

51.1. Field extensions.

Warmup: Given \mathbb{R} , what should \mathbb{C} be?

$$\mathbb{C} = \{a + bi : a, b \in \mathbb{R}, i^2 = -1\}.$$

Idea: introduce relations \Rightarrow take a quotient.

$$\text{Really } \mathbb{C} := \mathbb{R}[x] / (x^2 + 1).$$

So "i" here is x .

But we could have also written

$$\mathbb{C} = \{a + bi : a, b \in \mathbb{R}, (-i)^2 = -1\}.$$

Which is "the" square root of -1 ?

Basic definitions.

Let K/F be a field extension. (i.e. let $F \subseteq K$ be fields.)

Then K is a vector space over F . (Check the axioms)

The degree of K/F , written $[K:F]$, is the dimension of this vector space. (Can be infinite.)

$$\text{Ex. } [\mathbb{C} : \mathbb{R}] = 2.$$

The characteristic of a field F is the smallest ^{pos} integer n with $n \cdot 1_F = 0_F$, if any such exists.
Write it $\text{char}(F)$.

If none exists, say F has characteristic 0.

Claim. If F is any field, $\text{char}(F) = 0$ or is prime.

Proof. If $n \cdot 1_F = 0_F$ with $n = rs$,

$$(r \cdot 1_F) \cdot (s \cdot 1_F) = 0_F, \text{ so } r \cdot 1_F = 0_F \text{ or } s \cdot 1_F = 0_F.$$

(Will stop writing 1_F and 0_F — just 1 and 0.)

S1.2

Note also that if $\text{char}(F) = p$ then $p \cdot a = 0$ for all $a \in F$.

This is because

$$p \cdot a = p \cdot (1 \cdot a) = (p \cdot 1) \cdot a.$$

We always get a ring hom $\mathbb{Z} \longrightarrow F$
which is injective iff $\text{char}(F) = 0$. ~~image is the~~
~~prime subfield~~

Examples. $\mathbb{F}_p := \mathbb{Z}/p\mathbb{Z}$ is a field of char p .

(A ^{comm} ring with no nontrivial ideals is a field.)

$\mathbb{F}_p[x]$ is a ring w/ char p , so take its fraction field.

Constructing fields.

Prop. Let $\varphi: F \longrightarrow F'$ be a hom. of fields.

Then $\text{Ker}(\varphi) = 0$ or F .

Proof. $\text{Ker}(\varphi) \triangleleft F$.

Theorem. Let F be a field, and let $p(x) \in F[x]$ be an irreducible polynomial.

Then there exists an extension of F containing a root of $p(x)$.

Proof. Take $K := F[x]/(p(x))$.

$p(x)$ is irreducible, so $(p(x))$ is a maximal ideal

So K is a field.

Look at $F \hookrightarrow F[x] \xrightarrow{\pi} F[x]/(p(x))$

$\pi|_F$ is a field hom sending 1 to 1, so injective by above. Identify F w/ its image in K .

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Let $\bar{x} = \pi(x)$, then

$$\begin{aligned} p(\bar{x}) &= \overline{p(x)} \quad (\pi \text{ is a homomorphism}) \\ &= p(x) \pmod{p(x)} \text{ in } F[x]/(p(x)) \\ &= 0. \end{aligned}$$

Indeed, can probably see how to get an extension containing all the roots.

Proposition. Let $p(x) \in F[x]$ be fixed of deg n .
 $K = F[x]/(p(x))$.

Let θ be a root of p as constructed above,
namely $\theta = x \pmod{(p(x))} \in K$.

Then, a basis for K/F is $1, \theta, \theta^2, \dots, \theta^{n-1}$

So $[K:F] = n$ and

$$K = \{ a_0 + a_1 \theta + a_2 \theta^2 + \dots + a_{n-1} \theta^{n-1} \mid a_0, \dots, a_{n-1} \in F \}.$$

(Also have $K = \{ \text{polynomials in } \theta \text{ w/ coeffs in } F \}$.)
(This is by construction.)

Need to prove spanning and linear independence.

Suppose $g(\theta) = g(x) \pmod{(p(x))} \in K$.

Then since $F[x]$ is Euclidean, can write

$$g(x) = q(x)p(x) + r(x) \quad \text{with } \deg r(x) < n$$

and $g(x) \pmod{(p(x))} = r(x) \pmod{(p(x))}$

and so $g(\theta) = r(\theta)$ is an F -linear combo
of $1, \theta, \theta^2, \dots, \theta^{n-1}$.

5.1.4.

If the θ^i were not linearly independent,
would have

$$b_0 + b_1 \theta + \dots + b_{n-1} \theta^{n-1} = 0 \text{ for some } b_i \in F$$

$$\text{i.e. } b_0 + b_1 x + \dots + b_{n-1} x^{n-1} \equiv 0 \pmod{p(x)}$$

$$\text{i.e. } p(x) \mid b_0 + b_1 x + \dots + b_{n-1} x^{n-1} \text{ of smaller degree.}$$

So the b_i are all zero.

Examples. 1. Take $F = \mathbb{Q}$, $K = \mathbb{Q}[x]/(x^2 + 1)$.

Like constructing \mathbb{C} , but not over \mathbb{R} .

2. Take $F = \mathbb{Q}$, $K = \mathbb{Q}[x]/(x^3 - 2)$

$$\text{where } K = \{ a + b\theta + c\theta^2 : a, b, c \in \mathbb{Q}, \theta^3 - 2 = 0 \}.$$

If this is a field, what is $\frac{1}{\theta}$?

$$\text{Write } \frac{1}{\theta} = a + b\theta + c\theta^2$$

$$1 = a\theta + b\theta^2 + c\theta^3$$

$$= a\theta + b\theta^2 + 2c \Rightarrow c = \frac{1}{2}, a = 0, b = 0.$$

3. $F = \mathbb{F}_2$, $K = \mathbb{Q}[x]/(x^2 + x + 1)$.

Note that a quadratic polynomial is reducible \iff has a root.

Claim. $x^2 + x + 1$ is irreducible.

$$\text{Proof. } 0^2 + 0 + 1 = 1 \neq 0$$

$$1^2 + 1 + 1 = 3 = 1 \neq 0.$$

Write θ for a root.

$$\text{So } \theta^2 = -\theta - 1 = \theta + 1.$$

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Example 4. Let $K = \mathbb{Q}[x]/(x^4 + x^2 + 1)$.

Try to repeat computations like above.
Eventually you will get pissed.

Theorem. "Most" polynomials / \mathbb{Q} are irreducible.
Lots of proofs (go to Michael's talks.)

~~Example 10~~

Def. Let K/F be a field extension with $\alpha_1, \dots, \alpha_n \in K$.
Then $F(\alpha_1, \dots, \alpha_n)$ is, equivalently:

- (1) The smallest subfield of K containing F and the α_i 's.
- (2) The field containing all polynomials in the α_i .

Example. Consider $\mathbb{Q}(i, \sqrt{2}) \subseteq \mathbb{C}$

$$= \{ a + bi + c\sqrt{2} + di\sqrt{2} : a, b, c, d \in \mathbb{Q} \}$$

In fact, this is $\mathbb{Q}(i + \sqrt{2})$, the field extension can be generated by one element.

Example. Let $\rho = e^{2\pi i/3}$.

Consider $\mathbb{Q}(\sqrt[3]{2})$, $\mathbb{Q}(\rho\sqrt[3]{2})$, $\mathbb{Q}(\rho^2\sqrt[3]{2})$.

These fields do not coincide.

For example $\mathbb{Q}(\sqrt[3]{2}) \subseteq \mathbb{R}$, not true of other two.

BUT:

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Thm. Let $F = \text{field}$, $p(x) \in F[x]$ irred.

In some extension field K , let α be a root of $p(x)$
(i.e. $p(\alpha) = 0$.)

Then,

$$F(\alpha) \cong F[x] / (p(x)).$$

i.e. up to isomorphism, only one way to adjoin roots of irred polys.

So, $\mathbb{Q}(\sqrt[3]{2}) \cong \mathbb{Q}(\rho^3 \sqrt{2})$ for example.

Proof. Consider the ring hom

$$\begin{array}{ccc} F[x] & \xrightarrow{\quad} & F(\alpha) \\ x & \xrightarrow{\quad \varphi \quad} & \alpha \end{array}$$

(i.e. identity on F , map x to α , and extend to polynomials).

Then, $p(x)$ is in the kernel, hence obtain a hom

$$F[x] / (p(x)) \xrightarrow{\quad \varphi \quad} F(\alpha).$$

Both sides are fields since $p(x)$ is irreducible.

Since $\varphi \neq 0$, φ is injective

Since $\alpha \in \text{Im}(\varphi)$, by def of $F(\alpha)$, φ is surjective.
So done!

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So this means, for example,

$$\mathbb{Q}(\sqrt[3]{2}), \mathbb{Q}(e^{2\pi i/3} \cdot \sqrt[3]{2}), \mathbb{Q}(e^{-2\pi i/3} \cdot \sqrt[3]{2})$$

are algebraically indistinguishable.

Theorem. Given an isomorphism $F \xrightarrow{\varphi} F'$ of fields,
 $p(x) \in F[x]$ irred.

Let $p'(x) \in F'[x]$ be $\varphi(p(x))$.

(Note: φ induces an iso $F[x] \xrightarrow{\varphi} F'[x]$ also.)

Let α and β be roots of p and p' respectively
 (in some extension). Then: φ extends to an iso

$$\begin{array}{ccc} \sigma: F(\alpha) & \xrightarrow{\sim} & F'(\beta) \\ \alpha & \longrightarrow & \beta \end{array}$$

Proof. That was a mouthful, but easy. Proof by picture!

$$\begin{array}{ccccc} & & & \sigma & \\ & & & \text{-----} & \\ & & & & F'(\beta) \\ \bullet \alpha & F(\alpha) & & & \uparrow \\ \uparrow & \downarrow \sim & & & \uparrow \\ \bar{x} & F[x]/(p(x)) & \xrightarrow{\quad} & F'[x]/(p'(x)) & \bar{x} \\ & \uparrow & & & \uparrow \beta \end{array}$$

This is induced by
 $\varphi: F[x] \xrightarrow{\sim} F'[x]$
 because $\varphi(p(x)) = p'(x)$
 and hence $\varphi((p(x))) = (p'(x))$.

By construction, $\alpha \rightarrow \beta$ and $\sigma|_F = \varphi$.

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We draw this picture too:

$$\begin{array}{ccc} \sigma: & F(\alpha) & \xrightarrow{\sim} F'(\beta) \\ & \downarrow & \downarrow \\ \varphi: & F & \xrightarrow{\sim} F' \end{array}$$

Algebraic extensions.

Def. Let K/F be a field extension.

$\alpha \in K$ is algebraic over F if it is the root of some polynomial in $F[x]$. Otherwise it is transcendental.

K/F is algebraic if every $\alpha \in K$ is algebraic / F .

Prop. If $\alpha \in K$ is algebraic over F , there is a unique monic irred polynomial $\min_{\alpha, F}(x) \in F[x]$ with α as a root.

It is called the minimal polynomial of α/F .

Its degree is the degree of α/F .

Proof. Let $I \triangleleft F[x] = \{\text{polys } f \text{ with } f(\alpha) = 0\}$.

If α is algebraic, I is a nonzero ideal.

$F[x]$ is a PID, so I has a unique monic generator.

There's your minimal polynomial.

(Note: the proof in DF basically reproves that $F[x]$ is a PID.)

§2.4.

Cor. If α is algebraic over a field F , then

$$F(\alpha) \cong F[x] / (\min_{\alpha}(x))$$

and so $[F(\alpha) : F] = \deg \min_{\alpha}(x) = \deg \alpha$.

Example. The minimal polynomial for $\sqrt[3]{2} / \mathbb{Q}$ is $x^3 - 2$.

If p is prime, min poly for $e^{2\pi i/p}$?

It's a root of $x^p - 1$ which is not irreducible.

But $\frac{x^p - 1}{x - 1}$ is, so $e^{2\pi i/p}$ has degree $p - 1$.

What about $\sqrt{2} + \sqrt{3}$?

Proposition. The elt. α is algebraic $\iff [F(\alpha) : F] < \infty$.

Proof. \implies : α satisfies some polynomial in $F[x]$,
so a min poly exists.

\longleftarrow The elements $1, \alpha, \alpha^2, \alpha^3, \alpha^4, \dots$ are F -linearly dependent.
That gives a polynomial satisfied by α .

Cor. Finite extensions are always algebraic.

~~52.7.~~ 52.5.

Example. Consider $L = \mathbb{Q}(\sqrt{2} + \sqrt{3})$.

What is its degree?

$$1 = 1$$

$$\sqrt{2} + \sqrt{3} = \sqrt{2} + \sqrt{3}$$

$$(\sqrt{2} + \sqrt{3})^2 = 5 + 2\sqrt{6}$$

$$\begin{aligned} (\sqrt{2} + \sqrt{3})^3 &= 2\sqrt{2} + 3\sqrt{3} + 6\sqrt{3} + 9\sqrt{2} \\ &= 11\sqrt{2} + 9\sqrt{3}. \end{aligned}$$

$$(\sqrt{2} + \sqrt{3})^4 = (5 + 2\sqrt{6})^2 = 49 + 20\sqrt{6}.$$

Get a relation

$$(\sqrt{2} + \sqrt{3})^4 - 10(\sqrt{2} + \sqrt{3})^2 + 1 = 0$$

So $[L:\mathbb{Q}] = 4$ w/ min poly $x^4 - 10x^2 + 1$.

$1, \sqrt{2} + \sqrt{3}, (\sqrt{2} + \sqrt{3})^2, (\sqrt{2} + \sqrt{3})^3$ is a basis.

We see also that $1, \sqrt{2}, \sqrt{3}, \sqrt{6}$ is.

So $\mathbb{Q}(\sqrt{2}), \mathbb{Q}(\sqrt{3}), \mathbb{Q}(\sqrt{6})$ are all subfields of deg 2.

Moreover $L = \mathbb{Q}(\sqrt{2}, \sqrt{3}) = \mathbb{Q}(\sqrt{2}, \sqrt{2} + \sqrt{3}) = \dots$

We also have

$$\begin{aligned} x^4 - 10x^2 + 1 &= (x - \sqrt{2} - \sqrt{3})(x - \sqrt{2} + \sqrt{3}) \\ &\quad (x + \sqrt{2} - \sqrt{3})(x + \sqrt{2} + \sqrt{3}). \end{aligned}$$

52.5 = 53.1.

Theorem. (degrees multiply)

If $F \subseteq K \subseteq L$ are fields then

$$[L:F] = [L:K][K:F].$$

Proof. First assume RHS is finite.

Consider bases for:

$$L/K \quad \alpha_1, \dots, \alpha_m$$

$$K/F \quad \beta_1, \dots, \beta_n.$$

Claim. The $\alpha_i \beta_j$ are a basis for L/F .

Spanning: For $x \in L$ we have

$$x = a_1 \alpha_1 + \dots + a_m \alpha_m \quad (\text{for } a_1, \dots, a_m \in K)$$

$$= (b_{1,1} \beta_1 + \dots + b_{1,n} \beta_n) \alpha_1 \\ + \dots + (b_{m,1} \beta_1 + \dots + b_{m,n} \beta_n) \alpha_m. \quad \text{So done.} \\ (\text{for } b_{i,j} \in F)$$

Linear independence.

If the above expression is 0 for some choice of the $b_{i,j}$:
~~Some~~ ^{All} $b_{i,1} \beta_1 + \dots + b_{i,n} \beta_n = 0$ by linear indep of the α_i .

But then the $b_{i,j}$ are all zero by lin. indep of the β_i .

If $[L:K] \neq \infty$, inf. many ~~LI~~ elements LI over K .

They will certainly also be LI over F .

If $[K:F] = \infty$, K has inf many elements LI over F .
These elements are also in K .

$$\underline{52.6} = 53.2.$$

Cor. If L/F finite, and $F \subseteq K \subseteq L$ then $[K:F] \mid [L:F]$.

Example. Let $L = \mathbb{Q}(\sqrt[5]{2})$.

Degree 5 over \mathbb{Q} because $x^5 - 2$ is irreducible.

If $\mathbb{Q} \subseteq K \subseteq \mathbb{Q}(\sqrt[5]{2})$,
would have $[K:\mathbb{Q}] \mid 5$ so $= 1$ or 5 .

But $[L:F] = 1 \Rightarrow L = F$, so this means $K = \mathbb{Q}$
or $K = \mathbb{Q}(\sqrt[5]{2})$.

That is, $\mathbb{Q}(\sqrt[5]{2})$ has no nontrivial proper subfields.

Example. $L = \mathbb{Q}(\sqrt[6]{2})$. $[L:\mathbb{Q}] = 6$.

L contains $\mathbb{Q}(\sqrt{2})$ and $\mathbb{Q}(\sqrt[3]{2})$ as intermediate subfields.

(anything else ~?)

Since $\left. \begin{aligned} [\mathbb{Q}(\sqrt[3]{2}) : \mathbb{Q}] &= 3 \\ [\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] &= 2 \end{aligned} \right\} \mid$ $\left. \begin{aligned} [\mathbb{Q}(\sqrt[6]{2}) : \mathbb{Q}(\sqrt[3]{2})] &= 2 \\ [\mathbb{Q}(\sqrt[6]{2}) : \mathbb{Q}(\sqrt{2})] &= 3. \end{aligned} \right\}$

So, e.g. min poly of $\mathbb{Q}(\sqrt[6]{2}) / \mathbb{Q}(\sqrt{2})$ is $x^3 - \sqrt{2}$.

It's not a priori obvious that this is irreducible.

But this <<does>> prove it.

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Lemma. $F(\alpha, \beta) = (F(\alpha))(\beta)$.

Proof. \subseteq : $F(\alpha, \beta)$ is the smallest field containing F, α, β .
 $(F(\alpha))(\beta)$ contains F, α, β .

\supseteq : $F(\alpha, \beta)$ contains $F(\alpha)$ and β .
 $(F(\alpha))(\beta)$ is the smallest field doing so.

Same story with $F(\alpha_1, \alpha_2, \dots, \alpha_n)$. Can adjoin one at a time.

If the α_i are all algebraic, then

$[F(\alpha_1, \alpha_2, \dots, \alpha_n) : F] < \infty$ by "degrees multiply".

Indeed, the $\alpha_1^{r_1} \alpha_2^{r_2} \dots \alpha_n^{r_n}$ for $0 \leq r_i < \deg_F(\alpha_i)$
span the extension.

They might not be linearly independent though, because we need not have

$$[F(\alpha_1, \alpha_2) : F] = [F(\alpha_1) : F][F(\alpha_2) : F].$$

Instead —

Prop. Let K/F field ext. with α algebraic $/F$.

Then $[K(\alpha) : K] \leq [F(\alpha) : F]$.

Proof. Consider $g(x) = \min_{\alpha, F}(x)$.

Then $g(x) \in F[x] \subseteq K[x]$ with $g(\alpha) = 0$.

So, by construction, $\min_{\alpha, K}(x) \mid \min_{\alpha, F}(x)$ in $K[x]$.

Might or might not be equal.

Ex 54.1

So:

Cor. ~~Theorem~~. K/F is finite \iff K is generated by a finite number of alg. elts over F .

Proof. \longrightarrow : Can choose a basis for K/F .

\longleftarrow : Just proved this.

Cor. If α, β algebraic $/ F$, so are $\alpha \pm \beta, \alpha\beta, \frac{\alpha}{\beta}$ ($\beta \neq 0$).

Proof. They all lie in $F(\alpha, \beta)$ which is finite $/ F$.

Finite extensions are algebraic.

Cor. Let L/F be arbitrary. Then

$\{ \alpha \in L : \alpha \text{ is algebraic } / F \}$
is a subfield of L .

Example. Consider \mathbb{C}/\mathbb{Q} and let $\overline{\mathbb{Q}}$ be the subfield of algebraic numbers.

Since $\sqrt[n]{2} \in \overline{\mathbb{Q}}$ for all $n \in \mathbb{Z}^+$,

$$[\overline{\mathbb{Q}} : \mathbb{Q}] \geq [\mathbb{Q}(\sqrt[n]{2}) : \mathbb{Q}] = n \text{ for all } n.$$

So $[\overline{\mathbb{Q}} : \mathbb{Q}]$ is infinite.

~~54.1~~ 54.2

Thm. If K is algebraic / F and L is algebraic / K , then L is algebraic / F .

Proof. If $\alpha \in L$, we have

$$a_n \alpha^n + a_{n-1} \alpha^{n-1} + \dots + a_1 \alpha + a_0 = 0 \quad (a_i \in K)$$

So α is finite over $F(\alpha_n, \alpha_{n-1}, \dots, \alpha_0)$ which is finite over F . So α is finite over F , hence algebraic.

Composita:

Def. If K_1 and K_2 are subfields of some field K , then the compositum $K_1 K_2$ is the smallest subfield of K containing K_1 and K_2 .

For example, if $K_1 = F(\alpha_1)$, $K_2 = F(\alpha_2)$, then $K_1 K_2 = F(\alpha_1, \alpha_2)$

and more generally if $K_1 = F(\alpha_1, \dots, \alpha_n)$
 $K_2 = F(\beta_1, \dots, \beta_m)$

then $K_1 K_2 = F(\alpha_1, \dots, \alpha_n, \beta_1, \dots, \beta_m)$.

Example. The compositum of $\mathbb{Q}(\sqrt{2})$ and $\mathbb{Q}(\sqrt[3]{2})$ is $\mathbb{Q}(\sqrt[6]{2})$.

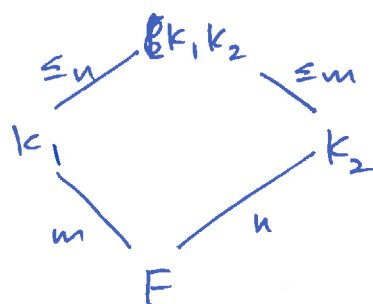
(Prove in your head)

§4.3 -

Proposition. If $F \subseteq K_1, K_2 \subseteq K$ with K_1, K_2 finite then
 $[K_1 K_2 : F] \leq [K_1 : F][K_2 : F]$.

Proof (sketch). Same ideas as before. (Exercise!)

Indeed, if $[K_1 : F]$ and $[K_2 : F]$ are coprime, we must have equality.



This diagram means,
 $m = [K_1 : F]$
 $n = [K_2 : F]$.

By "degrees multiply", have $m \mid [K_1 K_2 : F]$
and $n \mid [K_1 K_2 : F]$.

Since they are coprime, $mn \mid [K_1 K_2 : F]$.

Splitting fields:

Given $F = \text{field}$, $f \in F[x]$.

Then, $\text{an extension } K/F$ is a splitting field for f if:

* f "splits completely" (factors into linear factors) in K

* This is not true of any subextension.

Example. The splitting field of $x^3 - 2 / \mathbb{Q}$ is

$$\mathbb{Q}(\zeta_3, \sqrt[3]{2}) = \mathbb{Q}(\sqrt[3]{2}, \sqrt[3]{2}\zeta_3).$$

54.4.

Theorem. They exist:

First of all, some extension K/F exists containing all roots of f .

~~Take $\alpha \in K$ and $F(\alpha) \subset K$~~

Choose an irreducible $\deg \geq 2$ factor f' of f .

Take $F_1 = F[x] / (f')$.

Then f' has a root, write $f = (x - \alpha_1) \cdot f''$ in F_1 .

Repeat over F_1 , until f'' has been factored completely.

Labeling the roots $\alpha_1, \dots, \alpha_n$, $F(\alpha_1, \dots, \alpha_n)$ is the splitting field.

Example. Splitting field of $x^4 + 4$. ~~$x^4 + 4 = (x^2 + 2i)(x^2 - 2i)$~~

$$x^4 + 4 = (x^2 + 2x + 2)(x^2 - 2x + 2)$$

And the four roots are $\pm 1 \pm i$.

So splitting field is $\mathbb{Q}(i)$, $\deg 2/\mathbb{Q}$.

Example. Splitting field of $x^n - 1$ is $\mathbb{Q}(\zeta_n)$

$$\zeta_n := e^{2\pi i/n}$$

The roots are $1, \zeta_n, \zeta_n^2, \dots, \zeta_n^{n-1}$.

Proposition. A splitting field of $f(x)$ of $\deg n/F$ has degree at most $n!$ over F .

Read the above proof carefully!

Induction \Rightarrow split off one factor, have a $\deg n-1$ factor left.

$$54.5 = 55.1$$

A technical theorem.

Let $\varphi : F \xrightarrow{\sim} F'$ be an iso
 $f \longrightarrow f'$.

Let E and E' be splitting fields for f over F , f' over F' .

Then, φ extends to an iso $E \xrightarrow{\sim} E'$.

Proof. Induct on $n = \deg(f)$.

Can assume f has a factor \hat{p} of $\deg \geq 2$. (else $E = F$, $E' = F'$)

Then let $\alpha \in E$ be a root of p
 $\beta \in E'$ be a root of p' .

By previous theorem, can extend φ to an iso

$$\begin{array}{ccc} \varphi : F(\alpha) & \xrightarrow{\sim} & F'(\beta) \\ | & & | \\ \varphi : F & \xrightarrow{\sim} & F' \end{array} \quad \text{sending } \alpha \longrightarrow \beta.$$

Then, take $f_1 = f/(x - \alpha)$,

$$f'_1 = \varphi(f) = f'/(x - \beta)$$

E and E' are the splitting fields for f_1 and f'_1 over $F(\alpha)$ and $F'(\beta)$.

By induction, the top φ extends to $E \xrightarrow{\sim} E'$.

Cor. Any two splitting fields for $f \in F[x]$ are isomorphic.

54.6 \geq 55.2.

Def. A field \bar{F} is an algebraic closure of F if \bar{F} is algebraic $/F$ and if every $f \in F[x]$ splits completely over \bar{F} .

Def. A field K is algebraically closed if every $f \in K[x]$ splits completely over K .

Examples. \mathbb{C} , $\bar{\mathbb{Q}}$, $\bar{\mathbb{F}}_p, \dots$ (will study!!)

Note. We could have just demanded that every $f \in K[x]$ has a root in K ; then apply ~~the~~^{def.} to $f/(x - \alpha)$.

Proposition. Algebraic closures are algebraically closed.

Proof. Given $f(x) \in \bar{F}[x]$ with root α .

Then $\bar{F}(\alpha)$ is alg. $/\bar{F}$, \bar{F} alg. over F , so

$\bar{F}(\alpha)$ alg. over F .

In particular α satisfies a polynomial over F , so $\alpha \in \bar{F}$.

Theorem. Given F , there exists an algebraic closure \bar{F} .

Follows if we construct an alg. closed field containing F .

Proof. Uses Zorn's Lemma.

SS.3

For every nonconstant ^{monic} $f = f(x) \in F[x]$
associate an indeterminate x_f

Consider $F[\dots, x_f, \dots]$ (adjoin all the x_f)
and the ideal I gen by all the $f(x_f)$.

Claim. I is proper.

Proof. Otherwise have a relation

$$g_1 f_1(x_{f_1}) + \dots + g_n f_n(x_{f_n}) = 1.$$

Write $x_1 = x_{f_1}, \dots, x_n = x_{f_n}$, and x_{n+1}, \dots, x_m
other variables in the g_i .
(if any)

$$\text{Get } g_1(x_1, \dots, x_m) f_1(x_1) + \dots + g_n(x_1, \dots, x_m) f_n(x_n) = 1.$$

Let F' be a finite extension of F containing a root α_i
of each f_i .

In the equation above, plug in: $x_i = \alpha_i$ ($i=1, \dots, n$)
 $x_{n+1} = \dots = x_m = 0$.

$$\text{Get } 0 = 1.$$

So: I is contained in a maximal ideal M . (Zorn's lemma)

Then $K_1 := F[\dots, x_f, \dots] / M$ is a field.

It contains an isomorphic copy of F .

Every polynomial f has a root.

So are we done?

55.4 .

Obtain: K_1 / F : every poly in F contains
a root

K_2 / K_1 : every poly in K_1 contains
a root

....

Does the madness ever stop?

Choose $K = \bigcup_{j=0}^{\infty} K_j$.

Given $f(x) \in K[x]$, we have $f(x) \in K_i[x]$ for some i .
So it has a root in K_{i+1} .

So ~~K~~ K is algebraically closed, contains F , our alg. closure
is

$$\bar{F} := \{ \alpha \in K : \alpha \text{ is algebraic over } F \}$$

Then, given $f \in F[x]$, splits into linear factors $x - \alpha$
in $K[x]$.

Since each α is algebraic over F , in fact this is
a splitting over \bar{F} .

Theorem. An algebraic closure is unique up to isomorphism.
Omitted / exercise. Same ideas. Use Zorn's lemma.

SS.5. (=50.1)

Inseparability:

Consider the field $F = \mathbb{F}_2(t)$
rational functions over \mathbb{F}_2 .

Then look at polynomials in $F[x]$.

Claim. $x^2 - t$ is irreducible in $F[x]$.

Proof. If it were reducible, would factor.

Could solve $\left(\frac{f(t)}{g(t)}\right)^2 = t$ in $\mathbb{F}_2[t]$

So $f(t)^2 = t \cdot g(t)^2$.

But parity of degrees is wrong.

So, consider the extension field $F(\sqrt{t})$.

Then $x^2 - t = (x - \sqrt{t})^2$.

This is weird. Never happens in characteristic 0!

Def. A polynomial $f \in F[x]$ is called separable if it does not have any multiple roots.

i.e. writing $f = (x - a_1)(x - a_2) \cdots (x - a_n)$ in $\bar{F}[x]$,

(or in $K[x]$ where K is a splitting field for F)

all the a_i are distinct.

Otherwise it is inseparable.

55.6. ^(=56.2) How to check?

Prop. A polynomial $f \in F[x]$ has a multiple root α iff α is both a root of f and its derivative f' .

Here the derivative is defined using the power rule.

No limits required! Sum, product rules still apply.

Example. Let $f(x) = x^p - 1 \in \mathbb{F}_p[x]$.

Then $f'(x) = p \cdot x^{p-1} = 0$. (Yes, this is weird.)

So any root of f is a multiple root.

In fact, $f(x) = x^p - 1 = (x-1)^p$, so

\mathbb{F}_p does not contain any nontrivial p th roots of unity.

Proof of proposition.

If $f = (x - \alpha)^2 g(x)$, then

$$D_x f = (x - \alpha)^2 \cdot D_x g(x) + 2(x - \alpha) \cdot g(x)$$

α is still a root.

Conversely, if $f = (x - \alpha) g(x)$, then

$$D_x f = g(x) + (x - \alpha) D_x g(x), \text{ and}$$

α is a root of this $\iff \alpha$ is a root of $g(x)$.

SS.7 (=56.3)

More examples.

(1) $x^{p^n} - x$ over \mathbb{F}_p . Derivative is $p^n x^{p^n-1} - 1 = -1$.

So derivative has no roots so polynomial is separable.

(2) $x^n - 1$ has derivative nx^{n-1} .

Separable if and only if $\text{char}(F) \nmid n$.

So, for example, \mathbb{F}_7 does have 8 distinct 8th roots of unity.

Prop. In characteristic 0, every irreducible polynomial is separable.

Proof. Let $f \in F[x]$ irred of degree n .

Then $D_x f(x) \neq 0$ of degree $n-1$.

$D_x f$ and f can't have any common factors in $F[x]$ (since f has no nontrivial factors).

But no common factors in $\bar{F}[x]$ either.

The Euclidean algo works over F !

Remark. In $\text{char} \neq 0$, can have $\deg(D_x f(x)) \neq n-1$.

This is what failed before.

But if $D_x f(x) \neq 0$, above proof works, f separable.

In particular, for f to be inseparable, in characteristic p , f must be a polynomial in x^p .

SS.8.56.4.

Proposition. Let $\text{char}(F) = p$, $a, b \in F$.
Then $(a+b)^p = a^p + b^p$ and $(ab)^p = a^p b^p$.

In other words, the map $x \mapsto x^p$ is a field homomorphism $F \rightarrow F$.

Proof. Use the binomial theorem,

$$\frac{p!}{i!(p-i)!} = 0 \text{ in } F \text{ for } 1 \leq i \leq p-1$$

b/c p doesn't divide the bottom.

Note ~~Spec~~ $x \mapsto x^p$ is injective also.

This map is called the Frobenius endomorphism of F .

If F is finite, then this is indeed an automorphism.

Proposition. An irreducible polynomial over a finite field is separable.

Proof. Given $f(x) \in F[x]$ irreducible.

If inseparable, then $f(x) = g(x^p)$ for some g .

But the coefficients of g are all p th powers.

$$\begin{aligned} \text{So } g(x^p) &= a_n^p (x^p)^n + a_{n-1}^p (x^p)^{n-1} + \dots + a_0^p \\ &= (a_n x^n + \dots + a_0)^p. \end{aligned}$$

So $f(x) = (a_n x^n + \dots + a_0)^p$, not irreducible.

Definition. A field K is called perfect if either:

- (1) $\text{char}(K) = 0$
- (2) $K = K^p$.

So, over a perfect field, every irred poly is separable.

56.5.

Existence and uniqueness of finite fields:

Consider $x^{p^n} - x \in \mathbb{F}_p[x]$.

Separable with p^n distinct roots.

In its splitting field, these roots satisfy

$$(a\beta)^{p^n} = a\beta, \quad (a^{-1})^{p^n} = a^{-1}$$

$$(a + \beta)^{p^n} = a^{p^n} + \beta^{p^n} = a + \beta.$$

So the set of roots is closed under field operations.

$\mathbb{F} := \{ \text{roots of } x^{p^n} - x \text{ in } \overline{\mathbb{F}_p} \}$ is a field, w/ p^n elts.

(Note also, every $a \in \mathbb{F}_p$ is in \mathbb{F} .)

Why are they unique?

Suppose \mathbb{F}' is some other field w/ p^n elements.

Since $|\mathbb{F}'^\times| = p^n - 1$, $a^{p^n} = a$ for all $a \in \mathbb{F}'$.

But then a is a root of $x^{p^n} - x$.

So \mathbb{F}' is a splitting field for $x^{p^n} - x$, hence $\mathbb{F}' \cong \mathbb{F}$.

Write \mathbb{F}_{p^n} for this field.

— See DF for a bit of extra structure theory.

~~Star 6~~ 57.1

CTNT

5/28 - 6/3.

More on cyclotomy.

Def. Write $\mu_n := \{n\text{th roots of unity in } \mathbb{Q}\}$,
a group.

You also see $\mu_n(F) = \{n\text{th roots of unity in } F\}$
(making μ_n a functor and a group scheme ...
but never mind)

$$\text{Then } \mathbb{Z}/n\mathbb{Z} \rightarrow \mu_n$$

$$a \rightarrow \zeta_n^a$$

where ζ_n is: $\begin{cases} e^{2\pi i/n} \\ \text{any primitive root of} \\ \text{unity.} \end{cases}$

We clearly have $\mu_d \subseteq \mu_n \iff d|n$.

Definition. The n th cyclotomic polynomial $\Phi_n(x)$ is the one whose roots are the primitive roots of unity:

$$\Phi_n(x) = \prod_{\substack{\zeta \in \mu_n \\ \text{primitive}}} (x - \zeta) = \prod_{\substack{a \pmod n \\ (a,n)=1}} (x - \zeta_n^a).$$

By construction $\Phi_n(x)$ has degree $\varphi(n)$ (Euler φ -fn.)

$$\text{So we have } x^n - 1 = \prod_{d|n} \prod_{\substack{\zeta \in \mu_d \\ \zeta \text{ primitive}}} (x - \zeta)$$

$$= \prod_{d|n} \Phi_d(x),$$

since every n th root of unity is a primitive d th root of unity for exactly one $d|n$.
(minimal d s.t. $\zeta^d = 1$.)

~~567~~ 57.2

Cor. Note that we get $u = \sum_{d|n} \varphi(d)$.

(This says $u = \underline{1 * \varphi}$ as a convolution of arith functions)

Example. $x^9 - 1 = \Phi_9(x) \Phi_3(x) \Phi_1(x)$.

$$\Phi_1(x) = x - 1,$$

$$\Phi_3(x) = \frac{x^3 - 1}{x - 1} = x^2 + x + 1.$$

$$\text{So } \Phi_9(x) = \frac{x^9 - 1}{x^3 - 1} = x^6 + x^3 + 1.$$

Proposition. $\Phi_n(x) \in \mathbb{Z}[x]$.

Cheating Proof. Its coefficients are algebraic integers and Galois-invariant.

Non-cheating proof. Induct on n ,

$$x^n - 1 = \left(\prod_{\substack{d|n \\ d < n}} \Phi_d(x) \right) \cdot \Phi_n(x)$$

By long division, ~~pro~~ $\Phi_n(x) \in \mathbb{Q}[x]$.

But then the product is monic and in $\mathbb{Z}[x]$ by induction

So by Gauss' Lemma the product is
def. / $\mathbb{Z}[x]$.

~~56.8.~~ 57.3

Theorem. The cyclotomic polynomials are irreducible.
(over $\mathbb{Z}[x]$)

Proof. If $\Phi_n(x) = f(x) \cdot g(x)$ in $\mathbb{Z}[x]$,

let $\begin{cases} \zeta \text{ be a primitive root of unity and a root of } f \\ p = \text{any prime not dividing } n. \end{cases}$

Then ζ^p is a root of f or g .

Claim. Can arrange so that ζ^p is a root of g .

Proof 1. Dirichlet's theorem on primes in progressions.

If $(a, n) = 1$ there is a prime $p \equiv a \pmod{n}$.

Proof 2. If ζ^p is a root of f for all primes p
and roots ζ of $f \Rightarrow \zeta^a$ is a root of f for all
 $(a, n) = 1$.

But then every primitive root of unity is a root of f .

So $f = \Phi_n$.

The meat. Suppose ζ^p is a root of g .

Then ζ is a root of $g(x^p)$ and f is the min poly
of ζ .

So can write $g(x^p) = f(x) h(x)$ for some $h(x) \in \mathbb{Z}[x]$.

Reduce modulo p : $\bar{g}(x^p) = \bar{f}(x) \bar{h}(x)$ in $\mathbb{F}_p[x]$

But $\bar{g}(x^p) = (\bar{g}(x))^p$, so

$$\bar{g}(x)^p = \bar{f}(x) \bar{h}(x)$$

so \bar{f} and \bar{g} have a common factor in $\mathbb{F}_p[x]$.

~~56.9~~ 57.4

Now $\Phi_n(x) = f(x) g(x)$

And so $x^n - 1$ has a multiple root over \mathbb{F}_p .

But we know this not to be the case!

Remark. This technique (reduce to a finite field) is very common in algebraic number theory!

57.5

Geometric Constructions.

Can you square a circle or trisect an angle?

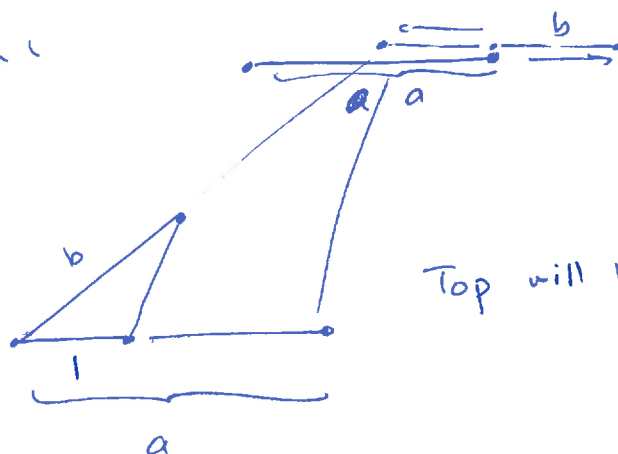
Suppose you have a line segment of length 1.

The constructible numbers (C, say) are those real numbers x s.t. you can construct a line segment of length x . (and 0 and their negatives)

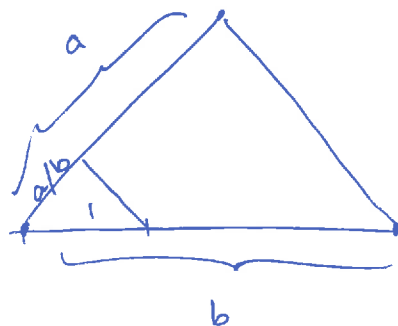
What can you get?

Addition + subtraction

Multiplication:



Division:



Square roots:

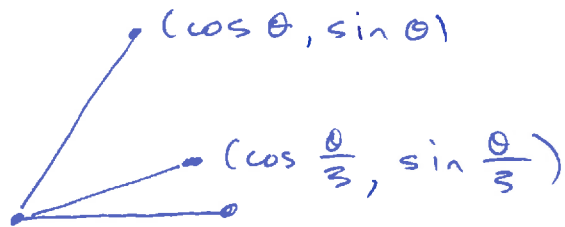


577 58.1

Squaring the circle:

$\sqrt{\pi}$ is not algebraic (not obvious, but true)

Trisecting an angle:



If $\cos \theta$ is constructible, is $\cos \frac{\theta}{3}$?

Triple angle formula: $\cos \theta = 4 \cos^3 \left(\frac{\theta}{3} \right) - 3 \cos \left(\frac{\theta}{3} \right)$.

Take, say, $\theta = 60^\circ$, $\cos \theta = \frac{1}{2}$.

Solve $4\beta^3 - 3\beta - \frac{1}{2} = 0$

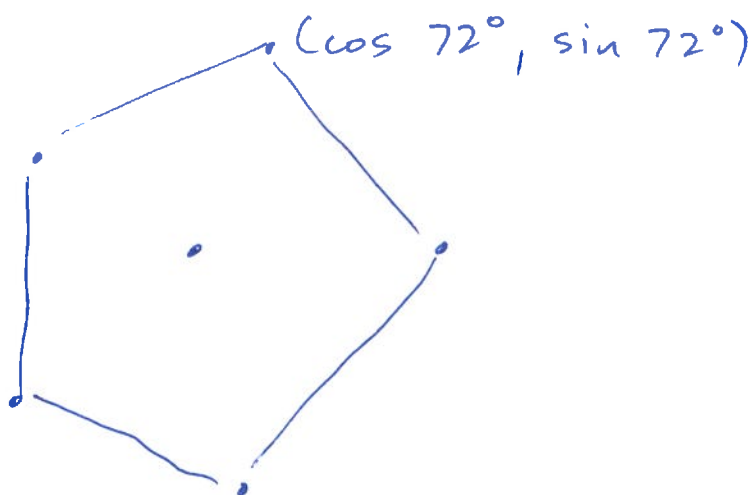
or (with $q = 2\beta$) $q^3 - 3q - 1 = 0$.

Can check: This is irreducible, $[\mathbb{Q}(q) : \mathbb{Q}] = 3$

so no dice.

7.8.58.2

Regular pentagons.



Are $\cos 72^\circ$ and $\sin 72^\circ$ constructible?

$$\cos(72^\circ) = \frac{1}{2}(\zeta_5 + \zeta_5^{-1})$$

$$\sin(72^\circ) = \frac{1}{2}(\zeta_5 - \zeta_5^{-1})$$

so we are asking if $\mathbb{Q}(\zeta_5)$ is constructible.

$[\mathbb{Q}(\zeta_5) : \mathbb{Q}] = 4$ so it could be!

In fact, it is. How to see?

(1) The Gauss sum $\left(\sum_{n \in \mathbb{Z}/5} e^{2\pi i n^2/5} \right)$ equals $\sqrt{5}$.

(Muck around. You'll prove it)

so $\mathbb{Q}(\zeta_5)$ contains a quadratic subfield.

(2) In fact, if $a = 2\cos(\frac{2\pi}{5})$, $a^2 + a - 1 = 0$.

Indeed, $\mathbb{Q}(a)$ is contained in \mathbb{R} , so must be a proper subfield of $\mathbb{Q}(\zeta_5)$! Quadratic if $a \notin \mathbb{Q}$.

(3) Look up a pentagon!

Edge length is $\sqrt{\frac{5-\sqrt{5}}{2}}$.

5.3.

Galois theory.

Def. If K/F is a field extension,

$$\text{Aut}(K/F) = \{ \text{automorphisms } K \rightarrow K \text{ which fix } F \}.$$

(i.e. $\sigma(x) = x$ for all $x \in F$.
Not just $\sigma(F) = F$.)

Then $\text{Aut}(K/F)$ is a group, a subgroup of $\text{Aut}(K)$.

Prop. Given K/F with $\begin{cases} \alpha \in K \text{ algebraic } / F \\ \sigma \in \text{Aut}(K/F) \end{cases}$.

Then $\sigma(\alpha)$ is a root of the min poly of α / F .

Proof. We have

$$\alpha^n + a_{n-1} \alpha^{n-1} + \dots + a_0 = 0 \quad \left(\begin{array}{l} \text{min poly of } \alpha, \\ a_i \in F. \end{array} \right)$$

Hit the equation with σ . It's an automorphism.

$$\sigma(\alpha)^n + \sigma(a_{n-1}) \sigma(\alpha)^{n-1} + \dots + \sigma(a_0) = 0.$$

But σ fixes all the a_i . so

$$\sigma(\alpha)^n + a_{n-1} \sigma(\alpha)^{n-1} + \dots + a_0 = 0.$$

This means that $\text{Aut}(K/F)$ acts on the ^{set S of} roots of this min poly, get a homomorphism

$$\text{Aut}(K/F) \longrightarrow \text{Sym}(S).$$

§8.4.

Example. $\mathbb{Q}(i)/\mathbb{Q}$.

$\text{Aut}(\mathbb{Q}(i)/\mathbb{Q}) = \{ \text{identity, complex conjugation} \}$
a cyclic group of order 2.

Example. $\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}$.

Let $\sigma \in \text{Aut}(\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q})$.

Then σ is determined by its action on $\sqrt[3]{2}$.

$\sigma(\sqrt[3]{2})$ is a root of $x^3 - 2 = (x - \sqrt[3]{2})(x^2 + \sqrt[3]{2}x + \sqrt[3]{4})$
Doesn't factor further in $\mathbb{Q}(\sqrt[3]{2})$

So $\text{Aut}(\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}) = 1$.

Def. Let $H \subseteq \text{Aut}(K)$ be a subgroup (or subset).

Then $\text{Fix}(H)$, the fixed field of H , is

$$\text{Fix}(H) = \{ x \in K : \sigma(x) = x \text{ for all } \sigma \in H \}.$$

Then (the following are immediate):

(1) $\text{Fix}(H)$ is indeed a subfield of K .

(2) All this is inclusion-reversing:

$$F_1 \subseteq F_2 \subseteq K \implies \text{Aut}(K/F_2) \subseteq \text{Aut}(K/F_1)$$

$$H_1 \subseteq H_2 \subseteq \text{Aut}(K) \implies \text{Fix}(H_2) \subseteq \text{Fix}(H_1).$$

58.5 .

Example.

$\mathbb{Q}(i)/\mathbb{Q}$. What is $\text{Fix}(\text{Aut}(\mathbb{Q}(i)/\mathbb{Q}))$?

Set of elements in $\mathbb{Q}(i)$ fixed by complex conjugation.

So just \mathbb{Q} .

$\mathbb{Q}(\sqrt[3]{2})$. Since $\text{Aut}(\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}) = 1$,

$$\text{Fix}(\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}) = \mathbb{Q}(\sqrt[3]{2}).$$

Now suppose that K is the splitting field for a polynomial $f \in F[x]$. Then the associated hom

$$\text{Aut}(K/F) \longrightarrow \text{Sym}(\text{Roots of } f)$$

is injective, because the action of any $\sigma \in \text{Aut}(K/F)$ is determined by its action on the roots of f (the generators of K/F).

Indeed we can say more.

Prop. If K is the splitting field for some $f \in F[x]$, we have

$$|\text{Aut}(K/F)| \leq [K:F]$$

with equality if f is separable / F .

58.6. Why is this true?

Ask how many $\tau \in \text{Aut}(K/F)$ extend the identity map $F \longrightarrow F$.

More generally: Given ~~$E \longrightarrow E'$~~

$$\begin{array}{ccc} \text{Spl}(f) = E & & \text{Spl}(\psi(f)) = E' \\ | & & | \\ F & \xrightarrow{\psi} & F' \end{array}$$

How many automorphisms extend ψ ?

Do one root at a time.

Let p be any irreducible factor of f , $p' = \psi(p)$.
 α : any root of p (in E), β : any root of p' (in E')

Then there is a unique extension τ

$$\begin{array}{ccc} \tau: F(\alpha) & \xrightarrow{\sim} & F'(\beta) & \tau(\alpha) = \beta \\ | & & | \\ F & \longrightarrow & F' \end{array}$$

The number of maps $\tau: F(\alpha) \longrightarrow E'$ sending α to some root of p' is $[F'(\beta): F'] = [F(\alpha): F]$ provided all roots of p and p' are distinct.

(i.e. that p is separable)

Keep going, one root at a time, number of distinct automorphisms is $[E:F]$.

59.1

Def. If K/F is finite, then K/F is Galois (equiv: K is Galois over F) if $|\text{Aut}(K/F)| = [K:F]$. In this case write $\text{Gal}(K/F)$ for $\text{Aut}(K/F)$, the Galois group of K/F .

Cor. If K is the splitting field $/F$ of a separable polynomial f , then K/F is Galois.

Example. $\mathbb{Q}(\sqrt{2}, \sqrt{3})$ is Galois over \mathbb{Q} , the splitting field of $(x^2 - 2)(x^2 - 3)$.

(Verify that $\mathbb{Q}(\sqrt{2}, \sqrt{3}) \neq \mathbb{Q}(\sqrt{2})$. So degree 4.)

Any automorphism is determined by action on $\sqrt{2}, \sqrt{3}$:

$$\begin{array}{cccc} \sqrt{2} \rightarrow \sqrt{2} & \sqrt{2} \rightarrow -\sqrt{2} & \sqrt{2} \rightarrow \sqrt{2} & \sqrt{2} \rightarrow -\sqrt{2} \\ \sqrt{3} \rightarrow \sqrt{3} & \sqrt{3} \rightarrow \sqrt{3} & \sqrt{3} \rightarrow -\sqrt{3} & \sqrt{3} \rightarrow -\sqrt{3} \\ \downarrow & \downarrow & \downarrow & \downarrow \\ 1 & \sigma & \tau & \tau\sigma \end{array}$$

Since $[\mathbb{Q}(\sqrt{2}, \sqrt{3}) : \mathbb{Q}] = 4$, $|\text{Gal}(\mathbb{Q}(\sqrt{2}, \sqrt{3})/\mathbb{Q})| = 4$.

So all these are in fact automorphisms.

Get $\sigma^2 = \tau^2 = 1$, and the right automorphism is $\sigma\tau$ or $\tau\sigma$.

So $\text{Gal}(\mathbb{Q}(\sqrt{2}, \sqrt{3})) \cong C_2 \times C_2$.

Fixed fields:

$\{1\}$

$\mathbb{Q}(\sqrt{2}, \sqrt{3})$

$\{\sigma\}$

$\mathbb{Q}(\sqrt{3})$

$\{\tau\}$

$\mathbb{Q}(\sqrt{2})$

~~$\{\sigma\tau\}$~~

$\mathbb{Q}(\sqrt{6})$.

S9.2 (=60.1)

Example. Splitting field _{\wedge^k} of $x^3 - 2 / \mathbb{Q}$.

Let $G = \text{Gal}(K/\mathbb{Q})$.

Then $|G| = 6$.

We have $K = \mathbb{Q}(\sqrt[3]{2}, \rho^3 \sqrt[3]{2}, \rho^2 \sqrt[3]{2})$

and $K = \mathbb{Q}(\sqrt[3]{2}, \zeta_3)$

and $K = \mathbb{Q}(\sqrt[3]{2}, \sqrt{-3})$.

Note that the latter description lets us know $[K:\mathbb{Q}] = 6$.
(Divisible by 2 and 3.)

Claim. The Galois group $G \cong S_3$.

Why? $\text{Gal}(K/\mathbb{Q}) \longrightarrow \text{Sym}(3)$

and the image has size 6!

To describe this, let

$$\sigma: \begin{cases} \sqrt[3]{2} \rightarrow \rho^3 \sqrt[3]{2} \\ \rho \rightarrow \rho \end{cases}$$

$$\tau: \begin{cases} \sqrt[3]{2} \rightarrow \sqrt[3]{2} \\ \rho \rightarrow \bar{\rho} = \rho^{-1} = \rho^2 \end{cases}$$

Then $G = \{1, \sigma, \sigma^2, \tau, \tau\sigma, \tau\sigma^2\}$.

Now compute $\sigma\tau$ and $\tau\sigma^2$!

Example. $x^p - 2$. (Do...)

59.3 (= 60.2)

what are the fixed fields of all subgroups of G ?

(Work out on board, and draw the picture.)

Example. $\mathbb{Q}(\sqrt[4]{2})$ is not Galois / \mathbb{Q} .

$\sqrt[4]{2}$ only has two conjugates over this field!

Example. $\mathbb{F}_{p^n} / \mathbb{F}_p$ is Galois,
splitting field of $x^{p^n} - x$.

The map $\sigma: \mathbb{F}_{p^n} \longrightarrow \mathbb{F}_{p^n}$
 $\alpha \longmapsto \alpha^p$

is an automorphism of \mathbb{F}_{p^n} of order n .

Hence it generates $\text{Gal}(\mathbb{F}_{p^n} / \mathbb{F}_p)$.

Example. Consider $F = \mathbb{F}_2(t)[x] / (x^2 - t)$ over $\mathbb{F}_2(t)$.

Then $|\text{Aut}(F / \mathbb{F}_2(t))| = 1$, hence not Galois.

This is because $x^2 - t = (x - \sqrt{t})^2$
in this extension.

60.3 .

We'll aim to prove the F.T. of Galois theory.

Def. A (linear) character χ of a group G with values in a field L is a homomorphism

$$\chi: G \longrightarrow L^\times.$$

Example. You may have seen Dirichlet characters

$$\chi: (\mathbb{Z}/n\mathbb{Z})^\times \longrightarrow \mathbb{C}^\times$$

which are then defined as fns. $\chi: \mathbb{Z} \longrightarrow \mathbb{C}$

$$\text{by } \chi(a) = \begin{cases} \chi(a \bmod n) & \text{if } (a, n) = 1 \\ 0 & \text{otherwise.} \end{cases}$$

Def. Chars χ_1, \dots, χ_n are linearly independent over L if they are such as fns. on G . No nontrivial rel'n

$$a_1 \chi_1 + \dots + a_n \chi_n = 0 \quad \begin{matrix} (a_i \text{ not all zero}) \\ (a_i \in L) \end{matrix}$$

as functions on G .

(It is okay if $a_1 \chi_1(g) + \dots + a_n \chi_n(g) = 0$ for some g .)

Theorem. If χ_1, \dots, χ_n are distinct characters $G \longrightarrow L^\times$ then they are linearly independent.

Proof. If not, choose a minimal dependence relation

$$a_1 \chi_1 + \dots + a_m \chi_m = 0$$

(reorder the χ_i if we have to).

60.4

Choose g_0 with $x_1(g_0) \neq x_m(g_0)$. Then,

$$a_1 x_1(g) + \dots + a_m x_m(g) = 0 \quad (*)$$

$$a_1 x_1(g_0 g) + \dots + a_m x_m(g_0 g) = 0$$

and since the characters are multiplicative

$$a_1 x_1(g_0) x_1(g) + \dots = 0.$$

Multiply (*) by $x_m(g_0)$ and subtract.

$$a_1 (x_m(g_0) - x_1(g_0)) x_1(g)$$

$$+ \dots + a_m \underbrace{(x_m(g_0) - x_m(g_0))}_{\text{zero!}} x_m(g) = 0$$

This is a nontrivial ($a_1 (x_m(g_0) - x_1(g_0)) \neq 0$)
dependence rel'n with fewer coeffs.

Cor. If $\sigma_1, \dots, \sigma_n$ are distinct embeddings $K \hookrightarrow L$
(including automorphisms $K \rightarrow K$ of a field), then
they are linearly independent.

(Yes, it's a special case — think about it!)

Theorem. Given a field K
a ^{sub}group $G \in \text{Aut}(K)$
 $F = \text{Fix}(G)$.

Then K is Galois over F ,

$$\text{i.e. } [K : F] = |G|.$$

$$60.5 = 61.1.$$

Two claims: $[K:F] \geq |G|$ and $[K:F] \leq |G|$.

Claim. $[K:F] \geq |G| =: n$.

If not, choose a basis $w_1 \dots w_m$ for K/F .

Have a system $\sigma_1(w_j) x_1 + \dots + \sigma_n(w_j) x_n = 0$

More equations than unknowns. $(x_i \in K.)$

So, can solve in the x_i ; let $\beta_1, \dots, \beta_n \in K$ be a solution.

If $a_1, \dots, a_m \in F$ are arbitrary, they are fixed by all σ_i .

Multiply our system by a_1, \dots, a_m respectively:

$$\sigma_1(a_1 w_1) \beta_1 + \dots + \sigma_n(a_1 w_1) \beta_n = 0$$

\vdots

$$\sigma_1(a_m w_m) \beta_1 + \dots + \sigma_n(a_m w_m) \beta_m = 0$$

Add:
$$\sum_{i=1}^n \sigma_i(a_1 w_1 + \dots + a_m w_m) \beta_i = 0$$

The w_i were a basis for K/F .

So
$$\sum_{i=1}^n \sigma_i(a) \beta_i = 0 \text{ for all } a \in K.$$

This means the σ_i are LD, contradicting previous cor.

61.2

Claim. $[K:F] \leq |G| = n$.

Suppose instead that $n < [K:F]$.

Choose $n+1$ F -lin. indep. elts x_i of K , and look at

$$\begin{aligned} \sigma_1(x_1) x_1 + \dots + \sigma_1(x_{n+1}) x_{n+1} &= 0 \\ \vdots \\ \sigma_n(x_1) x_1 + \dots + \sigma_n(x_{n+1}) x_{n+1} &= 0 \end{aligned}$$

Once again, has a solution $x_i = \beta_i \in K$

with: not all $\beta_i = 0$

not all $\beta_i \in F$: one of the automorphisms, say σ_1 , is the identity so first equation would contradict linear independence.

Choose a solution $(\beta_1, \dots, \beta_{n+1})$ with the number r of nonzero β_i minimized. Reorder s.t. β_1, \dots, β_r all nonzero. Can also assume WLOG that $\beta_r = 1$ (divide all β_i by β_r).
 $\beta_1 \notin F$ (some $\beta_i \notin F$).

So our system is

$$\sigma_i(x_1) \beta_1 + \dots + \sigma_i(x_{r-1}) \beta_{r-1} + \sigma_i(x_r) = 0 \quad (i=1, 2, \dots, n).$$

Choose an automorphism σ_{k_0} not fixing β_1 .

Apply to previous eqns.

$$\begin{aligned} \sigma_{k_0} \sigma_j(x_1) \sigma_{k_0}(\beta_1) + \dots + \sigma_{k_0} \sigma_j(x_{r-1}) \sigma_{k_0}(\beta_{r-1}) \\ + \sigma_{k_0} \sigma_j(x_r) = 0. \end{aligned} \quad (j=1, \dots, n)$$

61.3 .

The kicker. The σ_i and the $\sigma_{k_0} \sigma_j$ ($i, j = 1, \dots, n$) are the same set of automorphisms, in a different order.

This is because $G \longrightarrow G$ is a bijection
 $g \longrightarrow g_0 g$ for any $g_0 \in G$.

Have the same system applied to the β_k
and the $\sigma_{k_0}(\beta_k)$.

Subtract:

$$\sigma_i(\alpha_1)(\beta_1 - \sigma_{k_0}(\beta_1)) + \dots + \sigma_i(\alpha_{r-1})(\beta_{r-1} - \sigma_{k_0}(\beta_{r-1})) \\ + \sigma_i(\alpha_r) \underbrace{(1 - 1)}_{\text{oops! } (i=1, \dots, n)} = 0.$$

By hypothesis $\beta_1 - \sigma_{k_0}(\beta_1) \neq 0$
and this is a shorter nontrivial dependence relation
—contrary to hypothesis!

Now we run with it.

Cor. For any finite extension, K/F ,

$$|\text{Aut}(K/F)| \leq [K:F].$$

Proof. Let $F_1 = \text{Fix}(\text{Aut}(K/F))$ with $F \subseteq F_1$.

$$\text{Then } \text{Aut}(K/F) = \text{Aut}(K/F_1),$$

$$|\text{Aut}(K/F)| = [K:F_1] \leq [K:F].$$

(Indeed, $|\text{Aut}(K/F)|$ divides $[K:F]$ by "degrees" (Hilbert).)

6.1.4 .

Cor. Let G be any subgroup of $\text{Aut}(K)$ with $F = \text{Fix}(G)$. Then any automorphism of K fixing F is in G . (i.e. $\text{Aut}(K/F) = G$.)

Proof. We have $|G| \leq |\text{Aut}(K/F)|$ trivially
 $|G| = [K:F]$ by previous theorem
 $|\text{Aut}(K/F)| \leq [K:F]$ by previous cor.
So equalities all around.

Cor. If $G_1 \neq G_2$ are finite subgroups of a field K , their fixed fields are distinct.

Proof. If they have the same fixed field then $G_1 \leq G_2$ and $G_2 \leq G_1$ by previous.

Theorem (1) An extension K/F is Galois if and only if K is the splitting field of some separable polynomial $f \in F[x]$.

(2) If this is the case, then every $f \in F[x]$ which has a root in F is separable and has ^{all} its roots in K .

Proof. Splitting fields of sep polys are Galois.

So suppose K/F is Galois.

61.5 .

Claim. Every irred $p(x) \in F[x]$ with a root in K splits completely in K .

Proof of claim: Set $G = \text{Gal}(K/F)$, $\alpha = \text{root of } p$.

If $G = \{1, \sigma_2, \dots, \sigma_n\}$ write $\alpha = \alpha_1, \alpha_2, \dots, \alpha_r$ for the Galois conjugates of α , i.e. the distinct elements $\sigma_i(\alpha)$ as σ_i ranges over G .

Then any $\tau \in G$ permutes the α_i , so the poly

$$f(x) = (x - \alpha_1)(x - \alpha_2) \dots (x - \alpha_n)$$

is fixed by all of G , hence is in $\text{Fix}(G)[x] = F[x]$.

Since p is the minimal poly of α/F , must have $p(x) \mid f(x)$.

But we proved earlier that if $\sigma \in \text{Gal}(K/F)$, $\sigma\alpha$ is a root of $\text{min}_{\alpha, F}(x)$.

So p has all the α_i as roots so $p = f$.

So p is separable and has all roots in K , proving (2).

For (1), choose a basis w_1, \dots, w_n for K/F .

The min polys $p_i(x)$ are separable and split over K .

Let $g(x) = \text{squarefree part of } \prod p_i(x)$
(remove any duplicate factors)

Then K is the splitting field for g .

62.1

What we've seen so far:

TFAE (a ^{finite} field ext K/F is Galois):

1. $[K:F] = |\text{Aut}(K/F)|$
2. K is the splitting field of a separable polynomial $/F$
3. $\text{Fix}(\text{Aut}(K/F)) = F$
4. K is the splitting field of a collection of separable polynomials $/F$ (and is finite). "is normal".

Fundamental Theorem of Galois theory.

Let K/F be Galois w/ $G = \text{Gal}(K/F)$. Then there is a bijection

$$\left\{ \begin{array}{l} \text{intermediate} \\ \text{fields} \\ K/E/F \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{subgroups} \\ \text{of } G \end{array} \right\}$$

$$E \longrightarrow \text{Aut}(K/E)$$

$$\text{Fix}(H) \longleftarrow H$$

satisfying:

(1) Inclusion reversing: If $\begin{array}{ccc} E_1 & \longleftrightarrow & H_1 \\ E_2 & \longleftrightarrow & H_2 \end{array}$
then $E_1 \subseteq E_2 \longleftrightarrow H_2 \subseteq H_1$.

(2) Order preserving: $[K:E] = |H|$, $[E:F] = |G:H|$.

(3) K/E is always Galois, with $\text{Gal}(K/E) = H$.

(4) E/F is Galois iff $H \triangleleft G$, and then $\text{Gal}(E/F) \cong G/H$.

(5) Bijection of lattices: If $\begin{array}{ccc} E_1 & \longleftrightarrow & H_1 \\ E_2 & \longleftrightarrow & H_2 \end{array}$

then $E_1 \wedge E_2 \longleftrightarrow \langle H_1, H_2 \rangle$, $E_1 E_2 \longleftrightarrow H_1 \wedge H_2$.

62.2

Proof. Have done lots of this already.

* Fixed fields are unique.

So the corr is injective $P \rightarrow L$.

* (3) is true, since K/E is ~~generated~~ the splitting field of the same poly.

* Saw inclusion reversing, and $F = \text{Fix}(\text{Gal}(K/F))$ for F Galois already. ~~Beget to the bijection~~

~~Beget to the bijection~~

So map is surjective $P \rightarrow L$, hence get the bij.

* Get $|H| = [K:E]$ by original char. of Galois extensions.

$[E:F] = |G:H|$ by taking quotients.

* Claim that every embedding $E \hookrightarrow \bar{F}$ is $\sigma|_E$ for $\sigma \in G$, and hence has image in K .

If $\alpha \in E$ has min poly $m_\alpha(x)$ over F , all roots are in K .

So use our "extend isomorphisms theorem"

$$\begin{array}{ccc} K & \xrightarrow{\sigma} & K \\ | & & | \\ E & \xrightarrow{\tau} & \tau(E) \end{array}$$

Pick some σ extending τ , then $\tau = \sigma|_E$.

* The embeddings $E \hookrightarrow K$ are in bijection with the cosets σH of $H \leq G$:

$\sigma|_E = \sigma'|_E$ if $\sigma(\sigma')^{-1}$ ~~fixes~~ fixes E
hence $\sigma(\sigma')^{-1} \in H$.

So # of such embeddings is $[G:H] = [E:F]$.

62.3

The punchline.

E/F is Galois $\iff |\text{Aut}(E/F)| = [E:F]$, which is true if each embedding $E \hookrightarrow K$ is in fact an automorphism.

Now, for $\sigma \in G$,

$$\text{Gal}(K/\sigma(E)) = \sigma H \sigma^{-1} \text{ by definition}$$

and hence $\sigma(E) = \text{Fix}(\sigma H \sigma^{-1})$.

This equals E for all σ iff $\sigma H \sigma^{-1} = H$ for all H .

* To prove (5),

$$\text{if } H_1 = \text{Gal}(K/E_1), H_2 = \text{Gal}(K/E_2)$$

then certainly $H_1 \cap H_2 \subseteq \text{Gal}(K/E_1, E_2)$.

(Any elt. of E_1, E_2 is an alg. combination of elts of E_1, E_2)

Conversely, $\text{Gal}(K/E_1, E_2) \subseteq \begin{matrix} H_1 \\ H_2 \end{matrix}$ because $E_1, E_2 \subseteq \begin{matrix} H_1 \\ E_2 \end{matrix}$.

Claim about $E_1 \wedge E_2$ is similar.

QED!

See DF for lots of examples.

e.g. Compute a polynomial generating $\mathbb{Q}(\sqrt{2}, \sqrt{3})$.

Look at $\sqrt{2} + \sqrt{3}$.

Has four Galois conjugates $\pm\sqrt{2} \pm \sqrt{3}$ in $\mathbb{Q}(\sqrt{2}, \sqrt{3})$.

Since $[\mathbb{Q}(\sqrt{2}, \sqrt{3}) : \mathbb{Q}] = 4$, can take

$$\begin{aligned} & (x - (\sqrt{2} + \sqrt{3}))(x - (\sqrt{2} - \sqrt{3}))(x - (-\sqrt{2} + \sqrt{3}))(x - (-\sqrt{2} - \sqrt{3})) \\ & = x^4 - 10x^2 + 1. \end{aligned}$$

62.4

Finite fields.

So before, for each prime power p^n , there is a unique field \mathbb{F}_{p^n} of that order, with

$$\text{Gal}(\mathbb{F}_{p^n} / \mathbb{F}_p) \cong \mathbb{Z}/n\mathbb{Z}$$

generated by the Frobenius automorphism $q \rightarrow q^p$.

For each $d|n$ there is a subfield of degree d , so

$$\mathbb{F}_{p^d} \subseteq \mathbb{F}_{p^n} \iff d|n.$$

Moreover, if $\sigma = \text{Frob}_p$, have a restriction map

$$\begin{aligned} \text{Gal}(\mathbb{F}_{p^n} / \mathbb{F}_p) &\longrightarrow \text{Gal}(\mathbb{F}_{p^d} / \mathbb{F}_p) \\ &\cong \frac{\text{Gal}(\mathbb{F}_{p^n} / \mathbb{F}_p)}{\text{Gal}(\mathbb{F}_{p^d} / \mathbb{F}_p)} \end{aligned}$$

$$\sigma \longrightarrow \sigma|_{\mathbb{F}_{p^d}}.$$

Cool fact. $x^4 + 1$ is reducible (mod p) for every prime p , despite being irreducible $/\mathbb{Z}$.

Proof. $p = 2 : x^4 + 1 = (x + 1)^4$

$p = \text{odd} \Rightarrow p^2 \equiv 1 \pmod{8}$

So $x^8 - 1 \mid x^{p^2-1} - 1$

and so $x^4 + 1 \mid x^{p^2-1} - 1 \mid x^{p^2} - x$.

So, all roots of $x^4 + 1 / \mathbb{F}_p$ live in \mathbb{F}_{p^2} ,
hence generate (at most) quadratic extensions!

So can't be irreducible. (That generates an integral basis...