

Lab08-Computational Complexity

CS214-Algorithm and Complexity, Xiaofeng Gao, Spring 2019.

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1. **Solution.** The following is the process of solution.

a. In this case, the set of state is $Q = \{q_s, q_1, q_2, q_3, q_4, q_H\}$.

we use q_1 to find \square , then convert q_1 to q_2 . If q_2 find 1 from left to right, then convert q_2 to q_3 and convert 1 to \square , turn direction around. If q_3 find 1 from right to left, then convert q_3 to q_2 and convert 1 to \square , turn direction around, ; Repeat until q_2 find \triangleleft then turn direction around, and convert q_2 to q_4 , Then we use q_4 to find 1 and turn direction aroundp and convert q_4 to q_H .

Begin: use q_1 to find \square , and convert q_1 to q_2 , q_2 point to 1 on the left of \square .

$\langle q_s, \triangleright \rangle \rightarrow \langle q_1, \triangleright, R \rangle$.

$\langle q_1, 1 \rangle \rightarrow \langle q_1, 1, R \rangle$.

$\langle q_1, \square \rangle \rightarrow \langle q_2, \square, R \rangle$.

Loop: use q_2, q_3 to convert 1 to \square one by one alternately.

$\langle q_2, \square \rangle \rightarrow \langle q_2, \square, R \rangle$.

$\langle q_2, 1 \rangle \rightarrow \langle q_3, \square, L \rangle$.

$\langle q_3, \square \rangle \rightarrow \langle q_3, \square, L \rangle$.

$\langle q_3, 1 \rangle \rightarrow \langle q_2, \square, R \rangle$.

End: use q_4 to find 1 on the left of \square and p_4 point to next cell.

$\langle q_2, \triangleleft \rangle \rightarrow \langle q_4, \triangleleft, L \rangle$.

$\langle q_4, \square \rangle \rightarrow \langle q_4, \triangleleft, L \rangle$.

$\langle q_4, 1 \rangle \rightarrow \langle q_H, 1, R \rangle$.

b. The state transition diagram in txf_homework_08.vsd like following picture.

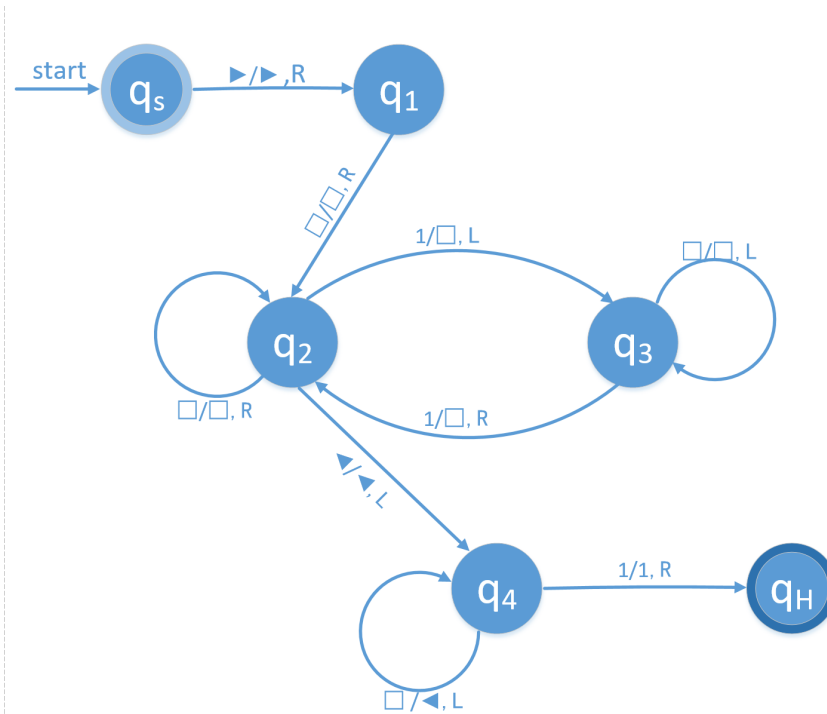
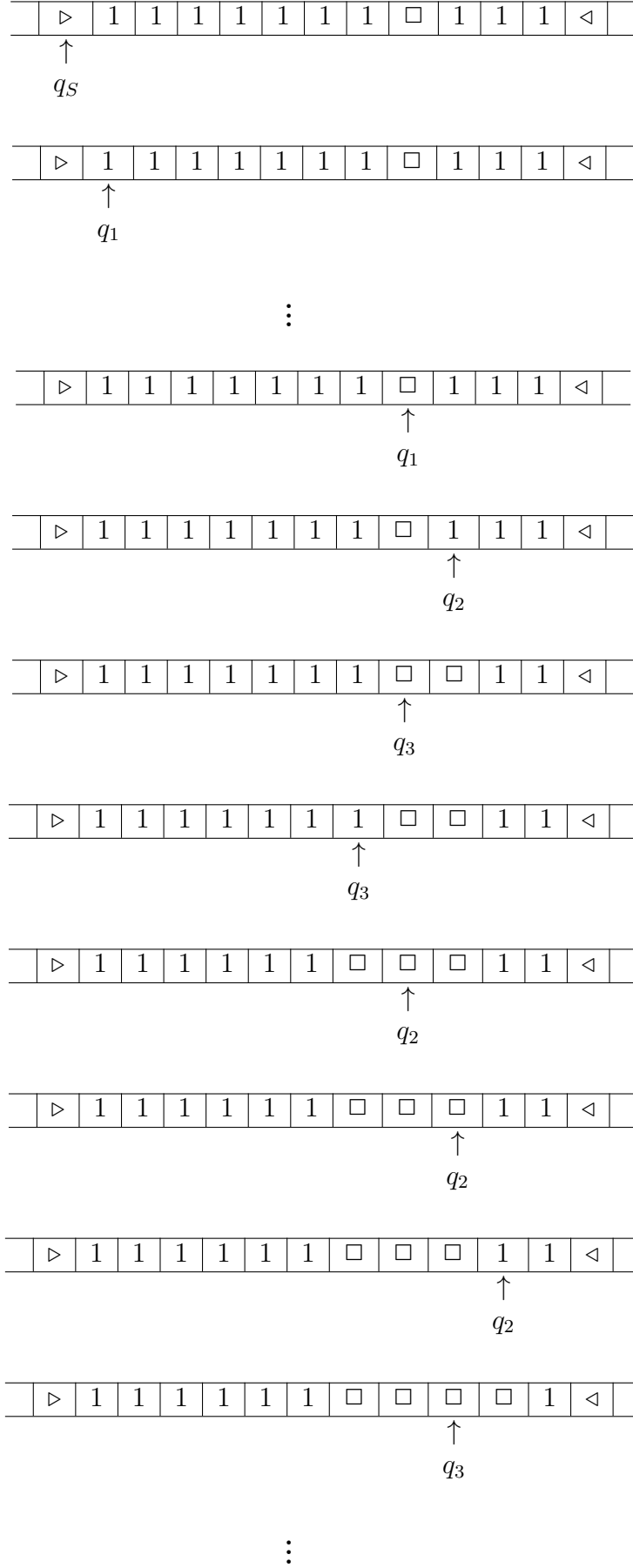
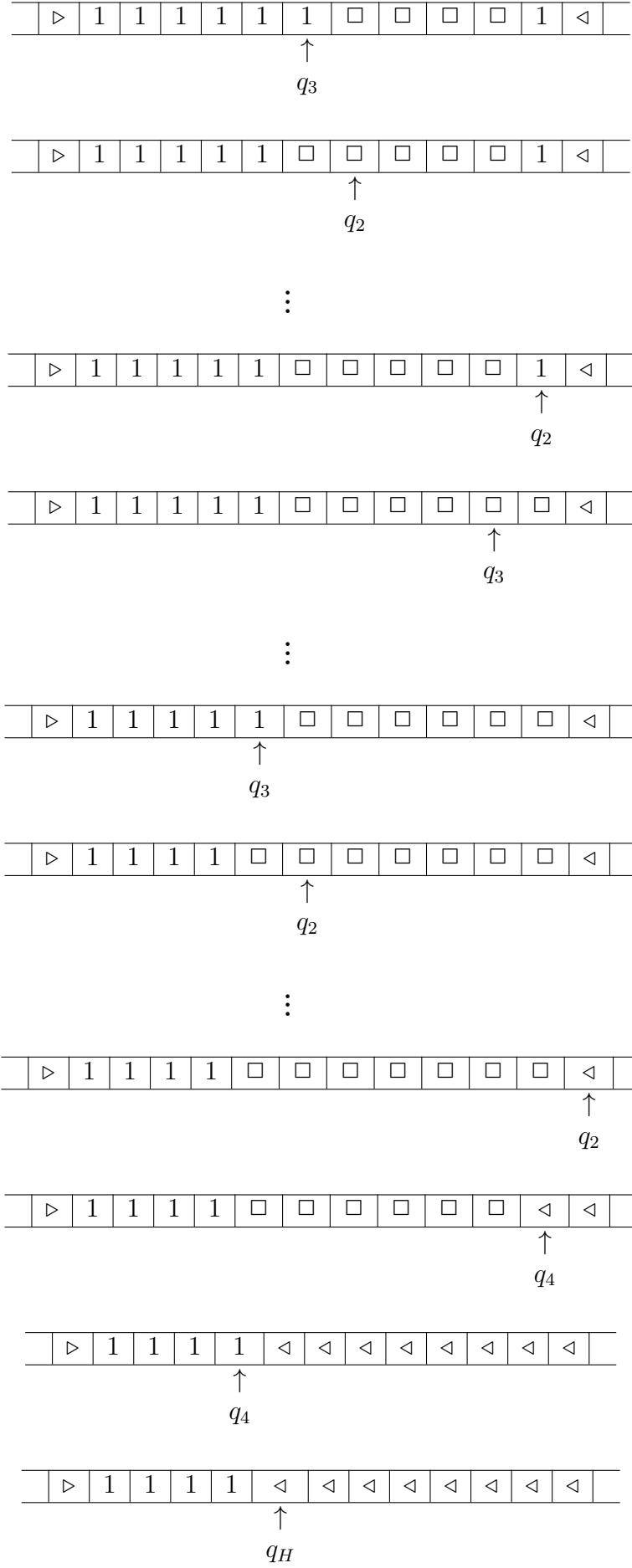


Figure 1: The state transition diagram

c. Whole process from initial to final configurations.





□

2. What is the “certificate” and “certifier” for the following problems?

- (a) *PARTITION*: Given a finite set A and a size $s(a) \in \mathbb{Z}$ for each $a \in A$, is there a subset $A' \subseteq A$ such that $\sum_{a \in A'} s(a) = \sum_{a \in A-A'} s(a)$?
- (b) *CLIQUE*: Given a graph $G = (V, E)$ and a positive integer $K \leq |V|$, is there a subset $V' \subseteq V$ with $|V'| \geq K$ such that every two vertices in V' are joined by an edge in E ?
- (c) *ZERO-ONE INTEGER PROGRAMMING*: Given an integer $m \times n$ matrix A and an integer m -vector b , is there an integer n -vector x with elements in the set $\{0, 1\}$ such that $Ax \leq b$?

Solution. The following is process of solution.

a. Certificate: Note that such a certificate exist only if $\sum_{a \in A'} s(a)$ is equal to $\frac{1}{2} \sum_{a \in A} s(a)$; example: $A = 1, 2, 1, 1, 2, 3, 5, 6, 7, 8$; $A' = 1, 2, 1, 2, 3$.

Certifier: convert the size of each $a \in A$ to a sequence $s(a_1), s(a_2), \dots, s(a_n)$ and sort the sequence;

Algorithm 1: certifier

Input: A sorted sequence $s(a_1), s(a_2), \dots, s(a_n)$

Output: Yes or No

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1  $sum \leftarrow 0$ ;
2 if  $\sum_1^n = odd$  then
3   return false;
4 else
5   for  $i$  to  $n$  do
6      $sum = 0$ ;
7     for  $j$  to  $n$  do
8        $sum += s(a_j)$ 
9       if  $sum = \frac{1}{2} \sum_{a \in A} s(a)$  then
10        return true
11 return false

```

b. Certificate: Check every k vertices and judge whether every two vertices are connected with each other;

Certifier: There exist k vertices in which every two vertices are connected.

c. Certificate: A $m \times n$ matrix A and an integer m -vector b and an integer n -vector x .

Certifier: $Ax \leq b$. □

3. *SUBSET SUM*: Given a finite set A , a size $s(a) \in \mathbb{Z}$ for each $a \in A$ and an integer B , is there a subset $A' \subseteq A$ such that $\sum_{a \in A'} s(a) = B$?

KNAPSACK: Given a finite set A , a size $s(a) \in \mathbb{Z}$ and a value $v(a) \in \mathbb{Z}$ for each $a \in A$ and integers B and K , is there a subset $A' \subseteq A$ such that $\sum_{a \in A'} s(a) \leq B$ and $\sum_{a \in A'} v(a) \geq K$?

- (a) **Proof.** Prove $PARTITION \leq_p SUBSET SUM$.

In the *PARTITION* problem: $\sum_{a \in A'} s(a) + \sum_{a \in A-A'} s(a) = \sum_{a \in A} s(a)$, and we assume $\sum_{a \in A} s(a) = N$;

So we can convert $\sum_{a \in A'} s(a) = \sum_{a \in A-A'} s(a)$ to $\sum_{a \in A'} s(a) = \frac{N}{2}$, then let $B = \frac{N}{2}$;

So, we can reduce *PARTITION* to *SUBSET SUM*. □

(b) **Proof.** Prove $SUBSET\ SUM \leq_p KNAPSACK$.

In SUBSET SUM problem: we construct the value of $a \in A$ is $v(a)$ and make $v(a)=s(a)$, and make $B = K$.

so, we can reduce the question (is there a subset $A' \subseteq A$ such that $\sum_{a \in A'} s(a) = B$) to the question (is there a subset $A' \subseteq A$ such that $\sum_{a \in A'} s(a) \leq B$ and $\sum_{a \in A'} s(a) \geq K$ ($K = B$)).

So, we can reduce SUBSET SUM to KNAPSACK.

□

4. 3-SAT : Given a set U of variables, a collection C of clauses over U such that each clause $c \in C$ has $|c| = 3$, is there a satisfying truth assignment for C ?

Prove $3\text{-SAT} \leq_p CLIQUE$.

Proof. We prove this question by two steps.

First step: prove 3-SAT reduces to independent set.

Proof: Given an instance Φ of 3-SAT, we construct an instance (G, K) of independent-set that has an independent set of size k iff Φ is satisfiable.

construct:

- G contains 3 vertices for each clause, one for each literal.
- Connect 3 literals in a clause in a triangle.
- Connect literal to each of its negations.

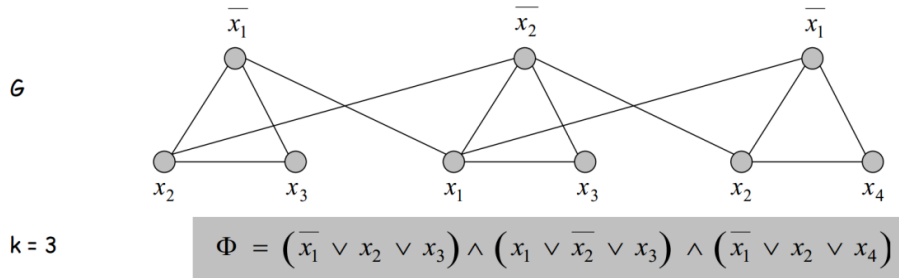


Figure 2: 3-SAT and Independent set graph.

proof: \Rightarrow Let S be independent set of size k .

- S must contain exactly one vertex in each triangle.
- Set these literals to true and any other variables in a consistent way.
- Truth assignment is consistent and all clauses are satisfied

proof: \Leftarrow Given satisfying assignment, select one true literal from each triangle. This is an independent set of size k .

Second step: prove Independent set to clique.

construction: we construct the Complementary graph of G is \bar{G} . we should prove that if G exists an independent set of size k iff \bar{G} exists an clique of size k .

proof: \Rightarrow If G exists an independent set of size k , then there exists k vertices have no edge in them, obviously, These k vertices must be joined by an edge between every two vertices in \bar{G} , This is a clique of size k in \bar{G} .

proof: \Leftarrow If \bar{G} exists clique with size k . then there exists k vertices must be joined by a edge between every two vertices. Obviously. G must have k vertices which have no edge between any two vertices. so, G have an independent set of size k .

Finally: according to the step 1: $3\text{-SAT} \leq_p \text{Independent Set}$, step 2: $\text{Independent Set} \leq_p \text{CLIQUE}$. So $3\text{-SAT} \leq_p \text{CLIQUE}$.

□

5. Algorithm class is a democratic class. Denote class as a finite set S containing every students. Now students decided to raise a student union $S' \subseteq S$ with $|S'| \leq K$.

As for the members of the union, there are many different opinions. An opinion is a set $S_o \subseteq S$. Note that number of opinions has nothing to do with number of students.

The question is whether there exists such student union $S' \subseteq S$ with $|S'| \leq K$, that S' contains at least one element from each opinion.

We call this problem *ELECTION* problem, prove that it is NP-complete.

Solution. Assume the number of students are N ; Number them as $x_1, x_2, x_3 \dots x_n$; and construct a set $\{x_1, x_2, x_3 \dots x_n\}$, Assume there have M opinions, for example: $n = 5$; and opinions: 1: $\{x_1, x_2\}$, 2: $\{x_2, x_4\}$, 3: $\{x_3, x_4, x_5\}$; so $S' = \{x_2, x_4\}$ is an example which is satisfied condition. so we can reduce this problem to a SET-COVER problem.

proof: $ELECTION \leq_p SET-COVER$.

Convert: we can number every opinions, for example: opinion 1: $\{x_1, x_2\}$, opinion 2: $\{x_2, x_4\}$, opinion 3: $\{x_3, x_4, x_5\}$; and then we convert it to student set: $x_1 : \{1\}$, $x_2 : \{1, 2\}$, $x_3 : \{3\}$, $x_4 : \{3\}$, $x_5 : \{3\}$, the aim is to find sets to cover all opinions. then we just need to find a SET-COVER to solve this problem. So we can convert this question to whether there exist a SET-COVER?

So, *ELECTION* problem is a NP problem. □

Remark: You need to include your .pdf and .tex files in your uploaded .zip file.