

(7.5) = 8.2.

Prop. If two quadratic forms are equivalent then their automorphism groups are isomorphic, and indeed conjugate in $\mathrm{SL}_2(\mathbb{Z})$.

Proof. If $f' = f \circ g$, then

Recall

$$\begin{aligned} h \in \mathrm{Aut}(f') &\iff f' \circ h = f' \iff f \circ g \circ h = f \circ g \\ &\iff f \circ g \circ h \circ g^{-1} = f \circ g \circ g^{-1} = f \\ &\iff ghg^{-1} \in \mathrm{Aut}(f). \end{aligned}$$

$$\text{so, } \mathrm{Aut}(f') = g \cdot \mathrm{Aut}(f) \cdot g^{-1}.$$

(Also note, $(ghg^{-1})(gh'g^{-1}) = ghh'g^{-1}$ so RHS is a group isomorphic to $\mathrm{Aut}(f')$.

Rk. This principle is extremely familiar, master it!

Prop. If f is a primitive quadratic form of disc $D < 0$, then

$$|\mathrm{Aut}(f)| = \begin{cases} 4 & \text{if } D = -4 \quad (\text{proved above}) \\ 6 & \text{if } D = -3 \quad (\text{homework!!}) \\ 2 & \text{if } D < -4. \end{cases}$$

Isomorphic to the unit group of the ring of integers of $\mathbb{Q}(\sqrt{D})$.

If $D > 0$ then $\mathrm{Aut}(f)$ is infinite.

Example Look at $x^2 - 2y^2$ of discriminant 8.

Ex. (1. easy) Verify that $\begin{bmatrix} 3 & 4 \\ 2 & 3 \end{bmatrix} \in \mathrm{Aut}(f)$ and is of infinite order.

(2. hard) Figure out how I wrote down that matrix.

Hints. $x^2 - 2y^2 = (x - \sqrt{2}y)(x + \sqrt{2}y)$ and $(\sqrt{2}-1)(\sqrt{2}+1) = 1$.

8.3.

The representation theorem.

Let $r_D(n) := \#$ representations of n by all QF of disc.

$D \uparrow$ to equivalence
(if n is odd)

Proved before: $r_D(n) > 0 \iff \text{not } D \text{ is a quadratic residue} \pmod{4}$.

Theorem. $r_D(n) = \sum_{m \mid n} \left(\frac{D}{m} \right)$.

Note. We only defined $\left(\frac{D}{m} \right)$ for ^{odd} prime m .

Define $\left(\frac{D}{2} \right) = \begin{cases} 0 & \text{if } D \text{ is even} \\ 1 & \text{if } D \equiv 1, 7 \pmod{8} \\ -1 & \text{if } D \equiv 3, 5 \pmod{8} \end{cases}$

(2 ram in $\mathbb{Q}(\sqrt{D})$)
(2 splits in $\mathbb{Q}(\sqrt{D})$)
(2 inert in $\mathbb{Q}(\sqrt{D})$)

and $\left(\frac{D}{m \cdot m'} \right) = \left(\frac{D}{m} \right) \left(\frac{D}{m'} \right)$ for all m, m' .

This defines $\left(\frac{D}{m} \right)$ for all positive integers m and is periodic in the top.

Analytic number theory lemma.

$$r_D(n) = \sum_{m \mid n} \left(\frac{D}{m} \right) = \prod_{\substack{p \mid n \\ p \nmid D}} \left(1 + \left(\frac{D}{p} \right) + \left(\frac{D}{p^2} \right) + \cdots + \left(\frac{D}{p^{e_p}} \right) \right).$$

Proof. FOIL the right side!

Example. Suppose n is coprime to D and squarefree.

$$\text{Then, } r_D(n) = \prod_{p \mid n} \left(1 + \left(\frac{D}{p} \right) \right) = 2^{w(n)} \quad \begin{array}{l} \text{if } (w(n)) = \# \text{ dist prime factors} \\ \text{if } D \text{ is a residue mod } p \\ = 0 \quad \text{otherwise} \end{array}$$

8.4. Example. Let $D = -4$.

Then $r_{-4}(1) = 1$. $(1^2 + 0^2, (-1)^2 + 0^2, 0^2 + 1^2, 0^2 + (-1)^2)$
 $r_{-4}(5) = 2$. $(\cancel{1^2 + 2^2}, \cancel{1^2 + (-2)^2}, ((\pm 1)^2 + (\pm 2)^2), \text{ backwards})$
 $r_{-4}(2) = 1$. (Note: $(-\frac{4}{2}) = 0$.)

Recall that because $|\text{Aut}(x^2 + y^2)| = 4$, there are 4 equivalent relations for each.

Example. $D = -15$.

$$\begin{array}{ll} \cancel{x^2 + y^2 \neq 4} & x^2 + xy + 4y^2 \\ 2x^2 + xy + 2y^2 & \end{array} \begin{array}{l} \#1 \\ \#2 \end{array}$$

$$\left(\frac{-15}{13}\right) = 1, \text{ so } r_{-15}(13) = 2. \quad \#_2: x = 1, y = -3$$

$$x = -1, y = 3$$

$$x = -3, y = 1$$

$$x = 3, y = -1.$$

These are two equiv. classes.

Similarly, $\left(\frac{-15}{19}\right) = 1, r_{-15}(19) = 2$. rep'd by first form only.

Two ways to prove this.

(1) Correspondence to ideals.

(2) Work with binary quadratic forms directly.

Proofs of (2).

A bit messy. See Cox, ex. 3.20.

For $4 \mid D$, and n odd. (Warning: Cox uses different letters)
 \Rightarrow negative,

(a) The number of solutions to

$$x^2 \equiv D \pmod{n}$$

$$\text{is } \prod_{p \mid n} \left(1 + \left(\frac{D}{p}\right)\right).$$

9.1. Dirichlet's class number formula.

Suppose d is fundamental.

Theorem. Let $L(1, \chi_d) := \sum_n \left(\frac{d}{n}\right) \cdot \frac{1}{n}$.

Then, $h(d) = \frac{\omega}{2\pi} \cdot \sqrt{|d|} L(1, \chi_d)$,

$$\text{where } \omega = \begin{cases} \pm 2 & \text{if } d < -4 \\ \pm 4 & \text{if } d = -4 \\ \pm 6 & \text{if } d = -3. \end{cases}$$

Examples.

$d = -4$:

$$h(-4) = \frac{4}{2\pi} \cdot \sqrt{4} \cdot \left(1 - \frac{1}{3} + \frac{1}{5} - \frac{1}{7} + \dots\right)$$
$$= \frac{4 \cdot 2}{2\pi} \cdot \frac{\pi}{4} = 1.$$

$$h(-3) = \frac{6}{2\pi} \sqrt{3} \left(1 - \frac{1}{2} + \frac{1}{4} - \frac{1}{5} + \frac{1}{7} - \frac{1}{8} \dots\right)$$
$$= \frac{3\sqrt{3}}{\pi} \cdot \frac{\pi}{3\sqrt{3}} = 1.$$

$$h(-23) = \frac{2}{2\pi} \sqrt{23} \left(1 + \frac{1}{2} + \frac{1}{3} + \frac{1}{4} - \frac{1}{5} + \frac{1}{6} - \frac{1}{7} + \dots\right)$$
$$= \frac{\sqrt{23}}{\pi} \left(\frac{3\pi}{\sqrt{23}}\right).$$

Consequences.

(1) Since $\left(\frac{d}{n}\right)$ is equally likely to be 0 or 1, expect $h(d) = \frac{\sqrt{|d|}}{\pi}$ on average.

9.2.

Question: What is $\sum_{-d \leq X} h(d)$ asymptotically?

Guess $\sum_{-d \leq X} \frac{\sqrt{|d|}}{\pi} \sim \underbrace{\frac{3}{\pi^2}}_{\text{Proportion of } d \text{ which are fundamental}} \int_0^X \frac{t^{1/2}}{\pi} dt = \frac{2}{\pi^3} X^{3/2}$

This is not correct.

We also have

$$\sum_{-d \leq X} h(-d) = \#\{(a, b, c) : b^2 - 4ac \in [-X, 0], \text{ satisfy inequalities for being reduced, } b^2 - 4ac \text{ is fundamental}\}$$

(2) $L(1, \chi_d)$ is easy to bound from above, so we can prove $h(d) \ll \sqrt{|d|} \log |d|$.
(will prove this directly.)

(3) $L(1, \chi_d) \neq 0$.

This proves, e.g. half of primes are $\equiv 1 \pmod{4}$
half are $\not\equiv 3 \pmod{4}$.

Note. A similar formula holds for $d > 0$ also. It is harder because there is a harder GON problem to solve. We will do this in detail.

9.3.

Strategy of proof.

Hinges on the theorem that

$$r_D(n) = \sum_{m|n} \left(\frac{D}{m} \right)$$

Lemma. We have [explain " $p^{e_p} \| n$ "]

$$\sum_{m|n} \left(\frac{D}{m} \right) = \prod_{p^{e_p} \| n} \left(1 + \left(\frac{D}{p} \right) + \left(\frac{D}{p^2} \right) + \cdots + \left(\frac{D}{p^{e_p}} \right) \right).$$

Proof. For the right side.

In particular, if n is coprime to D and squarefree,

$$r_D(n) = \prod_{p|n} \left(1 + \left(\frac{D}{p} \right) \right) = \begin{cases} 2^{w(n)} & \text{if } D \text{ is a residue} \\ 0 & \text{mod } n \\ (w(n)) & \text{: # of dist prime divisors} \\ 0 & \text{else.} \end{cases}$$

(8.6) = 9.4

GON and bounds on the class number.

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~~Prop.~~ If $d < 0$ then

(A.C., p. 9)

$$\text{tot} h(d) \ll \sqrt{|d|} \log |d|.$$

Proof. The key identity is that, for a fixed form $f = ax^2 + bxy + cy^2$,

$$\sum_{n \leq N} r_f(n) = \frac{1}{w} \sum_{\substack{x, y \in \mathbb{Z} \\ 0 < f(x, y) \leq N}} 1,$$

$$\text{where } w = \begin{cases} 2 & \text{if } \text{Disc}(f) < -4 \\ 4 & \text{if } \text{Disc}(f) = -4 \\ 6 & \text{if } \text{Disc}(f) = -3. \end{cases}$$

This is obvious. The proof is by staring at it.

That said, w gives the number of equivalent representation by f , so you do need to prove that if g is a nontrivial automorphism of f , then $g \begin{pmatrix} x \\ y \end{pmatrix} \neq \begin{pmatrix} x \\ y \end{pmatrix}$ for $\begin{pmatrix} x \\ y \end{pmatrix} \neq \begin{pmatrix} 0 \\ 0 \end{pmatrix}$.

For $\text{Disc}(f) < -4$, $\text{Aut}(f) = \left\{ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} \right\}$

so it's obvious.

For $\text{Disc}(f) = -4, -3$, just check it.

Prop. If f is positive definite, then

$$\sum_{\substack{x, y \in \mathbb{Z} \\ 0 < f(x, y) \leq N}} 1 = \frac{2\pi N}{\sqrt{|D|}} + O(\sqrt{N}).$$

Now why is this interesting?

$$\sum_{\substack{f \\ \text{of disc } D}} \sum_{n \leq N} r_f(n) = h(D) \left(\frac{2\pi N}{\sqrt{|D|}} + O(\sqrt{N}) \right).$$

$$\sum r_D(n)$$

What are the lengths of the projections?

(z, w) in a box of side length $\frac{1}{\sqrt{a}}$.

$$\begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 1 & \frac{-b}{2a} \cdot \frac{2a}{\sqrt{-D}} \\ 0 & \frac{2a}{\sqrt{-D}} \end{bmatrix} \begin{bmatrix} z \\ w \end{bmatrix} = \begin{bmatrix} 1 & \frac{-b}{\sqrt{-D}} \\ 0 & \frac{2a}{\sqrt{-D}} \end{bmatrix} \begin{bmatrix} z \\ w \end{bmatrix}$$

$$\text{So } |y| \left| \frac{\frac{2a}{\sqrt{-D}}}{\frac{1}{\sqrt{a}}} \right| \leq \frac{2 \left| \frac{\sqrt{-D}/3}{\sqrt{-D}} \right|}{\frac{1}{\sqrt{a}}} \leq \frac{2\sqrt{3}}{\sqrt{a}}.$$

$$|x| \leq |z| + \cancel{\left| \frac{b}{2a} \right|} |y|$$

$$\leq \frac{1}{\sqrt{a}} + \frac{1}{2} \cdot \frac{2\sqrt{3}}{\sqrt{a}} = \frac{(1 + \sqrt{3})}{\sqrt{a}}.$$

Projections of size $O\left(\frac{1}{\sqrt{a}}\right)$.

So, in general, projections of size $O\left(\frac{\sqrt{N}}{\sqrt{a}}\right) = O(\sqrt{N})$.

The GON question.

What is $\text{Area}(\{ax^2 + bxy + cy^2 < N\})$?

Note it is $N \cdot \text{Area}(\{ax^2 + bxy + cy^2 < 1\})$.
 $N^{1/2}$ in each dimension.

$a > 0$ because $b^2 - 4ac < 0$.

$$x^2 + \frac{b}{a}xy + \frac{c}{a}y^2 < \frac{1}{a}$$

$$(x + \frac{b}{2a}y)^2 + (\frac{c}{a} - \frac{b^2}{4a^2})y^2 < \frac{1}{a}$$

$$(x + \frac{b}{2a}y)^2 + \left(\frac{4ac - b^2}{4a^2}\right)y^2 < \frac{1}{a}.$$

Change of variables $z = x + \frac{b}{2a}y, w = \frac{\sqrt{4ac - b^2}}{2a}y$

$$z^2 + w^2 = \frac{1}{a} \text{ with area } \cancel{\frac{\pi}{a}} \frac{\pi}{a}.$$

Our change of variables is $\begin{bmatrix} z \\ w \end{bmatrix} = \begin{bmatrix} 1 & \frac{b}{2a} \\ 0 & \frac{\sqrt{4ac - b^2}}{2a} \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$

Multiplied volume by $\frac{\sqrt{4ac - b^2}}{2a}$.

$$\text{So area} = \frac{\pi}{a} \cdot \frac{2a}{\sqrt{4ac - b^2}} = \frac{2\pi}{\sqrt{-D}}.$$

9.5.

Therefore, for any N ,

$$\sum_{n \leq N} r_D(n) = \sum_f \sum_{\substack{n \leq N \\ f \text{ of disc}}} r_f(n) = h(D) \left(\frac{2\pi N}{w\sqrt{|D|}} + o(\sqrt{N}) \right)$$

Simultaneously,

$$\sum_{n \leq N} r_D(n) = \sum_{n \leq N} \sum_{m \mid n} \left(\frac{D}{m} \right) = \sum_{m \leq N} \left(\frac{D}{m} \right) \sum_{\substack{n \leq N \\ m \mid n}} 1$$

cheating!!!!
 come back and
 fix

$$= \sum_{m \leq N} \left(\frac{D}{m} \right) \left\lfloor \frac{N}{m} \right\rfloor$$

$$\sim \sum_{m \leq N} \left(\frac{D}{m} \right) \frac{N}{m}$$

$$= N \cdot \sum_{m \leq N} \left(\frac{D}{m} \right) \cdot \frac{1}{m}$$

Now, because $\sum_m \left(\frac{D}{m} \right) \cdot \frac{1}{m}$ is convergent, this is

~~$$\sum_{m \leq N} \left(\frac{D}{m} \right) \cdot \frac{1}{m} \sim N \cdot \left(L(1, \chi_D) + o(1) \right)$$~~

So,

$$N \left(L(1, \chi_D) + o(1) \right) = h(D) \left(\frac{2\pi N}{w\sqrt{|D|}} + o(\sqrt{N}) \right)$$

$$= N \left(\frac{2\pi h(D)}{w\sqrt{|D|}} + o(1) \right).$$

So, $L(1, \chi_D) = \frac{2\pi h(D)}{w\sqrt{|D|}}$.

9.6. Being more careful:

For any A and B we have $\left| \sum_{A < m \leq B} \left(\frac{D}{m} \right) \right| \leq |D|$.

so, for any K

$$\begin{aligned} \sum_{m \leq N} \left(\frac{D}{m} \right) \left\lfloor \frac{N}{m} \right\rfloor &= \sum_{m \leq \frac{N}{K}} \left(\frac{D}{m} \right) \left\lfloor \frac{N}{m} \right\rfloor + \sum_{\frac{N}{K} < m \leq N} \left(\frac{D}{m} \right) \left\lfloor \frac{N}{m} \right\rfloor \\ &= \sum_{m \leq \frac{N}{K}} \left(\frac{D}{m} \right) \cdot \frac{1}{m} + O\left(\frac{N}{K}\right) + \sum_{r=1}^K \sum_{\frac{N}{K} < m \leq \frac{N}{r}} \left(\frac{D}{m} \right) \\ &= \sum_{m \leq \frac{N}{K}} \left(\frac{D}{m} \right) \cdot \frac{1}{m} + O\left(\frac{N}{K}\right) + o(K|D|) \end{aligned}$$

Choose $K = \sqrt{N/|D|}$, get

$$\sum_{m \leq N} \left(\frac{D}{m} \right) \left\lfloor \frac{N}{m} \right\rfloor = \sum_{m \leq \frac{N}{K}} \left(\frac{D}{m} \right) \cdot \frac{1}{m} + o\left(\sqrt{N|D|}\right).$$

This is much smoother

This is still $N \cdot (L(1, \chi_D) + o(1))$.