

**Portable Document Format (PDF)**, standardized as **ISO 32000**, is a file format developed by Adobe in 1992 to present documents, including text formatting and images, in a manner independent of application software, hardware, and operating systems. [2][3] Based on the PostScript language, each PDF file encapsulates a complete description of a fixed-layout flat document, including the text, fonts, vector graphics, raster images and other information needed to display it. PDF has its roots in "The Camelot Project" initiated by Adobe cofounder John Warnock in 1991.[4] PDF was standardized as ISO 32000 in 2008. [5] The last edition as ISO 32000-2:2020 was published in December 2020.

PDF files may contain a variety of content besides flat text and graphics including logical structuring elements, interactive elements such as annotations and form-fields, layers, rich media (including video content), three-dimensional objects using U3D or PRC, and various other data formats. The PDF specification also provides for encryption and digital signatures, file attachments, and metadata to enable workflows requiring these features.

## History

The development of PDF began in 1991 when John Warnock wrote a paper for a project then code-named Camelot, in which he proposed the creation of a simplified version of PostScript called Interchange PostScript (IPS). Unlike traditional PostScript, which was tightly focused on rendering print jobs to

#### **Portable Document Format**



Adobe PDF icon

**Filename** .pdf extension

Internet application/pdf,[1] media type

application/x-pdf application/x-bzpdf

application/x-gzpdf

Type code PDF [1] (including a single

trailing space)

**Uniform Type** com.adobe.pdf Identifier (UTI)

Magic number %PDF

Developed by Adobe Inc. (1991–2008)

ISO (2008-)

**Initial release** June 15, 1993

Latest release 2.0

Extended to PDF/A, PDF/E, PDF/UA,

PDF/VT, PDF/X

Standard ISO 32000-2

Open format?

Yes

Website iso.org/standard/75839

.html (https://iso.org/standa

rd/75839.html)

output devices, IPS would be optimized for displaying pages to any screen and any platform. [6]

Adobe Systems made the PDF specification available free of charge in 1993. In the early years PDF was popular mainly in desktop publishing workflows, and competed with several other formats, including DjVu, Envoy, Common Ground Digital Paper, Farallon Replica and even Adobe's own PostScript format.

PDF was a proprietary format controlled by Adobe until it was released as an <u>open standard</u> on July 1, 2008, and published by the <u>International Organization for Standardization</u> as ISO 32000-1:2008, [7][8] at which time control of the specification passed to an ISO Committee of volunteer industry experts. In 2008, Adobe published a Public Patent License to ISO 32000-1 granting <u>royalty-free</u> rights for all patents owned by Adobe necessary to make, use, sell, and distribute PDF-compliant implementations. [9]

PDF 1.7, the sixth edition of the PDF specification that became ISO 32000-1, includes some proprietary technologies defined only by Adobe, such as <u>Adobe XML Forms Architecture</u> (XFA) and <u>JavaScript</u> extension for Acrobat, which are referenced by ISO 32000-1 as <u>normative</u> and indispensable for the full implementation of the ISO 32000-1 specification. These proprietary technologies are not standardized, and their specification is published only on Adobe's website. Many of them are not supported by popular third-party implementations of PDF.

ISO published version 2.0 of PDF, ISO 32000-2 in 2017, available for purchase, replacing the free specification provided by Adobe. In December 2020, the second edition of PDF 2.0, ISO 32000-2:2020, was published, with clarifications, corrections, and critical updates to normative references (ISO 32000-2 does not include any proprietary technologies as normative references). In April 2023 the PDF Association made ISO 32000-2 available for download free of charge.

# **Technical details**

A PDF file is often a combination of <u>vector graphics</u>, text, and <u>bitmap graphics</u>. The basic types of content in a PDF are:

- Typeset text stored as content streams (i.e., not encoded in plain text);
- Vector graphics for illustrations and designs that consist of shapes and lines;
- Raster graphics for photographs and other types of images; and
- Other multimedia objects.

In later PDF revisions, a PDF document can also support links (inside document or web page), forms, JavaScript (initially available as a plugin for Acrobat 3.0), or any other types of embedded contents that can be handled using plug-ins.

PDF combines three technologies:

- An equivalent subset of the PostScript page description programming language but in declarative form, for generating the layout and graphics.
- A font-embedding/replacement system to allow fonts to travel with the documents.
- A structured storage system to bundle these elements and any associated content into a single file, with <u>data compression</u> where appropriate.

### PostScript language

<u>PostScript</u> is a <u>page description language</u> run in an <u>interpreter</u> to generate an image. [6] It can handle graphics and has standard features of <u>programming languages</u> such as <u>branching</u> and <u>looping</u>. [6] PDF is a subset of PostScript, simplified to remove such <u>control flow</u> features, while graphics commands remain. [6]

PostScript was originally designed for a drastically different <u>use case</u>: transmission of one-way linear print jobs in which the PostScript interpreter would collect a series of commands until it encountered the **showpage** command, then execute all the commands to render a page as a raster image to a printing device. PostScript was not intended for long-term storage and real-time interactive rendering of electronic documents to computer monitors, so there was no need to support anything other than consecutive rendering of pages. If there was an error in the final printed output, the user would correct it at the application level and send a new print job in the form of an entirely new PostScript file. Thus, any given page in a PostScript file could be accurately rendered only as the cumulative result of executing all preceding commands to draw all previous pages—any of which could affect subsequent pages—plus the commands to draw that particular page, and there was no easy way to bypass that process to skip around to different pages.

Traditionally, to go from PostScript to PDF, a source PostScript file (that is, an executable program) is used as the basis for generating PostScript-like PDF code (see, e.g., <u>Adobe Distiller</u>). This is done by applying standard <u>compiler</u> techniques like <u>loop unrolling</u>, <u>inlining</u> and removing unused branches, resulting in code that is purely declarative and static. The end result is then packaged into a <u>container format</u>, together with all necessary <u>dependencies</u> for correct rendering (external files, graphics, or fonts to which the document refers), and <u>compressed</u>. Modern applications write to printer drivers that directly generate PDF rather than going through PostScript first.

As a document format, PDF has several advantages over PostScript:

- PDF contains only static <u>declarative</u> PostScript code that can be processed as data, and does not require a full program <u>interpreter</u> or <u>compiler</u>. This avoids the complexity and security risks of an engine with such a higher complexity level.
- Like <u>Display PostScript</u>, PDF has supported <u>transparent graphics</u> since version 1.4, while standard PostScript does not.
- PDF enforces the rule that the code for any particular page cannot affect any other pages. [17] That rule is strongly recommended for PostScript code too, but has to be implemented explicitly (see, e.g., the <u>Document Structuring Conventions</u>), as PostScript is a full programming language that allows for such greater flexibilities and is not limited to the concepts of pages and documents.
- All data required for rendering is included within the file itself, improving portability.

Its disadvantages are:

- A loss of flexibility, and limitation to a single use case.
- A (sometimes much) larger file size. [19]

PDF since v1.6 supports embedding of interactive 3D documents: 3D drawings can be embedded using U3D or PRC and various other data formats. [20][21][22]

### File format

A PDF file is organized using <u>ASCII</u> characters, except for certain elements that may have binary content. The file starts with a header containing a <u>magic number</u> (as a readable string) and the version of the format, for example %PDF-1.7. The format is a subset of a COS ("Carousel" Object Structure) format. [23] A COS tree file consists primarily of *objects*, of which there are nine types: [16]

- Boolean values, representing *true* or *false*
- Real numbers
- Integers
- <u>Strings</u>, enclosed within parentheses ((...)) or represented as hexadecimal within single angle brackets (<...>). Strings may contain 8-bit characters.
- Names, starting with a forward slash (/)
- Arrays, ordered collections of objects enclosed within square brackets ([...])
- <u>Dictionaries</u>, collections of objects indexed by names enclosed within double angle brackets
   (<<...>>)
- <u>Streams</u>, usually containing large amounts of optionally compressed binary data, preceded by a dictionary and enclosed between the stream and endstream keywords.
- The null object

Comments using 8-bit characters prefixed with the percent sign (%) may be inserted.

Objects may be either *direct* (embedded in another object) or *indirect*. Indirect objects are numbered with an *object number* and a *generation number* and defined between the obj and endobj keywords if residing in the document root. Beginning with PDF version 1.5, indirect objects (except other streams) may also be located in special streams known as *object streams* (marked /Type /ObjStm). This technique enables non-stream objects to have standard stream filters applied to them, reduces the size of files that have large numbers of small indirect objects and is especially useful for *Tagged PDF*. Object streams do not support specifying an object's *generation number* (other than 0).

An index table, also called the cross-reference table, is located near the end of the file and gives the byte offset of each indirect object from the start of the file. This design allows for efficient random access to the objects in the file, and also allows for small changes to be made without rewriting the entire file (*incremental update*). Before PDF version 1.5, the table would always be in a special ASCII format, be marked with the xref keyword, and follow the main body composed of indirect objects. Version 1.5 introduced optional *cross-reference streams*, which have the form of a standard stream object, possibly with filters applied. Such a stream may be used instead of the ASCII cross-reference table and contains the offsets and other information in binary format. The format is flexible in that it allows for integer width specification (using the /W array), so that for example, a document not exceeding 64 KiB in size may dedicate only 2 bytes for object offsets.

At the end of a PDF file is a footer containing

- The startxref keyword followed by an offset to the start of the cross-reference table (starting with the xref keyword) or the cross-reference stream object, followed by
- The %%E0F end-of-file marker.

If a cross-reference stream is not being used, the footer is preceded by the trailer keyword followed by a dictionary containing information that would otherwise be contained in the cross-reference stream object's dictionary:

- A reference to the root object of the tree structure, also known as the catalog (/Root)
- The count of indirect objects in the cross-reference table (/Size)
- Other optional information

Within each page, there are one or multiple content streams that describe the text, vector and images being drawn on the page. The content stream is stack-based, similar to PostScript. [25]

There are two layouts to the PDF files: non-linearized (not "optimized") and linearized ("optimized"). Non-linearized PDF files can be smaller than their linear counterparts, though they are slower to access because portions of the data required to assemble pages of the document are scattered throughout the PDF file. Linearized PDF files (also called "optimized" or "web optimized" PDF files) are constructed in a manner that enables them to be read in a Web browser plugin without waiting for the entire file to download, since all objects required for the first page to display are optimally organized at the start of the file. [26] PDF files may be optimized using Adobe Acrobat software or QPDF.

Page dimensions are not limited by the format itself. However, Adobe Acrobat imposes a limit of 15 million by 15 million inches, or 225 trillion in<sup>2</sup> (145,161 km<sup>2</sup>). [2]:1129



The maximum size of an Acrobat PDF page, superimposed on a map of Europe.

# **Imaging model**

The basic design of how graphics are represented in PDF is very similar to that of PostScript, except for the use of transparency, which was added in PDF 1.4.

PDF graphics use a <u>device-independent Cartesian coordinate system</u> to describe the surface of a page. A PDF page description can use a <u>matrix</u> to <u>scale</u>, <u>rotate</u>, or <u>skew</u> graphical elements. A key concept in PDF is that of the *graphics state*, which is a collection of graphical parameters that may be changed, saved, and restored by a *page description*. PDF has (as of version 2.0) 25 graphics state properties, of which some of the most important are:

- The *current transformation matrix* (CTM), which determines the coordinate system
- The clipping path
- The color space
- The *alpha constant*, which is a key component of transparency
- Black point compensation control (introduced in PDF 2.0)

### **Vector graphics**

As in PostScript, vector graphics in PDF are constructed with *paths*. Paths are usually composed of lines and cubic <u>Bézier curves</u>, but can also be constructed from the outlines of text. Unlike PostScript, PDF does not allow a single path to mix text outlines with lines and curves. Paths can be stroked, filled, fill then stroked, or used for <u>clipping</u>. Strokes and fills can use any color set in the graphics state, including *patterns*. PDF supports several types of patterns. The simplest is the *tiling pattern* in which a piece of artwork is specified to be drawn repeatedly. This may be a *colored tiling pattern*, with the colors specified in the pattern object, or an *uncolored tiling pattern*, which defers color specification to the time the

pattern is drawn. Beginning with PDF 1.3 there is also a *shading pattern*, which draws continuously varying colors. There are seven types of shading patterns of which the simplest are the *axial shading* (Type 2) and *radial shading* (Type 3).

### Raster images

Raster images in PDF (called *Image XObjects*) are represented by dictionaries with an associated stream. The dictionary describes the properties of the image, and the stream contains the image data. (Less commonly, small raster images may be embedded directly in a page description as an *inline image*.) Images are typically *filtered* for compression purposes. Image filters supported in PDF include the following general-purpose filters:

- *ASCII85Decode*, a filter used to put the stream into 7-bit ASCII,
- *ASCIIHexDecode*, similar to ASCII85Decode but less compact,
- FlateDecode, a commonly used filter based on the <u>deflate</u> algorithm defined in <u>RFC 1951 (https://datatracker.ietf.org/doc/html/rfc1951)</u> (deflate is also used in the <u>gzip</u>, <u>PNG</u>, and <u>zip</u> file formats among others); introduced in PDF 1.2; it can use one of two groups of predictor functions for more compact zlib/deflate compression: *Predictor 2* from the <u>TIFF</u> 6.0 specification and predictors (filters) from the <u>PNG</u> specification (RFC 2083 (https://datatracker.ietf.org/doc/html/rfc2083)),
- LZWDecode, a filter based on <u>LZW</u> Compression; it can use one of two groups of predictor functions for more compact LZW compression: *Predictor 2* from the TIFF 6.0 specification and predictors (filters) from the PNG specification,
- RunLengthDecode, a simple compression method for streams with repetitive data using the run-length encoding algorithm and the image-specific filters,
- DCTDecode, a lossy filter based on the JPEG standard,
- *CCITTFaxDecode*, a lossless <u>bi-level</u> (black/white) filter based on the Group 3 or <u>Group 4</u> <u>CCITT</u> (ITU-T) <u>fax</u> compression standard defined in ITU-T T.4 and T.6,
- *JBIG2Decode*, a lossy or <u>lossless</u> bi-level (black/white) filter based on the <u>JBIG2</u> standard, introduced in PDF 1.4, and
- *JPXDecode*, a lossy or lossless filter based on the <u>JPEG 2000</u> standard, introduced in PDF 1.5.

Normally all image content in a PDF is embedded in the file. But PDF allows image data to be stored in external files by the use of *external streams* or *Alternate Images*. Standardized subsets of PDF, including PDF/A and PDF/X, prohibit these features.

#### **Text**

Text in PDF is represented by *text elements* in page content streams. A text element specifies that *characters* should be drawn at certain positions. The characters are specified using the *encoding* of a selected *font resource*.

A font object in PDF is a description of a digital <u>typeface</u>. It may either describe the characteristics of a typeface, or it may include an embedded *font file*. The latter case is called an *embedded font* while the former is called an *unembedded font*. The font files that may be embedded are based on widely used standard digital font formats: <u>Type 1</u> (and its compressed variant CFF), <u>TrueType</u>, and (beginning with PDF 1.6) <u>OpenType</u>. Additionally PDF supports the Type 3 variant in which the components of the font are described by PDF graphic operators.

Fourteen typefaces, known as the *standard 14 fonts*, have a special significance in PDF documents:

- Times (v3) (in regular, italic, bold, and bold italic)
- Courier (in regular, oblique, bold and bold oblique)
- Helvetica (v3) (in regular, oblique, bold and bold oblique)
- Symbol
- Zapf Dingbats

These fonts are sometimes called the *base fourteen fonts*.<sup>[27]</sup> These fonts, or suitable substitute fonts with the same metrics, should be available in most PDF readers, but they are not *guaranteed* to be available in the reader, and may only display correctly if the system has them installed.<sup>[28]</sup> Fonts may be substituted if they are not embedded in a PDF.

Within text strings, characters are shown using *character codes* (integers) that map to glyphs in the current font using an *encoding*. There are several predefined encodings, including *WinAnsi*, *MacRoman*, and many encodings for East Asian languages and a font can have its own built-in encoding. (Although the WinAnsi and MacRoman encodings are derived from the historical properties of the <u>Windows</u> and <u>Macintosh</u> operating systems, fonts using these encodings work equally well on any platform.) PDF can specify a predefined encoding to use, the font's built-in encoding or provide a lookup table of differences to a predefined or built-in encoding (not recommended with TrueType fonts). The encoding mechanisms in PDF were designed for Type 1 fonts, and the rules for applying them to TrueType fonts are complex.

For large fonts or fonts with non-standard glyphs, the special encodings *Identity-H* (for horizontal writing) and *Identity-V* (for vertical) are used. With such fonts, it is necessary to provide a *ToUnicode* table if semantic information about the characters is to be preserved.

A text document which is <u>scanned</u> to PDF without the text being recognised by <u>optical character</u> recognition (OCR) is an image, with no fonts or text properties.

### **Transparency**

The original imaging model of PDF was *opaque*, similar to PostScript, where each object drawn on the page completely replaced anything previously marked in the same location. In PDF 1.4 the imaging model was extended to allow transparency. When transparency is used, new objects interact with previously marked objects to produce blending effects. The addition of transparency to PDF was done by means of new extensions that were designed to be ignored in products written to PDF 1.3 and earlier specifications. As a result, files that use a small amount of transparency might be viewed acceptably by older viewers, but files making extensive use of transparency could be viewed incorrectly by an older viewer.

The transparency extensions are based on the key concepts of *transparency groups*, *blending modes*, *shape*, and *alpha*. The model is closely aligned with the features of <u>Adobe Illustrator</u> version 9. The <u>blend modes</u> were based on those used by <u>Adobe Photoshop</u> at the time. When the PDF 1.4 specification was published, the formulas for calculating blend modes were kept secret by Adobe. They have since been published. [29]

The concept of a transparency group in PDF specification is independent of existing notions of "group" or "layer" in applications such as Adobe Illustrator. Those groupings reflect logical relationships among objects that are meaningful when editing those objects, but they are not part of the imaging model.

# **Additional features**

### Logical structure and accessibility

A **tagged PDF** (see clause 14.8 in ISO 32000) includes document structure and semantics information to enable reliable text extraction and <u>accessibility</u>. [30] Technically speaking, tagged PDF is a stylized use of the format that builds on the logical structure framework introduced in PDF 1.3. Tagged PDF defines a set of standard structure types and attributes that allow page content (text, graphics, and images) to be extracted and reused for other purposes. [31]

Tagged PDF is not required in situations where a PDF file is intended only for print. Since the feature is optional, and since the rules for tagged PDF were relatively vague in ISO 32000-1, support for tagged PDF among consuming devices, including assistive technology (AT), is uneven as of 2021. [32] ISO 32000-2, however, includes an improved discussion of tagged PDF which is anticipated to facilitate further adoption.

An ISO-standardized subset of PDF specifically targeted at accessibility, <u>PDF/UA</u>, was first published in 2012.

### **Optional Content Groups (layers)**

With the introduction of PDF version 1.5 (2003) came the concept of Layers. Layers, more formally known as Optional Content Groups (OCGs), refer to sections of content in a PDF document that can be selectively viewed or hidden by document authors or viewers. This capability is useful in CAD drawings, layered artwork, maps, multi-language documents, etc.

Basically, it consists of an Optional Content Properties Dictionary added to the document root. This dictionary contains an array of Optional Content Groups (OCGs), each describing a set of information and each of which may be individually displayed or suppressed, plus a set of Optional Content Configuration Dictionaries, which give the status (Displayed or Suppressed) of the given OCGs.

### **Encryption and signatures**

A PDF file may be <u>encrypted</u>, for security, in which case a password is needed to view or edit the contents. PDF 2.0 defines 256-bit AES encryption as the standard for PDF 2.0 files. The PDF Reference also defines ways that third parties can define their own encryption systems for PDF.

PDF files may be digitally signed, to provide secure authentication; complete details on implementing digital signatures in PDF are provided in ISO 32000-2.

PDF files may also contain embedded <u>DRM</u> restrictions that provide further controls that limit copying, editing, or printing. These restrictions depend on the reader software to obey them, so the security they provide is limited.

The standard security provided by PDF consists of two different methods and two different passwords: a *user password*, which encrypts the file and prevents opening, and an *owner password*, which specifies operations that should be restricted even when the document is decrypted, which can include modifying, printing, or copying text and graphics out of the document, or adding or modifying text notes and AcroForm fields. The user password encrypts the file, while the owner password does not, instead relying on client software to respect these restrictions. An owner password can easily be removed by software, including some free online services. [33] Thus, the use restrictions that a document author places on a PDF document are not secure, and cannot be assured once the file is distributed; this warning is displayed when applying such restrictions using Adobe Acrobat software to create or edit PDF files.

Even without removing the password, most freeware or open source PDF readers ignore the permission "protections" and allow the user to print or make copy of excerpts of the text as if the document were not limited by password protection. [34][35][36]

Beginning with PDF 1.5, Usage rights (UR) signatures are used to enable additional interactive features that are not available by default in a particular PDF viewer application. The signature is used to validate that the permissions have been granted by a  $\underline{\text{bona fide}}$  granting authority. For example, it can be used to allow a user: [37]

- To save the PDF document along with a modified form or annotation data
- Import form data files in FDF, XFDF, and text (CSV/TSV) formats
- Export form data files in FDF and XFDF formats
- Submit form data
- Instantiate new pages from named page templates
- Apply a digital signature to existing digital signature form field
- Create, delete, modify, copy, import, and export annotations

For example, Adobe Systems grants permissions to enable additional features in Adobe Reader, using <u>public-key cryptography</u>. Adobe Reader verifies that the signature uses a <u>certificate</u> from an Adobe-authorized certificate authority. Any PDF application can use this same mechanism for its own purposes. [37]

Under specific circumstances including non-<u>patched</u> systems of the receiver, the information the receiver of a <u>digital signed</u> document sees can be manipulated by the sender after the document has been signed by the signer. [38]

<u>PAdES</u> (*PDF Advanced Electronic Signatures*) is a set of restrictions and extensions to PDF and ISO  $32000-1^{\underline{[39]}}$  making it suitable for <u>advanced electronic signatures</u>. This is published by <u>ETSI</u> as TS 102 778  $\underline{[40]}$ 

### File attachments

PDF files can have file attachments which processors may access and open or save to a local filesystem. [41]

#### Metadata

PDF files can contain two types of metadata. [2] The first is the Document Information Dictionary, a set of key/value fields such as author, title, subject, creation and update dates. This is optional and is referenced from an Info key in the trailer of the file. A small set of fields is defined and can be extended with additional text values if required. This method is deprecated in PDF 2.0.

In PDF 1.4, support was added for Metadata Streams, using the <u>Extensible Metadata Platform</u> (XMP) to add XML standards-based extensible metadata as used in other file formats. PDF 2.0 allows metadata to be attached to any object in the document, such as information about embedded illustrations, fonts, and images, as well as the whole document (attaching to the document catalog), using an extensible schema.

PDF documents can also contain display settings, including the page display layout and zoom level in a Viewer Preferences object. Adobe Reader uses these settings to override the user's default settings when opening the document. [42] The free Adobe Reader cannot remove these settings.

### **Accessibility**

PDF files can be created specifically to be accessible to people with disabilities. [43][44][45][46][47] PDF file formats in use as of 2014 can include tags, text equivalents, captions, audio descriptions, and more. Some software can automatically produce tagged PDFs, but this feature is not always enabled by default. [48][49] Leading screen readers, including JAWS, Window-Eyes, Hal, and Kurzweil 1000 and 3000 can read tagged PDFs. [50][51] Moreover, tagged PDFs can be re-flowed and magnified for readers with visual impairments. Adding tags to older PDFs and those that are generated from scanned documents can present some challenges.

One of the significant challenges with PDF accessibility is that PDF documents have three distinct views, which, depending on the document's creation, can be inconsistent with each other. The three views are (i) the physical view, (ii) the tags view, and (iii) the content view. The physical view is displayed and printed (what most people consider a PDF document). The tags view is what screen readers and other assistive technologies use to deliver high-quality navigation and reading experience to users with disabilities. The content view is based on the physical order of objects within the PDF's content stream and may be displayed by software that does not fully support the tags' view, such as the Reflow feature in Adobe's Reader.

<u>PDF/UA</u>, the International Standard for accessible PDF based on ISO 32000-1 was first published as ISO 14289–1 in 2012 and establishes normative language for accessible PDF technology.

### Multimedia

*Rich Media PDF* is a PDF file including interactive content that can be embedded or linked within the file. It can contain images, audio, video content, or buttons. For example, if the interactive PDF is a digital catalog for an E-commerce business, products can be listed on the PDF pages and can be added with images and links to the website and buttons to order directly from the document.

#### **Forms**

*Interactive Forms* is a mechanism to add forms to the PDF file format. PDF currently supports two different methods for integrating data and PDF forms. Both formats today coexist in the PDF specification: [37][52][53][54]

- AcroForms (also known as Acrobat forms), introduced in the PDF 1.2 format specification and included in all later PDF specifications.
- XML Forms Architecture (XFA) forms, introduced in the PDF 1.5 format specification. Adobe XFA Forms are not compatible with AcroForms. [55] XFA was deprecated from PDF with PDF 2.0.

AcroForms were introduced in the PDF 1.2 format. AcroForms permit the uses of objects (*e.g.* text boxes, Radio buttons, *etc.*) and some code (*e.g.* JavaScript). Alongside the standard PDF action types, interactive forms (AcroForms) support submitting, resetting, and importing data. The "submit" action transmits the names and values of selected interactive form fields to a specified uniform resource locator (URL). Interactive form field names and values may be submitted in any of the following formats, (depending on the settings of the action's ExportFormat, SubmitPDF, and XFDF flags): [37]

#### **HTML Form format**

HTML 4.01 Specification since PDF 1.5; HTML 2.0 since 1.2

#### **Forms Data Format (FDF)**

based on PDF, uses the same syntax and has essentially the same file structure, but is much simpler than PDF since the body of an FDF document consists of only one required object. Forms Data Format is defined in the PDF specification (since PDF 1.2). The Forms Data Format can be used when submitting form data to a server, receiving the response, and incorporating it into the interactive form. It can also be used to export form data to stand-alone files that can be imported back into the corresponding PDF interactive form. FDF was originally defined in 1996 as part of ISO 32000-2:2017.

#### **XML Forms Data Format (XFDF)**

(external XML Forms Data Format Specification, Version 2.0; supported since PDF 1.5; it replaced the "XML" form submission format defined in PDF 1.4) the XML version of Forms Data Format, but the XFDF implements only a subset of FDF containing forms and annotations. Some entries in the FDF dictionary do not have XFDF equivalents – such as the Status, Encoding, JavaScript, Page's keys, EmbeddedFDFs, Differences, and Target. In addition, XFDF does not allow the spawning, or addition, of new pages based on the given data; as can be done when using an FDF file. The XFDF specification is referenced (but not included) in PDF 1.5 specification (and in later versions). It is described separately in XML Forms Data Format Specification. [56] The PDF 1.4 specification allowed form submissions in XML format, but this was replaced by submissions in XFDF format in the PDF 1.5 specification. XFDF conforms to the XML standard. XFDF can be used in the same way as FDF; e.g., form data is submitted to a server, modifications are made, then sent back and the new form data is imported in an interactive form. It can also be used to export form data to stand-alone files that can be imported back into the corresponding PDF interactive form. As of August 2019, XFDF 3.0 is an ISO/IEC standard under the formal name ISO 19444-1:2019 - Document management — XML Forms Data Format — Part 1: Use of ISO 32000-2 (XFDF 3.0).[57] This standard is a normative reference of ISO 32000-2.

#### **PDF**

The entire document can be submitted rather than individual fields and values, as was defined in PDF 1.4.

AcroForms can keep form field values in external stand-alone files containing key-value pairs. The external files may use Forms Data Format (FDF) and XML Forms Data Format (XFDF) files. [58][56][59] The usage rights (UR) signatures define rights for import form data files in FDF, XFDF, and text (CSV/TSV) formats, and export form data files in FDF and XFDF formats. [37]

In PDF 1.5, Adobe Systems introduced a proprietary format for forms; <u>Adobe XML Forms Architecture</u> (XFA). Adobe XFA Forms are not compatible with ISO 32000's AcroForms feature, and most PDF processors do not handle XFA content. The XFA specification is referenced from ISO 32000-1/PDF 1.7 as an external proprietary specification and was entirely deprecated from PDF with ISO 32000-2 (PDF 2.0).

# Licensing

Anyone may create applications that can read and write PDF files without having to pay royalties to Adobe Systems; Adobe holds patents to PDF, but licenses them for royalty-free use in developing software complying with its PDF specification. [60]

## **Security**

### **Changes to content**

In November 2019, researchers from <u>Ruhr University Bochum</u> and Hackmanit GmbH published attacks on digitally signed PDFs. They showed how to change the visible content in a signed PDF without invalidating the signature in 21 of 22 desktop PDF viewers and 6 of 8 online validation services by abusing implementation flaws. At the same conference, they additionally showed how to exfiltrate the plaintext of encrypted content in PDFs. In 2021, they showed new so-called *shadow attacks* on PDFs that abuse the flexibility of features provided in the specification. An overview of security issues in PDFs regarding <u>denial</u> of service, information disclosure, <u>data manipulation</u>, and <u>arbitrary code execution</u> attacks was presented by Jens Müller.

## Malware vulnerability

PDF files can be infected with viruses, Trojans, and other malware. They can have hidden JavaScript code that might exploit vulnerabilities in a PDF, hidden objects executed when the file that hides them is opened, and, less commonly, a malicious PDF can launch malware. [66]

PDF attachments carrying viruses were first discovered in 2001. The virus, named *OUTLOOK.PDFWorm* or *Peachy*, uses <u>Microsoft Outlook</u> to send itself as an attached Adobe PDF file. It was activated with Adobe Acrobat, but not with Acrobat Reader. [67]

From time to time, new vulnerabilities are discovered in various versions of Adobe Reader, [68] prompting the company to issue security fixes. Other PDF readers are also susceptible. One aggravating factor is that a PDF reader can be configured to start automatically if a web page has an embedded PDF file, providing a vector for attack. If a malicious web page contains an infected PDF file that takes advantage of a vulnerability in the PDF reader, the system may be compromised even if the browser is secure. Some of these vulnerabilities are a result of the PDF standard allowing PDF documents to be scripted with JavaScript. Disabling JavaScript execution in the PDF reader can help mitigate such future exploits, although it does not protect against exploits in other parts of the PDF viewing software. Security experts say that JavaScript is not essential for a PDF reader and that the security benefit that comes from disabling JavaScript outweighs any compatibility issues caused. One way of avoiding PDF file exploits is to have a local or web service convert files to another format before viewing.

On March 30, 2010, security researcher Didier Stevens reported an Adobe Reader and Foxit Reader exploit that runs a malicious executable if the user allows it to launch when asked. [70]

### **Software**

#### Viewers and editors

Many PDF viewers are provided free of charge from a variety of sources. Programs to manipulate and edit PDF files are available, usually for purchase.

The <u>Free Software Foundation</u> was "developing a free, high-quality and fully functional set of libraries and programs that implement the PDF file format and associated technologies to the ISO 32000 standard", as one of its <u>high priority projects</u>. [73][74] In 2011, however, the GNU PDF project was removed from the list of "high priority projects" due to the maturation of the <u>Poppler library</u>, which has enjoyed wider use in applications such as <u>Evince</u> with the <u>GNOME</u> desktop environment. Poppler is based on <u>Xpdf</u>[76][77] code base. There are also commercial development libraries available as listed in List of PDF software.

The <u>Apache PDFBox</u> project of the <u>Apache Software Foundation</u> is an open source Java library, licensed under the <u>Apache License</u>, for working with PDF documents. [78]

### **Printing**

Raster image processors (RIPs) are used to convert PDF files into a <u>raster format</u> suitable for imaging onto paper and other media in printers, digital production presses and <u>prepress</u> in a process known as <u>rasterization</u>. RIPs capable of processing PDF directly include the Adobe PDF Print Engine<sup>[79]</sup> from Adobe Systems and Jaws<sup>[80]</sup> and the Harlequin RIP from Global Graphics.

In 1993, the Jaws raster image processor from Global Graphics became the first shipping prepress RIP that interpreted PDF natively without conversion to another format. The company released an upgrade to its Harlequin RIP with the same capability in 1997. [81]

Agfa-Gevaert introduced and shipped Apogee, the first prepress workflow system based on PDF, in 1997.

Many commercial offset printers have accepted the submission of press-ready PDF files as a print source, specifically the PDF/X-1a subset and variations of the same. [82] The submission of press-ready PDF files is a replacement for the problematic need for receiving collected native working files.

In 2006, PDF was widely accepted as the standard print job format at the <u>Open Source Development Labs</u> Printing Summit. It is supported as a print job format by the <u>Common Unix Printing System</u> and desktop application projects such as GNOME, <u>KDE</u>, <u>Firefox</u>, <u>Thunderbird</u>, <u>LibreOffice</u> and <u>OpenOffice</u> have switched to emit print jobs in PDF. [83]

Some desktop printers also support direct PDF printing, which can interpret PDF data without external help.

### Native display model

PDF was selected as the "native" <u>metafile</u> format for <u>macOS</u> (originally called Mac OS X), replacing the <u>PICT</u> format of the earlier <u>classic Mac OS</u>. The imaging model of the <u>Quartz</u> graphics layer is based on the model common to <u>Display PostScript</u> and PDF, leading to the nickname *Display PDF*. The <u>Preview</u> application can display PDF files, as can version 2.0 and later of the <u>Safari</u> web browser. System-level support for PDF allows macOS applications to create PDF documents automatically, provided they support the OS-standard printing architecture. The files are then exported in PDF 1.3 format according to the file header. When taking a screenshot under Mac OS X versions 10.0 through 10.3, the image was also captured as a PDF; later versions save screen captures as a PNG file, though this behavior can be set back to PDF if desired.

### Annotation

Adobe Acrobat is one example of proprietary software that allows the user to annotate, highlight, and add notes to already created PDF files. One UNIX application available as free software (under the GNU General Public License) is PDFedit. The freeware Foxit Reader, available for Microsoft Windows, macOS and Linux, allows annotating documents. Tracker Software's PDF-XChange Viewer allows annotations and markups without restrictions in its freeware alternative. Apple's macOS's integrated PDF viewer, Preview, does also enable annotations as does the open-source software Skim, with the latter supporting interaction with LaTeX, SyncTeX, and PDFSync and integration with BibDesk reference management software. Freeware Qiqqa can create an annotation report that summarizes all the annotations and notes one has made across their library of PDFs. The Text Verification Tool exports differences in documents as annotations and markups.

There are also <u>web annotation</u> systems that support annotation in pdf and other document formats. In cases where PDFs are expected to have all of the functionality of paper documents, ink annotation is required.

#### **Conversion and Information Extraction**

PDF's emphasis on preserving the visual appearance of documents across different software and hardware platforms poses challenges to the conversion of PDF documents to other <u>file formats</u> and the targeted <u>extraction of information</u>, such as text, images, tables, <u>bibliographic information</u>, and document <u>metadata</u>. Numerous tools and source code libraries support these tasks. Several labeled <u>datasets</u> to test PDF conversion and information extraction tools exist and have been used for benchmark evaluations of the tool's performance. [84]

## **Alternatives**

The <u>Open XML Paper Specification</u> is a competing format used both as a page description language and as the native print spooler format for Microsoft Windows since <u>Windows Vista</u>.

<u>Mixed Object: Document Content Architecture</u> is a competing format. MO:DCA-P is a part of <u>Advanced</u> Function Presentation.

### See also

- Web page
- XSL Formatting Objects
- Page margin
- PDF portfolio

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# **Further reading**

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