# Heart Failure Prediction A Data Mining Case Study Project

AQUINO, Kerwin Dominique B. LAGAZO, John Louise E. MANLAPIG, Ralph Miguel A. TADEO, Lorenz Christian E.

4CSC

Asst. Prof. Donata Acula, PhD CS ELEC 4C: Data Mining

May 16, 2023

#### Introduction

The "Heart Failure Clinical Data" dataset available on Kaggle provides comprehensive clinical information about patients diagnosed with heart failure. This dataset was originally compiled by Andrew V. Dluhoslavskyi and encompasses a wide range of attributes related to the health status, medical history, and lifestyle choices of individuals affected by heart failure. The dataset aims to support the development of predictive models and the exploration of factors contributing to heart failure.

Heart failure is a serious medical condition that occurs when the heart is unable to pump blood efficiently, leading to a reduced supply of oxygen to the body's organs. It affects millions of people worldwide and is a major cause of morbidity and mortality. Predicting heart failure occurrence and understanding the associated risk factors are crucial for early detection and intervention, improving patient outcomes, and managing healthcare resources effectively.

The dataset comprises a total of 299 instances, each represented by 13 features along with the target variable indicating whether the patient experienced a heart failure event or not. The features include demographic information, various clinical and laboratory test results, and lifestyle-related factors such as smoking and anemia.

# Background

Heart failure is a significant medical condition characterized by the heart's inability to pump blood effectively, resulting in reduced oxygen supply to the body's organs. To better understand the risk factors and predictors of heart failure, the "Heart Failure Clinical Data" dataset was created by Andrew V. Dluhoslavskyi and made available on Kaggle.

This dataset includes comprehensive clinical information from 299 patients diagnosed with heart failure. It encompasses demographic data, medical history, and various clinical and laboratory test results. By analyzing this dataset, researchers and data scientists can gain insights into the factors contributing to heart failure and develop predictive models for early detection and prevention.

With 13 features and a binary classification task, this dataset enables the development of accurate prediction models to identify individuals at risk. By leveraging machine learning techniques, healthcare professionals can improve patient care, implement targeted prevention strategies, and allocate resources efficiently. The table below describes the 13 features.

Attribute Description	Attribute	Description
-----------------------	-----------	-------------

Age	Age						
Anaemia	Decrease of red blood cells or hemoglobin (boolean)						
Creatinine Phosphokinase	Level of the CPK enzyme in the blood (mcg/L)						
diabetes	If the patient has diabetes (boolean)						
ejection_fraction	Percentage of blood leaving the heart at each contraction (percentage)						
high_blood_pressure	If the patient has hypertension (boolean)						
platelets	Platelets in the blood (kiloplatelets/mL)						
serum_creatinine	Level of serum creatinine in the blood (mg/dL)						
serum_sodium	Level of serum sodium in the blood (mEq/L)						
sex	Woman or man (binary)						
smoking	If the patient smokes or not (boolean)						
time	Follow-up period (days)						
DEATH_EVENT	If the patient deceased during the follow-up period (boolean)						

Exploring the "Heart Failure Clinical Data" dataset has the potential to advance cardiovascular research, enhance risk assessment models, and support personalized medicine approaches in heart failure management. By collaborating and leveraging data analysis, we can work towards reducing the impact of heart failure on individuals and society.

## **Objectives**

Exploring this dataset can offer valuable insights into the factors associated with heart failure and aid in the development of machine learning models for predictive analysis. Researchers, healthcare professionals, and data scientists can leverage this dataset to investigate potential risk factors, identify significant predictors, and build accurate models for predicting heart failure events.

In this notebook, we will conduct exploratory data analysis, visualize key relationships, preprocess the data, and apply machine learning algorithms to predict heart failure events

based on the available clinical data. By doing so, we hope to contribute to the understanding of heart failure and facilitate the development of effective strategies for its prevention and management.

## **Methodologies and Tools**

The dataset to be used in the study is the Heart Failure Prediction sourced from Kaggle, with the url of https://www.kaggle.com/datasets/andrewmvd/heart-failure-clinical-data. In order to perform the study, the proponents are to use data mining techniques namely data preprocessing, data discretization and binning, classification, and prediction. Google Colaboratory is to be used in this study, utilizing both Python and R languages. To accommodate the use of Python and R, per item, there shall be two Google Colaboratory files, one for Python and one for R.

#### **Discussion**

This section shows the data mining processes and their outputs using (1) Python, and (2) R. Included for each item is a summative narrative, discussing the results of a procedure. This section is divided into 4 major components pertaining to the different data mining techniques, (a) Data Preprocessing, (b) Data Discretization and Binning, (c) Classification, (d) Prediction.

#### **Data Preprocessing**

Figures 1.1 and 1.2 show the importing of the Heart Failure Clinical Records dataset to each of the Python and R dataframes, respectively.

```
[2] # Import Data
        hf_data <- read.csv('/content/heart_failure_clinical_records_dataset.csv')
        str(hf_data)
        'data.frame': 299 obs. of 13 variables:
                        : num 75 55 65 50 65 90 75 60 65 80 ...
         $ age
         $ anaemia
                                      : int 0001111101...
         $ creatinine_phosphokinase: int 582 7861 146 111 160 47 246 315 157 123 ...
         $ diabetes : int 0 0 0 0 1 0 0 1 0 0 ...
$ ejection_fraction : int 20 38 20 20 40 15 60 65 35 ...
         $ high_blood_pressure : int 1000010001..
         $ platelets : num 265000 263358 162000 210000 327000 ... $ serum_creatinine : num 1.9 1.1 1.3 1.9 2.7 2.1 1.2 1.1 1.5 9.4 ... $ serum_sodium : int 130 136 129 137 116 132 137 131 138 133 ... $ sev
                                     : int 130 136 129 137 116 132 137 131 138 133 ...
: int 1 1 1 1 0 1 1 1 0 1 ...
         $ sex
         $ smoking
$ time
$ DEATH_EVENT
                                     : int 0010010101...
                                      : int 4 6 7 7 8 8 10 10 10 10 ...
                                      : int 1111111111...
```

Figure 1.1. Heart Failure Clinical Records Dataset in R.

	age	anaemia	creatinine_phosphokinas	e diabetes	ejection_fraction	high_blood_pressure	platelets	serum_creatinine	serum_sodium :	sex s	smoking t	ime D	DEATH_EVENT
0	75.0	0	58	2 0	20	1	265000.00	1.9	130	1	0	4	1
1	55.0	0	786	1 0	38	0	263358.03	1.1	138	1	0	6	1
2	65.0	0	14	3 0	20	0	162000.00	1.3	129	1	1	7	1
3	50.0	1	11	1 0	20	0	210000.00	1.9	137	1	0	7	1
4	65.0	1	16	) 1	20	0	327000.00	2.7	116	0	0	8	1
hf	-	.tail(5)	ia creatinine_phosphokin	ase diabet	es ejection fractio	n high blood pressur	e platelet	s serum creatinine	serum sodium	Sex	smoking	time	DEATH EVENT
2	94 62		0	61		8	1 155000.					270	
2	95 5	5.0	0 1	320	0 3	8	0 270000.	0 1.2	139	0	0	271	0
2	96 4	5.0	0 2	060	1 6	0	0 742000.	0 0.8	138	0	0	278	0
2	97 4	5.0	0 2	413	0 3	8	0 140000.	0 1.4	140	1	1	280	0

Figure 1.2. Heart Failure Clinical Records Dataset in Python.

Figures 1.3 and 1.4 show if the dataset has any duplicates. Since the duplicate values are 0, there is no need to apply duplicate cleaning in the data frame.

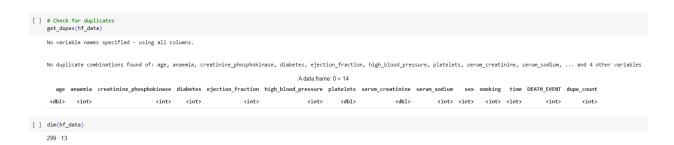


Figure 1.3. Checking for Duplicates in the Dataset using R.

```
[54] # Double Check if data is clean hf_data.duplicated().sum()
```

Figure 1.4. Checking for Duplicates in the Dataset using Python.

Figures 1.5 and Figure 1.6 show that in order for the dataset to be fully cleaned, checking for null values is important. Since there are none, we can proceed to the next step.

```
[ ] # Check for null values
    sum(is.na(hf_data))

0

[ ] # Since there are no null values, we can move forward.
```

Figure 1.5. Checking for Null Values in the Dataset using R.

```
age 0
anaemia 0
creatinine_phosphokinase 0
diabetes 0
ejection_fraction 0
high_blood_pressure 0
platelets 0
serum_creatinine 0
serum_sodium 0
sex 0
smoking 0
time 0
DEATH_EVENT 0
dtype: int64
```

Figure 1.6. Checking for Null Values in the Dataset using Python.

## **Data Discretization and Binning**

Data discretization and Binning are techniques used to transform continuous numerical data into categorical data by dividing it into bins or intervals. These methods are often applied to simplify the analysis of data or to reduce the noise of the dataset. In choosing the columns to discretize, the group chose the top 3 columns with the highest range of values in the dataset. Figures 2.1 and 2.2 show the process of data discretization and binning using Python and R.

```
[] # We are choosing to discretize these 3 columns as they have the highest range of values in the dataset
    age <- as.numeric(factor(hf_data2$age))
    ejection_fraction <- as.numeric(factor(hf_data2$platelets))
    platelets <- as.numeric(factor(hf_data2$platelets))
    time <- as.numeric(factor(hf_data2$platelets))

[] age <- hf_data2[,"age"]
    ejection_fraction <- hf_data2[,"ejection_fraction"]
    platelets <- hf_data2[,"platelets"]
    time <- hf_data2[,"time"]

[] age <- cut(age, "cluster", breaks = 3, include.lowest = TRUE, right = TRUE, labels = FALSE)
    ejection_fraction <- cut(ejection_fraction, "cluster", breaks = 3, include.lowest = TRUE, right = TRUE, labels = FALSE)
    platelets <- cut(platelets, "cluster", breaks = 4, include.lowest = TRUE, right = TRUE, labels = FALSE)
    time <- cut(time, "cluster", breaks = 3, include.lowest = TRUE, right = TRUE, labels = FALSE)</pre>
```

Figure 2.1 Discretizing Columns using R.

```
[] hf_data2 = hf_data
    le = LabelEncoder()
    hf_data2['age'] = le.fit_transform(hf_data2['age'].astype('str'))
    hf_data2['time'] = le.fit_transform(hf_data2['time'].astype('str'))
    hf_data2['ejection_fraction'] = le.fit_transform(hf_data2['ejection_fraction'].astype('str'))
    hf_data2['platelets'] = le.fit_transform(hf_data2['platelets'].astype('str'))

[] kmd= KBinsDiscretizer(n_bins = 3, encode = 'ordinal', strategy = 'kmeans')
    hf_data2[['age_kmd']] = kmd.fit_transform(np.array(hf_data2[['age']]))

[] kmd= KBinsDiscretizer(n_bins = 3, encode = 'ordinal', strategy = 'kmeans')
    hf_data2[['time_kmd']] = kmd.fit_transform(np.array(hf_data2[['time']]))

[] kmd= KBinsDiscretizer(n_bins = 3, encode = 'ordinal', strategy = 'kmeans')
    hf_data2[['ej_kmd']] = kmd.fit_transform(np.array(hf_data2[['ejection_fraction']]))

[] kmd= KBinsDiscretizer(n_bins = 4, encode = 'ordinal', strategy = 'kmeans')
    hf_data2[['platelets_kmd']] = kmd.fit_transform(np.array(hf_data2[['platelets']]))
```

Figure 2.2 Discretizing Columns using Python.

For this dataset, the group transformed the chosen columns with the highest range of values in the dataset into numeral data as it provides an easy way to divide continuous features into discrete intervals or bins based on different strategies.

#### Classification

## **Decision Tree Classification**

Decision tree classification is a machine learning algorithm used for both regression and classification tasks. It creates a tree-like model of decisions and their possible consequences. In the context of classification, a decision tree algorithm takes a dataset as input and splits it based on different features to classify the data into different classes.

• In R (Figures 3.1, 3.2, 3.3, 3.4, 3.5)

#### DT without Discretized Values

```
[ ] dt_model2 <- rpart(hf_data2$DEATH_EVENT ~ hf_data2$anaemia + hf_data2$creatinine_phosphokinase + hf_data2$diabetes
                                                  + hf_data2$high_blood_pressure + hf_data2$serum_creatinine + hf_data2$serum_sodium
                                                   + hf_data2$sex + hf_data2$smoking + hf_data2$time + hf_data2$age + hf_data2$ejection_fraction
                                                  + hf_data2$platelets, data = train_td, method = 'class')
    summary(dt_model2)
    rpart(formula = hf_data2$DEATH_EVENT ~ hf_data2$anaemia + hf_data2$creatinine_phosphokinase +
hf_data2$diabetes + hf_data2$high_blood_pressure + hf_data2$serum_creatinine +
hf_data2$serum_sodium + hf_data2$sex + hf_data2$smoking +
hf_data2$time + hf_data2$age + hf_data2$ejection_fraction +
hf_data2$platelets, data = train_td, method = "class")
       n= 299
               CP nsplit rel error
                                         xerror
                                                        xstd
                      0 1.0000000 1.0000000 0.08409628
    1 0.52083333
                         1 0.4791667 0.5104167 0.06667472
     2 0.02604167
     3 0.02083333
                         3 0.4270833 0.5937500 0.07075193
     4 0.01041667
                         4 0.4062500 0.5729167 0.06978618
                        6 0.3854167 0.5937500 0.07075193
     5 0.01000000
    Variable importance
                           hf_data2$time
                                                  hf_data2$serum_creatinine
                            hf_data2$age
                                                  hf_data2$ejection_fraction
                  hf_data2$serum_sodium hf_data2$creatinine_phosphokinase
                     hf data2$platelets
                                                              hf_data2$smoking
     Node number 1: 299 observations,
                                             complexity param=0.5208333
       predicted class=0 expected loss=0.3210702 P(node) =1 class counts: 203 96
        probabilities: 0.679 0.321
       left son=2 (223 obs) right son=3 (76 obs)
       Primary splits:
```

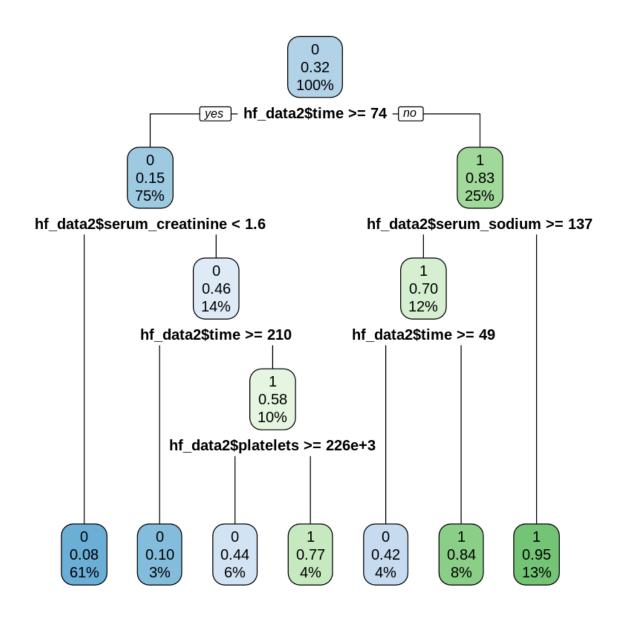


Figure 3.1. Decision Trees without Discretization using R

#### DT with Discretized Values

```
[ ] dt_model1 <- rpart(hf_data2$DEATH_EVENT ~ hf_data2$anaemia + hf_data2$creatinine_phosphokinase + hf_data2$diabetes
                                                  + hf_data2$high_blood_pressure + hf_data2$serum_creatinine + hf_data2$serum_sodium
                                                  + hf_data2$sex + hf_data2$smoking + hf_data2$disc_time + hf_data2$disc_age + hf_data2$disc_ej
                                                  + hf_data2$disc_platelets, data = train_td, method = 'class')
     summary(dt_model1)
     rpart(formula = hf_data2$DEATH_EVENT ~ hf_data2$anaemia + hf_data2$creatinine_phosphokinase +
         hf_data2$diabetes + hf_data2$high_blood_pressure + hf_data2$serum_creatinine +
         hf_data2$serum_sodium + hf_data2$sex + hf_data2$smoking + hf_data2$disc_time + hf_data2$disc_age + hf_data2$disc_ej + hf_data2$disc_platelets, data = train_td, method = "class")
               CP nsplit rel error
                                        xerror
                                                        xstd
     1 0.16666667
                        0 1.0000000 1.0000000 0.08409628
1 0.8333333 1.0416667 0.08498058
     2 0.08854167
     3 0.05208333
                         3 0.6562500 0.7604167 0.07737645
     4 0.02604167
                         4 0.6041667 0.7604167 0.07737645
                        6 0.5520833 0.7916667 0.07842462
8 0.5104167 0.7708333 0.07773208
     5 0.02083333
     6 0.01000000
     Variable importance
                      hf_data2$disc_time
                                                 hf_data2$serum_creatinine
                       hf_data2$disc_age
                                                        hf_data2$serum_sodium
                                       10
                        hf_data2$disc_ej hf_data2$creatinine_phosphokinase
          hf_data2$high_blood_pressure
                                                            hf_data2$diabetes
     Node number 1: 299 observations, complexity param=0.1666667
       predicted class=0 expected loss=0.3210702 P(node) =1 class counts: 203 96
        probabilities: 0.679 0.321
       left son=2 (173 obs) right son=3 (126 obs)
       Primary splits:
            hf data2$disc time
                                        < 1.5 to the right, improve=25.595820, (0 missing)
            hf_data2$serum_creatinine < 1.815 to the left, improve=19.045580, (0 missing)
            hf_data2$serum_sodium < 135.5 to the right, improve= 7.939970, (0 missing)
```

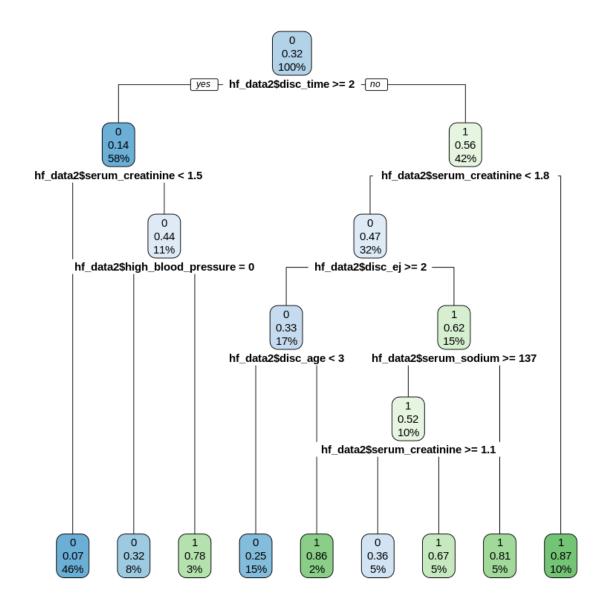


Figure 3.2. Decision Trees with Discretization using R

#### DT with all variables but with Discretized Platelets

```
'ca [64] dt_model3 <- rpart(hf_data2$DEATH_EVENT ~ hf_data2$anaemia + hf_data2$creatinine_phosphokinase + hf_data2$diabetes
</pre>
                                                                    + hf_data2$high_blood_pressure + hf_data2$serum_creatinine + hf_data2$serum_sodium
                                                                    + hf_data2$sex + hf_data2$smoking + hf_data2$time + hf_data2$age + hf_data2$ejection_fraction
                                                                    + hf_data2$disc_platelets, data = train_td, method = 'class')
           summary(dt_model3)
          Call:
          rpart(formula = hf_data2$DEATH_EVENT ~ hf_data2$anaemia + hf_data2$creatinine_phosphokinase +
               hf_data2$diabetes + hf_data2$high_blood_pressure + hf_data2$serum_creatinine + hf_data2$serum_sodium + hf_data2$sex + hf_data2$smoking + hf_data2$time + hf_data2$age + hf_data2$ejection_fraction +
               hf_data2$disc_platelets, data = train_td, method = "class")
                       CP nsplit rel error xerror xstd
3333 0 1.0000000 1.0000000 0.08409628
          1 0.52083333
          2 0.02604167
3 0.01041667
                                    1 0.4791667 0.5000000 0.06612271
5 0.3645833 0.5520833 0.06878578
           4 0.01000000
                                    7 0.3437500 0.5520833 0.06878578
          Variable importance
                                       hf_data2$time
                                                                    hf_data2$serum_creatinine
                                        hf_data2$age hf_data2$creatinine_phosphokinase
                     hf_data2$ejection_fraction
                                                                          hf_data2$serum_sodium
                                 hf_data2$diabetes
                                                                                  hf_data2$smoking
           Node number 1: 299 observations,
                                                              complexity param=0.5208333
             predicted class=0 expected loss=0.3210702 P(node) =1
class counts: 203 96
probabilities: 0.679 0.321
              left son=2 (223 obs) right son=3 (76 obs)
                  hf_data2$time < 73.5 to the right, improve=52.56870, (0 missing)
hf_data2$terw_creatinine < 1.815 to the left, improve=19.04558, (0 missing)
hf_data2$ejection_fraction < 27.5 to the right, improve=15.33700, (0 missing)
hf_data2$age < 71 to the left, improve= 9.52658, (0 missing)
hf_data2$serum_sodium < 135.5 to the right, improve= 7.93997, (0 missing)
```

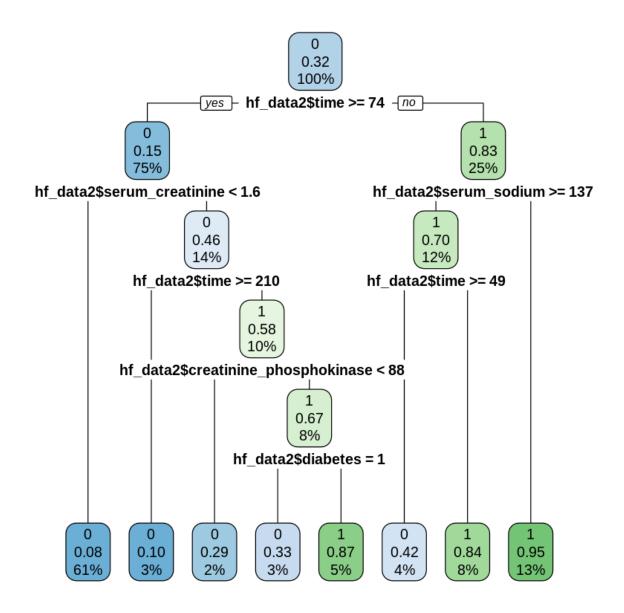
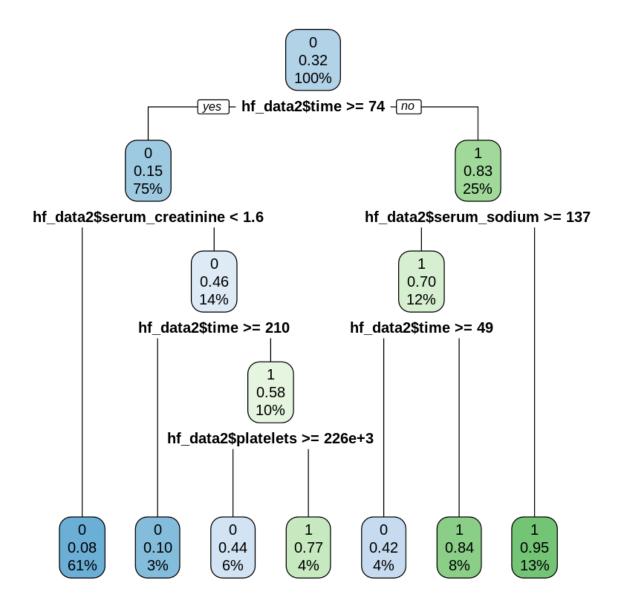


Figure 3.3. Decision Tree with all variables but with Discretized Platelets

## ▼ DT with all variables but with Discretized Ejection Fraction

```
🛫 🚺 dt_model4 <- rpart(hf_data2$DEATH_EVENT ~ hf_data2$anaemia + hf_data2$creatinine_phosphokinase + hf_data2$diabetes
                                                        + hf_data2$high_blood_pressure + hf_data2$serum_creatinine + hf_data2$serum_sodium
                                                        + \ hf\_data2\$sex \ + \ hf\_data2\$smoking \ + \ hf\_data2\$time \ + \ hf\_data2\$age \ + \ hf\_data2\$disc\_ej
                                                        + hf_data2$platelets, data = train_td, method = 'class')
         summary(dt_model4)
    C Call:
         rpart(formula = hf_data2$DEATH_EVENT ~ hf_data2$anaemia + hf_data2$creatinine_phosphokinase +
             hf_data2$diabetes + hf_data2$high_blood_pressure + hf_data2$serum_creatinine +
             hf_data2$serum_sodium + hf_data2$sex + hf_data2$smoking +
             hf_data2$time + hf_data2$age + hf_data2$disc_ej + hf_data2$platelets,
             data = train_td, method = "class")
           n= 299
                    CP nsplit rel error
                                              xerror
        1 0.52083333 0 1.0000000 1.0000000 0.08409628
2 0.02604167 1 0.4791667 0.5104167 0.06667472
         3 0.02083333
                             3 0.4270833 0.5625000 0.06929040
         4 0.01041667
                             4 0.4062500 0.5625000 0.06929040
        5 0.01000000
                             6 0.3854167 0.5625000 0.06929040
         Variable importance
                                hf_data2$time
                                                       hf_data2$serum_creatinine
                                                            hf_data2$serum_sodium
                                 hf_data2$age
                                                                  hf_data2$platelets
        hf_data2$creatinine_phosphokinase
                             hf_data2$smoking
         Node number 1: 299 observations,
                                                  complexity param=0.5208333
          predicted class=0 expected loss=0.3210702 P(node) =1 class counts: 203 96
            probabilities: 0.679 0.321
           left son=2 (223 obs) right son=3 (76 obs)
           Primary splits:
               hf_data2$time
                                              < 73.5 to the right, improve=52.568700, (0 missing)
               hf_data2$serum_creatinine < 1.815 to the right, improve=32.508769, (0 missing) hf_data2$serum_creatinine < 1.815 to the left, improve=9.526580, (0 missing) hf_data2$serum_sodium < 135.5 to the right, improve= 7.939970, (0 missing) hf_data2$disc_ej < 1.5 to the right, improve= 4.129701, (0 missing)
```



```
[70] #prediction
dt_predict4 <- predict(dt_model4, test_td, type= 'class')
dt_cm4 <- table(hf_data2$DEATH_EVENT, dt_predict4)
dt_cm4

Warning message:
"'newdata' had 79 rows but variables found have 299 rows"
dt_predict4
0 1
0 194 9
1 28 68

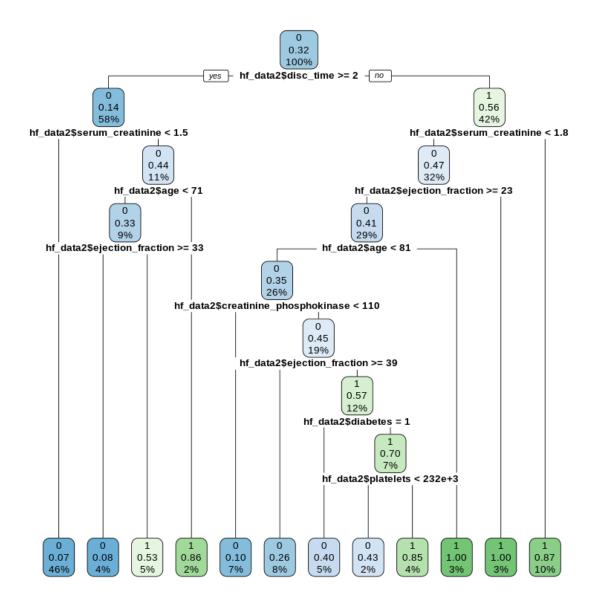
[71] dt_accuracy_m4 = sum(diag(dt_cm4)/sum(dt_cm4)) * 100
dt_accuracy_m4

87.6254180602007
```

Figure 3.4. Decision Trees with all variables but with Discretized Ejection Fraction

#### DT with all variables but with Discretized Time

```
// [72] dt_model5 <- rpart(hf_data2$DEATH_EVENT ~ hf_data2$anaemia + hf_data2$creatinine_phosphokinase + hf_data2$diabetes</pre>
                                                                                + hf_data2$high_blood_pressure + hf_data2$serum_creatinine + hf_data2$serum_sodium
                                                                                + \  \, \text{hf\_data2\$sex} \  \, + \  \, \text{hf\_data2\$smoking} \  \, + \  \, \text{hf\_data2\$disc\_time} \  \, + \  \, \text{hf\_data2\$age} \  \, + \  \, \text{hf\_data2\$ejection\_fraction}
                                                                                 + hf_data2$platelets, data = train_td, method = 'class')
            summary(dt model5)
             Call:
            Call:
rpart(formula = hf_data2$DEATH_EVENT ~ hf_data2$anaemia + hf_data2$creatinine_phosphokinase +
hf_data2$diabetes + hf_data2$high_blood_pressure + hf_data2$serum_creatinine +
hf_data2$serum_sodium + hf_data2$sex + hf_data2$smoking +
hf_data2$disc_time + hf_data2$age + hf_data2$ejection_fraction +
hf_data2$platelets, data = train_td, method = "class")
                n= 299
                            CP nsplit rel error
                                                                   xerror
            1 0.16666667 0.1.0000000 1.0000000 0.08409628 2 0.07812500 1 0.8333333 1.0000000 0.08409628 3 0.02604167 4 0.5937500 0.8229167 0.07937306 4 0.01041667 9 0.4583333 0.8333333 0.07973706
             5 0.01000000
                                       11 0.4375000 0.8333333 0.07973706
             Variable importance
                                      hf_data2$disc_time
                                                                                hf_data2$serum_creatinine
                                                hf_data2$age
                                                                             hf_data2$ejection_fraction
                                                                               hf_data2$serum_sodium
             hf_data2$creatinine_phosphokinase
                                                                                            hf_data2$diabetes
                                     hf_data2$platelets
                    hf_data2$high_blood_pressure
                                                                                               hf_data2$anaemia
             Node number 1: 299 observations.
                                                                          complexity param=0.1666667
               ode number 1: 299 observations, complexity parametries
predicted class=0 expected loss=0.3210702 P(node) =1
class counts: 203 96
probabilities: 0.679 0.321
left son=2 (173 obs) right son=3 (126 obs)
Primary splits:
                       hf_data2$disc_time < 1.5 to the right, improve=25.59582, (0 missing) hf_data2$serum_creatinine < 1.815 to the left, improve=19.04558, (0 missing) hf_data2$ejection_fraction < 27.5 to the right, improve=15.33700, (0 missing)
```



```
[74] #prediction
dt_predict5 <- predict(dt_model5, test_td, type= 'class')
dt_cm5 <- table(hf_data2$DEATH_EVENT, dt_predict5)
dt_cm5

Warning message:
"'newdata' had 79 rows but variables found have 299 rows"
dt_predict5
0 1
0 189 14
1 28 68

[75] dt_accuracy_m5 = sum(diag(dt_cm5)/sum(dt_cm5)) * 100
dt_accuracy_m5
85.9531772575251
```

Figure 3.5. Decision Trees with all variables but with Discretized Time

- In Python (Figures 3.6, 3.7, 3.8, 3.9, 3.10)
  - In the case of the Decision Trees classifier without the utilization of discretized values, we observed that the resulting tree was larger than anticipated. Consequently, we proceeded to assess the optimization approach to enhance its comprehensibility. Our optimization efforts encompassed a comprehensive review and adjustment of various parameters to ensure that the decision tree was optimized for ease of interpretation and understanding.

#### ▼ DT without Discretization

```
[ ] X_train, X_test, y_train, y_test = train_test_split(hf_data2.iloc[:, [0,1,2,3,4,5,6,7,8,9,10,11]], hf_data2.iloc[:, 12], test_size = 0.3, random_state = 50)
[ ] model_dt = DecisionTreeClassifier()
    model_dt.fit(X_train, y_train)
     y_pred = model_dt.predict(X_test)
# y_pred
array([[43, 17],
[ 9, 21]])
[ ] accuracy_dt = (accuracy_score(y_test, y_pred)) * 100
     accuracy_dt
     71.11111111111111
```

Figure 3.6. Decision tree without Discretization using Python

```
[ ] #Optimization
  model_dt1 = DecisionTreeClassifier(criterion = 'entropy', max_depth=4)
  model_dt1.fit(pd.get_dummies(X_train), y_train)
  y_pred1 = model_dt.predict(pd.get_dummies(X_test))
  (accuracy_score(y_test, y_pred1))*100
```

#### 71.11111111111111

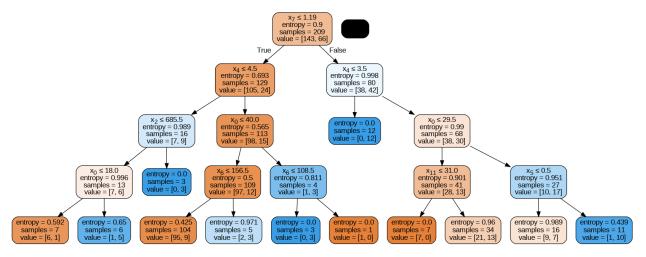
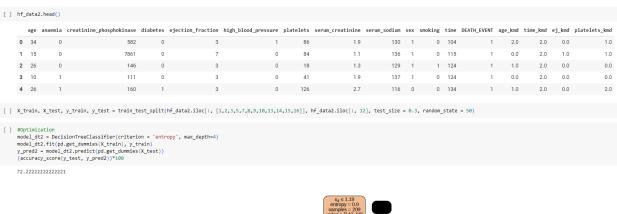


Figure 3.7. Optimized Decision tree without Discretization using Python

▼ DT with Discretized Values



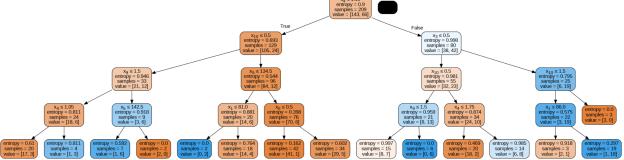


Figure 3.8. Optimized Decision tree with Discretization using Python

▼ DT with all variables but with Discretized Platelets

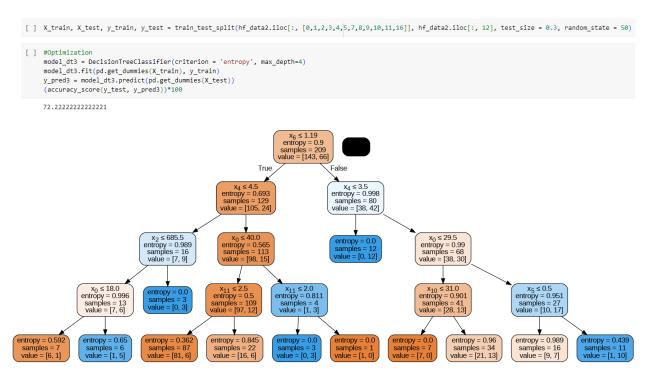


Figure 3.9. Optimized Decision tree with all variables but with Discretized Platelets using Python

▼ DT with all variables but with Discretized Time

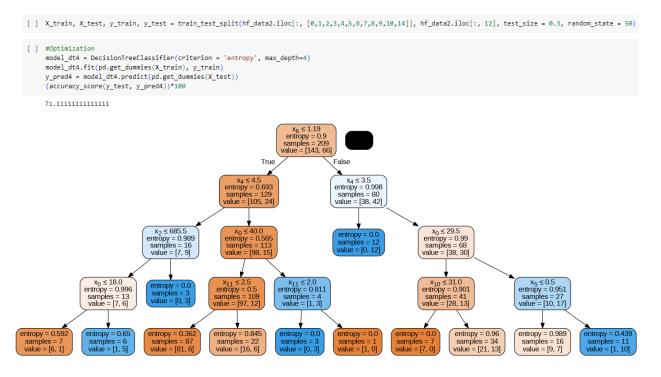


Figure 3.10. Optimized Decision tree with all variables but with Discretized Time using Python

#### **OneR Classification**

OneR (One Rule) is a simple and interpretable classification algorithm often used as a baseline for comparison with more complex machine learning algorithms. It aims to find a single rule based on one feature that provides the best accuracy in classifying the data.

Figure 4.1. shows the use of OneR classification without discretized values. While Figure 4.2 shows the use of said classification technique with discretized values. These two classifications will be the baseline of the case study's comparison for OneR.

▼ OneR without Discretized Values

```
∠ Is inf_1R_1 <- OneR(hf_data2$DEATH_EVENT ~ hf_data2$anaemia + hf_data2$creatinine_phosphokinase + hf_data2$diabetes
</p>
                                                                                                                                                                    + hf_data2$high_blood_pressure + hf_data2$serum_creatinine + hf_data2$serum_sodium
+ hf_data2$sex + hf_data2$smoking + hf_data2$time + hf_data2$age + hf_data2$ejection_fraction
                                                                                                                                                                       + hf data2$platelets)
                          summary(hf_1R_1)
                           OneR.formula(formula = hf_data2$DEATH_EVENT ~ hf_data2$anaemia +
                                       \label{lem:hf_data2}  fata2$creatinine\_phosphokinase + hf_data2$diabetes + hf_data2$high_blood\_pressure + hf_data2$serum\_creatinine + hf_data2$serum\_sodium + hf_data2$sex + \\
                                        \label{lem:hf_data2}  \mbox{ hf_data2\$ smoking + hf_data2\$ time + hf_data2\$ age + hf_data2\$ ejection\_fraction + hf_data2
                                       hf_data2$platelets)
                          Rules:

If hf_data2$time = (3.72,60.2] then hf_data2$DEATH_EVENT = 1
                         If hf_data2$time = (3.72,00.2] then hf_data2$DEATH_EVENT = 0
If hf_data2$time = (116,173] then hf_data2$DEATH_EVENT = 0
If hf_data2$time = (173,229] then hf_data2$DEATH_EVENT = 0
If hf_data2$time = (229,285] then hf_data2$DEATH_EVENT = 0
                          Accuracy:
248 of 299 instances classified correctly (82.94%)
                          Contingency table:
                                                                                                hf data2$time
                          hf_data2$DEATH_EVENT (3.72,60.2] (60.2,116] (116,173] (173,229] (229,285] Sum
                                                                                     0
                                                                                                                            9 * 66 * 27
* 54 22 11
                                                                                                                                                                                                        Maximum in each column: '*'
                          Pearson's Chi-squared test:
                          X-squared = 114.52, df = 4, p-value < 2.2e-16
```

Figure 4.1. OneR Classification Technique without Discretized Values

#### OneR with Discretized Values

Figure 4.2. OneR Classification Technique with Discretized Values

Figure 4.3. shows the use of the OneR Classification Technique with all variables but with Discretized Platelets. In addition, Figure 4.4. shows the use of the said classification technique with all variables but with Discretized Ejection Fraction.

▼ OneR with all variables but with Discretized Platelets

```
√ [43] hf_1R_3 <- OneR(hf_data2$DEATH_EVENT ~ hf_data2$anaemia + hf_data2$creatinine_phosphokinase + hf_data2$diabetes
</p>
                                                                                                                                                                 + hf_data2$high_blood_pressure + hf_data2$serum_creatinine + hf_data2$serum_sodium
                                                                                                                                                                   + hf_data2$sex + hf_data2$smoking + hf_data2$time + hf_data2$age + hf_data2$ejection_fraction
                                                                                                                                                                   + hf_data2$disc_platelets)
                           summary(hf 1R 3)
                          Call:
                           OneR.formula(formula = hf_data2$DEATH_EVENT ~ hf_data2$anaemia +
                                      hf_data2$creatinine_phosphokinase + hf_data2$diabetes + hf_data2$sligh_blood_pressure +
hf_data2$serum_creatinine + hf_data2$serum_sodium + hf_data2$sex +
                                       \label{lem:hf_data2}  \mbox{hf_data2\$smoking + hf_data2\$time + hf_data2\$age + hf_data2\$ejection\_fraction + hf_data2\$ejection_fraction + hf_data2\$ejection_fraction_fraction + hf_data2\$ejection_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_fraction_f
                                       hf_data2$disc_platelets)
                         RULES:
If hf_data2$time = (3.72,68.2] then hf_data2$DEATH_EVENT = 1
If hf_data2$time = (60.2,116] then hf_data2$DEATH_EVENT = 0
If hf_data2$time = (116,173) then hf_data2$DEATH_EVENT = 0
If hf_data2$time = (173,229) then hf_data2$DEATH_EVENT = 0
If hf_data2$time = (229,285) then hf_data2$DEATH_EVENT = 0
                           248 of 299 instances classified correctly (82.94%)
                          Contingency table:
                         hf_data2$time
hf_data2$DEATH_EVENT (3.72,60.2] (60.2,116] (116,173] (173,229] (229,285] Sum
                                                                                                                 9 * 66 * 27 * 61 * 40 203
* 54 22 11 7 2 96
                                                                                                                                                                                                     38
                         Maximum in each column: '*'
                          Pearson's Chi-squared test:
                          X-squared = 114.52, df = 4, p-value < 2.2e-16
```

Figure 4.3. OneR Classification with all variables but with Discretized Platelets

▼ OneR with all variables but with Discretized Ejection Fraction

```
✓ ♠ hf_1R_4 <- OneR(hf_data2$DEATH_EVENT ~ hf_data2$anaemia + hf_data2$creatinine_phosphokinase + hf_data2$diabetes</p>
                                                            + hf_data2$high_blood_pressure + hf_data2$serum_creatinine + hf_data2$serum_sodium
                                                            + hf_data2$sex + hf_data2$smoking + hf_data2$time + hf_data2$age + hf_data2$disc_ej
                                                            + hf_data2$platelets)
         summary(hf 1R 4)
         OneR.formula(formula = hf_data2$DEATH_EVENT ~ hf_data2$anaemia + hf_data2$creatinine_phosphokinase + hf_data2$diabetes + hf_data2$high_blood_pressure +
              hf_data2$serum_creatinine + hf_data2$serum_sodium + hf_data2$sex +
              hf_data2$smoking + hf_data2$time + hf_data2$age + hf_data2$disc_ej +
              hf_data2$platelets)
         Rules:
         If hf_data2$time = (3.72,60.2] then hf_data2$DEATH_EVENT = 1
If hf_data2$time = (60.2,116] then hf_data2$DEATH_EVENT = 0
If hf_data2$time = (116,173] then hf_data2$DEATH_EVENT = 0
If hf_data2$time = (173,229] then hf_data2$DEATH_EVENT = 0
If hf_data2$time = (229,285] then hf_data2$DEATH_EVENT = 0
         248 of 299 instances classified correctly (82.94%)
         Contingency table:
                                  hf data2$time
         hf_data2$DEATH_EVENT (3.72,60.2] (60.2,116] (116,173] (173,229] (229,285] Sum
                              0 9 * 66 * 27 * 61 * 40 203
1 * 54 22 11 7 2 96
                                                                                   7
                                             63
                                                           88
                                                                                                  42 299
                              Sum
                                                                        38
         Maximum in each column: '*'
         Pearson's Chi-squared test:
         X-squared = 114.52, df = 4, p-value < 2.2e-16
```

# Figure 4.4. OneR Classification with all variables but with Discretized Ejection Fraction

Lastly, Figure 4.5 shows the use of the said technique but with Discretized Time.

▼ OneR with all variables but with Discretized Time

```
✓ ◆ hf_1R_5 <- OneR(hf_data2$DEATH_EVENT ~ hf_data2$anaemia + hf_data2$creatinine_phosphokinase + hf_data2$diabetes
                                                        + hf_data2$high_blood_pressure + hf_data2$serum_creatinine + hf_data2$serum_sodium
                                                        + \ hf\_data2\$sex + \ hf\_data2\$smoking + \ hf\_data2\$disc\_time + \ hf\_data2\$age + \ hf\_data2\$ejection\_fraction
                                                        + hf_data2$platelets)
         summary(hf 1R 5)
    D-
         OneR.formula(formula = hf_data2$DEATH_EVENT ~ hf_data2$anaemia +
              hf_data2$creatinine_phosphokinase + hf_data2$diabetes + hf_data2$high_blood_pressure +
             hf_data2$serum_creatinine + hf_data2$serum_sodium + hf_data2$sex + hf_data2$smoking + hf_data2$disc_time + hf_data2$age + hf_data2$ejection_fraction +
             hf_data2$platelets)
         If hf_data2$ejection_fraction = (13.9,27.2] then hf_data2$DEATH_EVENT = 1 If hf_data2$ejection_fraction = (27.2,40.4] then hf_data2$DEATH_EVENT = 0
         If hf_data2$ejection_fraction = (40.4,53.6] then hf_data2$DEATH_EVENT = 0 If hf_data2$ejection_fraction = (53.6,66.8] then hf_data2$DEATH_EVENT = 0
         If hf_data2$ejection_fraction = (66.8,80.1] then hf_data2$DEATH_EVENT = 0
         220 of 299 instances classified correctly (73.58%)
         Contingency table:
                               hf data2$ejection fraction
         hf_data2$DEATH_EVENT (13.9,27.2] (27.2,40.4] (40.4,53.6] (53.6,66.8]
                            0 21 * 121 * 30 * 30
1 * 38 39 11 7
                                                      39
                            Sum
                                hf_data2$ejection_fraction
         hf_data2$DEATH_EVENT (66.8,80.1) Sum
         Maximum in each column: '*'
         Pearson's Chi-squared test:
         X-squared = 36.395, df = 4, p-value = 2.399e-07
```

Figure 4.5. OneR Classification with all variables but with Discretized Time

## **Naive Bayes Prediction**

Naive Bayes is a popular algorithm used for classification tasks, particularly in natural language processing. It is based on Bayes' theorem and assumes that the features are independent of each other. For R, our hypothesis draws parallels to classification techniques, proposing that the utilization of discretized values would enhance accuracy. However, if accuracy does not improve, we attribute this outcome to the discretization of time. In our study, we exclusively employed discretized platelets to achieve more accurate results, akin to the approach employed in OneR Classification.

In R, it is imperative to demonstrate the consistency of values. Our hypothesis postulates that the limited size of the dataset restricts the availability of additional testing data, thereby hindering the attainment of a more representative accuracy. This discrepancy in accuracy arises from the implementation of the Naive Bayes algorithm in Python, where NB1, NB3, and NB4

yield an accuracy of 71.111%, while NB2 exhibits an accuracy of 70%. We contend that the variance in accuracy can be attributed to the insufficiency of testing data due to the relatively small number of entries within our model.

- Naive Bayes Classification
  - a. Using R (Figures 5.1, 5.2, 5.3, 5.4)

# Naive Bayes without Discretization

```
[ ] str(hf_data2)
    str(test_td)
    'data.frame': 299 obs. of 17 variables:
    $ age
                           : num 75 55 65 50 65 90 75 60 65 80 ...
    $ anaemia
                           : int 0001111101...
    $ creatinine_phosphokinase: int 582 7861 146 111 160 47 246 315 157 123 ...
    $ diabetes
                          : int 0000100100...
    $ ejection_fraction
                          : int 20 38 20 20 20 40 15 60 65 35 ...
    $ high_blood_pressure
                          : int 1000010001...
    $ platelets
                          : num 265000 263358 162000 210000 327000 ...
    $ serum_creatinine
                           : num 1.9 1.1 1.3 1.9 2.7 2.1 1.2 1.1 1.5 9.4 ...
                          : int 130 136 129 137 116 132 137 131 138 133 ...
    $ serum sodium
    $ sex
                          : int 1111011101...
    $ smoking
                           : int 0010010101...
    $ time
                          : int 46778810101010...
    $ DEATH EVENT
                          : int 1111111111...
    $ disc age
                          : int 2121232223...
                          : int 1211121331...
    $ disc ej
    $ disc_platelets
                          : int 2211211322...
                     : int 111111111...
    $ disc time
    'data.frame': 79 obs. of 17 variables:
    $ age
                           : num 45 80 94 85 50 50 69 82 70 51 ...
    $ anaemia
                           : int 1000110100...
    $ creatinine_phosphokinase: int 981 148 582 23 249 159 582 855 582 1380 ...
    $ diabetes
                          : int 0110111100...
    $ ejection_fraction : int 30 38 38 45 35 30 35 50 20 25 ...
$ high_blood_pressure : int 0 0 1 0 1 0 0 1 1 1 ...
                           : num 136000 149000 263358 360000 319000 ...
    $ platelets
                          : num 1.1 1.9 1.83 3 1 1.2 3.5 1 1.83 0.9 ...
    $ serum creatinine
    $ serum_sodium
                          : int 137 144 134 132 128 138 134 145 134 130 ...
    $ sex
                           : int 1111001011...
    $ smoking
                           : int 0100000010...
    $ time
                           : int 11 23 27 28 28 29 30 30 31 38 ...
    $ DEATH EVENT
                           : int 1111101111...
    $ disc_age
                          : int 1333112321...
    $ disc ej
                          : int 1222111211...
```

Figure 5.1. Naive Bayes Prediction without Discretization Using R

▼ Naive Bayes with Discretization

```
| nb2 <- naiveBayes(hf_data250EATH_EVENT ~ hf_data25anaemia + hf_data25serum_creatinine_phosphokinase + hf_data25serum_sodium + hf_data25serum_creatinine + hf_data25serum_creatinine + hf_data25serum_sodium + hf_data25serum_creatinine + hf_data25serum_cre
```

# Figure 5.2. Naive Bayes Prediction with Discretization Using R

▼ Naive Bayes with all variables but with Discretized Platelets

Figure 5.3. Naive Bayes Prediction with all variables but with Discretized Platelets Using

▼ Naive Bayes with all variables but with Discretized Time

```
[] nb4 <- naiveBayes(hf_data250cTH_EVENT ~ hf_data25anaemia + hf_data25creatinine_phosphokinase + hf_data25serum_roatinine + hf_d
```

# Figure 5.4. Naive Bayes Prediction with all variables but with Discretized Time Using R

b. Using Python (Figures 5.5, 5.6, 5.7, 5.8)

▼ Naive Bayes without Discretization

Figure 5.5. Naive Bayes Prediction without Discretization Using Python

▼ Naive Bayes with Discretized Values

# Figure 5.6. Naive Bayes Prediction with Discretization Using Python

▼ Naive Bayes with all variables but with Discretized Platelets

Figure 5.7. Naive Bayes Prediction with all variables but with Discretized Platelets Using

Python

Naive Bayes with all variabes but with Discretized Time

Figure 5.8. Naive Bayes Prediction with all variables but with Discretized Time Using Python

## Conclusion

The study used data mining techniques such as data preprocessing, data discretization and binning, classification, and prediction, in order to discover data in order to gather accuracy on each rule inside the model for predicting death events. The conclusion for this study are as follows:

- Classification with Discretized Values: The application of discretized values in the classification process yielded improved accuracy. By discretizing the data, the model was able to capture important patterns and relationships more effectively.
- Impact of Dataset Size: A limitation of the study was the relatively small size of the dataset, resulting in a restricted amount of testing data. This constraint could potentially

- affect the generalizability of the accuracy results and hinder the ability to demonstrate a more robust performance.
- Decision Tree Optimization: Initially, when the Decision Trees classifier was applied
  without discretized values, the resulting tree was found to be larger than expected. To
  enhance understandability, an optimization process was undertaken. Various parameters
  were fine-tuned and adjusted to simplify the decision tree structure and improve
  interpretability.

## Recommendations

To improve the results on the heart failure dataset, the following recommendations can be considered:

- Since the dataset size is relatively small, consider applying data augmentation techniques to artificially increase the number of samples. This can involve techniques such as oversampling, undersampling, or generating synthetic data points.
- Conducting a thorough analysis of feature importance and selecting the most relevant features for model training. Utilize techniques such as correlation analysis, feature importance from tree-based models, or recursive feature elimination to identify the most informative features.
- Experimenting with different classification models to identify the most suitable one for the heart failure prediction task. Additionally, perform hyperparameter tuning to find the optimal configuration for the chosen model. Grid Search or Bayesian optimization techniques can be used for this purpose.
- Employ cross-validation techniques, such as k-fold cross-validation, to assess the
  model's performance more reliably. Consider using appropriate evaluation metrics, such
  as accuracy, precision, recall, F1 score, depending on the specific requirements of the
  heart failure prediction problem.

#### Reference

# **Heart Prediction Failure Dataset from Kaggle**

https://kaggle.com/datasets/andrewmvd/heart-failure-clinical-data

# **Contribution Table:**

NAME	CONTRIBUTION
AQUINO, Kerwin Dominique B.	<ul> <li>Data Discretization and Binning in Python</li> <li>Decision Trees Classification in Python</li> <li>Documentation</li> <li>Presentation</li> </ul>
LAGAZO, John Louise E.	<ul> <li>OneR Classification in R</li> <li>Naive Bayes in R</li> <li>Documentation</li> <li>Presentation</li> </ul>
MANLAPIG, Ralph Miguel A.	<ul> <li>Data Discretization and Binning in R</li> <li>Decision Trees Classification in R</li> <li>OneR Classification in R</li> <li>Documentation</li> <li>Presentation</li> </ul>
TADEO, Lorenz Christian E.	<ul> <li>Decision Trees in Python</li> <li>Naive Bayes in Python</li> <li>Documentation</li> <li>Presentation</li> </ul>