

# Thomy Phan

## Curriculum Vitae

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### Contact Information

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### Education

- 2018 – 2023 **Ph.D. in Computer Science**, *LMU Munich*, Germany
- Thesis: "*Emergence and Resilience in Multi-Agent Reinforcement Learning*"
  - Thesis committee: Claudia Linnhoff-Popien, Sven Koenig, Long Tran-Thanh
  - Based on work published in AAMAS, AAAI, IJCAI, NeurIPS, and ICML
- 2015 – 2017 **M.Sc. in Computer Science**, *LMU Munich*, Germany
- Focus on artificial intelligence, data science, and autonomous systems
  - Master thesis: "*EVADE: Emergent Value Function Approximation for Distributed Environments*"
  - Supervision: Claudia Linnhoff-Popien, Lenz Belzner
  - Results published in AAMAS 2018 as full paper
- 2011 – 2015 **B.Sc. in Computer Science**, *Munich University of Applied Sciences*, Germany
- Collaborative study program with the City of Munich
  - Focus on software development and image processing
  - Bachelor thesis: "*Quantification and Feature Extraction of 3D Single-Molecule Switching Microscopy Data*"
  - Supervision: Alfred Nischwitz, Joerg Bewersdorf
  - Practical work done at Bewersdorf Lab, Yale School of Medicine
  - Results published in Cell 2016 as journal paper (cover story)

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### Research Interests

I am interested in various topics related to artificial intelligence such as multi-agent systems, machine learning, pattern recognition, bio-inspired algorithms, automated planning, optimization, as well as validation and verification of self-learning systems. My current research focuses on *emergence and resilience in multi-agent systems* with industrial applications using planning and reinforcement learning techniques.

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### Professional Experience

- 2023 – Present **Postdoctoral Scholar**, *USC Viterbi School of Engineering*, Los Angeles, CA, USA
- Advisor: Sven Koenig
  - Focus on optimization in multi-agent systems.
- 2017 – 2023 **Research Assistant**, *LMU Munich*, Germany
- Advisor: Claudia Linnhoff-Popien
  - Focus on emergence and resilience in multi-agent systems.
- 2015 – 2018 **Software Developer**, *it@M, City of Munich*, Germany
- Development, maintainance, and integration of business applications (part-time).

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## Internships

- 2015 **Visiting Scholar in Research (3 months)**, *Yale School of Medicine*, New Haven, CT, USA
- Advisor: Joerg Bewersdorf
  - Focus on data analysis and feature extraction of cellular structures in super-resolution microscopy data. Co-authored publication in *Cell* 2016 (cover story).
- 2012 – 2014 **Working Student (Collaborative Study Program)**, *City of Munich*, Germany
- Regular internships focusing on IT architecture, project management, and software development during the semester holidays.

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## Teaching

- 2017 – 2023 **Thesis Supervision**, *LMU Munich*, Germany
- 26 master theses
  - 24 bachelor theses
  - 8 individual research projects
- 2019 – 2023 **Autonomous Systems**, *LMU Munich*, Germany
- Practical course for master students on planning and reinforcement learning
  - Primary supervising assistant until summer semester 2022
- 2019 – 2023 **Artificial Intelligence**, *LMU Munich*, Germany
- Working group for bachelor and master students on current AI topics
  - Primary supervising assistant
- 2018 – 2019 **Mobile and Distributed Systems**, *LMU Munich*, Germany
- Practical course for master students on mobile app development and on-device machine learning
  - Secondary supervising assistant

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## Research Projects

- 2023 – Present **AI4OPT – AI Institute for Advances in Optimization**, *National Science Foundation*, Los Angeles, CA, USA
- Research on multi-agent optimization using planning and learning techniques.
- 2023 **Dependability of Machine Learning in Industrial Robotics**, *Siemens AG*, Munich, Germany
- Research on robust machine learning in industrial robotics. Assisted acquisition.
- 2022 – 2024 **AI-Fusion – Evaluation of Emergence in Distributed Intelligent Systems**, *Bavarian Ministry of Economic Affairs, Regional Development, and Energy*, Munich, Germany
- Research on emergence in multi-agent learning in collaboration with Fraunhofer IKS. Assisted acquisition.
- 2022 **Validation and Verification of Modular Machine Learning Systems**, *Siemens AG*, Munich, Germany
- Research on modular machine learning. Assisted acquisition.
- 2020 – 2021 **Federated Learning in Industrial Environments**, *Siemens AG*, Munich, Germany
- Research on adaptive testing of federated learning systems. Assisted acquisition.
- 2019 **Dependability of Machine Learning in Industrial Environments**, *Siemens AG*, Munich, Germany
- Research on resilient multi-agent reinforcement learning.

- 2018 **Coevolution in Machine Learning Based Industrial Environments**, *Siemens AG*, Munich, Germany  
Research on coevolutionary reinforcement learning.
- 2017 – 2019 **APVEL – Evaluation of Specialized Outpatient Palliative Care**, *Heidelberg University of Education*, Germany  
Development of a mobile and privacy-preserving app helping patients to select a suitable type of palliative care.

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## Academic Activities

### Organizing Committee

- 2019 International Symposium on Applied Artificial Intelligence (ISAAI)

### Program Committee

- 2021 – 2024 AAAI Conference on Artificial Intelligence (AAAI)
- 2023 International Joint Conference on Artificial Intelligence (IJCAI – AI and Social Good)
- 2023 International Joint Conference on Artificial Intelligence (IJCAI – Main Track)
- 2023 European Conference on Artificial Intelligence (ECAI)
- 2023 International Conference on Autonomous Agents and Multiagent Systems (AAMAS – Blue Sky Ideas)

### Reviewer

- 2024 International Conference on Learning Representations (ICLR)
- 2022 – 2023 Conference on Neural Information Processing Systems (NeurIPS)
- 2022 – 2023 International Conference on Machine Learning (ICML)
- 2023 International Conference on Autonomous Agents and Multiagent Systems (AAMAS – Main Track)
- 2018, 2022 International Symposium On Leveraging Applications of Formal Methods (ISoLA)
- 2021 PLOS ONE Journal
- 2020 International Journal on Software Tools for Technology Transfer (STTT)

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## Scholarships and Awards

- 2022 **Top 10% Reviewer**, *International Conference on Machine Learning (ICML)*, Baltimore, MD, USA
- 2019 **Travel Grant for AAMAS 2019**, *DAAD*, Montreal, Canada
- 2016 **Best Bachelor Award**, *Rohde & Schwarz GmbH & Co. KG*, Munich, Germany
- 2016 **Award for an Outstanding Bachelor Thesis in the Field of Image Processing**, *Stemmer Imaging GmbH*, Munich, Germany
- 2012 – 2017 **Scholarship**, *Studienstiftung des Deutschen Volkes*, Munich, Germany  
In Germany, the top 0.5% of university or high school students get selected for funding by the German Academic Scholarship Foundation.

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## Selected Publications

### Conferences

- 2023 [C14] **Attention-Based Recurrence for Multi-Agent Reinforcement Learning under Stochastic Partial Observability**  
Thomy Phan, Fabian Ritz, Philipp Altmann, Maximilian Zorn, Jonas Nüßlein, Michael Kölle, Thomas Gabor, and Claudia Linnhoff-Popien.  
*International Conference on Machine Learning (ICML)*, pages 27840–27853, 2023.
- [C13] **CROP: Towards Distributional-Shift Robust Reinforcement Learning using Compact Reshaped Observation Processing**  
Philipp Altmann, Leonard Feuchtinger, Fabian Ritz, Jonas Nüßlein, Claudia Linnhoff-Popien, and Thomy Phan.  
*International Joint Conference on Artificial Intelligence (IJCAI)*, pages 3414–3422, 2023.
- [C12] **Adaptive Bi-Nonlinear Neural Networks Based on Complex Numbers with Weights Constrained along the Unit Circle**  
Felip Guimerà Cuevas, Thomy Phan, and Helmut Schmid.  
*Pacific-Asia Conference on Knowledge Discovery and Data Mining (PAKDD)*, pages 355–366, 2023.
- 2022 [C11] **Emergent Cooperation from Mutual Acknowledgment Exchange**  
Thomy Phan, Felix Sommer, Philipp Altmann, Fabian Ritz, Lenz Belzner, and Claudia Linnhoff-Popien.  
*International Conference on Autonomous Agents and Multiagent Systems (AAMAS)*, pages 1047–1055, 2022.
- [C10] **Towards Anomaly Detection in Reinforcement Learning (Blue Sky Ideas)**  
Robert Müller, Steffen Illium, Thomy Phan, Tom Haider, and Claudia Linnhoff-Popien.  
*International Conference on Autonomous Agents and Multiagent Systems (AAMAS)*, pages 1799–1803, 2022.
- 2021 [C9] **VAST: Value Function Factorization with Variable Agent Sub-Teams**  
Thomy Phan, Fabian Ritz, Lenz Belzner, Philipp Altmann, Thomas Gabor, and Claudia Linnhoff-Popien.  
*Advances in Neural Information Processing Systems (NeurIPS)*, pages 24018–24032, 2021.
- [C8] **Resilient Multi-Agent Reinforcement Learning with Adversarial Value Decomposition**  
Thomy Phan, Lenz Belzner, Thomas Gabor, Andreas Sedlmeier, Fabian Ritz, and Claudia Linnhoff-Popien.  
*AAAI Conference on Artificial Intelligence (AAAI)*, pages 11308–11316, 2021.
- [C7] **A Quantum Annealing Algorithm for Finding Pure Nash Equilibria in Graphical Games**  
Christoph Roch, Thomy Phan, Sebastian Feld, Robert Müller, Thomas Gabor, Carsten Hahn, and Claudia Linnhoff-Popien.  
*International Conference on Computational Science (ICCS)*, pages 488–501, 2020.
- [C6] **Learning and Testing Resilience in Cooperative Multi-Agent Systems**  
Thomy Phan, Thomas Gabor, Andreas Sedlmeier, Fabian Ritz, Bernhard Kempter, Cornel Klein, Horst Sauer, Reiner Schmid, Jan Wieghardt, Marc Zeller, and Claudia Linnhoff-Popien.  
*International Conference on Autonomous Agents and Multiagent Systems (AAMAS)*, pages 1055–1063, 2020.
- 2019 [C5] **Adaptive Thompson Sampling Stacks for Memory Bounded Open-Loop Planning**  
Thomy Phan, Thomas Gabor, Robert Müller, Christoph Roch, and Claudia Linnhoff-Popien.  
*International Joint Conference on Artificial Intelligence (IJCAI)*, pages 5607–5613, 2019.
- [C4] **Subgoal-Based Temporal Abstraction in Monte-Carlo Tree Search**  
Thomas Gabor, Jan Peter, Thomy Phan, Christian Meyer, and Claudia Linnhoff-Popien.  
*International Joint Conference on Artificial Intelligence (IJCAI)*, pages 5562–5568, 2019.
- [C3] **Scenario Co-Evolution for Reinforcement Learning on a Grid World Smart Factory Domain**  
Thomas Gabor, Andreas Sedlmeier, Marie Kiermeier, Thomy Phan, Marcel Henrich, Monika Pichlmair, Bernhard Kempter, Cornel Klein, Horst Sauer, Reiner Schmid, and Jan Wieghardt.  
*Genetic and Evolutionary Computation Conference (GECCO)*, pages 898–906, 2019.

- [C2] **Memory Bounded Open-Loop Planning in Large POMDPs using Thompson Sampling**  
 Thomy Phan, Lenz Belzner, Marie Kiermeier, Markus Friedrich, Kyrill Schmid, and Claudia Linnhoff-Popien.  
*AAAI Conference on Artificial Intelligence (AAAI)*, pages 7941–7948, 2019.
- 2018 [C1] **Leveraging Statistical Multi-Agent Online Planning with Emergent Value Function Approximation**  
 Thomy Phan, Lenz Belzner, Thomas Gabor, and Kyrill Schmid.  
*International Conference on Autonomous Agents and Multiagent Systems (AAMAS)*, pages 730–738, 2018.

### Journals

- 2023 [J5] **Emergent Cooperation from Mutual Acknowledgment Exchange in Multi-Agent Reinforcement Learning**  
 Thomy Phan, Felix Sommer, Fabian Ritz, Philipp Altmann, Jonas Nüßlein, Michael Kölle, Lenz Belzner, and Claudia Linnhoff-Popien.  
*Journal on Autonomous Agents and Multi-Agent Systems (JAAMAS)*, 2023. Under review.
- 2022 [J4] **Specification Aware Multi-Agent Reinforcement Learning**  
 Fabian Ritz, Thomy Phan, Robert Müller, Thomas Gabor, Andreas Sedlmeier, Marc Zeller, Jan Wieghardt, Reiner Schmid, Horst Sauer, Cornel Klein, and Claudia Linnhoff-Popien.  
*Springer Book of ICAART 2021*, pages 3–21, 2022.
- 2021 [J3] **Productive Fitness in Diversity-Aware Evolutionary Algorithms**  
 Thomas Gabor, Thomy Phan, and Claudia Linnhoff-Popien.  
*Natural Computing*, 20(3): 363–376, 2021.
- 2020 [J2] **The Scenario Coevolution Paradigm: Adaptive Quality Assurance for Adaptive Systems**  
 Thomas Gabor, Andreas Sedlmeier, Thomy Phan, Fabian Ritz, Marie Kiermeier, Lenz Belzner, Bernhard Kempter, Cornel Klein, Horst Sauer, Reiner Schmid, Jan Wieghardt, Marc Zeller, and Claudia Linnhoff-Popien.  
*International Journal on Software Tools for Technology Transfer (STTT)*, 22(4): 457–476, 2020.
- 2016 [J1] **Ultra-High Resolution 3D Imaging of Whole Cells (Cover Story)**  
 Fang Huang, George Sirinakis, Edward S Allgeyer, Lena K Schroeder, Whitney C Duim, Emil B Kromann, Thomy Phan, Felix E Rivera-Molina, Jordan R Myers, Irnov Irnov, Mark Lessard, Yongdeng Zhang, Mary Ann Handel, Christine Jacobs-Wagner, C Patrick Lusk, James E Rothman, Derek Toomre, Martin J Booth, and Joerg Bewersdorf.  
*Cell*, 166(4): 1028–1040, 2016.

### Workshops

- Workshop papers with a conference or journal version are not listed.
- 2023 [W4] **DIRECT: Learning from Sparse and Shifting Rewards using Discriminative Reward Co-Training**  
 Philipp Altmann, Thomy Phan, Fabian Ritz, Thomas Gabor, and Claudia Linnhoff-Popien.  
*Adaptive and Learning Agents Workshop (ALA)* at AAMAS, 2023.
- 2020 [W3] **The Holy Grail of Quantum Artificial Intelligence: Major Challenges in Accelerating the Machine Learning Pipeline**  
 Thomas Gabor, Leo Sünkel, Fabian Ritz, Thomy Phan, Lenz Belzner, Christoph Roch, Sebastian Feld, and Claudia Linnhoff-Popien.  
*International Workshop on Quantum Software Engineering (Q-SE)* at ICSE, pages 456–461, 2020.
- [W2] **Insights on Training Neural Networks for QUBO Tasks**  
 Thomas Gabor, Sebastian Feld, Hila Safi, Thomy Phan, and Claudia Linnhoff-Popien.  
*International Workshop on Quantum Software Engineering (Q-SE)* at ICSE, pages 436–441, 2020.
- [W1] **A Distributed Policy Iteration Scheme for Cooperative Multi-Agent Policy Approximation**  
 Thomy Phan, Lenz Belzner, Kyrill Schmid, Thomas Gabor, Fabian Ritz, Sebastian Feld, and Claudia Linnhoff-Popien.  
*Adaptive and Learning Agents Workshop (ALA)* at AAMAS, 2020.

### Extended Abstracts

Extended abstracts with a conference or journal version are not listed.

- 2019 [E1] **Distributed Policy Iteration for Scalable Approximation of Cooperative Multi-Agent Policies**  
Thomy Phan, Kyrill Schmid, Lenz Belzner, Thomas Gabor, Sebastian Feld, and Claudia Linnhoff-Popien.  
*International Conference on Autonomous Agents and Multiagent Systems (AAMAS)*, pages 2162–2164, 2019.

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## Talks

### Invited Talks

- 07/2022 **Emergent Cooperation from Mutual Acknowledgment Exchange**, Workshop on Ad Hoc Teamwork at IJCAI 2022 (virtual)  
Highlight presentation of a paper previously published in AAMAS 2022.
- 06/2021 **Stability in AI-Systems**, Digitale Stadt München e.V., Germany (virtual)  
DigiTalk event on Safe Intelligence of the Digital City Association of Munich.
- 12/2020 **Artificial Intelligence – How Do Robots Learn?**, Gymnasium Berchtesgaden, Germany (virtual)  
P-seminar talk for high school students and the Junior Science Café.
- 03/2019 **Building Autonomous Systems with AI**, *University of Augsburg*, Germany  
AI workshop for students of the Software Engineering Elite Graduate Program.

### Presentations at Conferences and Workshops

- 07/2023 **Attention-Based Recurrence for Multi-Agent Reinforcement Learning under Stochastic Partial Observability**, Honolulu, Hawaii, USA  
International Conference on Machine Learning (ICML).
- 05/2022 **Emergent Cooperation from Mutual Acknowledgment Exchange**, virtual  
International Conference on Autonomous Agents and Multiagent Systems (AAMAS).
- 12/2021 **VAST: Value Function Factorization with Variable Agent Sub-Teams**, virtual  
Conference on Neural Information Processing Systems (NeurIPS).
- 02/2021 **Resilient Multi-Agent Reinforcement Learning with Adversarial Value Decomposition**, virtual  
AAAI Conference on Artificial Intelligence (AAAI).
- 05/2020 **Learning and Testing Resilience in Cooperative Multi-Agent Systems**, virtual  
International Conference on Autonomous Agents and Multiagent Systems (AAMAS).
- 05/2020 **A Distributed Policy Iteration Scheme for Cooperative Multi-Agent Policy Approximation**, virtual  
Adaptive and Learning Agents Workshop (ALA) at AAMAS.
- 08/2019 **Adaptive Thompson Sampling Stacks for Memory Bounded Open-Loop Planning**, Macao, China  
International Joint Conference on Artificial Intelligence (IJCAI).
- 02/2019 **Memory Bounded Open-Loop Planning in Large POMDPs using Thompson Sampling**, Honolulu, Hawaii, USA  
AAAI Conference on Artificial Intelligence (AAAI).
- 07/2018 **Leveraging Statistical Multi-Agent Online Planning with Emergent Value Function Approximation**, Stockholm, Sweden  
International Conference on Autonomous Agents and Multiagent Systems (AAMAS).

### Other Talks

- 2019 **Unitag: Artificial Intelligence – How Do Robots Learn?**, *LMU Munich*, Germany  
University event for gifted high school students from Upper Bavaria.