilon_{2n-r}$ , its dual basis  $\epsilon_1^*, \dots, \epsilon_{2n-r}^*$ , and  $C$  the invertible endomorphism of  $\mathfrak{h}^*$  given by the matrix (in terms of the basis  $\{\epsilon_1^*, \dots, \epsilon_n^*\}$ )

$$\begin{pmatrix} \mathbf{A}_0 & * & 0 \\ * & * & \text{id}_{n-r} \\ 0 & \text{id}_{n-r} & 0 \end{pmatrix}$$

then we can set  $\alpha_1^\vee = \epsilon_1, \dots, \alpha_n^\vee = \epsilon_n$  and  $\alpha_1 = C\epsilon_1^*, \dots, \alpha_n = C\epsilon_n^*$ . Since  $C$  is invertible (a pretty quick inspection of  $C$ 's columns shows) it follows that  $\pi$  and  $\pi^\vee$  are both subsets of linearly independent vectors, and they evidently satisfy the condition that  $\alpha_j(\alpha_i^\vee) = a_{i,j}$  since these are precisely the entries in  $C$ 's top left  $n \times n$  submatrix.

The constructed realisation turns out to have *minimal dimension*.

**Proposition:** Let  $A \in M_{n \times n}(\mathbb{C})$  be any  $n \times n$  square matrix with complex coefficients and let  $r$  be  $A$ 's rank. If  $(\mathfrak{h}, \pi, \pi^\vee)$  is any realisation for  $A$  then  $\dim \mathfrak{h} \geq 2n - r$ .

*Proof:* We may complete  $\pi$  and  $\pi^\vee$  arbitrarily to bases for  $\mathfrak{h}^*$  and  $\mathfrak{h}$

$$\alpha_1, \dots, \alpha_n, \alpha_{n+1}, \dots, \alpha_m \in \mathfrak{h}^*$$

$$\alpha_1^\vee, \dots, \alpha_n^\vee, \alpha_{n+1}^\vee, \dots, \alpha_m^\vee \in \mathfrak{h}$$

and thus the matrix  $R = (\alpha_j(\alpha_i^\vee))_{i,j} \in M_{m \times m}(\mathbb{C})$ , whose top left  $n \times n$  submatrix is equal to  $A$  and has rank  $r$ , must be invertible (when thought of as a linear endomorphism, any vector in its kernel represents an element in  $\mathfrak{h}$  annihilated by every linear functional in  $\mathfrak{h}^*$ ). If we take  $B$  to be  $R$ 's submatrix consisting of  $R$ 's first  $n$  rows (thus including the mentioned submatrix  $A$ ) we have that  $R$  has rank  $n$  and  $m$  columns, whose first  $n$  span a subspace of dimension  $r$ . It follows that the remaining  $m - n$  columns must span a subspace of dimension *at least*  $n - r$  since otherwise  $B$  would have rank less than  $n$ , thus

$$m - n \geq n - r$$

which is our claim.  $\square$

We can now begin to construct the Kac-Moody Lie algebra associated to a Generalised Cartan Matrix  $A$ .

**Construction:** Let  $A \in M_{n \times n}(\mathbb{Z})$  be a Generalised Cartan Matrix (i.e. a matrix with integral coefficients, whose diagonal entries are all equal to 2 and whose coefficient  $a_{i,j}$  is zero if and only the reflected one  $a_{j,i}$  is also zero) and let  $(\mathfrak{h}, \pi, \pi^\vee)$  be a minimal realisation for  $A$  with a completed basis  $\alpha_1^\vee, \dots, \alpha_n^\vee, \alpha_{n+1}^\vee, \dots, \alpha_m^\vee$  (the following can be done with no alterations even if  $A$  isn't a Generalised Cartan Matrix and this is how we introduced the notion in the lectures, but I think that's a bit of a redundant generalisation for our purposes). Define  $\tilde{\mathfrak{g}}(A)$  to be the complex Lie algebra given by generators  $\tilde{e}_1, \dots, \tilde{e}_n, \tilde{f}_1, \dots, \tilde{f}_n, \tilde{\alpha}_1^\vee, \dots, \tilde{\alpha}_m^\vee$  subject to the (*generalised*) Chevalley relations:

$$[\tilde{\alpha}_i^\vee, \tilde{\alpha}_j^\vee] = 0,$$

$$[\tilde{e}_i, \tilde{f}_j] = \delta_{i,j} \tilde{\alpha}_i,$$

$$[\tilde{\alpha}_i^\vee, \tilde{e}_j] = \alpha_j(\alpha_i^\vee) \tilde{e}_j,$$

$$[\tilde{\alpha}_i^\vee, \tilde{f}_j] = -\alpha_j(\alpha_i^\vee) \tilde{f}_j.$$

We couldn't possibly hope for  $\tilde{\mathfrak{g}}(A)$  to be finite-dimensional, or even of "reasonable" size, since it contains  $n$  different copies of  $\mathfrak{sl}_2(\mathbb{C})$  given by the triples  $\{\tilde{e}_i, \tilde{f}_i, \tilde{\alpha}_i^\vee\}$  which have no relations among them whatsoever. We thus want to consider a particular quotient of  $\tilde{\mathfrak{g}}(A)$ 's which will yield our (non-quantised) central object for the course.

**Construction:** We now construct a representation for  $\tilde{\mathfrak{g}}(A)$  which will help us understand part of its underlying structure. Fix a weight  $\lambda \in \mathfrak{h}^*$  and let  $V$  be the tensor algebra on the  $n$ -dimensional complex vector space  $\text{span}_{\mathbb{C}}(v_1, \dots, v_n) \cong \mathbb{C}^n$ ; we define  $\tilde{\mathfrak{g}}(A)$ 's action on  $V$  by

$$\begin{aligned}\tilde{f}_i \cdot (v_{i_1} \otimes \dots \otimes v_{i_r}) &:= v_i \otimes v_{i_1} \otimes \dots \otimes v_{i_r} \\ \tilde{\alpha}_i^\vee \cdot (v_{i_1} \otimes \dots \otimes v_{i_r}) &= (\lambda - \alpha_{i_1} - \dots - \alpha_{i_r})(\alpha_i^\vee) \cdot v_{i_1} \otimes \dots \otimes v_{i_r} \\ \tilde{e}_i \cdot 1 &= 0 \\ \tilde{e}_i \cdot (v_{i_1} \otimes \dots \otimes v_{i_r}) &= \delta_{i,i_1} \tilde{\alpha}_{i_1}^\vee \cdot (v_{i_2} \otimes \dots \otimes v_{i_r}) + \tilde{f}_{i_1} \tilde{e}_i \cdot (v_{i_2} \otimes \dots \otimes v_{i_r})\end{aligned}$$

where in the last couple of lines we implicitly define  $\tilde{e}_i$ 's action by induction on  $r$ .

The above do indeed define a representation for  $\tilde{\mathfrak{g}}(A)$  (albeit a very infinite-dimensional one) by simply checking the above relations one by one.

**Remark:** The above construction gives an analogue of the *triangular (Cartan) decomposition* for  $\tilde{\mathfrak{g}}(A)$ : define  $\tilde{\mathfrak{n}}^+, \tilde{\mathfrak{n}}^-, \tilde{\mathfrak{h}}$  to be the Lie-subalgebras of  $\tilde{\mathfrak{g}}(A)$  generated by  $\tilde{e}_i, \dots, \tilde{e}_n$  for  $\mathfrak{n}^+$ ,  $\tilde{f}_1, \dots, \tilde{f}_n$  for  $\mathfrak{n}^-$  and  $\tilde{\alpha}_1^\vee, \dots, \tilde{\alpha}_m^\vee$  for  $\tilde{\mathfrak{h}}$ . Our claim is that  $\tilde{\mathfrak{g}}(A) = \tilde{\mathfrak{n}}^- \oplus \tilde{\mathfrak{h}} \oplus \tilde{\mathfrak{n}}^+$ .

We evidently have  $\tilde{\mathfrak{g}}(A) = \tilde{\mathfrak{n}}^- + \tilde{\mathfrak{h}} + \tilde{\mathfrak{n}}^+$  since the latter is a Lie-ideal (check the Chevalley relations) containing each of  $\tilde{\mathfrak{g}}(A)$ 's natural generators, so all that there is to verify is that the sum is direct. If we suppose  $u + h + u' = 0$  where  $u \in \tilde{\mathfrak{n}}^+, h \in \tilde{\mathfrak{h}}, u' \in \tilde{\mathfrak{n}}^-$  then applying the sum to the element  $1 \in V$  in the above representation yields

$$0 = u(1) + h(1) + u'(1) = \lambda(h) + u'(1)$$

since  $u'(1)$  has positive degree with respect to  $V = T^{\otimes}(v_1, \dots, v_n)$  it follows that  $\lambda(h) = 0$  and  $u'(1) = 0$ ; since  $\lambda$  was arbitrary in  $\mathfrak{h}^*$ , we have that  $h = 0$  and  $u' = 0$ .

We also have a nice decomposition into *root spaces* for  $\tilde{\mathfrak{g}}(A)$ : we set  $\tilde{\mathfrak{g}}(A)_\alpha := \{u \in \tilde{\mathfrak{g}}(A) \mid [h, u] = \alpha(h)u \text{ for all } h \in \tilde{\mathfrak{h}}\}$  for every  $\alpha \in Q$  where  $Q := \mathbb{Z}\alpha_1 \oplus \dots \oplus \mathbb{Z}\alpha_n \subseteq \mathfrak{h}^*$  is the *root lattice*; we thus have that  $\mathfrak{h} \subseteq \tilde{\mathfrak{g}}(A)_0$  and  $[e_i, \tilde{\mathfrak{g}}(A)_\alpha] \subseteq \tilde{\mathfrak{g}}(A)_{\alpha+\alpha_i}, [f_i, \tilde{\mathfrak{g}}(A)_\alpha] \subseteq \tilde{\mathfrak{g}}(A)_{\alpha-\alpha_i}$ ; thus  $\tilde{\mathfrak{n}}^+ \subseteq \bigoplus_{\alpha \in Q^+} \tilde{\mathfrak{g}}(A)_\alpha$  and  $\tilde{\mathfrak{n}}^- \subseteq \bigoplus_{\alpha \in Q^-} \tilde{\mathfrak{g}}(A)_\alpha$ . Hence

$$\tilde{\mathfrak{g}}(A) \supseteq \left( \bigoplus_{\alpha \in Q^+} \tilde{\mathfrak{g}}(A)_\alpha \right) \oplus \left( \bigoplus_{\alpha \in Q^-} \tilde{\mathfrak{g}}(A)_\alpha \right) \oplus \tilde{\mathfrak{g}}(A)_0 \supseteq \tilde{\mathfrak{n}}^+ \oplus \tilde{\mathfrak{h}} \oplus \tilde{\mathfrak{n}}^- = \tilde{\mathfrak{g}}(A).$$

**Definition:** Let  $A$  be a Generalised Cartan Matrix and  $\tilde{\mathfrak{g}}(A)$  as constructed above. Note that the collection of Lie-ideals  $\{I \subseteq \tilde{\mathfrak{g}}(A) \mid I \cap \tilde{\mathfrak{h}} = (0)\}$  whose intersection with the cartan subalgebra is trivial has a maximal element, namely given by the sum of all such ideals. Let  $I$  be this maximal element. The Lie algebra  $\mathfrak{g}(A) := \tilde{\mathfrak{g}}(A)/I$  is called the *Kac-Moody Lie algebra associated to  $A$* . We denote by  $p : \tilde{\mathfrak{g}}(A) \rightarrow \mathfrak{g}(A)$  the natural projection map, whose kernel is  $I$ , and  $I^+, I^-$  the intersections of  $I$  with the triangular parts  $\tilde{\mathfrak{n}}^+, \tilde{\mathfrak{n}}^-$ .

We require a few rather technical lemmas and notions. The following generalises the notion of "*highest-weight vector*", and by the following proposition it essentially serves the same purpose.

**Definition:** Let  $M$  be a  $\mathfrak{g}(A)$ -module. A *pseudoprimitive* element  $v \in M$  is a weight vector such that there exists some  $\mathfrak{g}(A)$ -submodule  $N \subseteq M$  not containing  $v$  but such that  $e_i \cdot v \in N$  for all  $i$ .

In other words,  $v$  is pseudoprimitive if it is primitive (i.e. of highest weight) in some quotient of  $M$ 's.

**Proposition:** Let  $M$  be a  $\mathfrak{g}(A)$ -module admitting a weight-space decomposition  $M = \bigoplus_{\lambda \in \mathfrak{h}^*} M_\lambda$  such that  $M$ 's set of weights satisfy the "*mountains-condition*":

$$WT(M) \subseteq \bigcup_{\mu \in X} \{\lambda \in \mathfrak{h}^* \mid \lambda \leq \mu\}$$

for some finite set of weights  $X \subseteq \mathfrak{h}^*$ . If we let  $P(M) \subseteq M$  denote the set of pseudoprimitive vectors in  $M$ , then  $P(M)$  is a set of generators for  $M$  as a  $\mathfrak{g}(A)$ -module.

*Proof:* Let  $P$  be the  $\mathfrak{g}(A)$ -submodule of  $M$ 's generated by  $P(M)$ . If  $P \subsetneq M$  then  $M/P$  must contain a highest weight vector, and thus  $M$  contains a pseudoprimitive vector which doesn't lie in  $P$ .  $\square$

**Proposition:** Let  $M$  be a  $\mathfrak{g}(A)$ -module admitting a weight-space decomposition which satisfies the *mountain-condition*. Then  $P(M)$  is a set of generators for  $M$  as an  $\mathfrak{n}^-$ -module.

*Proof:* Let  $P \subseteq M$  be the  $\mathfrak{n}^-$ -submodule in  $M$  generated by pseudoprimitive vectors  $P(M)$ , and suppose by contradiction that  $P \subsetneq M$  is a proper subspace.  $M$  is generated as a  $\mathfrak{g}(A)$ -module by pseudoprimitive vectors by the previous proposition, we must have  $U(\mathfrak{g}(A))v$  contains some element  $u \cdot v$  outside of  $P$  for some  $v \in P(M)$ . We can write  $u \in U(\mathfrak{g}(A))$  as  $u' + u''$  where  $u' \in U(\mathfrak{n}^-)$  and  $u'' = u_1 x \in U(\mathfrak{n}^-)U(\mathfrak{n}^+)\mathfrak{n}^+$  -  $u_1 \in U(\mathfrak{n}^-)$  and  $x \in U(\mathfrak{n}^+)\mathfrak{n}^+$  - and since  $u' \cdot v \in P$  it must be that  $u'' \cdot v \notin P$ ; also, since  $u_1 \in U(\mathfrak{n}^-)$  it must also be that  $x \cdot v \notin P$ . Since  $x \cdot v$  isn't pseudoprimitive, it follows that  $x \cdot v$  must lie in the  $U(\mathfrak{g}(A))$ -module generated by the vectors  $e_1 x \cdot v, \dots, e_n x \cdot v$ ; so the  $U(\mathfrak{g}(A))$ -submodule given by

$$U(\mathfrak{g}(A))\mathfrak{n}^+ x \cdot v = U(\mathfrak{n}^-)U(\mathfrak{n}^+)\mathfrak{n}^+ x \cdot v \subseteq M$$

contains vectors which don't lie in  $P$  (namely  $x \cdot v$ ) and hence  $U(\mathfrak{n}^+)\mathfrak{n}^+ x \cdot v$  must contain some vector which doesn't lie in  $P$  (since  $P$  is an  $\mathfrak{n}^-$ -submodule), say  $x' x \cdot v \notin P$ . We can thus iterate this procedure and get a sequence of weight vectors

$$v, v_1, v_2, v_3, \dots$$

where  $v_i \notin P$  for every  $i$  and  $v_i = x v_{i-1}$  for some  $x \in U(\mathfrak{n}^+)\mathfrak{n}^+$  implying thus that  $v_i$ 's weight is always strictly greater than that of  $v_{i+1}$ . By our assumption on  $M$ 's weight structure, sure an infinite sequence of weight vectors can't occur. Thus  $P = M$ .  $\square$

**Definition:** Let  $A \in \mathcal{M}_{n \times n}(\mathbb{Z})$  be a generalised cartan matrix;  $A$  is said to be *symmetrisable* if there exists some diagonal matrix  $D \in \mathcal{M}_{n \times n}(\mathbb{Z})$  with integral coefficients such that  $DA$  is symmetric.

The above notion of *symmetrisability* reflects the recoupling in the classical case of semisimple Lie algebras  $\mathfrak{g}$  of the matrix  $(\kappa(\alpha_i^\vee, \alpha_j^\vee))_{i,j}$  where  $\kappa$  is the Killing bilinear form restricted to the Cartan subalgebra  $\mathfrak{h} \subseteq \mathfrak{g}$  from the

matrix of Cartan integers  $(\langle \alpha_i, \alpha_j \rangle)_{i,j}$ : recall that to recover the Killing  $\kappa$  on  $\mathfrak{h}$  form from  $(\langle \alpha_i, \alpha_j \rangle)_{i,j} = (\frac{2\kappa(\alpha_i^\vee, \alpha_j^\vee)}{\kappa(\alpha_j^\vee, \alpha_j^\vee)})$  all one has to do it multiply the matrix of Cartan integers on the right by the diagonal matrix whose diagonal entries are  $\frac{\kappa(\alpha_1^\vee, \alpha_1^\vee)}{2}, \dots, \frac{\kappa(\alpha_n^\vee, \alpha_n^\vee)}{2}$ .

In some sense, having a bilinear form on  $\mathfrak{h}$  turns out to be equivalent to requiring the existence of such a matrix "symmetriser"  $D$ .

To work with Kac-Moody Lie algebras more concretely, we will need to understand  $I$ 's structure in a more explicit manner: this is very possible by means of the mentioned bilinear form on  $\mathfrak{h}$ , in this extra hypothesis of *symmetrisability*.

**Definition:** Suppose  $A$  is a symmetrisable Generalised Cartan Matrix,  $D$  a diagonal matrix with integral coefficients  $d_1, \dots, d_n$  such that  $DA$  is symmetric. If we pick a complement  $\mathfrak{h}' \subseteq \mathfrak{h}$  to the subspace  $\mathfrak{h}_0$  in  $\mathfrak{h}$  spanned by the roots  $\alpha_1^\vee, \dots, \alpha_n^\vee$  then we can naturally define a non-degenerate symmetric bilinear form on  $\mathfrak{h}$  by setting

$$\begin{aligned} (\alpha_i^\vee, \alpha_j^\vee) &= d_i \langle \alpha_j, \alpha_i^\vee \rangle = d_i a_{i,j}, \\ (\alpha_i^\vee, x) &= d_i \alpha_i(x), \quad x \in \mathfrak{h}' \\ (x, y) &= 0, \quad x, y \in \mathfrak{h}'. \end{aligned}$$

**Proposition:** Let  $A \in \mathcal{M}_{n \times n}(\mathbb{Z})$  be a symmetrisable generalised cartan matrix,  $\tilde{\mathfrak{g}}(A) \twoheadrightarrow \mathfrak{g}(A)$  the natural projection with kernel  $I = I^+ + I^-$  where  $I^\pm = I \cap \tilde{\mathfrak{n}}^\pm$ . We have an injective homomorphism of  $\mathfrak{g}(A)$ -modules

$$I^-/[I^-, I^-] \xrightarrow{\cong} \bigoplus_{i=1, \dots, n} M(-\alpha_i)$$

where  $M(\lambda)$  is the Verma  $\mathfrak{g}(A)$ -module of highest weight  $\lambda \in \mathfrak{h}^*$ .

*Proof:* Consider the  $\tilde{\mathfrak{g}}(A)$ -Verma module  $\tilde{M}(0)$  of highest weight zero, and define its suspace

$$\tilde{J}(0) := \sum_{i=1}^n U(\tilde{\mathfrak{n}}^-) \tilde{f}_i \cdot v_0 \subseteq \tilde{M}(0).$$

A simple computation shows  $\tilde{J}(0)$  is actually a  $\tilde{\mathfrak{g}}(A)$ -submodule (it's evidently stable under the action of  $\mathfrak{n}^-$  and  $\mathfrak{h}$ , and a quick induction on the number of factors shows that it is stable under the action of  $U(\mathfrak{n}^+)$  as well - using that  $v_0$ 's weight is zero and thus  $\alpha_i^\vee \cdot v_0 = 0$  for all  $i$ ). The vectors  $\tilde{f}_1 \cdot v_0, \dots, \tilde{f}_n \cdot v_0 \in \tilde{J}(0)$  are evidently highest weight, and thus induce a homomorphism of  $\tilde{\mathfrak{g}}(A)$ -modules

$$\bigoplus_{i=1, \dots, n} \tilde{M}(-\alpha_i) \twoheadrightarrow \tilde{J}(0)$$

which must be an isomorphism by the PBW theorem. Extension of scalars yields

$$\bigoplus_{i=1,\dots,n} M(-\alpha_i) \cong U(\mathfrak{g}(M)) \otimes_{U(\tilde{\mathfrak{g}}(A))} \tilde{J}(0).$$

So in our proposition we can actually replace this direct sum of Verma modules  $\bigoplus_{i=1,\dots,n} M(-\alpha_i)$  with  $U(\mathfrak{g}(M)) \otimes_{U(\tilde{\mathfrak{g}}(A))} \tilde{J}(0)$ . Define a map (of vector spaces at the moment)

$$\phi : u \in I^-/[I^-, I^-] \mapsto 1 \otimes uv_0 \in U(\mathfrak{g}(M)) \otimes_{U(\tilde{\mathfrak{g}}(A))} \tilde{J}(0)$$

which is well defined since  $u \in I^- \subseteq \tilde{\mathfrak{n}}^-$  and  $1 \otimes [u, u']v_0 = p(u) \otimes u'v_0 - p(u') \otimes uv_0 = 0$  since  $p(u) = p(u')$  for elements  $u, u' \in I^-$ .

The kernel of the lifted map  $\bar{\phi} : I^- \rightarrow U(\mathfrak{g}(M)) \otimes_{U(\tilde{\mathfrak{g}}(A))} \tilde{J}(0)$  evidently contains  $[I^-, I^-]$  by our above computation, so to show  $\phi$ 's injective we need to show this kernel is precisely  $[I^-, I^-]$ . Let  $\pi : U(\tilde{\mathfrak{n}}^-) \rightarrow U(\mathfrak{n}^-)$  be the projection induced by the quotient map  $\tilde{\mathfrak{n}}^- \twoheadrightarrow \tilde{\mathfrak{n}}^-/I \cong \mathfrak{n}^-$ .  $\pi$ 's kernel is, by one of the exercise sheets, the extended ideal  $I^-U(\tilde{\mathfrak{n}}^-)$ . If we think of  $I^-$  as being the *degree one terms* in  $U(I^-)$  then a generic element in  $\ker \bar{\phi}$  is of the form

$$\sum_{i=1}^n u_i f_i \in \ker \phi$$

where  $\sum_{i=1}^n \pi(u_i) \otimes \tilde{f}_i v_0 = 0$  and, under the above isomorphism  $U(\mathfrak{g}(M)) \otimes_{U(\tilde{\mathfrak{g}}(A))} \tilde{J}(0) \cong \bigoplus_{i=1}^n M(-\alpha_i)$  we get that  $\sum_{i=1}^n \pi(u_i) v_{-\alpha_i} = 0$  and thus  $\pi(u_i) = 0$  for every  $i$  since the  $v_{-\alpha_i}$  lie in distinct subrepresentations. So we get  $u_i \in \ker \pi = U(\tilde{\mathfrak{n}}^-)I^-$  and thus

$$\sum_{i=1}^n u_i f_i \in I^-U(\mathfrak{n}^-) \cap I^-.$$

However, this last Lie-subalgebra of  $I^-$ 's is precisely  $[I^-, I^-]$  :

**Lemma:** Let  $L' \subseteq L$  be Lie algebras,  $L'$  a subalgebra of  $L$ 's. Then  $L'U(L) \cap L' = [L', L']$ .

*Proof:* Evidently  $[x, y] \in L'U(L) \cap L'$  for every  $x, y \in L'$  essentially by definition of  $L' \subseteq L$  being a Lie-subalgebra.

For the converse, let  $\{x_1, \dots, x_r\} \subseteq L'$  be a basis, and we may extend to a basis for  $L$  as  $\{x_1, \dots, x_r, y_{r+1}, \dots, y_t\} \subseteq L$  which induces a PBW-basis for  $U(L)$  given by monomials respecting the expressed order.

Let  $\sum_{(a)} b_{(a)} x_1^{a_1} \dots x_r^{a_r} y_{r+1}^{a_{r+1}} \dots y_t^{a_t} \in L'U(L)L \cap L' \implies a_{r+1} = \dots = a_t = 0$  and  $a_1 + \dots + a_r \geq 2$  for every  $(a)$  such that  $b_{(a)}$  is non-zero. So we get

$$L'U(L)L \cap L' \subseteq \dots\dots$$

**Proof of Serre relations:** Let  $J \subseteq I \subseteq \tilde{\mathfrak{g}}(A)$  be the ideal generated by the Serre relations, and suppose by contradiction that  $I/J$  is a non-zero vector space. By the existence of the Cartan involution, we get that  $I^-/J^-$  is non-zero, and  $I^-/J^-$ 's weight space decomposition is made up of weights contained in  $Q^-$  (since such is true for  $I^-$ ).

Furthermore, evidently the roots  $-\alpha_i$  can't occur as weights for  $I^-/J^-$  since these would produce elements in the Cartan (because such a weight space would also imply  $(I^+/J^+)_{\alpha_i} \neq 0$  for the same  $i$ ).

Let  $\alpha = \sum_i c_i \alpha_i \in Q^+$  be such that  $(I^-/J^-)_{-\alpha} \neq 0$  and  $\text{ht}(\alpha) = \sum_i c_i \in \mathbb{Z}_{\geq 2}$  is minimal. It follows that (by the Braid group action)  $(I^-/J^-)_{-s_{\alpha_i}(\alpha)} \neq 0$  for all  $i$  and thus  $\text{ht}(\alpha) \leq \text{ht}(s_{\alpha_i}(\alpha))$ . Computing these numbers explicitly yields

$$\sum_j c_j \leq \sum_j c_j \sum_j c_j \langle \alpha_j, \alpha_i \rangle \implies \sum_j c_j \langle \alpha_j, \alpha_i \rangle \leq 0$$

thus

$$(\alpha, \alpha) = \sum_i c_i (\sum_j c_j \langle \alpha_j, \alpha_i \rangle) = \sum_i \frac{c_i(\alpha_i, \alpha_i)}{2} \sum_j c_j \langle \alpha_j, \alpha_i \rangle \leq 0$$

However! We have that  $2(\alpha, \rho) = \sum_j 2c_j(\alpha_i, \rho) \geq 0 \implies (\alpha, \alpha) \neq 2(\alpha, \rho)$ .

## References

- [1] *Infinite Dimensional Lie Algebras*, Victor Kac.
- [2] *Lectures on quantum groups*, Jantzen