

Distribution of ranks of elliptic curves

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Goldfeld's Conjecture

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Questions:

- ▶ What are “half of all elliptic curves?”
- ▶ Why would we believe such a claim?

Ranks in families

- Understand distribution of ranks of elliptic curves.

Ranks in families

- ▶ Understand distribution of ranks of elliptic curves.
- ▶ If we write down some list E_1, E_2, \dots , we want to show

$$\sum_{i \leq X} \text{rk } E_i \sim f(X)$$

$$\{i \leq X : \text{rk } E_i = r\} \sim g(X)$$

for suitable functions f, g .

Natural Questions

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- ▶ What do we expect, and what can we prove?
- ▶ What about quantities related to the rank?
(i.e., analytic rank, the parity, Selmer ranks, etc.)

Families of curves: quadratic twists

Let

$$E : y^2 = x^3 + ax + b$$

be an elliptic curve. The *D-quadratic twist* of E is

$$E(D) : Dy^2 = x^3 + ax + b$$

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- ▶ will not include all elliptic curves, but...
- ▶ is accessible for reasons we'll see.

Height and conductor

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Can we prove results ordering by height or conductor?

Some anyway... (we won't concentrate on these)

Algebraic families

Let $a_1, a_2, a_3, a_4, a_6 \in \mathbb{Z}[t]$. Consider

$$y^2 + a_1(t)xy + a_3(t)y = x^3 + a_2(t)x^2 + a_4(t)x + a_6(t).$$

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If you know a lot of algebraic geometry, you can get results.

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- ▶ Analytic ranks and parity
- ▶ p -ranks and Selmer groups.

Analytic rank

Definition

If E is an elliptic curve over \mathbb{Q} and $L(E, s)$ is the associated L -function, then the *analytic rank* of E is

$$\text{ord}_{s=1} L(E, s).$$

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Conjecture (Birch and Swinnerton-Dyer)

$$\text{rk } E = \text{ord}_{s=1} L(E, s).$$

Parities of analytic ranks

Theorem

Given an elliptic curve E with conductor $N(E)$. Assume D is a fundamental discriminant. Then the analytic ranks of E and $E(D)$ have the same parity if and only if $\left(\frac{D}{-N}\right) = 1$.

Analytic ranks and parity

Proof:

If the L -series of E is

$$L(E, s) = \sum_n a_n n^{-s},$$

then we have

$$L(E(D), s) = \sum_n a_n \left(\frac{D}{n}\right) n^{-s}.$$

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How to compute a_p ? Look for solutions in \mathbb{F}_p .

Is D is a square in \mathbb{F}_p ?

The root number

Our L -series have functional equations: Write

$$\Lambda(E, s) = L(E, s)(\sqrt{N}/2\pi)^{-s}\Gamma(s)$$

then

$$\Lambda(E, s) = \Lambda(E, 2 - s)\omega(E).$$

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The *root number* $\omega(E) = \pm 1$ determines the parity.
By the theory of modular forms,

$$\omega(E) = \omega(E(D))\left(\frac{D}{-N}\right).$$

So, quadratic twists are split evenly between even and odd analytic rank.

The Kummer exact sequence

The *Kummer exact sequence* is

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So

$$\mathrm{rk}(E) + \mathrm{rk}_p(\mathrm{Tor}(E)) = \mathrm{rk}_p S_p(E) - \mathrm{rk}_p Sha[p].$$

The Kummer exact sequence (cont.)

Theorem

(Mazur). E doesn't have much p -torsion, and if $p \geq 11$ it has none at all.

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Theorem

(Cassels, Tate [AEC X.4.14]) If the Shafarevich-Tate group is finite then its order is a square.

The Katz-Sarnak Philosophy

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Big Idea: These distributions can be modeled by the theory of random matrices.

What is a random matrix?

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It is a *compact Lie group*, and therefore it has a *Haar measure* μ satisfying

- ▶ $\mu(SO(N)) = 1$,
- ▶ $\mu(X) = \mu(gX) = \mu(Xg)$ for any subset X and element g .

We think of μ as a *probability measure* on $SO(N)$.

A probability measure on $SO(N)$

A probability measure on $O(N)$ ($U(N)$, $Sp(N)$, etc.) lets us talk about:

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A probability measure on $O(N)$ ($U(N)$, $Sp(N)$, etc.) lets us talk about:

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- ▶ Moments of characteristic polynomials
- ▶ Etc.

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Analogy between number fields and function fields.

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These monodromy groups are related to statistics of the zeta functions.

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Wild Speculation

All of the above is true for number fields too.

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All of the above is true for number fields too.

Work of Katz-Sarnak, Rubinstein, and others uses this assumption to make predictions.

Ranks of elliptic curves

Conjecture

The values of $L(E(D), 1)$ as D varies are given by an orthogonal distribution.

In particular the L -values shouldn't be zero more often than they have to be.

Goldfeld's Conjecture

Conjecture (Goldfeld)

Fix any elliptic curve E/\mathbb{Q} . Then the sets of fundamental discriminants D for which the rank of $E(D)$ is 0 and 1 have density $1/2$ each.

In other words, elliptic curves usually have the smallest rank possible.

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Is it true? See some data to the contrary compiled by Bektemirov, Mazur, Stein, and Watkins.

Conjecture for rank 2

Let

$$N_E(X) = \#\{|D| \leq X : \text{rk } E(D) \geq 2, \text{ even}\}.$$

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Conjecture (Conrey, Keating, Rubinstein, Snaith)

$$N'_E(X) \sim C_E X^{3/4} \log^{-5/8} X.$$

The power of log is complicated. So let's get the $3/4$.

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Theorem (Waldspurger, Shimura, Kohnen-Zagier)

$$L(E(D), 1) = \kappa_E c_E (|D|)^2 / \sqrt{D},$$

where the c_E are the *integer valued* coefficients of a certain half-integral weight modular form.

Ramanujan conjecture: $c_E(|D|) \ll |D|^{1/4+\epsilon}$.

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$L(E(D), 1)$ vanishes iff the Fourier coefficient $c_E(|D|)$ does.

If $c_E(|D|)$ can be as large as $|D|^{1/4}$, assume roughly equal distribution.

Then approximately $X^{3/4}$ of the $c_E(|D|)$ will be zero.

The congruent number curve

The *congruent number elliptic curve* is

$$E : y^2 = x^3 - x$$

and its D -quadratic twist is

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For Heath-Brown's theorem, restrict to odd D .

Notation for Heath-Brown's theorem

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Here

- ▶ $S_2(E(D))$ is the 2-Selmer group,
- ▶ We add 2 to $s(D)$ because of the 2-torsion.

Heath-Brown's Theorem

Theorem

For any integer $r \geq 0$, the set of quadratic twists $E(D)$ with D odd and $s(D) = r$ has density

$$2^r \delta(r, D) \prod_{n \geq 0} (1 - 2^{-2n-1}) \prod_{j=1}^r (2^j - 1)^{-1}.$$

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$\delta(r, D)$ is 1 for r even and $D \equiv 1, 3 \pmod{8}$, or for r odd and $D \equiv 5, 7 \pmod{8}$, and $\delta(r, D) = 0$ otherwise.

Heath-Brown's Theorem (cont.)

Corollary

The density of curves considered with rank r has the above upper bound.

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The proof of the theorem follows by computing

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and (for $k \geq 2$)

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Remember, $2^{s(D)} = \frac{1}{4} \#|S_2(E(D))|$.

Computation of $\sum_D 2^{s(d)}$

By classical 2-descent theory, rational points on $E(D)$ correspond to systems

$$D_1X^2 + D_4W^2 = D_2Y^2, \quad D_1X^2 - D_4W^2 = D_3Z^2$$

with integer solutions.

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This depends on whether certain quantities are squares mod p or not. [So we get to estimate character sums!](#)

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A character sum

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$$g(F) := \left(\frac{-1}{D_{12} D_{14} D_{23} D_{21}} \right) \left(\frac{2}{D_{24} D_{21} D_{34} D_{41}} \right) \prod_{\substack{i,j \neq 0 \\ k \neq i,j,l}} 4^{-\omega(D_{i0}) - \omega(D_{ij})} \left(\frac{D_{kl}}{D_{ij}} \right).$$

Another character sum

The sum $\sum_D 2^{ks(D)}$ is even worse.

Constructive results

The idea: prove lower bounds by constructing a family of curves of a certain rank.

A Lower Bound for Rank 2

As before let

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Theorem (Gouvêa-Mazur)

For any E/\mathbb{Q} ,

$$N_E(X) \gg X^{1/2-\epsilon}.$$

Proof of Gouvêa-Mazur

If our curve is

$$E : y^2 = ax^3 + bx^2 + cx + d$$

write

$$F(u, v) = v(u^3 + au^2v + buv^2 + cv^3).$$

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$$(u/v, 1/v^2) \in E(F(u, v))(\mathbb{Q}).$$

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By construction,

$$(u/v, 1/v^2) \in E(F(u, v))(\mathbb{Q}).$$

With only finitely many exceptions, *not a torsion point!*

Proof of Gouvêa-Mazur, cont.

Recall the parity principle as applied to root numbers:

$$\omega(E) = \omega(E(D)) \left(\frac{D}{-N} \right).$$

By work of Cassels, etc., the parities of the algebraic ranks will be even in this case too.

Proof of Gouvêa-Mazur, continued

Thus, $E(D)$ will have even rank ≥ 2 whenever

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Proof of Gouvêa-Mazur, continued

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- ▶ D is squarefree

Proof of Gouvêa-Mazur, continued

Thus, $E(D)$ will have even rank ≥ 2 whenever

- ▶ $\left(\frac{D}{-N}\right) = 1$ (or -1 in case E has even rank)
- ▶ D is squarefree
- ▶ $D = F(u, v)$ for some u and v .

The result follows by sieve methods.

Ono-Skinner's lower bound for rank 0

Write

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Theorem (Ono-Skinner)

We have

$$N_{0,E}(X) \gg X / \log X.$$

There are additional related results due to Ono.

Sketch proof of Ono-Skinner

Recall the formula of Waldspurger:

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The c_E are Fourier coefficients of a weight $3/2$ modular form.

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The c_E are Fourier coefficients of a weight $3/2$ modular form. We know that

$$L(E(D), 1) \neq 0 \rightarrow \text{rk } E = 0$$

and so can look for nonvanishing Fourier coefficients.

Sketch proof of Ono-Skinner (cont.)

- ▶ Multiply by an appropriate theta function to get an integer weight modular form F .

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Sketch proof of Ono-Skinner (cont.)

- ▶ Multiply by an appropriate theta function to get an integer weight modular form F .
- ▶ Associate a Galois representation ρ to F using work of Deligne and Serre.
- ▶ Find *some* nonzero Fourier coefficient using work of Friedberg and Hoffstein.
- ▶ Use surjectivity properties of ρ and Chebotarev Density to prove a lower bound for nonvanishing modulo a prime.