

Barcode

(Fields marked as '*' are Mandatory)

(FOR BANK USE ONLY)

Application Date*: [D][D][M][M][Y][Y][Y][Y]

Tracker ID*:

Application type*: ☐ Updation (Change in Name and/or Demographics of existing customer)
 ☐ New (Mention Alloted Customer ID & Account Number below)

Customer ID : Account Number :

ENTITY DETAILS

APPLICANT DETAILS*

I/We have an existing relationship with YES BANK Ltd* – ☐ Yes# ☐ No

#(If Yes, pls provide Customer ID and Account Number, if No, pls proceed to Account Title)

[illegible]

Whether same details (as in existing Customer ID) to be captured ☐ Yes ☐ No (if No, Pls proceed to Account Title)

[illegible]

Date of incorporation * [D][D][M][M][Y][Y][Y][Y] **Date of commencement of business** [D][D][M][M][Y][Y][Y][Y]

PAN* OR ☐ Form 60 / 49A (if PAN not available)

CIN (Mandatory for Company/LLP)

ADDRESS DETAILS: MAILING / COMMUNICATION* *(Certified copy of valid address proof required)*

Address Line 1*

Address Line 2

Address Line 3

Landmark

City/Town/Village*

[illegible][illegible][illegible]

ADDRESS DETAILS: REGISTERED ADDRESS (For Entities) / RESIDENCE ADDRESS (For Proprietor / Karta of HUF)*

☐ **I / We** confirm that my registered address/residence address is same as mailing/communication address. If not, please fill the details below:

Address Line 1*

Address Line 2

Address Line 3

Landmark

City/Town/Village*

District

State/UT*

[illegible]

CUSTOMER ON-BOARDING FORM

(For Non-Individual Entities)



Barcode

(Fields marked as ** are Mandatory)

CONTACT DETAILS (Primary Authorized Signatory/ Contact Person)*:

Prefix	First Name
Name* <input type="text"/>	<input type="text"/>
Middle Name <input type="text"/>	<input type="text"/>
Last Name <input type="text"/>	<input type="text"/>
Mobile* <input type="text"/>	<input type="text"/>
Country Code	Number
Phone* <input type="text"/>	<input type="text"/>
Country Code	STD Code Number
Email ID (To be filled in CAPITAL BLOCK LETTERS only) <input type="text"/>	<input type="text"/>

CUSTOMER CATEGORY (Customer Type/ Nature of Industry)*

CUSTOMER TYPE:

Section I

Constitution*

- ☐ Sole Proprietorship ☐ Partnership Firm ☐ Limited Liability Partnership ☐ HUF
☐ Private Ltd. Company ☐ Public Ltd. Company# ☐ One Person Company (OPC)
☐ Sec 25 / Sec 8 Companies (Move to Section II D) ☐ Statutory Corporation (Formed under the act of Parliament/Assembly)
☐ Trust (Move to Section II D) ☐ Society (Move to Section II D) ☐ Association
 #In case of Public Ltd company, confirm whether Public Sector Undertaking – ☐ Yes ☐ No

Please confirm if the entity is any one of the following: (If **Not applicable**, Please move to Section III)

- ☐ Financial Institutions (includes Foreign Banks) → Move to Section II A
☐ Government Departments → Move to Section II B
☐ Foreign Entities/Bodies (except Foreign Banks) → Move to Section II C
☐ Co-operative Credit Societies → Move to Section II D

Section II

A. Financial Institutions including Foreign Banks (select any one)

- ☐ Indian Commercial Banks ☐ Co-operative Banks ☐ Foreign Banks ☐ Mutual Fund companies
☐ Term Lending Institutions ☐ Provident Fund Institutions ☐ Unit Trust of India ☐ NBFC
☐ Exchange Houses ☐ LIC ☐ Insurance Corporation/companies (other than LIC)
☐ Others (pls specify)

End of CUSTOMER CATEGORY (Customer type/Nature of Industry); Move to CUSTOMER PROFILING & DUE DILIGENCE

B. Government Departments (select any one)

- ☐ Central Government ☐ State Government ☐ State Electricity Board ☐ Defence Establishments
☐ Government Owned Companies ☐ Government Department ☐ Statutory Corporation / Bodies
☐ Local Authorities ☐ Regulators ☐ Quasi Government Bodies ☐ Non-Departmental Commercial Undertakings

End of CUSTOMER CATEGORY (Customer type/Nature of Industry); Move to CUSTOMER PROFILING & DUE DILIGENCE

C. Foreign Entities/bodies, other than Foreign Banks (select any one)

- ☐ Foreign Government ☐ Branch Office ☐ Consulates/Embassies ☐ Project Office ☐ Offshore Corporation
☐ Liaison Office Other Foreign Entities/Bodies (pls specify)

End of CUSTOMER CATEGORY (Customer type/Nature of Industry); Move to CUSTOMER PROFILING & DUE DILIGENCE

D. Trust/Societies (select any one)

- ☐ Public Charitable Trust ☐ Joint Liability Groups ☐ Religious Institutions/Trust ☐ Self-Help group
☐ Private/Family/Trust by will ☐ NGO/Welfare Organizations ☐ Credit Co-operative Society ☐ Educational Institutions/Trust
☐ Housing Societies ☐ Super Annuation Trust ☐ Non-credit co-operative societies
☐ Provident Fund/Gratuity/Group Gratuity Trust ☐ Benevolent Fund ☐ Credit /welfare society

Mandatory: Is the Trust/Society/Section 8 Company also a Non Profit Organization ☐ Yes ☐ No

End of CUSTOMER CATEGORY (Customer type/Nature of Industry); Move to CUSTOMER PROFILING & DUE DILIGENCE

CUSTOMER ON-BOARDING FORM

(For Non-Individual Entities)

CIF-02

YES BANK

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Section III

Nature of Business* (Non Mandatory if Section II is filled)

- | | | | | |
|------------------------------------|---|--|-------------------------------------|--------------------------------|
| <input type="radio"/> Retail Shops | <input type="radio"/> Retail Trading | <input type="radio"/> Wholesale/Distributor/C&F Agency | <input type="radio"/> Manufacturing | <input type="radio"/> Services |
| <input type="radio"/> Job work | <input type="radio"/> Commission Agency | <input type="radio"/> Contractual Work | <input type="radio"/> Broking | |

Nature of Industry*: (Non Mandatory if Section II is filled)

A			
<input type="radio"/> Agri Commodities	<input type="radio"/> Arms/Antique/Art Dealer/Explosives	<input type="radio"/> Auto Ancillaries	
<input type="radio"/> Auto Dealers (New vehicles / motor-cycles)	<input type="radio"/> Automobiles	<input type="radio"/> Airlines/ Airports	
<input type="radio"/> Auction House	<input type="radio"/> Advertising	<input type="radio"/> Architecture firm	<input type="radio"/> Auto Mechanics
<input type="radio"/> Attorneys (Immigration)	<input type="radio"/> Auto Finance Companies	<input type="radio"/> Artificial Jewellery	
B			
<input type="radio"/> Bakery/Confectionery	<input type="radio"/> Casino/Night Club/Betting	<input type="radio"/> Boat/Plane Dealership/Brokers	
<input type="radio"/> Book/Stationery Store	<input type="radio"/> Bullion Dealer	<input type="radio"/> BPO	<input type="radio"/> Bitcoin/Virtual Currencies
<input type="radio"/> Beauty Parlour			
C			
<input type="radio"/> Cement/Paints	<input type="radio"/> Chemical/Dyes	<input type="radio"/> CA/Audit firm	<input type="radio"/> C & F agents
<input type="radio"/> Catering	<input type="radio"/> Call Centre	<input type="radio"/> Consultancy firm	<input type="radio"/> Consumer Durables
<input type="radio"/> Construction	<input type="radio"/> Commodity Broker	<input type="radio"/> Chit Funds	<input type="radio"/> Chemist
<input type="radio"/> Cost Accountant Firm	<input type="radio"/> CS Firm		
D			
<input type="radio"/> Dairy/Poultry	<input type="radio"/> Departmental/Grocery Store	<input type="radio"/> Diagnostic/Research Centre	<input type="radio"/> DTP Operators
E			
<input type="radio"/> Electronics & Equipment's	<input type="radio"/> Electrical Components/Items	<input type="radio"/> Engineering goods	<input type="radio"/> Event Management
<input type="radio"/> Education	<input type="radio"/> Edible Oils	<input type="radio"/> Engineering, Procurement & Construction	
<input type="radio"/> Estate Agents			
F			
<input type="radio"/> Fisheries	<input type="radio"/> Food & Beverages	<input type="radio"/> FMCG	<input type="radio"/> Forex Dealer
<input type="radio"/> Furniture/Timber	<input type="radio"/> Fertilizers/Seeds/Pesticides	<input type="radio"/> Facilities Management	<input type="radio"/> Facilities Maintenance
<input type="radio"/> Fast Food	<input type="radio"/> Film Production/Distribution House	<input type="radio"/> Footwear	<input type="radio"/> Fast Food
G			
<input type="radio"/> Gems & Jewellery	<input type="radio"/> Guest house/Service Apt	<input type="radio"/> Garment Store	<input type="radio"/> Gambling/Gaming Business
<input type="radio"/> Garage Services			
H			
<input type="radio"/> Hospitality	<input type="radio"/> Hospitals/ Healthcare	<input type="radio"/> Health Clubs/Gymnasium	<input type="radio"/> Housing Finance
<input type="radio"/> Hotels/Resorts	<input type="radio"/> Handicrafts	<input type="radio"/> Housing Finance Companies	<input type="radio"/> Hardware
I			
<input type="radio"/> IT Consulting	<input type="radio"/> Iron & Steel	<input type="radio"/> IT Service Provider	<input type="radio"/> IT Hardware
<input type="radio"/> Internet Cafe	<input type="radio"/> Internet Service Provider	<input type="radio"/> Investment Management (Personal)	
<input type="radio"/> Import/Export (Not used for own Manufacturing and Retailing)			
L			
<input type="radio"/> Labour Supply	<input type="radio"/> Logistics	<input type="radio"/> Luxury & Lifestyle	<input type="radio"/> Life science
<input type="radio"/> Lottery	<input type="radio"/> Law firm	<input type="radio"/> Liquor/Standlone Wine Shop (Excluding Licensed Bar)	
M			
<input type="radio"/> Media/Entertainment	<input type="radio"/> Money changer	<input type="radio"/> Money lenders	<input type="radio"/> Multi level Marketing
<input type="radio"/> Marble/Granite	<input type="radio"/> Money Transfer/Agents	<input type="radio"/> Mining/Metal & Metal Products	<input type="radio"/> Movie Theatres
<input type="radio"/> Manpower Supply	<input type="radio"/> Meat	<input type="radio"/> Money Management	

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[illegible]

CUSTOMER PROFILING INFORMATION

SOURCE OF FUNDS* – Mandatory for commercial entities** (multiple options may be shaded)

<input type="radio"/> Rent	<input type="radio"/> Sales Proceeds	<input type="radio"/> Capital Contribution	<input type="radio"/> Income from Investments	<input type="radio"/> Investment Received
<input type="radio"/> Dividends	<input type="radio"/> Incentives	<input type="radio"/> Commissions	<input type="radio"/> Grants	<input type="radio"/> Donations
<input type="radio"/> Fees	<input type="radio"/> Subscription	<input type="radio"/> Loans & Advances	<input type="radio"/> Reimbursement	<input type="radio"/> Consultancy Income
<input type="radio"/> Professional Fees	<input type="radio"/> Membership fees	<input type="radio"/> Gift	<input type="radio"/> Ancestral Property	<input type="radio"/> Prize Money

****Commercial entities are entities required to fill Section III of customer category**

BUSINESS DETAILS*:[illegible]**BUSINESS ASSESSMENT DETAILS:**

Locality of Office*: ☐ Commercial ☐ Residential ☐ Residential-cum-Commercial
☐ Industrial Estate ☐ Slum ☐ Chawl
 Others (p/s specify) _____

Type of Office*:	<input type="radio"/> Commercial	<input type="radio"/> Shop	<input type="radio"/> Factory
	<input type="radio"/> Production Outfit	<input type="radio"/> Small Production Unit	<input type="radio"/> Workshop
	<input type="radio"/> Residence	<input type="radio"/> Business Centre	
	Others (pls specify)		

Business Premise*: ☒ Owned ☐ Rented ☐ Co-working space

Vintage in Business*: ☐ 0 to 3 months ☐ 3 to 6 months ☐ 6 to 1 year ☐ 1 to 3 years ☐ > 3 years

FUNDS/TURNOVER DETAILS:

Annual Gross Turnover for Entities/Annual Gross Revenue for TASC*

☐ < 1 cr
 ☐ >= 1 cr - <3 cr
 ☐ >= 3 cr - <5 cr
 ☐ >= 5 cr - <15 cr
 ☐ >= 15 cr - <50 cr
 ☐ >= 50 cr - <75 cr
☐ >= 75 cr - <100 cr
 ☐ >= 100 crs - < 200 crs
 ☐ >= 200 cr = < 250 cr
 ☐ >= 250 cr

CUSTOMER ON-BOARDING FORM

(For Non-Individual Entities)

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DETAILS OF VARIOUS REGISTRATION CERTIFICATE

FREQUENTLY USED DOCUMENTS:

Sr No.	Document Name	Entity Proof	Address Proof	Registration Number (if applicable)
1	Goods & Service Tax Registration Certificate [#]	<input type="radio"/>	<input type="radio"/>	<div> <div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div> </div> <p>[#](Invoices, if any, will be generated on mentioned GSTN; For different and / or multiple GSTN submission, refer relevant annexure)</p>
2	Shop Act License/ Trade License	<input type="radio"/>	<input type="radio"/>	<div> <div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div> </div>
3	Utility Bills in the name of the Entity	<input type="radio"/>	<input type="radio"/>	Name of Service Provider <div> <div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div> </div> Consumer No. <div> <div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div> </div>
4	Registration cert. with Statutory/Regulatory Entity	<input type="radio"/>	<input type="radio"/>	<div> <div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div> </div>
5	PAN card / Pan intimation letter in the name of the Entity	<input type="radio"/>	<input type="radio"/>	PAN No. <div> <div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div> </div>
7	One month bank account statement in the name of the Entity with scheduled commercial bank	<input type="radio"/>	<input type="radio"/>	Name of the Bank <div> <div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div> </div> Account No. <div> <div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div> </div>
8	IEC Certificate	<input type="radio"/>	<input type="radio"/>	<div> <div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div> </div>

OTHER DOCUMENTS: (Refer annexure of documents)

Document Name	Entity Proof	Address Proof	Registration Number (if applicable)
<div> <div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div> </div>	<input type="radio"/>	<input type="radio"/>	<div> <div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div> </div>

FATCA DECLARATION: Mandatory in case of Entities other than Sole Proprietorship (Section A, B & C to be filled up by all, rest as applicable)

Section A: Address for Tax Purpose*

I. Same as the address mentioned in Bank record (shade as applicable)

☐ Mailing address ☐ Registered address ☐ Other (provide detailed address below)

Address Line 1

Address Line 2

Address Line 3

Landmark

City/Town/Village

District

State/UT

Country

Pin code

CUSTOMER ON-BOARDING FORM

(For Non-Individual Entities)

CIF-02

YES BANK

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II. Type of the provided address (shade as applicable)

☐ Residential ☐ Business ☐ Registered office

Section B: Mandatory questions (Applicable to all entities)

Sr no	Particulars	Shade where applicable
1	Is the entity tax resident in any country/countries outside India	<input type="radio"/> Yes <input type="radio"/> No
2	Is the entity incorporated in the United States? (including a Trust, if the trustee is a US citizen or resident)	<input type="radio"/> Yes <input type="radio"/> No
		If answers of any one of the question is 'Yes' please fill up FATCA Annexure additionally

SECTION C : Response to all particulars/questions is mandatory (Applicable to all entities)

Note: If answer of any one question (between I, II & III) is 'Yes' proceed to Section E.

<p>Question I: Is company a publicly traded company?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>.....</p> <p>If 'Yes' selected, please enter the name of the stock exchange where it is listed:</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>	<p>Question II: Is the captioned entity a related entity of a publicly traded company?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>.....</p> <p>If 'Yes' selected, please confirm:</p> <p>a. The name of stock exchange:</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div> <p>b. Nature of Relation: (Shade any one)</p> <p><input type="radio"/> Subsidiary of listed company</p> <p><input type="radio"/> Controlled by a listed company</p> <p><input type="radio"/> Common Control as listed entity</p>	<p>Question III: Is the entity a Government entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the mentioned entity types in question I and II ?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p>
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Section D: Applicable for Financial Institution (FI) or entities confirming 'No' in each of the parameters in Section C (Response to all the questions are mandatory)

<p>Question I: Is the entity a Financial Institution (FI)?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>.....</p> <p>If 'Yes' selected, Separate FATCA/CRS Annexure to be filled up. Not required to answer Question II and III.</p>	<p>Question II: Is the entity a passive NFE^E?</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>.....</p> <p>If 'Yes' selected, Separate FATCA/CRS Annexure to be filled up</p> <p>If answer is 'No', please specify sub-category of active NFE- (Mention Code by referring instructions)</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div> <p>No need to answer question III.</p>	<p>Question III: Does the entity have any ultimate beneficial owners^A (incl. controlling persons) who are tax residents (incl. U.S citizens/green card holders) of countries other than India</p> <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>.....</p> <p>If 'Yes' selected, Separate FATCA/CRS Annexure to be filled up</p>
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Section E: Declaration of Authorized signatory for entities other than Sole Proprietorship (shade appropriately)

☐ I have understood the information requirements of this Form (read along with the FATCA-CRS Instructions) and hereby confirm that the information provided by me on this Form is true, correct, and complete. In case of any changes, I will inform the Bank within 30 days.

I/We hereby declare that the information provided herein is true and correct.

[illegible]

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Signature of the Authorized Signatory (with stamp)*
(To be signed by any one Signatory)