
Problem Set 2

Name: Thomas Ren

Collaborators: None

Before beginning the recurrence problem, I will write down the **Master Theorem**.

Master Theorem: Given a equation like the following form

$$T(n) = aT\left(\frac{n}{b}\right) + f(n), \quad a \geq 1, b \geq 1$$

where **a** is the branching factor, **b** is the time reduced by each recurrence, $f(n)$ is the complexity of operation needed for each node.

The complexity can be deduced as the following three situations depending on the form of $f(n)$.

1. $f(n) = O(n^{\log_b a - \epsilon})$, $\epsilon > 0$
 $\Rightarrow T(n) = \Theta(n^{\log_b a})$
2. $f(n) = \Theta(n^{\log_b a} \log^k n)$, $k \geq 0$
 $\Rightarrow T(n) = \Theta(n^{\log_b a} \log^{k+1} n)$, this also works by substitute Θ with O or Ω
3. $f(n) = \Omega(n^{\log_b a + \epsilon})$, $\epsilon > 0$ and $af\left(\frac{n}{b}\right) < cf(n)$, $c \in (0, 1)$
 $\Rightarrow T(n) = \Theta(f(n))$

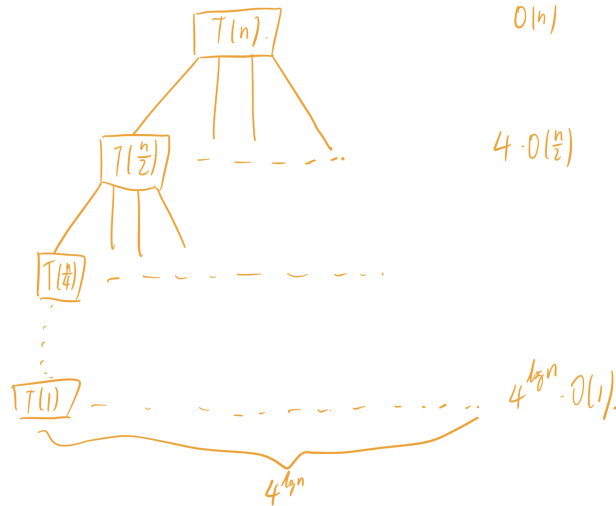
Problem 2-1.

(a) Master Theorem:

For a template of solving this sort of problems, it includes the following steps:

1. Get the parameters a, b and $f(n)$.
Here, $a = 4, b = 2, f(n) = O(n)$
2. Decide which case does $f(n)$ fit.
Here, $\log_b a = \log_2 4 = 2$
 $f(n) = O(n) = O(n^{2-\epsilon})$, $\epsilon > 0$
So, **case 1**.
3. Derive $T(n)$ according to the theorem.
 $\Rightarrow T(n) = \Theta(n^{\log_b a}) = \Theta(n^2)$

Recursion Tree:



Assuming $O(n) = cn$, for some constant c .

Then we can convert this recursion tree to a **geometry series**.

$$\begin{aligned}
 T(n) &= O(n) + 4O\left(\frac{n}{2}\right) + \cdots + 4^{\log n} O(1) \\
 &= cn + 2cn + \cdots + 2^{\log n} cn \\
 &= \sum_{i=0}^{\log n} 2^i cn \\
 &= cn(2^{\log n + 1} - 1) \\
 &< 2cn^2 \\
 &= O(n^2)
 \end{aligned} \tag{1}$$

(b) Master Theorem:

For this part, we need to upper bound $T(n)$ and lower bound it by choosing $f(n)$ to be $\Theta(n^4)$ or 0.

- $f(n) = \Theta(n^4)$ to upper bound $T(n)$.
 - $a = 3, b = \sqrt{2}, f(n) = \Theta(n^4)$
 - $\log_b a = \log_{\sqrt{2}} 3 = \log_2 9 \in (3, 4)$
 - $f(n) = \Theta(n^4) = \Omega(n^{\log_2 9})$
 - $af\left(\frac{n}{b}\right) = 3f\left(\frac{n}{\sqrt{2}}\right) = \frac{3}{4}n < cn, c \in (0, 1)$

Case 3.

- $\Rightarrow T(n) = \Theta(f(n)) = \Theta(n^4)$
- Since this is upper bounding $T(n)$, $T(n) = O(n^4)$
- $f(n) = 0$ to lower bound $T(n)$
 - $a = 3, b = \sqrt{2}, f(n) = 0$

- $\log_b a = \log_{\sqrt{2}} 3 = \log_2 9 \in (3, 4)$
 $f(n) = 0 = O(\log_b a - \epsilon), \quad \epsilon > 0$

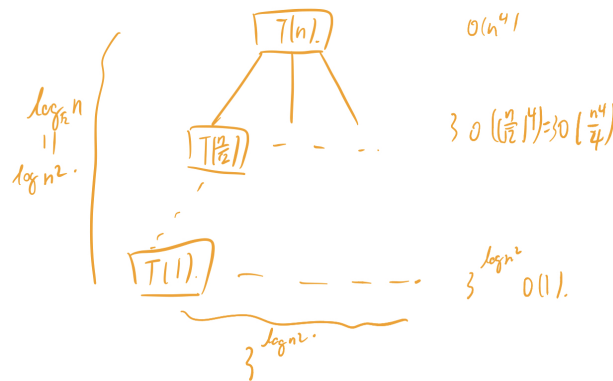
Case 1.

- $\Rightarrow T(n) = \Theta(n^{\log_b a}) = \Theta(n^{\log_2 9})$

Since this is lower bounding $T(n)$, $T(n) = \Omega(n^{\log_2 9})$

- As a conclusion, $T(n) = O(n^4)$ and $T(n) = \Omega(n^{\log_2 9})$

Recursion Tree:



1. $f(n) = \Theta(n^4)$

$$T(n) = \Theta(n^4) + 3\Theta\left(\left(\frac{n}{\sqrt{2}}\right)^4\right) + \dots + 3^{\log n^2} \Theta(1)$$

$$= cn^4 + \frac{3}{4}cn^4 + \dots + \left(\frac{3}{4}\right)^{\log n^2} cn^4$$

$$= \sum_{i=0}^{\log n^2} c\left(\frac{3}{4}\right)^i n^4$$

$$< \sum_{i=0}^{\infty} c\left(\frac{3}{4}\right)^i n^4$$

$$= 4cn^4 = O(n^4)$$

1. $f(n) = 0$

In this situation, we only need to compute the number of leaves, where each leaf is $\Theta(1)$, so $T(n) = \Omega(3^{\log n^2})$

As a conclusion, $T(n) = O(n^4)$ and $T(n) = \Omega(n^{\log_2 9})$

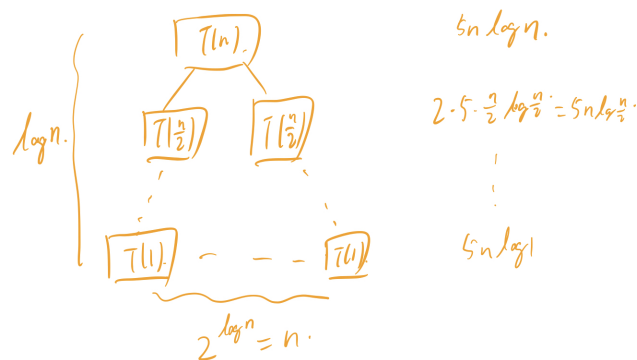
(c) Master Theorem:

1. $a = 2, b = 2, f(n) = n \log n$
2. $\log_b a = \log_2 2 = 1$
 $f(n) = \Theta(n \log n) = \Theta(n^{\log_b a} \log^k n)$

Case 2.

$$3. \Rightarrow T(n) = \Theta(n^{\log_b a} \log^{k+1} n) = \Theta(n \log n^2)$$

Recursion Tree:



$$\begin{aligned}
 T(n) &= 5n \log n + 2 \times 5 \frac{n}{2} \log \frac{n}{2} + \dots + 2^{\log n} \\
 &= \sum_{i=0}^{\log n} 5n(\log n - i) \\
 &= 5n(\log^2 n - \sum_{i=0}^{\log n} i) \\
 &= \Theta(5n \log^2 n)
 \end{aligned} \tag{2}$$

(d) Substitution:

The template of substitution method is: First, guess the form of the solution. Second, use induction to prove that.

1. **Guess:** $T(n) = \Theta(n^2)$

2.

$$\begin{aligned}
 T(n) &= T(n-2) + \Theta(n) = \Theta((n-2)^2) + \Theta(n) \\
 &\Rightarrow T(n) = c(n-2)^2 + dn
 \end{aligned}$$

, for some constant c and d .

$$\Rightarrow T(n) = cn^2 - 4cn + 4c + dn = \Theta(n^2)$$

Q.E.D

Problem 2-2.

(a) In this part, the *get_at()* operation takes constant time but *set_at()* operation takes $\Theta(n \log n)$ time. Thus making the *set_at()* operation a more important factor when considering the which sorting algorithm to use.

1. First, the merge sort algorithm is not **in-place**, so we have to rule it out.
2. Then we consider the use of *set_at* operations for *selection sort* and *insertion sort*.
Selection Sort: $O(n)$ *set_at* operations for swapping the largest value and the last item of subarray.
Insertion Sort: $O(n^2)$ *set_at* operations for insert the minimum value in to the subarray and move the rest on the right for one.
3. Thereby, we choose **Selection Sort** in this situation.

(b) Since we have pointers here, we can set and get the items in constant time, Which makes us focus on the comparison cost which is $\Theta(\log n)$ time.

1. For both **Selection Sort** and **Insertion Sort**, the comparison can be $\sum_{i=1}^{n-1} i = O(n^2)$, for comparing every item with items not in the subarray.
2. **Merge Sort** takes $O(n \log n)$ comparisons for being the lower bound of comparison model in a binary tree.
3. Thereby, we choose **Merge Sort** in this situation.

(c) This part of problem stands on whether the running time of algorithms depends on the order of the input list.

1. For **Selection Sort** and **Insertion Sort**, they both need to run $\Theta(n^2)$ and $\Theta(n \log n)$ time that are independent from the input order.
 So, the running time of **Selection Sort** and **Insertion Sort** will be $\Theta(n^2)$ and $\Theta(n \log n)$.
2. For **Insertion Sort**, the running time of insertion depends on the order of input.
 For instance, if the insert index is k spaces away, then the *insert* operation takes $\Theta(k)$ time.
 As we known from the problem, $k = O(\log \log n)$, which makes the **whole running time** to be $\Theta(n + k) = \Theta(n + \log \log n) = \Theta(n)$.
3. Thereby, we choose **Insertion Sort** in this situation.

Problem 2-3. Before dealing with this problem, I would like to introduce a search algorithm that would quickly determine the range of a certain item.

Exponential Search: for each step, say i_{th} step, we jump to the 2^i_{th} index if it's in the range, otherwise to the end of the list.

This searching algorithm will take $\Theta(\log n)$ running time to determine the range and can be easily proven by taking logarithmic on both sides.

1. **Find the range:** We will do this search starting from both ends, so this only takes $\Theta(\log k)$ running time for finding the range.

2. **Pinpoint Datum:** Pinpoint Datum using **Bisection Search**. This also takes $\Theta(\log k)$ running time.

Since the range will be $2^{j+1} - 2^j$, so Bisection Search takes $\Theta(\log 2^{j+1} - 2^j)$ running time, which is $\Theta(1 + j)$, $j = \lfloor \log k \rfloor$. That gives to $\Theta(\log k)$

Problem 2-4. Before defining the structure of database, we need to analyze the problem and the given operations.

1. We need a data structure to store the viewers. And according to the $send(v, m)$ operation and $ban(v)$ operation, we need to find the viewers in $O(\log n)$ time. **So, the data structure should be a sorted list.**
2. The $build(V)$ operation allows more than linear complexity, so we can use **merge sort** to sort the list, which is $O(n \log n)$, instead of randomly append the a new viewer to the list. This means for initial viewers, we append them to a list and use merge sort, then for every new viewers, we use insertion sort.
The running time should be $O(n \log n + n) = O(n \log n)$.
3. Then we need another data structure to store the chatting. Since the $ban(v)$ operation needs to delete all the messages sent by v , the data structure to store messages has to be **link list**.
4. Besides, to reach the messages in $O(1)$ time, we have to adhere a pointer to the viewers message when using $send(v, m)$ operation.

Here, we give the implemented data structure as follows:

1. A sorted array S sorted by user's key, think of user's ID as a machine word. Each item in the list is a tuple of viewer's ID and pointer pair, namely, (v, p_v) . This pointer points to a link list, which can be either singly linked or doubly linked, and this link list stores all the messages sent by this viewer.
2. This link list L_v is the link list that stores the all the messages sent by a certain viewer. And each item in the link list also has pointer pointing to the same item in a larger link list, note that this larger link list L has to be doubly linked, that stores all the messages sent by any viewers in the room.
For example, assuming L_v is a singly linked list, each node has attribute $self.next$ is the next message; $self.item$ is the current message; $self.chro$ is the node in the larger link list L .
3. The larger link list L contains all the messages, so that $recent(k)$ operation can be easily satisfied by this data structure.

Problem 2-5.

- (a) Inspired by linear sorting and the **Problem 5** in problem session 3, we can build a constant time frequency table which have length of the **largest terminate time to least start time**.

Then, we can easily implement a linear time algorithm to get the booking schedule B . To be more specifically, this algorithm get the booking schedule B in $O(cn)$ time, where $c = \Omega(t_{max} - s_{min})$.

Before implementing this part, we have first implemented the second part, which is the *satisfying_booking*(R) algorithm in $O(n)$ time.

Then we can break the $B1$ and $B2$ to two different **frequency tables** and simply concatenate these two frequency tables in **linear time**.

Good news is that the merged two frequency tables serves as the same function as a set of talk requests.

At last, we can use this set of talk requests to get the booking schedule $B = B1 \text{ merge } B2$ in **linear time**.

- (b) This part is the essence of our algorithm.

We will break this task by the following steps:

1. Build a frequency table, that count how much bookings are there in one time span in **linear time**.
2. Traverse the frequency table to get a room booking, i.e. find the discontinuous indices in the frequency table in **constant time**.
3. Each chunk of discontinuous indices should be appended with start time and terminate time to the final list.
4. Turn the list to tuple from list in **linear time**.

Thereby, we finished our solution.

- (c) Our code are as follows and has passed the unittest.

```

1  def satisfying_booking(R):
2      '''
3      Input:  R | Tuple of |R| talk request tuples (s, t)
4      Output: B | Tuple of room booking triples (k, s, t)
5              | that is the booking schedule that satisfies R
6      '''
7      B = []
8      #####
9      # YOUR CODE HERE #
10     #####
11     start_time = []
12     terminate_time = []
13     minimum_start_time = 0
14     maximum_terminate_time = 0

```



```

15     for (start, terminate) in R:                # O(n)
16         start_time.append(start)
17         terminate_time.append(terminate)
18         if terminate >= maximum_terminate_time:
19             maximum_terminate_time = terminate
20         if start <= minimum_start_time:
21             minimum_start_time = start
22
23     time_span = maximum_terminate_time - minimum_start_time # O(1)
24     booking_agenda = [0] * time_span
25
26     for (start, terminate) in R:                # O(cn), for some constant c
27         for i in range(start, terminate):      # O(1)
28             booking_agenda[i] += 1
29
30     start = minimum_start_time
31     terminate = minimum_start_time + 1
32     relative_time = 0
33     i = 0
34
35     while terminate < maximum_terminate_time: # O(1)
36         if booking_agenda[start + relative_time] == booking_agenda[start + relative_time + 1]:
37             terminate += 1
38             relative_time += 1
39         else:
40             relative_time = 0
41             if booking_agenda[start + relative_time] != 0:
42                 B.append((booking_agenda[start + relative_time], start, terminate))
43                 start = terminate
44                 terminate += 1
45     B.append((booking_agenda[start + relative_time], start, terminate))
46
47     return tuple(B)
48
49 def merge_booking(B1, B2):
50     '''
51     :param B1: a booking schedule
52     :param B2: a booking schedule
53     :return: a booking schedule that merges the B1 and B2
54     '''
55     R1 = []
56     R2 = []
57     for num, start, terminate in B1:
58         while num > 0:
59             R1.append((start, terminate))
60             num -= 1
61     for num, start, terminate in B2:
62         while num > 0:
63             R2.append((start, terminate))
64             num -= 1
65

```

```
66     R = R1 + R2 # O(n)
67     B = satisfying_booking(R)
68
69     return B
```

Submit your implementation to `alg.mit.edu`.