

## A SIMPLE ON-LINE RANDOMIZED INCREMENTAL ALGORITHM FOR COMPUTING HIGHER ORDER VORONOI DIAGRAMS\*

FRANZ AURENHAMMER

*Institut für Informatik, Fachbereich Mathematik, Freie Universität Berlin,  
Arnimallee 2–6, W1000 Berlin 33, Germany*

and

OTFRIED SCHWARZKOPF

*Vakgroep Informatica, Rijksuniversiteit Utrecht,  
Postbus 80.089, 3508 TB Utrecht, the Netherlands*

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### ABSTRACT

We present a simple algorithm for maintaining order- $k$  Voronoi diagrams in the plane. By using a duality transform that is of interest in its own right, we show that the insertion or deletion of a site involves little more than the construction of a single convex hull in three-space. In particular, the order- $k$  Voronoi diagram for  $n$  sites can be computed in time  $\mathcal{O}(nk^2 \log n + nk \log^3 n)$  and optimal space  $\mathcal{O}(k(n-k))$  by an on-line randomized incremental algorithm. The time bound can be improved by a logarithmic factor without losing much simplicity. For  $k \geq \log^2 n$ , this is optimal for a randomized incremental construction; we show that the expected number of structural changes during the construction is  $\Theta(nk^2)$ . Finally, by going back to primal space, we obtain a dynamic data structure that supports  $k$ -nearest neighbor queries, insertions, and deletions in a planar set of sites. The structure promises easy implementation, exhibits a satisfactory expected performance, and occupies no more storage than the current order- $k$  Voronoi diagram.

*Keywords:* Higher-order Voronoi diagrams, geometric transforms, randomized incremental construction, lower bounds.

### 1. Introduction

Ever since Clarkson and Shor<sup>1</sup> introduced randomized incremental construction into computational geometry, the paradigm has gained considerable popularity. It

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allows for algorithms which are efficient in the expected case — where the expectation depends not on the input distribution, but only on random choices made by the algorithm — and which are very often really practical since they are conceptually simple and lend themselves to easy implementation. It is thus not surprising that a considerable number of geometric problems has been solved by randomized incremental construction.<sup>2,3,4,5,6,7,8,9</sup>

One problem that has eluded efficient solution by randomized incremental construction is the computation of order- $k$  Voronoi diagrams. Given  $n$  points (called *sites*) in the Euclidean plane, and an integer  $k$  between 1 and  $n - 1$ , the *order- $k$  Voronoi diagram* partitions the plane into regions such that each point within a fixed region has the same  $k$  closest sites. These regions are convex polygons since they arise as the intersection of halfplanes bounded by perpendicular bisectors of sites. The total number of regions and edges is in  $\mathcal{O}(k(n - k))$ , since the diagram may be viewed as a planar graph with this many vertices.<sup>10</sup> Order- $k$  Voronoi diagrams are a natural and useful generalization of the classical Voronoi diagram (which constitutes the special case  $k = 1$ ). Their applications include  $k$ -nearest-neighbor search, circular range search, clustering, and  $k$ -smallest spanning trees. See, for example, the survey article in Ref. 11.

An algorithm to compute the order- $k$  Voronoi diagram is called *randomized incremental* if it takes a random permutation  $p_1, \dots, p_n$  of the given sites and considers them in this order. At stage  $i$ , it maintains the order- $k$  Voronoi diagram of  $\{p_1, \dots, p_i\}$ . Such an algorithm is called *on-line* if it does not consider a site  $p_j$  in stage  $i$  for  $i < j$ . An on-line randomized incremental algorithm can thus be used in a dynamic setting, although the time bounds are valid only if sites are added in random order.

A recent on-line randomized incremental algorithm by Boissonnat et al.<sup>8</sup> takes expected time  $\mathcal{O}(n \log n + nk^3)$  and expected space  $\mathcal{O}(nk^2)$ . Since the output size is in  $\mathcal{O}(k(n - k))$ , this is not optimal. Several deterministic (off-line) algorithms have been developed. The fastest (but not simplest) for small  $k$  is by Aggarwal et al.<sup>12</sup> and achieves a running time of  $\mathcal{O}(nk^2 + n \log n)$  while using optimal space  $\mathcal{O}(k(n - k))$ . A randomized algorithm taking time and space  $\mathcal{O}(kn^{1+\epsilon})$  has been proposed by Clarkson,<sup>13</sup> and a randomized incremental algorithm taking time  $\mathcal{O}(nk^2 + n \log n)$  and space  $\mathcal{O}(nk^2)$  has been given by Mulmuley.<sup>14</sup> Mulmuley's algorithm can be modified to be on-line without affecting its asymptotic complexity. Except for Clarkson's, the algorithms cited above cannot compute the order- $k$  Voronoi diagram alone, but compute simultaneously all diagrams of order  $j$ , for  $1 \leq j \leq k$ .

We investigate why it is so difficult to devise an efficient randomized incremental algorithm for this problem and obtain the following results: If the  $n$  sites are added at random while their order- $k$  Voronoi diagram is maintained, the expected number of Voronoi vertices that appear at some intermediate stage during the algorithm is  $\Theta(nk^2)$ . This implies that we cannot even hope to approach  $\mathcal{O}(nk)$  by randomized incremental construction. The expected size of the *conflict graph* (introduced by Clarkson and Shor<sup>1</sup>) that arises during the incremental construction is even larger:  $\Theta(nk^3 \log \frac{n}{k})$ .

We use a duality transform to construct the order- $k$  Voronoi diagram by constructing a certain convex hull in three dimensions. This releases us from computing all the order- $j$  diagrams for  $j < k$  at the same time. In the dual setting, the insertion (and also the deletion) of a site amounts to little more than computing a convex hull in three-space. Exploiting duality further, we are able to apply a simple point location technique to get rid of the conflict graph. What is obtained is an unrivaled simple on-line algorithm for inserting and deleting sites in a planar order- $k$  Voronoi diagram. In particular, the diagram can be computed in  $\mathcal{O}(nk^2 \log n + nk \log^3 n)$  expected time by an on-line algorithm which we believe to be highly practical.

By doing things in a bit more sophisticated but still simple fashion, we are able to improve the running time by a logarithmic factor, to  $\mathcal{O}(nk^2 + nk \log^2 n)$ . This is optimal for a randomized incremental construction if  $k \geq \log^2 n$ . Sites can now be inserted and deleted in time proportional to the number of structural changes in the diagram, which nicely generalizes a similar result for the classical (order-1) Voronoi diagram.<sup>12</sup> The time for locating a site to be inserted decreases to  $\mathcal{O}(k \log^2 n)$ .

We then consider the memory space requirements of our algorithms. For an off-line application we only have to store, at any stage, the current order- $k$  Voronoi diagram and an edge of conflict for each not-yet inserted site. So we can do with optimal  $\mathcal{O}(k(n-k))$  space. However, if we implement the algorithms in an on-line manner, we have to remember older copies of the diagram in order to facilitate point-location. This seems to lead to  $\mathcal{O}(k^2(n-k))$  expected space. We present a simple method to reduce this to optimal  $\mathcal{O}(k(n-k))$  worst-case space, again making use of our duality transform. This modification does not require any new tools and thus preserves the simplicity of the algorithms.

Finally, by going back to primal space — while still remaining in three dimensions — we automatically get a dynamic data structure for  $k$ -nearest neighbor search, allowing both insertions and deletions. We show that the  $k$  sites closest to a query point can be collected during the point location, hence keeping the space requirement of this easily implemented structure proportional to the size of the order- $k$  Voronoi diagram of the current set of sites.

## 2. Complexity of Randomized Incremental Construction

In this section we obtain a general result on the incremental construction of higher order Voronoi diagrams. Let  $S = \{p_1, \dots, p_n\}$  be a set of sites in the plane, and let  $k\text{-Vor}(S)$  denote their order- $k$  Voronoi diagram. To simplify the exposition, we assume that the sites in  $S$  are in general position, meaning that no three sites are collinear, and no four sites are cocircular. We need the following well-known fact.<sup>10</sup>

**Fact 1** *Each vertex of  $k\text{-Vor}(S)$  is the center of a circle with exactly 3 sites of  $S$  on the boundary and either  $k-1$  or  $k-2$  sites of  $S$  in its interior.*

A vertex is said to be of the *close type* if the corresponding circle contains  $k-1$  sites, and of the *far type*, otherwise.

We observe that any incremental algorithm that constructs  $k\text{-Vor}(S)$  by inserting the sites  $p_1, \dots, p_n$  in this order must create all the vertices appearing in all the

intermediate diagrams  $k\text{-Vor}(\{p_1, \dots, p_{k+1}\}), \dots, k\text{-Vor}(\{p_1, \dots, p_n\})$ . Note that  $k\text{-Vor}(\{p_1, \dots, p_i\})$  is undefined for  $i < k$ ; it contains only one region covering the whole plane if  $i = k$ ; and it is the furthest-site Voronoi diagram of  $\{p_1, \dots, p_{k+1}\}$  (which has only  $O(k)$  vertices) if  $i = k + 1$ . We will assume in the following that the construction starts at stage  $k + 2$ .

Let us now take a random permutation  $p_1, \dots, p_n$  of  $S$  and determine the expected number of vertices that are created in stage  $i$ , for  $i \geq k + 2$ . These are exactly the vertices of  $k\text{-Vor}(\{p_1, \dots, p_i\})$  that are not vertices of the same type of  $k\text{-Vor}(\{p_1, \dots, p_{i-1}\})$ . Using Seidel's *backwards analysis*,<sup>3</sup> we can reformulate our question as follows: What is the expected number of vertices in  $k\text{-Vor}(\{p_1, \dots, p_i\})$  that either disappear or change type when a random site in  $\{p_1, \dots, p_i\}$  is removed? This number can be expressed as

$$\sum_{v \in k\text{-Vor}(\{p_1, \dots, p_i\})} \text{Prob}\{v \text{ disappears or changes type}\}.$$

When does a fixed vertex  $v$  disappear or change type? By Fact 1 this is the case iff we remove one of the three sites whose circumcircle defines  $v$ , or one of the  $k - 1$  or  $k - 2$  sites in that circle. The probability for this event is  $\frac{k+1}{i}$  or  $\frac{k+2}{i}$ , and we sum over  $\Theta(k(i - k))$  vertices, according to the complexity of an order- $k$  Voronoi diagram for  $i$  sites. This shows that the expected number of vertices created in stage  $i$  is  $\Theta(k^2(1 - \frac{k}{i}))$ . Interestingly, this number does not depend much on  $i$ . Summing over  $i$ , we obtain:

**Lemma 2** *The expected number of order- $k$  Voronoi diagram vertices created by a randomized incremental algorithm for a set of  $n$  sites in the plane is in  $\Theta(k^2(n - k))$ .*

This is a disappointing result, since the size of the order- $k$  Voronoi diagram is only  $\Theta(k(n - k))$ . It is thus not possible to find a randomized incremental algorithm which works in time optimal with respect to the output size. However, most known algorithms for the computation of order- $k$  Voronoi diagrams also take time  $\Omega(k^2n)$ , so we might still want to find a randomized incremental algorithm with its typical and desirable properties, namely being simple and perhaps on-line.

### 3. A Duality Transform for Order- $k$ Voronoi Diagrams

In this section we introduce a duality transform that relates order- $k$  Voronoi diagrams in the plane to certain convex hulls in three-space. This transform is the heart of the algorithms to be described in this paper. It allows us to insert and delete sites in an order- $k$  Voronoi diagram in a smooth fashion by computing convex hulls. It further provides a possibility to determine a Voronoi vertex that is destroyed when inserting a site by simply locating the new site in a triangulation.

Recall that a region of the order- $k$  Voronoi diagram is the locus of all points in the  $xy$ -plane that have the same  $k$  closest sites. Let  $T \subset S$  be any subset of  $k$  sites. We transform  $T$  into a point,  $q(T)$ , in three-dimensional space by taking the

centroid of  $T$  and lifting it up in  $z$ -direction. More precisely,

$$q(T) = \frac{1}{k} \left( \sum_{p \in T} p, \sum_{p \in T} \langle p, p \rangle \right).$$

Consider the set  $\mathcal{Q}_k(S)$  of all dual points that can be obtained from  $k$ -subsets of  $S$  in this way. That is,  $\mathcal{Q}_k(S) = \{q(T) \mid T \subset S, |T| = k\}$ . Clearly,  $|\mathcal{Q}_k(S)| = \binom{n}{k}$ . Take the convex hull of  $\mathcal{Q}_k(S)$  and ignore all of its facets that are invisible from  $(0, 0, -\infty)$ . The remaining lower convex hull has the following surprising property.<sup>15</sup>

**Lemma 3** *The lower convex hull of  $\mathcal{Q}_k(S)$  is dual to  $k\text{-Vor}(S)$ .*

Here we prove a stronger result, by mapping each  $k$ -subset  $T$  of  $S$  into a non-vertical plane in three-space,

$$\text{plane}(T) : z = \frac{2}{k} \sum_{p \in T} \langle x, p \rangle - \frac{1}{k} \sum_{p \in T} \langle p, p \rangle,$$

and considering the unbounded convex polyhedron,  $\text{poly}(S)$ , that comes from intersecting the halfspaces above all  $\text{plane}(T)$ .

**Lemma 4**  *$k\text{-Vor}(S)$  is the vertical projection of  $\text{poly}(S)$  onto the  $xy$ -plane.*

**Proof.** Let  $x$  be a point in the  $xy$ -plane, lying in the region of  $T_0 \subset S$  in the order- $k$  Voronoi diagram. We have to show that  $T_0$  is the  $k$ -subset whose plane intersects the vertical line through  $x$  in the uppermost position.

Let  $T$  and  $T'$  be two  $k$ -subsets of  $S$  and suppose them to be of the form  $T' = (T \setminus \{s\}) \cup \{s'\}$ , for  $s \neq s'$ . We show that  $d(x, s) < d(x, s')$  iff  $\text{plane}(T)$  lies above  $\text{plane}(T')$  at point  $x$ . This proves the claim, since for any  $k$ -subset  $T^* \neq T_0$ , we can find a sequence  $T_0, T_1, \dots, T_m = T^*$  with consecutive subsets sharing  $k-1$  sites, then the argument can be applied repeatedly, to show that  $\text{plane}(T_i)$  lies above  $\text{plane}(T_{i+1})$  at point  $x$ .

From  $d(x, s) < d(x, s')$  we immediately get  $2\langle x, s \rangle - \langle s, s \rangle > 2\langle x, s' \rangle - \langle s', s' \rangle$ . Adding

$$2 \sum_{p \in T \cap T'} \langle x, p \rangle - \sum_{p \in T \cap T'} \langle p, p \rangle$$

to both sides yields

$$2 \sum_{p \in T} \langle x, p \rangle - \sum_{p \in T} \langle p, p \rangle > 2 \sum_{p \in T'} \langle x, p \rangle - \sum_{p \in T'} \langle p, p \rangle.$$

If we further divide by  $k$ , these terms express the heights of the vertical projections of  $x$  onto  $\text{plane}(T)$  and  $\text{plane}(T')$ .  $\square$

Lemma 4 implies Lemma 3 if we apply the point-plane polarity with respect to the paraboloid of revolution  $z = \langle x, x \rangle$ . This polarity maps a point  $(a, b, c)$  into the plane  $z = 2ax + 2by - c$  and vice versa, and preserves the relative position between points and planes.<sup>16,17</sup> In our case, it maps the plane  $\text{plane}(T)$  into the point  $q(T)$ . Consequently, the polyhedron  $\text{poly}(S)$  and its projection  $k\text{-Vor}(S)$  are dual to the lower convex hull,  $\mathcal{C}(S)$ , of  $\mathcal{Q}_k(S)$ . Regions of  $k\text{-Vor}(S)$  correspond to

vertices of  $\mathcal{C}(S)$ , which will be called *corners* to avoid confusion with the vertices of  $k\text{-Vor}(S)$ . The latter correspond to facets of the lower convex hull. By our assumption of general position, all these facets are triangles. Finally, the dual object of an edge separating two regions of  $k\text{-Vor}(S)$  is another edge that connects the two corresponding corners. When  $k = 1$ , we have the usual embedding of a closest-site Voronoi diagram in three-dimensional space.<sup>17</sup>

In order to construct  $k\text{-Vor}(S)$ , we could just compute the set  $\mathcal{Q}_k(S)$  and determine its lower convex hull. Unfortunately,  $\mathcal{Q}_k(S)$  contains  $\Theta(n^k)$  points, only  $\mathcal{O}(k(n-k))$  of which are corners. Instead, we will apply our duality transform to parts of the order- $k$  Voronoi diagram where we already know which  $k$ -subsets will give rise to corners.

#### 4. Inserting a Site

In light of the duality in Lemma 3, we choose to maintain the lower convex hull  $\mathcal{C}_i$  of  $\mathcal{Q}_k(\{p_1, \dots, p_i\})$  during the incremental construction instead of the order- $k$  Voronoi diagram itself. We show that inserting a site involves little more than computing the lower convex hull of a certain easy-to-find set of new corners.

Along with the coordinates of the corners and the triangulation representing  $\mathcal{C}_i$ , the algorithm stores *labels* for the hull edges. Each edge  $e$  of  $\mathcal{C}_i$  is dual to an edge of  $k\text{-Vor}(\{p_1, \dots, p_i\})$ . The latter is a segment of the bisector of two sites  $p, q \in \{p_1, \dots, p_i\}$ , and we label  $e$  with the pair  $\{p, q\}$ . The labels of a triangle  $\Delta$  of  $\mathcal{C}_i$  are of the form  $\{p, q\}, \{q, r\}, \{r, p\}$ . The Voronoi vertex corresponding to  $\Delta$  is just the center of the circumcircle of  $p, q$ , and  $r$ ; see Fact 1. So the vertex and its circle can be deduced from the labels in constant time.

We begin by determining  $\mathcal{C}_{k+1}$ .  $\mathcal{Q}_k(\{p_1, \dots, p_{k+1}\})$  contains  $\binom{k+1}{k} = k+1$  points and can be found in time  $\mathcal{O}(k)$  by first calculating the centroid of  $p_1, \dots, p_{k+1}$  and its height, and subtracting from it each particular site  $p_i$ , which gives the point  $q(\{p_1, \dots, p_{k+1}\} \setminus \{p_i\})$ . We compute  $\mathcal{C}_{k+1}$  in time  $\mathcal{O}(k \log k)$  using the randomized incremental algorithm by Guibas, Knuth, and Sharir.<sup>6</sup> (Actually, we could use any convex hull algorithm with optimal running time. We prefer to use the algorithm in Ref. 6 since it also gives us a point location structure for free, as will become clear later). An edge of  $\mathcal{C}_{k+1}$  connecting  $q(T)$  and  $q(T')$  is labeled with  $T \oplus T'$ , the symmetric difference of  $T$  and  $T'$ . Note that we have just described an unusual way to compute the furthest-site Voronoi diagram of  $k+1$  sites.

The generic step of the algorithm will be the insertion of site  $p_i$  into  $\mathcal{C}_{i-1}$ , for  $i \geq k+2$ . Since  $\mathcal{Q}_k(\{p_1, \dots, p_{i-1}\}) \subset \mathcal{Q}_k(\{p_1, \dots, p_i\})$ , we can find  $\mathcal{C}_i$  by determining the set  $\mathcal{P}$  of all new corners and constructing the lower convex hull of  $\mathcal{P}$  and the corners of  $\mathcal{C}_{i-1}$ . Intuitively speaking, the insertion of  $p_i$  proceeds as follows.

- Identify all triangles of  $\mathcal{C}_{i-1}$  destroyed by  $p_i$  and cut them out. Let  $\mathcal{B}$  be the set of corners on the boundary of the hole.
- Calculate the set  $\mathcal{P}$  of all new corners created by  $p_i$ .

- Compute the lower convex hull of  $\mathcal{P} \cup \mathcal{B}$ , using the algorithm by Guibas, Knuth, and Sharir,<sup>6</sup> and fill the hole. This gives  $\mathcal{C}_i$ .
- Label the newly constructed edges of  $\mathcal{C}_i$ .

All these tasks have a simple implementation which we now describe. The following lemma is crucial for the first task. For a region  $R$  of an order- $k$  Voronoi diagram, let  $\text{near}(R)$  denote its defining  $k$ -subset. Also, let  $\text{near}(e) = \text{near}(R) \cap \text{near}(R')$  if the edge  $e$  separates the regions  $R$  and  $R'$ .

**Lemma 5** *The union  $\mathcal{R}$  of all regions  $R$  of  $k\text{-Vor}(\{p_1, \dots, p_i\})$  with  $p_i \in \text{near}(R)$  is a polygon which is star-shaped as seen from  $p_i$ . The edges of  $k\text{-Vor}(\{p_1, \dots, p_{i-1}\})$  in the interior of  $\mathcal{R}$  form a connected graph,  $\mathcal{G}$ .*

**Proof.** For a region  $R$  in the order- $(k-1)$  Voronoi diagram of  $\{p_1, \dots, p_i\}$ , let  $A_R$  be the region of  $p_i$  in the closest-site Voronoi diagram of  $\{p_1, \dots, p_i\} \setminus \text{near}(R)$ . Then  $\mathcal{R}$  can be written as  $\mathcal{R} = \bigcup A_R$ , for all  $R$  with  $p_i \notin \text{near}(R)$ . Clearly,  $A_R$  is convex and contains  $p_i$ , so  $\mathcal{R}$  is star-shaped with respect to  $p_i$ .

Assume now that  $\mathcal{G}$ , the part of  $k\text{-Vor}(\{p_1, \dots, p_{i-1}\})$  lying in  $\mathcal{R}$ , is disconnected. This implies that there is a region  $A$  of  $k\text{-Vor}(\{p_1, \dots, p_{i-1}\})$  that intersects the boundary of  $\mathcal{R}$  in a disconnected set  $\Gamma$ . Now, consider the order- $(k+1)$  Voronoi diagram of  $\{p_1, \dots, p_i\}$ . There is region  $A'$  in that diagram with  $\text{near}(A') = \text{near}(A) \cup \{p_i\}$ , and  $A \cap \mathcal{R} \subseteq A'$ . In fact,  $A \cap \mathcal{R}$  is exactly that part of  $A'$  where  $p_i$  is not the furthest site in  $\text{near}(A')$ . Furthermore,  $\Gamma$  coincides with the boundary of the region of  $p_i$  in the furthest-site Voronoi diagram of  $\text{near}(A')$  within  $A'$ , which is surely connected.  $\square$

Figure 1 illustrates the insertion of site  $p_i$  into the order-3 Voronoi diagram of 7 sites. The boundary of  $\mathcal{R}$  is drawn with bold lines. The graph  $\mathcal{G}$  of destroyed edges is shown dashed.

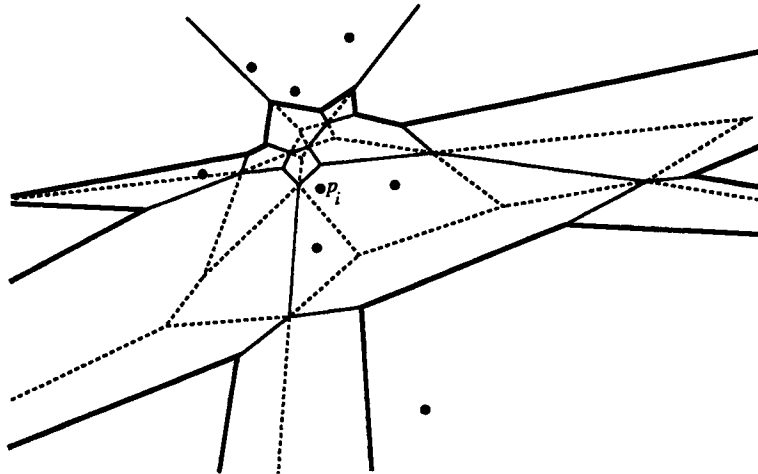


Fig. 1. Inserting a site.

Let us for the moment assume that we have a point location oracle which, given

a new site  $p_i$ , presents us some triangle of  $\mathcal{C}_{i-1}$  that is destroyed by  $p_i$ . By Lemma 5, the triangles of  $\mathcal{C}_{i-1}$  that are no longer part of  $\mathcal{C}_i$  form a simply connected surface,  $\mathcal{U}$ , on  $\mathcal{C}_{i-1}$ . A triangle  $\Delta$  will be destroyed iff  $p_i$  lies within the circumcircle whose center is the dual Voronoi vertex of  $\Delta$ . According to Fact 1, this vertex then either changes type or disappears. In either case,  $\Delta$  will not be present in  $\mathcal{C}_i$ . So we can test in constant time whether  $\Delta$  will be destroyed. Using the point location oracle and a graph search, the surface  $\mathcal{U}$  can be removed from  $\mathcal{C}_{i-1}$  in time  $\mathcal{O}(n_i)$ , where  $n_i$  is the number of triangles in  $\mathcal{U}$ . Clearly the set  $\mathcal{B}$  of corners on the boundary of  $\mathcal{U}$  can be identified during this process.

Now we want to determine the set  $\mathcal{P}$  of new corners. Luckily, we have the following.

**Lemma 6** *Each edge  $e$  in  $k\text{-Vor}(\{p_1, \dots, p_{i-1}\})$  that is totally or partially destroyed by the insertion of  $p_i$  gives rise to a new region  $R$  in  $k\text{-Vor}(\{p_1, \dots, p_i\})$ . All new regions are generated in this way. In particular,  $\text{near}(R) = \text{near}(e) \cup \{p_i\}$ .*

**Proof.** Let  $x$  be a point in the part of  $e$  being destroyed. Then there exists a circle with center  $x$  that encloses  $\text{near}(e)$  and  $p_i$  but no other site in  $\{p_1, \dots, p_i\}$ . But this means that  $x$  is contained in the region  $R$  of  $k\text{-Vor}(\{p_1, \dots, p_i\})$  with  $\text{near}(R) = \text{near}(e) \cup \{p_i\}$ .

For the reverse direction, let  $R$  be a region in  $k\text{-Vor}(\{p_1, \dots, p_i\})$  with  $p_i \in \text{near}(R)$ . There exists a circle enclosing exactly the sites in  $\text{near}(R)$ . Therefore, there is also a circle with this property but with two sites not in  $\text{near}(R)$  lying on its boundary. Its center will be a point on the edge  $e$  of  $k\text{-Vor}(\{p_1, \dots, p_{i-1}\})$  with  $\text{near}(e) = \text{near}(R) \setminus \{p_i\}$ .  $\square$

Lemma 6 implies that we can collect  $\mathcal{P}$  while walking along the surface  $\mathcal{U}$ , and it also implies that  $|\mathcal{P}| \in \mathcal{O}(n_i)$ . We can compute the coordinates of the new corner that arises from an edge  $e$  of  $\mathcal{U}$  in constant time, by subtracting from one corner of  $e$  the appropriate site in the label of  $e$  and then adding  $p_i$ .

After computing the lower convex hull of  $\mathcal{P} \cup \mathcal{B}$  in time  $\mathcal{O}(n_i \log n_i)$ , we finally have to label the newly created edges of  $\mathcal{C}_i$ . It is possible to do this in time  $\mathcal{O}(n_i)$  using the coordinates of the corners, since we already know the labels of the edges on the boundary of  $\mathcal{U}$ . Let  $\Delta$  be a triangle with only one labeled edge  $e$ , and let  $c$  be its corner opposite  $e$ . The *coordinates* of the unknown third site appearing in the labels of  $\Delta$  are obtained by subtracting from  $c$  one corner of  $e$  and adding the appropriate site in the label of  $e$ . Here it is necessary to know whether  $\Delta$  is dual to a close-type or a far-type vertex; the type can be read off from  $e$ 's label, provided we store edge labels as oriented pairs of sites. Numerical problems might arise if we try to identify a site by its coordinates. However, it should be observed that if the sites are given in integer coordinates, then all corners will also have this property. (Of course, we would use the coordinates of  $k \cdot q(T)$  to avoid unnecessary divisions and roundoff errors. Anyway, we shall see a simpler technique of labeling the edges of  $\mathcal{U}$  in Section 7.)

We have just proved:

**Lemma 7** *Given  $\mathcal{C}_{i-1}$  we can insert a new site  $p_i$  in time  $\mathcal{O}(n_i \log n_i)$ , provided we know one triangle of  $\mathcal{C}_{i-1}$  that is destroyed by  $p_i$ .*



## 5. The Site Location Problem

We now give a method to find the required starting triangle for our graph search. The usual technique to attack this problem is to maintain a conflict graph<sup>1</sup> or a related on-line method with the same time bounds.<sup>8</sup> However, we show in the Appendix that the conflict graph of our problem has an expected size of  $\mathcal{O}(nk^3 \log \frac{n}{k})$ , which would dominate the time for the construction steps. We circumvent this difficulty by using a two-stage point-location structure, which we get nearly for free. This structure achieves a total running time of  $\mathcal{O}(nk \log^3 n)$  for all site location steps. We profit from one more nice property of our duality transform.

**Lemma 8** *Let  $\Delta$  be a triangle of  $\mathcal{C}_{i-1}$ , let  $v$  denote its dual Voronoi vertex, and let  $O$  be the circumcircle corresponding to  $v$ . Then  $O$  encloses the projection of  $\Delta$  on the  $xy$ -plane.*

**Proof.** Let  $p, q$ , and  $r$  be the three sites defining  $O$ . Depending on whether  $v$  is a close-type vertex or a far-type vertex,  $O$  encloses a  $(k-1)$ -subset or a  $(k-2)$ -subset  $T \subset \{p_1, \dots, p_{i-1}\}$ . By the definition of  $\mathcal{Q}_k(\{p_1, \dots, p_{i-1}\})$ , the projections of the corners of  $\Delta$  are the centroids of  $T \cup \{p\}$ ,  $T \cup \{q\}$ , and  $T \cup \{r\}$  in the former case, and the centroids of  $T \cup \{p, q\}$ ,  $T \cup \{p, r\}$ , and  $T \cup \{q, r\}$  in the latter. Clearly, these centroids lie within the convex hull of  $T \cup \{p, q, r\}$ , which lies within  $O$ .  $\square$

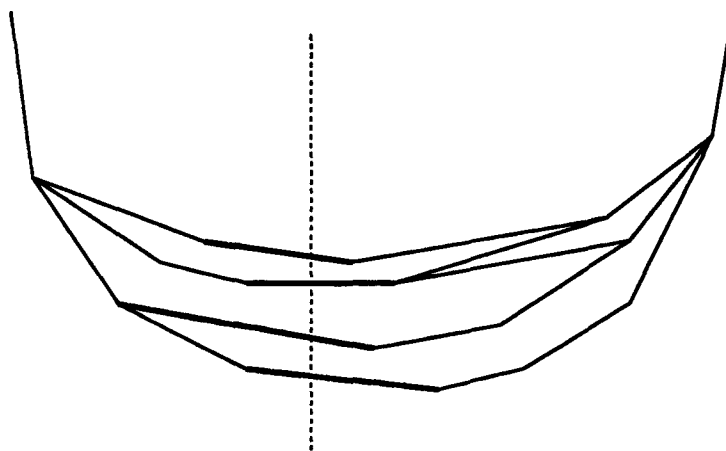
Recall that  $\Delta$  is destroyed by changing the set of sites enclosed by  $O$ . It is thus sufficient for our purposes to locate a new site  $p_i$  in the triangulation of the plane given by the projection of  $\mathcal{C}_{i-1}$ .

We use a technique similar to that used in Refs. [6,18]. We do not remove the triangles of  $\mathcal{C}_{i-1}$  that get destroyed by the insertion of  $p_i$  from our data structure, but mark them as old. When marked old, each triangle gets a pointer to the newly added cap, the lower convex hull of  $\mathcal{P} \cup \mathcal{B}$  that has been added to  $\mathcal{C}_{i-1}$  in order to obtain  $\mathcal{C}_i$ .

The structure maintained at stage  $i$  during the course of the algorithm is thus the family of all lower convex hulls  $\mathcal{C}_j$ , for  $k+1 \leq j \leq i$ , which can be imagined as a sequence of caps that have been added to the initial cap  $\mathcal{C}_{k+1}$ ; see Figure 2. For each cap, we need a point location structure for the triangulation it projects to. But recall that we have used the algorithm in Ref. 6 to compute the caps, which can be implemented in such a fashion that it automatically gives a point location structure for these triangulations with an expected query time of  $\mathcal{O}(\log^2 n_j) = \mathcal{O}(\log^2 n)$ . So, in order to obtain the two-stage point-location structure just described, nothing has to be added to our algorithm but the establishment of some pointers from triangles to caps.

Locating a new site  $p_i$  is now easy. First locate  $p_i$  in a triangle  $\Delta$  of  $\mathcal{C}_{k+1}$  in time  $\mathcal{O}(\log^2 k)$ . Either  $\Delta$  is still present in  $\mathcal{C}_{i-1}$ , or it has become old by the insertion of some site  $p_j$ , for  $j < i$ . In the latter case,  $\Delta$  points to a point location structure for the cap defined by  $\mathcal{C}_j$ . We locate  $p_i$  in that cap and proceed in this fashion until we reach a triangle of  $\mathcal{C}_{i-1}$ .

In how many caps do we have to locate a fixed site  $q$ ? Let  $\Delta_j$  be the triangle of  $\mathcal{C}_j$  containing  $q$  in its projection. We are interested in the expected number of

Fig. 2. Locating  $p_i$  in a sequence of caps.

indices  $j$  such that  $\Delta_j \neq \Delta_{j-1}$ . This number can be expressed as

$$\sum_{j=k+2}^n \text{Prob}\{\Delta_j \neq \Delta_{j-1}\}.$$

Note that  $q$  is fixed and the probability is taken over all permutations of  $\{p_1, \dots, p_n\}$ . Again, we use a backwards analysis to see that this probability is the same as the probability that  $\Delta_j$  disappears when a random site in  $\{p_1, \dots, p_j\}$  is removed. That probability, however, is  $\Theta(\frac{k}{j})$  by the argument of Section 2. This shows that, for a fixed site, the expected number of point locations in caps is  $\Theta(k \log \frac{n}{k})$ .

According to Lemma 7 and Lemma 2, the expected runtime of our algorithm *without* the point location steps is in

$$\mathcal{O}(k \log k) + \sum_{i=k+2}^n \mathcal{O}(n_i \log n_i) = \mathcal{O}(k^2(n-k) \log n).$$

We have proved the following result for the computation of order- $k$  Voronoi diagrams.

**Theorem 9** *The order- $k$  Voronoi diagram of  $n$  sites in the plane can be computed in expected time  $\mathcal{O}(k^2(n-k) \log n + nk \log^3 n)$  by an on-line randomized incremental algorithm.*

## 6. Speeding Up Insertion

Let us here present a more elaborate way of inserting a site  $p_i$  in time  $\mathcal{O}(n_i)$  — instead of  $\mathcal{O}(n_i \log n_i)$  — which will finally lead to an optimal randomized incremental on-line algorithm.

As has been observed in the proof of Lemma 5,  $k\text{-Vor}(\{p_1, \dots, p_{i-1}\})$  coincides with the order- $(k+1)$  Voronoi diagram of  $\{p_1, \dots, p_i\}$  in the star-shaped domain

influenced by  $p_i$ . It is well known that  $k\text{-Vor}(\{p_1, \dots, p_i\})$  can be obtained from the latter diagram by constructing the furthest-site Voronoi diagram of  $\text{near}(R)$  within each of its regions  $R$ . Thus, we could use the algorithm of Aggarwal et al.<sup>12</sup> to construct in time  $\mathcal{O}(n_i)$  these furthest-site diagrams in the domain of influence of  $p_i$ , and then “glue” them together in order to obtain  $k\text{-Vor}(\{p_1, \dots, p_i\})$ .

We find it simpler and more elegant to perform a similar task in the dual environment. In particular, we need not glue together newly constructed objects, but fill in some missing convex hull edges instead. To this end, we reconsider the distinction between far-type and close-type vertices.

Any far-type vertex  $v$  destroyed by the insertion of  $p_i$  reappears as a close-type vertex  $v'$  in  $k\text{-Vor}(\{p_1, \dots, p_i\})$ . Consider the edges  $e_1, e_2, e_3$  adjacent to  $v$ . Since  $v$  is a far-type vertex, these edges have different  $(k-1)$ -subsets  $\text{near}(e_1)$ ,  $\text{near}(e_2)$  and  $\text{near}(e_3)$  which, by Lemma 6, give rise to the three regions adjacent to  $v'$ . Thus, we can create three new corners for every destroyed triangle  $\Delta$  that is dual to a far-type vertex, and connect them as a triangle  $\Delta'$ . The labels for the edges of  $\Delta'$  clearly are the same as those for  $\Delta$ .

All close-type triangles within the set  $\mathcal{P}$  of new corners are created this way. The triangles having one corner in  $\mathcal{P}$  and two in the set  $\mathcal{B}$  of corners on the boundary of the hole are also of the close type. They can be constructed and labeled from local information during the graph search. The triangles between two corners in  $\mathcal{P}$  and one in  $\mathcal{B}$  are of the far type. We also have:

**Fact 10** (Ref. 10) *Every region of an order- $k$  Voronoi diagram has at least one close-type vertex.*

That is, each corner in  $\mathcal{P}$  belongs to at least one close-type triangle. Thus, we have not only constructed (and labeled) all close-type triangles of the lower convex hull of  $\mathcal{P} \cup \mathcal{B}$  but the whole set  $\mathcal{P}$  as well. The lemma below implies that we have nearly constructed the lower convex hull  $\mathcal{C}_i$ .

**Lemma 11** *The edges of the close-type triangles of the lower convex hull of  $\mathcal{P} \cup \mathcal{B}$  form a single connected component.*

**Proof.** Assume that some corner  $c \in \mathcal{P}$  is connected to no corner in  $\mathcal{B}$ . This implies a closed sequence of adjacent far-type triangles in  $\mathcal{C}_i$  surrounding  $c$ . Hence in the dual setting there is a cycle  $E$  of edges in  $k\text{-Vor}(\{p_1, \dots, p_i\})$  spanned by far-type vertices. Recall that such a far-type vertex  $v$  is defined by a subset  $T(v) \subset \{p_1, \dots, p_i\}$  of  $k+1$  sites, three of which defining the circumcircle and  $k-2$  lying within it. Now consider an edge  $(v, v')$  of  $E$ . Let this edge be part of the bisector of sites  $p$  and  $q$ , so  $p, q \in T(v) \cap T(v')$ . Since we deal with far-type vertices, we have  $\text{near}(v, v') = T(v) \setminus \{p, q\} = T(v') \setminus \{p, q\}$ , which implies  $T(v) = T(v')$ . So all vertices within  $E$  are defined by the same  $(k+1)$ -subset  $T$ . Consequently, the cycle  $E$  will also appear in  $k\text{-Vor}(T)$ . But  $|T| = k+1$  so that  $k\text{-Vor}(T)$  is just the furthest-site Voronoi diagram of  $T$ , which is a tree. This is a contradiction.  $\square$

There is still a little problem with the computation of set  $\mathcal{P}$ . According to Lemma 6, the same corner may be generated by more than one destroyed edge. This was no problem in the simple algorithm, since multiple corners were automatically deleted by the convex-hull algorithm. Now, however, we have to make sure that,

when considering two edges generating the same corner, we get pointers to the same new object. Fortunately, this can be taken care of easily during the graph search. Let us call two edges  $e$  and  $e'$  equivalent if  $\text{near}(e) = \text{near}(e')$ .

**Lemma 12** *Consider the equivalence classes formed by the edges of the order- $k$  Voronoi diagram  $k\text{-Vor}(\{p_1, \dots, p_{i-1}\})$ . The destroyed edges of each such class form a connected graph.*

**Proof.** Two destroyed edges belong to different equivalence classes iff they lie in different regions of  $k\text{-Vor}(\{p_1, \dots, p_i\})$ . Within such a region  $R$ , the edges of its corresponding class are part of the closest-site Voronoi diagram of  $\{p_1, \dots, p_i\} \setminus \text{near}(R)$ . As is well known this part is connected.  $\square$

We are left with the problem of filling and labeling some not-yet triangulated holes of  $C_i$ , the boundaries of which are single polygonal cycles. In fact, it can be shown that the projection of a hole onto the  $xy$ -plane is convex. It is thus easy to form the convex hull of the corners in such a cycle in linear time, using the algorithm by Clarkson and Shor<sup>1</sup> (but without the conflict graph) or by Guibas, Knuth, and Sharir<sup>6</sup> (but without the point location part). Finally, labeling the new edges is straightforward, by starting at the boundary of each hole and exploiting the fact that two edge labels of a triangle already imply the third.

**Lemma 13**  $C_i$  can be constructed from  $C_{i-1}$  in time  $\mathcal{O}(n_i)$  if a triangle of  $C_{i-1}$  that is destroyed by  $p_i$  is known.

It is also possible to speed up the rest of the algorithm (site location and memory rearrangement) by a logarithmic factor without affecting its optimal space complexity. Since the linear-time construction of the lower convex hull of  $\mathcal{P} \cup \mathcal{B}$  does not yield for free a point location structure for its projection, we postprocess this triangulation using the algorithm by Seidel.<sup>4</sup> This takes expected time  $\mathcal{O}(n_i)$  and allows us to locate the triangle containing a new site in expected time  $\mathcal{O}(\log n)$ . This gives us

**Theorem 14** *The order- $k$  Voronoi diagram of  $n$  sites in the plane can be computed in expected time  $\mathcal{O}(k^2(n-k) + kn \log^2 n)$  by an on-line randomized incremental algorithm.*

This complexity is optimal for randomized incremental algorithms if  $k \geq \log^2 n$ .

An additional feature may let us prefer this insertion algorithm to the one in Theorem 9. When computing the convex hull for one of the holes using the algorithm of Ref. 6 the primitive operation is to check whether two adjacent triangles form a convex or a concave angle in space. In our case, the two triangles will be formed by four corners lying on the boundary of a hole.

But the unknown triangles to appear in the hole are all of the far type. By the proof of Lemma 11, all their corresponding vertices are defined by a fixed set  $T$  of  $k+1$  sites, implying that the corners on the boundary of the hole correspond to  $k$ -subsets of the form  $T \setminus \{p\}$ . Without having to know  $T$  itself, we can easily determine the 'missing' site  $p$  for every such corner on the boundary from the labels of the edges along the boundary. If we do this before starting to compute the convex hull for such a hole, every primitive triangle test can be considered as a test involving four sites. Furthermore, it is not difficult to verify that this is just the

standard primitive for computing Voronoi diagrams in general: Given four sites, does the fourth site lie within the circle spanned by the other three?

This implies that we do not need the *coordinates* of the corners during the insertion step — all we need is the combinatorial structure of the lower convex hull plus the edges labels. Of course, we still need the coordinates for the point location step—but the  $z$ -coordinates of corners are never used.

## 7. Reducing the Space Complexity

Both our algorithms seem to have a price of  $\mathcal{O}(k^2(n-k))$  expected space, which might be prohibitive for large values of  $k$ . In the following we improve both algorithms to optimal space  $\mathcal{O}(k(n-k))$ .

This is rather simple when we are satisfied with an off-line version of our algorithm. Instead of using point location for the newly inserted sites, we use a conflict graph as in Ref. 1: For every not-yet inserted site, we maintain a triangle it destroys, by locating, if necessary, the site in the newly constructed cap. So we only need to store the current lower hull, which has a complexity of  $\mathcal{O}(k(n-k))$  in the worst case.

If the set of sites is not given in advance (the on-line case), we can employ a different trick: We use a memory manager which keeps track of the number of memory cells currently allocated. This manager makes sure that the amount of storage used in stage  $i$  of the algorithm, i.e. when dealing with  $k\text{-Vor}(\{p_1, \dots, p_i\})$ , does not exceed  $c \cdot k(i-k)$ , for some suitable constant  $c$ . Whenever this limit is exceeded, the memory manager stops the algorithm after inserting the current site (this insertion can only add another  $\mathcal{O}(k(i-k))$  corners in the worst case, so we can safely wait for it to complete). Now, we discard everything in the memory except for the  $\mathcal{O}(k(i-k))$  corners of the current lower convex hull  $\mathcal{C}_i$ . Since we hereby lose our implicit point location structure for the projection of  $\mathcal{C}_i$ , we build such a structure using Ref. 4 in time  $\mathcal{O}(k(i-k))$ . It is clear that in this way we can guarantee the given space bound, and it is also easy to see that the point location time can only get better by this modification. What remains to be bounded is the additional time needed for the memory reorganisations. Directly after a reorganisation in stage  $i$ , the number of corners in the current structure is  $\mathcal{O}(k(i-k))$ . If the constant  $c$  is chosen large enough, this implies that we have to create another  $\Omega(k(j-k))$  corners before the next reorganisation in stage  $j$ . Since the cost of that reorganisation is  $\mathcal{O}(k(j-k))$ , we can charge it to the creations of these corners. The expected overall running time remains asymptotically unchanged.

**Theorem 15** *The algorithms of Theorem 9 and Theorem 14 can be modified to run with optimal  $\mathcal{O}(k(n-k))$  worst-case space and the same asymptotic running time.*

## 8. Deleting a Site

We promised in the introduction that not only insertions but also deletions of sites can be handled by our methods in a simple and efficient way. Indeed, the surface  $\mathcal{U}$  of triangles on  $\mathcal{C}_i$  getting destroyed by the deletion of a site  $p_j$ , for  $j \leq i$ ,

can be identified analogously. It just consists of all triangles whose corresponding circumcircles enclose  $p_j$ . A starting triangle with this property can be obtained by finding some edge that uses  $p_j$  as a label. (By realizing labels as pointers between edges and sites, this is easy to do.) Note that such an edge must exist. During the graph search in  $\mathcal{U}$ , one can also collect all corners that will appear.

**Lemma 16** *For each edge  $e$  in  $k\text{-Vor}(\{p_1, \dots, p_i\})$  that gets totally or partially destroyed by the deletion of  $p_j$ , a region  $R$  of  $k\text{-Vor}(\{p_1, \dots, p_i\} \setminus \{p_j\})$  will appear. All such regions are created in this way. In particular,  $\text{near}(R) = (\text{near}(e) \setminus \{p_j\}) \cup \{p, q\}$ , where  $\{p, q\}$  is the label of  $e$ .*

**Proof.** The argument is similar to that for Lemma 6.  $\square$

We are left with the problem of constructing and labeling the lower convex hull of these corners. Clearly this can be done analogously to the insertion step described in Section 4. By the lemma below, the linear-time method of Section 7 also carries over.

**Lemma 17** *When deleting  $p_j$  from  $k\text{-Vor}(\{p_1, \dots, p_i\})$ , the edges within the constructed star just are the edges within the star constructed by inserting  $p_j$  into the order- $(k+1)$  Voronoi diagram of  $\{p_1, \dots, p_i\} \setminus \{p_j\}$ .*

**Proof.** Arguments similar to those used in the proof of the second part of Lemma 5 yield the assertion.  $\square$

We conclude:

**Lemma 18** *Let  $n_j$  denote the number of structural changes in  $k\text{-Vor}(\{p_1, \dots, p_i\})$  caused by the deletion of  $p_j$ . Then  $p_j$  can be deleted from  $C_i$  in time  $\mathcal{O}(n_j \log n_j)$  by the algorithm in Section 4, and in time  $\mathcal{O}(n_j)$  by the algorithm in Section 7.*

## 9. Dynamic $k$ -Nearest-Neighbors Search

In light of Lemma 4, we can also run our algorithms for inserting and deleting sites in the primal space, by maintaining the projection polyhedron  $\text{poly}(\{p_1, \dots, p_i\})$  rather than its dual object  $C_i$ . Of course, this can be done by exactly the same algorithm, just by interpreting some values in a different way.

However, when we consider the current data structure not as the lower convex hull  $C_i$  but as the order- $k$  Voronoi diagram, it would be more useful to implement the site location step in a different fashion. In fact, we would like to be able to locate an arbitrary point in the diagram, thus giving us a query structure for  $k$ -nearest-neighbors queries. We do this by modifying our algorithm, so that it not only maintains the order- $k$  Voronoi diagram of  $\{p_1, \dots, p_i\}$ , but the *bottom-vertex-triangulation* of the diagram. For every region of the diagram (which is a convex polygon) we choose the lexicographically least vertex  $v$  and triangulate the region by inserting edges between  $v$  and all vertices not adjacent to  $v$ .

The analysis of Section 2 then remains essentially true. Now, the basic object to be considered in the analysis is a bottom-vertex triangle (bv-triangle for short) and not a vertex of the diagram, but the probability that some fixed bv-triangle is destroyed when removing a random site from the diagram is still  $\Theta(k/i)$  when there are  $i$  sites in the diagram. It follows that the expected number of bv-triangles considered during the course of a randomized incremental algorithm is  $\mathcal{O}(k^2(n-k))$

as well.

The site location step is now implemented by locating the new site  $p_i$  in the bv-triangulation of the current  $k\text{-Vor}(\{p_1, \dots, p_{i-1}\})$ . If  $p_i$  lies in triangle  $\Delta$  of this triangulation, then at least one of the vertices of  $\Delta$  is destroyed by the insertion of  $p_i$ .

The location of an arbitrary point  $q$  in the triangulation of  $k\text{-Vor}(\{p_1, \dots, p_i\})$  is done essentially as before. Any destroyed bv-triangle is marked as old and gets a pointer to a point location structure for the bv-triangulation of the new part of the diagram. Again, the previous analysis holds, giving us an  $\mathcal{O}(nk^2 + nk \log^2 n)$  algorithm to compute order- $k$  Voronoi diagrams which, at the same time, produces a point location structure for the diagram with query time  $\mathcal{O}(k \log^2 n)$ . Such a query would be used to retrieve the  $k$  nearest neighbors, so its output size is  $k$ . This is a generalization to order  $k$  of the point location structure for closest-site Voronoi diagrams proposed in Ref.6. Again, we can use our memory rearrangement scheme to reduce space to  $\mathcal{O}(k(n-k))$ . However, to really solve nearest  $k$  neighbors-queries, we have to store the  $k$ -subset corresponding to each region of the diagram. If we do this naively, the space requirement is  $\mathcal{O}(k^2(n-k))$ . This can again be reduced to optimal  $\mathcal{O}(k(n-k))$  by an idea that we will discuss below after dealing with deletions.

In fact, we wish to give a dynamic algorithm for the maintenance of order- $k$  Voronoi diagrams under (randomized) sequences of site insertions and site deletions. We employ the model of Ref.19. Similar models have been defined and used by Refs. 20,21,22. We are given a base set  $\mathcal{U}$  of sites in the plane, and an (infinite) string  $\delta$  over the alphabet  $\{+, -\}$ . This string denotes a sequence of insertions and deletions. The user is free to choose  $\mathcal{U}$  and  $\delta$ . Then, the algorithm will do the following: It starts with a set  $S_0 = \emptyset$  and goes through stages  $1, 2, 3, \dots$  while considering the  $i^{\text{th}}$  element  $\delta_i$  of  $\delta$  at stage  $i$ . If  $\delta_i = +$  then  $S_i$  is obtained from  $S_{i-1}$  by adding a random site in  $\mathcal{U} \setminus S_{i-1}$ . If  $\delta_i = -$  then  $S_i$  is obtained by removing a random site in  $S_{i-1}$ . The goal is to maintain  $k\text{-Vor}(S_i)$  during the course of this algorithm.

Using the techniques of Ref. 19, it is easily shown that the expected structural change in stage  $i$  is  $\mathcal{O}(k^2)$ . Furthermore, that paper gives two techniques that ensure that point location takes expected time  $\mathcal{O}(k \log^2 n)$  even in the presence of deletions. Since we can do updates in time linear in the size of the structural change as soon as we can locate a conflict for newly inserted sites, the expected running time of our algorithm is  $\mathcal{O}(k^2 + k \log^2 n)$  per update. Our method of reducing the memory requirement can still be used, giving optimal  $\mathcal{O}(k(n-k))$  space where  $n$  is the number of sites currently present in  $S_i$ . The update time remains asymptotically the same, but is now *amortized*.

Finally, if we wish to use our structure to do  $k$ -nearest-neighbors queries, we have to identify the  $k$ -subset associated with a region found by the point location step. If these sets were stored explicitly for all regions defined by the current  $n_i$  sites, this would take space  $\mathcal{O}(k^2 n_i)$ . For small values of  $k$  we may even content ourselves with that bound. The space drops to  $\mathcal{O}(k(n_i - k))$  when the following simple idea is used.

During an insertion or deletion step, we augment the newly constructed regions with some 'critical' sites in their  $k$ -subsets. When inserting a site  $p$ , each new region  $R$  is augmented with  $p$ . Hence, when proceeding from some region  $R'$  to the region  $R$  during later point locations,  $p$  is the unique site in  $\text{near}(R) \setminus \text{near}(R')$ . When deleting a site, each new region  $R$  is augmented with all sites in the labels of the edges generating  $R$  (see Lemma 16). In addition, each site  $p$  in the label of such an edge is associated with the index of the old region  $R'$  lying on the side of the edge opposite  $p$ . Hence, when the point location proceeds from  $R'$  to  $R$ , we can identify  $p$ , which again is the unique site in  $\text{near}(R) \setminus \text{near}(R')$ .

Thus we can collect a set of candidates for the  $k$  nearest neighbors of a query point  $q$  during the process of locating  $q$ , starting at the oldest diagram,  $k\text{-Vor}^*$ , for whose regions  $R$  we store  $\text{near}(R)$  in order to initialize the candidate set. The final size of this set is  $k + t - 1$  if  $t$  point locations have been performed. It remains to single out the  $k$  sites closest to  $q$  (which clearly are in this set) by sorting. Note that the total time for reporting the  $k$  nearest neighbors is dominated by the time for locating  $q$ .

Again, the space needed for storing all sets  $\text{near}(R)$  for  $k\text{-Vor}^*$  may be prohibitive as  $n$ , the current number of sites in  $k\text{-Vor}^*$ , typically is much larger than  $k$  after each rearrangement step. We would like to use only  $\mathcal{O}(k(n - k))$  space and to have access to each  $\text{near}(R)$  quickly. This can be achieved by using persistent data structures<sup>23</sup> or, in order to retain simplicity, by doing the following after having computed  $k\text{-Vor}^*$ :

We partition  $k\text{-Vor}^*$  into  $\mathcal{O}(n - k)$  connected domains of  $\mathcal{O}(k)$  regions each. For every domain, we choose one region  $R$  and store  $\text{near}(R)$  with  $R$ . If the query point  $q$  falls into some domain, we simply use graph search in this domain and exploit the edge labels to determine from  $\text{near}(R)$ , in  $\mathcal{O}(k \log k)$  time, the  $k$ -subset of the region containing  $q$ . (A partitioning into connected domains can easily be obtained by taking any path visiting all  $\mathcal{O}(k(n - k))$  cells and cutting it into pieces of length at most  $\mathcal{O}(k)$ . Then, the domains would not necessarily be disjoint, but this is no problem for this technique.)

In conclusion a dynamic data structure is obtained, capable of handling insertions, deletions, and  $k$ -nearest neighbor queries in a set of sites in the plane. The structure promises easy implementation and satisfactory expected performance.

## 10. Concluding remarks

It is an obvious question whether the methods presented in this paper can be generalized, to higher dimensions or to non-Euclidean distance functions. In fact, Lemma 3 and Lemma 4 can be shown to hold in arbitrary dimensions, and even for the more general class of *power diagrams*. One reason why this class is important is that the family of all  $k$ -sets of a finite point set (i.e., those which can be separated from the set by some hyperplane) can be represented by a power diagram.<sup>16</sup> However, Lemma 5 fails to hold for power diagrams in general. It remains unclear whether the algorithmic results of this paper can be extended to this class.



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## Appendix A

Here we give a proof that the conflict graph that arises during the randomized incremental construction of  $k$ -Vor( $S$ ) has an expected size of  $\Theta(nk^3 \log \frac{n}{k})$ . We use the usual proof technique.<sup>1</sup> Since we have exact bounds on the number of vertices, this seems to be the simplest way to do it.

Let  $\mathcal{V}$  be the set of all triples of sites in  $S$ . The (total) *conflict graph* is defined as a bipartite graph on  $\mathcal{V} \times S$ . It contains an edge between a triple  $\{q, r, s\} \in \mathcal{V}$  and a site  $p_j \in S$  if and only if  $\{q, r, s\}$  defines a vertex  $v$  in  $k$ -Vor( $\{p_1, \dots, p_i\}$ ), for some  $i < j$ , and the circumcircle of  $\{q, r, s\}$  encloses  $p_j$ . In other words, this edge indicates that  $v$  is destroyed if  $p_j$  is inserted. We want to determine the expected size of this graph when a permutation  $p_1, \dots, p_n$  of  $S$  is chosen randomly.

We use  $C(qrs)$  to denote the set of sites lying within the circumcircle of  $\{q, r, s\}$ , and  $c(qrs)$  to denote the cardinality of  $C(qrs)$ . For simplicity's sake, we only consider close-type vertices; the argument for far-type vertices works in the same fashion. A triple  $\{q, r, s\} \in \mathcal{V}$  appears as a vertex of  $k$ -Vor( $\{p_1, \dots, p_i\}$ ) iff the set  $\{p_1, \dots, p_i\}$  contains  $\{q, r, s\}$  and exactly  $k-1$  of the sites in  $C(qrs)$ . To determine the probability that  $\{q, r, s\}$  appears as a vertex at all, it is thus sufficient to consider the order in which the sites in  $C(qrs) \cup \{q, r, s\}$  are inserted. In fact, the only interesting question is: Are  $q, r$ , and  $s$  among the first  $k+2$  sites in  $C(qrs) \cup \{q, r, s\}$  that are inserted?

There are  $\binom{c(qrs)+3}{3}$  possible ways in which  $\{q, r, s\}$  can occur among these sites, and  $\binom{k+2}{3}$  of these are good for vertex  $\{q, r, s\}$ . This implies:

$$\text{Prob}\{\{q, r, s\} \text{ appears}\} = \begin{cases} \binom{k+2}{3} / \binom{c(qrs)+3}{3} = \Theta\left(\left(\frac{k}{c(qrs)}\right)^3\right), & c(qrs) \geq k-1 \\ 0 & \text{otherwise} \end{cases}$$

If  $\{q, r, s\}$  appears, it will induce exactly  $c(qrs) - k + 1$  edges in the conflict graph, one for each not-yet inserted site within the circumcircle. We can thus write the expected size of the total conflict graph as

$$\sum_{\{q,r,s\} \in \mathcal{V}} (c(qrs) - k + 1) \text{Prob}\{\{q, r, s\} \text{ appears}\} = \Theta\left(\sum_{j \geq k-1} \sum_{\substack{\{q,r,s\} \in \mathcal{V} \\ c(qrs)=j}} (j - k + 1) \frac{k^3}{j^3}\right).$$

The number of triples  $\{q, r, s\}$  with  $c(qrs) = j$  is of course just the number of close-type vertices in the order- $(j + 1)$  Voronoi diagram of  $S$ , which is  $\Theta(j(n - j))$ . We thus get the bound

$$\Theta \left( k^3 \sum_{j \geq k-1} (j - k + 1) \frac{1}{j^3} j(n - j) \right) = \Theta \left( k^3 n \sum_{j=k-1}^{n-3} \frac{1}{j} \right) = \Theta(nk^3 \log \frac{n}{k})$$

which proves the claim.