## Béjar & Rezac (2009) Syntax Project Discussion Dan Milway 15 January 2016

### 1 Introduction

- Phenomenon analyzed: Agreement systems with:
  - (partial) Person hierarchy (PH),
  - preferred agreement with internal argument (IA)
- B&R analyze these patterns syntactically (as opposed to morphologically)
- (1) (B&R (1),p36) Assumptions
  - a. Intervener-based locality (Rizzi 1990), relativized to features (Chomsky 1995): Agree for a feature [F] is sensitive only to other elements with [F]
  - b. A fine-grained approach to cyclicity, where every syntactic operation defines a cycle and thus a potential feeding-bleeding relationship (Rezac 2003)
  - c. A fine-grained approach to  $\varphi$ -features (specifically person or  $\pi$ -features), and especially  $\varphi$ -probes, associating with each person value ( $\pi$ -value) a different feature structure and thus a different locality class (Béjar 2003)
  - Two innovations: Cyclic Agree, and Added-Probe

# 2 Person Hierarchies and External Argument–Internal Argument Interaction

- Core agreement is *controlled* by an argument
- B&R are interested in a subset of languages where  $controller \neq subject$ : e.g., Basque, Georgian, Karok, Ezra.
- (2) (Table 1, p39)

EA	IA	Controller	$\pi$ agreement
1	2	IA	2
2	1	IA	1
3	1	IA	1
2	3	$\mathrm{EA}$	2
1	3	$\mathrm{EA}$	1

- PH partially explains (2). (1, 2 > 3)
- PH can't explain the preference for IA agreement (IA > EA)
- IA preference suggests that Agree-IA and Agree-EA are ordered (cyclic Agree)
- (3)  $(4, p39) [_{vP} EA [v+Agr [_{VP} V + IA]]]$ 
  - One probe on v ensures that Agree-IA bleeds Agree-EA

# 3 The Theory of Cyclic Agree and Person Hierarchy–Driven Agreement Displacement

### 3.1 Articulated Probes, Feature-Relativized Locality, and Person Licensing

- Agree-IA occurs before Agree-EA (cyclic expansion)
- Agree requires matching:
- (4) (5, p 41) Matching is a relation that holds of a probe P and a goal G. Not every matching pair induces Agree. To do so, G must (at least) be in the domain D(P) of P and satisfy locality conditions. The simplest assumptions for the probe-goal system are shown [below:]
  - a. Matching is feature identity.
  - b. D(P) is the sister of P.
  - c. Locality reduces to closest c-command. (Chomsky 2000:122)
  - (4) generates cyclic expansion and standard locality patterns

## Cyclic expansion

$$(A) \qquad \qquad (B) \longleftarrow \qquad DP_2 \ Agrees \\ \longrightarrow \qquad DP_1 \ Agrees \qquad \longrightarrow \qquad DP_1 \ bypassed \\ DP_2 \ \ H \qquad DP_1 \qquad \qquad DP_2 \ \ H \qquad DP_1 \\ [F] \ \ [uF] \ \ [F] \ \ [uF]$$

## Standard locality pattern

Figure 1: (Fig 1, p42) Locality patterns

**Parameter 1.** Probe height can be high (T) or low (v) (or both?)

- $\varphi$  bundles (and, by extension  $\pi$  bundles) are organized into subsets that reflect semantic entailment.
- (5) a. Semantic entailment speaker  $\implies$  participant  $\implies$  person (1 > 2 > 3) addressee  $\implies$  participant  $\implies$  person (2 > 1 > 3)
  - b. Syntactic feature structure

A: Person specifications		B: Shorthand 1>2>3			C:	Shorthand 2	2>1>3	
3rd	2nd	1st	3rd	2nd	1st	3rc	d 2nd	1st
[π]	[π] [participant]	[π] [participant] [speaker]	[3]	[3] [2]	[3] [2] [1]	[3]	[3] [1]	[3] [1] [2]

Parameter 2. speaker or addressee but not both can be lexicalized as a feature.

• interpretable and uninterpretable features are articulated

### Parameter 3. $\pi$ probes can be:

flat ([u3]),

partially articulated 
$$\begin{pmatrix} [u3], [u3] \\ [u2], [u1] \end{pmatrix}$$
, or

partially articulated 
$$\begin{pmatrix} [u3], [u3] \\ [u2], [u1] \end{pmatrix}$$
, or fully articulated  $\begin{pmatrix} [u3], [u3] \\ [u2], [u1] \\ [u1], [u2] \end{pmatrix}$ 

- When a probe P matches a goal G
  - If P is more specified than G, the unmatched portion of P remains active.
  - otherwise, P is rendered inactive

#### 3.2 Cyclicity and Agree

- Derivations are sequences of instances of Merge and Agree.
- Merge $(\alpha, \beta) = {\alpha, {\alpha, \beta}}$  iff  $\alpha$  selects  $\beta$ 
  - [DM: This assumption is the linchpin of the entire account.]
- Since the label is a copy of one of a head, it can probe and Agree.
- (6)Derivation of a transitive vP (16, p48)

Step 0: VP constructed as 
$$\{V, \{V, IA\}\}\$$
; v becomes locus

Step 1: Merge(v, VP) = 
$$\{v_I, \{v, \{V, \{V, IA\}\}\}\}$$

Step 2: Agree(
$$v_I$$
, IA)

Step 3: Merge(vP, EA) = 
$$\{v_{II}, \{EA, \{v_I, \{v, \{V, \{V, IA\}\}\}\}\}\}$$

Step 4: Agree(
$$v_{II}$$
, EA), if there is still a probe on  $v_{II}$ 

- v selects the EA, so v projects when EA is merged.
- Nishnaabemwin is used to demonstrate cyclic Agree.
  - Low  $\pi$  probe
  - Addressee is lexicalized
  - Full  $\pi$  probe
- Nishnaabemwin core agreement pattern (2 > 1 > 3)(7)

EA	IA	Controller	$\pi$ agreement
1	2	IA	2
$^2$	1	$\mathbf{E}\mathbf{A}$	2
3	1	IA	1
3	2	IA	2

(8) "Derivation" of agreement (Table 3, p50)

EA→IA	2				1			
2	_				$v_{\rm II}$	EA	$v_{\rm I}$	IA
					([ <i>u</i> 3]) ([ <i>u</i> 1]) [ <i>u</i> 2]-	[1]	[ <i>u</i> 3]- [ <i>u</i> 1]- [ <i>u</i> 2]	
1	$v_{\rm II}$	EA	$v_{\rm I}$	IA	_			
	([ <i>u</i> 3]) ([ <i>u</i> 1]) ([ <i>u</i> 2])	[3] [1]	[ <i>u</i> 3]- [ <i>u</i> 1]- [ <i>u</i> 2]-	<b>-</b> [1]				
3	$v_{\rm II}$	EA	$v_{\rm I}$	IA	$v_{\rm II}$	EA	$v_{\rm I}$	IA
	([ <i>u</i> 3]) ([ <i>u</i> 1]) ([ <i>u</i> 2])	[3]	[ <i>u</i> 3]- [ <i>u</i> 1]- [ <i>u</i> 2]-	<b>—</b> [1]	([u3]) ([u1]) <del>[u2]</del>		[ <i>u</i> 3]- [ <i>u</i> 1]- [ <i>u</i> 2]	

- ullet Cyclic Agree also predicts (or allows for a good analysis of) second-cycle effects which we see in Georgian
- (9) (18, p51) Georgian
  - a. m- xedav -s
    - 1.I see x
      - "He sees me."
  - b. v- xedav
    - 1.II see
    - "I see him."
  - $\bullet$  m- spells out first cycle (v\_I) 1Sg agreement.
  - v- spells out second sycle ( $v_{II}$ ) 1Sg agreement.
  - B&R express this in vocabulary insertion rules.
- (10) (21, p52)
  - a. First-cycle vocabulary item:  $m \leftrightarrow [3-2-1]/[\_]_v$
  - b. Second-cycle vocabulary item:  $v \leftrightarrow [3-2-1]/[-]_v[...]_v$

### 3.3 Nishnaabemwin: The core probe

- B&R reiterate their analysis of the Nishnaabemwin core agreement pattern
- They point out that Inverse marking needs to be addressed

Singular agreement paradigm for Nishnaabemwin (core agreement in small capitals, theme suffix underlined)

EA→IA	2	1	3
2	_	G-see-i‡ 2-see-DFLT.1 'You see me.'	G-see- <u>aa</u> 2-see-DFLT 'You see him.'
1	G-see-in 2-see-1.inv 'I see you.'	_	N-see- <u>aa</u> 1-see-DFLT 'I see him.'
3	G-see-ig 2-see-3.INV 'He sees you.'	N-see- <u>ig</u> 1-see-3.INV 'He sees me.'	w-see- <u>igw</u> -n 3-see-3.INV-OBV 'That sees this.'

Figure 2: (Table 4, p 53)

# 4 Person Licensing and the Added Probe

## 4.1 Inverse Contexts: Person-Licensing Failure and Repair

- (11) (13, p46) Person-Licensing Condition (PLC) A  $\pi$ -feature [F] must be licensed by Agree of some segment in a feature structure of which [F] is a subset.
  - Inverse contexts leave EA  $\pi$  features unlicensed.
  - In languages with PH-driven agreement, Inverse contexts ( $\pi$ -IA>  $\pi$ -EA) show special morphology.
    - Nishnaabemwin, Mohawk, and Basque: IA controls core agreement, extra agreement is added for EA
    - Kashmiri: EA controls core agreement, IA is in a special case (R-case)
  - these reflect two repair strategies: added-probe and R-case respectively.
  - In fact, R-case is just a different spellout of added-probe
  - The basis of both of the strategies is "Property P"
- (12) (23, p56) Property P If the core probe  $\alpha$  on Agr has property P, a probe is added to Agr upon Agree by  $\alpha$ .
  - $\bullet$  (at least) The languages in question have two varieties of v heads: v and  $\mathbf{v}^P$
  - If IA>EA, the derivation only converges with  $v^P$ 
    - Inverse marking (Nishnaabemwin, etc) spells out the added probe
    - R-Case is the case assigned by  $v^P$
  - If EA>IA, the derivation crashes with  $v^P$
  - [DM: Why doesn't agreement with EA trigger an added probe?]

(13)			
(10)	Context	$v/v^P$	Result
	Direct	v	Converge
	Inverse	v	Crash (PLC)
	Direct	$\mathbf{v}^P$	Crash (unchecked [uF])
	Inverse	$\mathbf{v}^P$	Converge w/ special morphology

### 4.2 Added Probe

- Mohawk:
  - Direct contexts: 1 Agr slot (Core probe)
  - Inverse contexts: 2 Agr Slots (Core + Added probe)
  - + Contextual allomorphy for KU-see
- (14) (Table 7, p59)

Transitive singular agreement paradigm for Mohawk (core agreement in small capitals; added probe underlined)

EA→IA	1	2	3
1	_	KU-see‡ 1/2-see 'I see you.'	K-see 1-see 'I see him.'
2	(h)s-κ-see 2-1-see 'You see me.'	_	HS-see 2-see 'You see him.'
3	wa-K-see 3.INV-1-see 'He sees me.'	(н)s- <u>(w)a</u> -see 2-3.inv.see 'He sees you.'	hra-wa-see $>$ hra-o-see 3.M-DFLT-see 'It sees him.'

- Basque: [u-3-2] probe
  - Direct contexts: 1 Agr prefix (Core)
  - Inverse contexts: 1 Agr prefix (Core), iñdd- (Added probe)
- (15) (Table 9, p61)
  Added probe in Bizkaian Basque (core agreement in small capitals; added probe underlined)

EA→IA	1	2	1.PL	3
1	_	s- <u>iñdd</u> -u-te-n 2.sg-INV- <i>have</i> -1.sg-PAST 'I had you.'	_	N-eb-en 1.sg- <i>have</i> -PAST 'I had him.'
2	N- <u>iñdd</u> -u-su-n 1.sg-INV- <i>have-</i> 2.sg-PAST 'You had me.'	_	G- <u>iñdd</u> -u-su-n 1.PL-INV- <i>have-</i> 2.SG-PAST 'You had us.'	s-eb-en 2.sg- <i>have</i> -PAST 'You had him.'
1.pl	_	s- <u>iñdd</u> -u-gu-n 2.sg-INV- <i>have</i> -1.PL-PAST 'We had you.'	_	G-eb-en 1.PL- <i>have</i> -PAST 'We had him.'
3	N- <u>iñdd</u> -u-en 1.sg-INV- <i>have</i> -PAST 'He had me.'	s- <u>iñdd</u> -u-en 2.sg-INV- <i>have</i> -PAST 'He had you.'	G- <u>iñdd</u> -u-en 1.PL-INV- <i>have</i> -PAST 'He had us.'	eb-en have-PAST 'He had him.'

- Nishnaabemwin (see 2):
  - Direct contexts: 1 Agr prefix (Core), Constant theme suffix % contexutal allomorphy
  - Inverse context: 1 Agr prefix (Core), agreeing theme suffix (Added probe)

### 4.3 R-Case

- Kashmiri:
  - Present/Future  $\rightarrow$  Nom-Acc
  - Past/Future  $\rightarrow$  Erg-Nom
  - Present, Inverse: IA gets R-case (homophonous with Dative)
  - R-case, unlike Dative, disappears in Passives, which can't have PH effects (no EA)
  - R-case is the case assigned by  $v^P$ , but EA always controls agreement.

# 5 Questions/Issues

- Can this be done without Projection/Labelling in the narrow syntax?
- $\bullet$  Why doesn't  $\mathbf{v}^P$  get a third probe when it Agrees with EA?
  - Maybe  $\mathbf{v}^P$  enters the derivation with two probes
- In an Inverse context, do we end up with three distinct instances of v? (v,  $v_{Agr-IA}$ ,  $v_{Agr-EA}$ )
- In Kashmiri, why are Dative and R-case homophonous?
- How do the parameters interact?