

Symplectic Geometry

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1 Symplectic Forms

Definition 1.1. Let V be a vector space over \mathbb{R} , a bilinear form $\Omega : V \times V \rightarrow \mathbb{R}$ is called **skew-symmetric** if $\Omega(u, v) = -\Omega(v, u)$ for all $u, v \in V$.

Theorem 1.2 (Standard Form). Let Ω be a skew-symmetric bilinear map on V . Then there exists a basis $u_1, \dots, u_k, e_1, \dots, e_n, f_1, \dots, f_n$ of V such that

$$\begin{aligned}\Omega(u_i, v) &= 0, \quad \forall i, v \\ \Omega(e_i, e_j) &= 0 = \Omega(f_i, f_j), \quad \forall i, j \\ \Omega(e_i, f_j) &= \delta_{ij}, \quad \forall i, j\end{aligned}$$

Definition 1.3. n is an invariant of (V, Ω) and $2n$ is called the **rank** of Ω .

Definition 1.4. The map $\tilde{\Omega} : V \rightarrow V^*$ is the linear map defined by $\tilde{\Omega}(v)(u) = \Omega(v, u)$. Let U be the kernel of $\tilde{\Omega}$.

Definition 1.5. A skew-symmetric bilinear map Ω is **symplectic** (or **nondegenerate**) if $\tilde{\Omega}$ is bijective. Then (V, Ω) is called a **symplectic vector space**.

We can see that $\dim V = 2n$ is even.

Definition 1.6. A subspace W is called **symplectic** if $\Omega|_W$ is nondegenerate. W is called **isotropic** if $\Omega|_W = 0$.

Definition 1.7. A **symplectomorphism** φ between symplectic vector spaces (V, Ω) and (V', Ω') is a linear isomorphism $\varphi : V \xrightarrow{\cong} V'$ such that $\varphi^* \Omega' = \Omega$. If a symplectomorphism exists, (V, Ω) and (V', Ω') are said to be **symplectomorphic**.

By theorem 1.2, every $2n$ -dimensional symplectic vector space (V, Ω) is symplectomorphic to the prototype $(\mathbb{R}^{2n}, \Omega_0)$. Hence, nonnegative even integers classify equivalence classes for the relation of being symplectomorphic.

Definition 1.8. A 2-form ω on a manifold M is called **symplectic** if ω is closed and ω_p is symplectic for all $p \in M$.

If ω is symplectic, then $\dim T_p M = \dim M$ is even.

Definition 1.9. A **symplectic manifold** is a pair (M, ω) where M is a manifold and ω is a symplectic form.

Example 1.10. Let $M = \mathbb{R}^{2n}$ with coordinates $x_1, \dots, x_n, y_1, \dots, y_n$, the form

$$\omega_0 = \sum_{i=1}^n dx_i \wedge dy_i$$

is symplectic.

Example 1.11. Let $M = \mathbb{C}^n$ with coordinates z_1, \dots, z_n , the form

$$\omega_0 = \frac{i}{2} \sum_{k=1}^n dz_k \wedge d\bar{z}_k$$

is symplectic.

Example 1.12. Let $M = S^2$. Tangent vectors to S^2 at p can be identified with vectors orthogonal to p . The standard symplectic form on S^2 is induced by the inner and exterior products:

$$\omega_p(u, v) := \langle p, u \times v \rangle, \quad u, v \in T_p S^2 = \{p\}^\perp$$

This form is closed because it is of top degree and it is nondegenerate.

Example 1.13. Smooth semi-projective variety over \mathbb{C} .

Definition 1.14. Let (M_1, ω_1) and (M_2, ω_2) be $2n$ -dimensional symplectic manifolds, and let $\varphi : M_1 \rightarrow M_2$ be a diffeomorphism. Then φ is a **symplectomorphism** if $\varphi^* \omega_2 = \omega_1$.

Theorem 1.15 (Darboux). Let (M, ω) be a $2n$ -dimensional symplectic manifold, and let p be any point in M . Then there is a coordinate chart $(\mathcal{U}, x_1, \dots, x_n, y_1, \dots, y_n)$ centered at p such that on \mathcal{U}

$$\omega = \sum_{i=1}^n dx_i \wedge dy_i$$

Such a chart is called a **Darboux chart**.

2 Symplectic Form on the Cotangent Bundle

Let X be any n -dimensional manifold. If the structure on X is described by coordinate charts $(\mathcal{U}, x_1, \dots, x_n)$ and $\xi \in T_x^*X$, then $\xi = \sum_{i=1}^n \xi_i(dx_i)_x$, this induces

$$\begin{aligned} T^*\mathcal{U} &\longrightarrow \mathbb{R}^{2n} \\ (x, \xi) &\longmapsto (x_1, \dots, x_n, \xi_1, \dots, \xi_n) \end{aligned}$$

The coordinates $x_1, \dots, x_n, \xi_1, \dots, \xi_n$ are the **cotangent coordinates** associated to the coordinates x_1, \dots, x_n .

Define a 2-form ω on $T^*\mathcal{U}$ by

$$\omega = \sum_{i=1}^n dx_i \wedge d\xi_i$$

We need to check that this definition is coordinate-independent. First consider the 1-form on $T^*\mathcal{U}$

$$\alpha = \sum_{i=1}^n \xi_i dx_i$$

then $\omega = -d\alpha$.

Claim 2.1. α is intrinsically defined (and hence ω is also intrinsically defined).

Proof. Let $(\mathcal{U}, x_1, \dots, x_n, \xi_1, \dots, \xi_n)$ and $(\mathcal{U}', x'_1, \dots, x'_n, \xi'_1, \dots, \xi'_n)$ be two cotangent coordinate charts. On $\mathcal{U} \cap \mathcal{U}'$, we have $\xi'_j = \sum_{i=1}^n \xi_i \frac{\partial x_i}{\partial x'_j}$ and $dx_i = \sum_{j=1}^n \frac{\partial x_i}{\partial x'_j} dx'_j$, hence

$$\alpha = \sum_{i=1}^n \xi_i dx_i = \sum_{j=1}^n \xi'_j dx'_j = \alpha'$$

□

Definition 2.2. The 1-form α is called the **tautological form** or **Liouville 1-form** and the 2-form ω is called the **canonical symplectic form**.

Now let's give the tautological form a coordinate-free definition. Let

$$\begin{aligned} \pi : M = T^*X &\longrightarrow X \\ p = (x, \xi) &\longmapsto x \end{aligned}$$

be the natural projection. The tautological 1-form α can be defined pointwise by

$$\alpha_p = (d\pi_p)^*\xi$$

Equivalently,

$$\alpha_p(v) = \xi(d\pi_p(v)), \quad v \in T_pM$$

Claim 2.3. Let $(\mathcal{U}, x_1, \dots, x_n)$ be a chart on X with associated cotangent coordinates $x_1, \dots, x_n, \xi_1, \dots, \xi_n$. Then on $T^*\mathcal{U}$, $\alpha = \sum_{i=1}^n \xi_i dx_i$.

The **canonical symplectic 2-form** ω on T^*X is defined as $\omega = -d\alpha$.

Let X_1 and X_2 be n -dimensional manifolds with cotangent bundles $M_1 = T^*X_1$ and $M_2 = T^*X_2$, and tautological 1-form α_1 and α_2 . Suppose $f : X_1 \rightarrow X_2$ is a diffeomorphism. Then there is a natural diffeomorphism

$$f_\# : M_1 \rightarrow M_2$$

which lifts f : if $p_1 = (x_1, \xi_1) \in M_1$, we define

$$f_\#(p_1) = p_2 = (x_2, \xi_2) \text{ with } x_2 = f(x_1) \text{ and } \xi_2 = (df_{x_1})^*\xi_1$$

Proposition 2.4. The lift $f_\#$ of a diffeomorphism $f : X_1 \rightarrow X_2$ pulls the tautological form on T^*X_2 back to the tautological form on T^*X_1 .

Proof. Need to prove

$$(df_{\#})_{p_1}^*(\alpha_2)_{p_2} = (\alpha_1)_{p_1}$$

where $p_2 = f_{\#}(p_1)$. We have a commutative diagram

$$\begin{array}{ccc} T^*X_1 & \xrightarrow{\pi_1} & X_1 \\ \downarrow f_{\#} & & \downarrow f \\ T^*X_2 & \xrightarrow{\pi_2} & X_2 \end{array}$$

Hence

$$(df_{\#})_{p_1}^*(\alpha_2)_{p_2} = (df_{\#})_{p_1}^*(d\pi_2)_{p_2}^*(\xi_2) = (d(\pi_2 \circ f_{\#}))_{p_1}^*(\xi_2) = (d(f \circ \pi_1))_{p_1}^*(\xi_2) = (d\pi_1)_{p_1}^*(df_{x_1})^*(\xi_2) = (\alpha_1)_{p_1}$$

□

Corollary 2.5. *The lift $f_{\#}$ of a diffeomorphism $f : X_1 \rightarrow X_2$ is a symplectomorphism.*

In summary, a diffeomorphism of manifolds induces a canonical symplectomorphism of cotangent bundles.

Example 2.6. *Let $X_1 = X_2 = S^1$, then T^*S^1 is an infinite cylinder $S^1 \times \mathbb{R}$. The canonical 2-form ω is the area form $\omega = d\theta \wedge d\xi$. If $f : S^1 \rightarrow S^1$ is any diffeomorphism, then $f_{\#} : S^1 \times \mathbb{R} \rightarrow S^1 \times \mathbb{R}$ is a symplectomorphism, that is an area-preserving diffeomorphism of the cylinder.*

In terms of the group $\text{Diff}(X)$ of diffeomorphisms of X and the group $\text{Symp}(M, \omega)$ of symplectomorphisms of (M, ω) , the map

$$\begin{aligned} \text{Diff}(X) &\longrightarrow \text{Symp}(M, \omega) \\ f &\longmapsto f_{\#} \end{aligned}$$

is a group homomorphism.

Exercise 2.7. *Show that if $n > 1$ there are no symplectic structures on the sphere S^{2n} .*

Proof. We use the following steps:

- (1) Let (M, ω) be a compact $2n$ -dimensional symplectic manifold, and ω^n be the volume form. Then the de Rham cohomology class $[\omega^n] \in H^{2n}(M; \mathbb{R})$ is non-zero. (Stokes' theorem)
- (2) $[\omega]$ itself is non-zero.
- (3) $H^2(S^{2n}; \mathbb{R}) = 0$ when $n > 1$.

□

3 Lagrangian Submanifolds

Definition 3.1. *A **closed embedding** is a proper injective immersion.*

Example 3.2. *A map $i : X \rightarrow M$ is a closed embedding if and only if i is an embedding and its image $i(X)$ is closed in M .*

Definition 3.3. *A **submanifold** of M is a manifold X with a closed embedding $i : X \hookrightarrow M$.*

Definition 3.4. *Let (M, ω) be a $2n$ -dimensional symplectic manifold. A submanifold Y of M is a **Lagrangian submanifold** if, at each $p \in Y$, $T_p Y$ is a Lagrangian subspace of $T_p M$, that is $\omega_p|_{T_p Y} = 0$ and $\dim T_p Y = \frac{1}{2} \dim T_p M$. Equivalently, if $i : Y \hookrightarrow M$ is the inclusion map, then Y is **Lagrangian** if and only if $i^* \omega = 0$ and $\dim Y = \frac{1}{2} \dim M$.*

The **zero section** of T^*X is an n -dimensional submanifold of T^*X . Clearly $\alpha = \xi_i dx_i$ vanishes on $X_0 \cap T^*U$. In particular, if $i_0 : X_0 \rightarrow T^*X$ is the inclusion map, we have $i_0^* \alpha = 0$. Hence $i_0^* \omega = i_0^* d\alpha = 0$, and X_0 is Lagrangian.

Now consider another section

$$X_{\mu} = \{(x, \mu_x) | x \in X, \mu_x \in T_x^* X\}$$

where the covector μ_x depends smoothly on x , and $\mu : X \rightarrow T^*X$ is a 1-form.

Proposition 3.5. Denote by $s_\mu : X \rightarrow T^*X, x \mapsto (x, \mu_x)$, the 1-form μ regarded exclusively as a map. Let α be the tautological 1-form on T^*X . Then

$$s_\mu^* \alpha = \mu$$

Proof. By definition, we have

$$(s_\mu^* \alpha)_x = (ds_\mu)_x^* (d\pi_p)^* \mu_x = d(\pi \circ s_\mu)_x^* \mu_x = \mu_x$$

□

Suppose that X_μ is an n -dimensional submanifold of T^*X with associated 1-form μ . Then $s_\mu : X \rightarrow T^*X$ is an embedding with image X_μ , and there is a diffeomorphism $\tau : X \rightarrow X_\mu, \tau(x) := (x, \mu_x)$, such that the following diagram commutes.

$$\begin{array}{ccc} X & \xrightarrow{s_\mu} & T^*X \\ & \searrow \tau \cong & \nearrow i \\ & X_\mu & \end{array}$$

Hence X_μ is Lagrangian if and only if

$$i^* d\alpha = 0 \iff \tau^* i^* d\alpha = 0 \iff (i \circ \tau)^* d\alpha = 0 \iff s_\mu^* d\alpha = 0 \iff ds_\mu^* \alpha = 0 \iff d\mu = 0 \iff \mu \text{ is closed}$$

Therefore, there is a one-to-one correspondence between the set of Lagrangian submanifolds of T^*X of the form X_μ and the set of closed 1-forms on X .

When X is simply connected, $H_{dR}^1(X) = 0$, so every closed 1-form μ is of the form df for some $f \in C^\infty(X)$. Any such primitive f is called a **generating function** for the Lagrangian submanifold X_μ associated to μ .

Definition 3.6. Let S be any k -dimensional submanifold of an n -dimensional manifold X . The **conormal space** at $x \in S$ is

$$N_x^* S = \{\xi \in T_x^* X \mid \xi(v) = 0 \text{ for all } v \in T_x S\}$$

The **conormal bundle** of S is

$$N^* S = \{(x, \xi) \in T^* X \mid x \in S, \xi \in N_x^* S\}$$

Proposition 3.7. Let $i : N^* S \hookrightarrow T^* X$ be the inclusion, and let α be the tautological 1-form on $T^* X$. Then

$$i^* \alpha = 0$$

Proof. Let $(\mathcal{U}, x_1, \dots, x_n)$ be a coordinate system on X centered at $x \in S$ and adapted to S , so that $\mathcal{U} \cap S$ is described by $x_{k+1} = \dots = x_n = 0$. Let $(T^* \mathcal{U}, x_1, \dots, x_n, \xi_1, \dots, \xi_n)$ be the associated cotangent coordinate system. The submanifold $N^* S \cap T^* \mathcal{U}$ is then described by

$$x_{k+1} = \dots = x_n = 0, \xi_1 = \dots = \xi_k = 0$$

Since $\alpha = \sum \xi_i dx_i$ on $T^* \mathcal{U}$, we conclude that, at $p \in N^* S$,

$$(i^* \alpha)_p = \alpha_p|_{T_p(N^* S)} = 0$$

□

Corollary 3.8. For any submanifold $S \subset X$, the conormal bundle $N^* S$ is a Lagrangian submanifold of $T^* X$.

Now consider two $2n$ -dimensional symplectic manifold. Given a diffeomorphism $\varphi : M_1 \xrightarrow{\cong} M_2$, when is it a symplectomorphism?

Consider projection maps $\pi_{1,2} : M_1 \times M_2 \rightarrow M_1, M_2$, then $(\pi_1)^* \omega_1 + (\pi_2)^* \omega_2$ is a 2-form on $M_1 \times M_2$ which is closed:

$$d\omega = (\pi_1)^* d\omega_1 + (\pi_2)^* d\omega_2 = 0$$

and symplectic:

$$\omega^{2n} = \binom{2n}{n} ((\pi_1)^* \omega_1)^n \wedge ((\pi_2)^* \omega_2)^n \neq 0$$

More generally, if $\lambda_1, \lambda_2 \in \mathbb{R} \setminus \{0\}$, then $\lambda_1 (\pi_1)^* \omega_1 + \lambda_2 (\pi_2)^* \omega_2$ is also a symplectic form on $M_1 \times M_2$. Take $\lambda_1 = 1, \lambda_2 = -1$ to obtain the **twisted product form** on $M_1 \times M_2$:

$$\tilde{\omega} = (\pi_1)^* \omega_1 - (\pi_2)^* \omega_2$$

The graph Γ_φ of a diffeomorphism φ is an embedded image of M_1 in $M_1 \times M_2$, the embedding being the map

$$\begin{aligned} \gamma : M_1 &\longrightarrow M_1 \times M_2 \\ p &\longmapsto (p, \varphi(p)) \end{aligned}$$

Proposition 3.9. *A diffeomorphism φ is a symplectomorphism if and only if Γ_φ is a Lagrangian submanifold of $(M_1 \times M_2, \tilde{\omega})$.*

Proof. Γ_φ is Lagrangian if and only if $\gamma^* \tilde{\omega} = 0$. But

$$\gamma^* \tilde{\omega} = \gamma^*(\pi_1)^* \omega_1 - \gamma^*(\pi_2)^* \omega_2 = (\pi_1 \circ \gamma)^* \omega_1 - (\pi_2 \circ \gamma)^* \omega_2$$

where $\pi_1 \circ \gamma$ is the identity map on M_1 and $\pi_2 \circ \gamma = \varphi$. Therefore

$$\gamma^* \tilde{\omega} = 0 \iff \varphi^* \omega_2 = \omega_1$$

□

4 Generating Functions

Let X_1, X_2 be n -dimensional manifolds, with cotangent bundles $M_1 = T^*X_1, M_2 = T^*X_2$, tautological 1-forms α_1, α_2 , and canonical 2-forms ω_1, ω_2 .

Under the natural identification

$$M_1 \times M_2 = T^*X_1 \times T^*X_2 \cong T^*(X_1 \times X_2)$$

the tautological 1-form on $T^*(X_1 \times X_2)$ is

$$\alpha = (\pi_1)^* \alpha_1 + (\pi_2)^* \alpha_2$$

The canonical 2-form on $T^*(X_1 \times X_2)$ is

$$\omega = -d\alpha = (\pi_1)^* \omega_1 + (\pi_2)^* \omega_2$$

In order to describe the twisted form $\tilde{\omega} = (\pi_1)^* \omega_1 - (\pi_2)^* \omega_2$, we define an involution of $M_2 = T^*X_2$ by

$$\begin{aligned} \sigma_2 : M_2 &\longrightarrow M_2 \\ (x_2, \xi_2) &\longmapsto (x_2, -\xi_2) \end{aligned}$$

which yields $\sigma_2^* \alpha_2 = -\alpha_2$. Let $\sigma = \text{id}_{M_1} \times \sigma_2 : M_1 \times M_2 \rightarrow M_1 \times M_2$. Then

$$\sigma^* \tilde{\omega} = \pi_1^* \omega_1 + \pi_2^* \omega_2 = \omega$$

If Y is a Lagrangian submanifold of $(M_1 \times M_2, \omega)$, then its **twist** $Y^\sigma := \sigma(Y)$ is a Lagrangian submanifold of $(M_1 \times M_2, \tilde{\omega})$.

Recipe for producing symplectomorphisms $M_1 = T^*X_1 \rightarrow M_2 = T^*X_2$:

- (1) Start with a Lagrangian submanifold Y of $(M_1 \times M_2, \omega)$.
- (2) Twist it to obtain a Lagrangian submanifold Y^σ of $(M_1 \times M_2, \tilde{\omega})$.
- (3) Check whether Y^σ is the graph of some diffeomorphism $\varphi : M_1 \rightarrow M_2$.
- (4) If it is, then φ is a symplectomorphism by proposition 3.9.

Let $i : Y^\sigma \hookrightarrow M_1 \times M_2$ be the inclusion map, Step 3 amounts to checking whether $\pi_1 \circ i$ and $\pi_2 \circ i$ are diffeomorphisms. If yes, then $\varphi := (\pi_2 \circ i) \circ (\pi_1 \circ i)^{-1}$ is a diffeomorphism. To find Lagrangian submanifolds of $M_1 \times M_2$, we need the method of generating functions.

Definition 4.1. *For any $f \in C^\infty(X_1 \times X_2)$, the **Lagrangian submanifolds generated by f** is*

$$Y_f := \{((x, y), (df)_{(x,y)})(x, y) \in X_1 \times X_2\}$$

Denote

$$\begin{aligned} d_x f &:= (df)_{(x,y)} \text{ projected to } T_x^* X_1 \times \{0\} \\ d_y f &:= (df)_{(x,y)} \text{ projected to } \{0\} \times T_y^* X_2 \end{aligned}$$

then

$$Y_f = \{(x, y, d_x f, d_y f) | (x, y) \in X_1 \times X_2\}$$

and

$$Y_f^\sigma = \{(x, y, d_x f, -d_y f) | (x, y) \in X_1 \times X_2\}$$

When Y_f^σ is the graph of a diffeomorphism $\varphi : M_1 \rightarrow M_2$, we call φ the **symplectomorphism generated by f** , and call f the **generating function** of φ .

Now we use coordinates to calculate φ . Let $(\mathcal{U}_1, x_1, \dots, x_n), (\mathcal{U}_2, y_1, \dots, y_n)$ be coordinate charts for X_1, X_2 with associated charts $(T^*\mathcal{U}_1, x_1, \dots, x_n, \xi_1, \dots, \xi_n), (T^*\mathcal{U}_2, y_1, \dots, y_n, \eta_1, \dots, \eta_n)$ for M_1, M_2 . The set Y_f^σ is the graph of $\varphi : M_1 \rightarrow M_2$ if and only if $\forall (x, \xi) \in M_1$ and $(y, \eta) \in M_2$, we have

$$\varphi(x, \xi) = (y, \eta) \iff \xi = d_x f \text{ and } \eta = -d_y f$$

Therefore, we must solve the **Hamilton equations**

$$\begin{cases} \xi_i = \frac{\partial f}{\partial x_i}(x, y) \\ \eta_i = -\frac{\partial f}{\partial y_i}(x, y) \end{cases}$$

In order to solve the first set of equations locally for y in terms of x and ξ , by the implicit function theorem, we need the condition

$$\det \left[\frac{\partial}{\partial y_j} \left(\frac{\partial f}{\partial x_i} \right) \right]_{i,j=1}^n \neq 0$$

This is a necessary condition for f to generate a symplectomorphism φ and locally this is also sufficient.

Example 4.2. Let $X_1 = X_2 = \mathbb{R}^n$, and $f(x, y) = -\frac{|x-y|^2}{2}$, then the Hamilton equations are

$$\begin{cases} \xi_i = \frac{\partial f}{\partial x_i} = y_i - x_i \\ \eta_i = -\frac{\partial f}{\partial y_i} = y_i - x_i \end{cases} \implies \begin{cases} y_i = x_i + \xi_i \\ \eta_i = \xi_i \end{cases}$$

The symplectomorphism generated by f is

$$\varphi(x, \xi) = (x + \xi, \xi)$$

Suppose that (X, g) is a geodesically convex Riemannian manifold. Consider the function

$$f : X \times X \rightarrow \mathbb{R}, f(x, y) = -\frac{d(x, y)^2}{2}$$

What is the symplectomorphism $\varphi : T^*X \rightarrow T^*X$ generated by f ?

The metric g induces an identification $\tilde{g}_x : T_x X \xrightarrow{\cong} T_x^* X$. Use \tilde{g} to translate φ into a map $\tilde{\varphi} : TX \rightarrow TX$, then we need to solve

$$\begin{cases} \tilde{g}_x(v) = \xi_i = d_x f(x, y) \\ \tilde{g}_y(w) = \eta_i = -d_y f(x, y) \end{cases}$$

for (y, η) in terms of (x, ξ) in order to find φ , or equivalently, for (y, w) in terms of (x, v) in order to find $\tilde{\varphi}$.

Let γ be the geodesic with initial conditions $\gamma(0) = x$ and $\frac{d\gamma}{dt}(0) = v$. Then the symplectomorphism φ corresponds to the map

$$\begin{aligned} \tilde{\varphi} : TX &\longrightarrow TX \\ (x, v) &\longmapsto (\gamma(1), \frac{d\gamma}{dt}(1)) \end{aligned}$$

called the **geodesic flow** on X .

5 Recurrence

Let X be an n -dimensional manifold and $M = T^*X$ with canonical symplectic form ω .

Suppose we have a smooth function $f : X \times X \rightarrow \mathbb{R}$ which generates a symplectomorphism $\varphi : M \rightarrow M$, $\varphi(x, d_x f) = (y, -d_y f)$. What are the fixed point of φ ?

Define $\psi : X \rightarrow \mathbb{R}$ by $\psi(x) = f(x, x)$.

Proposition 5.1. *There is a one-to-one correspondence between the fixed points of φ and the critical points of ψ .*

Proof. At $x_0 \in X$, $d_{x_0}\psi = (d_x f + d_y f)|_{(x,y)=(x_0,x_0)}$. Let $\xi = d_x f|_{(x,y)=(x_0,x_0)}$.

$$x_0 \text{ is a critical point of } \psi \iff d_{x_0}\psi = 0 \iff d_y f|_{(x,y)=(x_0,x_0)} = -\xi$$

Hence the point in Γ_f^σ corresponding to $(x, y) = (x_0, x_0)$ is (x_0, x_0, ξ, ξ) . But Γ_f^σ is the graph of φ , so $\varphi(x_0, \xi) = (x_0, \xi)$ is a fixed point. \square

Consider the iterates of φ

$$\varphi^{(N)} = \varphi \circ \cdots \circ \varphi : M \rightarrow M, \quad N = 1, 2, \dots$$

each of which is a symplectomorphism of M . By the previous proposition, if $\varphi^{(N)}$ is generated by $f^{(N)}$, then there is a one-to-one correspondence between $\{\text{fixed points of } \varphi^{(N)}\}$ and $\{\text{critical points of } \psi^{(N)} = f^{(N)}(x, x) : X \rightarrow \mathbb{R}\}$.

Knowing that φ is generated by f , does $\varphi^{(2)}$ have a generating function?

Yes!

Fix $x, y \in X$. Define a map

$$\begin{aligned} X &\longrightarrow \mathbb{R} \\ z &\longmapsto f(x, z) + f(z, y) \end{aligned}$$

Suppose that this map has a unique critical point z_0 , and that z_0 is nondegenerate. Let

$$f^{(2)}(x, y) := f(x, z_0) + f(z_0, y)$$

Proposition 5.2. *The function $f^{(2)} : X \times X \rightarrow \mathbb{R}$ is smooth and is a generating function for $\varphi^{(2)}$ if we assume that for each $\xi \in T_x^*X$, there is a unique $y \in X$ for which $d_x f^{(2)} = \xi$.*

Proof. z_0 is given by $d_y f(x, z_0) + d_x f(z_0, y) = 0$. The nondegeneracy condition is

$$\det \left[\frac{\partial}{\partial z_i} \left(\frac{\partial f}{\partial y_j}(x, z) + \frac{\partial f}{\partial x_j}(z, y) \right) \right] \neq 0$$

By the implicit function theorem, $z_0 = z_0(x, y)$ is smooth.

$f^{(2)}(x, y)$ is a generating function for $\varphi^{(2)}$ if and only if

$$\varphi^{(2)}(x, d_x f^{(2)}) = (y, -d_y f^{(2)})$$

Since φ is generated by f , and z_0 is critical, we obtain

$$\begin{aligned} \varphi^{(2)}(x, d_x f^{(2)}(x, y)) &= \varphi(\varphi(x, d_x f^{(2)}(x, y))) = \varphi(\varphi(x, d_x f(x, z_0))) = \varphi(z_0, -d_y f(x, z_0)) \\ &= \varphi(z_0, d_x f(z_0, y)) = (y, -d_y f(z_0, y)) = (y, -d_y f^{(2)}(x, y)) \end{aligned}$$

\square

Theorem 5.3 (Poincaré Recurrence Theorem). *Suppose that $\varphi : A \rightarrow A$ is an area-preserving diffeomorphism of a finite-area manifold A . Let $p \in A$, and \mathcal{U} be a neighborhood of p . Then there is $q \in \mathcal{U}$ and a positive integer N such that $\varphi^{(N)}(q) \in \mathcal{U}$.*

Theorem 5.4 (Poincaré-Birkhoff theorem). *Suppose $\varphi : A \rightarrow A$ is an area-preserving diffeomorphism of the closed annulus $A = \mathbb{R}/\mathbb{Z} \times [-1, 1]$ which preserves the two components of the boundary, and twists them in opposite directions. Then φ has at least two fixed points.*

6 Local forms

Theorem 6.1 (Darboux). *Let (M, ω) be a symplectic manifold, and let p be any point in M . Then we can find coordinate system $(\mathcal{U}, x_1, \dots, x_n, y_1, \dots, y_n)$ centered at p such that on \mathcal{U}*

$$\omega = \sum_{i=1}^n dx_i \wedge dy_i$$

Theorem 6.2 (Weinstein Lagrangian Neighborhood Theorem). *Let M be a $2n$ -dimensional manifold, X a compact Lagrangian submanifold of both (M, ω_0) and (M, ω_1) . Then there exist neighborhoods \mathcal{U}_0 and \mathcal{U}_1 of X in M and a diffeomorphism $\varphi : \mathcal{U}_0 \rightarrow \mathcal{U}_1$ such that the following diagram commutes and $\varphi^* \omega_1 = \omega_0$.*

$$\begin{array}{ccc} \mathcal{U}_0 & \xrightarrow{\varphi} & \mathcal{U}_1 \\ & \nwarrow i & \nearrow i \\ & X & \end{array}$$

Theorem 6.3 (Coisotropic Embedding Theorem). *Let M be a manifold of dimension $2n$, X a submanifold of dimension $k \geq n$, $i : X \hookrightarrow M$ the inclusion map, and ω_0 and ω_1 symplectic forms on M such that $i^*\omega_0 = i^*\omega_1$ and X is coisotropic for both (M, ω_0) and (M, ω_1) . Then there exist neighborhoods \mathcal{U}_0 and \mathcal{U}_1 of X in M and a diffeomorphism $\varphi : \mathcal{U}_0 \rightarrow \mathcal{U}_1$ such that the following diagram commutes and $\varphi^*\omega_1 = \omega_0$.*

$$\begin{array}{ccc} \mathcal{U}_0 & \xrightarrow{\varphi} & \mathcal{U}_1 \\ & \nwarrow i \quad \nearrow i & \\ & X & \end{array}$$

Theorem 6.4 (Weinstein Tubular Neighborhood Theorem). *Let (M, ω) be a symplectic manifold, X a compact Lagrangian submanifold, ω_0 the canonical symplectic form on T^*X , $i_0 : X \hookrightarrow T^*X$ the Lagrangian embedding as the zero section, and $i : X \hookrightarrow M$ the Lagrangian embedding given by inclusion. Then there are neighborhoods \mathcal{U}_0 of X in T^*X , \mathcal{U} of X in M , and a diffeomorphism $\varphi : \mathcal{U}_0 \rightarrow \mathcal{U}$ such that the following diagram commutes and $\varphi^*\omega = \omega_0$.*

$$\begin{array}{ccc} \mathcal{U}_0 & \xrightarrow{\varphi} & \mathcal{U} \\ & \nwarrow i \quad \nearrow i & \\ & X & \end{array}$$

6.1 Tangent Space to the Group of Symplectomorphisms

The symplectomorphisms of a symplectic manifold (M, ω) form a group

$$\text{Symp}(M, \omega) = \{f : M \xrightarrow{\cong} M \mid f^*\omega = \omega\}$$

What is $T_{\text{id}}(\text{Symp}(M, \omega))$? What does a neighborhood of id in $\text{Symp}(M, \omega)$ look like?

Let X, Y be manifolds.

Definition 6.5. A sequence of maps $f_i : X \rightarrow Y$ **converges in the C^0 -topology** to $f : X \rightarrow Y$ if and only if f_i converges uniformly on compact sets.

Definition 6.6. A sequence of C^1 maps $f_i : X \rightarrow Y$ **converges in the C^1 -topology** to $f : X \rightarrow Y$ if and only if it and the sequence of derivatives $df_i : TX \rightarrow TY$ converge uniformly on compact sets.

Let (M, ω) be a compact symplectic manifold and $f \in \text{Symp}(M, \omega)$. Then $\text{Graph } f$ and $\text{Graph } \text{id} = \Delta$ are Lagrangian submanifolds of $(M \times M, \pi_1^*\omega - \pi_2^*\omega)$. By the Weinstein tubular theorem, there exists a neighborhood \mathcal{U} of Δ ($\sim M$) in $(M \times, \pi_1^*\omega - \pi_2^*\omega)$ which is symplectomorphic to a neighborhood \mathcal{U}_0 of M in (T^*M, ω_0) . Let $\varphi : \mathcal{U} \rightarrow \mathcal{U}_0$ be the symplectomorphism satisfying $\varphi(p, p) = (p, 0), \forall p \in M$.

Suppose that f is sufficiently C^1 -close to id , i.e., f is in some sufficiently small neighborhood of id in the C^1 -topology. Then

- (1) We can assume that $\text{Graph } f \subseteq \mathcal{U}$. Let $j : M \hookrightarrow \mathcal{U}$ be the embedding as $\text{Graph } f$ and $i : M \hookrightarrow \mathcal{U}$ be the embedding as $\text{Graph } \text{id} = \Delta$.
- (2) The map j is sufficiently C^1 -close to i .
- (3) By the Weinstein theorem, $\mathcal{U} \simeq \mathcal{U}_0 \subseteq T^*M$, so the above j and i induce embeddings $j_0 : M \hookrightarrow \mathcal{U}_0$ and $i_0 : M \hookrightarrow \mathcal{U}_0$. Hence we have the following commutative diagrams.

$$\begin{array}{ccc} \mathcal{U} & \xrightarrow{\varphi} & \mathcal{U}_0 \\ & \nwarrow i \quad \nearrow i_0 & \\ & M & \end{array} \quad \text{and} \quad \begin{array}{ccc} \mathcal{U} & \xrightarrow{\varphi} & \mathcal{U}_0 \\ & \nwarrow j \quad \nearrow j_0 & \\ & M & \end{array}$$

where $i(p) = (p, p), i_0(p) = (p, 0), j(p) = (p, f(p)), j_0(p) = \varphi(p, f(p))$ for $p \in M$.

- (4) The map j_0 is sufficiently C^1 -close to i_0 . Thus the image set $j_0(M)$ intersects each Y_p^*M at one point μ_p depending smoothly on p .
- (5) The image of j_0 is the image of a smooth section $\mu : M \rightarrow T^*M$, that is, a 1-form $\mu = j_0 \circ (\pi \circ j_0)^{-1}$.

Therefore, $\text{Graph } f \simeq \{(p, \mu_p) \mid p \in M, \mu_p \in T_p^*M\}$. **Conclusion:** A small C^1 -neighborhood of id in $\text{Symp}(M, \omega)$ is homeomorphic to a C^1 -neighborhood of zero in the vector space of closed 1-forms on M . Thus

$$T_{\text{id}}(\text{Symp}(M, \omega)) \simeq \{\mu \in \Omega^1(M) \mid d\mu = 0\}$$

In particular, $T_{\text{id}}(\text{Symp}(M, \omega))$ contains the space of exact 1-forms

$$\{\mu = dh \mid h \in C^\infty(M)\} \cong C^\infty(M) / \text{locally constant functions}$$

6.2 Fixed Points of Symplectomorphisms

Theorem 6.7. *Let (M, ω) be a compact symplectic manifold with $H_{dR}^1(M) = 0$. Then any symplectomorphism of M which is sufficiently C^1 -close to the identity has at least two fixed points.*

Proof. Suppose that $f \in \text{Symp}(M, \omega)$ is sufficiently C^1 -close to id. Then $\text{Graph } f \simeq$ closed 1-form μ on M . Since $H_{dR}^1(M) = 0$, $\mu = dh$ for some $h \in C^\infty(M)$.

Since M is compact, h has at least 2 critical points. Then

$$\begin{array}{ccc} \text{Fixed points of } f & \xlongequal{\quad} & \text{Critical points of } h \\ \Downarrow & & \Downarrow \\ \text{Graph } f \cap \Delta & \xlongequal{\quad} & \{p : \mu_p = dh_p = 0\} \end{array}$$

□

Definition 6.8. *A submanifold Y of M is C^1 -close to X when there is a diffeomorphism $X \rightarrow Y$ which is, as a map into M , C^1 -close to the inclusion $X \hookrightarrow M$.*

Theorem 6.9. *Let (M, ω) be a symplectic manifold. Suppose that X is a compact Lagrangian submanifold of M with $H_{dR}^1(X) = 0$. Then every Lagrangian submanifold of M which is C^1 -close to X intersects X in at least two points.*

7 Contact Forms

Definition 7.1. *A **contact element** on a manifold M is a point $p \in M$, called the **contact point**, together with a tangent hyperspace at p , $H_p \subset T_p M$.*

Suppose that H is a smooth field of contact elements on M . Locally, $H = \ker \alpha$ for some 1-form α , called a **locally defining 1-form** for H .

Definition 7.2. *A **contact structure** on M is a smooth field of tangent hyperspace $H \subset TM$, such that, for any locally defining 1-form α , we have $d\alpha|_H$ non-degenerate (i.e., symplectic). The pair (M, H) is then called a **contact manifold** and α is called a **local contact form**.*

At each $p \in M$,

$$T_p M = \ker \alpha_p \oplus \ker d\alpha_p$$

Therefore, any contact manifold (M, H) has $\dim M = 2n + 1$ odd. If α is a global contact form, then $\alpha \wedge (d\alpha)^n$ is a volume form on M .

Proposition 7.3. *Let H be a field of tangent hyperplanes on M . Then H is a contact structure if and only if $\alpha \wedge (d\alpha)^n \neq 0$ for every locally defining 1-form α .*

Proof. \Leftarrow Suppose that $H = \ker \alpha$ locally, we need to show $d\alpha|_H$ nondegenerate.

Take a local trivialization $\{e_1, f_1, \dots, e_n, f_n, r\}$ of $TM = \ker \alpha \oplus \text{rest}$, such that $\ker \alpha = \text{span}\{e_1, f_1, \dots, e_n, f_n\}$ and $\text{rest} = \text{span}\{r\}$. Then

$$(\alpha \wedge (d\alpha)^n)(e_1, f_1, \dots, e_n, f_n, r) = \alpha(r) \cdot (d\alpha)^n(e_1, f_1, \dots, e_n, f_n)$$

Thus $(d\alpha)^n(e_1, f_1, \dots, e_n, f_n) \neq 0$, hence $d\alpha|_H$ is nongenerate. □

Example 7.4. *On \mathbb{R}^3 with coordinates (x, y, z) , consider $\alpha = xdy + dz$. Since*

$$\alpha \wedge d\alpha = (xdy + dz) \wedge (dx \wedge dy) = dx \wedge dy \wedge dz \neq 0$$

α is contact form on \mathbb{R}^3 .

This corresponding field of hyperplanes $H = \ker \alpha$ at $(x, y, z) \in \mathbb{R}^3$ is

$$H_{(x,y,z)} = \left\{ v = a \frac{\partial}{\partial x} + b \frac{\partial}{\partial y} + c \frac{\partial}{\partial z} \mid bx + c = 0 \right\}$$

Generally, on \mathbb{R}^{2n+1} with coordinates $(x_1, y_1, \dots, x_n, y_n, z)$, $\alpha = \sum_{i=1}^n x_i dy_i + dz$ is contact.

Example 7.5 (Martinet, 1971). *Any compact orientable 3-manifold admits a contact structure.*

Theorem 7.6. Let (M, H) be a contact manifold and $p \in M$. Then there exists a coordinate system $(\mathcal{U}, x_1, y_1, \dots, x_n, y_n, z)$ centered at p such that on \mathcal{U}

$$\alpha = \sum x_i dy_i + dz$$

is a local contact form for H .

Theorem 7.7 (Gray). Let M be a compact manifold. Suppose that $\alpha_t, t \in [0, 1]$ is a smooth family of global contact forms on M . Let $H_t = \ker \alpha_t$. Then there exists an isotopy $\rho : M \times \mathbb{R} \rightarrow M$ such that $H_t = \rho_{t*} H_0$ for all $0 \leq t \leq 1$.

8 Contact Dynamics

Let (M, H) be a contact manifold with a contact form α .

Claim 8.1. There exists a unique vector field R on M such that

$$\begin{cases} i_R d\alpha = 0 \\ i_R \alpha = 1 \end{cases}$$

Proof. $R \in \ker d\alpha$ which is a line bundle and then normalize R . □

Definition 8.2. The vector field R is called the **Reeb vector field** determined by α .

Claim 8.3. The flow of R preserves the contact form, i.e., if $\rho_t = \exp tR$ is the isotopy generated by R , then $\rho_t^* \alpha = \alpha, \forall t \in \mathbb{R}$.

Proof. We have

$$\frac{d}{dt}(\rho_t^* \alpha) = \rho_t^*(\mathcal{L}_R \alpha) = \rho_t^*(di_R \alpha + i_R d\alpha) = 0$$

Hence $\rho_t^* \alpha = \rho_0^* \alpha = \alpha, \forall t \in \mathbb{R}$. □

Definition 8.4. A **contactmorphism** is a diffeomorphism f of a contact manifold (M, H) which preserves the contact structure (i.e., $f_* H = H$).

Example 8.5. \mathbb{R}^{2n+1} with $\alpha = \sum_{i=1}^n x_i dy_i + dz$. Then

$$\begin{cases} i_R \sum dx_i \wedge dy_i = 0 \\ i_R \sum x_i dy_i + dz = 1 \end{cases}$$

implies that $R = \frac{\partial}{\partial z}$ is the Reeb vector field. The contactomorphisms generated by R are translations

$$\rho_t(x_1, y_1, \dots, x_n, y_n, z) = (x_1, y_1, \dots, x_n, y_n, z + t).$$

Example 8.6. Regard the odd sphere $S^{2n-1} \hookrightarrow \mathbb{R}^{2n}$ as the set of unit vectors.

$$\{(x_1, y_1, \dots, x_n, y_n) \mid \sum (x_i^2 + y_i^2) = 1\}$$

Consider the 1-form on \mathbb{R}^{2n} , $\sigma = \frac{1}{2} \sum (x_i dy_i - y_i dx_i)$.

Claim: The form $\alpha = i^* \sigma$ is a contact form on S^{2n-1} .

Proof. We need to show that $\alpha \wedge (d\alpha)^{n-1} \neq 0$. The 1-form on \mathbb{R}^{2n} $\nu = d \sum (x_i^2 + y_i^2) = 2 \sum (x_i dx_i + y_i dy_i)$ satisfies $T_p S^{2n-1} = \ker \nu_p$ at $p \in S^{2n-1}$. We can check that $\nu \wedge \sigma \wedge (d\sigma)^{n-1} \neq 0$. □

The distribution $H = \ker \alpha$ is called the **standard contact structure** on S^{2n-1} . The Reeb vector field is $R = 2 \sum \left(x_i \frac{\partial}{\partial y_i} - y_i \frac{\partial}{\partial x_i} \right)$, and is known as the **Hopf vector field** on S^{2n-1} .

Proposition 8.7. Let (M, H) be a contact manifold with a contact form α . Let $\widetilde{M} = M \times \mathbb{R}$, and let $\pi : \widetilde{M} \rightarrow M$, $(p, \tau) \mapsto p$, be the projection. Then $\omega = d(e^\tau \pi^* \alpha)$ is a symplectic form on \widetilde{M} .

Hence, \widetilde{M} has a symplectic form ω canonically determined by a contact form α on M and a coordinate function \mathbb{R} . (\widetilde{M}, ω) is called the **symplectization** of (M, α) .

9 Almost Complex Structures

Definition 9.1. Let V be a vector space. A **complex structure** on V is a linear map $J : V \rightarrow V$ with $J^2 = -\text{id}$. The pair (V, J) is called a **complex vector space**.

A complex structure J is equivalent to a structure of vector space over \mathbb{C} if we identify the map J with multiplication by $\sqrt{-1}$.

Definition 9.2. Let (V, Ω) be a symplectic vector space. A complex structure J on V is said to be **compatible** if

$$G_J(u, v) := \Omega(u, Jv), \quad \forall u, v \in V$$

is a positive inner product on V . That is,

$$\begin{cases} \Omega(Ju, Jv) = \Omega(u, v) \\ \Omega(u, Ju) > 0, \quad \forall u \neq 0 \end{cases}$$

Proposition 9.3. Let (V, Ω) be a symplectic vector space. Then there is a compatible complex structure J on V .

Proof. Choose a positive inner product G on V . Since Ω and G are nondegenerate,

$$\begin{cases} u \in V \mapsto \Omega(u, \cdot) \in V^* \\ w \in V \mapsto G(w, \cdot) \in V^* \end{cases}$$

are isomorphisms between V and V^* . Hence $\Omega(u, v) = G(Au, v)$ for some linear map $A : V \rightarrow V$. This map A is skew-symmetric because

$$G(A^*u, v) = G(u, Av) = G(Av, u) = \Omega(v, u) = -\Omega(u, v) = G(-Au, v)$$

Also, AA^* is symmetric and positive. These properties imply that AA^* diagonalizes with positive eigenvalues λ_i

$$AA^* = B \text{diag}(\lambda_1, \dots, \lambda_{2n}) B^{-1}$$

Let

$$\sqrt{AA^*} = B \text{diag}(\sqrt{\lambda_1}, \dots, \sqrt{\lambda_{2n}}) B^{-1}$$

Then $\sqrt{AA^*}$ is symmetric and positive-definite. Let $J = (\sqrt{AA^*})^{-1}A$, the factorization $A = \sqrt{AA^*}J$ is called the **polar decomposition** of A . J is orthogonal and skew-adjoint, hence it is a complex structure on V :

$$J^2 = -JJ^* = -\text{id}$$

Compatibility:

$$\begin{aligned} \Omega(Ju, Jv) &= G(AJu, Jv) = G(JAu, Jv) = G(Au, v) = \Omega(u, v) \\ \Omega(u, Ju) &= G(Au, Ju) = G(-JAu, u) = G(\sqrt{AA^*}u, u) > 0, \quad \forall u \neq 0 \end{aligned}$$

Therefore, J is a compatible complex structure on V . □

Definition 9.4. An **almost complex structure** on a manifold M is a smooth field of complex structures on the tangent spaces:

$$x \mapsto J_x : T_x M \rightarrow T_x M \text{ linear, and } J_x^2 = -\text{id}$$

The pair (M, J) is then called an **almost complex manifold**.

Definition 9.5. Let (M, ω) be a symplectic manifold. An almost complex structure J on M is called **compatible** if the assignment

$$\begin{aligned} x &\mapsto g_x : T_x M \times T_x M \rightarrow \mathbb{R} \\ g_x(u, v) &:= \omega_x(u, J_x v) \end{aligned}$$

is a Riemannian metric on M .

For a manifold M , if M has already had a symplectic form ω , a Riemannian metric g and a almost complex structure J . Then the triple (ω, g, J) is called a **compatible triple** when $g(\cdot, \cdot) = \omega(\cdot, J\cdot)$.

Proposition 9.6. *Let (M, ω) be a symplectic manifold, and g a Riemannian metric on M . Then there exists a canonical almost complex structure J on M which is compatible.*

Corollary 9.7. *Any symplectic manifold has compatible almost complex structures.*

Proposition 9.8. *Let (M, ω) be a symplectic manifold, and J_0, J_1 two almost complex structures compatible with ω . Then there is a smooth family $J_t, 0 \leq t \leq 1$, of compatible almost complex structures joining J_0 to J_1 .*

Proof. By compatibility, we get $g_0(\cdot, \cdot) = \omega(\cdot, J_0 \cdot)$ and $g_1(\cdot, \cdot) = \omega(\cdot, J_1 \cdot)$ two Riemannian metrics on M . Their convex combinations

$$g_t(\cdot, \cdot) = (1 - t)g_0(\cdot, \cdot) + tg_1(\cdot, \cdot), \quad 0 \leq t \leq 1$$

form a smooth family of Riemannian metrics. Apply the polar decomposition to (ω, g_t) to obtain a smooth family of J_t 's joining J_0 to J_1 . \square

Corollary 9.9. *The set of all compatible almost complex structures on a symplectic manifold is path-connected.*

10 Compatible Triples

In fact, the set of all compatible almost complex structures is even contractible. Let $\mathcal{J}(T_x M, \omega_x)$ be the set of all compatible complex structures on $(T_x M, \omega_x)$ for $x \in M$.

Proposition 10.1. *The set $\mathcal{J}(T_x M, \omega_x)$ is contractible, i.e., there exists a homotopy*

$$h_t : \mathcal{J}(T_x M, \omega_x) \longrightarrow \mathcal{J}(T_x M, \omega_x), \quad 0 \leq t \leq 1$$

starting at the identity $h_0 = \text{id}$, finishing at a trivial map $h_1 : \mathcal{J}(T_x M, \omega_x) \rightarrow \{J_0\}$, and fixing J_0 (i.e., $h_t(J_0) = J_0, \forall t$) for some $J_0 \in \mathcal{J}(T_x M, \omega_x)$.

Consider the fiber bundle $\mathcal{J} \rightarrow M$ with fiber

$$J_x := \mathcal{J}(T_x M, \omega_x) \text{ over } x \in M$$

A compatible almost structure J on (M, ω) is a section of \mathcal{J} .

Proposition 10.2. *Let (M, J) be an almost complex manifold. Suppose that J is compatible with two symplectic structures ω_0, ω_1 . Then ω_0, ω_1 are deformation-equivalent, that is, there exists a smooth family $\omega_t, 0 \leq t \leq 1$, of symplectic forms joining ω_0 to ω_1 .*

Proof. Let $\omega_t = (1 - t)\omega_0 + t\omega_1$, then ω_t is closed and ω_t is nondegenerate:

$$g_t(\cdot, \cdot) := \omega(\cdot, J \cdot) = (1 - t)g_0(\cdot, \cdot) + tg_1(\cdot, \cdot)$$

\square

Definition 10.3. *A submanifold X of an almost complex manifold (M, J) is an **almost complex submanifold** when $J(TX) \subseteq TX$.*

Proposition 10.4. *Let (M, ω) be a symplectic manifold equipped with a compatible almost complex structure J . Then any almost complex submanifold X of (M, J) is a symplectic submanifold of (M, ω) .*

11 Dolbeault Theory

Let (M, J) be an almost complex manifold. The complexified tangent bundle of M is the bundle

$$TM \otimes \mathbb{C} \rightarrow M$$

with fiber $(TM \otimes \mathbb{C})_p = T_p M \otimes \mathbb{C}$ at $p \in M$. We may extend J linearly to $TM \otimes \mathbb{C}$:

$$J(v \otimes c) = Jv \otimes c, \quad v \in TM, c \in \mathbb{C}$$

Since $J^2 = -\text{id}$, on the complex vector space $(TM \otimes \mathbb{C})_p$, the linear map J_p has eigenvalues $\pm i$. Let

$$T_{1,0} = \{v \in TM \otimes \mathbb{C} \mid Jv = iv\} = \{v \otimes 1 - Jv \otimes i \mid v \in TM\}$$

called the $(J-)$ **holomorphic tangent vectors**.

$$T_{0,1} = \{v \in TM \otimes \mathbb{C} | Jv = -iv\} = \{v \otimes 1 + Jv \otimes i | v \in TM\}$$

called the $(J-)$ **anti-holomorphic tangent vectors**. Let

$$\begin{aligned} \pi_{1,0} : TM &\longrightarrow T_{1,0} \\ v &\longmapsto \frac{1}{2}(v \otimes 1 - Jv \otimes i) \end{aligned}$$

be a real bundle isomorphism such that $\pi_{1,0} \circ J = i\pi_{1,0}$, and

$$\begin{aligned} \pi_{0,1} : TM &\longrightarrow T_{0,1} \\ v &\longmapsto \frac{1}{2}(v \otimes 1 + Jv \otimes i) \end{aligned}$$

also be a bundle isomorphism such that $\pi_{0,1} \circ J = -i\pi_{0,1}$. Extending $\pi_{1,0}$ and $\pi_{0,1}$ to projections of $TM \otimes \mathbb{C}$, we obtain an isomorphism

$$(\pi_{1,0}, \pi_{0,1}) : TM \otimes \mathbb{C} \xrightarrow{\cong} T_{1,0} \oplus T_{0,1}$$

Similarly, the complexified cotangent bundle splits as

$$(\pi^{1,0}, \pi^{0,1}) : T^*M \otimes \mathbb{C} \xrightarrow{\cong} T^{1,0} \otimes T^{0,1}$$

where

$$\begin{aligned} T^{1,0} = (T_{1,0})^* &= \{\eta \in T^* \otimes \mathbb{C} | \eta(J\omega) = i\eta(\omega), \forall \omega \in TM \otimes \mathbb{C}\} \\ &= \{\xi \otimes 1 - (\xi \circ J) \otimes i | \xi \in T^*M\} \end{aligned}$$

called the **complex-linear cotangent vectors** and

$$\begin{aligned} T^{0,1} = (T_{0,1})^* &= \{\eta \in T^* \otimes \mathbb{C} | \eta(J\omega) = -i\eta(\omega), \forall \omega \in TM \otimes \mathbb{C}\} \\ &= \{\xi \otimes 1 + (\xi \circ J) \otimes i | \xi \in T^*M\} \end{aligned}$$

called the **complex-antilinear cotangent vectors** and $\pi^{1,0}, \pi^{0,1}$ are the two natural projections

$$\begin{aligned} \pi^{(1,0)} : T^*M \otimes \mathbb{C} &\longrightarrow T^{1,0} \\ \eta &\longmapsto \eta^{1,0} := \frac{1}{2}(\eta - i\eta \circ J) \\ \pi^{(0,1)} : T^*M \otimes \mathbb{C} &\longrightarrow T^{0,1} \\ \eta &\longmapsto \eta^{0,1} := \frac{1}{2}(\eta + i\eta \circ J) \end{aligned}$$

For an almost complex manifold (M, J) , let

$$\Omega^k(M; \mathbb{C}) := \text{sections of } \Lambda^k(T^*M \otimes \mathbb{C})$$

called the **complex-valued k -forms on M** , where

$$\Lambda^k(T^*M \otimes \mathbb{C}) := \Lambda^k(T^{1,0} \oplus T^{0,1}) = \bigoplus_{l+m=k} (\Lambda^l T^{1,0}) \wedge (\Lambda^m T^{0,1}) = \bigoplus_{l+m=k} \Lambda^{l,m}$$

Definition 11.1. *The **differential forms of type (l, m)** on (M, J) are the sections of $\Lambda^{l,m}$:*

$$\Omega^{l,m} := \text{sections of } \Lambda^{l,m}$$

Then

$$\Omega^k(M; \mathbb{C}) := \bigoplus_{l+m=k} \Omega^{l,m}$$

Let $\pi^{l,m} : \Lambda^k(T^*M \otimes \mathbb{C}) \rightarrow \Lambda^{l,m}$ be the projection map, where $l + m = k$. The usual exterior derivative d composed with two of these projections induces differential operators ∂ and $\bar{\partial}$ on forms of type (l, m) :

$$\begin{aligned} \partial &:= \pi^{l+1,m} \circ d : \Omega^{l,m}(M) \longrightarrow \Omega^{l+1,m}(M) \\ \bar{\partial} &:= \pi^{l,m+1} \circ d : \Omega^{l,m}(M) \longrightarrow \Omega^{l,m+1}(M) \end{aligned}$$

If $\beta \in \Omega^{l,m}(M)$ with $k = l + m$, then $d\beta \in \Omega^{k+1}(M; \mathbb{C})$:

$$d\beta = \sum_{r+s=k+1} \pi^{r,s} d\beta = \pi^{k+1,0} d\beta + \dots + \partial\beta + \bar{\partial}\beta + \dots + \pi^{0,k+1} d\beta$$

Definition 11.2. A function $f : M \rightarrow \mathbb{C}$ is $(J-)$ **holomorphic** at $x \in M$ if df_p is complex linear, i.e., $df_p \circ J = idf_p$. A function f is J -**holomorphic** if it is holomorphic at all $p \in M$.

Definition 11.3. A function f is $(J-)$ **anti-holomorphic** at $p \in M$ if df_p is complex antilinear, i.e., $df_p \circ J = -idf_p$.

Definition 11.4. On functions, $d = \partial + \bar{\partial}$, where

$$\partial := \pi^{1,0} \circ d, \quad \bar{\partial} := \pi^{0,1} \circ d$$

Then f is holomorphic $\iff \bar{\partial}f = 0$ and f is anti-holomorphic $\iff \partial f = 0$.

For higher differential forms, suppose $d = \partial + \bar{\partial}$, i.e.,

$$d\beta = \partial\beta + \bar{\partial}\beta, \quad \forall \beta \in \Omega^{l,m}$$

Then for any form β ,

$$0 = d^2\beta = \partial^2\beta + \partial\bar{\partial}\beta + \bar{\partial}\partial\beta + \bar{\partial}^2\beta$$

which implies

$$\begin{cases} \bar{\partial}^2 = 0 \\ \partial\bar{\partial} + \bar{\partial}\partial = 0 \\ \bar{\partial} = 0 \end{cases}$$

Since $\bar{\partial}^2 = 0$, the chain

$$0 \longrightarrow \Omega^{l,0} \xrightarrow{\bar{\partial}} \Omega^{l,1} \xrightarrow{\bar{\partial}} \Omega^{l,2} \xrightarrow{\bar{\partial}} \dots$$

is a differential complex. Its cohomology groups

$$H_{Dolbeault}^{l,m}(M) := \frac{\ker \bar{\partial} : \Omega^{l,m} \longrightarrow \Omega^{l,m+1}}{\text{im } \bar{\partial} : \Omega^{l,m-1} \longrightarrow \Omega^{l,m}}$$

are called the **Dolbeault cohomology** groups.

12 Complex Manifolds

Definition 12.1. A **complex manifold** of (complex) dimension n is a set M with a complete complex atlas

$$\mathcal{A} = \{(\mathcal{U}_\alpha, \mathcal{V}_\alpha, \varphi_\alpha), \alpha \in I\}$$

where $M = \bigcup_\alpha \mathcal{U}_\alpha$, the \mathcal{V}_α 's are open subsets of \mathbb{C}^n , and the maps $\varphi_\alpha : \mathcal{U}_\alpha \rightarrow \mathcal{V}_\alpha$ are such that the transition maps $\psi_{\alpha\beta} = \varphi_\beta \circ \varphi_\alpha^{-1}$ are biholomorphic as maps on open subsets of \mathbb{C}^n .

Proposition 12.2. Any complex manifold has a canonical almost complex structure.

Proof. First we define J locally: Let $(\mathcal{U}, \mathcal{V}, \varphi : \mathcal{U} \rightarrow \mathcal{V})$ be a complex chart for a complex manifold M with $\varphi = (z_1, \dots, z_n)$ written in components relative to complex coordinates $z_j = x_j + iy_j$. At $p \in \mathcal{U}$,

$$T_p M = \mathbb{R}\text{-span of } \left\{ \frac{\partial}{\partial x_j} \Big|_p, \frac{\partial}{\partial y_j} \Big|_p : j = 1, \dots, n \right\}$$

Define J over \mathcal{U} by

$$J_p \left(\frac{\partial}{\partial x_j} \Big|_p \right) = \frac{\partial}{\partial y_j} \Big|_p, \quad J_p \left(\frac{\partial}{\partial y_j} \Big|_p \right) = -\frac{\partial}{\partial x_j} \Big|_p, \quad j = 1, \dots, n$$

Then we need to check J is well-defined globally:

If $(\mathcal{U}, \mathcal{V}, \varphi)$ and $(\mathcal{U}', \mathcal{V}', \varphi')$ are two charts, on $\mathcal{U} \cap \mathcal{U}'$, $\psi \circ \varphi = \varphi'$. If $z_j = x_j + iy_j$ and $w_j = u_j + iv_j$ are coordinates on \mathcal{U} and \mathcal{U}' , so that $\varphi = (z_1, \dots, z_n)$, $\varphi' = (w_1, \dots, w_n)$, then $\psi(z_1, \dots, z_n) = (w_1, \dots, w_n)$. Taking the derivative of a composition

$$\begin{cases} \frac{\partial}{\partial x_k} = \sum_j \left(\frac{\partial u_j}{\partial x_k} \frac{\partial}{\partial u_j} + \frac{\partial v_j}{\partial x_k} \frac{\partial}{\partial v_j} \right) \\ \frac{\partial}{\partial y_k} = \sum_j \left(\frac{\partial u_j}{\partial y_k} \frac{\partial}{\partial u_j} + \frac{\partial v_j}{\partial y_k} \frac{\partial}{\partial v_j} \right) \end{cases}$$

Since ψ is holomorphic, each component of ψ satisfies the Cauchy-Riemann equations:

$$\begin{cases} \frac{\partial u_j}{\partial x_k} = \frac{\partial v_j}{\partial y_k} \\ \frac{\partial u_j}{\partial y_k} = -\frac{\partial v_j}{\partial x_k} \end{cases} \quad j, k = 1, \dots, n$$

These equations imply

$$J' \frac{\partial}{\partial x_k} = J' \sum_j \left(\frac{\partial u_j}{\partial x_k} \frac{\partial}{\partial u_j} + \frac{\partial v_j}{\partial x_k} \frac{\partial}{\partial v_j} \right) = \sum_j \left(\frac{\partial u_j}{\partial x_k} \frac{\partial}{\partial v_j} - \frac{\partial v_j}{\partial x_k} \frac{\partial}{\partial u_j} \right) = \sum_j \left(\frac{\partial v_j}{\partial y_k} \frac{\partial}{\partial v_j} + \frac{\partial v_j}{\partial y_k} \frac{\partial}{\partial u_j} \right) = \frac{\partial}{\partial y_k}$$

which matches with the equation

$$J \frac{\partial}{\partial x_k} = \frac{\partial}{\partial y_k}$$

□

Suppose that M is a complex manifold and J is its canonical complex structure. Let $\mathcal{U} \subseteq M$ be a coordinate neighborhood with complex coordinates $z_1, \dots, z_n, z_j = x_j + iy_j$, and real coordinates $x_1, y_1, \dots, x_n, y_n$. At $p \in \mathcal{U}$

$$\begin{aligned} T_p M &= \mathbb{R}\text{-span} \left\{ \frac{\partial}{\partial x_j} \Big|_p, \frac{\partial}{\partial y_j} \Big|_p \right\} \\ T_p M \otimes \mathbb{C} &= \mathbb{C}\text{-span} \left\{ \frac{\partial}{\partial x_j} \Big|_p, \frac{\partial}{\partial y_j} \Big|_p \right\} \\ &= \mathbb{C}\text{-span} \left\{ \frac{1}{2} \left(\frac{\partial}{\partial x_j} \Big|_p - i \frac{\partial}{\partial y_j} \Big|_p \right) \right\} \oplus \mathbb{C}\text{-span} \left\{ \frac{1}{2} \left(\frac{\partial}{\partial x_j} \Big|_p + i \frac{\partial}{\partial y_j} \Big|_p \right) \right\} \end{aligned}$$

Definition 12.3. *Notation:*

$$\frac{\partial}{\partial z_j} := \frac{1}{2} \left(\frac{\partial}{\partial x_j} - i \frac{\partial}{\partial y_j} \right), \quad \frac{\partial}{\partial \bar{z}_j} := \frac{1}{2} \left(\frac{\partial}{\partial x_j} + i \frac{\partial}{\partial y_j} \right)$$

Hence

$$(T_{1,0})_p = \mathbb{C}\text{-span} \left\{ \frac{\partial}{\partial z_j} \Big|_p : j = 1, \dots, n \right\}, \quad (T_{0,1})_p = \mathbb{C}\text{-span} \left\{ \frac{\partial}{\partial \bar{z}_j} \Big|_p : j = 1, \dots, n \right\}$$

Similarly,

$$\begin{aligned} T^* M \otimes \mathbb{C} &= \mathbb{C}\text{-span} \{ dx_j, dy_j : j = 1, \dots, n \} \\ &= \mathbb{C}\text{-span} \{ dx_j + idy_j : j = 1, \dots, n \} \oplus \mathbb{C}\text{-span} \{ dx_j - idy_j : j = 1, \dots, n \} \end{aligned}$$

Putting

$$dz_j = dx_j + idy_j, \quad d\bar{z}_j = dx_j - idy_j$$

we obtain

$$T^{1,0} = \mathbb{C}\text{-span} \{ dz_j : j = 1, \dots, n \}, \quad T^{0,1} = \mathbb{C}\text{-span} \{ d\bar{z}_j : j = 1, \dots, n \}$$

On the coordinate neighborhood \mathcal{U} , if we use the multi-index notation:

$$\begin{aligned} J &= (j_1, \dots, j_m), \quad 1 \leq j_1 < \dots < j_m \leq n \\ |J| &= m \\ dz_J &= dz_{j_1} \wedge dz_{j_2} \wedge \dots \wedge dz_{j_m} \end{aligned}$$

then (l, m) - forms

$$\Omega^{l,m} = \left\{ \sum_{|J|=l, |K|=m} b_{J,K} dz_J \wedge d\bar{z}_K \mid b_{J,K} \in C^\infty(\mathcal{U}; \mathbb{C}) \right\}$$

On a coordinate neighborhood \mathcal{U} , a form $\beta \in \Omega^k(M; \mathbb{C})$ may be written as

$$\beta = \sum_{|J|+|K|=k} a_{J,K} dx_J \wedge dy_K, \quad a_{J,K} \in C^\infty(\mathcal{U}; \mathbb{C})$$

then using $d = \partial + \bar{\partial}$ on functions, we have

$$d\beta = \partial\beta + \bar{\partial}\beta$$

Conclusion: If M is a complex manifold, then $d = \partial + \bar{\partial}$. (For an almost complex complex this fails because there are no coordinate functions z_j to give a suitable basis of 1-forms.)

When $\beta \in \Omega^{l,m}$, we have

$$\begin{aligned} d\beta &= \partial\beta + \bar{\partial}\beta \\ 0 &= d^2\beta = \partial^2\beta + (\partial\bar{\partial} + \bar{\partial}\partial)\beta + \bar{\partial}^2\beta \end{aligned}$$

Hence, $\bar{\partial} = 0$.

The Dolbeault theorem states that for complex manifolds

$$H_{Dolbeault}^{l,m}(M) = H^m(M; \mathcal{O}(\Omega^{(l,0)}))$$

where $\mathcal{O}(\Omega^{(l,0)})$ is the sheaf of forms of type $(l, 0)$ over M .

Theorem 12.4 (Newlander-Nirenberg, 1957). *Let (M, J) be an almost complex manifold. Let \mathcal{N} be the Nijenhuis tensor. Then: M is a complex manifold $\iff J$ is integrable $\iff \mathcal{N} \equiv 0 \iff d = \partial + \bar{\partial} \iff \bar{\partial}^2 = 0 \iff \pi^{2,0}d|_{\Omega^{0,1}} = 0$*

13 Kähler Forms

Definition 13.1. A **Kähler manifold** is a symplectic manifold (M, ω) equipped with an integrable compatible almost complex structure. The symplectic form ω is then called a **Kähler form**.

If (M, ω) is Kähler, then M is a complex manifold, then

$$\Omega^k(M; \mathbb{C}) = \oplus_{l+m=k} \Omega^{l,m}, \quad d = \partial + \bar{\partial}$$

On a complex chart $(\mathcal{U}, z_1, \dots, z_n)$, $n = \dim_{\mathbb{C}} M$

$$\Omega^{l,m} = \left\{ \sum_{|J|=l, |K|=m} b_{JK} dz_J \wedge \bar{z}_K \mid b_{JK} \in C^\infty(\mathcal{U}; \mathbb{C}) \right\}$$

On the other hand, (M, ω) Kähler implies that ω is a symplectic form. A Kähler form ω is

- (1) a 2-form,
- (2) compatible with the complex structure,
- (3) closed,
- (4) real-valued, and
- (5) nondegenerate.

These properties translate into:

- (1) $\Omega^2(M; \mathbb{C}) = \Omega^{2,0} \oplus \Omega^{1,1} \oplus \Omega^{0,2}$.

On a local complex chart $(\mathcal{U}, z_1, \dots, z_n)$,

$$\omega = \sum a_{jk} dz_j \wedge dz_k + \sum b_{jk} dz_j \wedge d\bar{z}_k + \sum c_{jk} d\bar{z}_j \wedge d\bar{z}_k$$

for some $a_{jk}, b_{jk}, c_{jk} \in C^\infty(\mathcal{U}; \mathbb{C})$

- (2) J is a symplectomorphism, that is, $J^*\omega = \omega$ where $(J^*\omega)(u, v) := \omega(Ju, Jv)$.
Since

$$J^*dz_j = dz_j \circ J = idz_j, \quad J^*d\bar{z}_j = d\bar{z}_j \circ J = -id\bar{z}_j$$

we have

$$J^*\omega = \sum -a_{jk} dz_j \wedge dz_k + \sum b_{jk} dz_j \wedge d\bar{z}_k - \sum c_{jk} d\bar{z}_j \wedge d\bar{z}_k$$

Since $J^*\omega = \omega$, we conclude that $a_{jk} = c_{jk} = 0$, $\forall j, k$, and $\omega \in \Omega^{1,1}$.

$$(3) \quad 0 = d\omega = \partial\omega + \bar{\partial}\omega \implies \partial\omega = \bar{\partial}\omega = 0.$$

Hence ω defines a Dolbeault $(1, 1)$ cohomology class

$$[\omega] \in H_{Dolbeault}^{1,1}(M)$$

Putting $b_{jk} = \frac{i}{2}h_{jk}$, then

$$\omega = \frac{i}{2} \sum_{j,k=1}^n h_{jk} dz_j \wedge d\bar{z}_k, \quad h_{jk} \in C^\infty(\mathcal{U}; \mathbb{C})$$

$$(4) \quad \omega \text{ real-valued} \iff \omega = \bar{\omega}.$$

$$\bar{\omega} = -\frac{i}{2} \sum \overline{h_{jk}} d\bar{z}_j \wedge dz_k = \frac{i}{2} \sum \overline{h_{kj}} dz_j \wedge d\bar{z}_k$$

Thus $h_{jk} = \overline{h_{kj}}$, i.e., at every point $p \in \mathcal{U}$, the $n \times n$ matrix $(h_{jk}(p))$ is Hermitian.

$$(5) \quad \text{Nondenegercy: } \omega^n \neq 0, \text{ i.e., at every } p \in M, (h_{jk}(p)) \text{ is a nonsingular matrix.}$$

$$(6) \quad \text{By the positivity condition: } \omega(v, Jv) > 0, \forall v \neq 0, \text{ i.e., at each } p \in \mathcal{U}, (h_{jk}(p)) \text{ is positive-definite.}$$

Conclusion: Kähler forms are ∂ - and $\bar{\partial}$ -closed $(1, 1)$ -forms, which are given on a local chart $(\mathcal{U}, z_1, \dots, z_n)$ by

$$\omega = \frac{i}{2} \sum_{j,k=1}^n h_{jk} dz_j \wedge \bar{z}^k$$

where, at every point $p \in \mathcal{U}$, $(h_{jk}(p))$ is a positive-definite Hermitian matrix.

Theorem 13.2 (Banyaga). *Let M be a compact complex manifold. Let ω_0 and ω_1 be Kähler forms on M . If $[\omega_0] = [\omega_1] \in H_{dR}^2(M)$, then (M, ω_0) and (M, ω_1) are symplectomorphic.*

Definition 13.3. *Let M be a complex manifold. A function $\rho \in C^\infty(M; \mathbb{R})$ is **strictly plurisubharmonic** (s.p.s.h.) if, on each local complex charts $(\mathcal{U}, z_1, \dots, z_n)$, where $n = \dim_{\mathbb{C}} M$, the matrix $(\frac{\partial^2 \rho}{\partial z_j \partial \bar{z}_k}(\rho))$ is positive-definite at all $p \in \mathcal{U}$.*

Proposition 13.4. *Let M be a complex manifold and let $\rho \in C^\infty(M; \mathbb{R})$ be s.p.s.h.. Then*

$$\omega = \frac{i}{2} \partial \bar{\partial} \rho$$

*is Kähler. Such a function ρ is called a (global) **Kähler potential**.*

Example 13.5. *Let $M = \mathbb{C}^n$. Let*

$$\rho(x_1, y_1, \dots, x_n, y_n) = \sum_{j=1}^n (x_j^2 + y_j^2) = \sum |z_j|^2 = \sum z_j \bar{z}_j$$

Then

$$\frac{\partial}{\partial z_j} \frac{\partial \rho}{\partial \bar{z}_k} = \delta_{jk}$$

so

$$(h_{jk}) = (\delta_{jk}) = id$$

The corresponding Kähler form

$$\omega = \frac{i}{2} \partial \bar{\partial} \rho = \frac{i}{2} \sum_j dz_j \wedge d\bar{z}_j = \sum_j dx_j \wedge dy_j$$

which is the standard form.

Theorem 13.6. *Let ω be a closed real-valued $(1, 1)$ -form on a complex manifold M and let $p \in M$. Then there exist a neighborhood \mathcal{U} of p and $\rho \in C^\infty(\mathcal{U}; \mathbb{R})$ such that on \mathcal{U}*

$$\omega = \frac{i}{2} \partial \bar{\partial} \rho$$

*The function ρ is then called a (local) **Kähler potential**.*

Proof. Let M be a complex manifold, $\rho \in C^\infty(M; \mathbb{R})$ s.p.s.h., X a complex submanifold, and $i : X \hookrightarrow M$ the inclusion map. Then $i^*\rho$ is s.p.s.h.. \square

Corollary 13.7. *Any complex submanifold of a Kähler manifold is also Kähler.*

Definition 13.8. *Let (M, ω) is a Kähler manifold, X a complex submanifold, and $i : X \hookrightarrow M$ the inclusion. Then $(X, i^*\omega)$ is called a **Kähler manifold**.*

Example 13.9. *The complex projective space is \mathbb{CP}^n . The Fubini-Study form is Kähler. Therefore, every non-singular projective variety (smooth zero locus of a collection of homogeneous polynomials) is a Kähler manifold.*

14 Compact Kähler Manifolds

Theorem 14.1 (Hodge). *On a compact Kähler manifold (M, ω) the Dolbeault cohomology groups satisfy*

$$H_{dR}^k(M; \mathbb{C}) \cong \bigoplus_{l+m=k} H_{Dolbeault}^{l,m}(M)$$

with $H^{l,m} \cong \overline{H^{m,l}}$. In particular, the spaces $H_{Dolbeault}^{l,m}$ are finite-dimensional.

Each tangent space has a positive inner product, which induces the Hodge $*$ -operator. The **codifferential** and the **Laplacian** are the operators defined by

$$\begin{aligned} \delta &= (-1)^{n(k+1)+1} * d^* : \Omega^k(M) \rightarrow \Omega^{k-1}(M) \\ \Delta &= d\delta + \delta d : \Omega^k(M) \rightarrow \Omega^k(M) \end{aligned}$$

The operator Δ is called the **Laplace-Beltrami operator**.

Suppose that M is compact. Define an inner product on forms by

$$\langle \cdot, \cdot \rangle : \Omega^k \times \Omega^k \rightarrow \mathbb{R}, \quad \langle \alpha, \beta \rangle = \int_M \alpha \wedge * \beta$$

This is symmetric, positive-definite and satisfies $\langle d\alpha, \beta \rangle = \langle \alpha, \delta\beta \rangle$. Therefore, δ is often denoted by d^* and called the adjoint of d .

The **harmonic k -forms** are the elements of $\mathcal{H}^k := \{\alpha \in \Omega^k \mid \Delta\alpha = 0\}$. Note that $\Delta\alpha = 0 \iff d\alpha = \delta\alpha = 0$. Since a harmonic form is d -closed, it defines a de Rham cohomology class.

Theorem 14.2 (Hodge). *Every de Rham cohomology class on a compact oriented Riemannian manifold (M, g) posses a unique harmonic representative, i.e.,*

$$\mathcal{H}^k \simeq H_{dR}^k(M; \mathbb{R})$$

In particular, the spaces \mathcal{H}^k are finite-dimensional. We also have the following orthogonal decomposition with respect to $\langle \cdot, \cdot \rangle$:

$$\Omega^k \simeq \mathcal{H}^k \oplus \Delta(\Omega^k(M)) \simeq \mathcal{H}^k \oplus d\Omega^{k-1} \oplus \delta\Omega^{k+1}$$

When M is Kähler, the Laplacian satisfies $\Delta = 2(\bar{\partial}\bar{\partial}^* + \bar{\partial}^*\bar{\partial})$ and preserves the decomposition according to type, $\Delta : \Omega^{l,m} \rightarrow \Omega^{l,m}$. Hence, harmonic forms are also bigraded

$$\mathcal{H}^k = \bigoplus_{l+m=k} \mathcal{H}^{l,m}$$

Theorem 14.3 (Hodge). *Every Dolbeault cohomology class on a compact Kähler manifold (M, ω) possesses a unique harmonic representative, i.e.,*

$$\mathcal{H}^{l,m} \simeq H_{Dolbeault}^{l,m}(M)$$

and the spaces $\mathcal{H}^{l,m}$ are finite-dimensional. Hence we have the following isomorphisms

$$H_{dR}^k(M) \simeq \mathcal{H}^k \simeq \bigoplus_{l+m=k} \mathcal{H}^{l,m} \simeq \bigoplus_{l+m=k} H_{Dolbeault}^{l,m}(M)$$

Let $b^k(M) := \dim H_{dR}^k(M)$ be the usual **Betti numbers** of M , and let $h^{l,m}(M) := \dim H_{Dolbeault}^{l,m}(M)$ be the so-called **Hodge numbers** of M . Then Hodge theorem implies

$$b^k = \sum_{l+m=k} h^{l,m} \quad (h^{l,m} = h^{m,l})$$

There are some immediate topological consequences:

- (1) On compact Kähler manifolds, the odd Betti numbers are even.
- (2) On compact Kähler manifolds, $h^{1,0} = \frac{1}{2}b^1$ is a topological invariant.
- (3) On compact symplectic manifolds, even Betti numbers are positive, because ω^k is closed but not exact ($k = 0, \dots, n$).

Proof. If $\omega^k = d\alpha$, by Stokes' theorem, $\int_M \omega^n = \int_M d(\alpha \wedge \omega^{n-k}) = 0$. This cannot happen since ω^n is a volume form. \square

- (4) On compact Kähler manifolds, the $h^{l,l}$ are positive.

Claim: $0 \neq [\omega^n] \in H_{Dolbeault}^{l,l}(M)$.

Proof. If $\omega^l = \bar{\partial}\beta$ for some $\beta \in \Omega^{l-1,l}$, then

$$\omega^n = \omega^l \wedge \omega^{n-l} = \bar{\partial}(\beta \wedge \omega^{n-l}) \implies 0 = [\omega^n] \in H_{Dolbeault}^{n,n}(M)$$

But $[\omega^n] \neq 0$ in $H_{dR}^{2n} \simeq H_{Dolbeault}^{n,n}(M)$ since it is a volume form. \square

Example 14.4. *Some examples and counterexamples to aware:*

- (1) Not all smooth even-dimensional manifolds are almost complex. For example, S^4 , S^8 , S^{10} .
- (2) If M is both symplectic and complex, it may not be Kähler.
- (3) There are symplectic manifolds which do not admit an complex structure.
- (4) Given a complex structure on M , there may not exist a symplectic structure. For example, $S^1 \times S^3$.
- (5) Almost complex manifolds may be neither complex nor symplectic. For example, $\mathbb{CP}^2 \# \mathbb{CP}^2 \# \mathbb{CP}^2$.

Example 14.5. *Main Kähler manifolds:*

- (1) Compact Riemannian surfaces.
- (2) Stein manifolds.

Definition 14.6. A **Stein manifold** is a Kähler manifold (M, ω) which admits a global proper Kähler potential, i.e., $\omega = \frac{i}{2}\partial\bar{\partial}\rho$ for some proper function $\rho : M \rightarrow \mathbb{R}$.

- (3) Complex tori $M = \mathbb{C}^n / \mathbb{Z}^n$.
- (4) Complex projective spaces.
- (5) Products of Kähler manifolds.
- (6) Complex submanifolds of Kähler manifolds.