

# A Semidynamic Construction of Higher-Order Voronoi Diagrams and Its Randomized Analysis<sup>1</sup>

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Abstract. The k-Delaunay tree extends the Delaunay tree introduced in [1], and [2]. It is a hierarchical data structure that allows the semidynamic construction of the higher-order Voronoi diagrams of a finite set of n points in any dimension. In this paper we prove that a randomized construction of the k-Delaunay tree, and thus of all the order  $\leq k$  Voronoi diagrams, can be done in  $O(n \log n + k^3 n)$  expected time and  $O(k^2 n)$  expected storage in the plane, which is asymptotically optimal for fixed k. Our algorithm extends to d-dimensional space with expected time complexity  $O(k^{\lceil (d+1)/2 \rceil} n^{\lfloor (d+1)/2 \rfloor})$ . The algorithm is simple and experimental results are given.

**Key Words.** Computational geometry, Dynamic algorithm, Randomized complexity analysis, Order *k* Voronoi diagram.

1. Introduction. The order k Voronoi diagram has been introduced in [3] in order to deal with k-closest points and related distance relationships. Lee [4] gave the first algorithm for constructing the order k Voronoi diagram of a set of n points (or sites) in the plane. This algorithm constructs the order k Voronoi diagram from the order (k-1) Voronoi diagram in time  $O(kn \log n)$ . Thus the order k Voronoi diagram (in fact, the family of all order k Voronoi diagrams for  $1 \le j \le k$ ; the order k Voronoi diagrams for short) can be constructed in time  $O(k^2 n \log n)$ . This bound can be tightened to  $O(n \log n + k^2 n)$  using the result of [5].

Chazelle and Edelsbrunner [6] developed two versions of an algorithm that is better for large values of k. The first one takes  $O(n^2 \log n + k(n-k) \log^2 n)$  time and O(k(n-k)) storage while the other takes  $O(n^2 + k(n-k) \log^2 n)$  time and  $O(n^2)$  storage.

A radically different approach, pioneered by Clarkson [7], uses random sampling. Clarkson's algorithm determines the order k Voronoi diagram of n sites in the plane in time  $O(kn^{1+\epsilon})$  with a constant factor that depends on  $\epsilon$ .

More recently, in order to gain simplicity, several authors have designed algorithms which are incremental and randomized. Such an approach has been applied successfully for constructing Voronoi diagrams in the plane [8], [9] and in d-space [2], [10], [11]. A common point to all these randomized algorithms is that no distribution assumptions are made as is the case, for example, in [12].

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Hence the results remain valid for any set of points, provided that the points are inserted at random.

The algorithms in [10], [9], and [11] are incremental in the sense that the points are introduced one at a time. However, all the points need to be known in advance and maintained in an auxiliary data structure, the so-called conflict graph.

The well-known relationship between higher-order Voronoi diagrams in d dimensions and arrangements of hyperplanes in d+1 dimensions can be used for the design of an algorithm that constructs the order  $\leq n-1$  Voronoi diagrams in time and storage  $O(n^{d+1})$ , as shown by Edelsbrunner *et al.* [13].

In d > 2 dimensions, Clarkson [10] has shown that the size of the order  $\le k$  Voronoi diagrams is  $O(k^{\lceil (d+1)/2 \rceil} n^{\lfloor (d+1)/2 \rfloor})$ . Recently, Mulmuley [11], [14] has obtained a randomized algorithm whose expected complexity meets this bound for d > 2 and whose complexity is  $O(nk^2 + n \log n)$  for d = 2. This algorithm also uses a conflict graph.

None of the previous algorithms, except [2], and [8] are semidynamic. If one new site is to be added, the Voronoi diagram has to be entirely reconstructed.

In this paper we present an algorithm that is semidynamic. After each insertion of a new site, the algorithm updates a data structure, called the k-Delaunay tree. This structure generalizes the Delaunay tree, introduced in [1] and [2] to compute the Delaunay triangulation (and, by duality, the Voronoi diagram) of a set of points. The k-Delaunay tree contains all the successive versions of the order  $\leq k$  Voronoi diagrams and allows fast point location.

As any semidynamic algorithm constructing the Voronoi diagram, ours is not very good in the worst case. However, a randomized analysis shows that it is efficient on the average. The analysis of our algorithm has some similarity with the ones in [2], [10], and [8]. Our main result states that if we randomize the insertion sequence of the n sites, the k-Delaunay tree (and thus the order  $\leq k$  Voronoi diagrams) can be constructed in expected time  $O(n \log n + k^3 n)$  in two dimensions and expected storage  $O(k^2 n)$ .

Our algorithm extends to higher dimensions. For a given value d of the dimension, its expected time complexity is  $O(k^{\lceil (d+1)/2 \rceil + 1} n^{\lfloor (d+1)/2 \rfloor})$  and its expected space complexity is  $O(k^{\lceil (d+1)/2 \rceil} n^{\lfloor (d+1)/2 \rfloor})$ .

The overall organization of this paper is as follows. In Section 2 we define the k-Delaunay tree in two dimensions and present an algorithm for its construction. In Section 3 we analyse the complexity of the randomized construction of the k-Delaunay tree and, thus, of all order  $\leq k$  Voronoi diagrams. Section 4 shows how to use the k-Delaunay tree for searching the l nearest neighbors of a given point. In Section 5 we extend our results to d dimensions. Last but not least, Section 6 presents experimental results which provide evidence that the algorithm is very effective in practice for small values of k.

### 2. The k-Delaunay Tree in Two Dimensions

## 2.1. The order k Voronoi Diagram

2.1.1. Definition and Properties. Let  $\mathscr E$  denote the euclidean plane. Let  $\mathscr S$  be a set of n sites (points of  $\mathscr E$ ). We assume that no four sites lie on the same circle,

and that no three sites are collinear. For  $\mathcal{T}$ , a subset of points of  $\mathcal{S}$ , the generalized Voronoi polygon of  $\mathcal{T}$  is defined by

$$V(\mathcal{T}) = \big\{ p, \, \forall v \in \mathcal{T}, \, \forall w \in \mathcal{S} \backslash \mathcal{T}, \, \delta(p,v) < \delta(p,w) \big\},$$

where  $\delta$  denotes the euclidean distance in  $\mathscr{E}$ .  $V(\mathscr{T})$  is the locus of points p such that p is closer to each point of  $\mathscr{T}$  than to any point not in  $\mathscr{T}$ . The order k Voronoi diagram is defined as

$$Vor_k(\mathcal{S}) = \{V(\mathcal{T}), \mathcal{T} \subseteq \mathcal{S}, |\mathcal{T}| = k\}.$$

Let  $p_1$ ,  $p_2$ ,  $p_3$  be three sites of  $\mathcal{S}$ , let v be the center of the circle passing through  $p_1$ ,  $p_2$ ,  $p_3$ , and let  $B(p_1, p_2, p_3)$  be the open disk bounded by it. Since no four sites are cocircular, this circle passes through no other site, and v is the intersection of the three bisecting lines of  $[p_1, p_2]$ ,  $[p_2, p_3]$ , and  $[p_1, p_3]$ . The disk  $B(p_1, p_2, p_3)$  contains some sites, and R denotes the set of all these sites. If |R| = k, then v is a vertex of  $Vor_{k+1}(\mathcal{S})$  and  $Vor_{k+2}(\mathcal{S})$  (see, for example, [15]):

- v is the common point of  $V(R \cup \{p_1\})$ ,  $V(R \cup \{p_2\})$ , and  $V(R \cup \{p_3\})$  in  $Vor_{k+1}(S)$ . v is called a *close-type vertex* of this diagram (see Figure 1).
- v is the common point of  $V(R \cup \{p_1, p_2\})$ ,  $V(R \cup \{p_2, p_3\})$ , and  $V(R \cup \{p_3, p_1\})$  in  $Vor_{k+2}(\mathcal{S})$ . v is a far-type vertex of this diagram (see Figure 2).

Summarizing, any triangle T whose vertices are sites of  $\mathcal{S}$  and whose circumscribing disk contains k sites in its interior corresponds (is *dual*) to a vertex of both  $Vor_{k+1}(\mathcal{S})$  and  $Vor_{k+2}(\mathcal{S})$ .

Reciprocally, a vertex of  $Vor_k(\mathcal{S})$  is dual to a triangle whose circumscribing disk contains k-1 or k-2 sites in its interior.

We end this section with a lemma which will be useful in what follows.

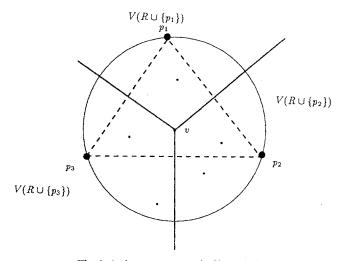


Fig. 1. A close-type vertex in  $Vor_{k+1}(\mathcal{S})$ .

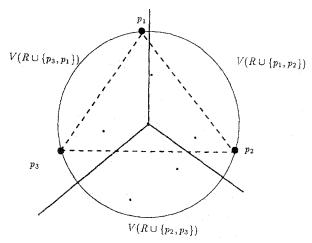


Fig. 2. A far-type vertex in  $Vor_{k+2}(\mathcal{S})$ .

LEMMA 2.1. Let  $\mathcal{T} \subset \mathcal{S}$ . The Voronoi polygon  $V(\mathcal{T})$  does not change if we add to  $\mathcal{T}$  and  $\mathcal{S}$  some new points lying in the convex hull of  $\mathcal{T}$ . More precisely,  $V(\mathcal{T})$  in  $Vor_{|\mathcal{T}|(\mathcal{S})}$  is equal to  $V(\mathcal{T} \cup \mathcal{R})$  in  $Vor_{|\mathcal{T} \cup \mathcal{R}|}(\mathcal{S} \cup \mathcal{R})$  if the points of  $\mathcal{R}$  lie in the interior of the convex hull of  $\mathcal{T}$ .

**PROOF.** Let  $\mathscr{R}$  be a set of points not in  $\mathscr{S}$ , and lying in the interior of the convex hull of  $\mathscr{T} \subset \mathscr{S}$ . We denote by  $V_{\mathscr{S}}(T)$  the Voronoi polygon of  $\mathscr{T}$ , where  $\mathscr{T}$  is considered as a subset of  $\mathscr{S}$  (i.e., in  $Vor_{|\mathscr{T}|}(\mathscr{S})$ ). We first prove that

$$V_{\mathscr{S}}(\mathscr{T}) \subset V_{\mathscr{S} \cup \mathscr{R}}(\mathscr{T} \cup \mathscr{R}).$$

Let  $m \in V_{\mathscr{S}}(\mathscr{T})$ ,  $p \in \mathscr{T} \cup \mathscr{R}$ , and  $q \in (\mathscr{S} \cup \mathscr{R}) \setminus (\mathscr{T} \cup \mathscr{R}) = \mathscr{S} \setminus \mathscr{T}$ :

- If  $p \in \mathcal{F}$ , then  $\delta(m, p) < \delta(m, q)$ , since  $m \in V_{\mathcal{S}}(\mathcal{F})$ .
- If  $p \in \mathcal{R}$ , then  $p = \sum_{i} \alpha_{i} t_{i}$ , where  $t_{i} \in \mathcal{F}$  and  $\alpha_{i} \in \mathbb{R}^{+}$ ,  $\sum_{i} \alpha_{i} = 1$ .

$$\delta(m,p) \leq \sum_{i} \alpha_{i} \delta(m,t_{i}) \qquad \text{since } \delta \text{ is associated to the euclidean} \\ \text{norm, and thus } x \mapsto \delta(m,x) \text{ is a convex} \\ \text{function} \\ < \sum_{i} \alpha_{i} \delta(m,q) \qquad \text{since } t_{i} \in \mathcal{F} \\ = \delta(m,q).$$

In both cases,  $\delta(m, p) < \delta(m, q)$ , from which we deduce that

$$m \in V_{\mathscr{S} \cup \mathscr{R}}(\mathscr{T} \cup \mathscr{R}).$$

The reciprocal inclusion is straightforward, which achieves the proof.

2.1.2. Including and Excluding Neighbors. In the following we denote by B(T) the interior of the disk circumscribing triangle T. For a triangle T, we define two neighbors through each of its three edges: one is called the *including* neighbor and the other one is the *excluding* neighbor. This notion of neighborhood corresponds to the actual notion of adjacency in the higher-order Voronoi diagrams.

Let E be an edge of T and let p be the third vertex of T. Let us consider a moving disk B whose boundary passes through the endpoints of E, and whose center moves along the bisecting line of E. Starting from B = B(T), we can move B in two opposite directions: one such that  $p \in B$  is called the including direction and the other such that  $p \notin B$  is called the excluding direction. We stop moving B as soon as its boundary encounters a site different from the endpoints of E. Let  $q_i$  (resp.  $q_e$ ) be the first site encountered in the including (resp. excluding) direction. The triangle  $T_i$  (resp.  $T_e$ ) having E as an edge and  $q_i$  (resp.  $q_e$ ) as a vertex is called the including neighbor (resp. excluding neighbor) of T through edge E (see Figure 3). Notice that  $q_i$  and  $q_e$  may be on either side of E.

REMARK 2.1. In what follows we also speak of the neighbors of a triangle T in the direction including a site m in B(T) and in the direction excluding m. The neighbor of T through E in the direction excluding m (resp. including m) is the excluding (resp. including) neighbor of T if m and p are on the same side of E and the including (resp. excluding) neighbor of T otherwise.

REMARK 2.2. If S is the excluding (resp. including) neighbor through E for T, then T is a neighbor of S, but T may be either the including neighbor through E of S or the excluding one, depending on which side of E lies  $q_{\rm e}$  (resp.  $q_{\rm i}$ ). The neighborhood relationships are not reciprocal.

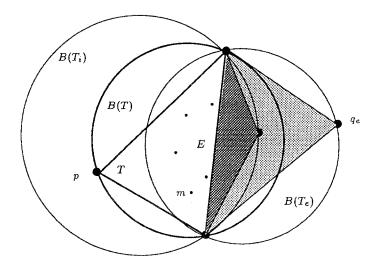


Fig. 3. Including and excluding neighbors.

REMARK 2.3. The following property will be useful in what follows:

$$B(T) \subset B(T_i) \cup B(T_e)$$
.

Hence, if a site m lies in B(T), we can deduce that m lies in either  $B(T_i)$  or  $B(T_e)$ .

If B(T) contains k sites, then  $B(T_i)$  contains k+1 sites if  $q_i$  lies outside B(T) (the k sites in B(T) plus p), or k sites if  $q_i$  lies inside B(T) (the k sites in B(T) plus p minus  $q_i$ ). In a similar way,  $B(T_e)$  contains k sites if  $q_e$  lies outside B(T) (the k sites in B(T)), or k-1 sites if  $q_e$  lies inside B(T) (the k sites in B(T) minus  $q_e$ ).

Speaking in terms of Voronoi vertices, if B(T) contains k sites, T is dual to a close-type vertex t of  $Vor_{k+1}$  and its excluding neighbors are dual to the adjacent vertices of t in  $Vor_{k+1}$ . Similarly, T is dual to a far-type vertex t' of  $Vor_{k+2}$ , and its including neighbors are dual to the adjacent vertices of t' in  $Vor_{k+2}$ .

- 2.1.3. A Semidynamic Algorithm for Constructing the Order  $\leq k$  Voronoi Diagrams. Our algorithm is based on the well-known semidynamic algorithm of [16] for constructing the Delaunay triangulation. Each site is introduced, one after another, in each of the order  $\leq k$  Voronoi diagrams and each diagram is subsequently updated. We describe this algorithm at the same time as the k-Delaunay tree, in the following sections, but it is actually independent of that data structure.
- 2.2. Construction of the k-Delaunay Tree. The k-Delaunay tree is a hierarchical structure that we use to construct the order  $\leq k$  Voronoi diagrams. The skeleton of the k-Delaunay tree is the Delaunay tree presented in [1] and [2]. It is not really a tree but a rooted direct acyclic graph. As in the Delaunay tree, the nodes are associated to triangles. We often use the same word triangle for both a triangle and its associated node.

Following our algorithm, each site is introduced one after another and we keep all triangles in a hierarchical manner in the k-Delaunay tree, creating appropriate links between "old" (i.e., created before the introduction of the site) and "new" triangles (i.e., created after the introduction of the site). This allows us to locate a new site in the current structure efficiently.

We define the *current width* of a triangle T dual to a vertex of some higher-order Voronoi diagram to be the number of already inserted sites lying inside B(T).

- 2.2.1. Initialization. For the initialization step we choose three sites. They generate one finite triangle and six half-planes (considered as infinite triangles) limited by the supporting lines of the finite triangle. The four triangles of current width 0 are the sons of the root of the tree. Their neighborhood relationships in the Delaunay triangulation are created. The three triangles of current width 1 are linked to the preceding ones by their neighborhood relationships in the order 2 Voronoi diagram.
- 2.2.2. Inserting a New Site. The k-Delaunay tree is constructed so that it satisfies the following property:
- (P) All the triangles of current width strictly less than k are present in the k-Delaunay tree.

Hence, the triangles dual to the vertices of the order  $\leq k$  Voronoi diagrams are all present in the structure. Moreover, we keep their adjacency relationships in the corresponding Voronoi diagrams. The k-Delaunay tree thus contains the whole information necessary to construct all the order  $\leq k$  Voronoi diagrams.

Let us suppose that the k-Delaunay tree has been constructed for the already inserted sites and satisfies the above property  $(\mathcal{P})$ . Let m be the next site to be inserted.

Let S be a triangle dual to a vertex of some of the order  $\leq k$  Voronoi diagrams, to be created after the insertion of m in order to satisfy  $(\mathcal{P})$ . S is called a new triangle. S has m as a vertex; let E be the edge of S not containing m. S has an including neighbor T through E, and an excluding neighbor R through E. It is plain to observe that R and T were necessarily neighbors before the insertion of m and that B(T) contains m while B(R) does not. Figure 4 shows the four typical situations.

Conversely, let T be a triangle dual to a vertex of some of the order  $\leq k$  Voronoi diagrams, whose disk B(T) contains m. Let E be an edge of T, and let p be the third vertex of T. If the neighbor R of T through E in the direction excluding m does not contain m in its disk, then we create a new triangle S by linking m to E. Now T becomes the including neighbor of S through E and R its excluding one.

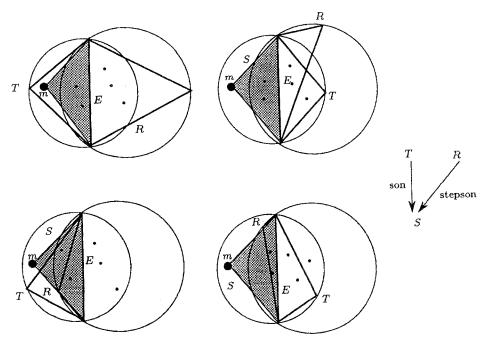


Fig. 4. Inserting a new site. If l is the current width of the new triangle S, the current width of T before the insertion of m, the current width of T after the insertion, and the current width of R are respectively:

- l, l + 1, and l in the upper-left case,
- l-1, l, and l in the upper-right case,
- l, l+1, and l-1 in the bottom-left case,
- l-1, l, and l-1 in the bottom-right case.

If now the current width of S is l, the current width of T, before the insertion of m, was l or l-1 and the current width of R is still l or l-1, as before the insertion of m (see Figure 4). Thus property  $(\mathcal{P})$  implies that T and R were associated to nodes in the k-Delaunay tree before the insertion of m, the current width of T is increased by one and is now l+1 or l. If this number is larger or equal to k, then T is marked as full (T is no longer dual to a vertex of some order  $\leq k$  Voronoi diagram).

If the current width of S is zero when it is created, we then update the tree by making S a son of T and a stepson of R.

REMARK 2.4. We can thus notice that the subgraph of the k-Delaunay tree obtained by recursively traversing all links to sons and stepsons, starting from the root, is the Delaunay tree (the 1-Delaunay tree) presented in [1]: a triangle can be created in the (1-)Delaunay tree only if its current width is 0; at this moment, it is the neighbor of its stepfather, and the current width of its father is incremented, so it becomes at once full. There are no additional neighborhood relationships involving triangles of current width larger than 0, because the (1-)Delaunay tree only deals with neighborhood relationships between Delaunay triangles.

We also update the neighborhood relationships between the triangles which are not marked as full.

In order to ensure that  $(\mathcal{P})$  still holds, it is sufficient to apply the above procedure to all the triangles T whose circumscribing disks contain m. Thus we need to find all those triangles. This is preformed by Procedure location while the creation of the new triangles and the maintenance of the neighborhood relationships is performed by Procedure creation. These two procedures are detailed below.

When a node receives sons, its width increases, and it can only get new sons when its width is 0, so the total number of sons of a node is at most three. Notice however that the number of stepsons is unbounded; unfortunately, all stepsons are useful as shown in [2].

Nevertheless, we have the following property:

LEMMA 2.2. The total number of links to sons and stepsons in the k-Delaunay tree is less than twice the number of nodes.

Proof.	Each newl	y created	node	(the	seven	first	ones	excepted)	has	exactly	one	;
father an	d one step	father.										

- 2.2.3. Structure of the k-Delaunay Tree. As previously noted, the k-Delaunay tree is a directed acyclic graph. Each node of the k-Delaunay tree is associated to a triangle. The node associated to triangle T contains the following:
- 1. Three links to the three sites which are vertices of T.
- 2. The center and the radius (more exactly the squared radius) of B(T), which can also be used to mark T as finite or infinite.
- 3. At most three sons.
- 4. The list of its stepsons.

- 5. The last site located in T.
- 6. The last site propagated in T (these last two fields are used by Procedure location).
- 7. The current width of T, which can also be used to mark T as full.
- 8. The six neighbors of T.

The first six fields are the same as in the (1-)Delaunay tree (see Remark 2.4). In the (1-)Delaunay tree, as soon as the current width of a triangle T is equal to 1, it becomes full, so there is only a mark remembering whether T is full or not; another difference is that there are only three neighbors (they are excluding neighbors) that are the neighbors of T in the Delaunay triangulation, if T is not full.

2.2.4. Procedure location. If m belongs to the circumscribing disk of a triangle, we know (see Remark 2.3) that it belongs to the union of the circumscribing disks of its father and of its stepfather. So we will be able to find all the triangles whose disks contain m by traversing the k-Delaunay tree.

In fact, we do not really need to traverse the k-Delaunay tree. It is sufficient to traverse only the Delaunay tree, which is a subgraph of the k-Delaunay tree (see Remark 2.4 and the previous section), until we find one Delaunay triangle in conflict with m. Then we follow the neighborhood relationships to find all triangles, of current width strictly less than k, in conflict with m. We store them in a list T(m). The edges of these triangles are the possible candidates to be used for the creation of new triangles.

Procedure location is shown in Figure 5.

```
Initialize the list T(m) as the empty list
location(m, root of the k-Delaunay tree)
Procedure location(m,node)
    (\star The node is associated to triangle T \star)
        if the last site located in T is not m and
        if m lies into B(T), then
            m becomes the last site located in T;
            for each son, location(m,son);
            for each stepson, location(m, stepson);
            if the current width of T is 0, then
                propagate(m,T);
                stop Procedure location.
Procedure propagate (m,T)
    m becomes the last site propagated in T;
    add T to the list T(m);
    increment the current width of T;
    if the current width of T is now k, then mark T as full;
    for each neighbour N of T
        if the last site propagated in N is not m and
        if N is not full, then
            if m lies into B(N), then propagate(m,N).
       Fig. 5. Locating a site in the k-Delaunay tree.
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Procedure creation (T(m))
for each triangle T of T(m)
for each edge E of T

if the neighbour R of T through E in the direction
excluding m does not contain m in its disk, then
• create the triangle S having vertex m and edge E,
and its associated node;
• declare R as the excluding neighbour of S through E
and update the reciprocal relation;
• declare T as the including neighbour of S through E
and update the reciprocal relation;
• if the current width of S is 0, then
create the relations: S son of T and S stepson of R;
create the neighbourhood relationships between the new triangles.
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Fig. 6. Creating the new triangles.

2.2.5. Procedure creation. We go through the list T(m). Let T be a triangle of this list and let E be one of its edges. If the neighbor R of T through E in the direction excluding m does not contain m, we then create S by linking m to E, and the son and stepson relations involving S, if S's current width is O. Procedure creation is shown in Figure O.

Let us now see how we can maintain the neighborhood relationships between triangles.

As already mentioned, when S is created, R is the excluding neighbor of S through edge E common to T and R, and T is the including one. We can easily compute the reciprocal relations: R and T were neighbors before the insertion of M (notice that R may be either an excluding or an including neighbor of T; remember also that the relations between neighbors are not symmetric, see Remark 2.2). If T was the excluding (resp. including) neighbor of R through E, then S is now the excluding (resp. including) neighbor of R. Similarly, if R was the excluding (resp. including) neighbor of R. In R is now the excluding (resp. including) neighbor of R.

In order to create the neighborhood relationships between the new triangles, we proceed as follows. Let us suppose that the insertion of m creates x(m) new triangles  $T_1, T_2, \ldots, T_{x(m)}$ , where  $T_i = (m, s_i^0, s_i^1), i = 1, 2, \ldots, x(m)$ . The  $T_i$ 's are dual to vertices of various  $Vor_i(\mathcal{S}), l \leq k$ . The adjacency relationships must be created in each  $Vor_i(\mathcal{S}), l \leq k$ . Our goal is to find, for each  $T_i$  ( $i = 1, \ldots, x(m)$ ), its excluding and including neighbors through both edges. To this aim, for each  $s_i^j$ ,  $i = 1, 2, \ldots, x(m), j = 0, 1$ , we sort the list of new triangles having  $s_i^j$  as a vertex, according to the abscissa of their center on the bisecting line of  $[m, s_i^j]$ . By definition,  $(m, s_i^i, v)$  and  $(m, s_i^j, v')$  are neighbors through  $[m, s_i^j]$  iff they are successive in this order. We thus only have to go through the list of sorted triangles to obtain the neighborhood relationships through the corresponding edge.

For each edge  $(m, s_i^j)$ , the complexity of this sorting is  $O(n_i^j \log n_i^j)$ , where  $n_i^j$  denotes the number of new triangles having  $(m, s_i^j)$  as an edge, which is bounded by 2k. The whole complexity of creating the neighborhood relationships is thus  $O(x(m) \log k)$  for each new site m.

REMARK 2.5. The log k appearing in this complexity could be dropped, but it would complicate our algorithm. As we shall see in what follows, the cost of Procedure creation is dominated by the cost of Procedure location, therefore we do not elaborate on improving its complexity.

3. Analysis of the Randomized Construction. The above algorithm allows the insertion of new sites in a dynamic way. In this section we prove that this simple algorithm is efficient, for any data set, as long as we randomize the insertion sequence of the sites. Thus, as in [8], our analysis implicitly assumes that all the sites are known in advance (while the algorithm does not need that). However, for an "on-line" application, the following theorem remains valid provided that all sequences have the same probability. So a sufficient (but not necessary) condition for Theorem 3.1 to be valid during an on-line execution is that the sites are generated independently.

This section proves the main result of this paper, stated in the following theorem:

THEOREM 3.1. For any set of n sites, if we randomize the sequence of their insertion, the k-Delaunay tree (and thus the order  $\leq k$  Voronoi diagrams) of the n sites can be constructed in expected time  $O(n \log n + k^3 n)$  in two dimensions, using expected storage  $O(k^2 n)$ .

The remainder of Section 3 is devoted to the proof of Theorem 3.1. Section 3.3 analyses the expected space used to store the k-Delaunay tree, Section 3.4 analyses the expected cost of locating the n sites, and Section 3.5 analyses the cost of constructing the successive triangles and their neighborhood relationships.

3.1. Some Definitions. Let X, Y, Z, T be four sites. As in [2] we define the bicycle X(YZ)T to be the figure drawn by B(XYZ) and B(YZT) (see Figure 7 which represents one of the possible configurations of a bicycle.)

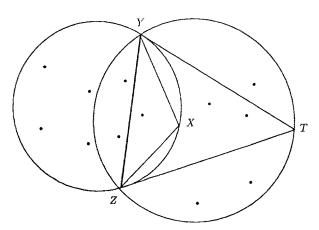


Fig. 7. The bicycle X(YZ)T.

As in [8] we define the width of triangle XYZ to be the number of sites of the whole set  $\mathcal{S}$  contained in the interior of its circumscribing disk. The width of a bicycle X(YZ)T is the number of sites belonging to B(XYZ) and B(YZT), where we do not take X, Y, Z, and T into account.  $T_j$  (resp.  $C_j$ ) denotes the set of triangles (resp. bicycles) having width j and  $T_{\leq j}$  (resp.  $C_{\leq j}$ ) denotes the set of triangles (resp. bicycles) of width at most j. Recall that the current width of a triangle has been defined above as its width at the current stage of the construction; the current width of a bicycle can be defined similarly.

REMARK 3.1. The width of a triangle (resp. of a bicycle) and its current width at some stage of the construction must not be mistaken: the width does not depend on the number of already inserted sites. It depends only on the whole set of sites.

#### 3.2. Results on Triangles and Bicycles

LEMMA 3.1. Let XYZ be a triangle having width j. XYZ will arise as a vertex of some order  $\leq k$ . Voronoi diagram during the construction with probability

$$\begin{cases} \frac{k(k+1)(k+2)}{(j+1)(j+2)(j+3)} & \text{if } j \ge k, \\ 1 & \text{if } j < k. \end{cases}$$

PROOF. Let l be the current width of XYZ when XYZ is created. The property holds if and only if one of the three sites X, Y, and Z is introduced after the l sites inside B(XYZ) (in any order, hence 3(l+2)! possible orders for the l+3 first sites, and  $\binom{j}{l}$  possible sets of l sites among the j ones), and before the j-l remaining sites (which may also be introduced in any order, that is (j-l)! possibilities). There are (j+3)! permutations on the j+3 sites. Thus XYZ of width j appears with current width l with probability

$$\frac{3\binom{j}{l}(l+2)!(j-1)!}{(j+3)!} = \frac{3(l+1)(l+2)}{(j+1)(j+2)(j+3)}.$$

The required probability is the sum of the last ones, for all *l*:

• If  $i \ge k$ , l can only be < k, so we get

$$\sum_{l=0}^{k-1} \frac{3(l+1)(l+2)}{(j+1)(j+2)(j+3)} = \frac{k(k+1)(k+2)}{(j+1)(j+2)(j+3)}.$$

• If j < k,

$$\sum_{l \le j} \frac{3(l+1)(l+2)}{(j+1)(j+2)(j+3)} = \frac{(j+1)(j+2)(j+3)}{(j+1)(j+2)(j+3)}$$
$$= 1,$$

which agrees with Property ( $\mathcal{P}$ ): a triangle with width < k will necessarily appear at some stage of the construction.

LEMMA 3.2. Let X(YZ)T be a bicycle of width j such that YZT is a son or a stepson of XYZ. The probability that such a bicycle appears during the construction is

$$\frac{3!}{(j+1)(j+2)(j+3)(j+4)}.$$

PROOF. A bicycle X(YZ)T is always created with current width 0. Now, to get YZT as a son or a stepson of XYZ, we need the following condition: T must be inserted after X, Y, and Z; thus there are 3! possibilities of inserting X, Y, Z, and T. Then the j sites inside the bicycle can be inserted in any of the j! possible orders. So the probability is

$$\frac{3!j!}{(j+4)!} = \frac{3!}{(j+1)(j+2)(j+3)(j+4)}.$$

This result also agrees with property  $(\mathcal{P})$ : a bicycle with width 0 will always appear, and the last site inserted among X, Y, Z, and T is T with probability  $\frac{1}{4}$ .

The following lemma is a direct consequence of the bound on the size of higher-order Voronoi diagrams.

LEMMA 3.3. The number of triangles having width j is

$$|T_i| \le (2j+1)n.$$

PROOF.  $|T_j|$  is exactly the number of close-type vertices of the order j+1 Voronoi diagram. The result follows from [4].

The next lemma is given in [10]. We propose an alternative proof here.

LEMMA 3.4. The number of bicycles having width at most j is

$$|C_{\leq j}| = O(n(j+1)^3).$$

PROOF. We first notice that a given segment joining two points of  $\mathcal{S}$  is an edge of at most 2j + 2 triangles of width less than j. We then bound the number of

bicycles of width  $\leq j$  by subdividing a bicycle into two adjacent triangles, one of width l and the other of width less than j:

$$|C_{\leq j}| \leq \sum_{l=0}^{j} |T_l| 3(2j+2)$$

$$\leq 6(j+1) \sum_{l=0}^{j} O(n(l+1))$$

$$\leq 6(j+1)O(n(j+1)^2)$$

$$= O(n(j+1)^3).$$

3.3. Analysis of the Expected Space used by the k-Delaunay Tree

LEMMA 3.5. The expected number of nodes in the k-Delaunay tree is  $O(k^2n)$ .

PROOF. This number is the number of all successive vertices arising in all order  $\leq k$  Voronoi diagrams during the construction. It is less than

$$\sum_{j=0}^{n-3} \sum_{S \in T_j} \text{Prob}(S \text{ arises during the construction})$$

$$= \sum_{j=0}^{k-1} \sum_{S \in T_j} 1 + \sum_{j=k}^{n-3} \sum_{S \in Y_j} \frac{k(k+1)(k+2)}{(j+1)(j+2)(j+3)} \quad \text{(using Lemma 3.1)}$$

$$= \sum_{j=0}^{k-1} |T_j| + k(k+1)(k+2) \sum_{j=k}^{n-3} \frac{|T_j|}{(j+1)(j+2)(j+3)}$$

$$= O(k^2 n),$$

using Lemma 3.3 and

$$\sum_{j=k}^{n-3} \frac{1}{(j+2)(j+3)} = O\left(\frac{1}{k}\right).$$

We already know that the total number of links to sons and stepsons is less than the number of nodes (Lemma 2.2), and that each node has at most six neighbors. We conclude:

**PROPOSITION 3.1.** The expected space complexity of the k-Delaunay tree is  $O(k^2n)$ .

3.4. Analysis of the Expected Cost of Procedure location. Here we want to count the number of nodes visited during the construction. During the insertion of m, Procedure location first looks for a Delaunay triangle in conflict with m. To this end, a triangle YZT may be visited if YZT is the son or the stepson of a triangle XYZ such that  $m \in B(XYZ)$ . So a bicycle X(YZ)T of width j means visiting up to

j nodes. Secondly, Procedure propagate is called, and a triangle is visited if it is the neighbor of a triangle XYZ such that  $m \in B(XYZ)$ , and XYZ is not full. So a triangle of width j means visiting up to 6 inf(j, k-1) nodes.

LEMMA 3.6. The expected total number of visited nodes during the construction is  $O(n \log n + k^3 n)$ .

PROOF. The total number of visited nodes during the search for a triangle of the Delaunay triangulation in conflict with a new site is inferior to

$$\sum_{j=0}^{n-4} \sum_{X(YZ)T \in C_j} j \operatorname{Prob} \left( \frac{YZT \text{ arises as a son or a stepson of}}{XYZ \text{ during the construction}} \right)$$

$$\leq \sum_{j=0}^{n-4} \sum_{X(YZ)T \in C_j} j \frac{3!}{(j+1)(j+2)(j+3)(j+4)} \qquad \text{(using Lemma 3.2)}$$

$$\leq \sum_{j=1}^{n-4} \frac{3! j |C_j|}{(j+1)(j+2)(j+3)(j+4)}$$

$$= 3! \sum_{j=1}^{n-4} \frac{j(|C_{\leq j}| - |C_{\leq j-1}|)}{(j+1)(j+2)(j+3)(j+4)}$$

$$= 3! \sum_{j=1}^{n-4} |C_{\leq j}| \left( \frac{j}{(j+1)\cdots(j+4)} - \frac{j+1}{(j+2)\cdots(j+5)} \right)$$

$$= 3! \sum_{j=1}^{n-4} \frac{|C_{\leq j}|(3j-1)}{(j+1)\cdots(j+5)}$$

$$= O(n \log n) \qquad \text{using Lemma 3.4.}$$

When such a triangle has been found, Procedure propagate then explores all triangles in conflict with the site, using the neighborhood relationships. The total number of triangles visited during the propagation is less than

$$\sum_{j=0}^{n-3} \sum_{S \in T_j} 6 \inf(j, k-1) \operatorname{Prob}(S \text{ arises during the construction}).$$

Similar calculations as above prove that this is  $O(k^3n)$ , using Lemma 3.1.

Since it takes constant time to process a node, Lemma 3.6 yields the following proposition:

Proposition 3.2. The expected cost of Procedure location is  $O(n \log n + k^3 n)$ .

3.5. Analysis of the Expected Cost of Procedure creation. This cost is the cost of creating all the vertices of the order  $\leq k$  Voronoi diagrams, plus the cost of maintaining the adjacency relationships, after each insertion of a new site m. If

x(m) is the number of new triangles created after the insertion of m, the first cost is O(x(m)), since creating a triangle costs a constant time. As we have seen in Section 2.2.5, the second cost is  $O(x(m) \log k)$ . The overall cost is thus, using Lemma 3.5,

$$O\left(\sum_{m} x(m)\right) + O\left(\sum_{m} x(m) \log k\right) \le O(k^2 n \log k).$$

As mentioned in Remark 2.5, this could be improved to  $O(k^2n)$  complexity.

Proposition 3.3. The expected cost of Procedure creation is  $O(k^2n \log k)$ .

Altogether, Propositions 3.1, 3.2, and 3.3 prove Theorem 3.1.

**4.** I Nearest Neighbors. The k-Delaunay tree contains the combinatorial structure of any order l Voronoi diagram ( $l \le k$ ). We show in the following section how such a diagram can be extracted from the k-Delaunay tree.

As shown by the analysis of Procedure location, the k-Delaunay tree is an efficient data structure to perform point location. Section 4.2 shows that it can be readily used to search the l nearest neighbors of a given point.

4.1. Deducing the Order l Voronoi Diagram from the k-Delaunay Tree  $(l \le k)$ 

THEOREM 4.1.  $Vor_l(\mathcal{S})$   $(l \le k)$  can be deduced from the k-Delaunay tree in time proportional to the size of  $Vor_l(\mathcal{S})$ , which is  $O(\ln)$ .

PROOF. Remember that the k-Delaunay tree maintains all the adjacency relations in  $Vor_l(\mathcal{S})$ . So we can find  $Vor_l(\mathcal{S})$  in time proportional to its size, as soon as we know one of its vertices. We thus only have to find one vertex of each Voronoi diagram.

Assume we know an infinite triangle  $pq\infty$  of current width 0. Its finite edge [pq] is necessarily an edge of the current convex hull. Let  $s_0$  be the site such that  $pqs_0$  is a neighbors of  $pq\infty$  and that  $B(pqs_0)$  is empty. Let  $s_1, \ldots, s_{k-1}$  be the sites such that triangle  $pqs_i$  is the including neighbor of triangle  $pqs_{i-1}$ ,  $i=1,\ldots,k-1$ . Such sites always exist (provided that k < n-1) since [pq] is an edge of the convex hull of the already inserted sites. Each triangle  $pqs_i$  is associated to a node in the k-Delaunay tree and its current width is i. Triangle  $pqs_i$  is dual to a vertex  $v_i$  of  $Vor_{i+1}(\mathcal{S})$ .

If we maintain a pointer to an infinite triangle of current width 0 (which can be done in constant time at each stage of the construction), we can find the  $s_i$ ,  $i \le l$ , and thus a vertex of each  $\le l$  Voronoi diagrams, in time O(l).

REMARK 4.1. Now, suppose we want to label the regions of  $Vor_i(\mathcal{S})$ ,  $i \leq k$ , by the *i* nearest sites of an (arbitrary) point of the interior of that region. We remark that the label of one region of  $Vor_{i+1}(\mathcal{S})$  incident to  $v_i$  is  $\{s_0, s_1, \ldots, s_i\}$ . As soon

as we know the label of one particular region, we can deduce the labels of all the other regions by traversing the diagram. Each time we cross an edge e, we come out of one region, say c, and enter another region, say c'. The labels of c and c' differ by only one site. The site of the label of c which is not in the label of c', and the site of the label of c' which is not in the label of c, are precisely the two sites whose bisector supports e. We have to substitute this first site by the second one in the label of c to get the label of c'.

4.2. Finding the l Nearest Neighbors. Let us now consider the problem of finding the l nearest neighbors ( $l \le k$ ) of a given point. This problem is equivalent to that of finding the label of the region  $V(\{p_1, p_2, ..., p_l\})$  of  $Vor_l(\mathcal{S})$  which contains point m. It remains to show how to find the label of a region. This point must be clarified since our structure represents vertices of the Voronoi regions and we know, from Lemma 2.1, that the label of a Voronoi polygon is not included in the union of the labels of its vertices and, thus, cannot be deduced from them (the label of a vertex consists of the three sites which are the vertices of its dual triangle; it is also the symmetric difference of the labels of its incident regions).

However, we can take advantage of the fact that we know all the order  $\leq l$  Voronoi diagrams to compute the label of a region. The following lemma helps in that task.

LEMMA 4.1. Let  $m \in V(\{p_1, p_2, ..., p_l\})$  in  $Vor_l(\mathcal{S})$ , where  $p_1, p_2, ..., p_l$  are some sites of  $\mathcal{S}$ . Without loss of generality, we assume that  $\delta(p_i, m) \leq \delta(p_j, m)$  if and only if  $i \leq j$ . Then, for each  $i \in \{1, ..., l\}$ , there exists a vertex  $v_i$  of  $Vor_i(\mathcal{S})$ , such that  $v_i$  is dual to a triangle  $S_i$  having  $p_i$  as a vertex, and such that  $m \in B(S_i)$ .

PROOF. Since  $p_l$  is an lth nearest site from m, we have  $\delta(p_i, m) \le \delta(p_l, m)$ ,  $\forall i = 1, ..., l$ . Let q be a point on the line  $(mp_l)$ :

$$q = tm + (1 - t)p_{l}, \qquad t \in \mathbb{R},$$
 
$$\delta(p_{l}, q) = |t|\delta(p_{l}, m),$$
 
$$\delta(m, q) = |1 - t|\delta(p_{l}, m).$$

Let us suppose that  $t \ge 1$ , i.e., m lies between q and  $p_l$ . The following inequalities hold, for i = 1, ..., l:

$$\begin{split} \delta(p_i, q) &\leq \delta(p_i, m) + \delta(m, q) \\ &\leq \delta(p_l, m) + (t - 1)\delta(p_l, m) \\ &= t\delta(p_l, m) \\ &= \delta(p_l, q). \end{split}$$

So, if we move q on the half-line  $D_{mp_l}^+ = \{tm + (1-t)p_l, t \ge 1\}$  supported by  $(mp_l)$ , the furthest site from q among  $\{p_1, p_2, \dots, p_l\}$  remains  $p_l$ , the same as from m. We

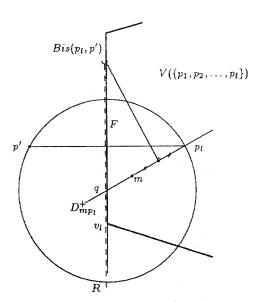


Fig. 8. For the proof of Lemma 4.1.

can deduce that the intersection of  $D_{mp_l}^+$  with the boundary of  $V(\{p_1, p_2, ..., p_l\})$  is a point q belonging to the bisecting line  $Bis(p_l, p')$  of  $[p_l, p']$ , where p' is a site of  $\mathscr S$  not in  $\{p_1, p_2, ..., p_l\}$ . Let us denote by F the edge of  $V(\{p_1, p_2, ..., p_l\})$  supported by  $Bis(p_l, p')$  (see Figure 8).

Let us define  $R = \{r \in Bis(p_l, p')/m \in C(r, p_l)\}$  where  $C(r, p_l)$  denotes the disk of center r and radius  $\delta(r, p_l)$ . R is a half-line of  $Bis(p_l, p')$  containing q. So  $F \cap R \neq \emptyset$ , which implies that one of the two endpoints of F, say  $v_l$ , belongs to R.  $v_l$  is a vertex of  $Vor_l(\mathcal{S})$  dual to a triangle  $S_l$  having  $p_l$  as one of its vertices, and whose disk contains m.

The lemma is thus proved for  $p_l$ . For the other  $p_i$ , i = 1, 2, ..., l-1, the same proof still holds, considering  $Vor_l(\mathcal{S})$  instead of  $Vor_l(\mathcal{S})$ .

If we want to find the label of the region of  $Vor_l(\mathcal{S})$ ,  $l \leq k$ , which contains m, we can locate it by applying Procedure location to the l-Delaunay tree. We first find all the triangles whose balls contain m. We then have to find, among the vertices of those triangles, the l nearest sites from m, which can be done in a straightforward way.

## 5. The k-Delaunay Tree in Higher Dimensions

5.1. The Order k Voronoi Diagram. In this section we generalize the previous results to higher dimensions. Let  $\mathcal{S}$  be a set of n sites in d-space such that no subset of d+2 sites lie on a same sphere and no subset of d+1 sites are coplanar.

The order k Voronoi diagram in d dimensions is made of cells of dimensions  $0, \ldots, d$ . The vertices of the diagram are dual to d-simplices whose vertices are

sites of  $\mathscr{S}$ . Let  $p_1, p_2, \ldots, p_{d+1}$  be d+1 sites of  $\mathscr{S}$  and let  $B(\{p_1, \ldots, p_{d+1}\})$  be the ball passing through these points, v its center and  $\mathscr{R}$  the subset of sites of  $\mathscr{S}$  contained in  $B(\{p_1, \ldots, p_{d+1}\})$ . If  $|\mathscr{R}| = l$  (i.e.,  $p_1, p_2, \ldots, p_{d+1}$  is a simplex of width l), v is a vertex of all higher-order Voronoi diagrams  $Vor_k(\mathscr{S})$  for  $l+1 \le k \le l+d$ .

- v is the common point of  $V(\mathcal{R} \cup \{p_i\})$  for  $i \in \{1, ..., d+1\}$  in  $Vor_{l+1}(\mathcal{S})$ : as in two dimensions, we call v a close-type vertex.
- In  $Vor_{l+h}(\mathcal{S})$ , v is the common point of the regions  $V(\mathcal{R} \cup P)$  where P may be any subset of size h of  $\{p_1, \ldots, p_{d+1}\}$ ; v is incident to  $\binom{d+1}{h}$  regions. If 1 < h < d we call v a medium-type vertex.
- v is the common point of  $V(\mathcal{R} \cup \{p_1, \ldots, p_{d+1}\} \setminus \{p_i\})$  for  $i \in \{1, \ldots, d+1\}$  in  $Vor_{l+d}(\mathcal{S})$ : as in two dimensions, we call v a far-type vertex.

Summarizing, a close-type vertex of a higher-order Voronoi diagram remains in d Voronoi diagrams of successive orders. More generally, an h-face of a higher-order Voronoi diagram remains in d-h Voronoi diagrams of successive orders.

The notion of including and excluding neighbors can be generalized. A simplex has d+1 excluding neighbors and d+1 including ones, one through each of its hyperfaces. To any pair of adjacent simplices there corresponds an edge in some higher-order Voronoi diagram. If v is a close-type vertex (resp. far-type vertex) of  $Vor_k(\mathcal{S})$ , the edges of  $Vor_k(\mathcal{S})$  issued from v correspond to the neighborhood relationships between the simplex dual to v and its excluding (resp. including) neighbors. If v is a medium-type vertex, the edges of  $Vor_k(\mathcal{S})$  issued from v correspond to both types of neighborhood relationships.

- 5.2. The d-Dimensional k-Delaunay Tree. We can generalize the structure developed in Section 2.2. The d-dimensional Delaunay tree is a direct acyclic graph satisfying the following property:
- (P) All the simplices of current width strictly less than k are present in the d-dimensional k-Delaunay tree.

Extension of the construction of the k-Delaunay tree to higher dimensions is straightforward.

The technique of Section 4.1 that deduces the order l Voronoi diagram from the k-Delaunay tree can be extended to higher dimensions in a straightforward manner. As in Section 4.1, we can traverse the graph consisting of the vertices and the edges of the order l Voronoi diagram and compute the labels of each region. From this graph, and the labels, it is plain to compute the faces of all dimensions of the diagram.

The algorithm presented in Section 4.2 can also be extended, without difficulty, to compute the l nearest neighbors of a given site  $(l \le k)$ .

5.3. Analysis of the Randomized Construction. This section presents the following theorem, which generalizes the Theorem 3.1 to d dimensions:

Theorem 5.1. For any set of n sites, if we randomize the sequence of their insertion, the k-Delaunay tree (and thus the order  $\leq k$  Voronoi diagrams) of the n sites can be constructed in expected time  $O(k^{\lceil (d+1)/2 \rceil + 1} n^{\lfloor (d+1)/2 \rfloor})$  using expected storage  $O(k^{\lceil (d+1)/2 \rceil} n^{\lfloor (d+1)/2 \rfloor})$ .

We only give the main steps achieving the proof of this theorem.

As in Section 3.4, we can define, for d+2 sites  $X_1, X_2, \ldots, X_{d+2}$ , the bicycle  $X_1(X_2 \cdots X_{d+1})X_{d+2}$ . We also define the width of a simplex and the width of a bicycle.

The following lemmas generalize Lemmas 3.1 and 3.2.

LEMMA 5.1. Let  $X_1X_2\cdots X_{d+1}$  be a simplex having width j.  $X_1X_2\cdots X_{d+1}$  will arise as a vertex of some order  $\leq k$  Voronoi diagram during the construction with probability

$$\begin{cases} \frac{k(k+1)\cdots(k+d)}{(j+1)(j+2)\cdots(j+d+1)} & \text{if } j \ge k, \\ 1 & \text{if } j < k. \end{cases}$$

LEMMA 5.2. Let  $X_1(X_2 \cdots X_{d+1})X_{d+2}$  be a bicycle of width j such that  $X_2X_3 \cdots X_{d+2}$  is a son or a stepson of  $X_1X_2 \cdots X_{d+1}$ . The probability that such a bicycle appears during the construction is

$$\frac{(d+1)!}{(j+1)\cdots(j+d+2)}.$$

As in  $\lceil 10 \rceil$ , we have

LEMMA 5.3. The number of simplices having width at most j is

$$|T_{\leq j}| = O(n^{\lfloor (d+1)/2 \rfloor} (j+1)^{\lceil (d+1)/2 \rceil}).$$

LEMMA 5.4. The number of bicycles having width at most j is

$$|C_{\leq j}| = O(n^{\lfloor (d+1)/2 \rfloor} (j+1)^{\lceil (d+1)/2 \rceil + 1}).$$

Let us now compute the complexity of the k-Delaunay tree.

Proposition 5.1. The expected space complexity of the k-Delaunay tree is

$$O(k^{\lceil (d+1)/2 \rceil} n^{\lfloor (d+1)/2 \rfloor}).$$

**PROOF.** The proof is similar to the one of Proposition 3.1, using Lemmas 5.1 and 5.3, and the fact that, as in two dimensions, the total number of links to sons and stepsons is less than the number of nodes (Lemma 2.2), and each node has at most 2(d + 1) neighbors.

Proposition 5.2. The expected cost of Procedure location is

$$O(k^{\lceil (d+1)/2 \rceil + 1} n^{\lfloor (d+1)/2 \rfloor}).$$

PROOF. Lemmas 5.2 and 5.4 allow us to prove the result, using arguments similar to those used in the proof of Lemma 3.6.

The cost of Procedure creation is the cost of creating all the vertices of the order  $\leq k$  Voronoi diagrams plus the cost of maintaining the neighborhood relationships, after each insertion of a new site m. Computation of the neighborhood relationships between the new simplices created by the insertion of a new point m is the same as in the two-dimensional case, an edge is simply replaced by a (d-1)-face.

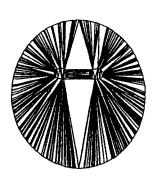
So we obtain:

Proposition 5.3. The expected cost of Procedure creation is

$$O(k^{\lceil (d+1)/2 \rceil} n^{\lfloor (d+1)/2 \rfloor} \log k).$$

Altogether, Propositions 5.1, 5.2, and 5.3 prove Theorem 5.1.

- 6. Experimental Results. It is to be noted that the algorithm is simple, even if its description and its analysis may look rather intricate! The core of the algorithm is given in Figures 5 and 6. Moreover, the numerical computations involved are also quite simple: they consist mostly of comparisons of (squared) distances in order to check if a point lies inside or outside a ball. The algorithm has been implemented in the two-dimensional case. The program consists of less than 1000 lines of C. It has run on many examples with different kinds of point distributions. Some results and statistics are presented in Figures 9-15 and Tables 1-3.
- 6.1. Influence of Randomization. In Figure 9 the points lie on three ellipses, two for the eyes and one for the head. We tried several permutations of the points for



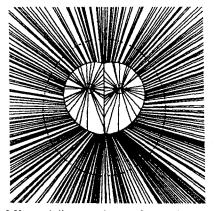


Fig. 9. Delaunay triangulation and order 3 Voronoi diagram of a set of 415 points.

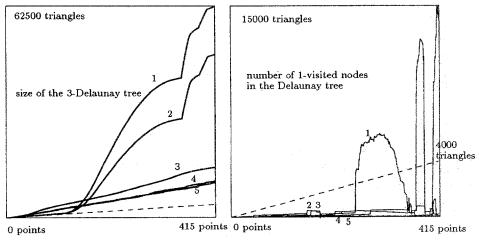


Fig. 10. Results for the set of points of Figure 9, k = 3, and different permutations for the insertion.

the computation of the 3-Delaunay tree:

- 1. Points of the head in the order along the ellipse, and then points in the order along each eye.
- 2. The same as the preceding, except that one point of an eye is inserted first.
- 3. Points on the eyes in order, and then points on the head in order.
- 4. A random permutation.
- 5. Another random permutation.

In Figure 10 the function given by the dashed line shows the total number of vertices of the order  $\leq 3$  Voronoi diagrams versus the number of inserted sites. The functions given by the bold line show the numbers of nodes in the 3-Delaunay tree for the different permutations. The functions given by the thin line show the numbers of nodes visited by the first part of Procedure location (we call those nodes 1-visited nodes for short, since they correspond to a traversal of the (1-) Delaunay tree) to find a Delaunay triangle in conflict with the new point.

Table 1 gives some statistics about the computation using the different permutations: the size of the 3-Delaunay tree and the sum of the sizes of the order  $\leq 3$ 

	Permutation						
	1	2	3	4	5		
Size of the 3-Delaunay tree	62,522	48,334	14,958	10,903	10,395		
Size of the ≤ 3 Voronoi diagrams	3,917	3,917	3,917	3,917	3,917		
Maximum number of 1-visited nodes	14.835	1,245	1,329	181	73		
Average number of 1-visited nodes	2,123	245	239	33.5	27		
Maximum number of created triangles	1,044	853	71	210	179		
Average number of created triangles	151	117	36	26.4	25.		

Table 1. Statistics for the set of points of Figure 9.

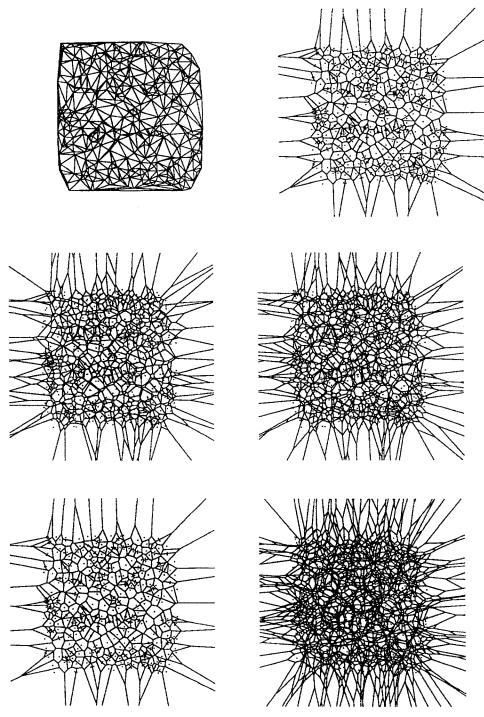


Fig. 11. Delaunay triangulation and order 1, 2, 3, 4, and 6 Voronoi diagrams of a set of 400 random points in a square.

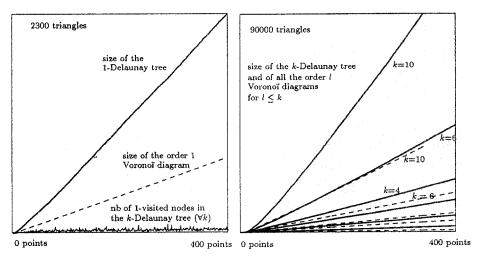


Fig. 12. Results for the set of points of Figure 11 for different values of k.

Voronoi diagrams at the end of the execution, and, for the insertion of one point, the maximal and average numbers of 1-visited nodes and created nodes.

This example shows that if degenerate orders are really inefficient, the behavior for random order, or even for the third permutation, is satisfying.

6.2. Influence of k. To study the effect of increasing k on the algorithm, we use the set of points depicted in Figure 11.

Figure 12 presents, on the left, the size of the (1-)Delaunay tree by the bold line, the size of the (order 1) Voronoi diagram by the dashed line, and, by the thin line, the number of nodes that are 1-visited by Procedure location, which does not depend on k. The right-hand side of Figure 12 shows the size of the k-Delaunay tree and the sum of the sizes of the order  $\leq k$  Voronoi diagrams for k=1, 2, 3, 4, 6, and 10.

Table 2 presents statistics similar to those in Table 1.

	k						
	1	2	3	4	6		
Size of the k-Delaunay tree	2,307	6,748	13,246	21,694	43,740		
Size of the $\leq k$ Voronoi diagrams	799	2,378	4,720	7,815	16,198		
Maximum number of 1-visited nodes	79	79	79	79	79		
Average number of 1-visited nodes	31	31	31	. 31	31		
Maximum number of created triangles	10	29	50	80	150		
Average number of created triangles	5.8	16.9	33.2	54.5	110		

Table 2. Statistics for the set of points of Figure 11.

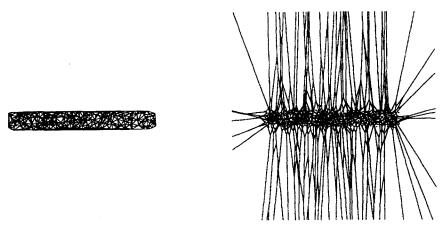


Fig. 13. Delaunay triangulation and order 3 Voronoi diagram of a set of 400 random points in a thin rectangle.

6.3. Influence of the Point Distribution. These last statistics concern the influence of the point distribution. To this aim we compute the 3-Delaunay tree for the four sets of 400 points described in Figures 9, 11, 13, and 14. Figure 15 shows, on the left, the size of the 3-Delaunay tree, the sum of the sizes of the order  $\leq$  3 Voronoi diagrams, and the number of 1-visited nodes for the four sets. This figure demonstrates that, with randomization of the input data, the average behavior of the algorithm does not depend on the distribution of the points. The better result for points of Figure 9 is only due to the smallest size of the output (which is related to the points on the k-hulls). It can also be seen that the location time is very small in comparison with the sum of the sizes of the  $\leq$  3 Voronoi diagrams. The right-hand side of Figure 15 shows only the location time for the last 40 points. Table 3 presents statistics as in Tables 1 and 2.

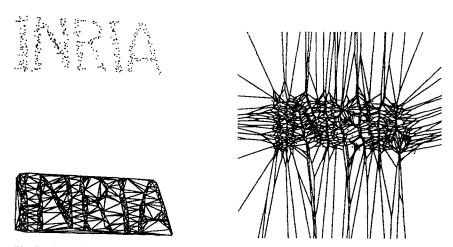


Fig. 14. A set of 400 points, the Delaunay triangulation, and the order 3 Voronoi diagram.

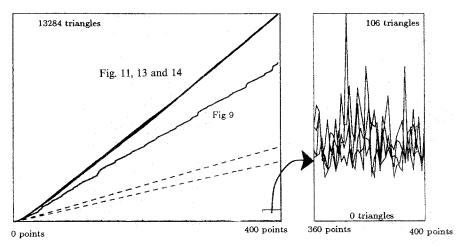


Fig. 15. Results for the set of points of Figures 9, 11, 13, and 14 for k = 3.

The experimental results show that the algorithm performs well even on degenerate distributions of points.

7. Conclusion. We have shown that the k-Delaunay tree of n points can be constructed in  $O(n \log n + k^3 n)$  (resp.  $O(k^{\lceil (d+1)/2 \rceil + 1} n^{\lfloor (d+1)/2 \rfloor}))$  randomized expected time in the plane (resp. in d space). Its randomized expected size is  $O(k^2 n)$  (resp.  $O(k^{\lceil (d+1)/2 \rceil} n^{\lfloor (d+1)/2 \rfloor})$ ). The k-Delaunay tree allows us to compute the order  $\neq \leq k$  Voronoi diagrams of n points within the same bounds. Any order  $l \leq k$  Voronoi diagram can be deduced from the k-Delaunay tree in time proportional to its size, which is O(ln) in two dimensions. Moreover, the k-Delaunay tree can be used to find the l nearest neighbors of a given point.

An important point is that these results hold whatever the point distribution may be.

Our bounds are not as good as those of Mulmuley: the increase by one in the exponent of k is a consequence of the fact that we maintain, at each stage of the

	Set of points						
	Figure 9	Figure 11	Figure 13	Figure 14			
Size of the 3-Delaunay tree	10,141	13,246	13,284	13,182			
Size of the $\leq 3$ Voronoi diagrams	3,776	4,720	4,718	4,718			
Maximum number of 1-visited nodes	109	79	90	78			
Average number of 1-visited nodes	30	31	33	34			
Maximum number of created triangles	236	50	67	57			
Average number of created triangles	25.6	33.2	33.1	33.1			

Table 3. Statistics for the set of points of Figures 9, 11, 13, and 14.

incremental insertion, complete information relative to all order  $\leq k$  Voronoi diagrams, whereas Mulmuley only maintains the vertices of the diagrams, without maintaining their order (which can be deduced at the end of the construction).

The algorithm is simple and, moreover, the numerical computations involved are also quite simple: they consist mostly of comparisons of (squared) distances in order to check if a point lies inside or outside a ball.

Experimental results, for uniform as well as degenerate distributions of points, have provided strong evidence that this algorithm is very effective in practice, for small values of k.

For large values of k, a similar structure, based on the order k furthest neighbors Voronoi diagrams, could be derived. It would provide results similar to the ones above to construct all order  $\geq n-k$  Voronoi diagrams and to find l furthest neighbors for  $l \leq k$ .

The Delaunay tree can also be generalized to many other problems, such as the construction of Voronoi diagrams of segments and the computation of arrangements. Results are reported in a forthcoming paper [17].

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