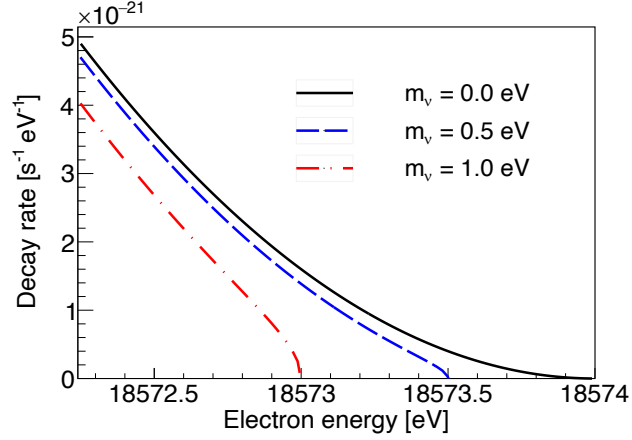


## The KATRIN spectrum

The differential spectrum of tritium  $\beta$ -decay can be described by Fermi's theory:

$$R_\beta(E_e) \propto F(E_e, Z) \cdot E_e \cdot E_\nu \cdot p_e \cdot p_\nu ,$$

with  $F(E_e, Z)$  being the Fermi function, followed by the phase space terms. Neutrino mass shows up in  $p_\nu = \sqrt{(E_0 - E)^2 - m_\nu^2}$ , as can be seen below:



Due to rotational and vibrational final state distribution of molecular tritium, the KATRIN differential spectrum is modified as

$$R'_\beta(E_e) = \sum_f P_f \cdot R_\beta(E_e - V_f) ,$$

where  $P_f$  is the probability of falling into the final state  $f$  with energy  $V_f$ .

The KATRIN measured spectrum from tritium  $\beta$ -decay is an integration over the differential spectrum, convolved with the response function:

$$R_{\text{int},\beta}(qU_i) = \int_{qU_i}^{\infty} R_\beta(E_e) \cdot f(E_e, qU_i) dE_e ,$$

in which  $f(E_e, qU_i)$  is the response for electron with energy  $E_e$  at a high voltage of  $U_i$ .

To account for possible statistical fluctuations, a negative  $m_\nu^2$  is allowed in the spectrum calculation. This results in an asymmetric profile log likelihood curve for  $m_\nu^2$ .