

4.1.

Recall. Interested in binary quadratic forms  $ax^2 + bxy + cy^2$   
right action of  $SL_2(\mathbb{Z})$

$$(f \circ g) \begin{pmatrix} x \\ y \end{pmatrix} = f \left( g \begin{pmatrix} x \\ y \end{pmatrix} \right).$$

$$\text{So } (f \circ \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix})(x, y) = f(\alpha x + \beta y, \gamma x + \delta y).$$

Remark. Sometimes you see a left action

$$(g \circ f)((x, y)) = f((x, y)g).$$

Basically, but not exactly, the same.

Also saw that

$$\text{Disc}(f \circ g) = (\det g)^2 \text{Disc}(f).$$

Proposition. (Cox, 2.3)

A form  $f$  properly represents an integer  $m$  if and only if it is properly equivalent to the form  $mx^2 + bxy + cy^2$  for some  $b, c \in \mathbb{Z}$ .

Proof.

"If" is obvious, b/c equiv forms represent same integers  
Take  $x=1, y=0$ .

So, suppose  $f(p, q) = m$  where  $p$  and  $q$  are coprime.  
We choose  $s, r$  with  $ps - qr = 1$ . Then,

$$f(px + ry, qx + sy) = f(p, q)x^2 + (\text{Blah})xy + f(r, s)y^2$$

and so we win!

4.2.

Corollary. (Cox, 2.5)

Let  $D$  be an integer  $\equiv 0, 1 \pmod{4}$   
 $m$  an odd integer coprime to  $D$ . Then  $m$  is properly  
represented by a primitive form of discriminant  $D$  if and  
only if  $D$  is a quadratic residue  $\pmod{m}$ .

Proof. If  $m$  is prop. rep'd, can assume  $f(x, y) =$   
 $mx^2 + bxy + cy^2$ .

$$\text{So } D = b^2 - 4mc \equiv b^2 \pmod{m}.$$

Conversely, suppose  $D \equiv b^2 \pmod{m}$ .

Because  $m$  is odd, can assume  $D$  and  $b$  have same  
parity. (Replace  $b$  with  $b+m$ )

Because  $D \equiv 0, 1 \pmod{4}$ ,  $D \equiv b^2 \pmod{4m}$ .

So,  $D = b^2 - 4mc$  for some  $c$ .

$mx^2 + bxy + cy^2$  represents  $m$  properly and  
has discriminant  $D$ .

Also, coeffs are coprime because  $(m, D) = 1$ .

Corollary. (Cox, 2.6)

Let  $n$  be an integer,  $p$  an odd prime. Then

$\left(\frac{-n}{p}\right) = 1 \iff p$  is represented by some  
primitive form of discriminant  $-4n$ .

Fact. Any B&F of disc  $-4$  is equivalent to  $x^2 + y^2$ ,  
(to be proved)

Cor. An odd prime  $p$  is a sum of two squares if  
and only if  $p \equiv 1 \pmod{4}$ .

(!!!)

4.3.

## Reduction theory of forms.

Def. A primitive pos. def. form  $ax^2 + bxy + cy^2$  (which must have  $a, c > 0$ ) is reduced if

$$(1) |b| \leq a \leq c,$$

$$(2) b \geq 0 \text{ if either } |b| = a \text{ or } a = c.$$

Thm. (Cox, 2.8) Every primitive positive definite form is properly equivalent to a unique reduced form.

Remarks. (1) The conditions for "reduced" define a fundamental domain for the action of  $SL_2(\mathbb{Z})$  on binary quadratic forms.

Other examples:  $* SL_2(\mathbb{Z})$  acting on  $H = \{z \in \mathbb{C} : \text{Im}(z) > 0\}$  by  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} z = \frac{az + b}{cz + d}.$

Closely related.

\* Binary cubic forms. Hard to describe.

\* Manjul on counting quartic or quintic forms.

(2) Will easily show  $a \leq \sqrt{-D/3}.$

Quickly conclude that if  $D$  is fixed, only finitely many equivalence classes of discriminant  $D$ . And we can compute them.

(3) Cool fact.  $x^2 + x + 41$  is prime for  $x = 0, 1, 2, 3, \dots, 10.$  why?

(4) Will use this to estimate # equiv classes with  $|D| \leq X.$

(5)  $D > 0$  is harder. Will do it too.

4.4.

Proof.

Step 1. Given a form, show prop. equiv to one with  $|b| \leq a \leq c$ .

Among all forms in class, choose  $f = ax^2 + bxy + cy^2$  with  $|b|$  minimized. Since positive definite,  $a, c \geq 0$ .

If  $a < |b|$ , then

$g(x, y) = f(x + my, y) = ax^2 + (2am + b)xy + c'y^2$   
 $\sim f(x, y)$ . If  $a < |b|$ , choose  $m$  with  $|2am + b| < |b|$   
contradiction!

If  $a > c$ , swap  $x$  and  $y$ :  $g(x, y) = f(-y, x)$ .

Get  $|b| \leq a \leq c$ .

So: is reduced unless  $b < 0$  and  $a = -b$  or  $a = c$ .

$a = -b$ :  
 $ax^2 - axy + cy^2 \sim ax^2 + axy + (a+c)y^2$   
( $Cox$  is wrong?)

$a = c$ :  
 $ax^2 + bxy + ay^2 \sim ax^2 - bxy + ay^2$   
by  $(x, y) \sim (-y, x)$ .

So: shows ~~non~~ existence, now show uniqueness.  
(not in Granville)

4.5.

Lemma. If  $f(x, y) = ax^2 + bxy + cy^2$  satisfies  $|b| \leq a \leq c$ , then  $f(x, y) \geq (a - |b| + c) \min(x^2, y^2)$ .

(Take for granted, or exercise)

So: If  $xy \neq 0$ ,  $f(x, y) \geq a - |b| + c$ .

And, by assumption,  $a \leq c$ , so  $a$  is the minimum value  
 $c$  is the next value properly rep'd.

Now, to show uniqueness.

Assume  $f(x, y) = ax^2 + bxy + cy^2$  sat.  $|b| \leq a \leq c$ .

Then  $a < c < a - |b| + c$  are the three smallest numbers properly rep'd by  $f(x, y)$ .

If  $g(x, y)$  is another reduced form equiv. to it:

⊗ First coeff  $a$  must be the same.

⊗ ~~First~~ Last coeff  $c$  must be the same.

(Some technical details: Last coeff can't be  $a$ . See Cox.)

⊗ Same discriminant, so  $b$  must be the same up to  $\pm$ .

Now, why are  $f(x, y) = ax^2 + bxy + cy^2$  and  $g(x, y) = ax^2 - bxy + cy^2$  inequiv?

Let  $g(x, y) = f(\alpha x + \beta y, \gamma x + \delta y)$

$a = g(1, 0) = f(\alpha, \gamma)$        $c = g(0, 1) = f(\beta, \delta)$

By min. considerations,  $(\alpha, \gamma) = \pm(1, 0)$

$(\beta, \delta) = \pm(1, 0)$

So  $\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} = \begin{pmatrix} \pm 1 & 0 \\ 0 & \pm 1 \end{pmatrix}$  of det. 1.

Finally:  $a = |b|$  or  $a = c$ . From ... Must be  $\pm 1$ .

4.6.

Prop. If  $ax^2 + bxy + cy^2$  is reduced then  $3a^2 \leq -D$ ,  
i.e.  $a \leq \sqrt{-D/3}$ .

Proof.  $-D = 4ac - b^2$   
 $\geq 4a^2 - a^2 = 3a^2$ .

And  $|b| \leq a$ .

This lets us enumerate classes of BQFs.

5.1. The class number.

From (4): Review def. of "reduced".

Main theorem.

Proof on 4.4.

Summarize 4.5.

Definitely do 4.6.

So do we have useful bounds on the coefficients?

$$|b| \leq \|a\| \leq \sqrt{\frac{-D}{3}}.$$

Now,  $c$  can be big. Indeed,  $x^2 + \frac{(-D)}{4} y^2$  is reduced.

But, we do have a bound:

$$4ac = -D + b^2 \\ \leq -D + a^2, \text{ so}$$

$$c \leq \frac{-D}{4a} + \frac{a}{4}$$

$$\leq \frac{-D}{4} + \frac{1}{4} \sqrt{\frac{-D}{3}}.$$

Def. ~~The~~ The class number  $h(D)$  is the number of proper equivalence classes of IBQFs of discriminant  $D$ .

~~totally~~

Theorem.

$$(1) \quad h(D) \neq 0 \iff D \equiv 0, 1 \pmod{4}.$$

(2) For each negative  $D$ ,  $h(D)$  is finite,  
~~and can be~~  $h(D) \ll |D|^2$  (in fact  $h(D) \ll |D|$ ),  
and can be computed in  $o(|D|)$  time.

(3) The IBQFs form a group. (later)

S.2.

Proof. (2) follows from the fundamental domain and our bounds.

$$(1) \quad b^2 - 4ac \equiv 0, 1 \pmod{4}.$$

Conversely, ~~if~~ given  $D \equiv 0 \pmod{4}$ , take

$$x^2 - \frac{D}{4} y^2$$

given  $D \equiv 1 \pmod{4}$ , take

$$x^2 + xy - \frac{D-1}{4} y^2.$$

Class number computations.

Ex. ~~Let~~ compute  $h(-4)$ .

Sol'n. Have  $|b| \leq a \leq \sqrt{\frac{4}{3}}$ .

So:  $a=1$ .  $b = -1, 0$ , or  $1$ .

(not  $-1$  because  $|b|=a$ )

$$a=1, b=0 \Rightarrow 0^2 - 4c = -4 \Rightarrow c=1.$$

$$a=1, b=1 \Rightarrow 1^2 - 4c = -4 \text{ (nope)}$$

So  $h(-4) = 1$ .

We observe that  $h(D) \ll |D|$ .

Why? Check ~~that~~  $a \leq \sqrt{\frac{-D}{3}}$  and  $|b| \leq a$ .

Then  $c$  is determined.

$$\text{So, in fact, } h(D) \leq \left( \sqrt{\frac{-D}{3}} \right) \left( 2\sqrt{\frac{-D}{3}} + 1 \right)$$

$$= \frac{2}{3} \cdot |D| + \sqrt{\frac{-|D|}{3}}$$

which is less than  $|D|$  except for  $|D|$  really small.



S.3.

Ex. Compute  $h(-23)$ .

Have  $|b| \leq a \leq \sqrt{\frac{23}{3}}$  so  $a = 1$  or  $2$ .

$a = 1$ :  $b = 0$  or  $1$ .

$$b = 0 \Rightarrow -4c = -23 \text{ (no)}$$

$$b = 1 \Rightarrow 1 - 4c = -23 \text{ (} c = 6 \text{)} \quad x^2 + xy + 6y^2$$

$a = 2$ :  $b = -1, 0, 1, 2$

$$b = -1 \Rightarrow 1 - 8c = -23,$$

$$b = 0 \Rightarrow -8c = -23 \text{ (no)}$$

$$b = 1 \Rightarrow 1 - 8c = -23$$

$$b = 2 \Rightarrow 4 - 8c = -23 \text{ (no)}$$

$$c = 3$$

$$2x^2 - xy + 3y^2$$

$$2x^2 + xy + 3y^2$$

$$\text{So } h(-23) = 3.$$

(Note: latter two are improperly equivalent)

Homework. Keep doing this until you get bored.

The  $D > 0$  case.

Theorem. (Cox, <sup>Ex.</sup> 2.8) Any form of discriminant  $D > 0$  is properly equivalent to  $ax^2 + bxy + cy^2$  with  $|b| \leq |a| \leq |c|$ . This implies  $|a| \leq \frac{\sqrt{D}}{2}$ .

So still can compute class number.

## 6.1. Class numbers.

Review: Def. of reduced (4.3)

Bound on  $a$  (4.6).

Do computations on (5.2) and (5.3).

So now we understand how to compute.

### Goals:

(1) Understand this quantity for individual  $D$  and on average. For example, it is true that

$$\sum_{n \leq N} h(-n) = \frac{\pi}{153(3)} N^{3/2} - \frac{3}{2\pi^2} N + O(N^{\frac{29}{44} + \epsilon}),$$

and

~~$h(-n) = \frac{\sqrt{n}}{\pi} \cdot L(1, \chi_{-n})$~~

$$h(-n) = \frac{\sqrt{n}}{\pi} \cdot L(1, \chi_{-n}) \quad \text{for } n > 4.$$

We will investigate these.

(2) The set of equivalence classes forms a group. Why??

(a) Ugly, classical formulas — see Cox's book.

(b) Correspondence to quadratic fields.

(c) Bhargava's boxes.

(3) Counting of representations.

$r(n)$  = # of inequivalent representations of  $n$ .

$$r(n) = \sum_{m|n} \left( \frac{d}{m} \right).$$

Explain why it's true, rel'n to  $L(s, \chi_d)$  and Dedekind zeta fns.  
(Need for DCF, then GON)

(4) Relation to  $H$ .

(5). Why  $n^2 + n + 41$  is prime so often.

6.2.

Relation to  $H$  first.

$$\text{If } g = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}, \quad (f \circ g) \begin{pmatrix} u \\ v \end{pmatrix} = f \begin{pmatrix} \alpha u + \beta v \\ \gamma u + \delta v \end{pmatrix}.$$

$$\text{So, } f \circ g(u, v) = 0$$

$$\updownarrow$$

$$f(\alpha u + \beta v, \gamma u + \delta v) = 0.$$

i.e.  $[u : v]$  is a root of  $f \circ g$

$\updownarrow$   
 $[\alpha u + \beta v : \gamma u + \delta v]$  is a root of  $f$ .

Set  $v=1$  and think of B&Fs as being determined by their roots. ~~As indefinite real~~

i.e.  $u \in \mathbb{P}^1$  is a root of  $f \circ g$

$$\updownarrow$$

$$\frac{\alpha u + \beta}{\gamma u + \delta} \in \mathbb{P}^1 \text{ is a root of } f.$$

Definitions.  $H := \{z \in \mathbb{C} : \text{Im}(z) > 0\}.$

$GL_2(\mathbb{C})$  acts on  $H \cup \{\infty\}$  by  $\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \circ z = \frac{\alpha z + \beta}{\gamma z + \delta}.$

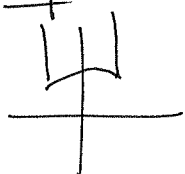
(Must check! Is a left (covariant) action.)

Prop. A ~~real~~ indefinite real binary quadratic form has one of its roots in  $H \cup \{\infty\}$ .

Prop. If  $f$  has root  $z \in \mathbb{P}^1(\mathbb{C})$ , then  $\frac{\alpha z + \beta}{\gamma z + \delta}$  can go back and forth!

$(f \circ g)$  has root  $f^{-1}(z).$

Prop. A fundamental domain for the action of  $GL_2(\mathbb{Z})$  on  $H$  is:



This is equivalent to being reduced in Gauss's sense.

6.3. Indeed, the roots of  $ax^2 + bx + c$  are

$$\frac{-b \pm \sqrt{D}}{2a}.$$

We have  $|\operatorname{Re}(\tau)| \leq \frac{1}{2} \iff |b| \leq a$ .

What about  $|\tau| \geq 1$ ?

$$\left| \frac{-b \pm \sqrt{D}}{2a} \right|^2 = \frac{b^2 - D}{4a^2} = \frac{b^2 - (b^2 - 4ac)}{4a^2} = \frac{c}{a}.$$

So  $|\tau| \geq 1 \iff a \leq c$ .

So the conditions exactly correspond.

=====  
The  $n^2 + n + 41$  is prime result.

Theorem. If  $D < 0$ , then

$$h(D) = 1 \iff D \in \{-3, -4, -7, -8, -11, -19, -43, -67, -163\}.$$

and also  $-12, -16, -27, -28$  if one counts non-fundamental discs.

Proof.  $\Leftarrow$ : Easy homework exercise.

$\Rightarrow$ : Much, much, MUCH harder homework exercise.

(Warning: Gauss, Heilbronn, Siegel, etc. couldn't do it)

Rabinowicz's Theorem. Let  $A \geq 2$  be an integer. Then  $n^2 + n + A$  is prime for  $0 \leq n \leq A-2$  if and only if  $h(1-4A) = 1$ .

## 7.1. Counting and representation theorems.

The general BQF is

$$ax^2 + bxy + cy^2.$$

Two questions:

(1) BQFs form a lattice.  $(a, b, c)$

How many equiv classes are there with  $|D| < X$ ?

(Gauss, Mertens, Siegel)

(2) Pick  $a, b, c$  and plug in  $x, y$ .

How many  $\overset{n \in \mathbb{N}}{\circ}$  are represented by a fixed  $ax^2 + bxy + cy^2$  as  $x, y$  vary?

Use GON to answer both. (2) leads to a formula for  $h(D)$  (for  $D < 0$ ).

(1) we can straight out do but is not so easy.

(2) — we need representation theorems.

Recall. Prop. (Cox 2.5)  $D \equiv 0, 1 \pmod{4}$ .  $m$  odd integer.

Then  $m$  is properly rep'd by a form of disc  $D$

$D$  is a quadratic residue  $\pmod{4m}$ .

Sketch of proof.

$m$  properly rep'd by  $f$

$f$  equiv. to  $mx^2 + bxy + cy^2$  with  $D = b^2 - 4mc$

$D \equiv b^2 \pmod{4m}$ .

Application. (Rebinowicz) Let  $A \geq 2$  integer. Then,

$u^2 + u + A$  is prime for  $0 \leq u \leq A-2$  iff

$$h(1-4A) = 1.$$

(6.4) = 7.2 .

Proof. Suppose  $h(d) = 1$  with  $d = 1 - 4A$ .

Then  $x^2 + xy + Ay^2$  only BOF of disc  $d$ , up to equivalence.

Suppose  $m = n^2 + n + A$  composite for some  $n \in [0, A-2]$ .

Then:

\*  $m$  has a prime factor  $p \leq \sqrt{n^2 + n + A} < A$

\*  $d$  is a square mod  $4m$ , hence mod  $4p$ , and so  $p$  is properly represented by a form of disc  $d$ , hence by  $x^2 + xy + Ay^2$ .

$$\begin{aligned} 4p &= 4u^2 + 4uv + 4Av^2 \\ &= (2u+v)^2 + (4A-1)v^2 \leq 4A-1 \end{aligned}$$

(because  $p < A$ ).

So  $v = 0$ , so  $4p = 4u^2 \dots$  no. we lose.

Other way: See Granville's notes.

This is really nice.

Now. Beef up the representation theorem.

~~Notation~~

Definition. An integer  $D$  is a discriminant if  $D \equiv 0, 1 \pmod{4}$

$D$  is a fundamental discriminant if in addition

\*  $p^2 \nmid D$  for any  $p > 2$

\* If  $4 \mid D$  then  $\frac{D}{4} \equiv 2, 3 \pmod{4}$ .

Prop 17.31.

If  $D$  is a fundamental discriminant then all forms of discriminant  $D$  are primitive.

Proof. Suppose the contrary,

given a form  $(pa)x^2 + (pb)xy + (pc)y^2$ .

It has discriminant  $p^2(b^2 - 4ac)$ .

Cannot have  $p > 2$  by definition.

Moreover,  $p=2$  is impossible as  $b^2 - 4ac \equiv 0, 1 \pmod{4}$ .

The converse is also true. If  $D$  is not fundamental, use the above to cook up an imprimitive form.

Ex. (uses alg. NT)

(1) The fundamental discriminants are 0, 1 and the discriminants of quadratic fields.

(2) (Better) (Bhargava, HCL I) (to be discussed!)

The fundamental discriminants are precisely the discriminants of maximal quadratic rings.

If  $D \equiv 0 \pmod{4}$ , associate  $\mathbb{Z}[x]/(x^2 - \frac{D}{4})$

If  $D \equiv 1 \pmod{4}$ , associate  $\mathbb{Z}[x]/(x^2 + x + \frac{1-D}{4})$ .

So for  $D=1$ , get  $\mathbb{Z}[x]/(x^2 + x) \cong \mathbb{Z} \oplus \mathbb{Z}$

$D=0$ , get  $\mathbb{Z}[x]/(x^2)$ .

The "quadratic fields" are  ~~$\mathbb{Q}(x)$~~   $\mathbb{Q} \oplus \mathbb{Q}$   
and  $\mathbb{Q}(x)/(x^2)$ .

## 7.4. Automorphisms of quadratic forms.

Definition. An automorphism of a quadratic form<sup>f</sup> is a change of variables (i.e. an elt. of  $SL_2(\mathbb{Z})$ ) mapping  $f$  to itself.

Ex. Compute the automorphism group of  $x^2 + y^2$ .

Sol'n. Suppose  $(x^2 + y^2) \circ \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} = x^2 + y^2$ .

$$\begin{aligned} (x^2 + y^2) \circ \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} &= (\alpha x + \beta y)^2 + (\gamma x + \delta y)^2 \\ &= [\alpha^2 + \gamma^2]x^2 + [2\alpha\beta + 2\gamma\delta]xy \\ &\quad + [\beta^2 + \delta^2]y^2. \end{aligned}$$

Case 1.  $\alpha = \pm 1$ .

Then:  $\gamma = 0$  and  $\delta = \pm 1$ ,  $\beta = 0$  by  $\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in SL_2(\mathbb{Z})$ .

$$\text{So } \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \text{ or } \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}.$$

Case 2.  $\gamma = \pm 1$ .

Then  $\alpha = 0$ ,  $\delta = 0$ ,  $\beta = \pm 1$ .

$$\text{Get } \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \text{ or } \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}.$$

$$\text{So } |\text{Aut}(x^2 + y^2)| = 4 \text{ and } \text{Aut}(x^2 + y^2) \cong C_4.$$

Note. This group is naturally isomorphic to  $\mathbb{Z}[i]^\times = \{1, i, -1, -i\}$

$\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \in SO(2)$  is counter-clockwise rotation in  $\mathbb{R}^2$  by  $90^\circ$

$\mathbb{R}^2 \cong \mathbb{C}$  as real vector spaces

This rotation is multiplication by  $i$ .



8.1. Automorphisms of quadratic forms.

Review def., result of computation on 7.4.

(7.5) = 8.2.

Prop. If two quadratic forms are equivalent then their automorphism groups are isomorphic, and indeed conjugate in  $SL_2(\mathbb{Z})$ .

Proof. If  $f' = f \circ g$ , then

$$\begin{aligned} h \in \text{Aut}(f') &\iff f' \circ h = f' \iff f \circ g \circ h = f \circ g \\ &\iff f \circ g \circ h \circ g^{-1} = f \circ g \circ g^{-1} = f \\ &\iff ghg^{-1} \in \text{Aut}(f). \end{aligned}$$

$$\text{So, } \text{Aut}(f') = g \cdot \text{Aut}(f) \cdot g^{-1}.$$

(Also note,  $(ghg^{-1})(gh'g^{-1}) = ghgh'g^{-1}$  so RHS is a group isomorphic to  $\text{Aut}(f)$ ).

Rk. This principle is extremely familiar, master it!

Prop. If  $f$  is a primitive quadratic form of disc  $D < 0$ , then

$$|\text{Aut}(f)| = \begin{cases} 4 & \text{if } D = -4 \text{ (proved above)} \\ 2 & \text{if } D = -3 \text{ (homework!!)} \\ 2 & \text{if } D < -4. \end{cases}$$

Isomorphic to the unit group of the ring of integers of  $\mathbb{Q}(\sqrt{D})$ .

If  $D > 0$  then  $\text{Aut}(f)$  is infinite.

Example. Look at  $x^2 - 2y^2$  of discriminant 8.

Ex. (1. easy) Verify that  $\begin{bmatrix} 3 & 4 \\ 2 & 3 \end{bmatrix} \in \text{Aut}(f)$  and is of infinite order.

(2. hard) Figure out how I wrote down that matrix.

Hints.  $x^2 - 2y^2 = (x - \sqrt{2}y)(x + \sqrt{2}y)$  and  $(\sqrt{2}-1)(\sqrt{2}+1) = 1$ .

8.3.

The representation theorem.

Let  $r_D(n) := \#$  representations of  $n$  by all QF of disc  $D$ , up to equivalence

(if  $n$  is odd)  
Proved before:  $r_D(n) > 0 \iff D$  is a quadratic residue mod 4.

Theorem.  $r_D(n) = \sum_{m|n} \left( \frac{D}{m} \right)$ .

Note. We only defined  $\left( \frac{D}{m} \right)$  for odd prime  $m$ .

Define  $\left( \frac{D}{2} \right) = \begin{cases} 0 & \text{if } D \text{ is even} \\ 1 & \text{if } D \equiv 1, 7 \pmod{8} \\ -1 & \text{if } D \equiv 3, 5 \pmod{8} \end{cases}$

(2 ram in  $\mathbb{Q}(\sqrt{D})$ )  
 (2 splits in  $\mathbb{Q}(\sqrt{D})$ )  
 (2 inert in  $\mathbb{Q}(\sqrt{D})$ )

and  $\left( \frac{D}{m \cdot m'} \right) = \left( \frac{D}{m} \right) \left( \frac{D}{m'} \right)$  for all  $m, m'$ .

This defines  $\left( \frac{D}{m} \right)$  for all positive integers  $m$ , and is periodic in the top.

Analytic number theory lemma.

$$r_D(n) = \sum_{m|n} \left( \frac{D}{m} \right) = \prod_{p^{e_p} || n} \left( 1 + \left( \frac{D}{p} \right) + \left( \frac{D}{p^2} \right) + \dots + \left( \frac{D}{p^{e_p}} \right) \right).$$

Proof. Follow the right side!

Example. Suppose  $n$  is coprime to  $D$  and squarefree.

Then,  $r_D(n) = \prod_{p|n} \left( 1 + \left( \frac{D}{p} \right) \right) = 2^{w(n)}$  if  $D$  is a residue mod  $p$ ,  
 $= 0$  otherwise.

( $w(n) = \#$  dist prime factors)

8.4. Example. Let  $D = -4$ .

Then  $r_{-4}(1) = 1$ .  $(1^2 + 0^2, (-1)^2 + 0^2, 0^2 + 1^2, 0^2 + (-1)^2)$

$r_{-4}(5) = 2$ .  ~~$(1^2 + 2^2, 1^2 + (-2)^2)$~~   
 $((\pm 1)^2 + (\pm 2)^2)$ , backwards).

$r_{-4}(2) = 1$ . (Note:  $(\frac{-4}{2}) = 0$ .)

Recall that because  $|Aut(x^2 + y^2)| = 4$ , there are 4 equivalent relations for each.

Example.  $D = -15$ .  ~~$x^2 + y^2 + 4$~~   $x^2 + xy + 4y^2$  #1  
 $2x^2 + xy + 2y^2$  #2

$(\frac{-15}{13}) = 1$ , so  $r_{-15}(13) = 2$ . #2:  $x = 1, y = -3$   
 $x = -1, y = 3$   
 $x = -3, y = 1$   
 $x = 3, y = -1$ .

These are two equiv. classes.

Similarly,  $(\frac{-15}{19}) = 1$ ,  $r_{-15}(19) = 2$ . rep'd by first form only.

Two ways to prove this.

(1) Correspondence to ideals.

(2) Work with binary quadratic forms directly.

Proofs of (2).

A bit messy. See Cox, ex. 3.20.

For  $4 \nmid D$ , and  $n$  odd. (Warning: Cox uses different letters)  
 $D$  negative,

(a) The number of solutions to  $x^2 \equiv D \pmod{n}$

is  $\prod_{p|n} \left(1 + \left(\frac{D}{p}\right)\right)$ .

9.1. Dirichlet's class number formula.

Suppose  $d$  is fundamental.

Theorem. Let  $L(1, \chi_d) := \sum_n \left(\frac{d}{n}\right) \cdot \frac{1}{n}$ .

$$\text{Then, } h(d) = \frac{w}{2\pi} \cdot \sqrt{|d|} L(1, \chi_d),$$

$$\text{where } w = \begin{cases} 2 & \text{if } d < -4 \\ 4 & \text{if } d = -4 \\ 6 & \text{if } d = -3. \end{cases}$$

Examples.

$d = -4$ :

$$\begin{aligned} h(-4) &= \frac{4}{2\pi} \cdot \sqrt{4} \cdot \left(1 - \frac{1}{3} + \frac{1}{5} - \frac{1}{7} + \dots\right) \\ &= \frac{4 \cdot 2}{2\pi} \cdot \frac{\pi}{4} = 1. \end{aligned}$$

$$\begin{aligned} h(-3) &= \frac{6}{2\pi} \sqrt{3} \left(1 - \frac{1}{2} + \frac{1}{4} - \frac{1}{5} + \frac{1}{7} - \frac{1}{8} \dots\right) \\ &= \frac{3\sqrt{3}}{\pi} \cdot \frac{\pi}{3\sqrt{3}} = 1. \end{aligned}$$

$$\begin{aligned} h(-23) &= \frac{2}{2\pi} \sqrt{23} \left(1 + \frac{1}{2} + \frac{1}{3} + \frac{1}{4} - \frac{1}{5} + \frac{1}{6} - \frac{1}{7} + \dots\right) \\ &= \frac{\sqrt{23}}{\pi} \left(\frac{3\pi}{\sqrt{23}}\right). \end{aligned}$$

Consequences.

(1) Since  $\left(\frac{d}{n}\right)$  is equally likely to be 0 or 1, expect  $h(d) = \frac{\sqrt{|d|}}{\pi}$  on average.

9.2.

Question: What is  $\sum_{-d \leq x} h(d)$  asymptotically?

Guess  $\sum_{-d \leq x} \frac{\sqrt{|d|}}{\pi} \sim \underbrace{\frac{3}{\pi^2}}_{\substack{\text{Proportion of} \\ d \text{ which are} \\ \text{fundamental}}} \int_0^x \frac{t^{1/2}}{\pi} dt = \frac{2}{\pi^3} x^{3/2}.$

This is not correct.

We also have

$$\sum_{-d \leq x} h(-d) = \# \{ (a, b, c) : \begin{array}{l} b^2 - 4ac \in [-x, 0], \\ \text{satisfy inequalities} \\ \text{for being reduced,} \\ b^2 - 4ac \text{ is fundamental} \end{array} \}$$

(2)  $L(1, \chi_d)$  is easy to bound from above, so we can prove  $h(d) \ll \sqrt{|d|} \log |d|$ .  
(Will prove this directly.)

(3)  $L(1, \chi_d) \neq 0$ .

This proves, e.g. half of primes are  $\equiv 1 \pmod{4}$   
half are  $\equiv 3 \pmod{4}$ .

Note. A similar formula holds for  $d > 0$  also. It is harder because there is a harder GON problem to solve. We will do this in detail.

9.3. Strategy of proof.

Hinges on the theorem that

$$r_D(n) = \sum_{m|n} \left( \frac{D}{m} \right).$$

Lemma. We have [explain " $p^{e_p} || n$ "]

$$\sum_{m|n} \left( \frac{D}{m} \right) = \prod_{p^{e_p} || n} \left( 1 + \left( \frac{D}{p} \right) + \left( \frac{D}{p^2} \right) + \dots + \left( \frac{D}{p^{e_p}} \right) \right).$$

Proof. Follow the right side.

In particular, if  $n$  is coprime to  $D$  and squarefree,

$$r_D(n) = \prod_{p|n} \left( 1 + \left( \frac{D}{p} \right) \right) = \begin{cases} 2^{w(n)} & \text{if } D \text{ is a residue} \\ & \text{mod } n \\ (w(n) : \# \text{ of dist prime divisors}) \\ 0 & \text{o/w.} \end{cases}$$

$$(8.6) = 9.4$$

GON and bounds on the class number.

~~Prop. If  $d < 0$  then~~ (A.G., p. 9)

$$\del{h(d)} \ll \sqrt{|d|} \log |d|.$$

~~Proof. The key identity is that, for a fixed form  $f = ax^2 + bxy + cy^2$ ,~~

$$\sum_{n \leq N} r_f(n) = \frac{1}{w} \sum_{\substack{x, y \in \mathbb{Z} \\ 0 < f(x, y) \leq N}} 1,$$

$$\text{where } w = \begin{cases} 2 & \text{if } \text{Disc}(f) < -4 \\ 4 & \text{if } \text{Disc}(f) = -4 \\ 6 & \text{if } \text{Disc}(f) = -3. \end{cases}$$

This is obvious. The proof is by storing it.

That said,  $w$  gives the number of equivalent representations by  $f$ , so you do need to prove that if  $g$  is a nontrivial automorphism of  $f$ , then  $g \begin{bmatrix} x \\ y \end{bmatrix} \neq \begin{bmatrix} x \\ y \end{bmatrix}$  for  $\begin{bmatrix} x \\ y \end{bmatrix} \neq \begin{bmatrix} 0 \\ 0 \end{bmatrix}$ .

$$\text{For } \text{Disc}(f) < -4, \text{Aut}(f) = \left\{ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} \right\}$$

So it's obvious.  
For  $\text{Disc}(f) = -4, -3$ , just check it.

Prop. If  $f$  is positive definite, then

$$\sum_{\substack{x, y \in \mathbb{Z} \\ 0 < f(x, y) \leq N}} 1 = \frac{2\pi N}{\sqrt{|D|}} + o(\sqrt{N}).$$

~~Now why is this interesting?~~

$$\sum_{\substack{f \text{ of disc } D \\ D}} \sum_{n \leq N} r_f(n) = h(D) \left( \frac{2\pi N}{\sqrt{|D|}} + o(\sqrt{N}) \right).$$



9.5.

Therefore, for any  $N$ ,

$$\sum_{n \leq N} r_D(n) = \sum_{\substack{f \\ f \text{ of disc } D}} \sum_{n \leq N} r_f(n) = h(D) \left( \frac{2\pi N}{w\sqrt{|D|}} + o(\sqrt{N}) \right).$$

Simultaneously,

$$\sum_{n \leq N} r_D(n) = \sum_{n \leq N} \sum_{m|n} \left( \frac{D}{m} \right) = \sum_{m \leq N} \left( \frac{D}{m} \right) \sum_{\substack{n \leq N \\ m|n}} 1$$

cheating!!!!  
come back and fix

$$\begin{aligned} &= \sum_{m \leq N} \left( \frac{D}{m} \right) \left\lfloor \frac{N}{m} \right\rfloor \\ &\sim \sum_{m \leq N} \left( \frac{D}{m} \right) \frac{N}{m} \end{aligned}$$

$$= N \cdot \sum_{m \leq N} \left( \frac{D}{m} \right) \cdot \frac{1}{m}.$$

Now, because  $\sum_m \left( \frac{D}{m} \right) \cdot \frac{1}{m}$  is convergent, this is

~~$$N \cdot \sum_{m \leq N} \left( \frac{D}{m} \right) \cdot \frac{1}{m}$$~~

$$N \cdot \left( L(1, \chi_D) + o(1) \right).$$

So,

$$\begin{aligned} N \left( L(1, \chi_D) + o(1) \right) &= h(D) \left( \frac{2\pi N}{w\sqrt{|D|}} + o(\sqrt{N}) \right) \\ &= N \left( \frac{2\pi h(D)}{w\sqrt{|D|}} + o(1) \right). \end{aligned}$$

$$\text{So, } L(1, \chi_D) = \frac{2\pi h(D)}{w\sqrt{|D|}}.$$

9.6. Being more careful:

For any  $A$  and  $B$  we have  $\left| \sum_{A < m \leq B} \left( \frac{D}{m} \right) \right| \leq |D|$ .

So, for any  $k$

$$\sum_{m \leq N} \left( \frac{D}{m} \right) \left\lfloor \frac{N}{m} \right\rfloor = \sum_{m \leq \frac{N}{K}} \left( \frac{D}{m} \right) \left\lfloor \frac{N}{m} \right\rfloor + \sum_{\frac{N}{K} < m \leq N} \left( \frac{D}{m} \right) \left\lfloor \frac{N}{m} \right\rfloor$$

$$= \sum_{m \leq \frac{N}{K}} \left( \frac{D}{m} \right) \cdot \frac{1}{m} + O\left(\frac{N}{K}\right) + \sum_{r=1}^K \sum_{\frac{N}{K} < m \leq \frac{N}{r}} \left( \frac{D}{m} \right)$$

$$= \sum_{m \leq \frac{N}{K}} \left( \frac{D}{m} \right) \cdot \frac{1}{m} + O\left(\frac{N}{K}\right) + O(K|D|)$$

Choose  $K = \sqrt{N/|D|}$ , get

$$\sum_{m \leq N} \left( \frac{D}{m} \right) \left\lfloor \frac{N}{m} \right\rfloor = \sum_{m \leq \frac{N}{K}} \left( \frac{D}{m} \right) \cdot \frac{1}{m} + O\left(\sqrt{N|D|}\right).$$

~~This is much smaller~~

This is still  $N \cdot (L(1, \chi_D) + o(1))$ .

## 10.1. Real quadratic ~~forms~~ forms

We are now interested in indefinite quadratic forms

$$ax^2 + bxy + cy^2, \quad D > 0.$$

Fact. If  $D > 0$  it is indefinite and has two real roots  $[x : y]$ .

(Do it by pure thought!)

Gauss. Any such form is equivalent to a reduced form satisfying

$$0 < \sqrt{D} - b < 2|a| < \sqrt{D} + b.$$

[Q. what word is missing?]

Cor. If  $D > 0$  then  $h(D)$  is finite:

Proof. We have  $b < \sqrt{D}$ , and  $|a| < 2\sqrt{D}$ .  
 $c$  is determined by  $a$  and  $b$ .

$$\text{So } h(D) < (\sqrt{D} + 1)(4\sqrt{D} + 1) < D.$$

Consider the roots  $p_1 = \frac{-b + \sqrt{D}}{2a}$ ,  $p_2 = \frac{-b - \sqrt{D}}{2a}$ .

One is between 0 and 1 and the other is less than  $-1$ .

## Reduction theory.

Def.  $ax^2 + bxy + cy^2$ ,  $cx^2 + b'xy + c'y^2$  are neighbors if they have the same discriminant and  $b \equiv -b' \pmod{2c}$ .

$$\text{In this case, } cx^2 + b'xy + c'y^2 = (ax^2 + bxy + cy^2) \begin{bmatrix} 0 & -1 \\ 1 & \frac{b+b'}{2c} \end{bmatrix}.$$

10.2.

So, given  $ax^2 + bxy + cy^2$ .

Let  $b'_0$  be the least residue in absolute value of  $-b \pmod{2c}$  with  $|b'_0| \leq c$ .

\* If  $|b'_0| > \sqrt{d}$  then let  $b' = b'_0$ .

We have  $0 < (b')^2 - d \leq c^2 - d$ ,

so  $|c'| = \frac{(b')^2 - d}{4|c|} < \frac{|c|}{4}$ . (Decreased  $|c|$ )

\* If  $|b'_0| < \sqrt{d}$ , choose  $b' \equiv -b \pmod{2c}$  w/  $b'$  as large as possible s.t.  $|b'| < \sqrt{d}$ .

We have  $-d \leq (b')^2 - d = 4cc' < 0$ .

If  $2|c| > \sqrt{d}$  then  $|c'| \leq \left| \frac{d}{4c} \right| < |c|$ .

In case we ~~hit none of the 2 cases~~  $2|c| \leq \sqrt{d}$ :

$\sqrt{d} \geq 2|c|$  and  $\sqrt{d} - 2|c| < |b'| < \sqrt{d}$ .

So:  $0 < \sqrt{d} - |b'| < 2|c| < \sqrt{d} + |b'|$ .

Idea: Kept reducing  $a$  and  $c$  until we got smth reduced.

Note, Don't have uniqueness, get a cycle (see Granville).

10.3.

The automorphs.

Def. Pell's equation is  $v^2 - Dw^2 = \pm 4$ .

Note, if  $D$  is even, so is  $v$ , can rewrite

$$\left(\frac{v}{2}\right)^2 - \left(\frac{D}{4}\right)w^2 = \pm 1.$$

Example. Let  $D = 8$ .  $v^2 - 8w^2 = \pm 4$ .

A solution is  $v = 2, w = 1$ .

Rewrite this as  $(v')^2 - 2w^2 = \pm 1$  with  $v' = \frac{v}{2}$ ,

$$[v' - \sqrt{2}w][v' + \sqrt{2}w] = \pm 1.$$

$$(1 - \sqrt{2})(1 + \sqrt{2}) = 1,$$

and  $(1 - \sqrt{2})^k (1 + \sqrt{2})^k = 1$  for any  $k$ .

Thm. Pell's equation has a solution in  $\mathbb{Z}$ .

Cor. It has infinitely many.

Case 1.  $4 \mid D$ . As above.  $(v')^2 - \frac{D}{4}w^2 = \pm 1$

$$(v' - \frac{\sqrt{D}}{2}w)(v' + \frac{\sqrt{D}}{2}w)$$

Take  $k$ th powers to get inf. many solutions.

Case 2.  $4 \nmid D$ . Write  $(v')^2 - D(w')^2 = \pm 1$  with  
 $v' = \frac{v}{2}, w' = \frac{w}{2}$

both half integers.

Either both or neither are in  $\mathbb{Z}$ .

If integers, do as above.

If half,

$$(v' + \sqrt{D}w')^2 = [v'^2 + Dw'^2] + \sqrt{D} \cdot 2v'w'.$$

Check: Because  $D \equiv 1 \pmod{4}$ ,  
both of above are half integers  
(not  $\frac{1}{4}$ -integers).

10.4. Exercise. The automorphisms of a form are all

given by 
$$\begin{bmatrix} \frac{1}{2}(+ - bu) & -cu \\ au & \frac{1}{2}(+ + bu) \end{bmatrix}$$

with  $+^2 - du^2 = +4$ . ( $-4$  gives  $\det = -1$ .)

Simple exercise. Check that this gives an automorphism, and that squaring this matrix preserves this property.

Better exercise. Factor  $ax^2 + bxy + cy^2 = a(x - \theta y)(x - \theta' y)$ ,

$$\theta = \frac{-b + \sqrt{D}}{2a},$$

and check that our automorph corresponds to

$$x' - \theta y' = \frac{1}{2}(+ - u\sqrt{D})(x' - \theta' y')$$

$$x' + \theta y' = \frac{1}{2}(+ + u\sqrt{D})(x' + \theta' y').$$

Definitions.

The fundamental unit  $\epsilon_D := \frac{u_0 + \sqrt{D} w_0}{2}$  is the minimal such expression ~~of~~ which is  $> 1$  and of norm  $\pm 1$ .

Here the norm is  $\epsilon_D \cdot \overline{\epsilon_D} = \frac{u_0^2 - D w_0^2}{4}$ .

So corresponds to Pell's equation.

Prop. All solutions are  $\pm \epsilon_D^k$ .

Def. ~~Let~~ Let  $\epsilon_D^+$  be the smallest unit  $> 1$  with norm 1.

So,  $\epsilon_D^+ = \epsilon_D$  or  $\epsilon_D^2$ , depending on whether  $N(\epsilon_D) = 1$  or  $-1$ .

10.5.

Consider the expression  $\left| \frac{x - \theta y}{x - \theta' y} \right|$  for given  $x$  and  $y$ .

If we change variables,  $\begin{bmatrix} x' \\ y' \end{bmatrix} = g \cdot \begin{bmatrix} x \\ y \end{bmatrix}$ , then

$$\left| \frac{x' - \theta y'}{x' - \theta' y'} \right| = \left| \frac{(\varepsilon_D^+)^k (x - \theta y)}{(\varepsilon_D^+)^{-k} (x - \theta' y)} \right| = (\varepsilon_D^+)^k \cdot \left| \frac{x - \theta y}{x - \theta' y} \right|.$$

Therefore, There is a unique  $k$  for which this quantity is between 1 and  $(\varepsilon_D^+)^2$ .

Choose where  $x - \theta y > 0$  (by replacing  $x, y$  with  $-x, -y$  if nec.)

So: We want to count  $\sum_{n \in N} r_D(n)$ .

This is still equal to  $N \cdot (L(1, \chi_D) + o(1))$

for the same reason as before.

So we need to count, for each fixed QF  $ax^2 + bxy + cy^2$ , how many integer points  $(x, y)$  there are with:

$$0 < ax^2 + bxy + cy^2 \leq N,$$

$$x - \theta y > 0,$$

$$\left| \frac{x - \theta y}{x - \theta' y} \right| \in [1, (\varepsilon_D^+)^2).$$

Counting lattice points in a hyperbola.

E1.1.

Def. A quadratic field is

$$\mathbb{Q}(\sqrt{d}) = \{a + b\sqrt{d} : a, b \in \mathbb{Q}\}.$$

~~the ring~~

Its ring of integers is

$$\mathcal{O} = \begin{cases} a + b\sqrt{d} : a, b \in \mathbb{Z} & \text{if } d \equiv 2, 3 \pmod{4} \\ \frac{a + b\sqrt{d}}{2} : a, b \text{ same parity} & \text{" } d \equiv 1 \pmod{4} \end{cases}.$$

It is:

$$\mathcal{O} = \left\{ x \in \mathbb{Q}(\sqrt{d}) : x \text{ satisfies a monic poly. with coeffs in } \mathbb{Z} \right\}$$

= maximal f.g. subring of  $\mathbb{Q}(\sqrt{d})$ .

$$\text{Its discriminant is } (\text{Tr}(e_i e_j)) = \det \begin{vmatrix} 1 & \sqrt{d} \\ 1 & -\sqrt{d} \end{vmatrix}^2 = 4d$$

$$\text{or } \det \begin{vmatrix} 1 & \frac{1+\sqrt{d}}{2} \\ 1 & \frac{1-\sqrt{d}}{2} \end{vmatrix} = \sqrt{d}$$

for squarefree  $d$ ,

as above,

$$\text{So } \Delta(\mathcal{O}) = \text{Disc}(\mathbb{Q}(\sqrt{d})) = \begin{cases} d & \text{if } d \equiv 1 \pmod{4} \\ 4d & \text{if } d \equiv 2, 3 \pmod{4} \end{cases}.$$

Prop. The set of quadratic fields is in bijection with the set of fundamental discriminants, other than 1.

Notation. Let  $K$  be a  $\mathbb{Q}$ -f and  $\mathcal{O}$  its ring of integers.

Thm.  $\mathcal{O}$  admits unique factorization of ideals into prime ideals.

If  $p$  is a prime of  $\mathbb{Q}$ , then  $p\mathcal{O}_K$  is:

prime in  $\mathcal{O}$  (inert)

$p \cdot \bar{p}$  in  $\mathcal{O}$  (split)

or  $p^2$  in  $\mathcal{O}$ . (ramified)



E1.2.

Def. A fractional ideal of  $\mathcal{O}$  is <sup>f.g.</sup> an  $\mathcal{O}$ -submodule of  $K$ .

It is principal if it is  $x \cdot \mathcal{O}$  for some  $x \in K$ .

Both are groups under multiplication,  $I(K)$  and  $P(K)$ .

Def. The class group  $Cl(K) := I(K) / P(K)$ .

Units. Let  $\mathcal{O}^\times$  be the group of units.

$$\text{Then } |\mathcal{O}^\times| = \begin{cases} 6 & \text{if } K = \mathbb{Q}(\sqrt{-3}) \\ 4 & \text{if } K = \mathbb{Q}(\sqrt{-4}) \\ 2 & \text{if } K = \mathbb{Q}(\sqrt{D}), D < -4 \\ \text{infinite} & \text{if } D > 0. \end{cases}$$

Theorem. If  $K$  is a (the) quadratic field of discriminant  $D$ , then

$$Cl(K) \cong Cl(D).$$

Proof. (Sketch. See Cox, 5.30, 7.7)

Construct a map

BQFs  $\longrightarrow$  Ideals of  $\mathcal{O}$ :

$$ax^2 + bxy + cy^2 \longrightarrow \left[ a, \frac{-b + \sqrt{D}}{2} \right] \\ = a \cdot \left[ 1, \frac{-b + \sqrt{D}}{2a} \right].$$

In other words:

$$a(x + \theta y)(x + \theta' y) \longrightarrow a[1, \theta].$$

Ex. 3.

Now, let  $\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$  act on  $ax^2 + bxy + cy^2$ .

$$\text{Get } a([ \alpha x + \beta y ] + [ \gamma x + \delta y ] \theta) \cdot \text{conj}.$$

$$= a([ \alpha + \gamma \theta ] x + [ \beta + \delta \theta ] y) \cdot \text{conj}.$$

$$= a \cdot (\alpha + \gamma \theta) \left( x + \frac{\beta + \delta \theta}{\alpha + \gamma \theta} y \right) \cdot \text{conj}.$$

$$\text{So maps to } a \cdot (\alpha + \gamma \theta) \left[ 1, \frac{\beta + \delta \theta}{\alpha + \gamma \theta} \right]$$

$$= a \left[ \alpha + \gamma \theta, \cancel{\alpha \beta} + \delta \theta \right].$$

$$= a \cdot [1, \theta] \begin{bmatrix} \alpha & \beta \\ \gamma & \delta \end{bmatrix}.$$

We wrote an ideal of  $\mathcal{O}$  in terms of its  $\mathbb{K}$ -basis which we simply permuted.

So it's well defined.

You can go backwards too, so injective.

Why is it surjective? Given  $[\alpha, \beta]$  for some  $\alpha, \beta \in K$ ,  
wlog  $\tau := \frac{\beta}{\alpha}$  is in  $\mathbb{H}$ .

Then  $[\alpha, \beta] \sim [1, \tau]$  in  $Cl(K)$ .

Let  $ax^2 + bx + c$  be min poly of  $\tau$ .

Check: This maps to it.

E1.4. Corollary.  $Cl(D)$  is a group.

As Dirichlet discovered, if  $f(x,y) = ax^2 + bxy + cy^2$   
 $g(x,y) = a'x^2 + b'xy + c'y^2$

with  $\gcd(a, a', \frac{b+b'}{2}) = 1$

both of disc  $D$ , then their composition is

$$aa'x^2 + Bxy + \frac{B^2 - D}{4aa'}y^2,$$

where  $B$  is the unique integer (mod  $2aa'$ ) with

$$B \equiv b \pmod{2a}$$

$$B \equiv b' \pmod{2a'}$$

$$B^2 \equiv D \pmod{4aa'}.$$

Proof. Multiply ideals!

Claim. If  $f$  is a form of disc  $D$ , then

$$\mathbb{A}_f \mathbb{A}_g \cong \mathcal{O}^\times.$$

Proof. Let  $\frac{u+v\sqrt{d}}{2}$  be a unit, with  $\left(\frac{u+v\sqrt{d}}{2}\right)\left(\frac{u-v\sqrt{d}}{2}\right) = 1$ .  
(Similar if  $-1$ .)

$$ax^2 + bxy + cy^2 = a(x + \theta y)(x + \theta' y)$$

$$= a \underbrace{\left(\frac{u+v\sqrt{d}}{2}\right)}_{\text{FOLL.}} (x + \theta y) \left(\frac{u-v\sqrt{d}}{2}\right) (x + \theta' y)$$

FOLL.  
Get a change of  
variables.

El.5. The zeta function.

Def. If  $\mathfrak{a}$  is an (integral) ideal then  $N(\mathfrak{a}) = [\mathcal{O} : \mathfrak{a}]$ .

If  $\mathfrak{a} = (a)$  then  $N(\mathfrak{a}) = N(a)$ .

Def. If  $\mathcal{O}$  is the ring of integers of (any) number field  $K$  then its Dedekind zeta function is

$$\zeta_K(s) = \sum_{\mathfrak{a} \neq 0} (N\mathfrak{a})^{-s} = \prod_{\mathfrak{p}} (1 + (N\mathfrak{p})^{-s} + (N\mathfrak{p})^{-2s} + \dots) \\ = \prod_{\mathfrak{p}} (1 - (N\mathfrak{p})^{-s})^{-1}.$$

Ex. If  $K = \mathbb{Q}$  then  $\zeta_K(s) = \zeta(s)$ .

Ex.  $\mathbb{Z}[i]$  is a PID, with unit group 4, so

$$\zeta_{\mathbb{Z}[i]}(s) = \frac{1}{4} \sum_{\substack{(x,y) \neq \\ (0,0)}} (x^2 + y^2)^{-s}.$$

Prop. For any number field  $K$  we have

$$\zeta_K(s) = \zeta(s) \cdot L(s, \chi_D).$$

Proof. For each prime  $p$ , RHS is:  $(1 - p^{-s})^{-2}$  if  $p$  splits  
 $(1 - p^{-s})^{-1}$  if  $\left(\frac{D}{p}\right) = 1$  (if ~~inert~~ ramified)  
 $(1 - p^{-2s})^{-1}$  if inert.

Implies: # of ideals of norm  $n$  is

$$\sum_{d \cdot e = n} 1 \cdot \left(\frac{D}{e}\right) = \sum_{e|n} \left(\frac{D}{e}\right),$$

i.e. # of inequivalent representations.

We recognize this now!