

# MATE664 L04

Jan - 14 2026

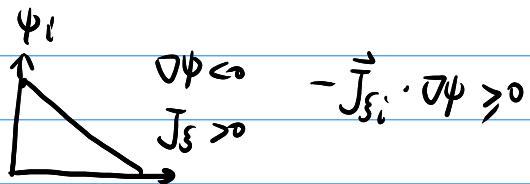
Recap : driving forces in irreversible thermodyn.

$$\int \frac{ds}{dt} = -\nabla \cdot \vec{J}_s + \dot{\sigma} \quad \text{entropy not conserved}$$

$$\int \frac{d\xi_i}{dt} = -\nabla \cdot \vec{J}_{\xi_i} \quad \xi_i \text{ conserved}$$

$$\int \vec{J}_s = - \sum_i \frac{\psi_i}{T} \vec{J}_{\xi_i}$$

$$T\dot{\sigma} = - \sum_i \vec{J}_{\xi_i} \cdot \nabla \psi_i$$

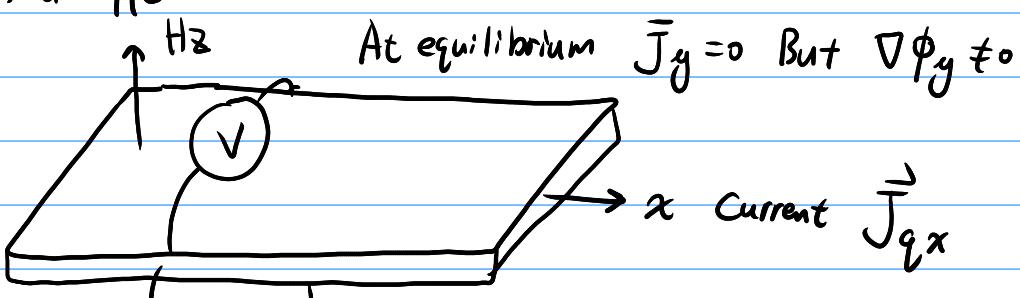


We have the relation between  $-\nabla \psi_i$  (driving force) & flow  $\vec{J}_{\xi_i}$

$$\vec{J}_{\xi_i} = \sum_j L_{ij} F_j$$

Example of orthogonal driving force & flux

Hall effect



(See Callen Phys Rev 1948, 73, 1349)

Current  $\vec{J}_{q_x}$  applied  $\Rightarrow$  measured potential across y  
 Magnetic field  $\vec{B}_z$   $\dot{\sigma}$  for  $\vec{J}_{q_x} \cdot \nabla \phi_y = 0$  Because orthogonality

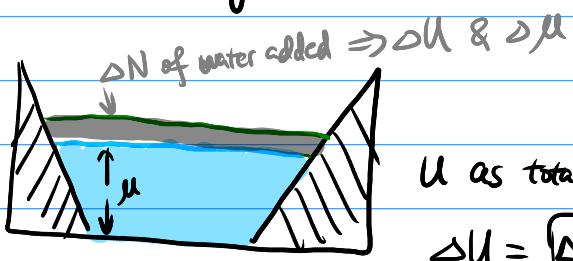
# Fick's law of diffusion

*chemical potential*

Consider 1 chemical species with  $\mu$

$$\left( \text{chemical potential } \mu = \left( \frac{\partial U}{\partial N} \right)_{S,V} \right)$$

Riverbank analogy:



$U$  as total gravitational energy

$$\Delta U = \Delta N \cdot \frac{m \omega}{N_A} \cdot g \cdot \mu$$

↓ change of grav. pot. ↓ gravity ↓ height

$$\hookrightarrow \mu = \left( \frac{\partial U}{\partial N} \right) \dots \text{height analogy}$$

Entropy production w.r.t chemical potential

$$T \dot{\sigma} = - \vec{j}_M \cdot \nabla \mu$$

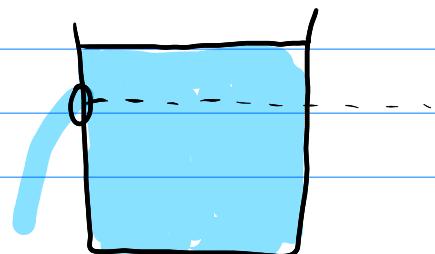
$$\vec{j}_S = - \frac{\mu}{T} \cdot \vec{j}_M$$

$$\vec{j}_M = - L_{MM} \nabla \mu \Rightarrow T \dot{\sigma} = L_{MM} \|\nabla \mu\|^2$$

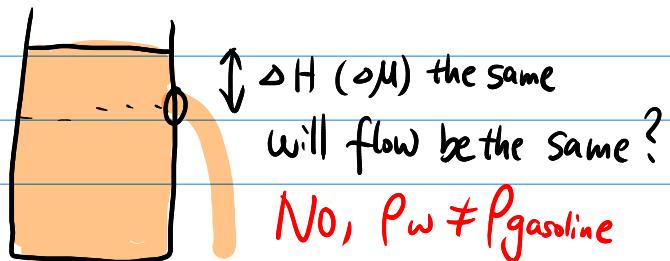
What is the coefficient  $L_{MM}$ ?

Use the tank analogy

Tank of water

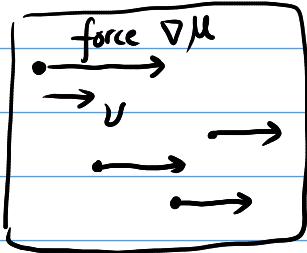


tank of gasoline

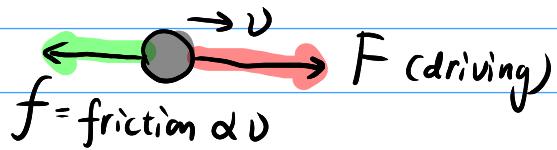


Similarly, as  $J_M$  has unit  $\left[ \frac{\text{mol}}{\text{m}^2 \cdot \text{s}} \right]$

We should consider the concentration of the species that is moved



Another analog:



$$\text{Balance } F = f \propto v$$

We use mobility  $\mu$  to denote relation between  $\nabla \mu$  and  $v$

$$v = \mu \cdot \nabla \mu$$

So diffusion eq is

$$J = -\mu c \nabla \mu$$

$L_{MM}$

Where does Fick's term come from?

For  $<\text{NPT}>$  system (general chemistry)  $\mu$  can be also expressed linked to Gibbs F.E  $G$

$$\mu = \left( \frac{\partial G}{\partial N} \right)_{P,T} \quad \text{If in a mixture with molar fraction } x_i$$

$$\begin{aligned} \Delta G(x_i) &= \Delta G(x_i=1) + T \Delta S_{\text{mix}} \\ &= \underline{\Delta G^\circ} + T k_B \ln(\gamma_i x_i) \cdot N_i \end{aligned}$$

Reference

$$\mu = \left. \frac{\partial G}{\partial N} \right|_{x_i} = \mu^\circ + k_B T \ln(\gamma_i x_i)$$

↑ Counting for non-ideal case

For ideal solution  $\gamma_i = 1$

$$\begin{aligned}\mu &\simeq \mu^\circ + k_B T \ln x_i \\ &= \mu^\circ + k_B T \ln \frac{c_i}{c}\end{aligned}$$

So rewrite  $J \Rightarrow J_i = -\mu_i c_i D \mu_i$

$$= -\mu_i c_i \nabla (\mu^\circ + k_B T \ln \frac{c_i}{c})$$

$$= -\mu_i c_i \cdot k_B T \frac{1}{c_i} \nabla c_i$$

Consider:

① Do  $D$  depend on  $T$ ?

$$= -\boxed{\mu_i k_B T} \nabla c_i$$

② Do  $D$  depend on  $c$ ?

$\downarrow$   
Fick's Diffusivity  $D$

③ Can  $D$  be spatially varied?

Using conjugate relation between  $\xi_i \rightarrow \psi_i$

In diffusive mass transfer

$$\begin{cases} \xi_i \rightarrow c_i \\ \psi_i \rightarrow \mu_i \end{cases}$$

Fick's term  $\nabla c_i$  is a special case  
of  $\frac{1}{c_i} \nabla \mu_i$

## Fick's second law

General flux eq. for concentration

$$\frac{\partial C_i}{\partial t} = -\nabla \cdot \vec{J}_i + \dot{c}_i$$

$\uparrow$  production (e.g. chemical reaction)

If no  $\dot{c}$   $\Rightarrow \frac{\partial C_i}{\partial t} = -\nabla \cdot \vec{J}_i$

$$= -\nabla \cdot (-D \nabla C_i)$$

If  $D$  is spatially invariant ( $D(x, y, z) = \text{const}$ )

$$\frac{\partial C_i}{\partial t} = \nabla^2 C_i \cdot D$$

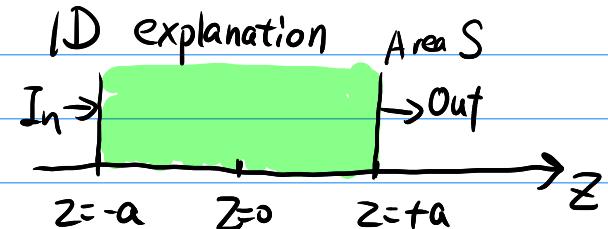
$\uparrow$  Laplace operator

1D case

$$\frac{\partial C_i}{\partial t} = \frac{d^2 C_i}{dx^2} \cdot D$$

(Laplace operator

$$\nabla^2 u = \frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2} + \frac{\partial^2 u}{\partial z^2}$$



In - Out = Accumulation

$$S(J_{z=-a} - J_{z=a}) = \frac{\partial C_i}{\partial t} \cdot S \cdot 2a$$

$$-D \left. \frac{dc_i}{dx_i} \right|_{-a} - \left. (-D \frac{dc_i}{dx_i}) \right|_a =$$

$$D \left( \left. \frac{dc_i}{dx_i} \right|_a - \left. \frac{dc_i}{dx_i} \right|_{-a} \right) = \frac{\partial C_i}{\partial t} \cdot 2a$$

$D \cdot \frac{\Delta \left( \frac{dc_i}{dx_i} \right)}{\Delta x} \cdot 2a = \frac{\partial C_i}{\partial t} \cdot 2a$

$\frac{d^2 C_i}{dx^2}$

Compare 1<sup>st</sup> & 2<sup>nd</sup> law of Fick

$$1^{\text{st}}: \vec{J}_i = -D_i \nabla C_i \Rightarrow (\text{coordinate only})$$

$$2^{\text{nd}}: \frac{\partial C_i}{\partial t} = \nabla \cdot (D_i \nabla C_i) \Rightarrow (\text{coordinate \& time})$$

$= D_i \nabla^2 C$  (isotropic, spatially invariant)

(1)

Steady state  $\frac{\partial C_i}{\partial t} = 0 \Rightarrow \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) C_i = 0$

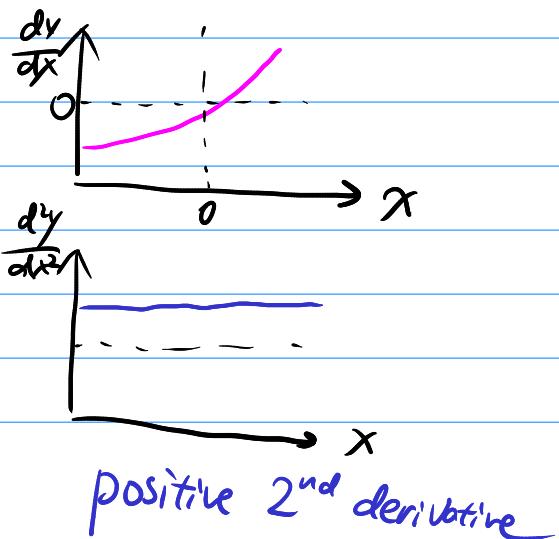
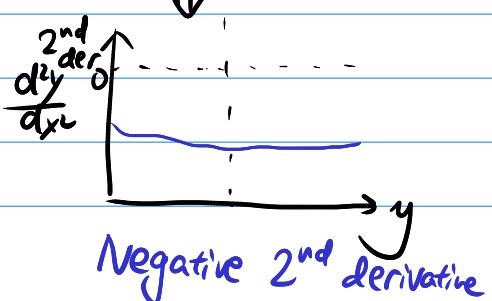
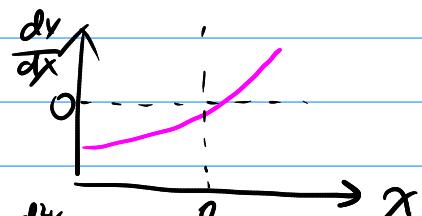
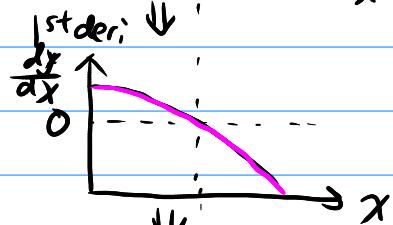
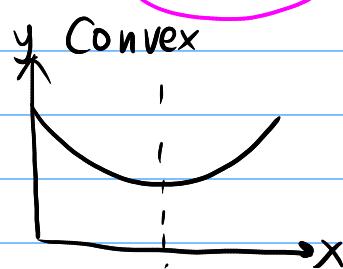
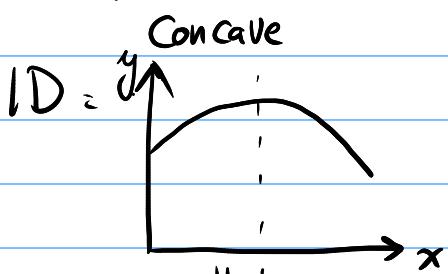
Each should have  $\frac{\partial^2 C_i}{\partial x^2} = \frac{\partial^2 C_i}{\partial y^2} = \frac{\partial^2 C_i}{\partial z^2} = 0$

$\frac{\partial^2 C_i}{\partial x^2} = 0 \Rightarrow \frac{\partial C_i}{\partial x} = \text{const} \Rightarrow \nabla C_i = \left( \frac{\partial C_i}{\partial x}, \frac{\partial C_i}{\partial y}, \frac{\partial C_i}{\partial z} \right) \text{ is const.}$

↓ Linear C profile in (x,y,z)  
first law

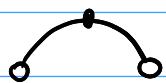
(2) What do  $\nabla^2 C_i$  actually mean?

Laplace operator is linked to **Curvature** of smooth func



# Tian's memorization for Curve shape, 2<sup>nd</sup> derivative & Curvature

Curve shape



Name

Concave

Convex

2<sup>nd</sup> derivative  $\frac{d^2y}{dx^2}$   
(Left + Right - 2\*center)

negative

positive

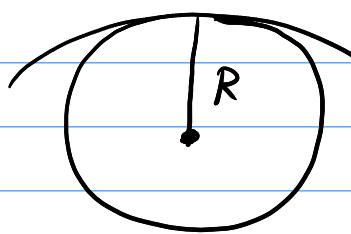
Curvature

negative

positive

↓↓↓  
direction of dragging  
to flat

Geometric explanation of curvature



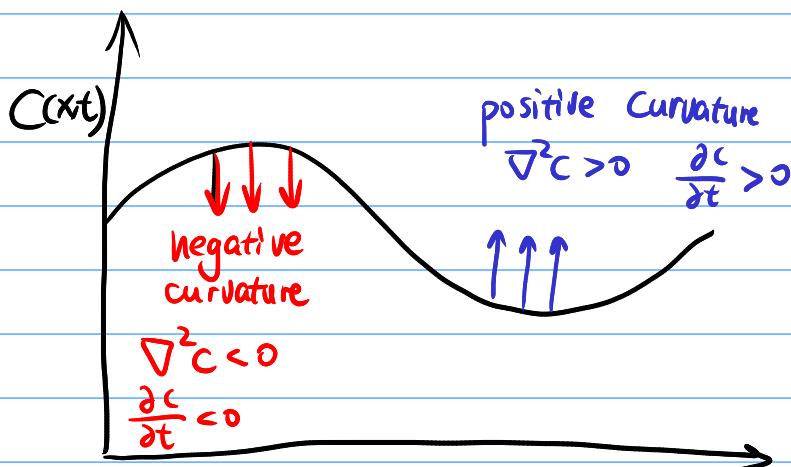
In every principal direction

$$\|k\| = \frac{1}{R}$$

Sign of  $k$

If we sit at center of circle  
negative  $k \rightarrow$  towards us

Balluffi fig 4.1



How large are  $D$ ?

$$\text{Gas} \approx 10^{-5} \text{ m}^2/\text{s} \quad \text{Liquid} < 10^{-10} \text{ m}^2/\text{s}$$

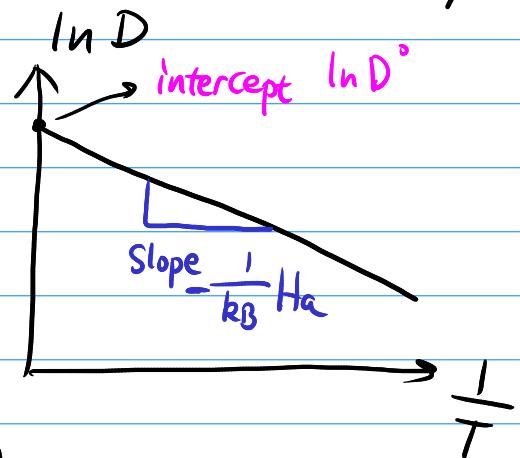
$$\text{Solid} < 10^{-8} \text{ m}^2/\text{s}$$

Temperature factor!

In solid & liquid diffusion, we may use Arrhenius-type rate equation

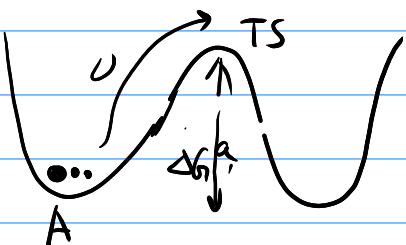
$$D = D^\circ \exp\left(-\frac{H_a}{k_B T}\right)$$

$$\ln D = \ln D^\circ - \frac{H_a}{k_B} \cdot \frac{1}{T}$$



$H_a$  = activation enthalpy (why?)

Physical Interpretation



Frequency at A & TS

A state "attempts" to jump with frequency  $v$  over barrier  $\Delta G^\ddagger$

Frequency that A jumps over barrier

$$\Gamma = v e^{-\frac{\Delta G^\ddagger}{k_B T}}$$

Diffusivity model typically has relation

$$D_I \propto \Gamma \cdot \langle r \rangle^2$$

↑ average dist between jumped sites

$$D \propto r^2 v \exp\left(-\frac{\Delta G^\alpha}{k_B T}\right)$$

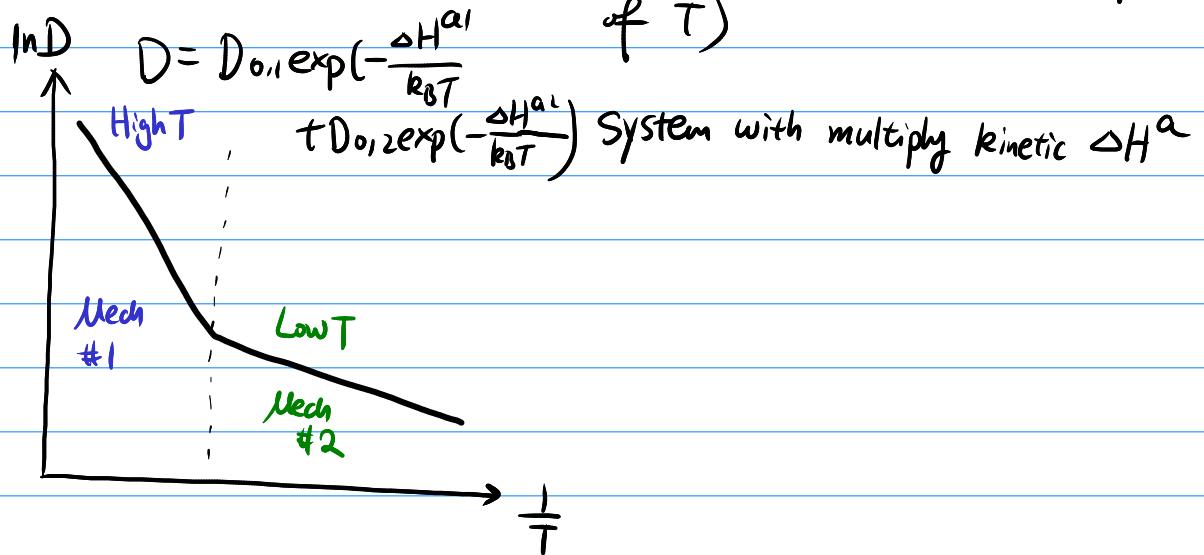
$$\propto r^2 v \exp\left(-\frac{\Delta H^\alpha - T\Delta S^\alpha}{k_B T}\right)$$

$$\propto r^2 v \exp\left(\frac{\Delta S^\alpha}{k_B T}\right) \exp\left(-\frac{\Delta H^\alpha}{k_B T}\right)$$

$D^\circ$  (with some factor)

$$D = D^\circ \exp\left(-\frac{\Delta H^\alpha}{k_B T}\right)$$

Arrhenius-like equation  
(in other words  $\Delta S^\alpha$  is independent of  $T$ )

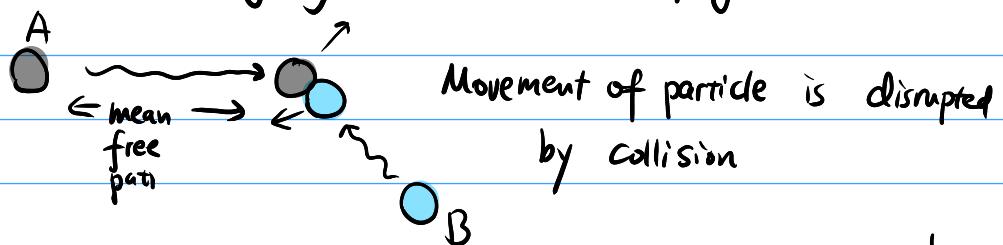


In general there may be multiply mechanisms / diffusion sites co-existing in the system !

Plotting Arrhenius-like curve always need to consider the mechanism !

# Mechanism / Atomistic Model for Diffusion

Gas molecules : usually by kinetic theories of gases



Chapman - Enskog theory

$$D_{AB} \propto T^{1.5} \left( \frac{1}{m_A} + \frac{1}{m_B} \right)^{\frac{1}{2}} \cdot \frac{1}{P} \cdot \frac{1}{\alpha_{AB}^2}$$

interdiffusivity  $A \text{ m}^2$

Liquid phase

Stokes - Einstein equation

$$D = k_B T \cdot \mu \quad (\text{from revised Fick})$$

$$= k_B T \cdot \frac{1}{6\pi \eta r}$$

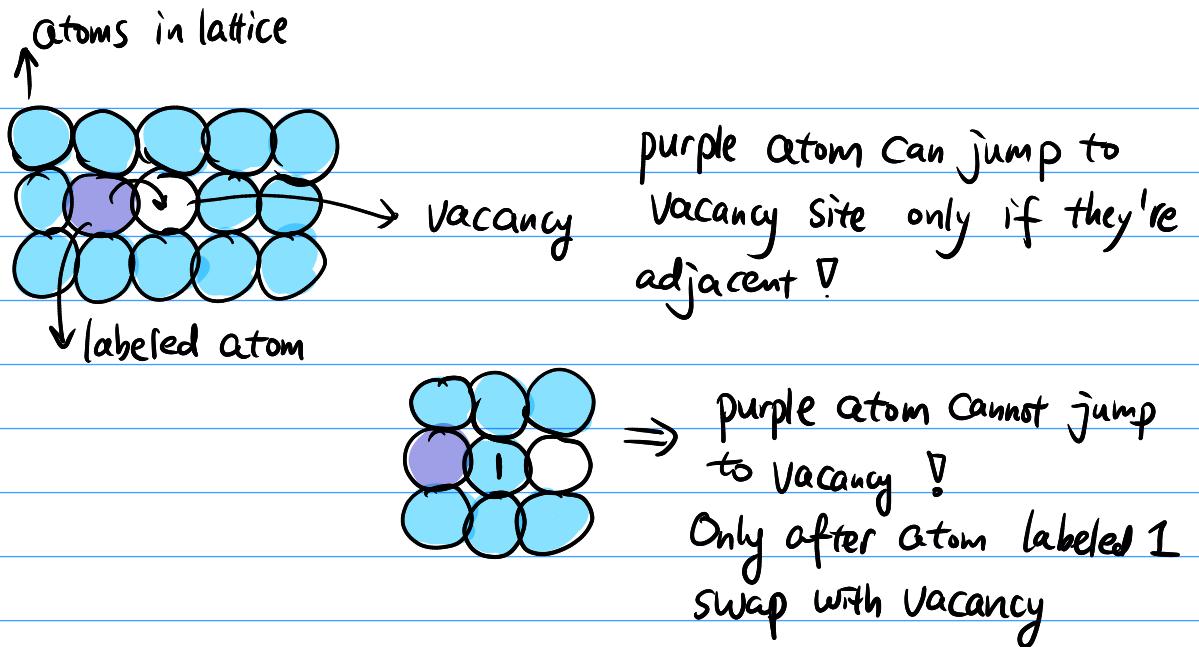
particle with radius  $r$   
 dynamic viscosity

OR  $D = \frac{\mu_e k_B T}{l}$   $\mu_e$ : electrical mobility in charged particles (salt solution, semiconductor !)

Diffusion in solid

Multiple mechanisms exist !

One example: vacancy mechanism



In Vacancy mechanism, Vacancies can be generated by multiple "sources"

{ Surface  
Grain boundary (GB)  
dislocation

We also need to distinguish different "Diffusivities"

Self-diffusivity  $*D$  = atoms diffuse through **homogeneous** medium

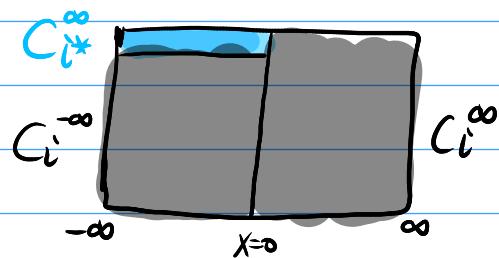
Intrinsic diffusivity  $D_i$  = diffusivity measured locally in chemically **inhomogeneous** medium (from local frame)

Interdiffusivity  $\tilde{D}$  = diffusivity in **inhomogeneous** medium (from lab frame)

How to measure self-diffusivity?

Use radioactive tracer

Fluxes for Normal species  $\rightarrow i$   
 Isotope  $\rightarrow i^*$   
 Vacancy  $\rightarrow V$



The lattice does not change during self diffusion.

① All sites must be occupied by either  $i$ ,  $i^*$  or  $V$

$$\Rightarrow dC_i + dC_i^* + dC_V = 0 \quad dC_V = -dC_i - dC_i^*$$

1st law becomes

$$\begin{aligned} Tds &= du + dw - \mu_i dc_i - \mu_{i^*} dc_{i^*} - \mu_V dC_V \\ &= \dots \quad " \quad " \quad " \quad " \quad - \mu_V (-dc_i - dc_{i^*}) \\ &= du + dw - (\mu_i - \mu_V) dc_i - (\mu_{i^*} - \mu_V) dc_{i^*} \end{aligned}$$

In such **network-constrained** system, identify Flux Driving Force

$$\begin{array}{ll} \text{Flux} & \text{D.F.} \\ \vec{J}_i & -\nabla(\mu_i - \mu_V) \\ \vec{J}_{i^*} & -\nabla(\mu_{i^*} - \mu_V) \end{array} \xrightarrow{\substack{\text{Symmetry} \\ \text{1D}}} \begin{array}{l} J_i = -L_{ii} \frac{\partial(\mu_i - \mu_V)}{\partial x} \\ -L_{ii^*} \frac{\partial(\mu_{i^*} - \mu_V)}{\partial x} \end{array}$$

Flux of vacancy?



$\overset{\leftarrow}{J}_V$

$$\begin{aligned} J_{i^*} &= -L_{i^*i} \frac{\partial(\mu_i - \mu_V)}{\partial x} \\ -L_{i^*i^*} \frac{\partial(\mu_{i^*} - \mu_V)}{\partial x} \end{aligned}$$

$$J_i + J_{i^*} + J_V = 0$$

Assumptions:

- { Vacancies only account for negligible portion  $X_V \approx 0$
- { Vacancy chem potential  $\mu_V = 0$  (equilibrium for  $V$ )
- {  $i$ ,  $i^*$  mixing follows  $\gamma_i = \gamma_{i^*} = 1$  (Raoult's law)

$$\text{We thus have } \left( \begin{array}{l} \mu_i = \mu_i^0 + k_B T \ln c_i \Rightarrow \frac{\partial \mu_i}{\partial x} = \frac{k_B T}{c_i} \frac{\partial c_i}{\partial x} \\ \frac{\partial \mu_i^*}{\partial x} = - \frac{\partial \mu_i}{\partial x} \end{array} \right)$$

$$J_i = -k_B T \left[ \frac{L_{ii}}{c_i} - \frac{L_{ii}^*}{c_i^*} \right] \frac{\partial c_i}{\partial x}$$

$$J_i^* = -k_B T \left[ \frac{L_{ii}^{**}}{c_i^*} - \frac{L_{ii}^*}{c_i} \right] \frac{\partial c_i^*}{\partial x}$$

$J_V = 0$  (vacancies at equilibrium)

$$J_i + J_i^* = 0 \Rightarrow J_i^* = -J_i = k_B T \left[ \frac{L_{ii}}{c_i} - \frac{L_{ii}^*}{c_i^*} \right] \frac{\partial c_i}{\partial x}$$

$$= -k_B T \left[ \frac{L_{ii}}{c_i} - \frac{L_{ii}^*}{c_i^*} \right] \frac{\partial c_i^*}{\partial x}$$

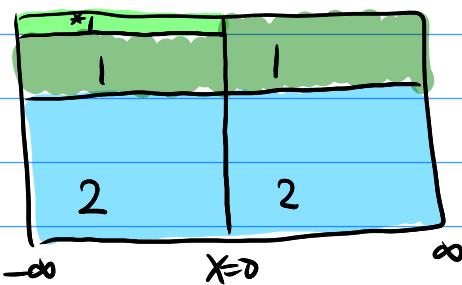
$\star D$

If we consider concentration of "chemical species" there is no chemical conc gradient

But chemical potential is variant !

Why self diffusion happens  $\Rightarrow$  maximizing entropy

The results can be obtained for isotope self diffusion  
in homogeneous binary mixture



Again even 4 species  $1, 1^*, 2, 2^*$   
are moving, we have fixed lattice plane  
so

$$J_{1^*} = -k_B T \left[ \frac{L_{11}}{C_1} - \frac{L_{11^*}}{C_{1^*}} \right] \frac{\partial C_{1^*}}{\partial x}$$

$D_1$  (self diffusivity of  
 $1$  in binary)

Summary :

self diffusion happens as result of random walk  
(even if no chemical in homogeneity)

We'll see how to deal with systems having lattice change  
during diffusion