

# Heuristic search

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# Acknowledgement

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# Outline

- 1 Generate-and-Test
- 2 Hill Climbing
- 3 Simulated Annealing
- 4 Best-First Search
- 5 Genetic Algorithm

## Algorithm

- 1 Generate a possible solution
- 2 Test to see if this is actually a solution
- 3 Quit if a solution has been found. Otherwise, return to step 1

# Generate-and-Test

- Acceptable for simple problem
- Inefficient for problems with large space

# Generate-and-Test

- **Exhaustive** generate-and-test.
- **Heuristic** generate-and-test: not consider paths that seem unlikely to lead to a solution.
- **Plan** generate-and-test:
  - Create a list of candidates.
  - Apply generate-and-test to that list.

## Example (colored blocks)

Arrange four 6-sided cubes in a row, with each side of each cube painted one of four colors, such that on all four sides of the row one block face of each color is showing.

## Example (heuristic)

if there are more **red** faces than other colors then, when placing a block with several **red** faces, use few of them as possible as outside faces.



# Hill Climbing

Searching for a **goal state** = Climbing to the **top of a hill**

# Hill Climbing

- Generate-and-Test + **direction to move**.
- **Heuristic function**: estimate how close a given state to a goal state

## Algorithm

- ① Evaluate the initial state
- ② Loop until a solution is found or there are no new operators left to be applied:
  - Select and apply a operator
  - Evaluate the new state:
    - goal  $\rightarrow$  quit
    - better than current state  $\rightarrow$  new current state

## Algorithm

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not try all possible new states!

# Simple Hill Climbing

## Example (colored blocks)

Heuristic function: the sum of the number of different colors on each of the four sides (solution = 16).

## Heuristic function

Heuristic function as a way to inject **task-specific knowledge** into the control process.

# Steepest-Ascent Hill Climbing (Gradient Search)

- Considers **all the moves** from the current state.
- Selects **the best one** as the next state.

# Steepest-Ascent Hill Climbing (Gradient Search)

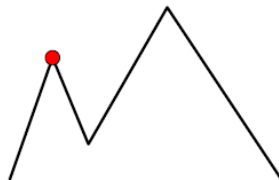
## Algorithm

- ① Evaluate the initial state
- ② Loop until a solution is found or there are no new operators left to be applied:
  - Apply all the possible operators
  - Evaluate **the best new state**:
    - goal  $\rightarrow$  quit
    - better than current state  $\rightarrow$  new current state

# Hill Climbing: Disadvantages

## Local maximum

A state that is better than all of its neighbors, but not better than some other states far away.





# Hill Climbing: Disadvantages

## Plateau

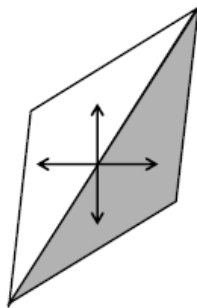
A flat area of the search space in which all neighboring states have the same value.



# Hill Climbing: Disadvantages

## Ridge

The orientation of the high region, compared to the set of available moves, makes it impossible to climb up. However, two moves executed serially may increase the height.



# Hill Climbing: Disadvantages

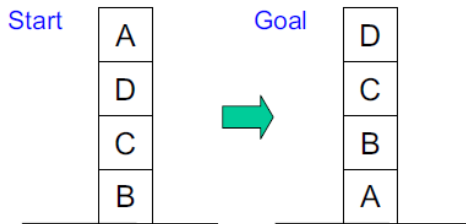
## Ways Out

- **Backtrack** to some earlier node and try going in a different direction.
- Make a **big jump** to try to get in a new section.
- Moving in **several directions** at once.

# Hill Climbing: Disadvantages

- Hill climbing is a **local method**: Decides what to do next by looking only at the “immediate” consequences of its choices.
- **Global information** might be encoded in heuristic functions.

# Hill Climbing: Block World



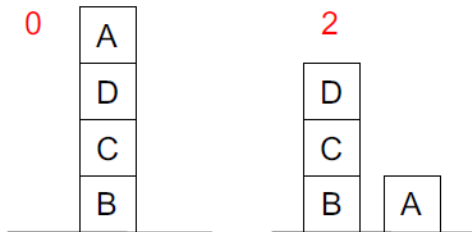
# Hill Climbing: Block World



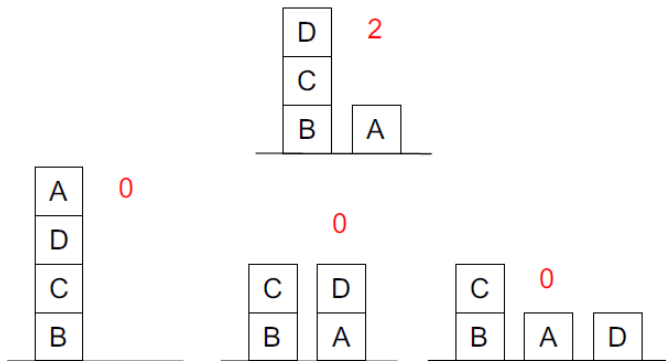
## Local heuristic:

- **+1** for each block that is resting on the thing it is supposed to be resting on.
- **-1** for each block that is resting on a wrong thing.

# Hill Climbing: Block World

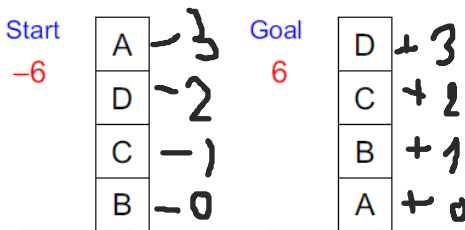


# Hill Climbing: Block World





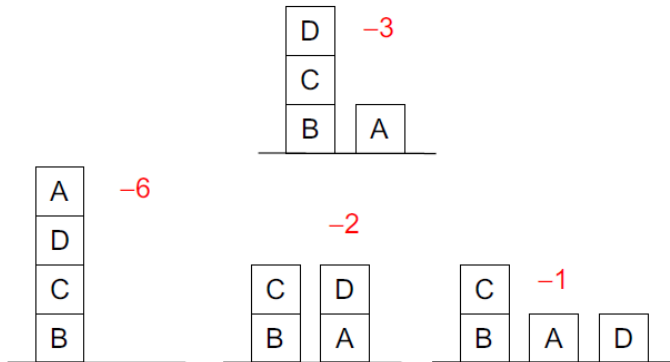
# Hill Climbing: Block World



## Global heuristic:

- For each block that has the correct support structure:  $+1$  to every block in the support structure.
- For each block that has a wrong support structure:  $-1$  to every block in the support structure.

# Hill Climbing: Block World



# Hill Climbing: Conclusion

- Can be very **inefficient** in a large, rough problem space.
- Global heuristic may have to **pay for computational complexity**.
- **Often useful** when combined with other methods, getting it started right in the right general neighborhood.

# Simulated Annealing

A variation of hill climbing in which, at the beginning of the process, some downhill moves may be made.

# Simulated Annealing

- To do **enough exploration of the whole space** early on, so that the final solution is relatively insensitive to the starting state.
- **Lowering the chances** of getting caught at a local maximum, or plateau, or a ridge.

## Physical Annealing

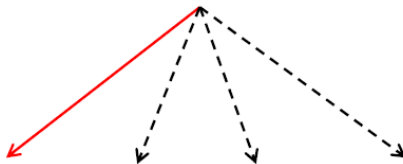
- Physical substances are melted and then **gradually cooled** until some solid state is reached.
- The goal is to produce a **minimal-energy state**.
- **Annealing schedule**: if the temperature is lowered sufficiently slowly, then the goal will be attained.
- Nevertheless, there is some **probability** for a transition to a higher energy state:  $e^{-\Delta E/kT}$

## Algorithm

- ① Evaluate the initial state
- ② Loop until a solution is found or there are no new operators left to be applied:
  - Set  $T$  according to an annealing schedule.
  - Selects and applies a new operator
  - Evaluate the new state:
    - goal  $\rightarrow$  quit
    - $\Delta E = \text{Val}(\text{current state}) - \text{Val}(\text{new state})$
    - $\Delta E < 0 \rightarrow$  new current state
    - else  $\rightarrow$  new current state with probability  $e^{-\Delta E/kT}$

## Depth-first search

- Pros: not having to expand all competing branches
- Cons: getting trapped on dead-end paths

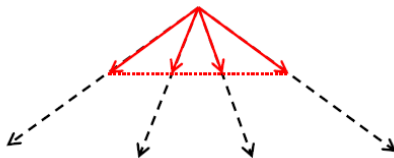




# Best-First Search

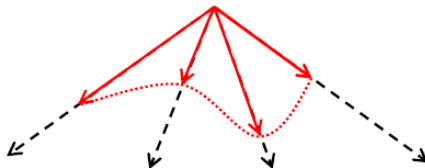
## Breadth-first search

- Pros: not getting trapped on dead-end paths
- Cons: having to expand all competing branches

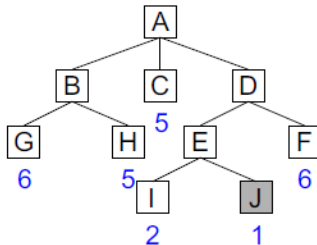
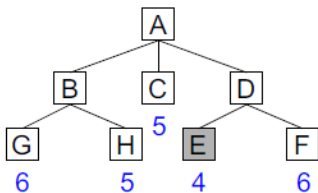
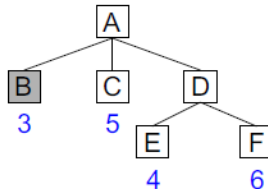
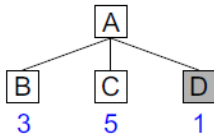


# Best-First Search

⇒ Combining the two is to **follow a single path at a time**, but **switch paths** whenever some competing path looks more promising than the current one.



# Best-First Search



# Best-First Search

- **OPEN**: nodes that have been generated, but have not examined.  
This is organized as a **priority queue**.
- **CLOSED**: nodes that have already been examined.  
Whenever a new node is generated, **check** whether it has been **generated before**.

## Algorithm

- ①  $OPEN = \{\text{initial state}\}.$
- ② Loop until a goal is found or there are no nodes left in OPEN:
  - Pick the best node in OPEN
  - Generate its successors
  - For each successor:
    - new  $\rightarrow$  evaluate it, add it to OPEN, record its parent generated before
    - $\rightarrow$  change parent, update successors

# Best-First Search

- Greedy search:

$h(n)$  = cost of the cheapest path from node  $n$  to a goal state.

# Best-First Search

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- Uniform-cost search:

$g(n)$  = cost of the cheapest path from the initial state to node  $n$ .

# Best-First Search

- Greedy search:

$h(n)$  = cost of the cheapest path from node  $n$  to a goal state.

Neither optimal nor complete

- Uniform-cost search:

$g(n)$  = cost of the cheapest path from the initial state to node  $n$ .



# Best-First Search

- Greedy search:

$h(n)$  = cost of the cheapest path from node  $n$  to a goal state.

Neither optimal nor complete

- Uniform-cost search:

$g(n)$  = cost of the cheapest path from the initial state to node  $n$ .

Optimal and complete, but very inefficient

# Best-First Search

Algorithm:  $A^*$  (Hart et al., 1968)

$$f(n) = g(n) + h(n)$$

$h(n)$  = cost of the cheapest path from node  $n$  to a **goal state**.

$g(n)$  = cost of the cheapest path from the **initial state** to node  $n$ .

# Best-First Search

Algorithm:  $A^*$  (Hart et al., 1968)

$$f^*(n) = g^*(n) + h^*(n)$$

$h^*(n)$  (heuristic factor) = estimate of  $h(n)$ .

$g^*(n)$  (depth factor) = approximation of  $g(n)$  found by  $A^*$  so far.

# Genetic Algorithm



Algorithm: Gradient search



Algorithm: Genetic algorithm

- A **genetic algorithm** is a heuristic search that **mimics** the process of **natural evolution**.
- There are **five phases**:
  - Initial Population
  - Fitness function
  - Selection
  - Crossover
  - Mutation

# Genetic Algorithm: Initial Population

- Identify the information already presents in the problem as well as information that needs to be computed or derived
- Encode the solution in a form that is analogous to biological's chromosomes or sequence of DNA
- Generate randomly states that satisfy the problem

# Genetic Algorithm: Initial Population

## Example (8-queens)

The eight queens puzzle is the problem of placing eight chess queens on an 8x8 chessboard so that no two queens threaten each other

## Representation

- Each state must have 8 queens
- One queen in each column
- Usually represented by a bit-string

EX: [1,2,3,4,5,6,7,8]

# Genetic Algorithm: Initial Population

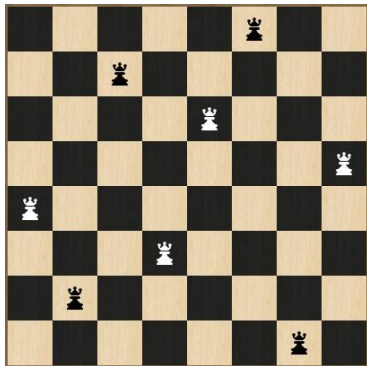


Figure: [4,2,7,3,6,8,1,5]

24748552

32752411

24415124

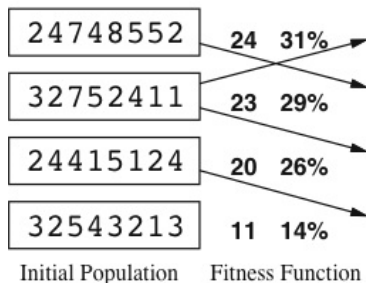
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Initial Population



# Genetic Algorithm: Fitness function

- Evaluate the “goodness” of each candidate solution
- The probability of being chosen for reproduction is based on your fitness score
- EX: 8-queens → fitness function: the number of nonattacking pairs of queens



- Fitness Proportionate Selection

based on probability of selection:  $p_i = f_i / \sum f_i$

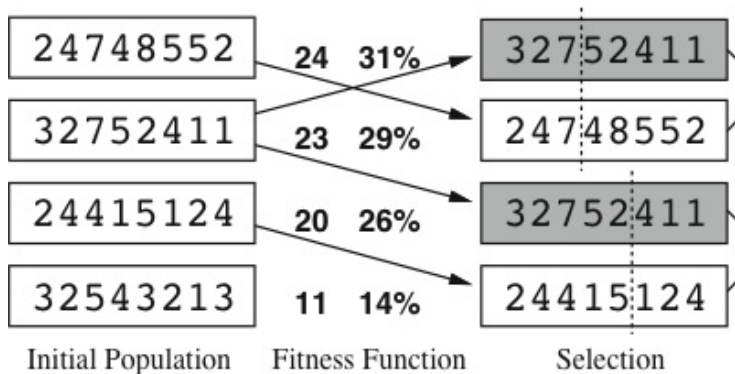
- Tournament Selection

based on pre-determine number  $T$  (tournament size)

- Rank Selection

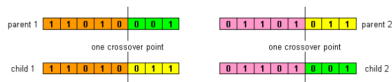
rank the individuals in the population in ascending order according to their fitness values. Then, select similar to “Fitness Proportionate Selection”

# Genetic Algorithm: Selection

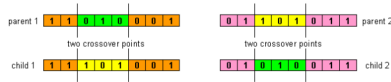


# Genetic Algorithm: Crossover

## Single-point Crossover

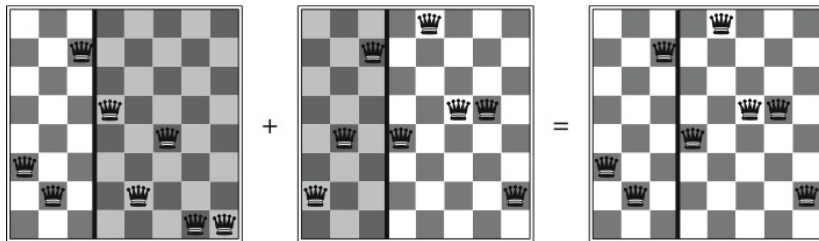
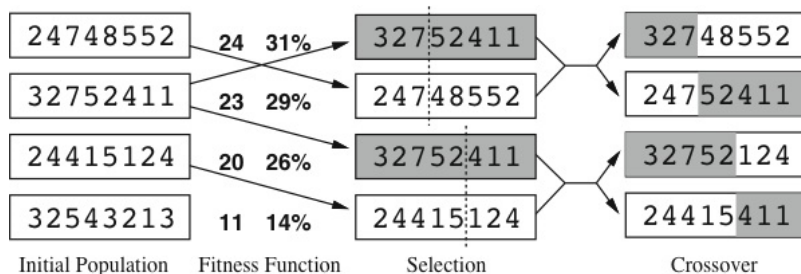


## Multi-point Crossover



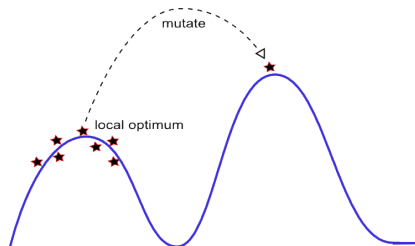
- Not all chosen chromosome pairs will undergo crossover
- $p_c$ : probability of crossover or crossover rate

# Genetic Algorithm: Crossover

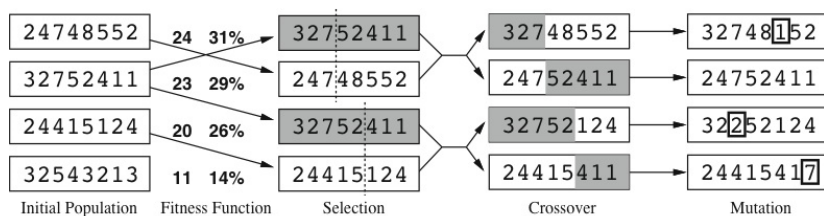


# Genetic Algorithm: Mutation

- Mutation **replaces the values** of some randomly chosen genes of a chromosome by some arbitrary new values
- **Bit inversion**
- $p_m$ : **probability of mutation** or **mutation rate**



# Genetic Algorithm: Mutation



- 8-puzzle
- Graph-coloring
- 8-queens
- Sudoku