

# Large AdS black holes from QFT

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Based on 1810.12067 and 1811.08646 with  
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Black Holes & Holography @ TSIMF, 7 Jan 2019

# Black Hole

- Classically, absolute zero temperature due to strong attraction force.
- Proper thermodynamic system with finite temperature and entropy:

$$T = \frac{\kappa}{2\pi} \text{ Hawking temperature}$$

$$S_{\text{BH}} = \frac{A}{4G_N} \text{ Bekenstein-Hawking entropy}$$

- Thermodynamic entropy has statistical, microscopic interpretation.
- Boltzmann interpretation of Bekenstein-Hawking entropy?

$$\frac{A}{4G_N} = \log \Omega_{\text{micro}}$$

- Yes, for many black hole solutions engineered in string theory.  
[Strominger, Vafa][Breckenridge, Myers, Peet, Vafa][Dijkgraaf, Verlinde, Verlinde] ...
- In this talk, I want to answer the question for some AdS black holes.  
[Benini, Hristov, Zaffaroni][Azzurli et al.][Hosseini, Hristov, Passias][Hosseini, Yaakov, Zaffaroni] ...

# AdS-Schwarzschild Black Hole

- Example: AdS-Schwarzschild solution.

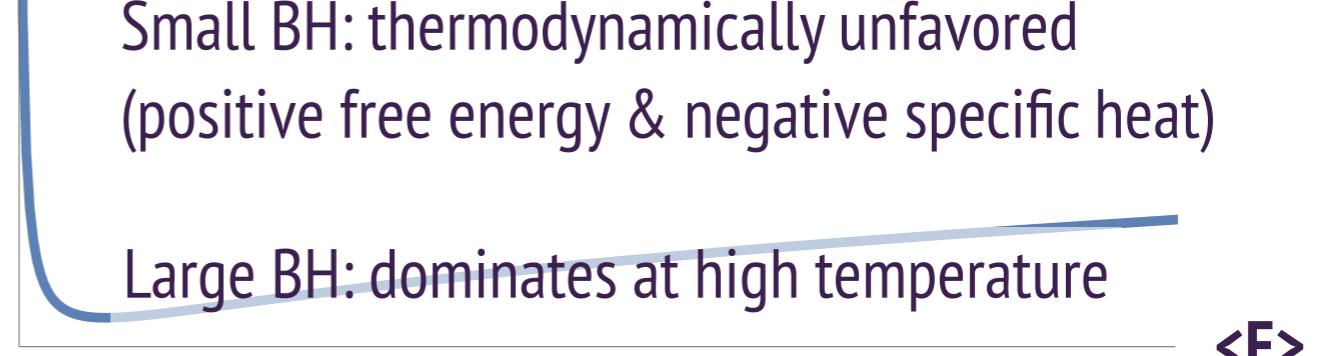
[Hawking, Page]

$$ds^2 = \left( \frac{r^2}{b^2} + 1 - \frac{w_2 M}{r^2} \right) dt^2 + \frac{dr^2}{\left( \frac{r^2}{b^2} + 1 - \frac{w_2 M}{r^2} \right)} + r^2 d\Omega_3^2$$

- Smooth and complete iff the  $t$ -coordinate has the periodicity:

$$t \sim t + \beta \quad \text{with} \quad \beta^{-1} = \frac{r_+}{\pi b^2} + \frac{1}{2\pi r_+}, \quad r_+^2 = -\frac{b^2}{2} + \frac{b}{2}\sqrt{b^2 + 4w_2 M}$$

- Two distinct branches:  $T$



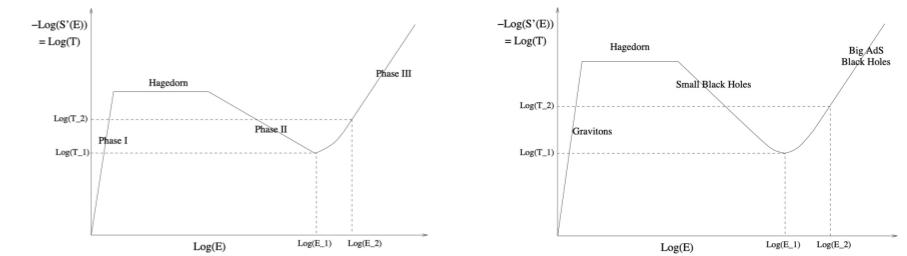
- Hawking-Page transition

- $T < T_{HP}$ : thermal graviton in the global AdS.
- $T > T_{HP}$ : large AdS-Schwarzschild black hole.  $F \sim 1/G_N \sim N^2$ .

# Deconfinement Phase Transition

- In the large N gauge theory, the Hawking-Page transition is realized as the deconfinement phase transition. [Witten '98]
- Even in the weakly coupled regime of some large N gauge theory, the phase diagram looks analogous to that of AdS black hole.

[Aharony, Marsano, Minwalla, Papadodimas, Van Raamsdonk]



- This talk: 1/16 BPS black hole in  $\text{AdS}_{5(7)}$ .

$\text{AdS}_5$  [Gutowski, Reall] [Kunduri, Lucietti, Reall] [Chong, Cvetic, Lu, Pope] [Wu],  $\text{AdS}_7$  [Chong, Cvetic, Lu, Pope] [Chow]

- $U(1)^2 \subset SO(4)$  angular momenta,  $U(1)^3 \subset SO(6)$  electric charges.
- Preserves 2 supercharges.

$$Q_{--}^{+++}, S_{++}^{---} \rightarrow E = J_1 + J_2 + Q_1 + Q_2 + Q_3$$

- Exhibits  $O(N^2)$  entropy at  $O(N^2)$  angular momenta and charges.

$$S = 2\pi \sqrt{Q_1 Q_2 + Q_2 Q_3 + Q_3 Q_1 - \frac{1}{2} N^2 (J_1 + J_2)} \sim \mathcal{O}(N^2)$$

# Plan

- Introduction
- Large  $\text{AdS}_5$  black holes from  $N=4$  SYM
- Deconfinement phase transition in  $N=4$  SYM
- Conclusion

# Superconformal Index

- Enumerate all BPS microstates preserving supercharges  $\mathcal{Q}_{--}^{+++}, \mathcal{S}_{++}^{---}$
- Index of N=4 Yang-Mills on  $S^3 \times R^1$ : [Romelsberger] [Kinney, Maldacena, Minwalla, Raju]

$$Z = \text{Tr} \left[ e^{-\beta \mathcal{E}} \prod_{I=1}^3 e^{-\Delta_I Q_I} \prod_{i=1}^2 e^{-\omega_i J_i} \right] \quad \text{with} \quad \mathcal{E} = \{\mathcal{Q}_{--}^{+++}, \mathcal{S}_{++}^{---}\}$$

- Chemical potentials for  $SO(4)$  isometry and  $SO(6)$  R-symmetry.

$$\sum_{I=1}^3 \Delta_I = \sum_{i=1}^2 \omega_i + 2\pi i \quad \longrightarrow \quad \begin{aligned} \{e^{-\Delta_I Q_I - \omega_i J_i}, \mathcal{Q}_{--}^{+++}\} &= 0 \\ \{e^{-\Delta_I Q_I - \omega_i J_i}, \mathcal{S}_{++}^{---}\} &= 0 \end{aligned}$$

- Independent of the regulator  $\beta$ , so formally take  $\beta \rightarrow 0$ .
- SUSY protection. Can be evaluated from the free QFT calculus.

$$Z = \oint [d\alpha] \cdot \exp \left[ \sum_{a,b=1}^N \sum_{n=1}^{\infty} \frac{1}{n} \left( 1 + \sum_{s_1, s_2, s_3 = \pm 1} \frac{s_1 s_2 s_3 (-1)^{n-1} e^{\frac{n s_I \Delta_I}{2}}}{2 \sinh \frac{n \omega_1}{2} \cdot 2 \sinh \frac{n \omega_2}{2}} \right) e^{i n \alpha_{ab}} \right]$$

- Agrees with the BPS graviton index at low “temperature”.

# Asymptotic Free Energy

- Does the index see the deconfinement at high “temperature”?
- Consider the asymptotic free energy in the Cardy limit  $|\omega_{1,2}| \ll 1$  where large angular momentum (“energy”) states dominate.
- Saddle point approximation:  $\alpha_1 = \dots = \alpha_N$  is most important.

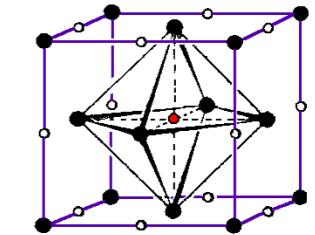
$$\log Z = -\frac{N^2}{\omega_1 \omega_2} \sum_{s_1 s_2 s_3 = +1} \left[ \text{Li}_3 \left( -e^{\frac{s_I \Delta_I}{2}} \right) - \text{Li}_3 \left( -e^{-\frac{s_I \Delta_I}{2}} \right) \right]$$

Use tri-logarithm identity

$$\text{Li}_3(-e^x) - \text{Li}_3(-e^{-x}) = -\frac{x^3}{6} - \frac{\pi^2 x}{6}$$

in the “canonical chamber”

$$-2\pi < \text{Im}(+\Delta_1 + \Delta_2 + \Delta_3) < 2\pi, \quad -2\pi < \text{Im}(+\Delta_1 - \Delta_2 - \Delta_3) < 2\pi \\ -2\pi < \text{Im}(-\Delta_1 + \Delta_2 - \Delta_3) < 2\pi, \quad -2\pi < \text{Im}(-\Delta_1 - \Delta_2 + \Delta_3) < 2\pi$$



$$\log Z = \frac{N^2 \Delta_1 \Delta_2 \Delta_3}{2\omega_1 \omega_2} \quad \text{with} \quad \sum_{I=1}^3 \Delta_I = \sum_{i=1}^2 \omega_i + 2\pi i$$

- Respects the  $4\pi i$  periodicity of chemical potentials.

# Asymptotic Free Energy

- Alternative derivation: the background field action in  $\beta/r \ll \omega_{1,2} \ll 1$ 
  - $\beta$  corresponds to the circumference of the temporal circle.
  - $S^3$  effective action of background fields. [Di Pietro, Komargodski]

$$ds_4^2 = r^2 \left[ d\theta^2 + \sum_i n_i^2 d\phi_i^2 + \frac{r^2 (\sum_i \omega_i n_i^2 d\phi_i)^2}{\beta^2 (1 - r^2 \sum_i \frac{n_i^2 \omega_i^2}{\beta^2})} \right] + e^{-2\Phi} (d\tau + a)^2 \quad \text{metric} \qquad \mathcal{A}^I = -A_4^I a \quad \text{gauge field}$$

$$a = -i \frac{r^2 \sum_i \omega_i n_i^2 d\phi_i}{\beta (1 - \sum_i r^2 \frac{n_i^2 \omega_i^2}{\beta^2})} \quad \text{graviphoton} \qquad e^{-2\Phi} = 1 - r^2 \sum_i \frac{n_i^2 \omega_i^2}{\beta^2} \quad \text{dilaton} \qquad A_4^I = -\frac{i \Delta^I}{\beta} \quad \text{scalar}$$

- Most terms are suppressed. Only contribution comes from
- $$S_{\text{CS}} = -\frac{iN^2}{8\pi} \cdot \frac{\beta}{2\pi} \int_{S^3} C_{IJK} \left( A_4^I \mathcal{A}^J \wedge d\mathcal{A}^K + A_4^I A_4^J \mathcal{A}^K \wedge da + \frac{1}{3} A_4^I A_4^J A_4^K a \wedge da \right) = -\frac{N^2 \Delta_1 \Delta_2 \Delta_3}{2\omega_1 \omega_2}$$
- Gauge non-invariant CS terms: needed to match 't Hooft anomaly
  - Generalizable to non-Lagrangian QFTs, e.g., 6d (2,0) SCFT.

# Large AdS Black Hole

- Having obtained the large N superconformal index, take an inverse Laplace transformation to extract the degeneracy at given charges.

$$\Omega(Q_I, J_i) = \int d\Delta_I d\omega_i Z(\Delta_I, \omega_i) \exp \left( \sum_I \Delta_I Q_I + \sum_i \omega_i J_i \right)$$

- Asymptotic degeneracy at macroscopic charges can be evaluated by the saddle point approximation, i.e., the Legendre transformation of the asymptotic free energy in the Cardy limit. [Hosseini, Hristov, Zaffaroni]

$$S(\Delta_I, \omega_i) = + \frac{N^2 \Delta_1 \Delta_2 \Delta_3}{2\omega_1 \omega_2} + \sum_{I=1}^3 \Delta_I Q_I + \sum_{i=1}^2 \omega_i J_i \quad \text{with} \quad \sum_{I=1}^3 \Delta_I - \sum_{i=1}^2 \omega_i = 2\pi i$$

$$S(\Delta_I, \omega_i) = - \frac{N^3 (\Delta_1 \Delta_2)^2}{24\omega_1 \omega_2 \omega_3} + \sum_{I=1}^2 \Delta_I Q_I + \sum_{i=1}^3 \omega_i J_i \quad \text{with} \quad \sum_{I=1}^2 \Delta_I - \sum_{i=1}^3 \omega_i = 2\pi i$$

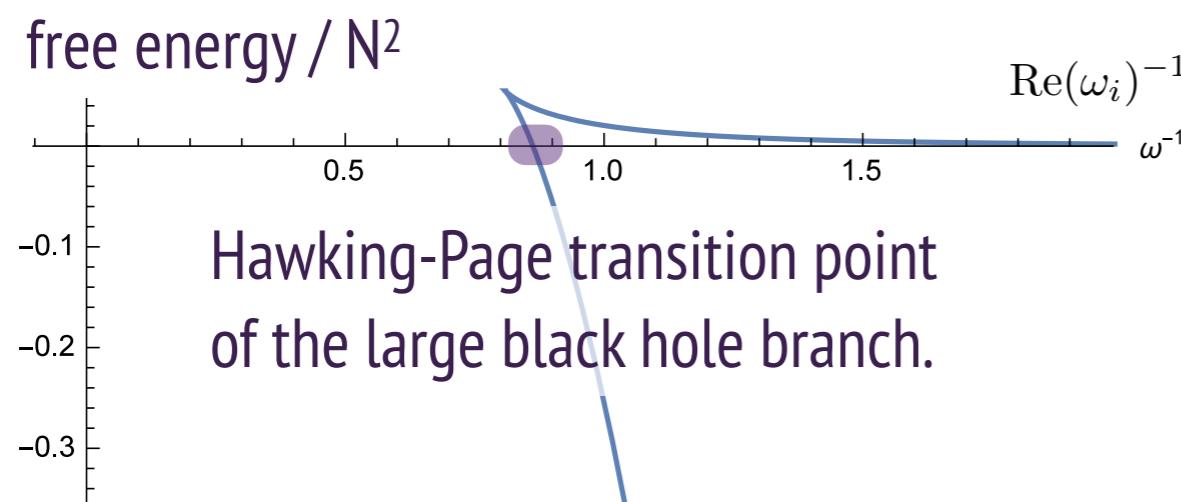
# Large AdS Black Hole

- Further imposing the charge relation of known BPS black holes, it matches the known entropy formula of BPS black holes!

$$\text{AdS}_5 : S = 2\pi \sqrt{Q_1 Q_2 + Q_2 Q_3 + Q_3 Q_1 - \frac{1}{2} N^2 (J_1 + J_2)}$$

$$\text{AdS}_7 : S = 2\pi \sqrt{\frac{3(Q_1^2 Q_2 + Q_1 Q_2^2) - N^3 (J_1 J_2 + J_2 J_3 + J_3 J_1)}{3(Q_1 + Q_2) - N^3}}$$

- Asymptotic free energy = the free energy of the known BH solution.



Small BH: thermodynamically unfavored  
(positive free energy, negative specific heat)

Large BH: dominates at high “temperature”

Analogous to AdS-Schwarzschild solution.

# Plan

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- Deconfinement phase transition in  $N=4$  SYM
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# Complex Fugacity

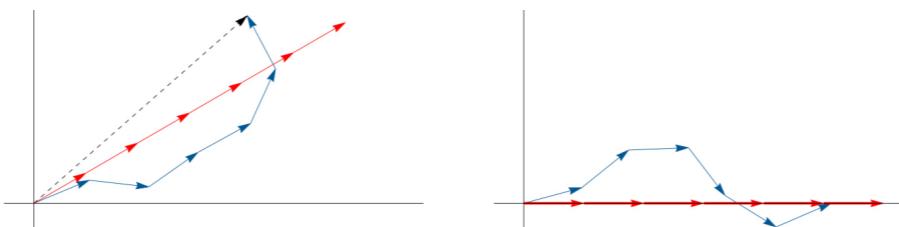
- Superconformal index clearly sees the deconfining phase at high “temperature,” in contrast to what had been commonly believed:  
*Massive boson/fermion cancelation prevents the index from seeing the  $O(N^2)$  free energy of deconfining phase.*
- B/F cancelation reduces the asymptotic degeneracy at two levels.
  - Case 1. the actual microstate degeneracy can be  $O(N^0)$ .
  - Case 2. the degeneracy grows quickly but with alternating signs.

$$\begin{aligned} & 1 + 3x^2 - 2x^3 + 9x^4 - 6x^5 + 11x^6 - 6x^7 + 9x^8 + 14x^9 - 21x^{10} + 36x^{11} - 17x^{12} - 18x^{13} + 114x^{14} - 194x^{15} \\ & + 258x^{16} - 168x^{17} - 112x^{18} + 630x^{19} - 1089x^{20} + 1130x^{21} - 273x^{22} - 1632x^{23} + 4104x^{24} - 5364x^{25} + 3426x^2 \\ & + 3152x^{27} - 13233x^{28} + 21336x^{29} - 18319x^{30} - 2994x^{31} + 40752x^{32} - 76884x^{33} + 78012x^{34} - 11808x^{35} + \dots \end{aligned}$$

Macroscopic charge approximation is insensitive to the change by 1 or 2 charge units. Nearby terms are effectively smeared out. The asymptotic degeneracy becomes  $O(N^0)$  after “cancelation”.

# Complex Fugacity

- The macroscopic B/F cancelation can be obstructed by introducing (relative) phase factors between nearby terms.



- Legendre transformation chooses an optimal value to maximize the asymptotic degeneracy.

$$\Delta_1 = \Delta_2 = \Delta_3 \text{ and } \omega_1 = \omega_2 \ll 1 \longrightarrow \Delta_1 = \Delta_2 = \Delta_3 = \frac{2}{3}\pi i$$

- Allowing the chemical potentials to be complex-valued, I want to trace how the deconfinement phase transition happens in the index.

[Aharony, Marsano, Minwalla, Papadodimas, Van Raamsdonk] [Kinney, Maldacena, Minwalla, Raju]

# Confining Saddle Point

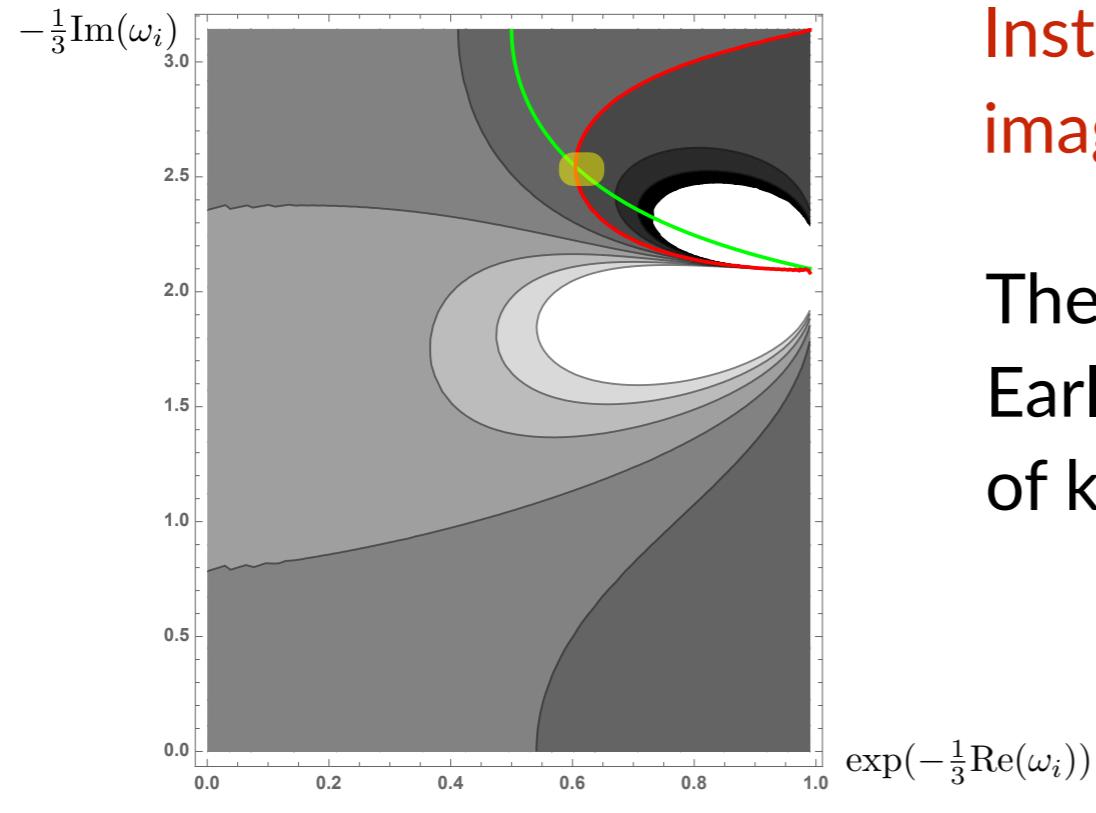
- Introducing the large  $N$  eigenvalue distribution, the index becomes

$$Z = \int \prod_{n \neq 0} d\rho_n \exp \left( -\sum_{n=1}^{\infty} \frac{N^2}{n} \frac{\prod_I (1 - e^{-n\Delta_I})}{\prod_i (1 - e^{-n\omega_i})} \rho_n \rho_{-n} \right) \quad \text{where} \quad \rho_n = \frac{1}{2\pi N} \sum_{a=1}^N e^{-in\alpha_a}$$

- Polyakov loop: an order parameter for (de)confinement transition.
- Confining saddle point:  $\rho_n = 0$ 
  - Most dominant saddle point at *real-valued* chemical potentials.
  - Gaussian integral = the supergraviton spectrum on  $\text{AdS}_5 \times \text{S}^5$ .
- Becomes unstable if  $\text{Re} \left[ \frac{\prod_I (1 - e^{-\Delta_I})}{\prod_i (1 - e^{-\omega_i})} \right] < 0$  with complex  $\Delta_I, \omega_i$ .

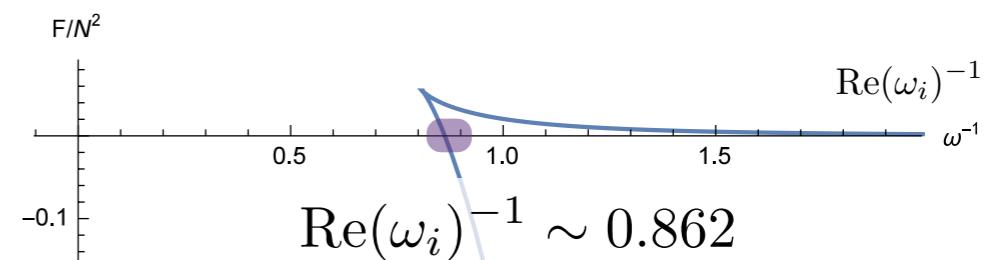
# Confining Saddle Point

- At equal charges and angular momenta,  $\Delta_1 = \Delta_2 = \Delta_3$  and  $\omega_1 = \omega_2$  the confining saddle point becomes unstable on the **red** line.



Instability arises only at suitably chosen imaginary value of chemical potentials.

The earliest instability point:  $\text{Re}(\omega_i)^{-1} \sim 0.663$   
Earlier than the Hawking-Page transition point  
of known  $\text{AdS}_5$  black hole



- Since true deconfinement should happen before/at the instability point, this implies the existence of new black hole saddle point!

# Conclusion

- Superconformal index sees the BPS black holes in  $\text{AdS}_{5/7}$ .
- The microstate degeneracy of known BPS black hole solution was obtained from the superconformal index at the Cardy limit.
- *Imaginary* chemical potentials obstructs the massive B/F cancelation.
- Confining saddle point, dominant at low “temperature,” becomes unstable at high “temperature” with *imaginary* chemical potentials.
- The earliest deconfining saddle point is *not* of the known black hole, thereby predicting a new BPS black hole in asymptotic  $\text{AdS}_5$ .
- Many other saddle points showing  $O(N^2)$  degeneracy was found.  
Can we identify them with some hairy BPS black hole solutions?