

# NOTES ON DAVENPORT-HEILBRONN'S RESULTS ON CUBIC FIELDS

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ABSTRACT. These notes describe, in an extremely sketchy manner, the result of Davenport-Heilbronn [2] proving an asymptotic for the number of cubic fields of prescribed discriminant.

## 1. INTRODUCTION

**Definition 1.1.** We let  $N_3(\xi, \eta)$  denote the number of cubic fields  $K$  with discriminant  $\Delta_K$  satisfying  $\xi < \Delta_K < \eta$ , where a triplet of conjugate fields is counted once only.

If  $\Psi$  is an  $\mathrm{SL}_2(\mathbb{Z})$ -equivalence class of irreducible binary cubic forms, we let  $N(\xi, \eta; \Psi)$  denote the number of equivalence classes of forms in  $\Psi$  with discriminant  $\Delta$  satisfying  $\xi < \Delta < \eta$ .

In these notes we will describe Davenport-Heilbronn's proof [2] of the following result:

**Theorem 1.2.** [2]

$$\lim_{X \rightarrow \infty} \frac{1}{X} N_3(0, X) = \frac{1}{12\zeta(3)},$$
$$\lim_{X \rightarrow \infty} \frac{1}{X} N_3(-X, 0) = \frac{1}{4\zeta(3)}.$$

They prove their result through the following theorem:

**Theorem 1.3.** There exists a bijection between triplets of conjugate cubic fields  $K$ , and a subset  $U$  of the  $\mathrm{SL}_2(\mathbb{Z})$ -equivalence classes of integral binary cubic forms.

This bijection preserves: (1) the discriminant, and (2) the factorization type of each prime  $p$  (i.e., the factorization type of a prime  $p$  in  $K/\mathbb{Q}$  is the same as the factorization of the associated cubic form over  $\mathbb{F}_p$ ).

Moreover, the bijection is given explicitly as follows. For a cubic field  $K$ , we let  $1, \omega, \nu$  denote an integral basis, and let  $\Delta_K$  denote the absolute discriminant. Then,

$$(1.1) \quad F_K(x, y) := \Delta_K^{-1/2} \Delta^{1/2} (\omega x + \nu y)$$

is the associated cubic form.

The subset  $U$  is defined by a set of local conditions for each prime  $p$ , and is to be described later.

The result then follows from the following result (copy-and-pasted from Proposition 5.1):

**Theorem 1.4.**

$$\lim_{X \rightarrow \infty} \frac{1}{X} N(0, X; U) = \frac{1}{12\zeta(3)},$$
$$\lim_{X \rightarrow \infty} \frac{1}{X} N(-X, 0; U) = \frac{1}{4\zeta(3)}.$$

The structure of these notes follows that of [2]. In Section 2 we (and DH) introduce some notation and definitions (and postpone the motivation for later). In Section 3 we compute some ‘local densities’: the densities of forms in various subsets  $U_p$ ,  $V_p$ , etc., which will only depend on the coefficients of these forms modulo  $p^2$ . In Section 4 we prove an auxiliary proposition which will be needed later. This proposition tells us that the number of cubic forms with discriminant  $< X$  and divisible by  $p^2$  is  $O(X/p^2)$ . In Section 5 we go from local densities to global densities, which establishes Theorem 1.4. In Section 6 we prove the correspondence in Theorem 1.3, although a substantial subset (proved earlier in [1]) will be assumed.) In Section 7 we present an application to 3-torsion in quadratic fields, although the proof looked unfortunately a bit *deus ex machina* to the present author. We will conclude (at least I intend to write something eventually...) in Section 8 with an overview of Davenport and Heilbronn’s earlier paper [1].

## 2. NOTATION AND DEFINITIONS

**Definition of  $\Phi$ :**  $\Phi$  will denote the set of all irreducible primitive binary cubic forms

$$F(x, y) = ax^3 + bx^2y + cxy^2 + dy^3$$

with integer coefficients. The **discriminant** of such a form is defined to be the same as the discriminant of the associated polynomial

$$ax^3 + bx^2 + cx + d,$$

which one may check (or look up) to be

$$D = b^2c^2 + 18abcd - 27a^2d^2 - 4b^3d - 4c^3a.$$

**Equivalence:** We will say that two forms  $F(x, y)$  and  $F(x', y')$  are **equivalent** if there exists a matrix  $M \in \mathrm{GL}_2(\mathbb{Z})$  so that  $(x', y') := M(x, y)$  transforms  $F'$  into  $F$ . Trivially, two equivalent forms represent the same integers.

It is a fact that **equivalence preserves the discriminant**; to give one proof, write out a change of variables and do the computation. If Brian Conrad is listening to this talk, he might begin gagging here and interrupt me to offer a more highbrow proof.

For **quadratic forms** we insist instead that  $M \in \mathrm{SL}_2(\mathbb{Z})$ .

We say that two forms are **rationally equivalent** if there is a nonsingular matrix  $M$  with integer entries taking  $F$  to  $\delta F'$ , for any rational number  $\delta$ . This can easily be checked (although it is not totally immediate) that this is an equivalence relation.

*Remark.* It would be interesting to re-read Burton Jones’s book and recall why we care about this.

**Congruences:** We will define two notions of congruences. We write  $F_1(x, y) \equiv F_2(x, y) \pmod{m}$  if all the coefficients are congruent mod  $m$ . We will write  $F_1(x, y) \equiv F_2(x, y) \pmod{m}$  if for each pair  $x, y \in \mathbb{Z}$  the forms assume values congruent to each other mod  $m$ .

*Remark.* It naturally occurs to me to wonder how much stronger the first condition is. Presumably D-H discuss this later.

**Factorization mod  $p$ :** We define a symbol  $(F, p)$  for each  $p$  depending on how the form  $F$  factors mod  $p$ . In particular,  $(F, p)$  is defined to be  $(111), (12), (3), (1^3), (1^21)$ , where “different 1’s” denote linear forms with nonconstant quotient (i.e. which are really distinct)

We define  $T_p(111), T_p(12)$ , etc. to be the subsets of  $\Phi$  consisting of forms which factorize in a given way mod  $p$ .

**Lemma 2.1.** *We have  $p|D$  if and only if  $(F, p) = (1^3)$  or  $(F, p) = (1^21)$ , and furthermore  $p^2|D$  if  $(F, p) = (1^3)$ .*

*Proof.* Omitted by DH. Is this the sort of thing one should morally know?  $\square$

**Definition of  $W_p, V_p, U_p, V, U$ .** We say that  $F \in W_p$  if  $p^2 \mid D$ . (So,  $T_p(1^3) \subseteq W_p$ .)

We define  $V_p$  to be the complement of  $W_p$  for all  $p \neq 2$ . If  $p = 2$  (I hate 2), we say that  $F \in V_2$  if  $D \equiv 1 \pmod{4}$  or  $D \equiv 8, 12 \pmod{16}$ . (**why??**)

We define  $U_p \supseteq V_p$  to contain any  $F \in U_p$ , and also to contain any  $F$  with  $(F, p) = (1^3)$  and if the congruence  $F(x, y) \equiv ep \pmod{p^2}$  has a solution for any  $e \not\equiv 0 \pmod{p}$ . In other words,  $U_p$  contains all forms where  $p^2$  does not divide the discriminant  $D$ , and a few forms where  $p^2$  does divide the discriminant.

**Definition of  $U, V$ :** We define  $U$  and  $V$  to be the intersection of  $U_p, V_p$  for all primes  $p$ .

By the definitions, we check (not too difficult) that  $V_p, U_p, V, U$  consist of complete classes of equivalent forms.

*Remark.* The definitions of  $U_p$  and  $U$  are motivated by what comes later; in particular, we want to define a bijection between classes in  $U$  and cubic fields up to conjugation. One should notice that  $U$  is a suitably meaty subset of  $\Phi$ ; the density of  $U$  in  $\Phi$  will be positive and given by a convergent Euler product over all primes.

If  $S$  is any subset of  $\Phi$  consisting of complete equivalence classes, we denote by  $N(\xi, \eta; S)$  the number of classes in  $S$  whose forms have a discriminant  $D \in [\xi, \eta]$ .

**Quadratic forms:** We let  $h_3^*(\Delta_2)$  denote the number of classes of primitive quadratic forms of discriminant  $\Delta_2$  whose cube is the unit class. (In other words, we're counting 3-torsion in the class group.)

### 3. LOCAL DENSITIES

Modulo  $p^r$ , where  $r = 1$  or  $2$ , there are  $p^{4r}(1 - p^{-4})$  forms over  $\mathbb{Z}/p^r\mathbb{Z}$ . If  $S$  is any set of forms in  $\Phi$ , we let  $A(S, p^r)$  denote the number of residue classes mod  $p^r$  occupied by forms in  $S$ , divided by  $p^{4r}(1 - p^{-4})$ .

**Lemma 3.1.**

$$A(T_p(111); p^r) = \frac{1}{6}p(p-1)(p^2+1)^{-1},$$

$$A(T_p(12); p^r) = \frac{1}{2}p(p-1)(p^2+1)^{-1},$$

$$A(T_p(3); p^r) = \frac{1}{3}p(p-1)(p^2+1)^{-1},$$

$$A(T_p(1^3); p^r) = (p^2+1)^{-1},$$

$$A(T_p(1^2 1); p^r) = p(p^2+1)^{-1}.$$

The proof is pretty easy, you just count.

**Definition 3.2.**  $S_1 = S_{1,p}$  denotes the set of forms  $F \in \Phi$  for which  $p \nmid a, p \mid b, p \mid c, p^2 \mid d$ .  $S_2 = S_{2,p}$  denotes the set of forms for which  $p \nmid b, p \mid a, p \mid c, p^2 \mid d$ .

$\Sigma_1$  and  $\Sigma_2$  denote the set of forms in  $\Phi$  equivalent to at least one  $F$  in  $S_1$  and  $S_2$  respectively.

**Lemma 3.3.** If  $F \in \Sigma_1$ , then  $(F, p) = (1^3)$ ; if  $F \in \Sigma_2$  then  $(F, p) = (1^2 1)$ .

*Proof.* DH don't give a proof, but it looks important!!! **Figure it out.**  $\square$

**Lemma 3.4** (Lemma 2). *We have*

$$A(\Sigma_1; p^2) = p^{-1}(p^2 + 1)^{-2},$$

$$A(\Sigma_2; p^2) = (p^2 + 1)^{-2}.$$

*Proof.* We start with the first formula. We (easily) compute that

$$(3.1) \quad A(S_1; p^2) = A(S_2; p^2) = p^{-1}(p + 1)^{-1}(p^2 + 1)^{-1}.$$

If  $M = \begin{pmatrix} k & l \\ m & n \end{pmatrix}$  is a matrix mod  $p^2$  of determinant  $\pm 1$ , we verify (by a short explicit computation) that for  $F \in S_1$ ,  $M \cdot F \in S_1$  if and only if  $p|l$ .

The unimodular substitutions mod  $p^2$  with  $p|l$  form a subgroup of index  $p + 1$  (**check it?**) of the group of all unimodular substitutions mod  $p^2$ , so if a form is in  $\Sigma_1$  then there is a  $1/p + 1$  chance it is in  $S_1$ . The first part of the lemma now follows from 3.1.

For  $S_2$  and  $\Sigma_2$  the argument is similar. We check (in about eight lines) that for  $F \in S_1$ ,  $M \cdot F \in S_1$  if and only if  $p \nmid l, m$ . The index of this subgroup is  $p(p + 1)$ , and the rest of the lemma follows.  $\square$

**Lemma 3.5** (3). *We have the disjoint union*

$$\Phi = V_p \cup T_p(1^3) \cup \Sigma_2.$$

*Proof.* As can be checked by some definition chasing, each  $F$  with  $(F, p) \neq (1^2 1)$  belongs to one and only one of these sets. So we only need to worry about  $F \in T(1^2 1)$ . We may assume (**why??**) that such a form has coefficients  $a, b, c, d$  so that  $p$  divides  $a, c, d$  and not  $b$ . Then, we calculate that

$$D \equiv -4b^3d \pmod{p^2}.$$

For  $p \neq 2$ ,  $D$  is divisible by  $p^2$  if and only if  $d$  is. By our definitions, this means that  $F$  is in either  $V_p$  or  $\Sigma_2$ .

If  $p = 2$ ... (never mind for now.)  $\square$

**Lemma 3.6** (4, 5). *We have*

$$A(V_p; p^2) = (p^2 - 1)(p^2 + 1)^{-1},$$

$$A(U_p; p^2) = (p^3 - 1)p^{-1}(p^2 + 1)^{-1}.$$

*Proof.* The principle, anyway, is clear. We've computed enough local densities, and now we use the explicit decomposition given in Lemma 3.  $\square$

**Lemma 3.7** (6). *If  $(F, p) = (1^3)$ , then  $F \in U_p$  if and only if  $D \equiv 0 \pmod{p^3}$ . For  $p = 3$ , a similar condition holds.*

*Proof.* The proof is similar to that of Lemma 3, and is confusing for the same reason. It seems we can make some assumptions on the  $p$ -divisibility of the coefficients of  $F$ . I don't understand where they come from.

Notice that the reason for treating  $p = 3$  separately is that the number 27 occurs in the discriminant.  $\square$

## 4. AN AUXILIARY PROPOSITION

We define  $N(-X, X; W_p)$  to be the number of equivalence classes of (cubic) forms with discriminant in  $[-X, X]$  in  $W_p$  - i.e., with  $p^2 | D$ .

It was previously proved that

$$N(-X, X) = O(X).$$

The object of this section is to prove the following extension of this result:

**Proposition 4.1** (1). *We have*

$$N(-X, X; p^2) = O(Xp^{-2}).$$

**Note:** In the interests of time, this section has been left sketchy. There are a lot of details in the paper which I did not read especially closely, and I just left what notes I took. If you believe this proposition, you can skip to the next section.

DH first state the following lemma, which follows from **to be described**.

**Lemma 4.2** (7). *We have*

$$\sum_{|\Delta_2| < X} h_3^*(\Delta_2) = O(X),$$

where  $\Delta_2$  runs through the discriminants of quadratic fields.

**Definition 4.3.** The **Hessian**  $H(x, y)$  of a cubic form  $F(x, y)$  is defined by the equation

$$H(x, y) = -\frac{1}{4}(F_{xx}F_{yy} - F_{xy}^2).$$

It is well known that  $H(x, y)$  is a covariant of  $F(x, y)$  with respect to linear substitutions of determinant 1. (**I assume** that this means that if  $M$  is some such transformation, then  $H(F) = H(MF)$ . This could, and should, be verified by a simple explicit calculation...)

We can calculate some more, and we get

$$H(x, y) = Px^2 + Qxy + Ry^2,$$

where  $P = b^2 - 3ac$ ,  $Q = bc - 9ad$ ,  $R = c^2 - 3bd$ . We also compute that the discriminant is given by

$$\Delta = Q^2 - 4PR = -3D.$$

(That's really simple!! Nice!)

The class of  $H$  is uniquely determined by the class of  $F$ , but the converse is not necessarily true. The formula above shows that  $H$  is reducible if and only if the discriminant  $-3D$  is a square.

We have that  $H$  is **primitive** if and only if for all primes  $p$ ,  $(F, p) \neq (1^3)$ . (**to do: prove me**) We write  $M = (P, Q, R)$ , and  $P = MP_1$ ,  $Q = MQ_1$ ,  $R = MR_1$ , and

$$H_1(x, y) = P_1x^2 + Q_1xy + R_1y^2,$$

and this quadratic form has discriminant  $-3D/M^2$ .

We can easily write down (**although, I'm still confused as to why we want to**) identities

$$H_1(b, 3a) = MP_1^2,$$

$$H_1(c, -b) = MP_1R_1,$$

$$H_1(3d, -c) = MR_1^2.$$

**Lemma 4.4** (8). *Let  $k$  and  $M$  be positive integers, and let  $B = B(k, M)$  denote the number of classes of forms in  $\Phi$  with Hessian  $H(x, y) = M(kx + ly)y$ , where  $0 \leq l < k, (l, k) = 1$ . Then*

$$B \leq 2k\tau(M).$$

*Moreover, if  $p$  is a prime such that  $p|k, p^2 \nmid M$ , then*

$$B \leq 6kp^{-1}\tau(M).$$

*Remark.*  $\tau(M)$  denotes the number of divisors of  $M$ .

*Proof.* About 3/4 of a page of elementary hacking around... write down the proof?  $\square$

**There is some more stuff in Section 4...** which I have omitted. In brief, we have a map from cubic to quadratic forms, and we bound the size of the fibers of the map.

## 5. GLOBAL DENSITIES

The purpose of this section is to prove the following

**Proposition 5.1.** [2, p.415]

$$\lim_{X \rightarrow \infty} \frac{1}{X} N(0, X; U) = \frac{1}{12\zeta(3)},$$

$$\lim_{X \rightarrow \infty} \frac{1}{X} N(-X, 0; U) = \frac{1}{4\zeta(3)}.$$

The main theorem then follows from this proposition, combined with the correspondence theorem. Let  $N(0, X; U)$  denote the number of equivalence classes in  $U$  with discriminant in  $[0, X]$ .

To prove this, we need to refer to earlier (1951) work of Davenport. He proved that

$$N(0, X; \Phi) = \frac{5}{4\pi^2} X + O(X^{15/16}),$$

$$N(-X, 0; \Phi) = \frac{15}{4\pi^2} X + O(X^{15/16}).$$

In other words, he proved asymptotics for the number of equivalence classes of binary cubic forms with discriminants in the ranges specified.

*Remark.* I wonder about the extent to which similar formulas can be proved for  $n$ -ary forms for general  $n$ ?

In fact, we need the following extension of this result, which DH claim is proved in exactly the same way:

**Proposition 5.2.** *Let  $S_m$  be a set of forms in  $\phi$  defined by conditions on the residue classes of  $a, b, c, d \bmod m$ . Moreover, assume that  $S_m$  is a union of **equivalence classes** in  $\Phi$ . Then,*

$$N(0, X; S_m) \sim \frac{5}{4\pi^2} A(S_m; m) X,$$

$$N(-X, 0; S_m) \sim \frac{15}{4\pi^2} A(S_m; m) X.$$

In other words, if we restrict the coefficients mod  $m$ , then we have the “right” factor in the asymptotic. DH remark that the result is not uniform in  $m$ .

We now can embark upon the proof of Proposition 2. To prove the first assertion, denote

$$P_Y := \prod_{p < Y} p.$$

Recall that  $U := \cap_p U_p$ . Recall that whether a form is in  $U_p$  or not depends only on the coefficients mod  $p^2$ , and it therefore follows that

$$\frac{1}{X} N(X, 0; \cap_{p < Y} U_p) \rightarrow \frac{5}{4\pi^2} A(\cap_{p < Y} U_p; P_Y^2).$$

In other words, the proportion of forms in  $\cap U_p$  is given by a density.

We have

$$\frac{5}{4\pi^2} A(\cap_{p < Y} U_p; P_Y^2) = \frac{5}{4\pi^2} \prod_{p < Y} A(U_p; p^2) = \frac{5}{4\pi^2} \prod_{p < Y} (p^2 - 1)p^{-1}(p^2 + 1)^{-1},$$

where the last step follows from our earlier computation of local densities.

We therefore conclude that

$$\limsup_{X \rightarrow \infty} \frac{1}{X} N(X, 0; U) \leq \frac{5}{4\pi^2} \prod_{p < Y} (p^2 - 1)p^{-1}(p^2 + 1)^{-1}.$$

This is true for each  $Y$ , so we can replace the finite product with an infinite one. We get on the right

$$\frac{5}{4\pi^2} \prod_p (1 - p^{-3})(1 + p^{-2})^{-1} = \frac{5\zeta(4)}{\zeta(2)\zeta(3)\pi^2} = \frac{1}{12\zeta(3)}.$$

We now prove that the liminf is the same thing, by observing that

$$\cap_{p < Y} U_p \subseteq (U \cup \cup_{p \leq Y} W_p).$$

Thus,

$$\frac{5}{4\pi^2} \prod_{p < Y} (p^2 - 1)p^{-1}(p^2 + 1)^{-1} \leq \liminf_{X \rightarrow \infty} \left( \frac{1}{X} N(0, X; U) + \frac{1}{X} \sum_{p \geq Y} N(0, X; W_p) \right).$$

We recall that  $\frac{1}{X} N(0, X; W_p) = O(p^{-2})$ , so the second sum is  $o_Y(1)$ , and letting  $Y$  tend to infinity, we see the liminf and the limsup are the same.

*Remark.* They prove similar results with  $V$  in place of  $U$ . (Perhaps we will decide that we care...?)

## 6. THE FUNDAMENTAL MAPPING

In this section we will discuss DH’s proof of the fundamental mapping (given previously). We recall that the mapping is given by

$$(6.1) \quad F_K(x, y) := \Delta_K^{-1/2} \Delta^{1/2} (\omega x + \nu y),$$

where  $1, \omega, \nu$  is an integral basis of  $K$ , and that this mapping preserves (1) the discriminant and (2) the factorization type. of each prime  $p$  (i.e., the factorization type of a prime  $p$  in  $K/\mathbb{Q}$  is the same as the factorization of the associated cubic form over  $\mathbb{F}_p$ ).

DH first prove that the factorization type is preserved. (It looks mostly like a triviality, once the appropriate appeal to algebraic number theory has been made. **But...** that polynomial is not quite what I was expecting.)

**Lemma 6.1** (12, p. 416). *For any  $K$ ,  $F_K$  is in  $U$ .*

*Proof.* Naturally we check it for each  $p$ . The cases  $p = 2$  and  $p = 3$  provoke an ugly mess which I will ignore for the time being.

We will recall some ‘well-known’ facts on cubic fields. If  $K$  is cyclic, then its discriminant  $\Delta_K$  is a square (proof:  $\sqrt{\Delta_K} \in K$ .) If  $K$  is not cyclic, then we can write  $\Delta_K = \Delta_2 f^2$ , where  $\Delta_2$  is the discriminant of a quadratic field. In both cases  $p^2 \nmid f$  is  $p \neq 3$ , and  $(\Delta_2, f) = 1$  or  $3$ . Also, if  $p \neq 2$ , then  $p^2 \mid \Delta^2$ . (Certainly.) A prime  $p$  ramifies completely in  $K$  if and only if  $p \mid f$ . (Interesting...)

Now, to show that  $F_K \in U_p$  for all  $p$ . If  $p^2 \nmid \Delta_K$ , this follows immediately from the definition.

If  $p^2 \mid \Delta_K$ , and  $p > 3$ , then we know that  $p \mid f$ , and  $p$  ramifies completely in  $K$ . By Lemma 11, we have  $(F_K, p^3) = (1^3)$ . As  $p^3 \nmid \Delta_K$ , Lemma 6 implies that  $F_K \in U_p$ .  $\square$

*Remark.* We used the fact that  $p \neq 3$  in citing Lemma 6, and I presume that a cubic field can have discriminant divisible by 8? (**check it...**)

**Lemma 6.2** (13). *For forms in  $U$ , rational equivalence is the same as equivalence.*

*Proof.* A brief look at the proof convinced me this is fairly elementary, and not too difficult... write down some matrices and congruences, and the weird definition of  $U$  pops out here. Details omitted.  $\square$

**Lemma 6.3** (14, p. 418). *To every  $F \in \Phi$  there belongs a cubic field  $K$  such that  $F$  and  $F_K$  are rationally equivalent.*

*Proof.* The proof is kind of nice. Factor  $F$  as

$$F(x, y) = a(x - \lambda y)(x - \lambda' y)(x - \lambda'' y),$$

and  $\lambda$  generates a cubic field  $K$ .

Now, go the other way and look at  $F_K$ . Write it

$$F_K(x, y) = a_K(x - \mu y)(x - \mu' y)(x - \mu'' y).$$

If  $K$  is not cyclic,  $\mu$  is unique, but if  $K$  is cyclic then any of the three conjugates can be used. (**to do: prove those claims.**) Now  $\mu$  and  $\lambda$  are both irrationals in  $K$ , so  $1, \mu, \lambda, \mu\lambda$  have a linear dependence relation, which we may write as

$$\mu = \frac{k\lambda + l}{m\lambda + n},$$

where  $(k, l, m, n) = 1$ , and the above is unique (up to multiplying  $k, l, m, n$  by  $-1$ .)

We then check (**do it**) that the transformation

$$x^* = kx + ly, \quad y^* = mx + ny$$

transforms  $F$  into a constant multiple of  $F_K$ .  $\square$

So the punchline is clear, right? If  $F \in U$ , then we can delete the adjective ‘rationally’, and we have our bijection. Done.

## 7. 3-TORSION IN QUADRATIC FIELDS

We can prove the following too. Let  $h_3^*(\Delta_2)$  denote the number of elements  $\alpha \in \text{Cl}(\mathbb{Q}(\sqrt{\Delta_2}))$  with  $\alpha^3 = 1$ .

**Theorem 7.1** (Theorem 3, p. 406). *We have*

$$\sum_{0 < \Delta_2 < X} h_3^*(\Delta_2) \sim \frac{4}{3} \sum_{0 < \Delta_2 < X} 1,$$



$$\sum_{-X < \Delta_2 < 0} h_3^*(\Delta_2) \sim 2 \sum_{-X < \Delta_2 < 0} 1.$$

*Proof.* Let  $K$  be a cubic field in which no prime ramifies completely. This implies that  $K$  is not cyclic, and that  $\Delta_K$  is the discriminant of a quadratic field. A theorem of Hasse says that for a given  $\Delta_K$ , the number of triplets of such cubic fields equals

$$\frac{1}{2}(h_3^*(\Delta_2) - 1).$$

But, these fields are in 1-1 correspondence with the classes of cubic forms in  $V$ . Thus,

$$\frac{1}{2} \sum_{\xi < \Delta_2 < \eta} (h_3^*(\Delta_2) - 1) = N(\xi, \eta; V).$$

We know what to do from here. □

## 8. DAVENPORT-HEILBRONN'S EARLIER WORK ON THE FUNDAMENTAL MAPPING

Recall, again, that we have defined a mapping from cubic fields to binary cubic forms by

$$(8.1) \quad F_K(x, y) := \Delta_K^{-1/2} \Delta^{1/2} (\omega x + \theta y),$$

where  $1, \omega, \theta$  is an integral basis of  $K$ . The first portion of [1] is devoted to proofs of the following results:

**Lemma 8.1.**  $F_K(x, y)$  has coefficients in  $\mathbb{Z}$ .

*Proof.* We factor  $F_K(x, y)$  in its Galois closure  $K$  as

$$F_l(x, y) = d^{-1/2} \left( (\omega - \omega')x + (\theta - \theta')y \right) \left( (\omega' - \omega'')x + (\theta' - \theta'')y \right) \left( (\omega'' - \omega)x + (\theta'' - \theta)y \right),$$

where we choose an arbitrary but fixed sign for  $d^{-1/2}$ .

The coefficient of  $x^3$  is  $d^{-1/2} \mathfrak{d}^{1/2}(\omega)$ , which lies in  $\mathbb{Z}$  as  $\omega$  is an algebraic integer. We may write  $\theta = (\omega^2 + a\omega + b)/c$ , where the discriminant of  $\omega$  is  $dc^2$  (there is an exercise in algebraic number theory to do here...)

We compute that the coefficient of  $x^2y$  is

$$d^{-1/2} \mathfrak{d}^{1/2}(\omega) \left( \frac{\theta - \theta'}{\omega - \omega'} + \frac{\theta' - \theta''}{\omega' - \omega''} + \frac{\theta'' - \theta}{\omega'' - \omega} \right),$$

and we check that this equals

$$d^{-1/2} \mathfrak{d}^{1/2}(\omega) c^{-1} \text{Tr}_{k/\mathbb{Q}}(2\omega + a) = \text{Tr}_{k/\mathbb{Q}}(2\omega + a),$$

which is an integer. The conclusion follows by symmetry. □

**Lemma 8.2.**  $F_K(x, y)$  is irreducible over  $\mathbb{Q}$ .

*Proof.* If it were reducible, then we could find  $x_0, y_0 \in \mathbb{Q}$  so that  $F_K(x_0, y_0) = 0$ . This would imply that the discriminant of  $x_0\omega + y_0\theta$  was zero. But this cannot happen (**presumably this is easy algebraic number theory?**) because  $x_0\omega + y_0\theta \notin \mathbb{Q}$ . □

**Lemma 8.3.**  $F_K(x, y)$  has discriminant  $d$ .

*Proof.* (to be added) □

**Lemma 8.4.**  $F_K(x, y)$  is primitive – the coefficients are coprime.

*Proof.* Appeal to a 1930 paper of Hasse (wer auf Deutsch ist). □

**Lemma 8.5.** *If  $k_1$  is a cubic field not conjugate to  $k$ , then the forms  $F_k(x, y)$  and  $F_{k_1}(x, y)$  are not equivalent.*

*Proof.* The zeroes of the polynomial  $F_k(x, 1)$  lie in the Galois closure  $K$  of  $k$ . But if  $k_1$  is not conjugate to  $k$ , then  $K$  does not contain  $k_1$ . □

#### REFERENCES

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