

# CLINICAL RESEARCH PROTOCOL

## Project Title:

Informational Perturbation Reverses Precision Collapse in a Metastable Proprioceptive Inference Regime

**Protocol ID:** SAIM-PH3-20251214-FROZEN  
**Date of Protocol:** December 14, 2025  
**Principal Investigator:** Takafumi Shiga (Director, TIC-DO Institute)  
**Study Site:** TIC-DO Institute, Tokyo, Japan  
**Registry ID:** UMIN000060274

## Open Science Statement:

This protocol is made publicly available to ensure transparency and reproducibility of the research reported in the associated manuscript.

## 1. INTRODUCTION AND RATIONALE

---

Biological systems maintain adaptive stability by minimizing prediction error. However, under conditions of chronic uncertainty, systems may suppress sensory precision, leading to a maladaptive state termed “Proprioceptive Prediction Error Neglect” (PPEN). This study hypothesizes that this state acts as a metastable “frozen” attractor and can be resolved via Specific Informational Perturbation (SIP).

## 2. STUDY OBJECTIVES

---

- **Primary Objective:** To verify whether SIP triggers a phase transition from a frozen attractor to an adaptive state, quantified by the Free Energy Proxy ( $F$ ).
- **Secondary Objectives:** To assess recovery in hemodynamic coupling (HEMO) and systemic integration (SII), and to validate the informational specificity of the intervention.

## 3. STUDY DESIGN

---

This research comprises three sub-studies conducted under a unified protocol:

1. **Study 1 (Randomized Controlled Trial):** A parallel-group, sham-controlled mechanistic trial ( $N = 52$ ) to evaluate the causal effect of SIP.
2. **Study 2 (Specificity):** A randomized crossover design ( $N = 24$ ) where each participant receives three conditions (SIP, Predictable, Mismatch) in a randomized order to assess informational specificity.
3. **Study 3 (Ecological Validation):** A randomized crossover design ( $N = 30$ ) comparing Laboratory vs. Home settings. Participants are randomly assigned to the order of environments (Lab-first vs. Home-first) to confirm robustness across contexts.

## 4. PARTICIPANT SELECTION

---

### Inclusion Criteria

- Healthy adults aged 18-65.
- Meeting the SAIM diagnostic criteria for “Frozen” state (Baseline  $F > 0.8$  or Dynamic Fragility).
- Capacity to provide informed consent.

### Exclusion Criteria

- History of cervical spine surgery or severe trauma.
- Current use of neuroactive (GABAergic) medications.
- Severe neurological deficits (e.g., Stroke, Parkinson’s disease).
- Cognitive impairment precluding informed consent.

## 5. INTERVENTIONS

---

### Experimental Group (SIP)

Application of a discrete, state-dependent tactile input to the craniocervical region. The stimulus is strictly information-based:

- **Force:** Sub-mechanical threshold (negligible work).
- **Vector:** Maximizing prediction error relative to the frozen prior.
- **Timing:** Aperiodic impulse (< 100 ms).

### Control Group (Sham)

Application of a mimic touch with identical duration and location but lacking the specific vector directionality required to update the generative model (Zero-information placebo).

## 6. STUDY PROCEDURES (SEQUENCE)

---

Participants will undergo the following standardized sequence:

1. **Pre-Assessment:** Continuous monitoring during Rest (80s) → Stress Task (20s) → Recovery (80s).
2. **Intervention Phase:** Administration of SIP or Sham (or assigned crossover condition).
3. **Incubation Phase:** 15-minute supine rest to allow for metabolic stabilization and neural integration.
4. **Post-Assessment:** Repetition of the Pre-Assessment sequence.

## 7. OUTCOMES AND ANALYSIS

---

### Primary Endpoint

Change in Free Energy Proxy ( $\Delta F$ ) between Pre- and Post-intervention baselines.

### Data Acquisition

Data will be collected using the Muse S (Gen 2) Athena device (256 Hz EEG, PPG, Accelerometry). Raw data will be processed using the SAIM-v1.0 pipeline.

### Statistical Analysis

Group differences in  $\Delta F$  will be analyzed using independent t-tests (Study 1) and **Repeated Measures ANOVA (Study 2 and Study 3)**. Significance level is set at  $\alpha = 0.05$ .

## 8. ETHICS AND DATA SAFETY

---

This study is conducted in accordance with the Declaration of Helsinki. The protocol was approved by the Institutional Review Board (Protocol ID: SAIM-PH3-20251214-FROZEN). All participants provide written informed consent. Data will be anonymized and stored in a secure, encrypted repository.