

# DOAB PRISM Service

Manual, version 23 March 2022

## Table of contents

|     |  |    |
|-----|--|----|
| 1   | DOAB Peer Review Information Service for Monographs (PRISM) - Overview ..... | 3  |
| 1.1 | Introduction.....  | 3  |
| 1.2 | Procedure overview .....   | 3  |
| 1.3 | Prerequisites.....   | 4  |
| 2   | Create a new Peer Review.....  | 5  |
| 2.1 | Submit a new Peer Review .....   | 5  |
| 2.2 | Workflow management.....   | 8  |
| 2.3 | Create new peer reviewed books / chapters .....                              | 11 |
| 3   | Peer review API .....  | 16 |
| 3.1 | Example queries: .....   | 17 |

# 1 DOAB Peer Review Information Service for Monographs (PRISM) - Overview

## 1.1 Introduction

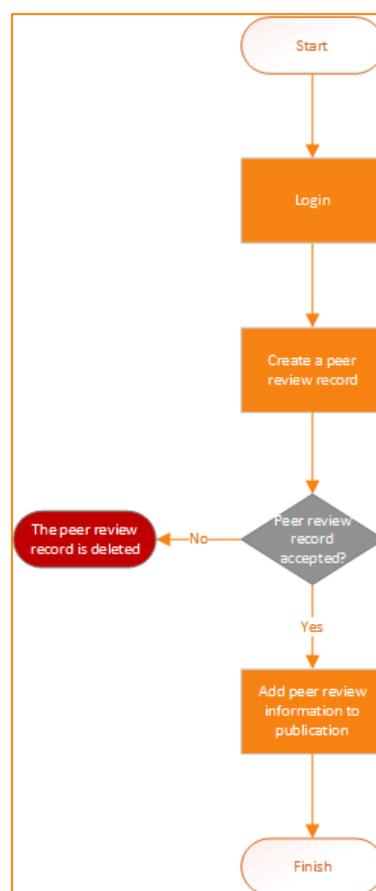
The Peer Review Information Service for Monographs (PRISM) is offered to publishers who have registered with Directory of Open Access Books (DOAB), and is provided by DOAB Foundation on behalf of the OPERAS community.

PRISM aims to provide information from open access (OA) book publishers, based on their publishing practices, in particular their peer review procedure. The service is intended to enable publishers to provide information at both publisher level and individual publication level. The goal of the service is to support trust in OA book publishing, by improving transparency around quality assurance of OA book publishers and their publications.

## 1.2 Procedure overview

The procedure is as follows:

1. The publisher creates one or more peer review descriptions, using the peer review form.
2. The publisher submits the form.
3. The created peer review forms are assessed by the DOAB administrator.
  - a. If the form is accepted, the publisher can use this form to add peer review information to a publication
  - b. If the form is not accepted, the document will be deleted.
4. When the peer review form is accepted, the publisher can create a new version of the publication record in DOAB and select the peer review form.
5. The publisher can create multiple peer review forms, to describe different procedures. However, it is not possible to add more than one peer review form to a publication.



### 1.3 Prerequisites

Users who are recognized as staff for a registered publisher can create a new peer review form ("Peer Review") for the corresponding Publisher record. The mapping between users, publishers and peer reviews is made based on the group the user belongs to.

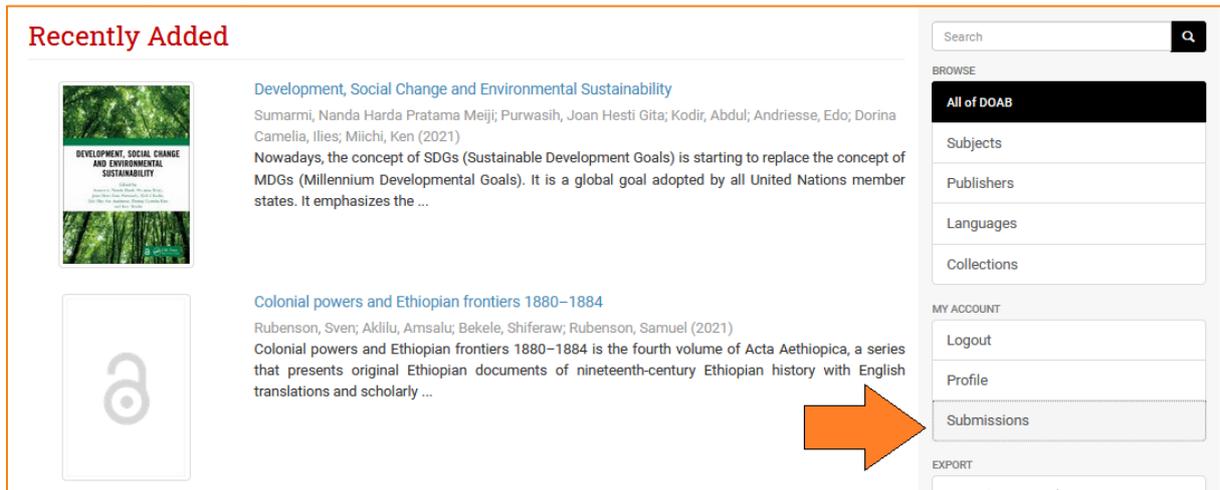
Administrators can't create a Peer Review, as the system wouldn't know which publisher the Peer Review would need to be attached to. Administrators can impersonate other users, should they ever need to create a Peer Review for a publisher.

## 2 Create a new Peer Review

Applying for a Peer Review can be made by going to the “Submission” page, starting a new submission, and selecting the collection “Peer Review” (it will only display for users actually authorized to submit a Peer Review).

### 2.1 Submit a new Peer Review

The first step is to open the Submissions menu



**Recently Added**

**Development, Social Change and Environmental Sustainability**  
 Sumarmi, Nanda Harda Pratama Meiji; Purwasih, Joan Hesti Gita; Kodir, Abdul; Andriesse, Edo; Dorina Camelia, Ilies; Miichi, Ken (2021)  
 Nowadays, the concept of SDGs (Sustainable Development Goals) is starting to replace the concept of MDGs (Millennium Developmental Goals). It is a global goal adopted by all United Nations member states. It emphasizes the ...

**Colonial powers and Ethiopian frontiers 1880–1884**  
 Rubenson, Sven; Akiflu, Amsalu; Bekele, Shiferaw; Rubenson, Samuel (2021)  
 Colonial powers and Ethiopian frontiers 1880–1884 is the fourth volume of Acta Aethiopica, a series that presents original Ethiopian documents of nineteenth-century Ethiopian history with English translations and scholarly ...

**BROWSE**

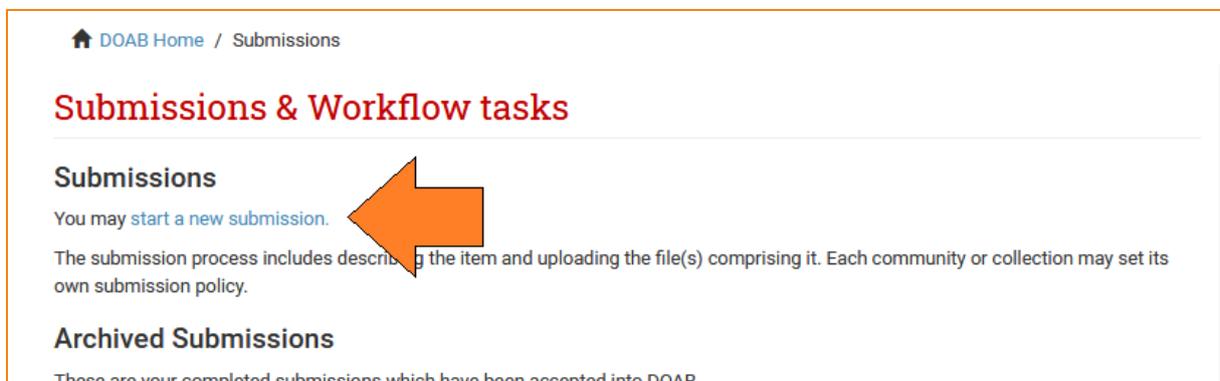
- All of DOAB
- Subjects
- Publishers
- Languages
- Collections

**MY ACCOUNT**

- Logout
- Profile
- Submissions

**EXPORT**

Step 2 is to start a new submission



[DOAB Home](#) / Submissions

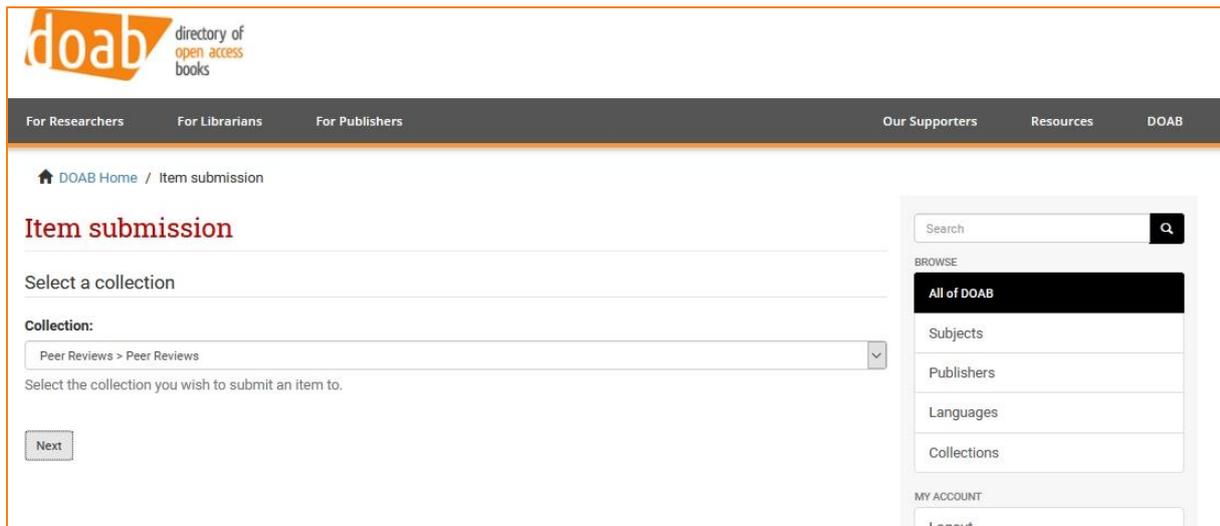
## Submissions & Workflow tasks

**Submissions**  
 You may [start a new submission](#).

The submission process includes describing the item and uploading the file(s) comprising it. Each community or collection may set its own submission policy.

**Archived Submissions**  
 These are your completed submissions which have been accepted into DOAB.

Step 3 is to select the correct 'collection'.



The screenshot shows the DOAB 'Item submission' page. At the top left is the DOAB logo and 'directory of open access books'. A navigation bar contains links for 'For Researchers', 'For Librarians', 'For Publishers', 'Our Supporters', 'Resources', and 'DOAB'. Below the navigation bar is a breadcrumb trail: 'DOAB Home / Item submission'. The main heading is 'Item submission'. Below this is a form with the label 'Select a collection'. Underneath, there is a 'Collection:' dropdown menu currently showing 'Peer Reviews > Peer Reviews'. A note below the dropdown says 'Select the collection you wish to submit an item to.' and a 'Next' button is positioned below the form. On the right side, there is a search bar and a 'BROWSE' menu with options: 'All of DOAB' (highlighted), 'Subjects', 'Publishers', 'Languages', and 'Collections'. Below the browse menu is a 'MY ACCOUNT' section with a 'Logout' link.

After selecting the collection "Peer reviews", the form displays, all fields are mandatory. Use the field title to name the peer review procedure.

## Item submission

Describe
Review
Complete

### Describe Item

---

**Title: \***  
The title for the peer review (in order to differentiate between peer reviews).

**Review decision: \***  
Is the document peer reviewed?

Yes  
 No

**Review type: \***  
What is being reviewed?

Proposal  
 Full text  
 Section

**Anonymity: \***  
What is the level of openness?

Double-blind  
 Single-blind  
 All identities known

**Reviewer type: \***  
Who conducts the review?

Internal editor  
 Editorial board member  
 External peer reviewer  
 Crowd/open review

**Review stage: \***  
At what stage is the peer review being conducted?

Pre-publication  
 Post-publication

**Open review: \***  
Are the review comments published?

Yes  
 No

**Publish responsibility: \***  
Who takes the decision to publish?

Publisher  
 Books or series editor  
 Scientific or Editorial Board

Save & Exit
Next >

Q

Search DOAB  
 This Collection

**BROWSE**

- All of DOAB
- Subjects
- Publishers
- Languages
- Collections

**MY ACCOUNT**

- Logout
- Profile
- Submissions

**EXPORT**

- Repository metadata

Clicking "Next" shows a recap of the data for confirmation, and then the Peer Review item is submitted for review.

## 2.2 Workflow management

The DOAB administrators review the created PR information. DOAB administrators can find Peer Review submission requests in their own "Submissions" page.

### Workflow tasks

These tasks are items that are awaiting approval before they are added to the repository. There are two task queues, one for tasks which you have chosen to accept and another for tasks which have not been taken by anyone yet.

Tasks you own

| Task                         | Item | Collection | Submitter |
|------------------------------|------|------------|-----------|
| No tasks are assigned to you |      |            |           |

Tasks in the pool

| Task  | Item  | Collection       | Submitter         |
|---|---|------------------|-------------------|
| <input type="checkbox"/> Claim Peer Review Task   | <i>Untitled</i>                             | Peer Reviews     | email:Benoît Wéry |
| <input type="checkbox"/> Claim Accept/Reject Task | Indiana University Press                    | Local publishers | email:atmire nv   |
| <input type="checkbox"/> Claim Accept/Reject Task | Concordia University Press                  | Local publishers | email:atmire nv   |
| <input type="checkbox"/> Claim Accept/Reject Task | EMC Imprint at the UCSB Early Modern Center | Local publishers | email:atmire nv   |
| <input type="checkbox"/> Claim Accept/Reject Task | Universidad Nacional de Cuyo                | Local publishers | email:atmire nv   |
| <input type="checkbox"/> Claim Accept/Reject Task | High Rate Consulting, Corp                  | Local publishers | email:atmire nv   |
| <input type="checkbox"/> Claim Accept/Reject Task | Hybrid Publishing Consortium                | Local publishers | email:atmire nv   |

Each administrator can select the task in the pool, and "Take" it in its own tasks pool.

### Workflow tasks

These tasks are items that are awaiting approval before they are added to the repository. There are two task queues, one for tasks which you have chosen to accept and another for tasks which have not been taken by anyone yet.

Tasks you own

| Task  | Item            | Collection   | Submitter         |
|---|-----------------|--------------|-------------------|
| <input type="checkbox"/> Peer Review Task  | <i>Untitled</i> | Peer Reviews | email:Benoît Wéry |

Return selected tasks to the pool

Clicking the actual task will take the administrator to the actual workflow review. The first part of the view shows the data that was submitted. The second part enables the administrator to accept or reject the PR.

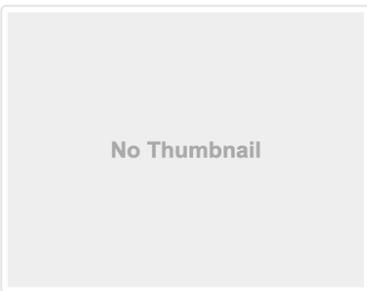
## Perform task: Accept/Reject item

---

### Item submission

## Untitled

---



**Review decision**  
Yes

**Review type**  
Full text; Section

**Anonymity**  
Single-blind

**Reviewer type**  
Editorial board member; Crowd/open review

**Review stage**  
Pre-publication

**Open review**  
No

**Publish responsibility**  
Publisher

### Actions you may perform on this task:

If you have reviewed the item and it is suitable for inclusion on the corresponding publisher, select "Approve".

If you have reviewed the item and found it is **not** suitable for inclusion on the corresponding publisher, select "Reject".

- ✔ When the item is accepted in the workflow, it will be attached as a xml file to the submitters corresponding publisher item. (The original item that was used during the submission is then subsequently deleted)
- ✘ When the item rejected, it will be deleted and the submitter will be notified. (Please note that this differentiates from other 'reject' steps in DSpace, where normally, the actual item is kept, and simply sent back to the users' workspace)

## Amsterdam University Press peer review certified by doab

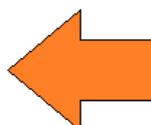
Netherlands



Book License

Website  
[www.aup.nl](http://www.aup.nl)

[Show full item record](#)



### URI

<https://directory.doabooks.org/handle/20.500.12854/25305>

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## Amsterdam University Press peer review certified by doab

Netherlands

|                                    |   |
|------------------------------------|---|
| <b>dc.date.accessioned</b>         | 2021-01-25T07:49:42Z  |
| <b>dc.date.available</b>           | 2021-01-25T07:49:42Z  |
| <b>dc.identifier.uri</b>           | <a href="https://directory.doabooks.org/handle/20.500.12854/25305">https://directory.doabooks.org/handle/20.500.12854/25305</a>   |
| <b>dc.description.provenance</b>   | Made available in DSpace on 2021-01-25T07:49:42Z (GMT). No. of bitstreams: 0 en   |
| <b>dc.type</b>                     | publisher   |
| <b>open.relation.isPublisherOf</b> | 145ccb7d-e69e-4d0d-adca-718ef440a82a  |
| <b>publisher.peerreview.info</b>   |  <pre>&lt;?xml version="1.0" encoding="UTF-8"?&gt; &lt;dublin_core&gt; &lt;dcvalue element="id" language="en" qualifier="none" schema="peerreview"&gt;018fba68-c4ac-4010-89c6-ff4f0dbc579&lt;/dcvalue&gt; &lt;dcvalue element="title" language="en_US" qualifier="none" schema="dc"&gt;Double blind&lt;/dcvalue&gt; &lt;dcvalue element="review" language="en_US" qualifier="decision" schema="peerreview"&gt;Yes&lt;/dcvalue&gt; &lt;dcvalue element="review" language="en_US" qualifier="type" schema="peerreview"&gt;Full text&lt;/dcvalue&gt; &lt;dcvalue element="anonymity" language="en_US" qualifier="none" schema="peerreview"&gt;Double-blind&lt;/dcvalue&gt; &lt;dcvalue element="reviewer" language="en_US" qualifier="type" schema="peerreview"&gt;External peer reviewer&lt;/dcvalue&gt; &lt;dcvalue element="review" language="en_US" qualifier="stage" schema="peerreview"&gt;Pre-publication&lt;/dcvalue&gt; &lt;dcvalue element="open" language="en_US" qualifier="review" schema="peerreview"&gt;No&lt;/dcvalue&gt; &lt;dcvalue element="publish" language="en_US" qualifier="responsibility" schema="peerreview"&gt;Publisher&lt;/dcvalue&gt; &lt;/dublin_core&gt;</pre> |

## 2.3 Create new peer reviewed books / chapters

Submitting a new book starts the same way as submitting a peer review, except that the right collection needs to be selected in the dropdown.

### Item submission

---

Select a collection

---

Collection:



Select the collection you wish to submit an item to.

In the input form, the Peer Review field is presented with a lookup button. The lookup button opens a popup window in which eligible PRs are listed, and can be selected (only 1 possible choice). In our case, since the peer review we created was the first one for this publisher, it is the only one our user has access to.

Peerreview:

Lookup peer review information

### Peer Review ✕

Search for a peer review:

|                           |   |
|---------------------------|---|
| Peer Review               |   |
| <b>Peer review info 0</b> | <ul style="list-style-type: none"><li>◦ <b>review stage:</b> Pre-publication</li><li>◦ <b>review type:</b> Section</li><li>◦ <b>open review:</b> No</li><li>◦ <b>publish responsibility:</b> Publisher</li><li>◦ <b>id:</b> ff816895-7bb1-4423-9c65-036959676db1</li><li>◦ <b>anonymity:</b> Single-blind</li><li>◦ <b>review decision:</b> Yes</li><li>◦ <b>reviewer type:</b> Crowd/open review</li></ul> |

[Add this Peer Review](#)

Showing 1 results. [show more](#)

**Peerreview:**

Peer review info 0

🔍

Lookup peer review information

**Peer review ID:**

ff816895-7bb1-4423-9c65-036959676db1

**Peer review decision:**

Yes

**Peer review type:**

Full text  
 Section

**Peer review anonymity:**

Single-blind

**Peer review reviewer type:**

Editorial board member  
 Crowd/open review

**Peer review review stage:**

Pre-publication

**Peer review open review:**

No

**Peer review publish responsibility:**

Publisher

**Reviewer identities:**

If the attached peer review is marked as 'Open review', please fill in this field

**Review(s):**

If the attached peer review is marked as 'Open review', please fill in this field

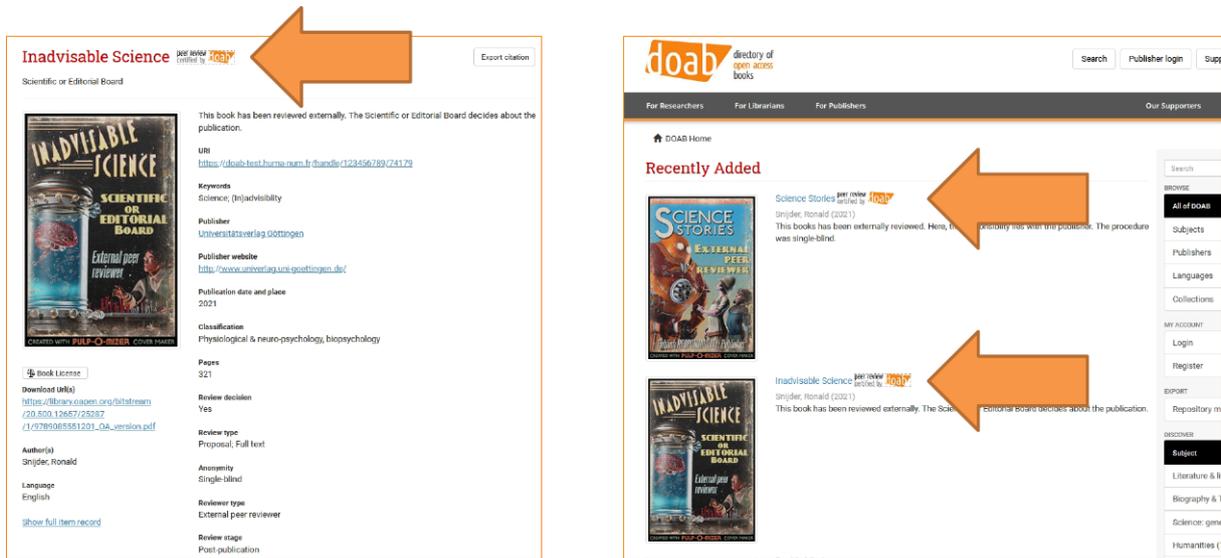
**Review comments:**

**Link to original peer review information:**

When selected, the form is extended with all PR fields, that will be shown (but disabled since they can't be edited in an individual book record). Three more fields are displayed that are specific to how the current book was reviewed: those can be filled in. If needed, the field "Review comments" can be used to give a more detailed description of the peer review process.

The next step allows the user to submit a full-text file or a link to it. Then, similarly as for PRs, a final step shows an overview of the introduced metadata before the user can validate the submission. Unlike PRs, there is no additional validation workflow step for books, they become immediately visible.

The peer reviewed books / chapters display an icon next to their title, that identified they have been peer reviewed.



When clicked, the icon will show the details about the Peer Review (which can already be seen in the item view, as shown on the capture above).

After at least one book or chapter has been added, that is peer reviewed, for a publisher, the “browse by publisher” view also gets identified with the same “certified by DOAB” icon. Clicking the icon shows the PR details as well.

## Browsing by Publisher:

0-9 A B C D E F G H I J K L M N O P Q R S T U V W X Y Z

Or enter first few letters:

Go

Now showing items 1-20 of 490



### Publisher

Academia Press [37]

Academia Press / Vantilt [1]

Academic Studies Press [94]

Accademia University Press [50]

ad picturam Fachverlag für kunstwissenschaftliche Literatur e.K. [1]

African Books Collective [3]

African Minds [32]

African Studies Centre Leiden (ASCL) [1]

Akademie Verlag [1]

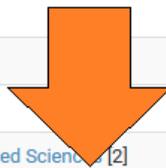
Alliance Athéna [3]

Alpara [41]

Amsterdam University of Applied Sciences [2]

Amsterdam University Press  [451]

Anthem Press [15]



African Studies Centre Leiden

Akademie Verlag [1]

Alliance Athéna [3]

Alpara [41]

Amsterdam University of Applied Sciences [2]

Amsterdam University Press  [451]

Anthem Press [15]

AntropoWeb [3]

ANU Press [613]

AOSIS [74]

APOLLON University Press [1]

Appalachian State University [67]

Arpress [26]

**Peer Review Information for Amsterdam University Press**

DOAB has certified the Peer Review Process for 2 Peer Review types:

- **What** - What is being reviewed? Full text
- **Who** - Who conducts the peer review? External peer reviewer
- **How** - What is the level of openness? Double-blind
- **When** - At what stage is the peer review being conducted? Pre-publication
- Peer review is overseen by: Publisher

- **What** - What is being reviewed? Full text
- **Who** - Who conducts the peer review? Editorial board member; External peer reviewer
- **How** - What is the level of openness? Single-blind
- **When** - At what stage is the peer review being conducted? Pre-publication
- Peer review is overseen by: Publisher

On the item view for that same publisher too, next to the publisher name, the icon is displayed and shows the PR details when clicked as well.

### 3 Peer review API

The information about a peer review can be retrieved from an API. The API is available at {DOAB URL}/rest/peerReviews.

This endpoint requires ONE of the following search parameters to perform a lookup:

| Search parameter | Explanation   |
|------------------|---|
| pUuid            | Publisher UUID of a publisher that has been attached to an item that contains peer review information.      |
| pId              | Publisher legacy ID of a publisher that has been attached to an item that contains peer review information. |
| isbn             | ISBN linked to an item that contains peer review information.   |
| doi              | DOI of an item that contains peer review information.   |
| title            | Publisher name of a publisher that can contain multiple peer reviews linked to the publisher.               |

### 3.1 Example queries:

Query 1: {DOAB URL}/rest/peerReviews?pld=1244 (querying the publisher by its legacy ID):

```
{
  "peerReviews": [{
    "prOverseenBy": "Publisher",
    "prPublished": "No",
    "prConductedBy": ["Editorial board member", "Crowd/open review"],
    "prStage": "Pre-publication",
    "prId": "ff816895-7bb1-4423-9c65-036959676db1",
    "prType": "Single-blind",
    "prObject": ["Full text", "Section"],
    "prIconUrl": "https://dev2.atmire.com/dspace-doab-6/themes/Mirage2/images/prCertificate.png"
  }],
  "numberOfItems": 1,
  "glossary": {
    "p": "publisher",
    "b": "Book",
    "pr": "Peer Review"
  },
  "publisher": {
    "pId": "1244",
    "pName": "Cambridge University Press"
  }
}
```

Query 2: {DOAB URL}/rest/peerReviews?doi=10.123456/789 (querying the test book above by its DOI):

```
{
  "peerReviews": [{
    "prOverseenBy": "Publisher",
    "prPublished": "No",
    "prConductedBy": ["Editorial board member", "Crowd/open review"],
    "prStage": "Pre-publication",
    "prId": "ff816895-7bb1-4423-9c65-036959676db1",
    "prType": "Single-blind",
    "prObject": ["Full text", "Section"],
    "prIconUrl": "https://dev2.atmire.com/dspace-doab-6/themes/Mirage2/images/prCertificate.png"
  }],
  "numberOfItems": 1,
  "glossary": {
    "p": "publisher",
    "b": "Book",
    "pr": "Peer Review"
  },
  "publisher": {
    "pId": "c8993eee-bd10-40e1-a40c-2c83dbb9e6bc",
    "pName": "Cambridge University Press"
  },
  "book": {
    "bIsbn": [],
    "bDoi": "10.123456/789",
    "bTitle": "Test book",
    "bUrl": null
  }
}
```