



# LiBRe: A Practical Bayesian Approach to Adversarial Detection

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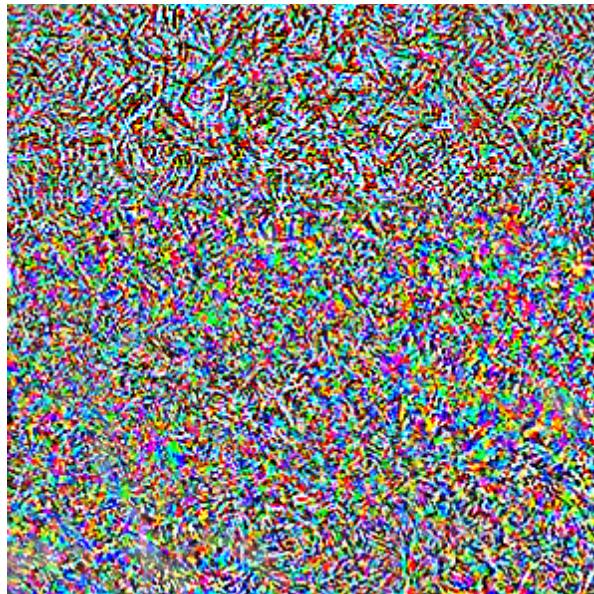
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# Threat from Adversarial Examples

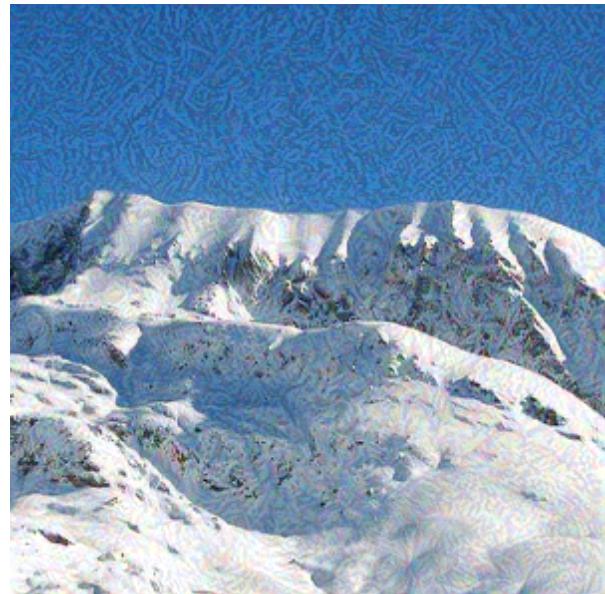
- DNNs are **vulnerable** against **adversarial examples**, which are generated by adding **human-imperceptible perturbations** upon clean examples to deliberately cause misclassification.



Alps: 94.39%



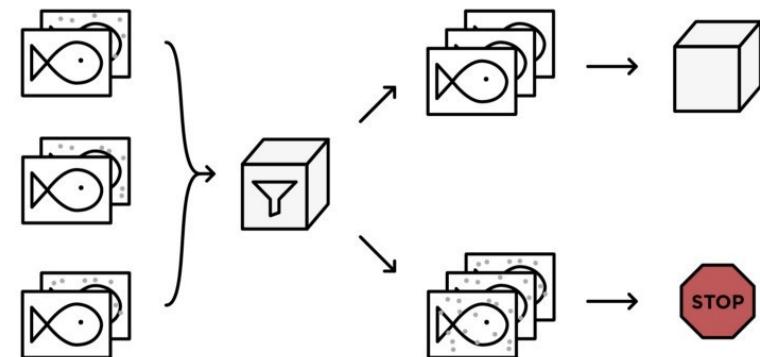
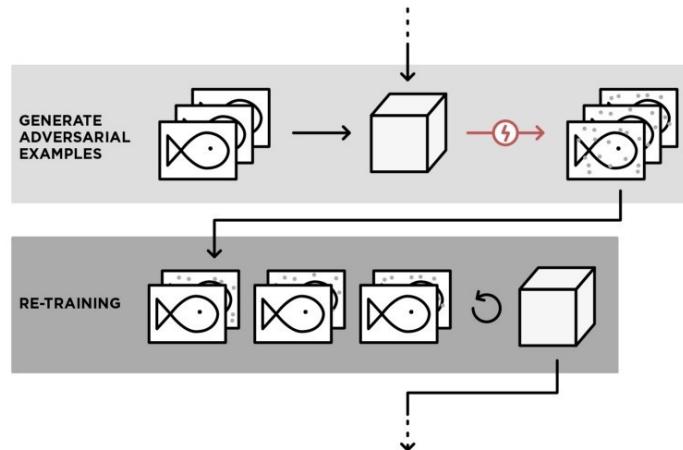
Dong et al., 2018



Dog: 99.99%

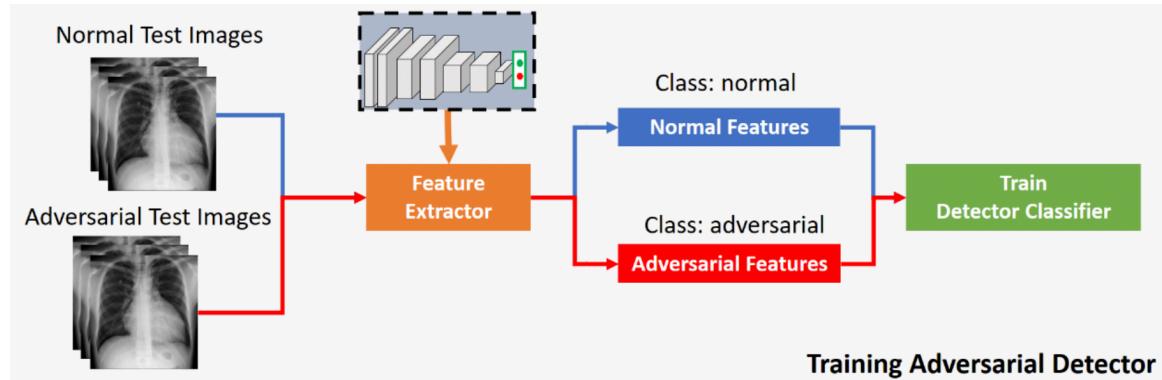
# Current Defenses to Adversarial Examples

- **Adversarial training** methods are effective, yet cause **added training overheads** and **undermine the predictive performance** on clean data.
- **Adversarial detection** methods detect the adversarial examples ahead of decision making, yet are usually developed for **specific tasks or attacks**, thus lack the flexibility to effectively **generalize** to other tasks or attacks.



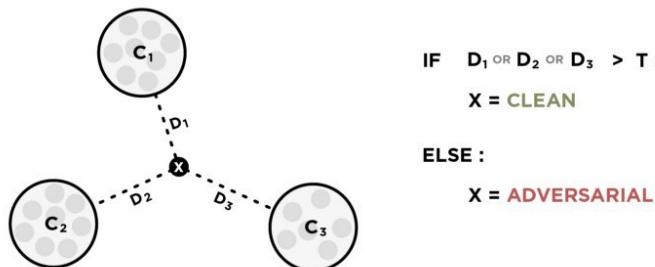
# Detailed Adversarial Detection Methods

- By virtue of
  - auxiliary classifiers

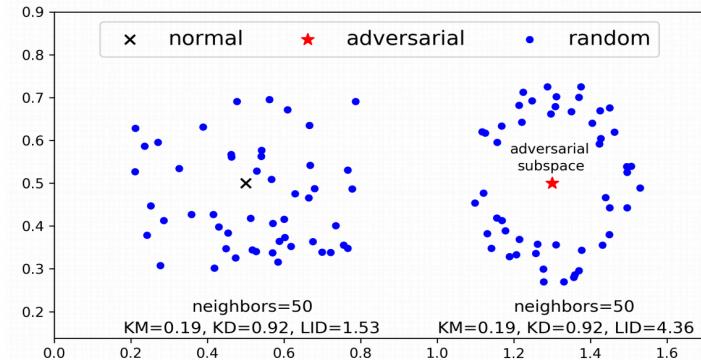


Ma et al., 2019

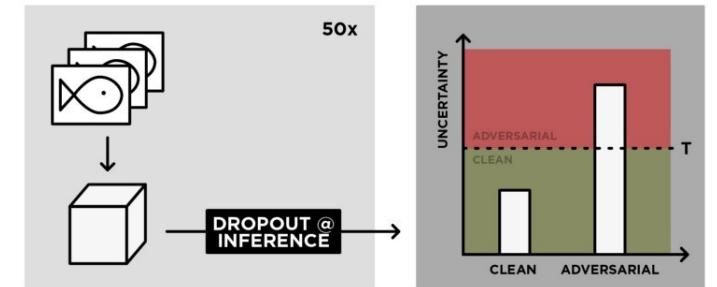
- designed statistics



KDE based detection, Feinman et al., 2017



LID based detection, Ma et al., 2018



Dropout uncertainty based detection, Feinman et al., 2017

# Detect Adversarial Examples by Bayesian Uncertainty

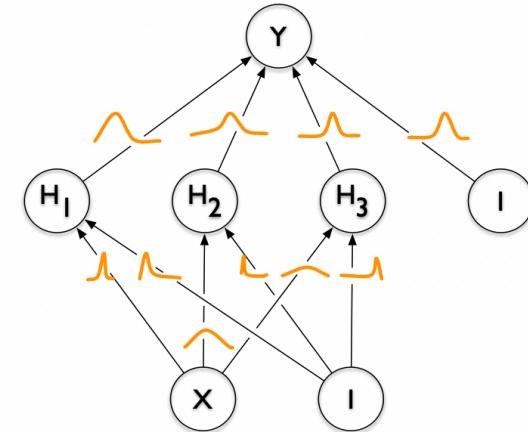
- The key motivation: think of adversarial examples as a special kind of out-of-distribution (OOD) data, and proceed in a Bayesian way
  - **Bayesian neural networks** (BNNs) are as **flexible** as DNNs for data fitting in various tasks, and the uncertainty yielded by them suffices to detect **heterogeneous** OOD/adversarial data in principle.

$$p(\mathbf{w}|\mathcal{D}) \propto p(\mathbf{w}) \prod_{n=1}^N p(y_n|\mathbf{x}_n, \mathbf{w})$$

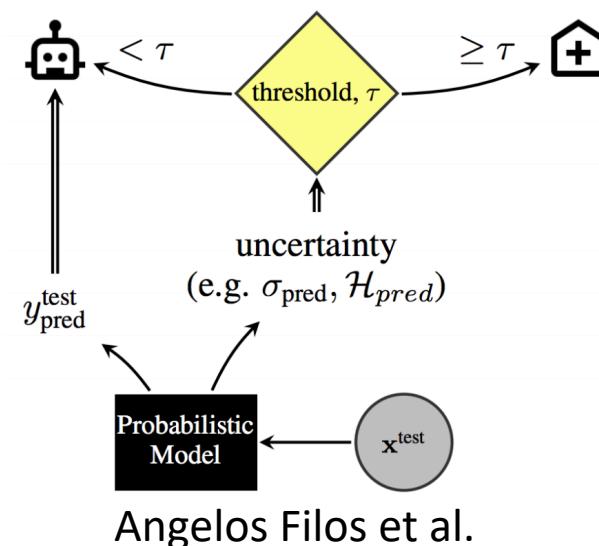
posterior inference

$$p(y|x_*, \mathcal{D}) = \int p(y|x_*, w)p(w|\mathcal{D})dw$$

Marginalization



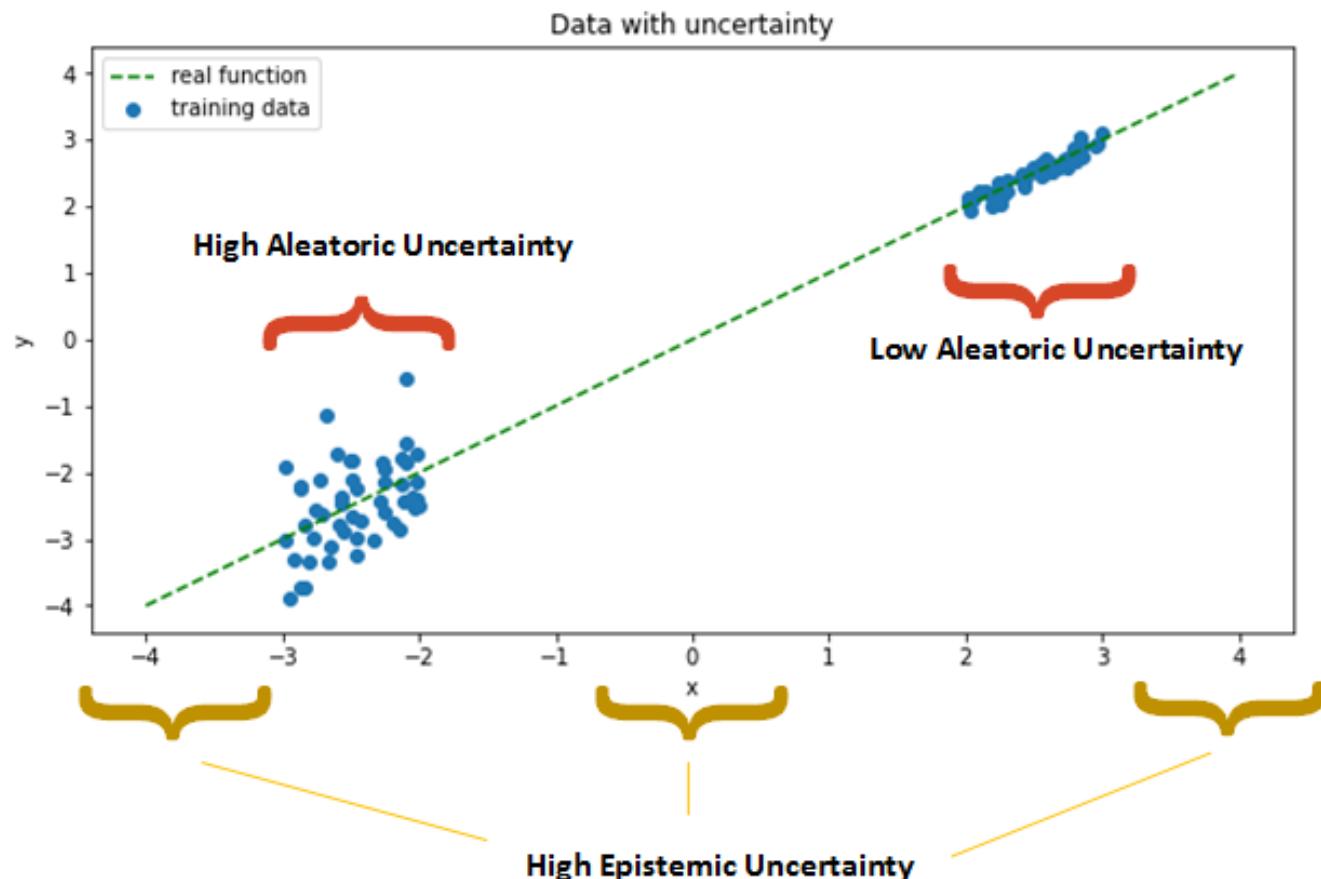
Blundell et al., 2015



Angelos Filos et al.

# Two Types of Bayesian Uncertainty

- Epistemic uncertainty: uncertainty over the model (for detecting OOD)
- Aleatoric uncertainty: uncertainty over the data for a fixed model (for measuring data noise)



# Approximate Inference for BNNs

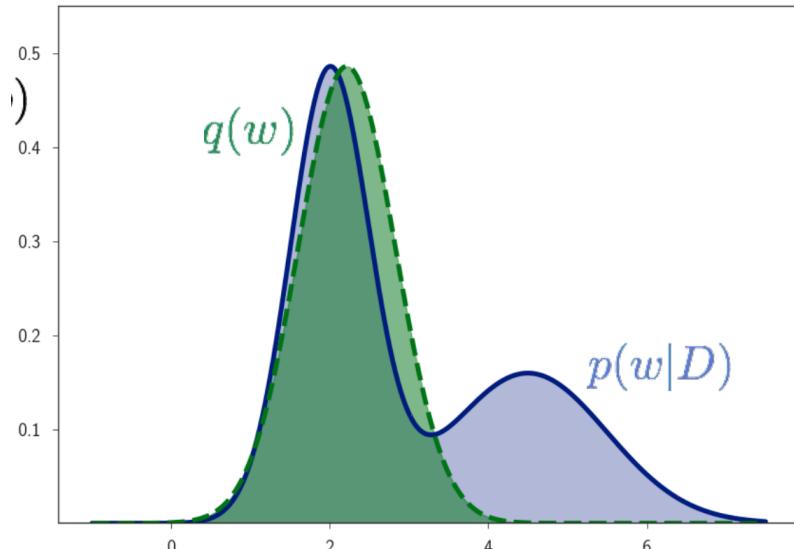
- Variational Inference [Graves, 11; Blundell et al., 15; Louizos et al., 16,17; shi et al, 18; etc.]
  - Maximize evidence lower bound (ELBO) ( $q(w|\theta)$  is an introduced variational):

$$\max_{\theta} \mathcal{L}(\theta) = \mathbb{E}_{q(w|\theta)}[\log p(D|w)] - \text{KL}(q(w|\theta)||p(w)) \leq \log p(D)$$

- Reparameterization trick:

$$q(w|\theta) = \mathcal{N}(w; \mu, \text{diag}(\sigma^2)) \rightarrow t(\theta, \epsilon) = \mu + \epsilon\sigma, \epsilon \sim \mathcal{N}(0, \mathbf{I})$$

- Stochastic variational inference resembles ordinary backprop



Efficient yet inducing approximation error; without the guarantee of asymptotic consistency

# Approximate Inference for BNNs

- Markov Chain Monte Carlo [Neal, 93; Welling & Teh, 11; etc.]

- Metropolis–Hastings
  - Slice sampling
  - Hamiltonian (or Hybrid) Monte Carlo

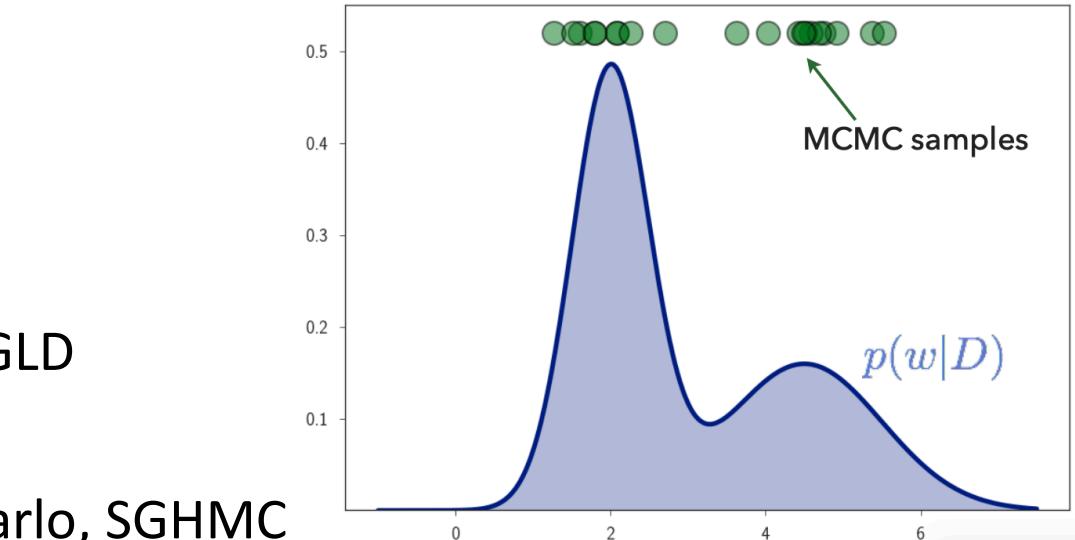
- Stochastic gradient Langevin dynamics, SGLD

$$w_{t+1} = w_t - \alpha_t \nabla \tilde{U}(w_t) + \sqrt{2\alpha_t} \epsilon_t, \quad \epsilon_t \sim \mathcal{N}(0, I)$$

- Stochastic gradient Hamiltonian Monte Carlo, SGHMC

$$w_{t+1} = w_t + v_{t+1}, \quad v_{t+1} = (1 - \eta)v_t - \alpha_t \nabla \tilde{U}(w_t) + \sqrt{2(\eta - \hat{\gamma})\alpha_t} \epsilon_t, \quad \epsilon_t \sim \mathcal{N}(0, I)$$

- Cyclical stochastic gradient MCMC



Non-parametric and  
asymptotically exact yet typically  
with low convergence rate

# Approximate Inference for BNNs



- Particle-optimization-based Variational Inference (POVI) [Liu et al., 16; Wang et al., 19; etc.]
  - Conjoins the **flexibility** of being non-parametric as MCMC and the **efficiency** due to doing deterministic optimization as variational inference
  - Stein Variational Gradient Descent (SVGD) is one of the most popular examples:
$$w_{t+1}^{(k)} = w_t^{(k)} + \epsilon \phi(w_t^{(k)}), \quad \forall k = 1, \dots, K, \text{ and } \phi(\cdot) := \mathbb{E}_{q(w)}[K(w, \cdot) \nabla_w \log p(w | \mathcal{D}) + \nabla_w K(w, \cdot)]$$
    - $\hat{q}(w) = \frac{1}{n} \sum_{i=1}^n \delta_{w^{(k)}}(w)$  replaces  $q(w)$  for the above update equation
    - $\nabla_w K(w, \cdot)$  is understood as a **repulsive force** to **reduce the correlation** between particles
  - Yet, POVI methods may converge to **degenerate posteriors** due to over-parameterization, and suffer from **curse of dimensionality** [Wang et al., 19; Zhuo et al., 19].

# Approximate Inference for BNNs

- Some practical workarounds:
  - Laplace approximation [Mackay, 92; Ritter et al, 18]
    - Compute a Gaussian posterior around the MAP with hessian
    - Less flexible
  - Monte Carlo dropout [Gal & Ghahramani, 16]
    - Take dropout as uncertainty over weights
    - Less effective
  - Deep ensemble [Lakshminarayanan et al., 17]
    - Train multiple DNNs and assemble their predictions
    - Less scalable

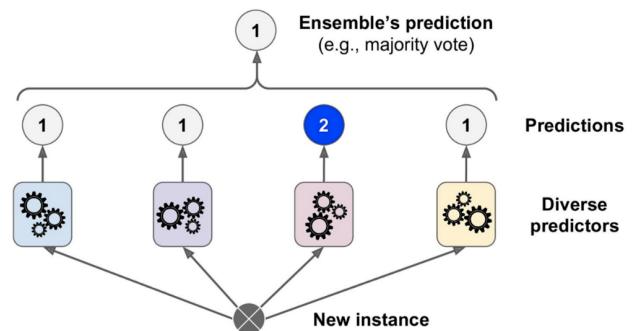
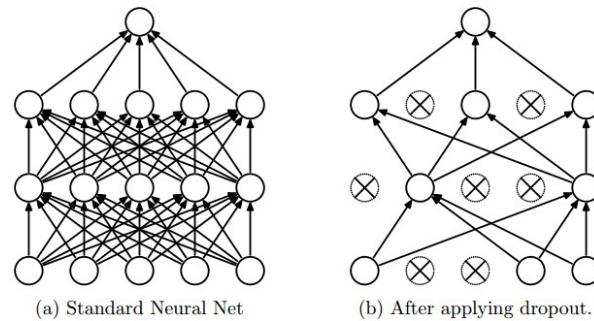
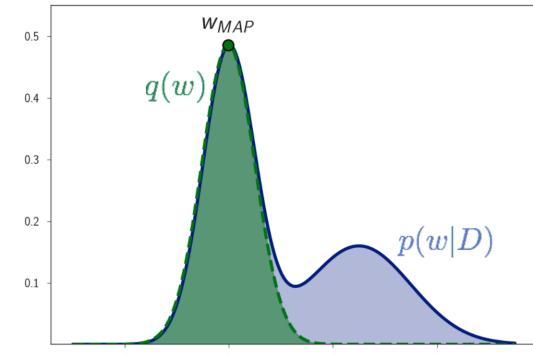
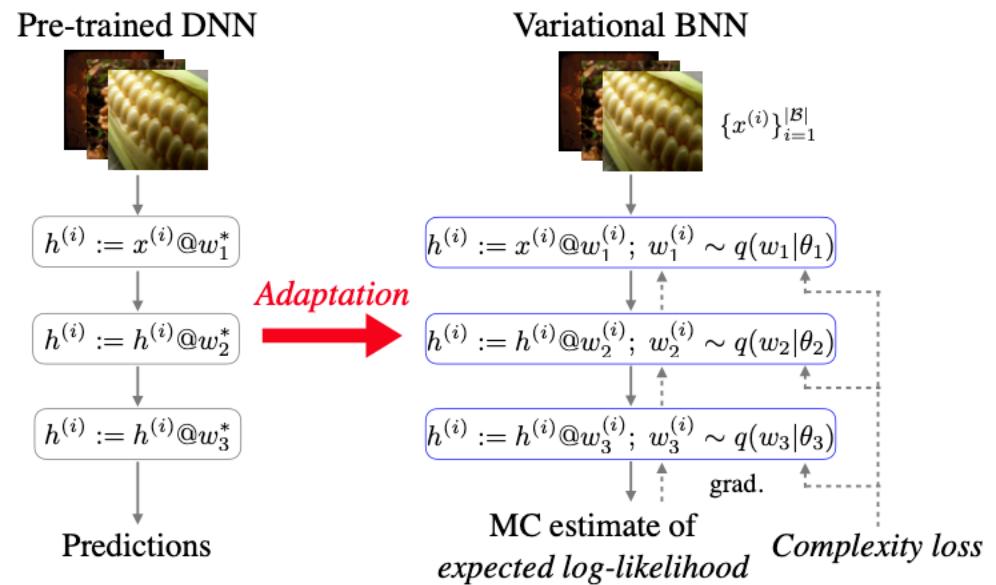


Figure 7-2. Hard voting classifier predictions

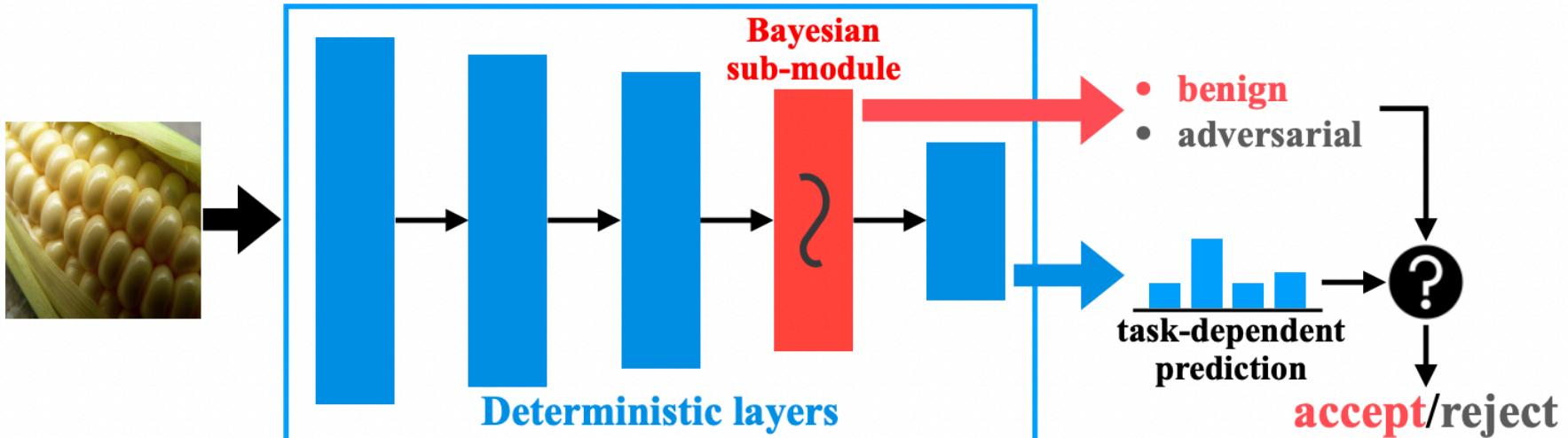
# Approximate Inference for BNNs

- BayesAdapter [Deng et al., 20]
  - Obtain BNNs by fine-tuning pre-trained DNNs
  - Conjoins the **complementary benefits** from deterministic training and Bayesian reasoning, e.g., **good performance, resistance to overfitting, reliable uncertainty estimates, etc.**
  - Exemplar reparameterization (ER):
    - Draw a separate parameter sample for every exemplar in the mini-batch
    - **Disentangle the correlation** between the loss of difference instances



# Lightweight Bayesian Refinement (LiBRe)

- Given a **pre-trained task-dependent DNN**
  - LiBRe converts its last **few layers** (e.g. the last ResBlock) to be *Bayesian*.
  - LiBRe **inherits the pre-trained parameters**.
  - LiBRe launches **several-round adversarial detection-oriented Bayesian fine-tuning**.



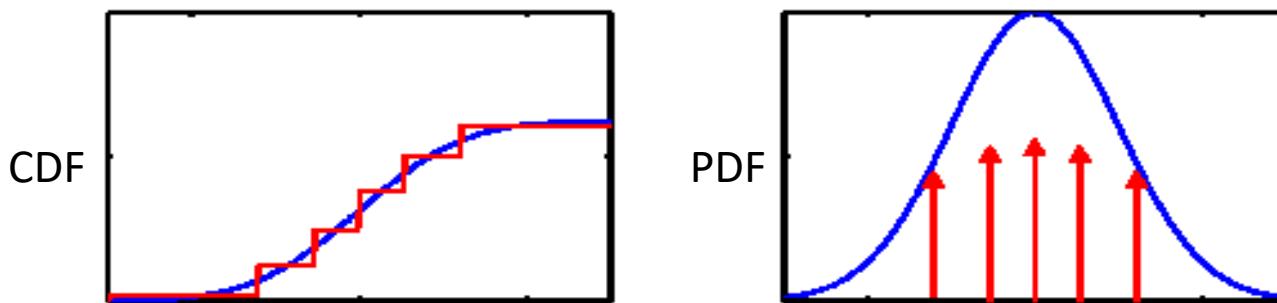
# Lightweight Bayesian Refinement (LiBRe)

- LiBRe follows the ***variational inference*** pipeline for learning BNNs:

$$\text{Maximize the ELBO: } \max_{\theta} \mathbb{E}_{q(w|\theta)} \sum_i \log p(D_i|w) - KL(q(w|\theta)||p(w))$$

- **Partial** Bayesian treatment: ***Few IAYER Deep Ensemble*** (FADE) variational

$$q(w|\theta) = \frac{1}{C} \sum_{c=1}^C \delta\left(w_b - w_b^{(c)}\right) \delta(w_{-b} - w_{-b}^{(0)})$$



- $w_b$ : parameters of **tiny Bayesian sub-module**;  $w_{-b}$ : the other deterministic ones
- Conjoins the **expressiveness** of *deep ensemble* [Lakshminarayanan et al., 2017] and the **efficiency** of *last-layer Bayesian learning* [Kristiadi et al., 2020]
- A mixture of deltas is a **singular approximating distribution**, so we indeed relax  $q(w|\theta)$  as a **mixture of Gaussians with small variance** to estimate  $KL(q(w|\theta)||p(w))$

# Lightweight Bayesian Refinement (LiBRe)



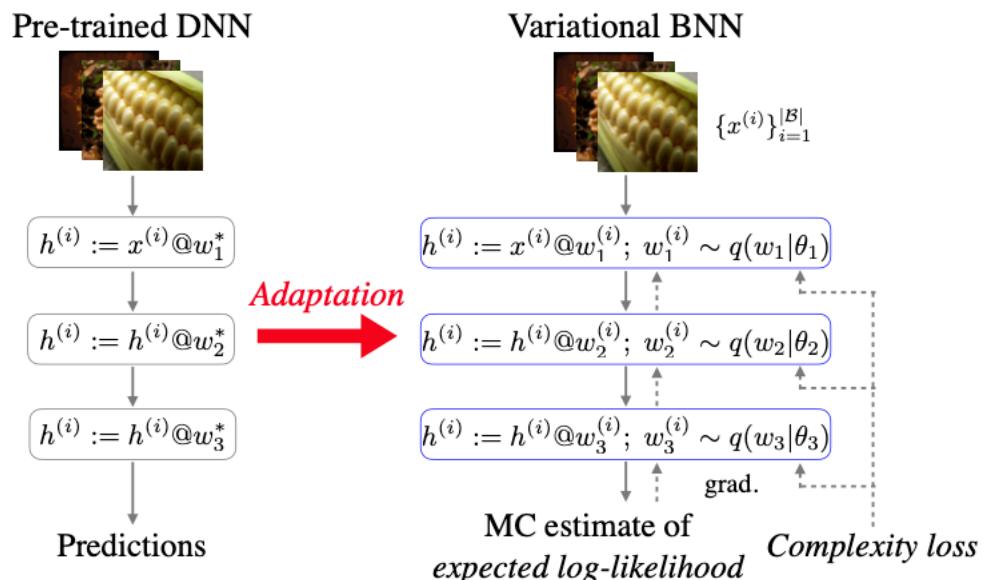
- Monte Carlo estimation of ELBO by *reparameterization*:

$$\max_{\theta} \mathcal{L} = \frac{1}{|\mathcal{B}|} \sum_{\mathcal{B}_i} \log p \left( \mathcal{B}_i \middle| w_b^{(c)}, w_{-b}^{(0)} \right), c \sim \{1, 2, \dots, C\}, \mathcal{B} \subset D$$

- Variance reduction by *Exemplar reparameterization* [Deng et al., 2020]

$$\max_{\theta} \mathcal{L}^* = \frac{1}{|\mathcal{B}|} \sum_{\mathcal{B}_i} \log p \left( \mathcal{B}_i \middle| w_b^{(c_i)}, w_{-b}^{(0)} \right), c_i \sim \{1, 2, \dots, C\} \forall i = 1, \dots, |\mathcal{B}|$$

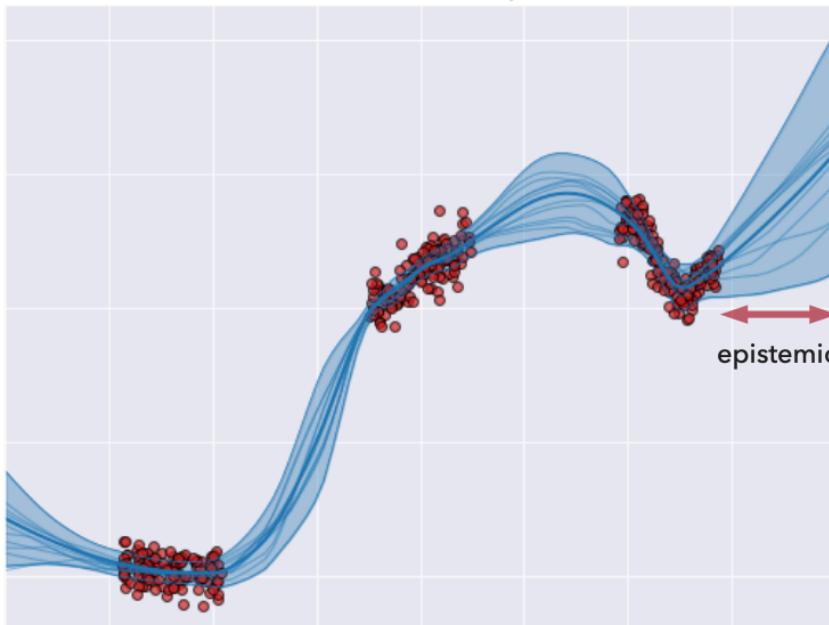
- Stochastic variational inference as Bayesian fine-tuning



# Lightweight Bayesian Refinement (LiBRe)

- Detect adversarial examples with ***epistemic uncertainty***:
  - A typical metric: softmax variance [Feinman et al., 2017, Smith and Gal, 2018], but not **universal** (e.g. in regression)
  - A **more generic** metric: ***feature variance***

$$Unc = \frac{1}{T-1} \left( \sum_{t=1}^T \|z^{(t)}\|_2^2 - T \left\| \frac{1}{T} \sum_{t=1}^T z^{(t)} \right\|_2^2 \right) \quad (z^{(t)} \text{ is the feature under } w^{(t)}, t = 1, \dots, T)$$

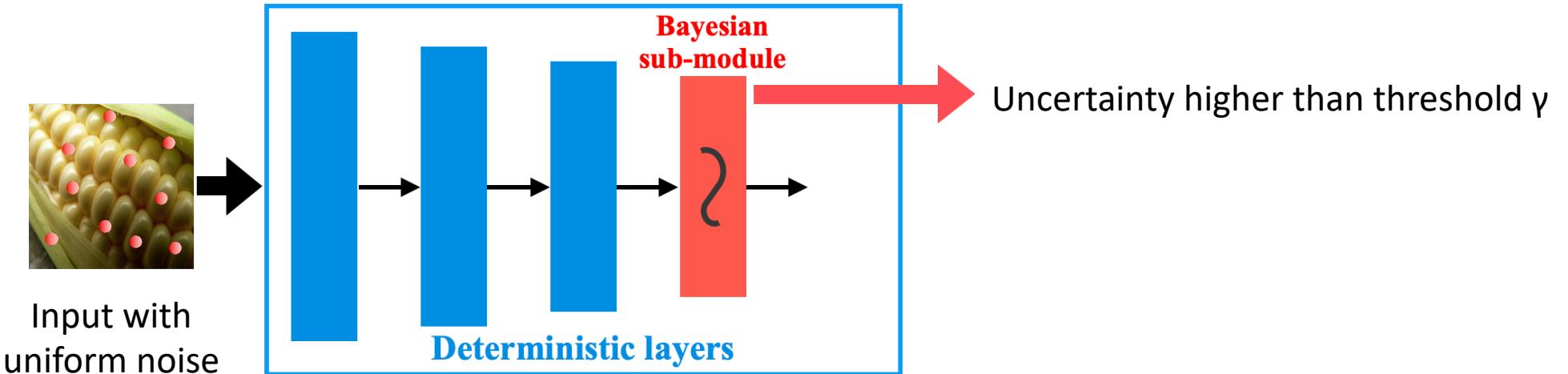


# Lightweight Bayesian Refinement (LiBRe)

- Adversarial example **free uncertainty correction**

$$\max_{\theta} \mathcal{R} = \frac{1}{|\mathcal{B}|} \sum_{\mathcal{B}_i} \min \left( \left\| \tilde{z}_i^{(c_{i,1})} - \tilde{z}_i^{(c_{i,2})} \right\|_2^2, \gamma \right).$$

- $\tilde{z}_i^{(c_{i,j})}$  refers to the feature of  $i^{\text{th}}$  training instances with **uniform** input perturbations under parameter sample  $w^{(c_{i,j})} = \{w_b^{(c_{i,j})}, w_{-b}^{(0)}\}$ .
- This is **necessary** as adversarial examples can easily **destroy** the uncertainty based adversarial detection if there is no uncertainty correction [Grosse et al., 2018]



# Experiments

- We perform Bayesian fine-tuning for **only 6** epochs on ImageNet.
- LiBRe preserves **non-degraded accuracy** while demonstrating **near-perfect capacity of detecting adversarial examples**.

Method	Prediction accuracy ↑		AUROC of adversarial detection under <i>model transfer</i> ↑			
	TOP1	TOP5	PGD	MIM	TIM	DIM
<i>MAP</i>	76.13%	92.86%	-	-	-	-
<i>MC dropout</i> [17]	74.86%	92.33%	0.660	0.723	0.695	0.605
<i>LMFVI</i>	76.06%	92.92%	0.125	0.200	0.510	0.018
<i>MFVI</i>	75.24%	92.58%	0.241	0.205	0.504	0.150
<i>LiBRe</i>	<b>76.19%</b>	<b>92.98%</b>	<b>1.000</b>	<b>1.000</b>	<b>0.982</b>	<b>1.000</b>

Table 1: Left: comparison on accuracy. Right: comparison on AUROC of adversarial detection under *model transfer*. (ImageNet)

Method	FGSM	BIM	C&W	PGD	MIM	TIM	DIM	FGSM- $\ell_2$	BIM- $\ell_2$	PGD- $\ell_2$
<i>KD</i> [14]	0.639	<b>1.000</b>	<b>0.999</b>	<b>1.000</b>	<b>1.000</b>	<b>0.999</b>	0.624	0.633	<b>1.000</b>	<b>1.000</b>
<i>LID</i> [39]	0.846	<b>0.999</b>	<b>0.999</b>	<b>0.999</b>	<b>0.997</b>	<b>0.999</b>	0.762	0.846	<b>0.999</b>	<b>0.999</b>
<i>MC dropout</i> [17]	0.607	<b>1.000</b>	<b>0.980</b>	<b>1.000</b>	<b>1.000</b>	<b>0.999</b>	0.628	0.577	<b>0.999</b>	<b>0.999</b>
<i>LMFVI</i>	0.029	<b>0.992</b>	0.738	0.943	<b>0.996</b>	<b>0.997</b>	0.021	0.251	<b>0.993</b>	0.946
<i>MFVI</i>	0.102	<b>1.000</b>	0.780	<b>0.992</b>	<b>1.000</b>	<b>0.999</b>	0.298	0.358	0.952	0.935
<i>LiBRe</i>	<b>1.000</b>	0.984	0.985	0.994	0.996	0.994	<b>1.000</b>	<b>0.995</b>	0.983	0.993

Table 2: Comparison on AUROC of adversarial detection for *regular attacks* ↑. (ImageNet)

# Experiments

## ➤ Face recognition

Method	Softmax				CosFace				ArcFace			
	MAP	MCD	LMFVI	LiBRe	MAP	MCD	LMFVI	LiBRe	MAP	MCD	LMFVI	LiBRe
VGGFace2	<b>0.9256</b>	0.9254	0.9198	0.9246	0.9370	0.9370	0.9360	<b>0.9376</b>	0.9356	0.9334	<b>0.9358</b>	0.9348
LFW	<b>0.9913</b>	0.9898	0.9912	0.9892	0.9930	0.9932	0.9920	<b>0.9935</b>	0.9933	0.9930	0.9933	<b>0.9943</b>
CPLFW	0.8630	<b>0.8638</b>	0.8610	0.8598	0.8915	0.8890	<b>0.8925</b>	0.8910	0.8808	0.8803	0.8833	<b>0.8837</b>
CALFW	0.9107	0.9110	0.9087	<b>0.9120</b>	0.9327	0.9345	0.9333	<b>0.9352</b>	0.9292	<b>0.9300</b>	0.9250	0.9283
AgedDB-30	<b>0.9177</b>	0.9170	0.9128	0.9167	<b>0.9435</b>	0.9422	0.9387	0.9433	0.9327	0.9317	<b>0.9337</b>	<b>0.9337</b>
CFP-FP	0.9523	<b>0.9543</b>	0.9480	0.9489	0.9564	0.9567	0.9583	<b>0.9597</b>	<b>0.9587</b>	0.9586	0.9554	0.9573
CFP-FF	0.9873	0.9870	<b>0.9874</b>	<b>0.9874</b>	<b>0.9927</b>	0.9926	0.9916	<b>0.9927</b>	0.9914	0.9910	0.9911	<b>0.9921</b>

Table 3: Accuracy comparison on face recognition ↑. MCD is short for MC dropout. **Bold** refers to the best results under specific loss function. **Blue bold** refers to the overall best results.

Attack	Softmax			CosFace			ArcFace		
	MC dropout	LMFVI	LiBRe	MC dropout	LMFVI	LiBRe	MC dropout	LMFVI	LiBRe
FGSM	0.866	0.155	<b>1.000</b>	0.889	0.001	<b>1.000</b>	0.794	0.001	<b>1.000</b>
BIM	1.000	1.000	0.999	1.000	1.000	0.999	1.000	1.000	1.000
PGD	1.000	0.992	0.999	1.000	0.998	0.998	1.000	0.990	1.000
MIM	1.000	1.000	0.999	1.000	1.000	0.999	1.000	1.000	1.000
TIM	1.000	1.000	0.999	1.000	1.000	0.998	1.000	1.000	1.000
DIM	0.910	0.025	<b>1.000</b>	0.850	0.000	<b>1.000</b>	0.746	0.000	<b>1.000</b>
FGSM- $\ell_2$	0.860	0.659	<b>1.000</b>	0.825	0.014	<b>0.999</b>	0.660	0.002	<b>0.999</b>
BIM- $\ell_2$	1.000	1.000	0.999	1.000	1.000	1.000	1.000	1.000	1.000
PGD- $\ell_2$	1.000	0.996	0.999	1.000	0.999	1.000	1.000	0.994	1.000

Table 4: Comparison on adversarial detection AUROC ↑. We report the averaged AUROC over the verification datasets. (face recognition)

# Experiments

- Object detection

Method	Object detection		Adversarial detection			
	mAP@.5	mAP@.5:.95	FGSM	BIM	PGD	MIM
<i>MAP</i>	0.559	0.357	-	-	-	-
<i>LiBRe</i>	0.545	0.344	0.957	0.936	0.972	0.966

Table 5: Results on object detection. (COCO)

- Visualization for the population of uncertainty estimates

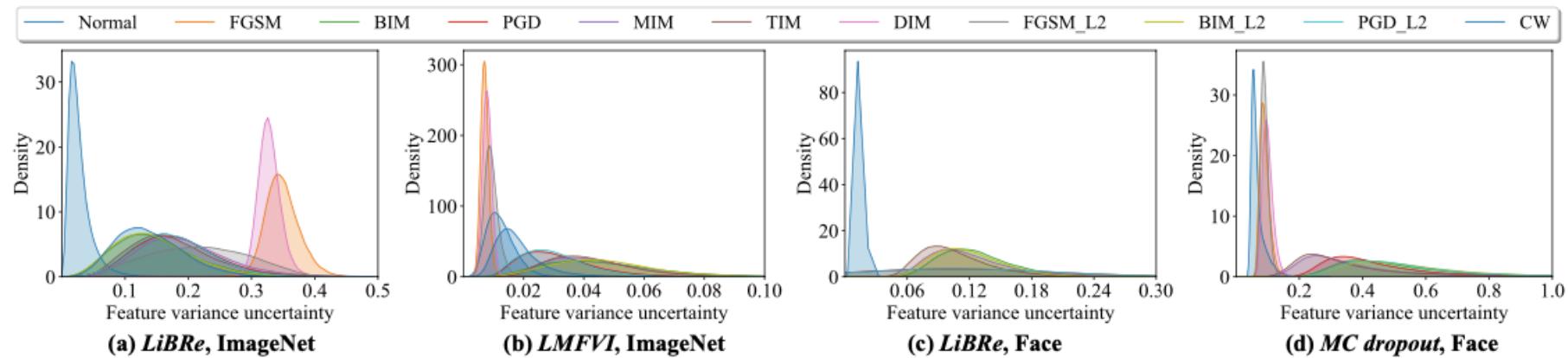
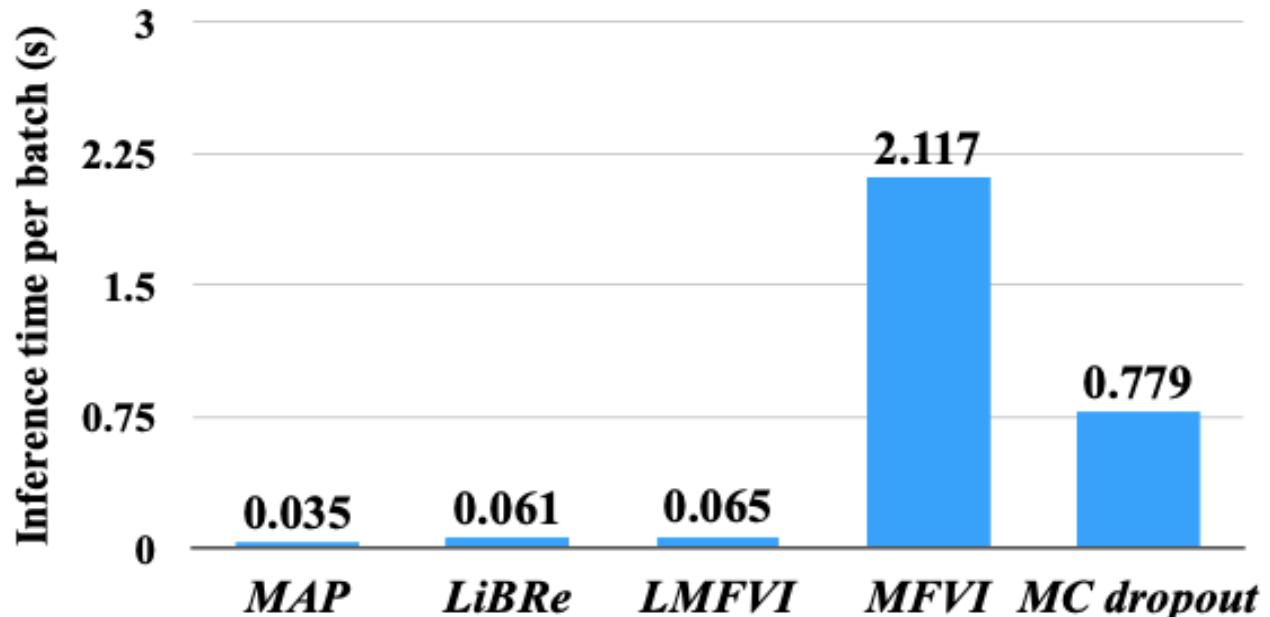
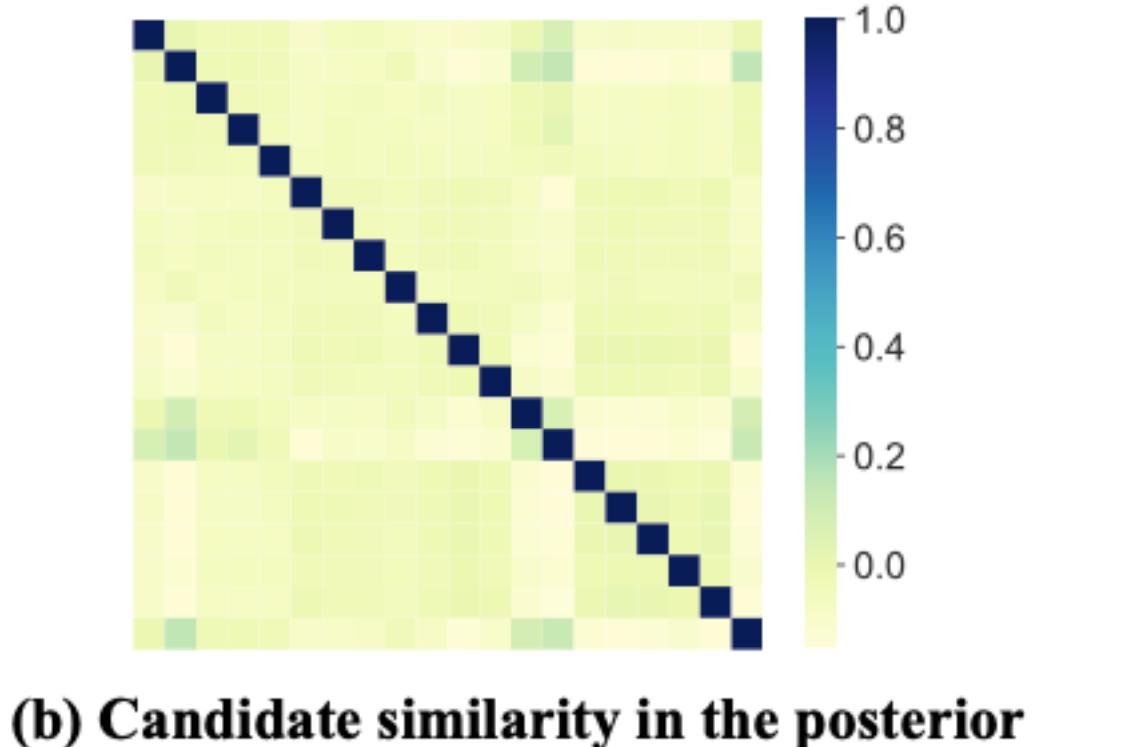


Figure 2: The histograms for the *feature variance* uncertainty of normal and adversarial examples given by *LiBRe* or the baselines.

# Experiments



**(a) Inference speed comparison**



**(b) Candidate similarity in the posterior**



Thanks

