REVIEW ARTICLE

Jeffrey M. Drazen, M.D., Editor

Severe and Difficult-to-Treat Asthma in Adults

Elliot Israel, M.D., and Helen K. Reddel, M.B., B.S., Ph.D.

ATIENTS WITH SEVERE ASTHMA MAKE UP ONLY 3%¹ TO 10%² OF THE POPUlation of adults with asthma, but their care is estimated to account for more than 60% of the costs associated with asthma, which are primarily for medications.³ Health care costs per patient for severe asthma are higher than those for type 2 diabetes, stroke, or chronic obstructive pulmonary disease (COPD).⁴ Severe asthma also imposes a substantial burden owing to symptoms, exacerbations, and medication side effects, which have profound consequences for mental and emotional health, relationships, and careers.⁵

Considerable progress in understanding and treating severe asthma has been made in the past 5 years. Advances include formulation of a standardized definition² and evidence-based treatment guidelines,² compilation of substantial evidence about phenotypic patterns and biomarkers, and the availability or near-approval of novel targeted treatments.

In this review, we focus on severe and difficult-to-treat asthma in adults. We first outline an integrated approach to assessment and management, to ensure that the patient has severe asthma and, if so, to determine whether the care takes full advantage of currently available treatments that are not based on monoclonal antibody techniques. We also outline the underlying pathobiologic features of the airway in severe asthma and describe new therapeutic agents that have been developed to target this condition.

DEFINITIONS

In 2014, a consensus definition of severe asthma was published that drew a distinction between difficult-to-treat asthma and severe asthma.2 Difficult-to-treat asthma is asthma that remains uncontrolled despite treatment with high-dose inhaled glucocorticoids or other controllers, or that requires such treatment to remain well controlled. Severe asthma is a subset of difficult-to-control asthma; the term is used to describe patients with asthma that remains uncontrolled despite treatment with high-dose inhaled glucocorticoids combined with a longacting β_3 -agonist (LABA), a leukotriene modifier, or theophylline for the previous year or treatment with systemic glucocorticoids for at least half the previous year. The term is also used to describe asthma that requires such treatment in order to remain well controlled; it excludes patients in whom asthma is vastly improved with optimization of adherence, inhaler technique, and treatment of coexisting conditions.² The criteria for uncontrolled asthma² include exacerbations, poor symptom control, lung-function impairment, or a combination of these (Table S1 in the Supplementary Appendix, available with the full text of this article at NEJM.org). The lung-function criterion² (forced expiratory volume in 1 second [FEV₁] of <80% of the predicted value on a single occasion) is debatable.

From the Pulmonary and Critical Care Medicine Division–Division of Rheumatology, Immunology, and Allergy, Department of Medicine, Brigham and Women's Hospital and Harvard Medical School, Boston (E.I.); and the Clinical Management Group and Centre for Research Excellence in Severe Asthma, Woolcock Institute of Medical Research, University of Sydney, Sydney (H.K.R.). Address reprint requests to Dr. Israel at Pulmonary and Critical Care Medicine Division, Brigham and Women's Hospital, 75 Francis St., Boston, MA 02115, or at eisrael@partners.org.

N Engl J Med 2017;377:965-76. DOI: 10.1056/NEJMra1608969 Copyright © 2017 Massachusetts Medical Society.

INTEGRATED MULTIDISCIPLINARY APPROACH TO ASSESSMENT AND MANAGEMENT

As outlined in Figure 1, and in Table S2 and Figure S1 in the Supplementary Appendix, the first step is to confirm the diagnosis of severe asthma. This includes confirming the diagnosis of asthma and checking that patients have adhered to conventional treatment and that coexisting conditions have been treated. Implementation of these critical steps results in the reclassification of the disease in approximately 50% of patients who were thought to have severe asthma.6 The next step is to implement an adequate trial of therapy with high-dose inhaled glucocorticoids and LABAs. Assessing adherence and inhaler technique is critical, since problems with these account for 50 to 80% of cases of uncontrolled asthma.1 Dispensing records or electronic monitoring of inhaler use may suggest poor adherence. It may also be suggested by a positive therapeutic response to intramuscular slow-release formulations of glucocorticoids, such as triamcinolone, or by suppression of the fraction of exhaled nitric oxide (Feno) after 5 days of directly observed therapy with inhaled glucocorticoids.7

Finally, it is imperative to perform an assessment for coexisting conditions and aggravating factors (Fig. 1) and to evaluate and treat patients accordingly (Table S3 in the Supplementary Appendix). Medication problems include overuse of short-acting β_2 -agonists, which can increase airway hyperresponsiveness; overuse can be habitual.8 Environmental exposures, such as occupational exposures and tobacco smoke (associated with progression to severe asthma and reduced glucocorticoid sensitivity), are of particular concern and must be addressed. Patients should be assessed for coexisting conditions (e.g., rhinosinusitis), and treatment should be escalated if appropriate.9 Patients with severe asthma, especially those with a history of smoking, may have clinical features of both asthma and COPD (often called asthma-COPD overlap¹⁰); these patients have high morbidity and a high rate of health care use. Psychosocial problems, including anxiety and depression, are common in patients with severe asthma and are associated with rates of exacerbations and emergency department visits

Figure 1 (facing page). An Integrated Multidisciplinary Approach to Assessment and Initial Management of Difficult-to-Treat and Severe Asthma in Adults.

MART (maintenance and quick-relief therapy) involves a low-dose inhaled glucocorticoid and formoterol as the patient's regular therapy and as quick-relief therapy. This regimen reduces exacerbations and allows the use of lower doses of inhaled glucocorticoids; this treatment is approved by many regulators but not by the Food and Drug Administration. Details about differential diagnosis and coexisting conditions are available in Tables S2 and S3, respectively, in the Supplementary Appendix. LABA denotes long-acting β_2 -agonist.

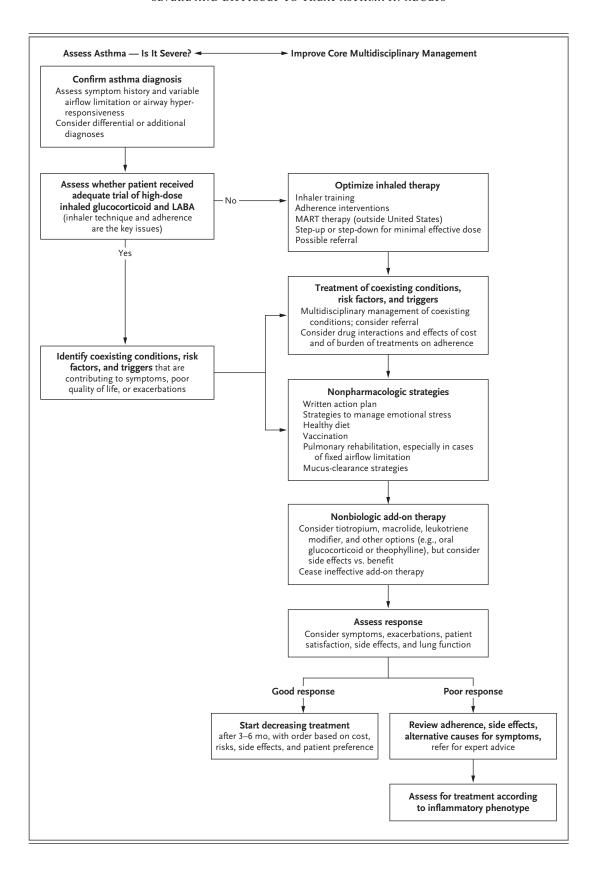
that are at least five times as high as those among patients with asthma but without psychosocial problems.¹¹

Before deciding whether a patient needs biologic add-on therapies (which are expensive), a trial of tiotropium, a long-acting muscarinic antagonist, is warranted because of its much lower cost. It increases lung function and time to first exacerbation. Daily administration of oral glucocorticoids should be avoided, if possible, because of the associated serious side effects.

A further advance has been the development of clinics that specialize in patients with severe asthma. ¹³⁻¹⁵ Systematic assessment and multidisciplinary treatment of patients in such clinics have increased identification of coexisting conditions ¹⁴ and have improved outcomes. ^{13,15}

MECHANISMS AND PHENOTYPES

For patients with persistently uncontrolled asthma despite implementation of systematic assessment and multidisciplinary treatment, the next step is assessment for targeted treatment. Treatment is tailored according to the diverse pathobiologic processes that can underlie clinical presentations (Fig. 2 and Table 1). The common pathways of these processes are asthma phenotypes characterized by exacerbations, persistent symptoms, reduced lung function, or a combination of these.¹⁶ Most, but not all, of these phenotypes are associated with evidence of cellular inflammation in the airway (Fig. 2). New asthma treatments not only have allowed clinicians to care for patients for whom prior treatment was ineffective but also have served as biologic probes that have helped in understanding the complex pathobiology of asthma. Outlined here



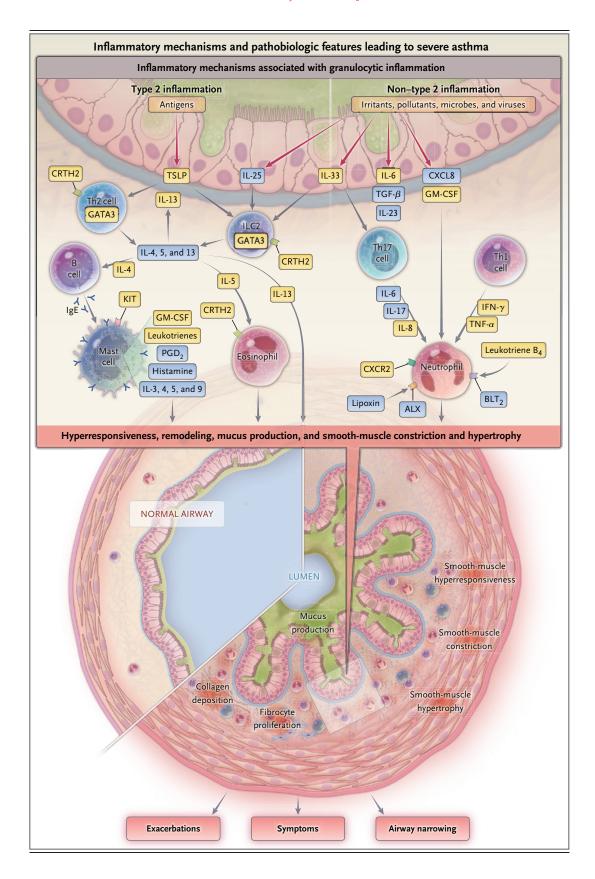


Figure 2 (facing page). Inflammatory, Immunologic, and Pathobiologic Features Leading to Severe Asthma.

Type 2 inflammation is most commonly initiated by the adaptive immune system on recognition of allergens through the actions of thymic stromal lymphopoietin (TSLP), which stimulates type 2 helper T (Th2) cells and innate lymphoid cells of group 2 (ILC2) to differentiate and produce the type 2 cytokines interleukin (IL) 4, IL-5, and IL-13. This differentiation depends on activation of the GATA3 transcription factor. These cytokines result in the production of IgE (through the action of IL-4) and subsequent activation of mast cells (which depend on stem cell factor and its receptor, KIT, for normal development and survival) and activation and recruitment of eosinophils through IL-5. IL-13 acts on smooth muscle to induce hyperresponsiveness and remodeling; it also stimulates the epithelium to increase cytokine production and stimulates mucus production. Mast cells produce multiple mediators and cytokines that cause airway smooth-muscle contraction, eosinophil infiltration, remodeling, and amplification of the inflammatory cascade through additional cytokine production (IL-3, IL-4, IL-5, and IL-9). Mast cells also synthesize prostaglandin D₂ (PGD₂), which stimulates upstream cells and eosinophils through its actions at the receptor known as CRTH2. The type 2 pathway can also be activated by factors such as infectious agents and irritants that stimulate the innate immune system through production of such cytokines as IL-33 (through its receptor ST2) and IL-25 (through its receptor IL-17RB), which in turn stimulate ILC2 and Th2 cells. The cytokines released in response to these agents can also activate non-type 2 pathways. Type 17 helper T (Th17) cells and their products can play a major role in attracting and stimulating neutrophils. The epithelium also produces cytokines that stimulate Th17 cells; in addition, it produces cytokines that directly stimulate neutrophils. These innate immune stimuli also activate type 1 helper (Th1) cells, which are more classically involved in host defenses against pathogens and can also stimulate neutrophils. In addition, some patients may have reduced ability to synthesize pro-resolving compounds such as lipoxins, which have a role in down-regulating neutrophilic inflammation and antagonizing effects of leukotrienes. Some patients with severe asthma may not have cellular evidence of activation of these pathways and are considered to have "paucigranulocytic" asthma. To produce clinical presentations of severe asthma, these phenotypic inflammatory patterns can induce or combine with any or several of the following: airway hyperresponsiveness, smooth-muscle hypertrophy, structural airway remodeling, or mucus secretion. Substances in yellow have been or are currently being targeted for treatment of severe asthma. ALX lipoxin A₄ receptor, BLT₂ leukotriene B₄ receptor 2, CXCL8 CXC motif chemokine ligand 8, CXCR3 CXC chemokine receptor 3, GM-CSF granulocytemacrophage colony-stimulating factor, TFG- β transforming growth factor β , and TNF- α tumor necrosis factor α .

is our current understanding of the various inflammatory phenotypes that underlie severe asthma.

INFLAMMATORY PHENOTYPES

Persistent Type 2 Inflammation

Type 2 inflammation in the airway is characterized by the presence of cytokines (interleukin-4, interleukin-5, and interleukin-13) that were originally recognized as being produced by type 2 helper T (Th2) cells. These cytokines are also produced by innate lymphoid cells (which do not express B- or T-cell receptors) in response to infectious agents and pollutants and other "nonallergic" stimuli (Fig. 2).17 Since interleukin-4 and interleukin-5 promote the production of IgE and eosinophils, respectively, this inflammation is frequently characterized by eosinophils and may be accompanied by atopy. In mild-to-moderate asthma, type 2 inflammation is common and generally promptly resolves after treatment with glucocorticoids. However, in the context of severe asthma, this phenotype is characterized by persistent evidence of active type 2 inflammation despite high-dose therapy with inhaled glucocorticoids. Sputum eosinophilia, defined as 2% or more of leukocytes in a sample, is seen in more than half of patients with severe asthma^{16,18} and has been labeled glucocorticoid-resistant asthma.²

Multiple processes can contribute to persistent type 2 inflammation in severe asthma, including some that appear mechanistically homogeneous, such as allergic bronchopulmonary aspergillosis and aspirin-exacerbated respiratory disease. Another cause is allergen exposure at home or at work. Furthermore, nonallergic stimuli can activate pathways and cells other than helper T cells to produce type 2 cytokines (Fig. 2).¹⁹ This may explain why cluster analyses²⁰⁻²³ (in which computer algorithms identify groups of patients with similar features) identify higheosinophil-count clusters not only in association with severe asthma and atopy but also in association with fewer allergies. Patients in the group with fewer allergies tend to have adultonset asthma, with more severe airflow limitation and airway hyperresponsiveness. Another cluster, comprising women with a high bodymass index and late-onset asthma, is associated with high use of health care resources and has been variably associated with persistent type 2

Table 1. Features Asso	Table 1. Features Associated with Pathobiologic Characteristics of Severe Asthma, According to Phenotype. $^\circ$	aracteristics of Severe Ast	hma, According to Phen	otype.*		
Characteristic	Eosinophilic Airway Inflammation	Neutrophilic Airway Inflammation	Mixed Eosinophilic and Neutrophilic Inflammation	Paucigranulocytic (Noninflammatory) Asthma	Hyperresponsive and Variable Obstruction	Fixed Obstruction
Frequency	Very common	Common	Not common	Variable		
Markers in patients receiving high- dose inhaled glucocorticoids	Blood eosinophil count >300/µl, Feno ≥20 ppb, sputum eosinophils >2%	≥40–60% polymorpho- nuclear neutrophils in sputum	Type 2 markers and neutrophilic markers	No type 2 markers and ≤40–60% sputum polymorphonuclear neutrophils	Increased bronchoprovocation	Minimal pulmonary-function test reversibility
Causes and contributing factors	Allergic exposures, idiopathic, occupation- al exposures, ABPA, AERD	Infections, sinusitis, smoke, irritants, pollutants, occupa- tional exposure, glucocorticoid treatment	Combination of the factors contributing to eosinophilic and neutrophilic airway inflammation	Smooth-muscle hypertro- phy, airway remodel- ing and hyperplasia, neurohumoral fac- tors, glucocorticoid treatment	Type 2 inflammation in par- ticular but may occur with any inflammatory pattern, postviral ef- fects, occupational sen- sitizers, inhaled oxidant exposure, neurohumor- al and hormonal factors	Speculative causes include smoking, severe asthma in childhood, mucus hypersecretion, bronchiectasis, possibly untreated type 2 asthma
Clinical features	Early onset, allergic, with high IgE; later onset with eosinophils; later onset, obesity, female sex, variable airway obstruction; exacerbations; sinusitis; nasal polyps	Low lung function, poor response to inhaled glucocorticoids, purulent mucus production, bronchiectasis	Combination of the features of eosinophilic and neutrophilic airway inflammation	Fixed or variable obstruction	Hyperresponsive and variable airway obstruction, exacerbations with low-level exposures, dyspnea and wheezing at rest	Fixed obstruction, dyspnea with low-level exertion, exacerbations
* ABPA denotes allergic	ABPA denotes allergic bronchopulmonary aspergillosis, AERD aspirin-exacerbated respiratory disease, and Feno fraction of exhaled nitric oxide.	osis, AERD aspirin-exacer	bated respiratory diseas	e, and Feno fraction of exh	aled nitric oxide.	

eosinophilic inflammation.²³⁻²⁵ Most of the recently approved biologic interventions target type 2 inflammation.

Neutrophilic Inflammation

Less well characterized are patients with severe asthma who have neutrophilic inflammation (variably defined as exceeding 40 to 60% neutrophils) in induced sputum samples; some have no evidence of eosinophilic inflammation during treatment with glucocorticoids.^{26,27} Although neutrophils in the sputum may sometimes relate to glucocorticoid use, the clinical characteristics of patients with neutrophilic predominance differ from those with type 2 inflammation, 26,27 suggesting different pathobiologic pathways. Some patients have coexisting infections in the sinuses or airways; others report exposure to occupational or environmental sensitizers, including tobacco smoke.²⁸ In patients with mild asthma, those with neutrophilic inflammation, especially those without coexisting eosinophilic inflammation, are less likely to have short-term responses to glucocorticoids than those with eosinophilic inflammation²⁹; however, similar studies have not been undertaken in patients with severe asthma. Macrolide therapy has been proposed for moderate-to-severe asthma,30 but evidence is inadequate to direct treatment in patients who meet the criteria for severe asthma.

Mixed Inflammation

Some patients with severe asthma have evidence of persistent neutrophilic and eosinophilic inflammation in sputum. This overlapping phenotypic cluster appears to have the greatest disease burden and airflow limitation and involves the greatest use of health care resources. ^{26,27} Interleukin-6 and interleukin-17³¹ may promote dual Th2 and type 17 helper T (Th2–Th17) cell presence in the airway, which promotes both types of inflammation (Fig. 2); these cytokines are therefore of potential interest as treatment targets for patients with mixed inflammation, ¹⁶ although we know of no such trials currently under way.

Paucigranulocytic Phenotype

Some patients do not have notable cellular inflammation in their airways; their airflow limitation is presumably due to other mechanisms (described below). The prevalence of the paucigranulocytic phenotype depends on the threshold for excess neutrophils. However, overall, this phenotype is not as common as the others, so its finding (e.g., in some obese patients) should prompt reconsideration of the diagnosis of asthma. This inflammatory pattern is also seen in patients with mild asthma and, less commonly, in some patients with severe asthma who are receiving treatment with high-dose inhaled glucocorticoids. Proven treatment options are limited.

ADDITIONAL PATHOPHYSIOLOGICAL MECHANISMS

In severe asthma, structural changes such as airway remodeling may be superimposed on the aforementioned phenotypes, contributing to airway obstruction (Fig. 2). These structural changes may be characterized by collagen deposition (making the airways less compliant),³² proliferation of airway smooth muscle,33 and excess mucus production.34 Emerging noninvasive methods for quantifying these pathological features include high-resolution computed tomography.³⁵ In addition, all these changes can occur in the context of persistent airway hyperresponsiveness to external stimuli, which is a common feature of severe asthma. The mechanisms leading to hyperresponsiveness are poorly understood but may include abnormalities of smooth muscle, as well as neurohumoral influences. Targeting these factors is an active area of investigation.

NEW THERAPIES

Since 2003, several new targeted therapies for severe asthma have been introduced. The challenge facing clinicians is to identify patients who are most likely to have a response to these interventions, which are often expensive. For patients with a poor response to core multidisciplinary management of their asthma (Fig. 1), an approach to identify those for whom a trial of the following therapies should be considered is outlined in Figure 3. The approach is based on an integrated assessment of clinical features and biomarkers. Since most current phenotype-based options are directed at persistent type 2 inflammation, assessment commences with relevant peripheral biomarkers (i.e., blood eosinophil count and Feno and IgE levels), supplemented as necessary by sputum cellular indexes. Figure 3 shows additional options for patients with a poor response despite phenotype-based treatment.

ANTI-IGE

Omalizumab (Table 2) is a monoclonal antibody that binds to free IgE, preventing activation of cells such as mast cells, basophils, and dendritic cells and down-regulating the high-affinity receptor for the Fc region of IgE (FceRI). Omalizumab has been available for clinical use in the United States since 2003. It has been tested almost exclusively in patients with allergic asthma, as defined by an IgE level of 30 IU per milliliter or more and at least one positive aeroallergen skin test or an elevated specific aeroallergen IgE level. When added to inhaled glucocorticoids (in most studies, without concomitant LABAs), omalizumab reduced severe exacerbations by 45% and hospitalizations by approximately 85% and allowed lower doses of inhaled glucocorticoids and a small decrease in the use of quick-relief therapy, with inconsistent effects on lung function.³⁷ In patients with uncontrolled severe allergic asthma and a history of exacerbations treated with high-dose combination therapy, omalizumab reduced exacerbations by 25 to 35%38; a biomarker analysis in this population suggested that a Feno level of at least 19.5 ppb identified patients with a reduction in exacerbations of approximately 50%.38 Baseline IgE levels are not predictive of response but are needed along with body weight to calculate the drug dose according to current treatment guidelines.

ANTI-INTERLEUKIN-5

Interleukin-5 plays a central role in promoting eosinophilic inflammation (Fig. 2). Anti-interleukin-5 monoclonal antibodies are now available for the treatment of patients with severe eosinophilic asthma and recurrent exacerbations (Table 2). Mepolizumab and reslizumab, both of which bind to interleukin-5, have been approved by several regulatory agencies in the United States and Europe. Benralizumab, which binds to the interleukin-5 receptor, producing eosinophil apoptosis, is nearing Food and Drug Administration (FDA) approval. The majority of studies performed involving patients with severe asthma have been conducted with mepolizumab. In patients with two or more exacerbations in the previous year and a blood eosinophil count of at least 300 per microliter, mepolizumab reduces exacerbations by 40 to 60%.39 As compared with placebo, mepolizumab has also been shown to allow a mean 50% reduction of oral glucocorti-

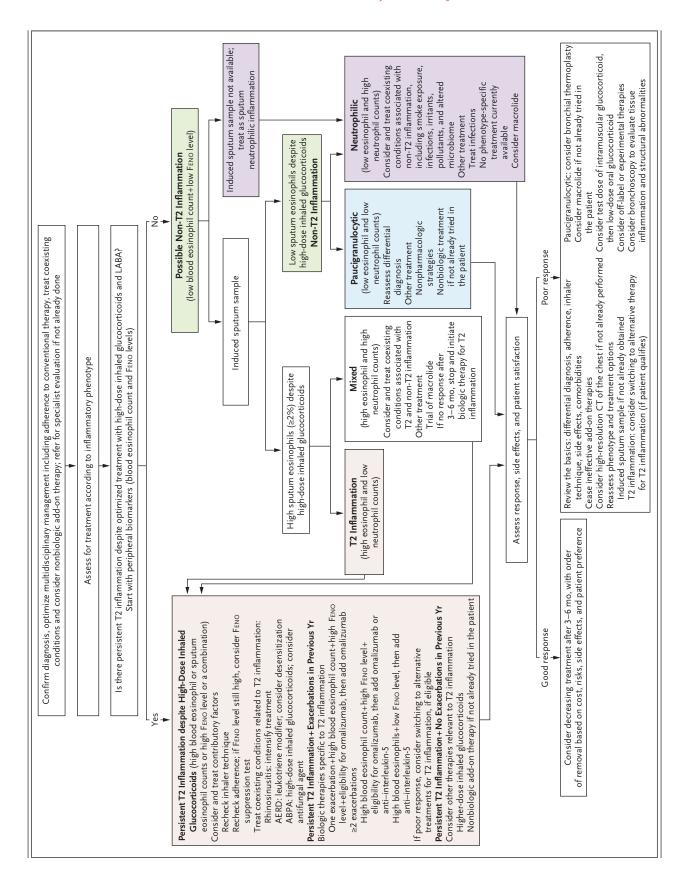


Figure 3 (facing page). Assessment and Treatment of Severe Asthma, According to Inflammatory Phenotypes, in Patients without a Response to Core Multidisciplinary Management.

Eligibility for omalizumab includes an IgE level of at least 30 IU per milliliter and evidence of reactivity to at least one perennial aeroallergen. ABPA denotes allergic bronchopulmonary aspergillosis, AERD aspirin-exacerbated respiratory disease, Feno fraction of exhaled nitric oxide, and T2 type 2.

coids in patients with severe asthma.⁴⁰ The higher the number of prior exacerbations39 and the higher the baseline blood eosinophil count, 41 the greater the reduction in exacerbations and (in the case of eosinophil numbers) the greater the FEV, improvement and reduction in symptoms. In a post hoc analysis of two studies of mepolizumab involving patients receiving high-dose therapy with inhaled glucocorticoids and LABAs, the effect on exacerbations was not significant in patients with baseline eosinophil levels less than 300 per microliter.⁴¹ After treatment is started, blood eosinophil counts decline by an average of 75% within a month and failure to achieve this decrease raises questions about biologic efficacy; Feno is minimally reduced.39 Reslizumab has been tested mostly in patients with a blood eosinophil count of at least 400 per microliter. There are no comparative studies between these antiinterleukin-5 treatments, and eosinophil cutoff levels have varied.

BLOCKADE OF INTERLEUKIN-4 AND INTERLEUKIN-13 SIGNALING

Blockade of interleukin-13 has the potential to alter airway inflammation and smooth-muscle reactivity (Fig. 2), but one of two anti-interleukin-13 monoclonal antibodies, lebrikizumab, failed to provide consistent improvement in patients with type 2 inflammation.⁴² The other, tralokinumab, continues in development (ClinicalTrials.gov numbers, NCT02194699 and NCT02281357). Of note, these drugs reduce Feno but increase circulating eosinophil counts.⁴²

Dupilumab is another compound that has been tested for use in patients with severe asthma but has not yet been approved by the FDA for asthma (Table 2). Dupilumab is a fully human monoclonal antibody to the alpha subunit of the interleukin-4 receptor that blocks both interleukin-4 and interleukin-13 signaling. A recent study

showed a 60 to 80% reduction in exacerbations and a clinically important increase in FEV, with dupilumab in patients with asthma who were previously treated with medium-dose or high-dose inhaled glucocorticoids and LABAs36; notably, the blood eosinophil count (whether <300 per microliter or ≥300 per microliter) did not affect the response.36 The patients in this study had higher levels of IgE than patients in studies of anti-interleukin-5,39,41 raising the question of whether dupilumab may be particularly useful for patients with elevated IgE levels. Whether a minimum eosinophil count is necessary to produce efficacy has not been reported. Dupilumab reduces Feno and IgE levels but, like anti-interleukin-13, increases the blood eosinophil count, mostly temporarily.

OTHER ANTIINFLAMMATORY THERAPIES

Other therapies that target additional moieties or pathways, outlined in Figure 2, are being tested in severe asthma or have shown efficacy in asthma challenges in humans but have not yet shown clinical efficacy in severe asthma.43 These include therapies primarily targeting adaptive pathways of type 2 inflammation, including anti-CRTH2 (chemoattractant receptor homologue expressed by type 2 helper T cells), anti-TSLP (thymic stromal lymphopoietin), and a GATA3-specific DNA enzyme (DNAzyme),43 and therapies targeting both adaptive and innate pathways of type 2 inflammation, such as anti-interleukin-23 and a soluble ST2 (interleukin-33 receptor) antibody.44 Interventions primarily targeting neutrophilic pathways (e.g., anti-granulocyte-macrophage colony-stimulating factor, 45 CXCR2 antagonists targeting the receptor on neutrophils, 46,47 and an anti-interleukin-17 antibody⁴³) have had only limited success, but only one of these studies⁴⁶ targeted the neutrophilic phenotype that would presumably be most responsive to such interventions. A recent study suggested that targeting mast cells might modify airway biology in a potentially beneficial manner in patients with severe asthma and little evidence of type 2 inflammation.48 Immunosuppressive agents have been used in severe asthma with inconclusive evidence of efficacy and cannot be currently recommended.2

BRONCHIAL THERMOPLASTY

Bronchial thermoplasty, approved by the FDA in 2010, involves radiofrequency ablation of airway

Table 2. New Drugs for Severe Asthma in Adults.*	Asthma in Adults.*				
Drug (Trade Name) and Dosage	Biologic Mechanism of Action	Suggested Clinical Population	Clinical Effects	Effects on Biomarkers	Adverse Effects and Cautions
Approved					
Omalizumab (Xolair), sub- cutaneous injection every 2 to 4 wk depending on dose (for dosing accord- ing to weight and IgE, see www.accessdata.fda.gov/ drugsatfda_docs/label/ 2007/103976s5102lbl.pdf)	Anti-IgE; binds to Fc receptor of free IgE (also reduces pro- duction of IgE)	Persons with IgE >30 IU/ml (upper limit of IgE varies according to weight and regulatory authority), positive skin test or elevated specific IgE level in response to perennial aeroallergen; best response in those with Feno >20 ppb	Reduced exacerbations, small reduction in symptoms, minimal effect on FEV ₁	Small reduction in FENO, no reduction in circulating total IgE (measured by available assays)	Anaphylaxis (in an estimated 0.2% of patients); monitor for helminthic infection
Mepolizumab (Nucala), 100 mg given by monthly subcutaneous injection	Anti–interleukin-5; binds circulating interleukin-5	Best response in those with two or more exacerbations in past year and ≥300 eosinophils/µl†	Reduced exacerbations, reduced symptoms small or moderate effect on FEV ₁	Reduction in circulating eosinophils, no change in Feno	Cases of zoster (rare); avoid in persons with active helminthic infection
Reslizumab (Cinqair), 3 mg/ kg given by monthly intra- venous infusion	Anti-interleukin-5; binds circulating interleukin-5	Tested primarily in patients with more than one exacerbation in the past year and ≥400 eosinophils/µl	Reduced exacerbations, reduced symptoms, small or moderate effect on FEV ₁	Reduction in circulating eosinophils, no change in Feno	Oropharyngeal pain slightly greater than with placebo, anaphylaxis (rare); avoid in persons with active helminthic infection
Phase 3 testing					
Benralizumab, given by subcutaneous injection	Anti-interleukin-5; binds interleukin-5 receptor with resultant lysis of eosinophils	Phase 3 efficacy primarily in those with two or more exacerbations in past year and ≥300 eosinophils/µl	Reduced exacerbations, reduced symptoms, moderate effect on FEV ₁	Reduction in circulating eosinophils, no change in Feno	Not yet available
Dupilumab, given by sub- cutaneous injection	Anti-interleukin-4 and interleukin-13; binds common receptor subunit for interleukin-4 and interleukin-13 receptor	Tested primarily in patients with more than one exacerbation in the past 1 or 2 yr and ≥ 300 eosinophils/ μ \$	Reduced exacerbations, improved FEV ₁	Temporary increase in eosinophils, reduction in Fenoby approximately 30%	Reports of eosinophil counts >5000, may affect metabolism of CYP450 substrates; avoid live vaccines, most likely should avoid in persons with active helminthic infection
Fevipiprant, pill taken by mouth	Anti-CRTH2; blocks signaling at CRTH2 (the PGD ₂ receptor)	To be defined; most likely type 2	Most likely improved FEV ₁ and reduced symptoms	Reduction in sputum eosino- phils, no effect seen in peripheral blood or Feno	Not yet available

* The drugs listed have been approved by the Food and Drug Administration or are in phase 3 testing for asthma. CRTH2 denotes chemoattractant receptor homologue expressed by type 2 helper T cells, FEV₁ forced expiratory volume in 1 second, and PGD₂ prostaglandin D₂.

† In patients with at least three exacerbations, this drug may be effective if the eosinophil count is at least 150 per microliter.

‡ In one study,³ patients with an eosinophil count of less than 300 also had a good response. However, the precise characteristics of this population with a lower eosinophil count still

requires definition.

smooth muscle during three outpatient-administered bronchoscopic sessions. The only sham-controlled trial undertaken showed an increase in exacerbations during the treatment period and a large placebo effect but suggested a reduction in exacerbations and symptoms in the subsequent year when the initial exacerbations were excluded.⁴⁹ The clinical trials excluded patients with three or more exacerbations per year, FEV₁ below 60%, or chronic rhinosinusitis. Long-term follow-up studies have not compared bronchial thermoplasty with placebo, and no clear evidence exists to guide patient selection. Guidelines suggest that the procedure be restricted to trials or registries.²

CONCLUSIONS

Patients who present with uncontrolled asthma despite the use of high-dose pharmacologic therapy have high asthma morbidity. In many patients, asthma can be well controlled after optimizing what is currently considered standard asthma treatment, including improving inhaler technique and adherence to treatment and systematically addressing coexisting conditions. With advances in identification of phenotypes with various pathophysiological mechanisms, the heterogeneous underpinnings of the disease are beginning to be exposed. New targeted treatment options are now available for a substantial proportion of patients with truly severe asthma who have the persistent type 2 inflammation phenotype despite the administration of high-dose inhaled glucocorticoids. However, considering the high cost of recent and forthcoming therapies, substantial research is needed to identify the patients most (or least) likely to have a response to new treatments; research is also needed to develop surrogate markers for exacerbations, to reduce the length of early-phase studies. Particular areas of need relate to identifying the roots of the disease and relevant treatment targets in patients without type 2 inflammation or with progressive or permanent airway obstruction. The effort to target these processes will be an even greater challenge because of the likely diversity of causes and will require support to recruit and study large cohorts and follow them longitudinally.

Dr. Israel reports receiving consulting fees from AstraZeneca, Cowen & Co., Philips Respironics, Regeneron, Teva Specialty Pharmaceuticals, Bird Rock Bio, Nuvelution Pharmaceutical, Vitaeris, Entrinsic Health Solutions, Merck, Sanofi, and Novartis; receiving grant support from Genentech, Boehringer Ingelheim, GlaxoSmithKline, Merck, Sunovion and Sanofi; receiving travel support from Teva Specialty Pharmaceuticals; and serving on a data and safety monitoring board for Novartis. Dr. Reddel reports receiving fees from GlaxoSmithKline for serving on a data and safety monitoring board and an advisory board and for independent medical education; receiving grant support, advisory board fees, and study medication, provided to her institution, from GlaxoSmithKline; receiving fees from AstraZeneca for serving on a data and safety monitoring board and an advisory board and for independent medical education; receiving advisory board fees, steering committee fees, fees for independent medical education, grant support, and medical editing and graphics support, paid to her institution, from AstraZeneca; and receiving fees for serving on a data and safety monitoring board from Merck, fees for serving on a data and safety monitoring board and an advisory board from Novartis, fees for participation as symposium chair, paid to her institution, from Novartis, fees for independent medical education and advisory board fees from Boehringer Ingelheim, and fees for independent medical education from Mundipharma and Teva. No other potential conflict of interest relevant to this article was reported.

Disclosure forms provided by the authors are available with the full text of this article at NEJM.org.

We thank Dr. Christopher H. Fanta for his review of an earlier version of the manuscript.

REFERENCES

- 1. Hekking PP, Wener RR, Amelink M, Zwinderman AH, Bouvy ML, Bel EH. The prevalence of severe refractory asthma. J Allergy Clin Immunol 2015;135:896-902.
- 2. Chung KF, Wenzel SE, Brozek JL, et al. International ERS/ATS guidelines on definition, evaluation and treatment of severe asthma. Eur Respir J 2014;43:343-73.
- **3.** Sadatsafavi M, Lynd L, Marra C, et al. Direct health care costs associated with asthma in British Columbia. Can Respir J 2010;17:74-80.
- **4.** O'Neill S, Sweeney J, Patterson CC, et al. The cost of treating severe refractory asthma in the UK: an economic analysis from the British Thoracic Society Difficult Asthma Registry. Thorax 2015; 70:376-8.
- 5. Hyland ME, Whalley B, Jones RC,

- Masoli M. A qualitative study of the impact of severe asthma and its treatment showing that treatment burden is neglected in existing asthma assessment scales. Qual Life Res 2015;24:631-9.
- **6.** Heaney LG, Conway E, Kelly C, et al. Predictors of therapy resistant asthma: outcome of a systematic evaluation protocol. Thorax 2003;58:561-6.
- 7. McNicholl DM, Stevenson M, McGarvey LP, Heaney LG. The utility of fractional exhaled nitric oxide suppression in the identification of nonadherence in difficult asthma. Am J Respir Crit Care Med 2012; 186:1102-8.
- **8.** Gerald JK, Carr TF, Wei CY, Holbrook JT, Gerald LB. Albuterol overuse: a marker of psychological distress? J Allergy Clin Immunol Pract 2015;3:957-62.

- 9. Fokkens WJ, Lund VJ, Mullol J, et al. European Position Paper on Rhinosinusitis and Nasal Polyps 2012. Rhinol Suppl 2012;50:Suppl 23:1-298.
- **10.** Postma DS, Rabe KF. The asthma—COPD overlap syndrome. N Engl J Med 2015;373:1241-9.
- 11. ten Brinke A, Ouwerkerk ME, Zwinderman AH, Spinhoven P, Bel EH. Psychopathology in patients with severe asthma is associated with increased health care utilization. Am J Respir Crit Care Med 2001:163:1093-6.
- **12.** Kerstjens HAM, Engel M, Dahl R, et al. Tiotropium in asthma poorly controlled with standard combination therapy. N Engl J Med 2012;367:1198-207.
- **13.** Gibeon D, Heaney LG, Brightling CE, et al. Dedicated severe asthma services

- improve health-care use and quality of life. Chest 2015;148:870-6.
- **14.** Radhakrishna N, Tay TR, Hore-Lacy F, et al. Validated questionnaires heighten detection of difficult asthma comorbidities. J Asthma 2017;54:294-9.
- **15.** van der Meer AN, Pasma H, Kempenaar-Okkema W, et al. A 1-day visit in a severe asthma centre: effect on asthma control, quality of life and healthcare use. Eur Respir J 2016;48:726-33.
- **16.** Wenzel SE. Asthma phenotypes: the evolution from clinical to molecular approaches. Nat Med 2012;18:716-25.
- 17. Lambrecht BN, Hammad H. The immunology of asthma. Nat Immunol 2015; 16:45-56.
- **18.** Woodruff PG, Modrek B, Choy DF, et al. T-helper type 2-driven inflammation defines major subphenotypes of asthma. Am J Respir Crit Care Med 2009;180:388-95.
- **19.** Brusselle GG, Maes T, Bracke KR. Eosinophils in the spotlight: eosinophilic airway inflammation in nonallergic asthma. Nat Med 2013;19:977-9.
- **20.** Wu W, Bleecker E, Moore W, et al. Unsupervised phenotyping of Severe Asthma Research Program participants using expanded lung data. J Allergy Clin Immunol 2014;133:1280-8.
- **21.** Amelink M, de Nijs SB, de Groot JC, et al. Three phenotypes of adult-onset asthma. Allergy 2013;68:674-80.
- **22.** Newby C, Heaney LG, Menzies-Gow A, et al. Statistical cluster analysis of the British Thoracic Society Severe refractory Asthma Registry: clinical outcomes and phenotype stability. PLoS One 2014;9(7): e102987.
- **23.** Haldar P, Pavord ID, Shaw DE, et al. Cluster analysis and clinical asthma phenotypes. Am J Respir Crit Care Med 2008; 178:218-24.
- **24.** Desai D, Newby C, Symon FA, et al. Elevated sputum interleukin-5 and submucosal eosinophilia in obese individuals with severe asthma. Am J Respir Crit Care Med 2013;188:657-63.
- **25.** Kuo CS, Pavlidis S, Loza M, et al. A transcriptome-driven analysis of epithelial brushings and bronchial biopsies to define asthma phenotypes in U-BIOPRED. Am J Respir Crit Care Med 2017;195:443-55. **26.** Moore WC, Hastie AT, Li X, et al. Sputum neutrophil counts are associated with more severe asthma phenotypes using cluster analysis. J Allergy Clin Immunol 2014;133(6):1557-63.e5.

- **27.** Loza MJ, Djukanovic R, Chung KF, et al. Validated and longitudinally stable asthma phenotypes based on cluster analysis of the ADEPT study. Respir Res 2016; 17-165
- **28**. Haldar P, Pavord ID. Noneosinophilic asthma: a distinct clinical and pathologic phenotype. J Allergy Clin Immunol 2007; 119:1043-52.
- **29.** Fahy JV. Type 2 inflammation in asthma present in most, absent in many. Nat Rev Immunol 2015;15:57-65.
- **30.** Gibson PG, Yang IA, Upham JW, et al. Effect of azithromycin on asthma exacerbations and quality of life in adults with persistent uncontrolled asthma (AMAZES): a randomised, double-blind, placebocontrolled trial. Lancet 2017 July 4 (Epub ahead of print).
- **31.** Busse WW, Holgate S, Kerwin E, et al. Randomized, double-blind, placebo-controlled study of brodalumab, a human anti-IL-17 receptor monoclonal antibody, in moderate to severe asthma. Am J Respir Crit Care Med 2013;188:1294-302.
- **32.** Grainge CL, Lau LCK, Ward JA, et al. Effect of bronchoconstriction on airway remodeling in asthma. N Engl J Med 2011; 364:2006-15.
- **33.** An SS, Bai TR, Bates JH, et al. Airway smooth muscle dynamics: a common pathway of airway obstruction in asthma. Eur Respir J 2007;29:834-60.
- **34.** Lange P, Parner J, Vestbo J, Schnohr P, Jensen G. A 15-year follow-up study of ventilatory function in adults with asthma. N Engl J Med 1998;339:1194-200.
- **35.** Castro M, Fain SB, Hoffman EA, Gierada DS, Erzurum SC, Wenzel S. Lung imaging in asthmatic patients: the picture is clearer. J Allergy Clin Immunol 2011; 128:467-78.
- **36.** Wenzel S, Castro M, Corren J, et al. Dupilumab efficacy and safety in adults with uncontrolled persistent asthma despite use of medium-to-high-dose inhaled corticosteroids plus a long-acting $\beta 2$ agonist: a randomised double-blind placebo-controlled pivotal phase 2b dose-ranging trial. Lancet 2016;388:31-44.
- **37.** Normansell R, Walker S, Milan SJ, Walters EH, Nair P. Omalizumab for asthma in adults and children. Cochrane Database Syst Rev 2014;1:CD003559.
- **38.** Hanania NA, Wenzel S, Rosén K, et al. Exploring the effects of omalizumab in allergic asthma: an analysis of biomarkers in the EXTRA study. Am J Respir Crit Care Med 2013;187:804-11.

- **39.** Pavord ID, Korn S, Howarth P, et al. Mepolizumab for severe eosinophilic asthma (DREAM): a multicentre, doubleblind, placebo-controlled trial. Lancet 2012; 380:651-9.
- **40.** Bel EH, Wenzel SE, Thompson PJ, et al. Oral glucocorticoid-sparing effect of mepolizumab in eosinophilic asthma. N Engl J Med 2014;371:1189-97.
- **41.** Ortega HG, Yancey SW, Mayer B, et al. Severe eosinophilic asthma treated with mepolizumab stratified by baseline eosinophil thresholds: a secondary analysis of the DREAM and MENSA studies. Lancet Respir Med 2016;4:549-56.
- **42.** Hanania NA, Noonan M, Corren J, et al. Lebrikizumab in moderate-to-severe asthma: pooled data from two randomised placebo-controlled studies. Thorax 2015; 70:748-56.
- **43.** Canonica GW, Senna G, Mitchell PD, O'Byrne PM, Passalacqua G, Varricchi G. Therapeutic interventions in severe asthma. World Allergy Organ J 2016;9:40.
- **44.** Trevor JL, Deshane JS. Refractory asthma: mechanisms, targets, and therapy. Allergy 2014;69:817-27.
- **45.** Molfino NA, Kuna P, Leff JA, et al. Phase 2, randomised placebo-controlled trial to evaluate the efficacy and safety of an anti-GM-CSF antibody (KB003) in patients with inadequately controlled asthma. BMJ Open 2016;6(1):e007709.
- **46.** Nair P, Gaga M, Zervas E, et al. Safety and efficacy of a CXCR2 antagonist in patients with severe asthma and sputum neutrophils: a randomized, placebo-controlled clinical trial. Clin Exp Allergy 2012;42:1097-103.
- **47.** O'Byrne PM, Metev H, Puu M, et al. Efficacy and safety of a CXCR2 antagonist, AZD5069, in patients with uncontrolled persistent asthma: a randomised, double-blind, placebo-controlled trial. Lancet Respir Med 2016;4:797-806.
- **48.** Cahill KN, Katz HR, Cui J, et al. KIT inhibition by imatinib in patients with severe refractory asthma. N Engl J Med 2017;376:1911-20.
- **49.** Castro M, Rubin AS, Laviolette M, et al. Effectiveness and safety of bronchial thermoplasty in the treatment of severe asthma: a multicenter, randomized, double-blind, sham-controlled clinical trial. Am J Respir Crit Care Med 2010;181:116-24

Copyright © 2017 Massachusetts Medical Society.

IMAGES IN CLINICAL MEDICINE

The Journal welcomes consideration of new submissions for Images in Clinical Medicine. Instructions for authors and procedures for submissions can be found on the Journal's website at NEJM.org. At the discretion of the editor, images that are accepted for publication may appear in the print version of the Journal, the electronic version, or both.