

## LECTURE 5

# Machine Learning & Decision Trees

---

Machine Learning

Entropy

Decision Trees (partially)

# What is Learning?

Learning is any process by which a system improves its performance from experience

**Herbert Simon**



A computer program is said to learn from experience ***E*** with respect to some class of tasks ***T*** and performance measure ***P***, if its performance at tasks in ***T***, as measured by ***P***, improves with experience ***E***

**Tom Mitchell**

# What to learn about language?



- Assigning categories to words (part-of-speech [POS] tagging)
- Assigning topics to articles, emails, or web pages
- Mood, affect, or sentiment classification of a text or utterance
- Assigning a semantic type or ontological class to a word or phrase
- Language identification
- Spoken word recognition
- Handwriting recognition
- Syntactic structure (sentence parsing)
- Temporal ordering of historical events
- Semantic roles for participants of events in a sentence
- Named Entity (NE) identification
- Coreference resolution
- Discourse structure identification

# Types of learning

Supervised learning

Unsupervised learning

Semi-supervised learning

# Target function

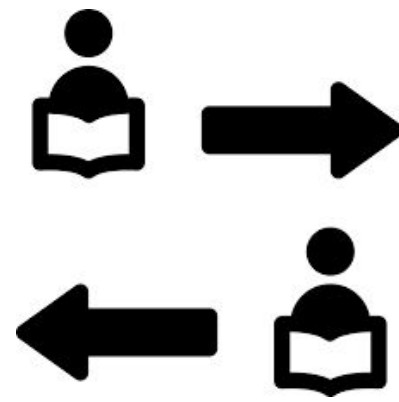
Target function maps input data to the desired output

Hypothesis (function) attempts to approximate the target function

Hypothesis space = a collection of all *possible* hypothesis functions

Learning from Experience = learning from training examples

# Learning task



Learning involves improving on a task  $T$  with respect to a performance metric  $P$ , based on experience  $E$

Tom Mitchell

Built corpus

Most informative and  
representative examples

Choose the training experience

Identify the target function

Annotations increase  
available feature space

How to represent the target function

Choose a learning algorithm

The way to infer the target  
function from the experience

Evaluate the results with the performance metric

# Feature selection

Fix upon input for the target function

N-gram features

Structure-dependent features:

- Length; Nth element;

Annotation-dependent features – *new*, explicitly added information that can help in classification or discrimination.

- Person, organization, and Place



# Target functions



## Classification

- Binary (e.g. logistic regression)
  - spam vs not-spam; sentiment analysis
- Multi-class (e.g. multinomial logistic regression)
  - natural language inference, genre detection

Probabilities of classes

## Structure prediction

- Sequence labeling: POS tagging. segmentation
- Parsing: semantic & syntactic parsing

## Regression analysis:

- Scalar value (i.e., a measure)
- Linear is the simplest

Price of properties  
Degree of similarity  
Essay grade



# Types of learning (again)

Supervised learning

Unsupervised learning

Semi-supervised learning

# Supervised learning

Data collection and annotation

Learning the target function

The most popular  
learning type



# Unsupervised learning

## Clustering



No annotated data

Identify naturally existing groupings in the dataset

Groups/clusters are not pre-defined (vs classification)

Contrast samples in the dataset to define clusters

Types of clustering:

- Exclusive
- Overlapping: hierarchical

Representation of the samples  
decides the nature of clusters

# Semi-supervised (SS) learning



Combines pros of supervised & unsupervised methods:

- Supervised: annotated data is informative (but expensive)
- Unsupervised: ample availability of raw data but with less (explicit) info

Types of semi-supervised learning:

Active learning: human helps to label low-conf. samples

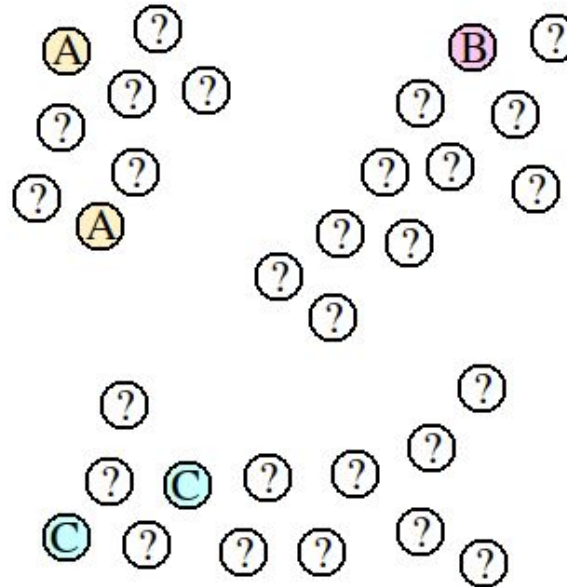
- Self-training: use for re-training unseen samples with high-conf. labels
- Multi-view: several ML models share with each other samples with high-conf. labels
- Self-ensemble: versions of an ML model voting or sharing samples with high-conf. labels

# Inductive & transductive learning

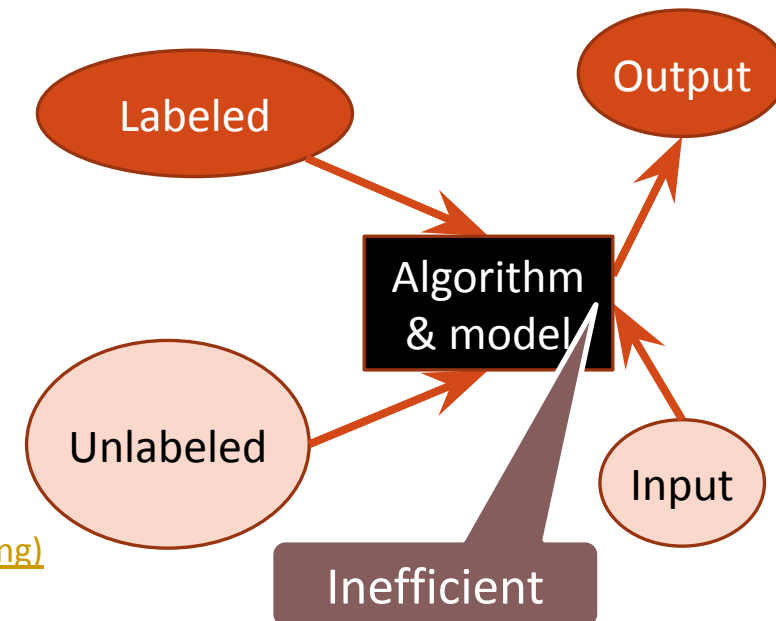
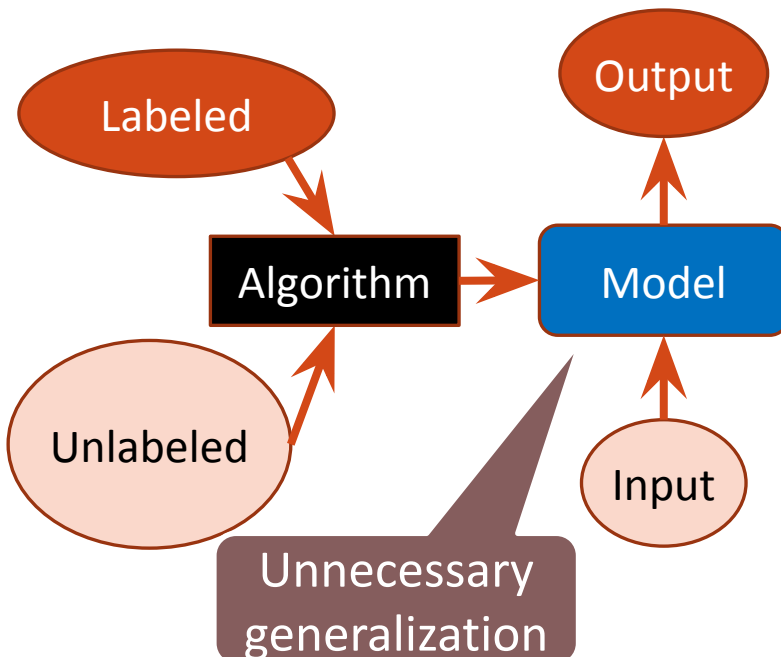


When solving a problem of interest, do not solve a more general problem as an intermediate step. Try to get the answer that you really need but not a more general one.

**Vladimir Vapnik**



[https://en.wikipedia.org/wiki/Transduction\\_\(machine\\_learning\)](https://en.wikipedia.org/wiki/Transduction_(machine_learning))



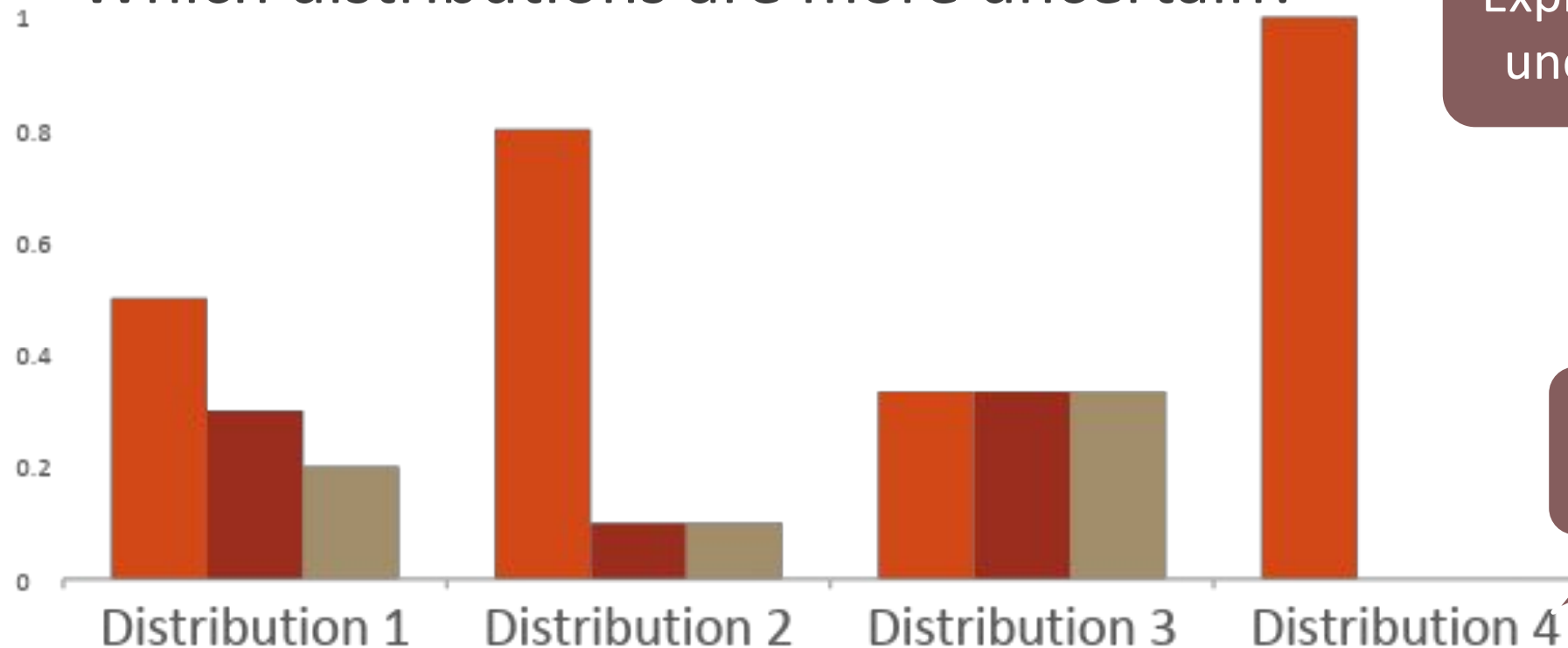
# Understanding entropy

---

# Entropy

The measure of uncertainty, chaos, mess, and diversity

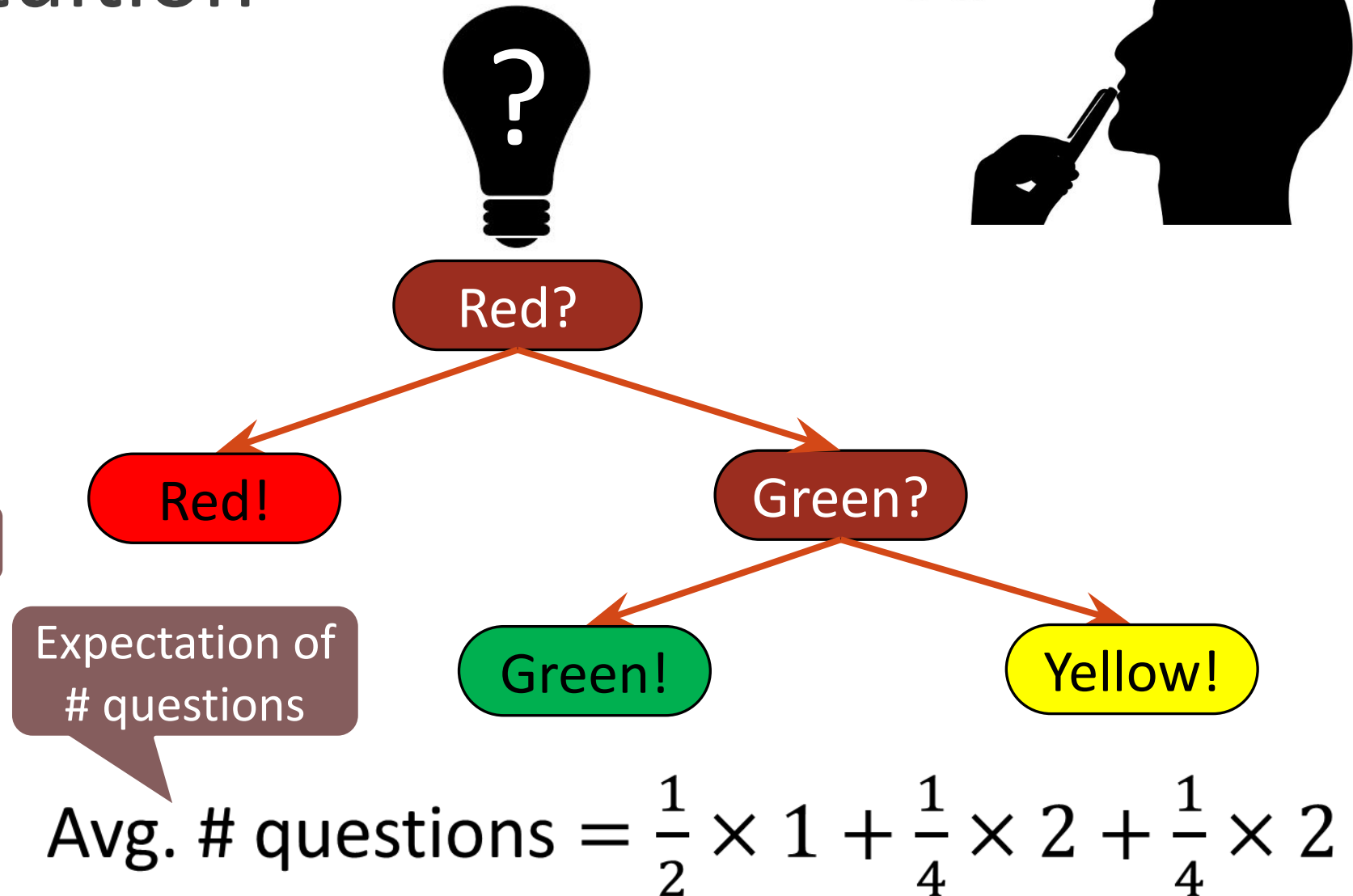
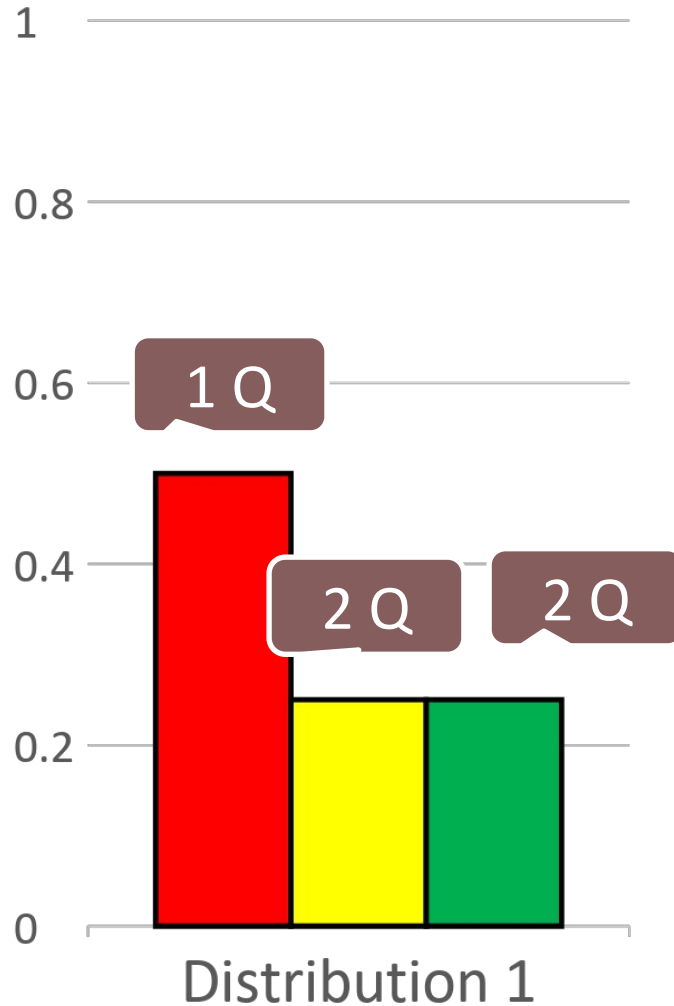
Which distributions are more uncertain?



Expresses average uncertainty, etc.

Probability mass functions

# Entropy: intuition





# Entropy: formula

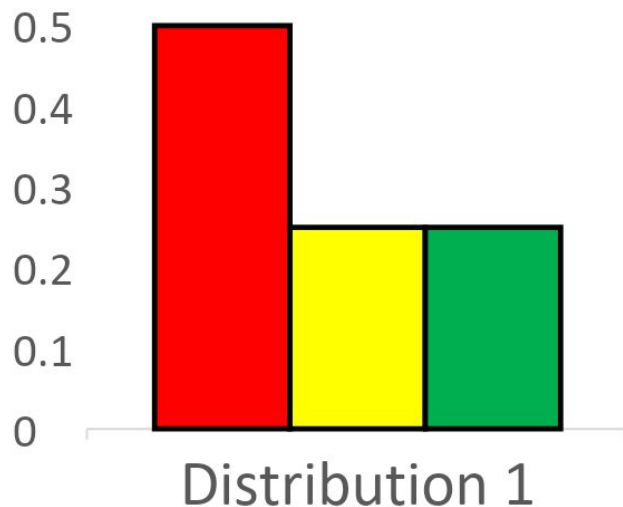
The entropy of a discrete random variable  $X$ :

$$H(X) = - \sum_{x \in V(X)} p(x) \log p(x) = \sum_{x \in V(X)} p(x) \log \frac{1}{p(x)}$$

Values of the random variable

Probability mass function

Base = 2  
(serves as a scale)



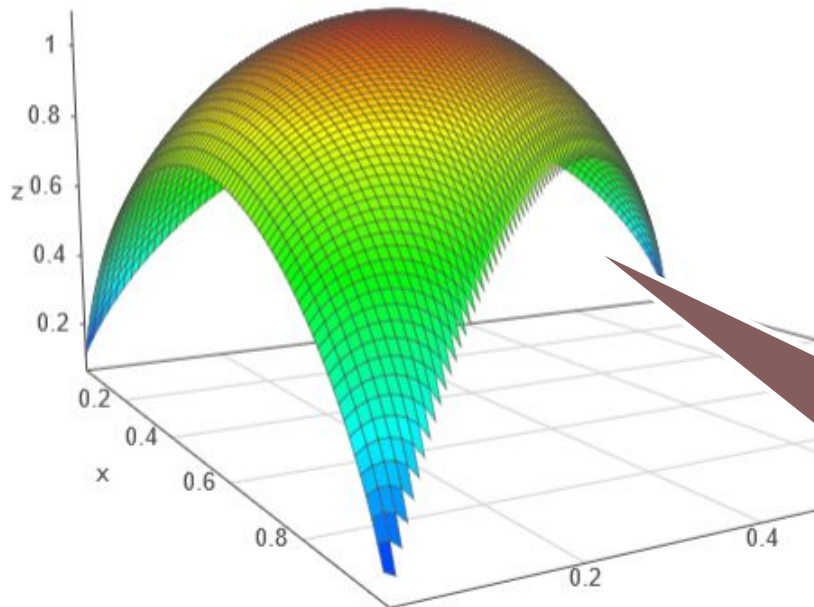
$H(X)$   $\equiv$

Entropy doesn't depend on the values of the random variable

$$\text{Avg. \# questions} = \frac{1}{2} \times 1 + \frac{1}{4} \times 2 + \frac{1}{4} \times 2$$

# Entropy: two outcomes

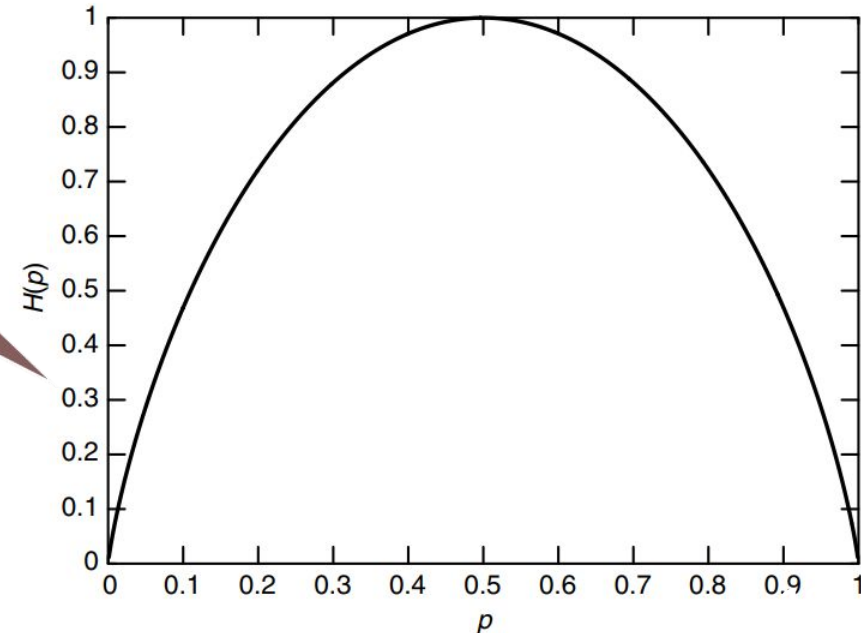
The entropy of a coin:  $X = \begin{cases} 1 & \text{with probability } p, \\ 0 & \text{with probability } 1 - p. \end{cases}$



$$0 \times \log 0 \stackrel{\text{def}}{=} 0$$

Entropy for a  
three-valued random  
variable

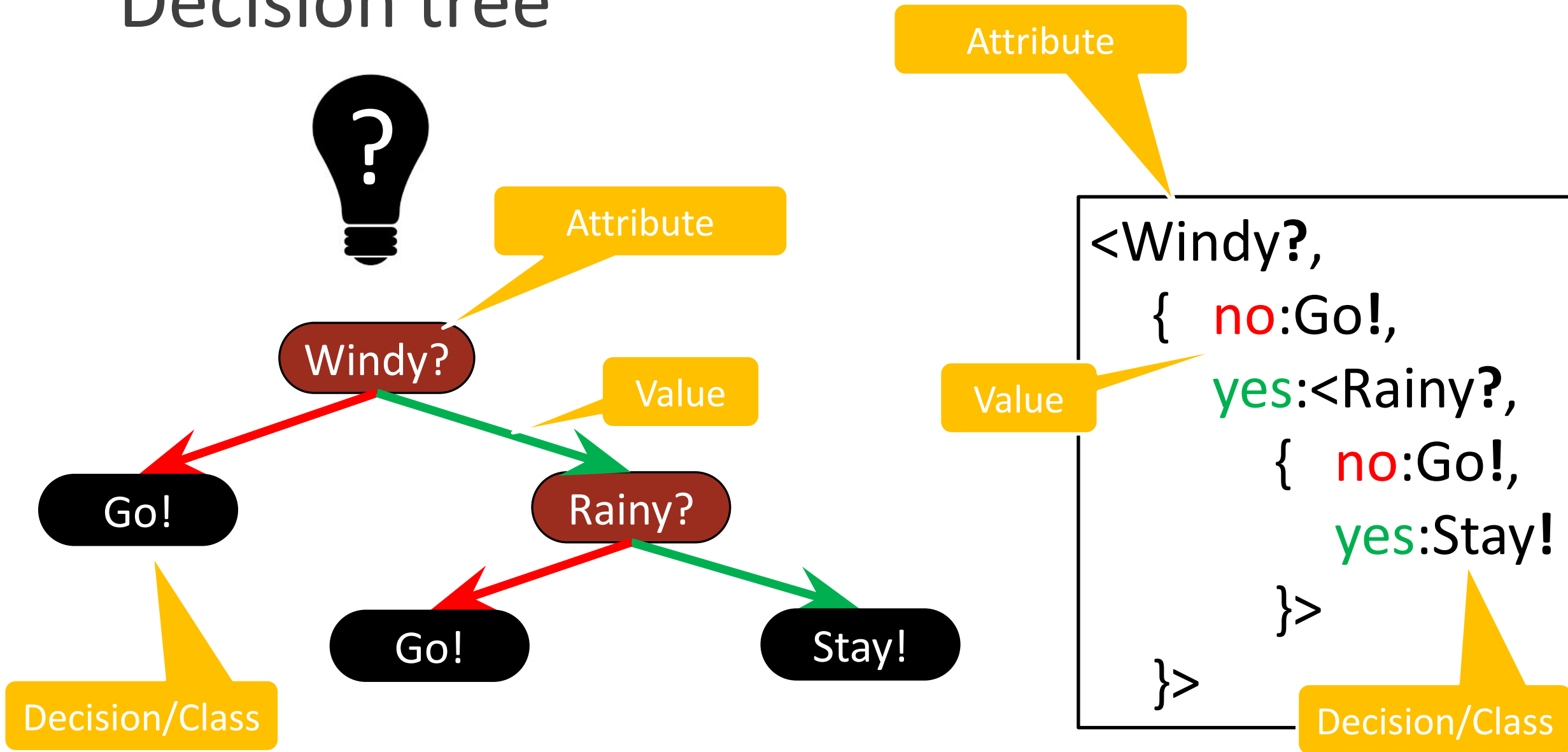
Elements of Information theory (Cover & Thomas, 2006)



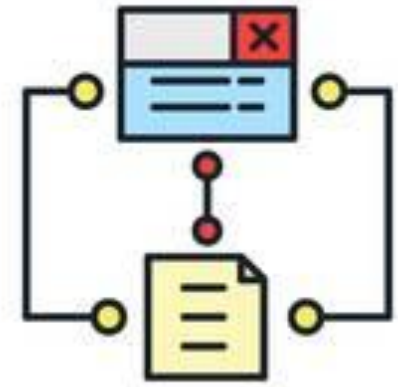
# Decision Trees

---

# Decision tree



# ID3 algorithm (Quinlan, 1986)



ID3(Samples, Attributes)

with classes

If all Samples are of some C class, **return C!**

If Attributes =  $\emptyset$ , **return** *most\_common\_class*(Samples)!

A := *best\_classifier\_attribute*(Attributes, Samples)

R := <A?,  $\emptyset$ >

Create a root of a decision tree

For a in values\_of(A):

If for no Samples, A=a:

R[2].add(a: *most\_common\_class*(Samples)!) )

else:

sub\_Samples := Samples for which A=a

less\_Attributes := Attributes - A

R[2].add(a: <ID3(sub\_Samples, less\_Attributes)> )

return R

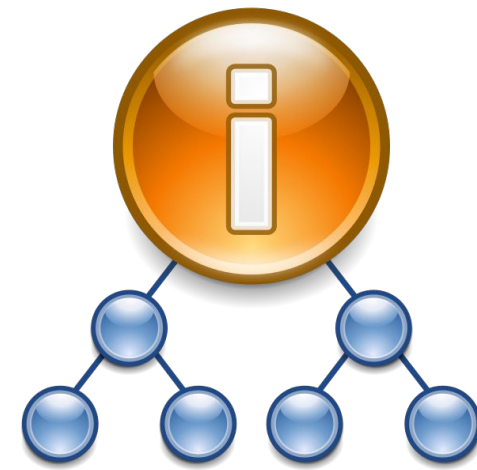
Recursive step: calling ID3 on less samples and less attributes

Best discriminating attribute for Samples from Attributes

# Information gain (with entropy)

Difference in avg. uncertainty level  $\approx$  info

Info gained = avg. chaos before – avg. chaos now



$$Gain(S, A) = H(S) - \sum_{v \in V(A)} \frac{|S_v|}{|S|} H(S_v)$$

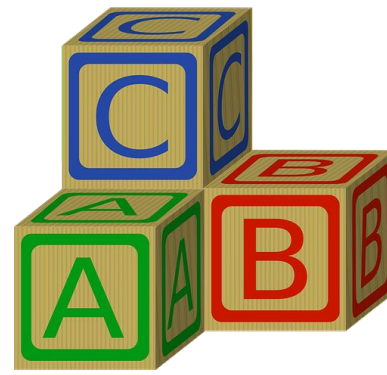
Information gain

Entropy wrt  
the target class

Weight

*best\_classifier\_attribute*(Attributes, Samples) =  
=  $\operatorname{argmax}_{A \in \text{Attributes}} Gain(\text{Samples}, A)$

# ID3 learning example



Outlook	Temp	Humidity	Windy	Play Golf
Rainy	Hot	High	False	No
Rainy	Hot	High	True	No
Overcast	Hot	High	False	Yes
Sunny	Mild	High	False	Yes
Sunny	Cool	Normal	False	Yes
Sunny	Cool	Normal	True	No
Overcast	Cool	Normal	True	Yes
Rainy	Mild	High	False	No
Rainy	Cool	Normal	False	Yes
Sunny	Mild	Normal	False	Yes
Rainy	Mild	Normal	True	Yes
Overcast	Mild	High	True	Yes
Overcast	Hot	Normal	False	Yes
Sunny	Mild	High	True	No

ID3(Samples, Attributes)

If all Samples are of some C class, return C!

If Attributes =  $\emptyset$ , return *most\_common\_class*(Samples)!

**A := *best\_classifier\_attribute*(Attributes, Samples)**

R := <A?,  $\emptyset$ >

For a in values\_of(A):

    If for no Samples, A=a:

        R[2].add(a: *most\_common\_class*(Samples)!)

    else:

        sub\_Samples := Samples for which A=a

        less\_Attributes := Attributes - A

        R[2].add(a: <ID3(sub\_Samples, less\_Attributes)> )

return R



# ID3 learning example

Outlook	Temp	Humidity	Windy	Play Golf
Rainy	Hot	High	False	No
Rainy	Hot	High	True	No
Overcast	Hot	High	False	Yes
Sunny	Mild	High	False	Yes
Sunny	Cool	Normal	False	Yes
Sunny	Cool	Normal	True	No
Overcast	Cool	Normal	True	Yes
Rainy	Mild	High	False	No
Rainy	Cool	Normal	False	Yes
Sunny	Mild	Normal	False	Yes
Rainy	Mild	Normal	True	Yes
Overcast	Mild	High	True	Yes
Overcast	Hot	Normal	False	Yes
Sunny	Mild	High	True	No

$best\_classifier\_attribute(Attributes, Samples) = \argmax_{A \in Attributes} Gain(Samples, A)$

		Play Golf		
		Yes	No	
Outlook	Sunny	3	2	5
	Overcast	4	0	4
	Rainy	2	3	5
		<a href="https://www.saedsayad.com/decision_tree.htm">https://www.saedsayad.com/decision_tree.htm</a>		14



# ID3 learning example

Outlook



Outlook	Temp	Humidity	Windy	Play Golf
Rainy	Hot	High	False	No
Rainy	Hot	High	True	No
Overcast	Hot	High	False	Yes
Sunny	Mild	High	False	Yes
Sunny	Cool	Normal	False	Yes
Sunny	Cool	Normal	True	No
Overcast	Cool	Normal	True	Yes
Rainy	Mild	High	False	No
Rainy	Cool	Normal	False	Yes
Sunny	Mild	Normal	False	Yes
Rainy	Mild	Normal	True	Yes
Overcast	Mild	High	True	Yes
Overcast	Hot	Normal	False	Yes
Sunny	Mild	High	True	No

$best\_classifier\_attribute(Attributes, Samples) = \argmax_{A \in Attributes} Gain(Samples, A)$

Gain = 0.247		Play Golf	
		Yes	No
Outlook	Sunny	3	2
	Overcast	4	0
	Rainy	2	3

Gain = 0.029		Play Golf	
		Yes	No
Temp.	Hot	2	2
	Mild	4	2
	Cool	3	1

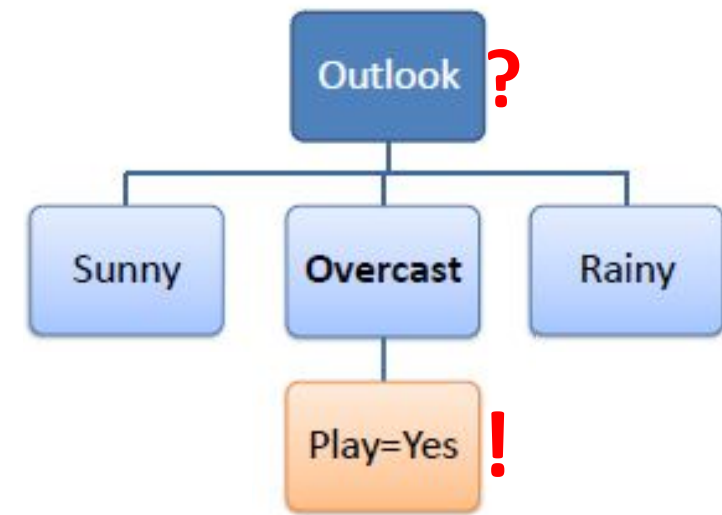
Gain = 0.152		Play Golf	
		Yes	No
Humidity	High	3	4
	Normal	6	1

Gain = 0.048		Play Golf	
		Yes	No
Windy	False	6	2
	True	3	3

[https://www.saedsayad.com/decision\\_tree.htm](https://www.saedsayad.com/decision_tree.htm)

# ID3 learning example

Outlook	Temp	Humidity	Windy	Play Golf
Rainy	Hot	High	False	No
Rainy	Hot	High	True	No
Overcast	Hot	High	False	Yes
Sunny	Mild	High	False	Yes
Sunny	Cool	Normal	False	Yes
Sunny	Cool	Normal	True	No
Overcast	Cool	Normal	True	Yes
Rainy	Mild	High	False	No
Rainy	Cool	Normal	False	Yes
Sunny	Mild	Normal	False	Yes
Rainy	Mild	Normal	True	Yes
Overcast	Mild	High	True	Yes
Overcast	Hot	Normal	False	Yes
Sunny	Mild	High	True	No



ID3(Samples, Attributes)

If all Samples are of some C class, return C!

If Attributes =  $\emptyset$ , return *most\_common\_class*(Samples)!

$A := \text{best\_classifier\_attribute}(\text{Attributes}, \text{Samples})$

$R := \langle A?, \emptyset \rangle$

For  $a$  in values\_of(A):

If for no Samples,  $A=a$ :

$R[2].\text{add}(a: \text{most\_common\_class}(\text{Samples})!)$

else:

$\text{sub\_Samples} := \text{Samples for which } A=a$

$\text{less\_Attributes} := \text{Attributes} - A$

$R[2].\text{add}(a: \langle \text{ID3}(\text{sub\_Samples}, \text{less\_Attributes}) \rangle)$

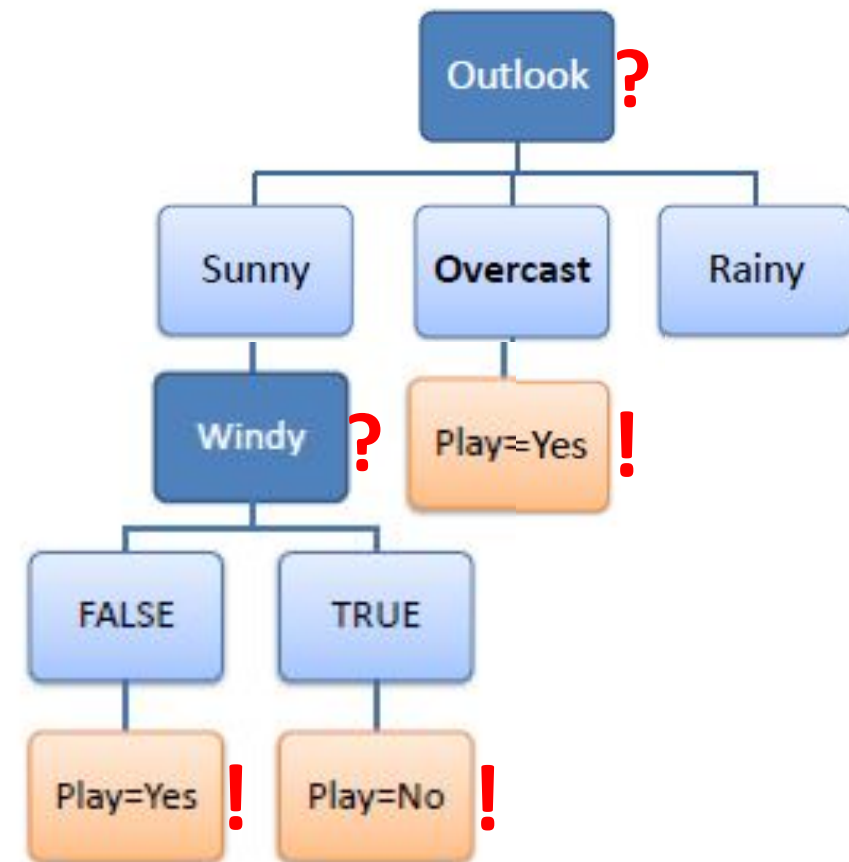
return R

Outlook

Overcast

# ID3 learning example

Outlook	Temp	Humidity	Windy	Play Golf
Rainy	Hot	High	False	No
Rainy	Hot	High	True	No
Overcast	Hot	High	False	Yes
Sunny	Mild	High	False	Yes
Sunny	Cool	Normal	False	Yes
Sunny	Cool	Normal	True	No
Overcast	Cool	Normal	True	Yes
Rainy	Mild	High	False	No
Rainy	Cool	Normal	False	Yes
Sunny	Mild	Normal	False	Yes
Rainy	Mild	Normal	True	Yes
Overcast	Mild	High	True	Yes
Overcast	Hot	Normal	False	Yes
Sunny	Mild	High	True	No



ID3(Samples, Attributes)

If all Samples are of some C class, return C!

If Attributes =  $\emptyset$ , return *most\_common\_class*(Samples)!

A := *best\_classifier\_attribute*(Attributes, Samples)

R := <A?,  $\emptyset$ >

For a in values\_of(A):

    If for no Samples, A=a:

        R[2].add(a: *most\_common\_class*(Samples)!)!

    else:

        sub\_Samples := Samples for which A=a

        less\_Attributes := Attributes - A

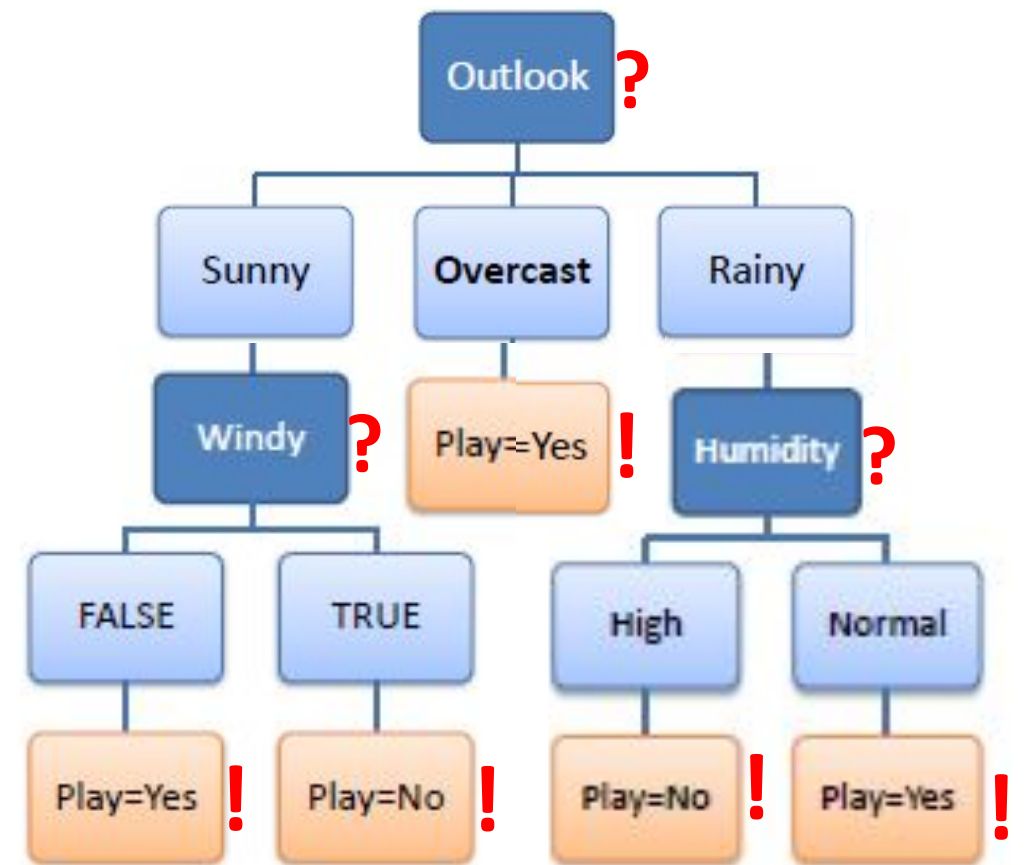
        R[2].add(a: <ID3(sub\_Samples, less\_Attributes)> )

return R

Windy

# ID3 learning example

Outlook	Temp	Humidity	Windy	Play Golf
Rainy	Hot	High	False	No
Rainy	Hot	High	True	No
Overcast	Hot	High	False	Yes
Sunny	Mild	High	False	Yes
Sunny	Cool	Normal	False	Yes
Sunny	Cool	Normal	True	No
Overcast	Cool	Normal	True	Yes
Rainy	Mild	High	False	No
Rainy	Cool	Normal	False	Yes
Sunny	Mild	Normal	False	Yes
Rainy	Mild	Normal	True	Yes
Overcast	Mild	High	True	Yes
Overcast	Hot	Normal	False	Yes
Sunny	Mild	High	True	No



ID3(Samples, Attributes)

If all Samples are of some C class, return C!

If Attributes =  $\emptyset$ , return *most\_common\_class*(Samples)!

$A := \text{best\_classifier\_attribute}(\text{Attributes}, \text{Samples})$

$R := \langle A?, \emptyset \rangle$

For  $a$  in values\_of(A):

    If for no Samples,  $A=a$ :

$R[2].\text{add}(a: \text{most\_common\_class}(\text{Samples})!)$

    else:

$\text{sub\_Samples} := \text{Samples for which } A=a$

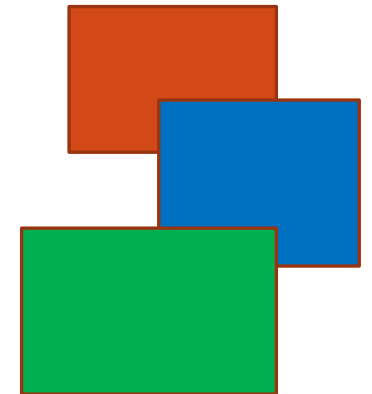
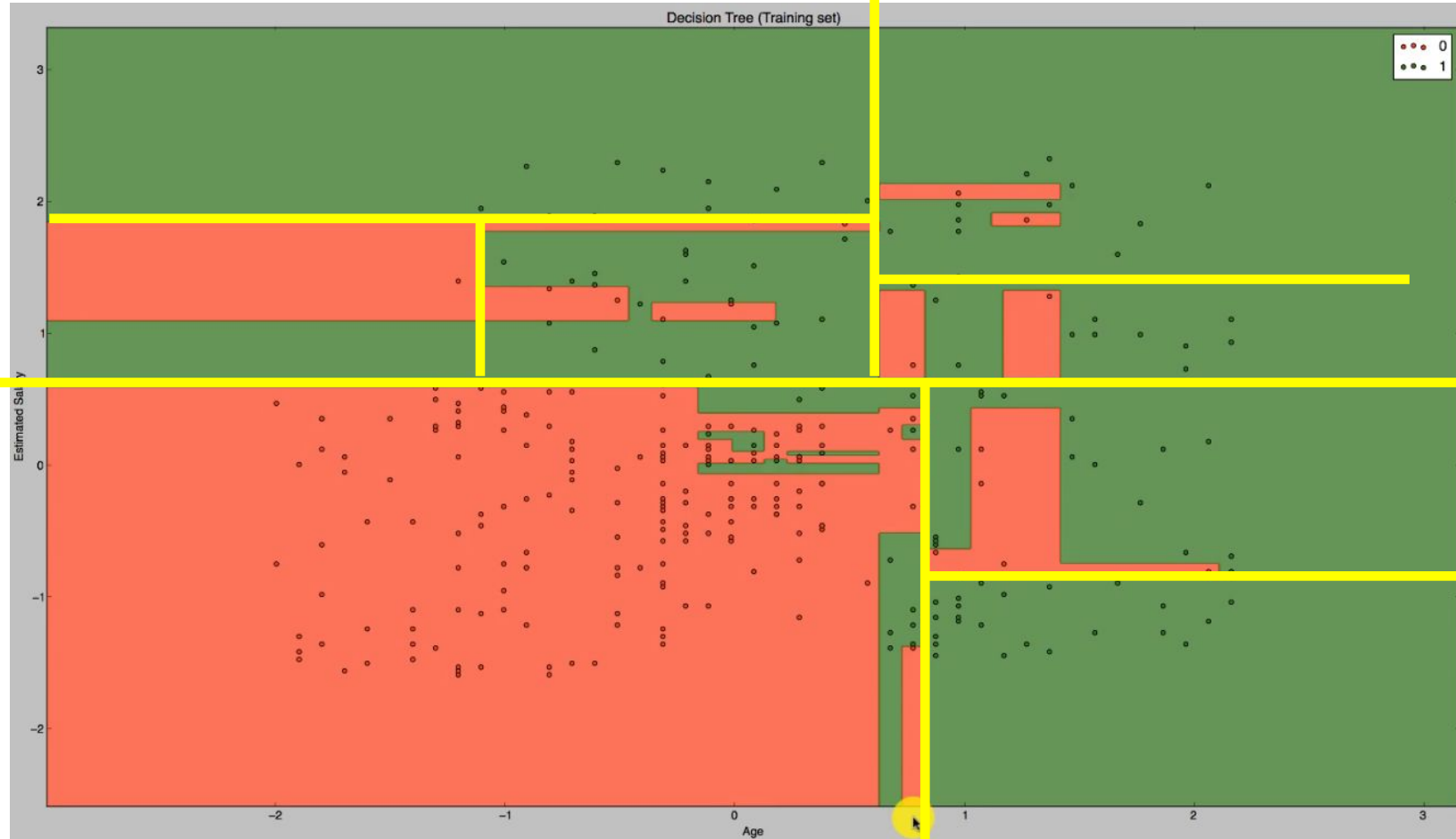
$\text{less\_Attributes} := \text{Attributes} - A$

$R[2].\text{add}(a: \langle \text{ID3}(\text{sub\_Samples}, \text{less\_Attributes}) \rangle )$

return R

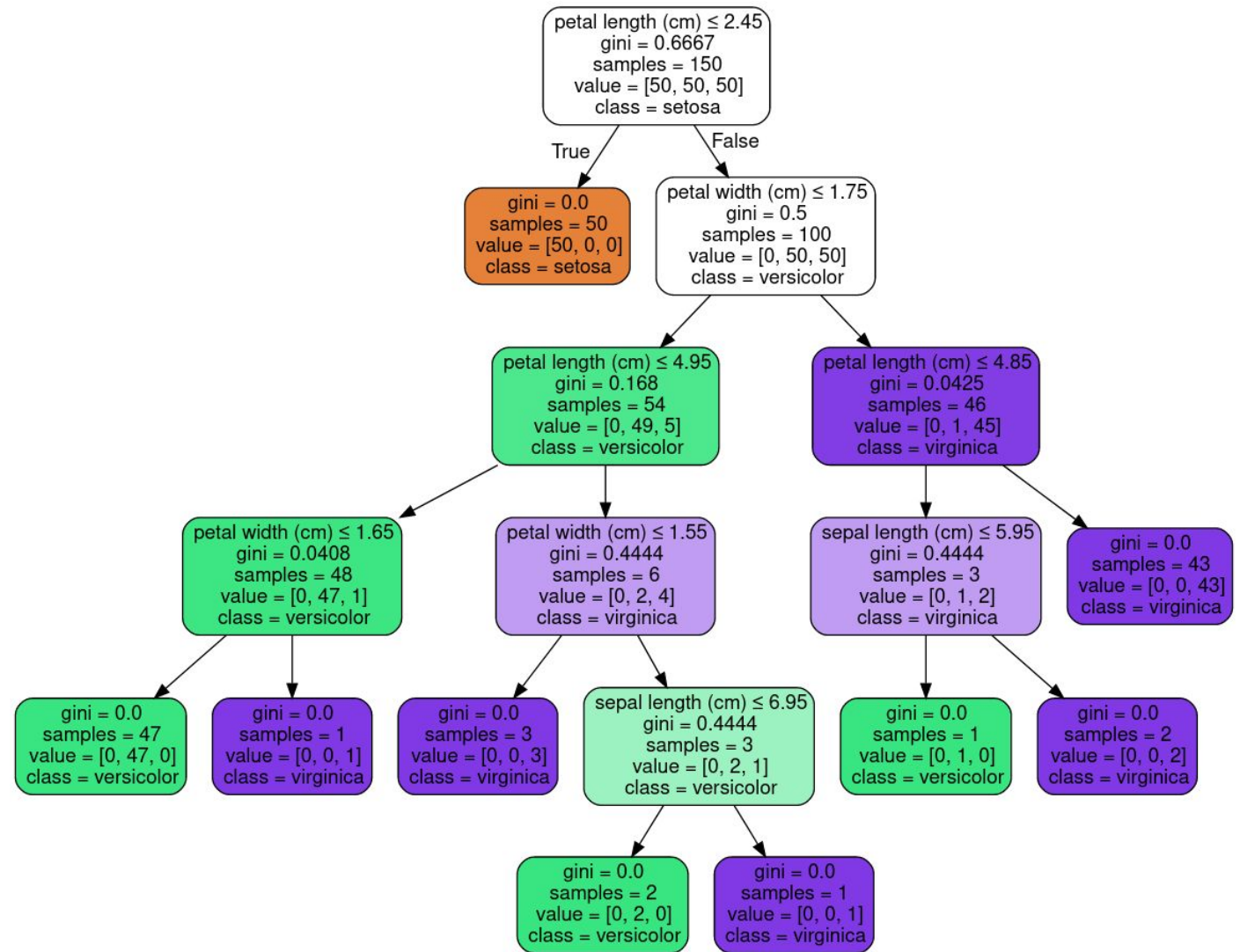
Humidity

# What decision trees actually do





# Further Reading



<https://scikit-learn.org/stable/modules/tree.html>