

# CS 373 Notes

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## 1 General

Sizes	Examples	Countable?
Finite	{a,b}	yes
Countable Infinite	N,Z, Q	yes
Uncountable Infinity	R, Pow(R)	no

  

name	descriptipn	Machine
regular	LRk	D PDA
context free language	CFG	PDA

### 1.1 Starting off

1. Alphabet( $\Sigma$ ) = finite non empty set
2. N in this class starts at 0
3. A set X is countably infinite iff  $\exists$  a bijection  $f : \mathbb{N} \rightarrow X$

### 1.2 Strings

1. String(w) = sequence of characted in  $\Sigma$
  2.  $w: \{c_i \in \Sigma \mid 0 \leq i \leq n\}$
  3.  $|w| = n = \text{length of the string}$
  4.  $|w| = 0 \rightarrow w = \epsilon$
- (a) Careful  $\sigma \neq \emptyset$
5. Substring subsequence of characters in w
  6. Concatination:  $w_1 \cdot w_2$
  7. Reverse:  $w^r$
  8. Palindrum:  $w = w^r$

### 1.3 Language

1. Language(L) = set of strings
2.  $\Sigma^n = \{w : |w| = n\}$
3.  $\Sigma^0 = \{ \epsilon \}$
4.  $\Sigma^* = \cup_{i=0}^n \Sigma^i$ , Language of all strings

## 2 Regular Languages

### 2.1 Deterministic Finite Automotas

1. Finite state machine (M)
2. Takes a string of inputs
3. 2 types of states
  - (a) Accept
  - (b) Deny
4. There is 1 start state
5. The set of all strings accepted by language of M or L(A)
6. Formal Definition
  - (a) a Language  $A \in \Sigma^*$  is called regular iff there exists a DFA ,M, s.t.  
 $L(M) = A$
  - (b) DFA is a 5 tuple \$ M = (Q, \Sigma, \delta, q\_0, F)\$
    - i. Q is a finite set of states
    - ii.  $\Sigma$  is a finite alphabet
    - iii.  $\delta : Q \times \Sigma \rightarrow Q$  is the transition function
    - iv.  $q_0 \in Q$  is the initial state
    - v.  $F \subset Q$  is the set of accept states
  - (c)  $L(M) \equiv$  language of all accepted strings
7. Closure properties/Regular Operations on languages
  - (a)  $A_1$  and  $A_2$  are regular

- (b) Union:  $A_1 \cup A_2 = A_3$
- (c) Concatenate:  $A_1 A_2 = A_3$
- (d) Star:  $A_1^* = A_3$

## 2.2 Non-Deterministic Finite Automotas (NFA)

### 1. Formal Definition

- (a)  $M = (Q, \Sigma, \delta, q_0, F)$ 
  - i.  $Q$  = finite set of states
  - ii.  $\Sigma$  is a finite alphabet
  - iii.  $\delta = Q \times \Sigma_\epsilon \rightarrow Pow(Q)$ 
    - A.  $\Sigma_\epsilon = \Sigma \cup \epsilon$
  - iv.  $q_0$  = start state
  - v.  $F \subset Q$
- (b) NFA accepts  $w$  If we can write  $w = y_1 y_2 \dots y_n y_i \in \Sigma_\epsilon$  s.t. there exists a sequence of states path  $R = r_0, r_1, \dots$ 
  - i.  $r_0 = q_0$
  - ii.  $r_{i+1} \in \delta(r_i, y_{i+1})$  for  $i = 0, 1 \dots m-1$
  - iii.  $r_m \in F$

- 2. Useful Lemma: For all NFA,  $M$ , there exists an DFA  $N$ , s.t.  $L(m) = L(n)$

## 2.3 Regular Expressions

- 1. Def:  $R$  is a regex over a fixed alphabet iff one of the following is true:

- (a)  $R = a \in \Sigma$
- (b)  $R = \sigma$
- (c)  $R = \emptyset$
- (d)  $\$R = R_1 \cup R_2, \$$  given  $R_1 \wedge R_2$  are regex
- (e)  $\$R = R_1 R_2, \$$  given  $R_1 \wedge R_2$  are regex
- (f)  $R = R^*$

- 2. Order of operations

- (a) star
- (b) concatenation
- (c) union

### 3. Identities

- (a)  $a\emptyset = \emptyset$
- (b)  $a\sigma = \sigma$
- (c)  $\emptyset^* = \sigma$

## 2.4 Generalized NFA (GNFA)

### 1. Definition

- (a)  $Q$  = set of all states
- (b)  $Q^0 = Q - q_{start}, q_{accept}$
- (c) The start state has out edges to every  $q \in Q - q_{start}$ , and no in edges
- (d) The accept state has inedges from every  $q \in Q - q_{accept}$ , and no outedges
- (e) An edge exists from every  $q_1 \in Q^0$  to every  $q_2 \in Q^0$  even if  $q_1 = q_2$
- (f) Every edge is labeled with a regex

### 2. Useful lemma: Any NFA can be written as a GNFA

### 3. lemma: Given a GNFA, $M$ , with 2 states, the regex between the 2 states describes the language of $M$

## 2.5 Pumping Lemma for regular languages

If  $A$  is regular, then  $\exists p \in \mathbb{N}$  s.t.  $\forall s \in A$  for which  $|s| \geq p$ ,  $s$  can be written as  $xyz$  and satisfy the following condition:

- 1.  $\forall i \geq 0, xy^iz \in A$
- 2.  $|y| > 0$  i.e.  $y \neq \epsilon$
- 3.  $|xy| \leq p$

$p$  is called the “pumping length”

## 2.6 Substitutions

### 2.6.1 Substitution simple definition

- $A$  is a reg language and  $A \mapsto f(A), A \subseteq E^*$
- $A$  is described w. a regex and  $R_a$  is a regex using  $\Gamma$
- $\forall a \in \Sigma a \mapsto R_a$
- $\epsilon \mapsto \epsilon$  and  $\emptyset \mapsto \emptyset$

### 2.6.2 Homomorphism

- $A \mapsto h(A)$
- $a \mapsto w, w \in \Gamma^*, a \in \Sigma$

### 2.6.3 Inverse Homomorphism

- $h^{-1}(A) = \{w \in \Sigma^* | h(w) \in A\}$

## 2.7 DFA Minimization

### 2.7.1 Theory

Problem: Given a DFA,  $M$ , with  $L(M) = A$ , find another DFA,  $M_2$ , s.t.  $L(M) = L(M_2)$  and  $|Q_2|$  is as small as possible

- $\delta: Q \times \Sigma \rightarrow Q$   
 $\delta(\bar{q}, w)q \in Q, w \in \Sigma^*$   
 $\delta(\bar{q}, w) \equiv$  iterative call on delta for all  $w_i$  in  $w$   
If  $\exists w \in \Sigma^*$  s.t.  $[\delta(\bar{p}, w) \in F \text{ and } \delta q, w \notin F]$  or  $[\delta(\bar{p}, w) \notin F \text{ and } \delta q, w \in F]$  then  $p$  and  $q$  are distinguishable

### 2.7.2 Algorithm

```
for (p,q) in Q^2:
    if (p in F) and (not q in F):
        A.push((p,q)) # marked list
    else:
        B.push((p,q)) # unmarked list
for (p,q) in B:
```

```

if (delta(p,a),delta(q,a)) in B:
    A.push((p,q))

```

## 2.8 Reg Operations (closed under the Reg languages)

1.  $A_1 \cup A_2$
2.  $A_1 - A_2$
3.  $\bar{A}_1 = \Sigma^* - A_1$
4.  $A_1 \cap A_2$
5. Symmetric Diff
6.  $A_1 A_2$
7.  $A_1^*$
8.  $A^r$
9. Reg langagues are clased under subsitution
10. Reg langagues are clased under homomorphism
11. Reg langagues are clased under inverse homorphism
12. Reg langagues are clased under

## 3 Context Free Grammars

### 3.1 Formal Definition:

1.  $(V, \Sigma, R, S)$ 
  - (a)  $V$  = Finite set of variables or “non-terminals”
  - (b)  $\Sigma$  = finite set of terminals
    - i.  $\Sigma \cap V = \emptyset$
    - ii. Convention: Variables are uppercase, symbols are lowercase
  - (c)  $R$  = finite set of rules or “substitution rules” or “productions”
    1. Rules: examples
      - i.  $A \rightarrow aaBc|a$

- A. This means the for an A you can replace it with aaBc or  
a
- ii.  $A \Rightarrow OA1 \Rightarrow 00A11 \Rightarrow 001A011 \Rightarrow 001011$
- (d) S is the start variable
2.  $L(G) = \{w \in \Sigma^* | S \Rightarrow^* w\}$
3. Notation:
- (a) Variables: A,B,C...
- (b) Terminal: a,b,c,...0,1,\$ \epsilon
- (c)  $U \Rightarrow^* V$  is defined as  $\exists$  sequence  $U_1..U_k$  , s.t.  $U \Rightarrow U_1 \Rightarrow U_2 \Rightarrow \dots \Rightarrow U_k \rightarrow V$

### 3.2 Chomsky Normal form (CNF)

- All rules have the form
  - $A \rightarrow BC$ , where  $B, C$  cannot be  $S$
  - $A \rightarrow a$
  - if  $A \rightarrow \epsilon$  then  $A = S$
- Lemma: Any CFG can be written in CNF

### 3.3 Deterministic Push Down Automotas

- $M = (Q, \Sigma, \Gamma, \delta, q_0, F)$ 
  - $\delta : Q \times \Sigma_\epsilon \times \Gamma_\epsilon \rightarrow Q \times \Gamma_\epsilon$

### 3.4 Non-Deterministic Push Down Automotas (PDA)

- $M = (Q, \Sigma, \Gamma, \delta, q_0, F)$ 
  - $\delta : Q \times \Sigma_\epsilon \times \Gamma_\epsilon \rightarrow Pow(Q \times \Gamma_\epsilon)$



### 3.5 Relating PDA to CFL

- A language is context free iff  $\exists$  a PDA that recognizes it
  - Lemma: If A is CF, then  $\exists$  a PDA,  $M$ , s.t.  $A = L(M)$
  - Lemma:  $\forall$  PDA,  $M$ ,  $\exists$  CFL,  $G$ , s.t.  $L(G) = L(M)$ 
    - \* Proof Idea: Make a conical PDA (while preserving acceptance) as follows
      1. 1 accept states
      2. Stack is empty when accepting
      3. Every transition either push or pops but not both

### 3.6 Pumping Lemma for CFL's

#### 3.7 Closure Properties of CFL's

1.  $A_1 \cap A_2$
2.  $A_1 \cdot A_2$
3.  $A_1^*$
4. Closure under substitution

##### 3.7.1 Theorems for Closure

Let  $G_i = (V_i, \Sigma_i, R_i, S_i)$  for  $i=1,2$  and  $A_i = L(G_i)$

Without loss of generality, assume  $V_1 \cap V_2 = \emptyset \wedge S_3$

- Theorem: If  $A_1$  and  $A_2$  are CFL's, then  $A_1 \cup A_2$  is a CFL

Proof:

Let  $G_i = (V_i, \Sigma_i, R_i, S_i)$  for  $i=1,2$  and  $A_i = L(G_i)$

Without loss of generality, assume  $V_1 \cap V_2 = \emptyset \wedge S_3 \notin V_1 \cup V_2$

Construct  $G_3 = (V_1 \cup V_2 \cup \{S_3\}, \Sigma_1 \cup \Sigma_2, R_3, S_3)$  with

$R_3 = R_1 \cup R_2 \cup \{S_3 \rightarrow S_1 | S_2\}$ .  $\square$

- Theorem: If  $A_1$  and  $A_2$  are CFL's then  $A_1 \cdot A_2$  is a CFL

Proof:

$\notin V_1 \cup V_2$  Construct  $G_3 = (V_1 \cup V_2 \cup \{S_3\}, \Sigma_1 \cup \Sigma_2, R_1 \cup R_2 \cup \{S_3 \rightarrow S_1 S_2\}, S_3)$

- Theorem: If  $A_1$  and  $A_2$  are CFL's then  $A_1^*$  is a CFL

Proof: Construct  $G_3 = (V_1 \cup \{S_3\}, \Sigma_1, R_1 \cup \{S_2 \rightarrow S_1 S_2 \mid \epsilon\})$

### 3.8 CYK algorithm